



# OPEN Development of the calf grimace scale for pain and stress assessment in castrated Angus beef calves

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Grimace scales have been used to assess pain in various animal species. This study aimed to develop the calf grimace scale (CGS), evaluate its responsiveness and the effect of external factors (change of environment and dam separation, and restraint) on CGS. Sixty-nine Angus calves, 6–8 weeks old, were randomly allocated into castrated ( $n = 34$ ) and sham castrated ( $n = 35$ ) groups. Images were extracted from videos pre- (M1–M4), during- (M5), and post-castration/sham castration (M6, M7). Six facial action units (FAUs) were identified: ear position, orbital tightening, tension above the eye, nostril dilation, straining of chewing muscle, and mouth opening. Final CGS median scores increased after castration ( $P < 0.001$ ) for both non-restrained (M7 versus M2) and restrained (M6 versus M3) calves, indicating scale responsiveness. Final CGS median scores increased ( $P < 0.001$ ) when calves were subjected to external factors before castration (M1 [baseline] versus M2 and M3). However, there was no difference ( $P > 0.05$ ) in CGS median scores before and after sham castration, regardless of restraint (M3 versus M6, and M2 versus M7), indicating that the external factors may have reached a maximum effect. The CGS is composed of six FAUs, responsive to acute pain and can identify stress unrelated to pain.

**Keywords** Restraint, Dam separation, Animal welfare, Facial action units

The welfare of farm animals is compromised when they experience pain due to injuries, diseases, or routine painful procedures associated with husbandry<sup>1</sup>. Pain is an aversive experience that includes sensory and emotional components, accompanied by actual or potential tissue damage<sup>2</sup>. If left unmitigated, pain can have detrimental physical and mental effects on animals<sup>3</sup>. Pain hurts animals<sup>4</sup> and can lead to disrupted sleep patterns<sup>5</sup>, decreased grooming activities<sup>6</sup>, and less play behaviour<sup>7</sup>. In addition, pain reduces food and water intake, which negatively affects cattle productivity<sup>8</sup>.

Pain assessment is crucial for evaluating its severity and improving management. However, pain assessment in cattle is challenging<sup>9</sup>. It is widely believed that cattle, as prey species, avoid expressing pain to reduce their vulnerability to predation<sup>10</sup>, which makes pain identification and management a significant welfare problem<sup>11</sup>. Current methods for pain assessment in cattle have limitations. Physiological parameters such as heart rate or blood biomarkers need specific equipment, usually require physical restraint, and their measurement may be intrusive<sup>12</sup>. Changes in behaviours such as activity, locomotion, and vocalization are commonly used for pain assessment in animals<sup>13,14</sup> but require long observation periods. Hence, there is a need for a fast, easy to use, and reliable measure to assess pain in beef calves.

Recent studies conducted on various species, such as mouse<sup>15</sup>, horses<sup>16</sup>, sheep<sup>17</sup>, piglets<sup>18</sup>, and cattle<sup>19–21</sup> have revealed that animals exhibit specific facial action units (FAUs), such as change in orbital tightening or ear position, in response to pain. Facial expression (“grimace”) scales, which quantify pain by scoring FAUs according to their prominence on a scoring system<sup>22</sup>, have been proven reliable and valid measures for assessing pain in a variety of species<sup>23</sup>.

Grimace scales have been developed for Holstein, Nellore, and crossbred cattle breeds<sup>19–21</sup>. Variations in facial expressions between Nellore and crossbred cattle<sup>21</sup> underscore the need to investigate the use of facial expressions as a pain assessment tool across different breeds. Variations in facial expressions in response to painful stimuli have been reported in horse breeds<sup>24</sup> and mouse strains<sup>25</sup>. Pain expression in animals also varies

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depending on their age<sup>26</sup>. The development of grimace scales has thus far been limited to adult cattle<sup>19,20,27</sup>. Management practices that cause pain are often applied to young calves, and pain expression at this age remains unexplored. The lack of a reliable measure for assessing cattle pain at an early age and the limited development and use across breeds demands the development of a grimace scale for black Angus calves, which is the most common beef breed in Canada.

The Consensus-based Standards for the Selection of Health Measurement Instruments (COSMIN) guidelines defined various measurement properties that should be evaluated for any developed scale<sup>28</sup>. Responsiveness is one of these properties and refers to the ability of the scale to detect changes over time in the measured construct. Despite its importance, only grimace scales studies for cats, kittens, and Holstein cattle have explicitly reported responsiveness<sup>19,29,30</sup>.

Facial expressions associated with pain are not always absent at the baseline. Facial expressions also respond to affective states distinct from pain<sup>26</sup>, such as stressful events<sup>31,32</sup>, fear<sup>33</sup>, and frustration<sup>34</sup>. The effect of stress factors on facial expressions is documented in humans<sup>35</sup>. Various stressors can significantly affect the expression of pain in animals<sup>36</sup>; therefore, stress-related facial expressions should be differentiated from those related to pain. Previous studies that developed grimace scales in animals have not explicitly studied the impact of external stressful factors on facial expressions, except human presence in rabbits and rodents<sup>37,38</sup>, and restraint in sheep<sup>39</sup>. The assumption that cattle facial expressions only reflect pain states is oversimplified, highlighting the importance of evaluating the effect of other stressful factors on facial expressions.

This study aims to develop a grimace scale for assessing acute pain and stress in Angus beef calves, including detailed behavioural descriptions for each FAU, scale responsiveness, and the determination of external stressful factors unrelated to pain that may affect FAUs.

## Methods

### Ethical considerations

The study was approved by the University of Calgary's Veterinary Sciences Animal Care Committee (protocol number AC21-0058). All methods were performed in accordance with relevant guidelines and regulations, and carried out in compliance with the ARRIVE guidelines.

### Study location and animals

This study was conducted in June 2021 at the University of Calgary research farm (W.A Ranches), located near Madden, Alberta, Canada. The farm is owned by the University of Calgary, which granted full approval and agreement for data collection on its premises. A total of 69 Angus beef calves, 6–8 weeks of age, were enrolled in this study. Calves were alternatively allocated into two groups: castrated (CAST) and sham castrated (SHAM). All animals belonged to the same management group and were kept in the same pasture with their dams from birth until castration day.

The CAST group ( $n = 34$ ) was surgically castrated by trained farm personnel in a tilt table and received Bovine Virus Diarrhea Vaccine-Mannheimia Haemolytica Toxoid (One Shot BVD, Zoetis), Bovine Rhinotracheitis-Parainfluenza3-Respiratory Syncytial Virus Vaccine (Inforce 3, Zoetis), Synovex-C Implant (100 mg Progesterone, 10 mg Estradiol benzoate, Zoetis), and a single oral dose of meloxicam (1.0 mg/kg body weight) immediately post-castration, following the farm protocol. The SHAM group ( $n = 35$ ) experienced the same handling procedures as the CAST calves but did not undergo castration or receive any vaccination, implant, or meloxicam administration. During the sham castration procedure, calves were restrained inside a tilt table, and the testicles were handled to mimic the castration procedure. Additionally, a finger was inserted inside each animal's mouth and nostrils to mimic the oral vaccination and meloxicam administration, and ears were touched to simulate implant administration.

