

Lecture 1 - Processes

Operating Systems 1

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Process Concept

> man 7 ps

A unit of work scheduled by the user

Process = a program in execution
not necessarily executing at the moment

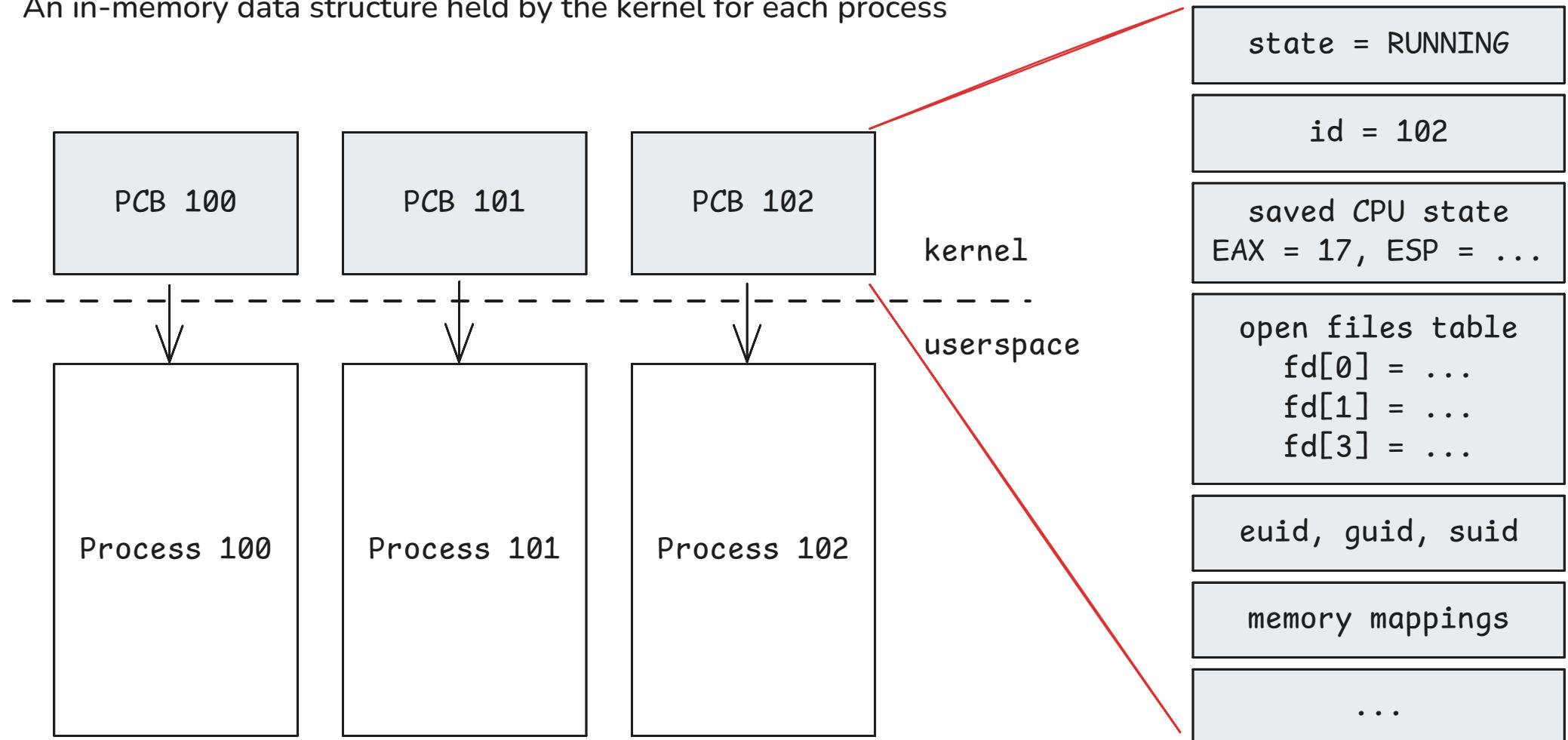
Other names: Task - in time-shared systems, Job - in batch systems

POSIX definition:

An address space with one or more threads executing within
that address space, and the required system resources for those threads