### Experimental design

**Habituation period:** A drone equipped with a camera (MAVIC 2 ZOOM, LIZ, DJI Technology, Guangdong, China) was used to record calves at the first timepoint, considered as a baseline (M1) so that facial expressions could be captured with minimal human interference. Before data collection, calves were habituated to the presence of the drone and two observers, one piloting the drone and the other serving as co-pilot, over five days before beginning the experiment. On the first day, the drone flew above the animals at a 30 m height, then the height decreased gradually over the five days period to 25, 20, 15, 13, 10, 7, 5 and 3 m at the end of the habituation period. Previous studies on animal behaviour involving tracking animal movements have used drones operating at altitudes of 80–100 m<sup>40,41</sup>. The drone flights were initiated at an altitude where cows did not react to the drone's sound. To capture detailed images of the calves' faces, the drone's altitude progressively lowered. This gradual approach allowed calves to become habituated to the drone's presence without causing distress. The habituation process to the drone and the observers was performed as follows: on the first day, the drone started in a fixed position for 5-min periods at 30 m height. Once cows and calves were not reactive (i.e., stopped changing their position or location) to the drone's presence at a stationary position, the drone started moving at a fixed speed of 2 m/s at that same height. Then, the drone flew at alternative periods of moving and non-moving until animals were not reactive to its presence at each specific height (25, 20, 15, 13, 10, 7, 5 and 3 m). When the distance of the drone from the ground decreased, the moving time gradually increased from 5 to 60 min. When the calves were not reactive to the presence of the drone and observers (pilot and co-pilot), they were considered habituated.

### Video recording

To capture facial expressions before, during and after castration/sham castration, high-resolution cameras (FDR-AX53, SONY, Tokyo, Japan) were used during various timepoints (M1–M7) (Supplementary material). The

drone was used only during the first timepoint. Video recordings were done for front and side views (M4 and M5 have side images only) for 30 s except M2 and M7 that were recorded for 3 min.

*M1: Baseline, pasture before castration/sham castration*

Immediately after the habituation period and two days before castration. The goal of this observation period was to record FAUs baseline, without any intervention. At this timepoint, calves were in the pasture with their dams.

*M2: Holding pen, one day before castration/sham castration*

Cows and calves were moved to a holding pen the day before castration. Calves were separated from their dams and individually moved and kept in a holding pen ( $\sim 9 \times 16$  m). Calves were reunited with their dams and returned to the pasture after  $\sim 5$ – $6$  h. The objective of this timepoint was to record facial expressions after separation from their dams.

*M3, M4: Tilt table before castration/sham castration*

On castration day, calves were separated from dams and individually moved and restrained on a tilt table by the farm staff. Initially, calves were in a standing position (M3). Then, they were positioned in lateral recumbency (M4). The objective was to record facial expressions during restraint immediately before castration.

*M5, M6: Tilt table during and after castration/sham castration*

Faces were recorded in two positions, using three cameras, while in the tilt table: lateral recumbency, during the surgical castration/sham castration procedure (M5), and in a standing position immediately after castration/sham castration (M6). The objective was to record facial expressions during and immediately after castration/sham castration with the animal restrained.

*M7: Holding pen after castration/sham castration*

Calves were individually moved and kept in the holding pen immediately after castration/sham castration. The objective was to record facial expressions after castration/sham castration. Calves were reunited with their dams after these final observations. The time required to finish the entire group (i.e., from the first to the last calf) was  $\sim 3.5$ – $4$  h.

### Development of the calf grimace scale

All videos were uploaded to a computer. Then, the reference observer (MF, referred to as RO) attempted to extract an image every 5–10 s from the videos to avoid selection bias (e.g., selecting images with intense signs of pain<sup>42</sup>), but this was not possible due to the frequent movement of the calves. Instead, RO extracted multiple images (1–10) from the beginning, middle, and end of each video using commercial software (Cyberlink Power Director 365, Cyberlink Corp, New Taipei, Taiwan) whenever the calf was positioned with the face clearly visible. Then, three front and three side images for each calf at every observation period were selected based on quality. The number of images for each calf at each observation period used varied from 1 to 3, depending on image quality (Fig. 1).

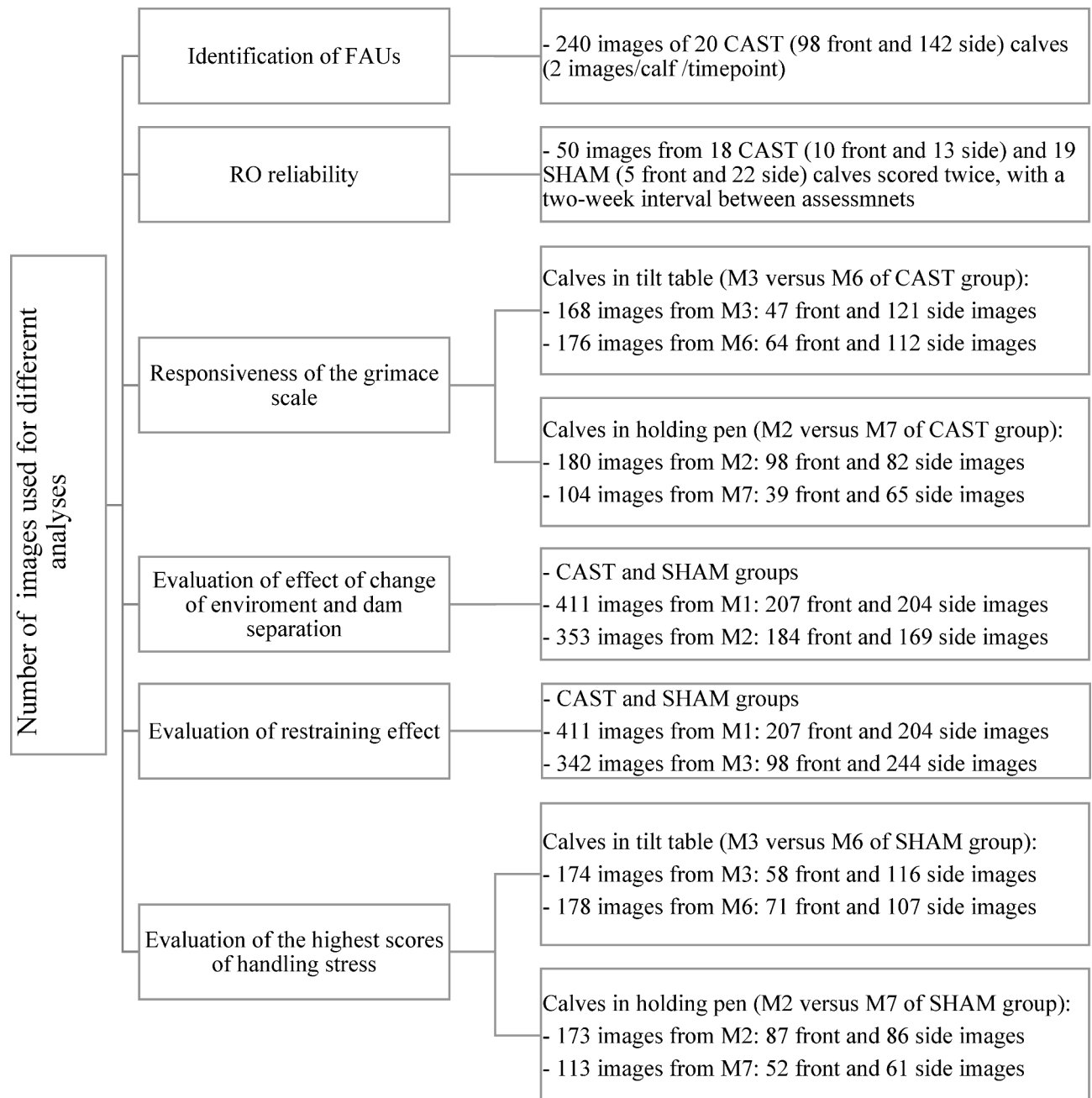
All images were cropped so that only the head and part of the shoulders were visible, to prevent observer bias by the body of the animal and the background when looking at each image. Additionally, the brightness of dark images was increased to enhance image quality. A digital black box was placed over the calf ID tag number in each image to prevent the observer from identifying the animal. Image extraction and processing were done by RO, who was responsible for developing the scale and was not blind to the study timepoints. All images were then randomized using a random number generator (Excel, Microsoft 365, Microsoft Corp, Washington, USA), and renamed by a third party so that RO could not identify the timepoint or group from which images were taken. Images used for evaluating reliability, responsiveness, and external factors were randomized and carried no identification information.