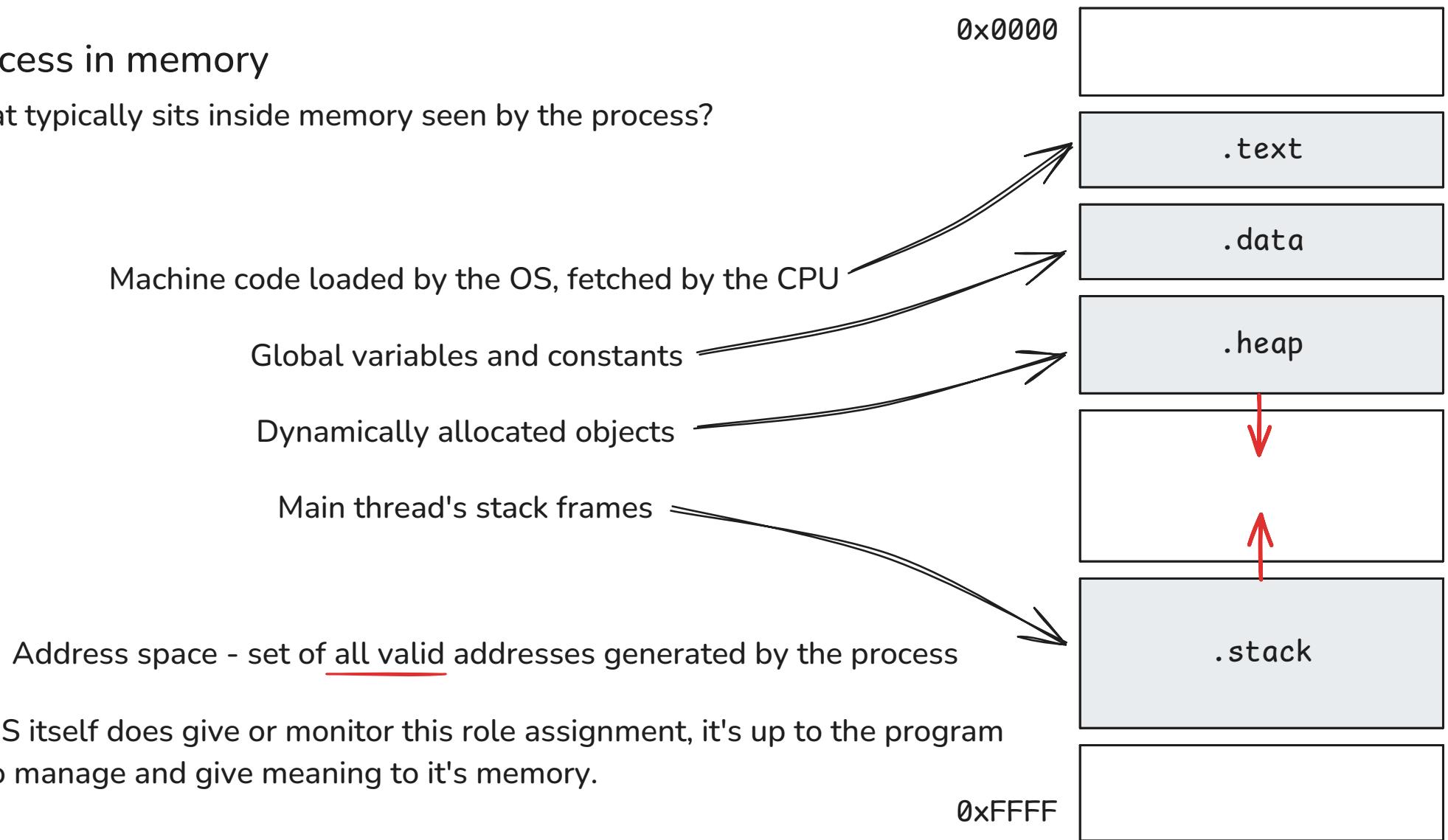
Process Control Block

An in-memory data structure held by the kernel for each process



Process in memory

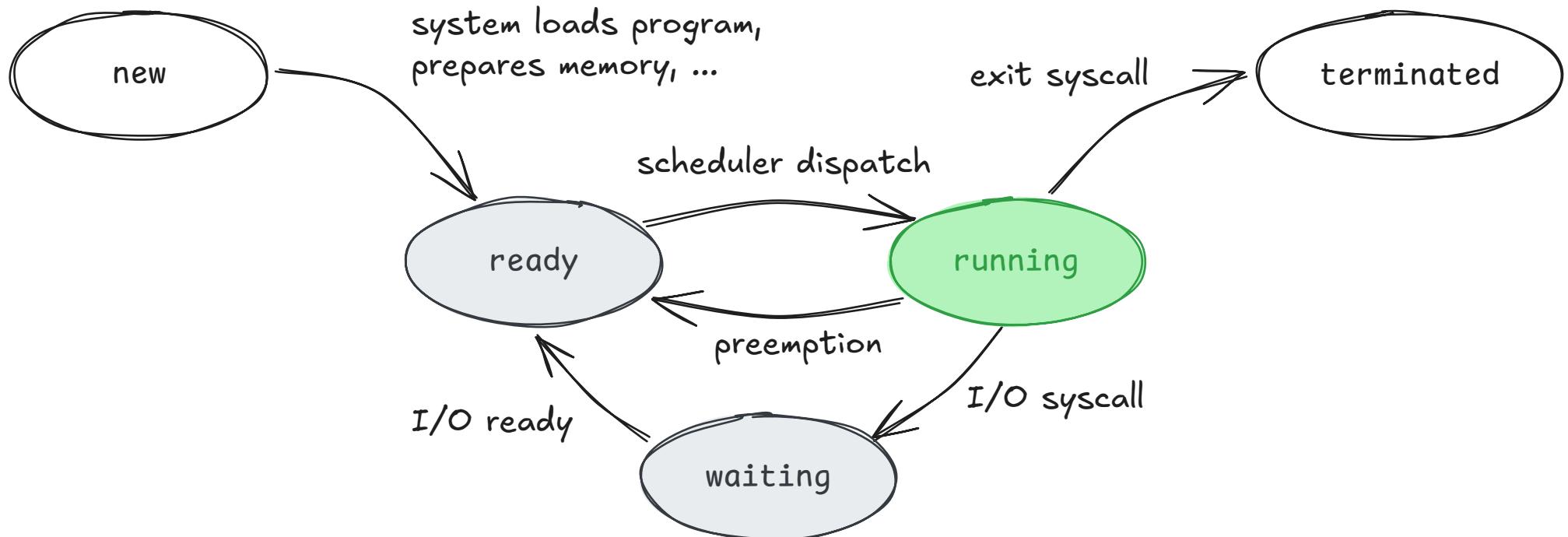
What typically sits inside memory seen by the process?