### Identification of the facial action units

To identify FAUs composing the CGS, RO observed 240 front and side images from 20 CAST calves (Fig. 1). The RO identified FAUs that presented a change (increase in scores from 0 to 1 or 2) in these images. Percentages of change of each FAU at all periods were recorded as recommended by McLennan et al.,<sup>23</sup> (Table 1). During FAU identification, a three-point scoring system was used (0 = FAU is absent, 1 = FAU is moderately present, 2 = FAU is obviously present). The RO based the identification of the FAUs in previous studies that have reported FAUs in various species<sup>16,19–21,27</sup>. Seven FAUs were initially proposed: ear position, orbital tightening, tension above the eye, straining of chewing muscle, nostril dilation, mouth opening and pronounced chin. Detailed definitions of each FAU were developed (Table 2). It is recommended to establish a threshold percentage of FAU occurrence to justify its inclusion in any developed pain scale<sup>23</sup>. Therefore, a 10% cut-off percentage was established for FAUs inclusion in the present scale.

### Reliability of the reference observer

After identifying and developing the descriptor of each FAU, 50 images (Fig. 1) from all timepoints were scored twice, with a two-week interval between assessments, to evaluate RO intra-observer reliability. An additional trained observer (MCC) also assessed the same images to evaluate the inter-observer reliability. These images were randomized at each assessment, and observers did not know the timepoint or the calf group to which each image belonged.



**Fig. 1.** Flowchart illustrating the corresponding front and side images used for each analysis. FAU: facial action unit, RO: reference observer, CAST: castrated calves, SHAM: sham castrated calves, M1: calves at the pasture before castration, M2: calves in the holding pen before castration, M3: calves in the tilt table before castration-standing position, M6: calves in the tilt table after castration, M7: calves in the holding pen after castration.

### Calf grimace scale responsiveness evaluation

To evaluate CGS responsiveness, front and side images from CAST calves ( $n=34$ ) were compared under two conditions: inside the holding pen (M2 versus M7) and restrained inside the tilt table (M3 versus M6) (Fig. 1). Comparisons were made for front and side images separately. Differences between these periods were attributed to acute pain induced by the castration procedure. To identify which FAUs were more responsive to pain stimulation, each FAU was compared separately between periods (M2 versus M7, and M3 versus M6).

### Evaluation of the external factors

The fact that animals are placed in stressful situations before any painful procedure is often ignored when grimace scales are developed. The present study design included various periods that allowed the evaluation of the effect of external stressful factors, unrelated to acute pain, on FAUs expression. Two factors were investigated:

Score	Ear position			Orbital tightening			Tension above the eye			Nostril dilation			Straining of chewing muscle			Mouth opening		
	0	1	2	0	1	2	0	1	2	0	1	2	0	1	2	0	1	2
M1	12	0	8	16	4	0	6	13	1	0	16	4	2	10	8	20	0	0
M2	5	1	14	18	2	0	1	14	5	0	6	14	0	9	11	20	0	0
M3	11	2	1	20	0	0	1	12	7	0	2	18	0	5	15	20	0	0
M4	17	0	3	20	0	0	0	14	6	0	8	12	0	3	17	20	0	0
M5	17	0	3	13	6	1	0	13	7	0	6	14	0	0	20	12	5	3
M6	6	10	4	15	2	3	1	12	7	0	7	13	0	5	15	17	1	2
M7	1	1	18	11	4	5	0	15	5	1	4	15	0	13	7	18	2	0

**Table 1.** Frequency of occurrence of every facial action unit in twenty castrated calves used for developing the calf grimace scale. M1: calves at the pasture before castration, M2: calves in the holding pen before castration, M3: calves in the tilt table before castration-standing position, M4: calves in tilt table before castration-side position, M5: calves in tilt table during castration, M6: calves in the tilt table after castration, M7: calves in the holding pen after castration. Score 0: action unit is absent, score 1: action unit is moderately present, score 2: action unit is obviously present (see Table 2 for definitions).

FAU	Definition		
	Score zero	Score one	Score two
Ear position	Both ears forward (both angles): both ears (for front) or one ear (for side) are directed rostrally, the ear pinna can be (or cannot for side images) completely seen, and the angle between the eye commissure, the base of the ear and the tilt of the ear is 90 degrees or less	One ear forward, one backward (front angle only): use the previous and following definitions for forward ear and backward ear, respectively. <i>For side images, no score 1 is assessed</i> , as only one ear is reliable visible from this view. It is scored zero if the ear is forward and 2 if it is backward	Both ears backward (both angles): both ears (for front) or one ear (for side) are directed caudally, the ear pinna moderately or completely cannot be seen, and the angle between the eye commissure, the base of the ear and the tilt of the ear is more than 90 degrees
Orbital tightening	<i>Eyes completely open</i> : eyelids are not in contact with each other, appear as a curve shape, and the entire eyeball is completely visible	<i>Eyes moderately closed</i> : eyelids are not in contact with each other, upper eyelid appears as a straight line, entire eyeball is not completely visible	<i>Eyes obviously closed</i> : eyelids are in contact with each other, the entire eyeball is not visible
Tension above the eye	<i>Not present</i> : no prominent line (s) or curve shape (for side images) appear above the eye area due to the contraction of muscles above the eye	<i>Moderately present</i> : a slightly visible line (s) or curve shape (for side images) appear above the eye area due to contraction of the muscles above the eye	<i>Obviously present</i> : prominent line or lines or curve shape (for side images) appear above the eye area due to the contraction of muscles above the eye
Nostril dilation	<i>Not strained</i> : nostrils are in a normal relaxing shape with cashew/elliptical shape	<i>Moderately strained</i> : nostril orifices increased in size and appeared nearly triangular or enlarged cashew shape	<i>Obviously strained</i> : nostrils orifices are obviously open and appear nearly circular or heart shape
Strained chewing muscle	<i>Not present</i> : the skin over the cheek area appears relaxed and there are no lines or grooves present	<i>Moderately present</i> : the skin over the cheek area appears moderately strained and contraction of the cheek muscles causes appearance of slightly visible lines or shallow grooves	<i>Obviously present</i> : the skin over the cheek area appears obviously strained and contraction of the cheek muscles causes appearance of clearly visible lines or deep grooves
Mouth Opening	<i>Completely closed</i> : upper and lower jaws are in contact with each other	<i>Moderately open</i> : upper and lower jaws are not in contact with each other, the tongue may appear. The mouth opens at ~ 20 degrees or less	<i>Obviously open</i> : upper and lower jaws are not in contact with each other, the tongue may appear. The mouth opens at more than 20 degrees

**Table 2.** Definitions of facial action units (FAU) of the calf grimace scale in both front and side views.

(1) Separation from the dam and change of environment from pasture to holding pen, and (2) Restraint in the tilt table. These factors were evaluated using images of all CAST and SHAM calves ( $n = 69$  animals). They were in the same pain-free conditions before castration or sham castration at M1, M2, and M3. To assess the first factor, impact of dam separation and environment change, front and side images of calves in the pasture with their dams (M1) were compared to front and side images in the pen (M2) (Fig. 1). To evaluate restraint effect, the same front and side images from M1 were compared to front and side images from calves in the tilt table before castration (M3) (Fig. 1).

To identify the highest scores that could be obtained during handling stress at different periods, SHAM calves were compared when under two different conditions: inside the holding pen (M2 and M7) and restrained inside the tilt table (M3 and M6) before and after sham castration (Fig. 1). Comparisons were made for front and side images separately.

### Statistical analyses

All statistical analyses were performed using RStudio software (version 4.2.2, 2022.03.0, PBC, Boston, MA, USA). P-values were considered significant when  $P < 0.05$ . The normality of the data was evaluated using histograms and Shapiro-Wilk normality test ("swilk" function of package: stats). The data were non-normally distributed, and transformations (logarithm, square root, and cubic root) were performed, but transformed data did not attain normality. Therefore, nonparametric statistical tests were used.

A descriptive analysis for all FAUs for both front and side views was performed, and medians (interquartile range [IQR = 75th percentile – 25th percentile]) were presented. Inter- and intra-observer reliabilities for each FAU and the total CGS score were evaluated using Weighted kappa coefficient (kw) ("cohen.kappa" function of package: psych) and Intraclass Correlation Coefficient (ICC) two-way mixed effect model with absolute agreement ("icc" function of package: irr), respectively. Interpretation of kw and ICC: very good 0.81–1.0; good 0.61–0.80; moderate 0.41–0.60; reasonable 0.21–0.40; and poor  $< 0.20$ <sup>43</sup>.

Responsiveness of the CGS was evaluated comparing final scores of CAST calves at M2 versus M7 (non-restrained) and M3 versus M6 (restrained) using Wilcoxon signed rank test ("wilcox.test" function of package: stats). Comparisons were done for front and side images separately. The responsiveness of each FAU (M2 versus M7, and M3 versus M6) was evaluated using the medians of both front and side views combined using Wilcoxon signed-rank test.



















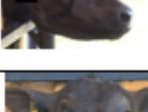












The effects of the external factors on CGS score were evaluated by comparing final scores of M1 versus M2 (factor 1) and M1 versus M3 (factor 2) of all calves (SHAM and CAST) using Wilcoxon signed-rank test. Additionally, the highest stress score was evaluated by comparing final scores of M3 versus M6, and M2 versus M7 of SHAM calves using Wilcoxon signed-rank test. All previous comparisons were done for front and side images separately.

## Results

### Development of the scale

Six FAUs were identified and used to compose the CGS: ear position, orbital tightening, tension above the eye, nostril dilation, straining of chewing muscle, and mouth opening (Table 3). Pronounced chin was excluded as it was not possible to score due to the presence of the dewlap. The FAU straining of chewing muscle was excluded from the scale when using front images, as its assessment was not reliably visible from this view. Each identified FAU could attain a maximum score of 2. Consequently, the maximum score for front images is 10 (5 FAUs identified from this view). The maximum score for side images is 12 (six FAUs identified from this view). The



Action unit	View	Score = Zero (action unit is absent)	Score = One (action unit is moderately present)	Score = Two (action unit is obviously present)
Ear position	Front			
	Side		No score 1 for side images as only one ear is seen from this view; it is scored one if it is forward and 2 if it is backward	
Orbital tightening	Front			
	Side			
Tension above the eye	Front			
	Side			
Nostril dilation	Front			
	Side			
Straining of chewing muscle	Side			
Mouth opening	Front			
	Side			

**Table 3.** Illustration of facial action units composing the calf grimace scale. N.B. No front view for straining of chewing muscle.

final CGS score was calculated as the sum of FAUs scores divided by the maximum possible score. Consequently, the final score ranged from 0 to 1 (e.g.  $6/10=0.6$  for front images, and  $6/12=0.5$  for side images). Detailed definitions of each FAU were developed (Table 2).

During FAU identification in 20 CAST calves, there were changes (increase in scores from 0 to 1 or 2) of FAUs across different timepoints (Table 1). Before castration (M1, M2, M3, M4), ear position presented a change in 40, 75, 15, and 15% of calves at M1, M2, M3, and M4, respectively. Orbital tightening presented a change in 20,

10, 0, and 0% of calves at M1, M2, M3, and M4, respectively. Tension above the eye presented a change in 70, 95, 95, and 100% of calves at M1, M2, M3, and M4, respectively. Nostril dilation presented a change in 100% of calves during all these periods. Straining of chewing muscle presented a change in 90, 100, 100, and 95% of calves at M1, M2, M3, and M4, respectively. Mouth opening did not present any change (0%) in the calves in these periods.

During (M5) and after castration (M6, M7), ear position presented a change in 15, 70, and 95% of calves at M5, M6, and M7, respectively. Orbital tightening presented a change in 35, 25, and 45% of calves at M5, M6, and M7, respectively. Tension above the eye presented a change in 100, 95, and 100% of calves at M5, M6, and M7, respectively. Nostril dilation presented a change in 100, 100, and 95% of calves at M5, M6, and M7, respectively. Straining of chewing muscle presented a change in 100% of the calves in all these periods. Mouth opening presented a change in 40, 15, and 10% of calves at M5, M6, and M7, respectively. All FAUs changes in all timepoints are reported in Table 1.

The RO inter- (with MCC) and intra-observer reliabilities were 0.97 (0.94–0.98) and 0.96 (0.93–0.98) for the total CGS scores, respectively. For individual FAUs, the inter- (with MCC) and intra-observer reliabilities, respectively, were 0.82 (0.66–1.0) and 0.92 (0.80–0.98) for ear position, 0.95 (0.88–1.0) and 1.0 (1.0–1.0) for orbital tightening, 0.87 (0.80–0.95) and 0.86 (0.73–0.98) for tension above the eye, 0.98 (0.92–1.0) and 0.98 (0.93–1.0) for nostril dilation, 0.87 (0.67–0.93) and 0.84 (0.72–1.0) for straining of chewing muscle, and 1.0 (1.0–1.0) and 1.0 (1.0–1.0) for mouth opening.

### Responsiveness of the scale

The final CGS scores for CAST calves were higher in the holding pen (M7 compared to M2), and in the tilt table (M6 compared to M3) for both front and side views ( $P < 0.001$ ) (Fig. 2). Changes observed in FAUs during the timepoints used to evaluate responsiveness (M2, M3, M6, and M7) revealed that some FAUs were more responsive to pain induced by castration than others.

In the holding pen, the median values (IQR) for ear position, orbital tightening, and nostril dilation were higher ( $P < 0.01$ ) after castration at M7 [2 (0.5), 0 (0.5), 1 (1)] compared to before castration at M2 [0 (1), 0 (0), 1 (1)], respectively. Similarly, in the tilt table, the median values (IQR) of these three FAUs differed ( $P < 0.01$ ) after castration at M6 [0.75 (1), 0 (0), 1 (1)] compared to before castration at M3 [0 (0), 0 (0), 2 (1)], respectively.

Conversely, the median values (IQR) for tension above the eye, straining of chewing muscle, and mouth opening did not differ ( $P > 0.05$ ) after castration for both the holding pen M7 [1 (1), 1 (1), 0 (0)] and tilt table M6 [1 (0.5), 1 (1), 0 (0)] compared to before castration at M2 [1 (1), 1.5 (1), 0 (0)] and M3 [1 (1), 2 (0), 0 (0)], respectively. Representative images of all FAUs changes across timepoints are presented in Fig. 3.

The CGS median values (IQR) for all CAST and SHAM calves from front and side views are reported in Table 4.

### External factors

The final CGS scores of all calves (CAST and SHAM) increased from baseline when separated from their dams and moved from the pasture to the holding pen (M1 versus M2). Median values (IQR) of front image scores increased from 0.03 (0.10) at M1 to 0.20 (0.08) at M2 ( $P < 0.001$ ). Median values (IQR) of side image scores increased from 0.31 (0.14) to 0.42 (0.13) between the same periods ( $P < 0.001$ ).

The same pattern was observed when calves were restrained in the tilt table (M1 versus M3). Median values (IQR) of front image scores increased from 0.03 (0.10) at M1 to 0.20 (0.10) at M3 ( $P < 0.001$ ). Median values (IQR) of side image scores increased from 0.31 (0.14) to 0.41 (0.06) between the same periods ( $P < 0.001$ ).

The highest CGS scores associated with external factors unrelated to acute pain were observed when sham calves were restrained in the tilt table (M3). No significant increase was observed in CGS scores before and after sham castration procedures for restrained (M3 versus M6,  $P$  (front view) = 0.36,  $P$  (side view) = 0.48) and non-restrained (M2 versus M7,  $P$  (front view) = 0.22,  $P$  (side view) = 0.58) conditions (Fig. 4).

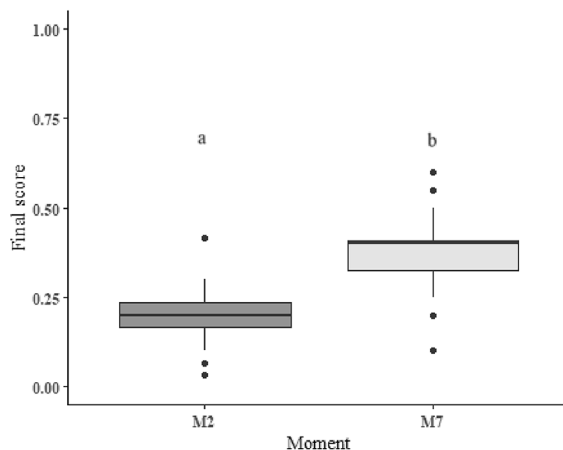
### Discussion

The CGS comprises five FAUs when applied to front view images, and six FAUs when side view images are used. It presented responsiveness, identifying changes associated with pain induced by castration. The CGS can also identify the effect of two external factors unrelated to acute pain: change of environment including dam separation and restraint in tilt table.

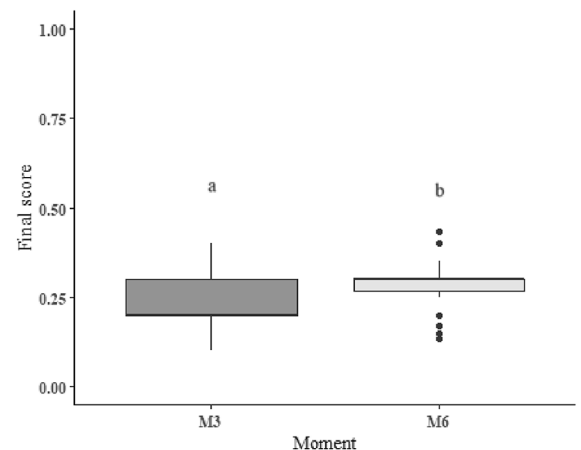
During the development of a grimace scale, it is recommended to include FAUs that present changes in at least 25% of the evaluated animals across observation periods<sup>23</sup>. During FAUs selection to compose the CGS, some of them were included despite presenting changes (increasing scores from 0 to 1 or 2) in a few calves during certain pain periods. Ear position presented changes only in 15% (3 out of 20) of calves at M5. However, it was included in the scale as it presented changes in most of the calves at M6 and M7 (70% and 95%, respectively). The low percentage of changes in ear position at M5 may be due to the calves lying in lateral recumbency in the tilt table, which could have affected the position or ease of movement of the ears. Similarly, mouth opening presented changes only in a few calves at M6 and M7 (15% and 10%, respectively). Nevertheless, it was included in the scale as it presented changes in 40% of the calves at M5. In addition, mouth opening was not observed in any calves at pre-castration timepoints, making it a good indication of acute pain<sup>21</sup>. Nostril dilation, tension above the eye, and straining of chewing muscle were included in the scale despite presenting changes at most timepoints. This decision was made because these FAUs presented a higher frequency of score 2 compared to score 1 at post-castration timepoints, as illustrated in Fig. 3. In addition, this scale also aims to identify both pain and stress; and as they also changed from baseline to other pre-castration timepoints, these FAUs can also reflect the influence of external stress factors. Tension above the eye, for example, had a high frequency of score 1 compared to score 2 during most time periods, suggesting the moderate presence of this FAU during less aversive



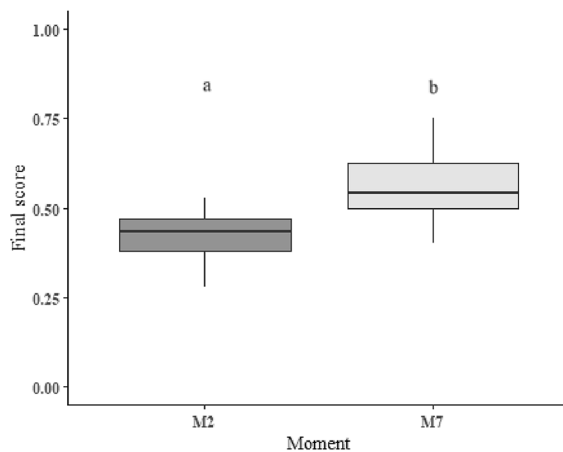
## A - Front view



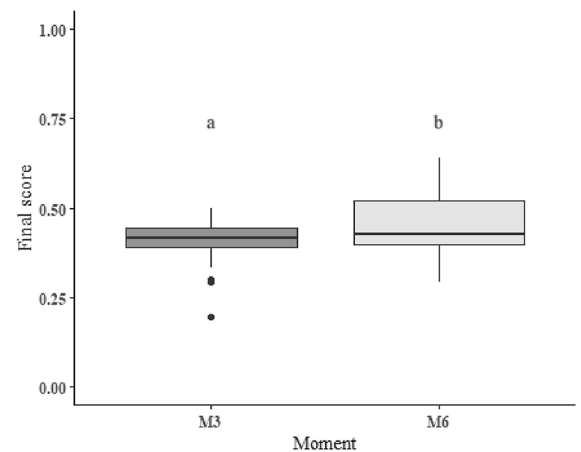
## B - Front view



## C - Side view



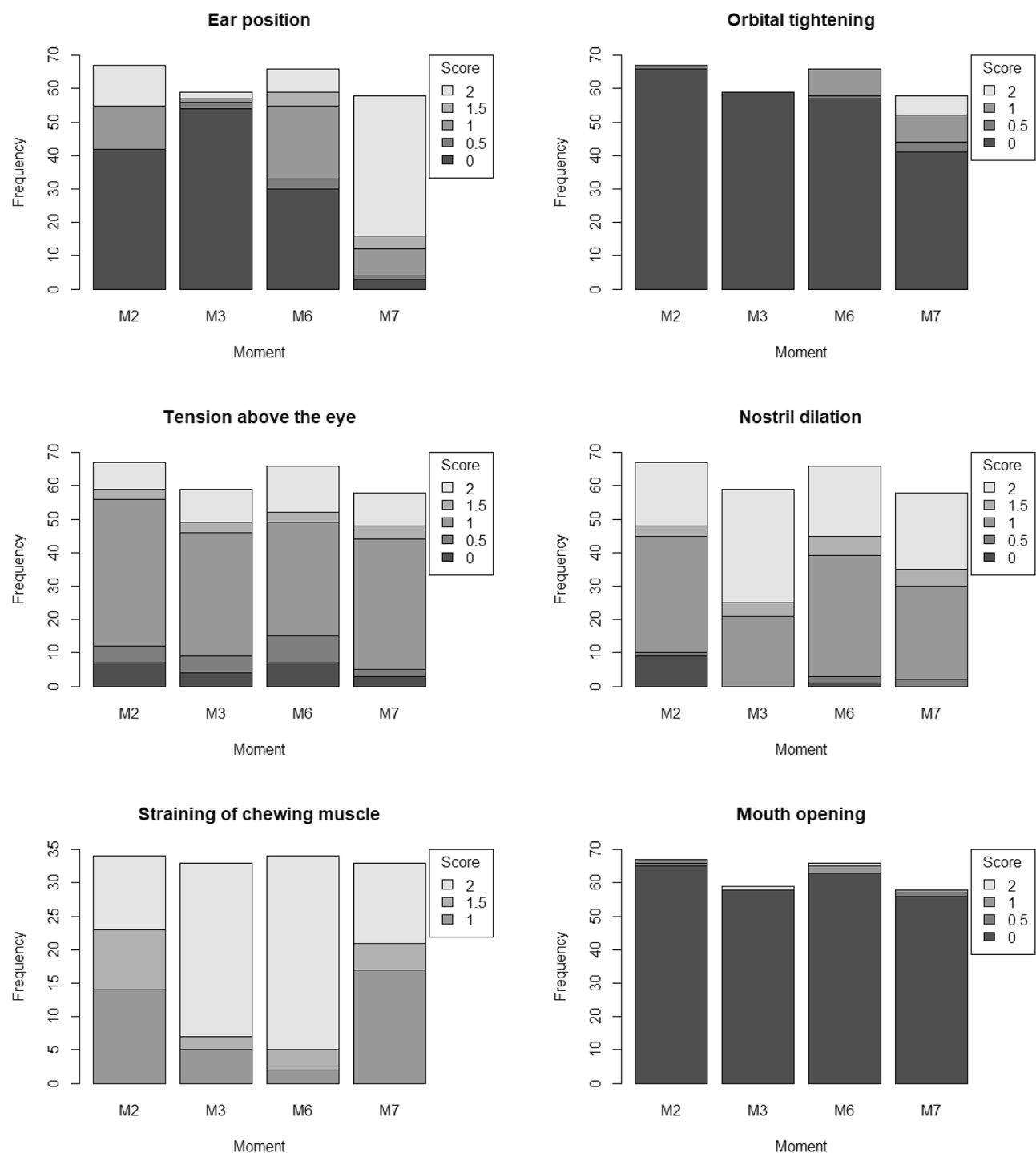
## D - Side view



**Fig. 2.** Responsiveness of the calf grimace scale (CGS). Box plots illustrating comparisons of the CGS final scores at M2 (pre-castration) versus M7 (post-castration) in holding pen, and M3 (pre-castration) versus M6 (post-castration) in tilt table for front (A and B) and side (C and D) views. The top and bottom box lines represent the interquartile range (25 to 75%), the line within the box represents the median, and the extremes of the whiskers represent the minimum and maximum values. Different letters (a - b) indicate significant result ( $P < 0.05$ ). M2: calves in the holding pen before castration, M3: calves in the tilt table before castration-standing position, M6: calves in the tilt table after castration, M7: calves in the holding pen after castration.

conditions rather than pain. Although it is recommended to report the percentage of FAUs changes in animals in response to the painful stimulation to justify their inclusion<sup>23</sup>, few grimace scales reported the percentage of FAUs changes. For instance, ferret and piglet grimace scales incorporated FAUs that occurred in 25% and 50% of observations periods, respectively<sup>44,45</sup>. The FAUs expressed in less than 5% of the videos were excluded from the recently developed grimace scale for adult Holstein cattle, because they were considered too rare<sup>19</sup>.

In order to control for individual differences, within (same calves before and after castration) animal comparisons were used, similar to other studies<sup>19,39</sup>. The six FAUs composing the CGS have been reported in previous grimace scales in different breeds and species<sup>16,17,19,21</sup>, except mouth opening, which was only reported in Nellore cattle<sup>21</sup>, Japanese macaques<sup>26</sup>, and humans<sup>46</sup>. Muller et al.,<sup>21</sup> used hot branding to study pain in cattle, implying that cattle may have presented mouth opening in acute pain conditions<sup>47</sup>. Mouth opening in cattle could be due to vocalizations, which increase during painful conditions<sup>47</sup>. Multiple studies have demonstrated that surgical castration is painful, even in the presence of analgesics<sup>48,49</sup>. Castration provides a controlled and reliable model for studying pain, in contrast to diseases such as mastitis and foot rot, where pain levels fluctuate depending on the stage of the disease<sup>23</sup>. In Canada, pain mitigation is mandatory for castrating calves older than six months<sup>50</sup>. Therefore, surgical castration without anaesthesia is a common procedure in Canadian beef cattle production systems. At the farm where the study was conducted, castration was performed without anaesthesia, and analgesics were administered immediately after the procedure.



**Fig. 3.** Bar charts illustrate the change of facial action units (FAU) (front and side views combined) across moments. Frequency: number of calves. Score: median scores (collected from 1–3 front and 1–3 side images) for every FAU. M2: calves in the holding pen before castration, M3: calves in the tilt table before castration-standing position, M6: calves in the tilt table after castration, M7: calves in the holding pen after castration.

We created definitions for each FAU in both front and side views to make its applicability comprehensive and objective, as not using an explicit definition of what will be scored makes establishing descriptive criteria to be provided to observers difficult and thus may reduce inter-observer reliability<sup>51</sup>. To our knowledge, only one cattle study has developed extended FAU descriptions<sup>19</sup>, with short definitions reported in other cattle studies<sup>20,21</sup>.

Scoring drift among observers when using pain scales has been well-documented<sup>52</sup>. Intra-observer reliability of the reference observer varied from 0.32 to 1 in the Nellore<sup>20</sup>, Holstein<sup>19</sup> and sow<sup>53</sup> grimace scales, while the inter-observer reliability varied from 0.42 to 0.77 for the Holstein<sup>19</sup> and sow<sup>53</sup> grimace scales. RO, who was

Timepoint	Front view		Side view	
	Castrated	Sham	Castrated	Sham
M1	0.03 (0.10)	0.07 (0.10)	0.32 (0.15)	0.31 (0.14)
M2	0.20 (0.06)	0.17 (0.12)	0.43 (0.09)	0.38 (0.11)
M3	0.20 (0.10)	0.20 (0.06)	0.42 (0.05)	0.39 (0.06)
M4	NA	NA	0.42 (0.10)	0.42 (0.08)
M5	NA	NA	0.50 (0.06)	0.44 (0.09)
M6	0.30 (0.03)	0.20 (0.12)	0.43 (0.13)	0.39 (0.14)
M7	0.40 (0.08)	0.20 (0.10)	0.54 (0.13)	0.39 (0.09)

**Table 4.** Final scores [medians (IQR)] of castrated and sham castrated calves across timepoints for front and side views. *IQR* interquartile range, *M1* calves at the pasture before castration, *M2* calves in the holding pen before castration, *M3* calves in the tilt table before castration-standing position, *M4* calves in tilt table before castration-side position, *M5* calves in tilt table during castration, *M6* calves in the tilt table after castration, *M7* calves in the holding pen after castration. *NA* not applicable as there are no images during this period.

responsible for scoring the images for the subsequent analyses, achieved high inter and intra-observer reliability ( $ICC > 0.8$ ), confirming that the scoring is accurate, yielding consistent results both between different observers and across repeated observations by the same observer. This result implies pain assessment in farm animals could be as accurate and reliable as in other species, such as cats and ferrets, where the inter- and intra-observer reliabilities were above 0.80<sup>29,45</sup>.

A significant increase in the final CGS scores after castration indicates the scale is responsive to acute pain induced by castration. Prior reported pain scales used timepoints several hours after analgesic intervention to evaluate the responsiveness of the scale to an analgesic effect<sup>54,55</sup>. Responsiveness is an important measure for any developed scale according to COSMIN guidelines<sup>28</sup>; nonetheless, only a few studies on cats, kittens, and cattle have explicitly reported the scale's responsiveness<sup>19,29,30</sup>. Future studies, using the CGS should include an assessment of responsiveness to an analgesic effect to identify if the CGS could be used to assess the efficacy of an analgesic intervention.

Our results provided evidence that certain FAUs better reflect the presence of pain than others. Specifically, ear position, orbital tightening, and nostril dilation exhibited better responsiveness to pain compared to straining of chewing muscles, tension above the eye, and mouth opening. During and after castration, calves tended to retract their ears backward, close their eyes, and widen their nostrils more than before castration. Increased scores for these FAUs were documented in Nellore bulls following castration<sup>20</sup>. Similarly, in Holstein cattle with induced mastitis, backward ears, dilated nostrils, and a motionless muzzle were displayed. However, in contrast to our study using Angus beef calves, orbital tightening was not responsive to pain in Holstein cattle<sup>19</sup>. Straining of chewing muscles and tension above the eyes had high scores before castration, suggesting that external factors may have triggered these responses. Mouth opening displayed a significant increase in response to pain stimulation during castration (M5); nonetheless, its frequency returned to the pre-castration level at M6 and M7, suggesting that while mouth opening may be an effective indicator of acute pain, it may not be a reliable measure of pain over time. It is noteworthy that the short duration of the videos recorded during some timepoints (~30 s), along with the frequent movement of the calves, limited the number of images captured, which could have influenced our results. Variation in the responsiveness of FAUs to acute pain necessitates further work to refine the CGS following refinement protocols in other scales<sup>14,54</sup>.

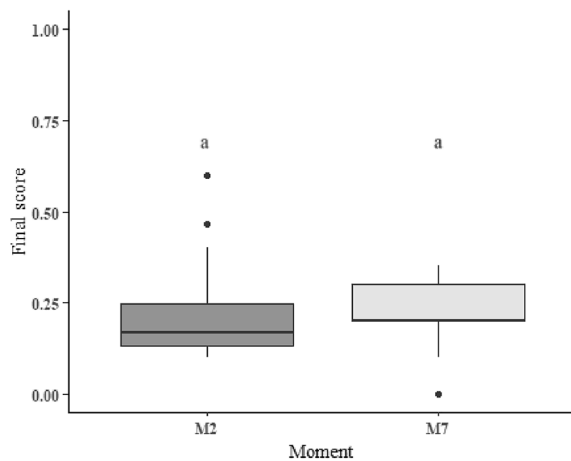
Factors which may have caused handling stress before pain assessment using grimace scales have not been evaluated. Such factors could include sources of stress unrelated to acute pain<sup>31,32</sup>, such as restraint or change in the environment. Our results demonstrate that change of environment and dam separation, and restraint in the tilt table increased CGS scores. This result is consistent with a previous study developing the lamb grimace scale, where observers scored restrained lambs higher than free moving lambs<sup>39</sup>. Another pertinent external factor warranting evaluation in future research is the influence of the observer presence on calf facial expression. Although calves in the current study were habituated to observer presence, their presence could have influenced facial expressions, as previously reported in rats and rabbits<sup>37,38</sup>.

When studying external factors in SHAM calves, the highest scores were obtained when calves were initially placed in a tilt table. Additionally, final CGS scores observed before and after sham castration procedure did not differ. This suggests the existence of a point beyond which external factors no longer exert a significant influence on calf facial expression. To our knowledge, the highest scores for facial expressions that can be obtained under certain conditions have not been reported in animals, but have been reported for adult humans<sup>56</sup> and infants, in cases of joy, anger and sadness<sup>57,58</sup>.

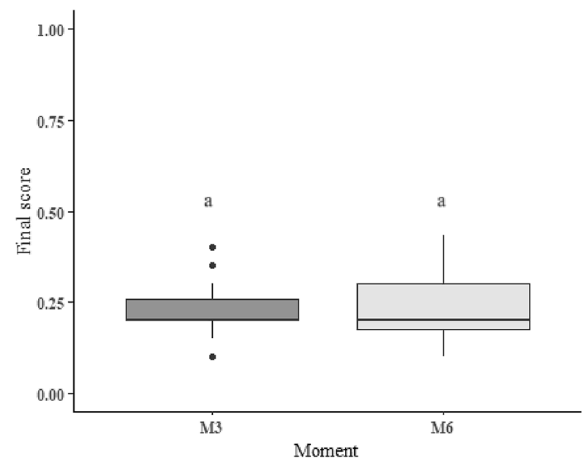
Facial expressions observed during external factors reveal that the CGS is not limited to a tool for identifying pain. Instead, it can be used to identify acute castration pain as well as other factors unrelated to pain. This observation reflects that in other species. For example, facial expressions changed in response to positive and aversive taste and touch in mice<sup>59</sup>. Changes in ear position were associated with excitement and frustration in dairy cattle<sup>34</sup>, fear in horses<sup>33</sup>, and anger and frustration in sheep<sup>60</sup>.

The development of the CGS is considered a first step. Further work is needed to validate the scale in accordance with COSMIN guidelines<sup>28</sup>. CGS reliability and validity need to be investigated, and the following measurement properties should be reported: inter- and intra-observer reliability, construct and criterion

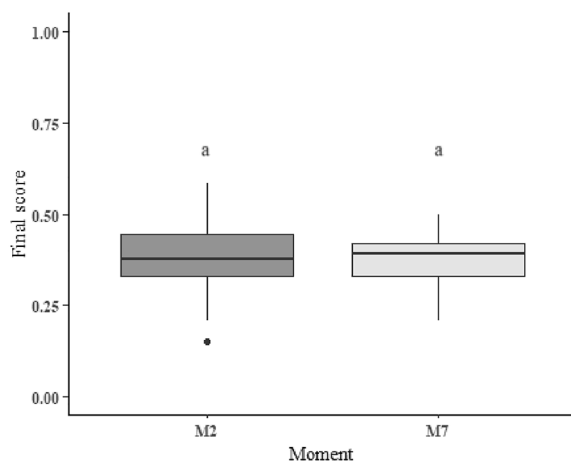
## A- Front view



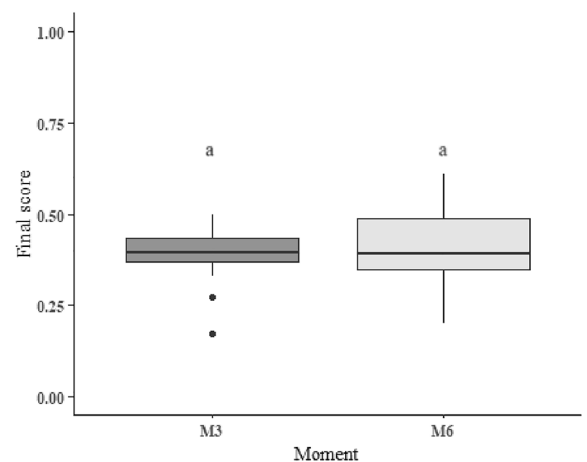
## B – Front view



## C - Side view



## D – Side view



**Fig. 4.** External factors highest effect on the calf grimace scale (CGS) of sham castrated calves. Box plots illustrating comparisons of final grimace scores of M2 (pre-castration) versus M7 (post-castration) in holding pen, and M3 (pre-castration) versus M6 (post-castration) in tilt table of the sham calves for front (A and B) and side (C and D) views. The top and bottom box lines represent the interquartile range (25 to 75%), the line within the box represents the median, and the extremes of the whiskers represent the minimum and maximum values. Different letters (a - b) indicate significant result ( $P < 0.05$ ). M2: calves in the holding pen before castration, M3: calves in the tilt table before castration-standing position, M6: calves in the tilt table after castration, M7: calves in the holding pen after castration.

validities, specificity, and sensitivity. In addition, establishing a threshold score for differentiating between pain and stress conditions is essential, as has been done for other facial expression scales to indicate the requirement for analgesia<sup>29,61–63</sup>.

### Conclusion

This study successfully identified six FAUs composing the CGS. The CGS demonstrated responsiveness to pain induced by castration, and the ability to evaluate external factors such as environment change, dam separation and restraint in the tilt table. The CGS emerges as a valuable addition to the tools used for pain assessment in Angus beef calves. However, the impact of these external factors should be considered when applying the CGS to assess pain. Further research is needed to refine and further validate the scale and investigate its application in different breeds and contexts.

### Data availability

The dataset generated during the current study is available from the corresponding author upon reasonable request.

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## Author contributions

Conceptualization, M.C.C.; Methodology, M.F., M.C.C., E.P., S.P.L.L., and D.P.; Investigation, M.F., M.C.C.; Formal analysis, M.F.; Resources, M.C.C.; Data curation, M.F.; Writing—original draft preparation, M.F., M.C.C., and E.P.; Writing—review and editing, M.F., M.C.C., E.P., S.P.L.L., D.P., and M.C.W.; Supervision, M.C.C. and E.P.; Project administration, M.C.C.; Funding acquisition, M.C.C.

## Declarations

## Competing interests

The authors declare no competing interests.

## Additional information

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1038/s41598-024-77147-6>.

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