Process Lifetime

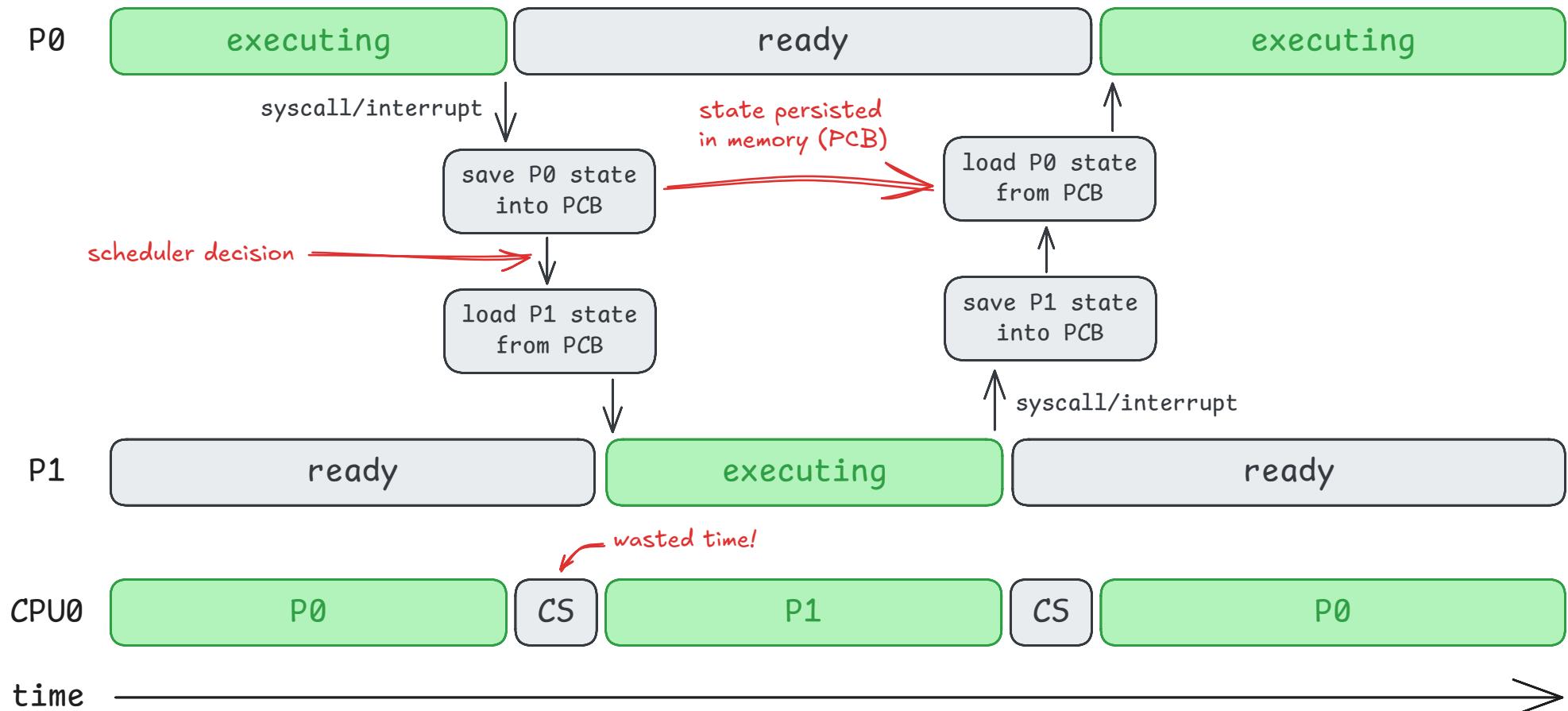
An in-memory data structure held by the kernel for each process

user creates
a new process via syscall



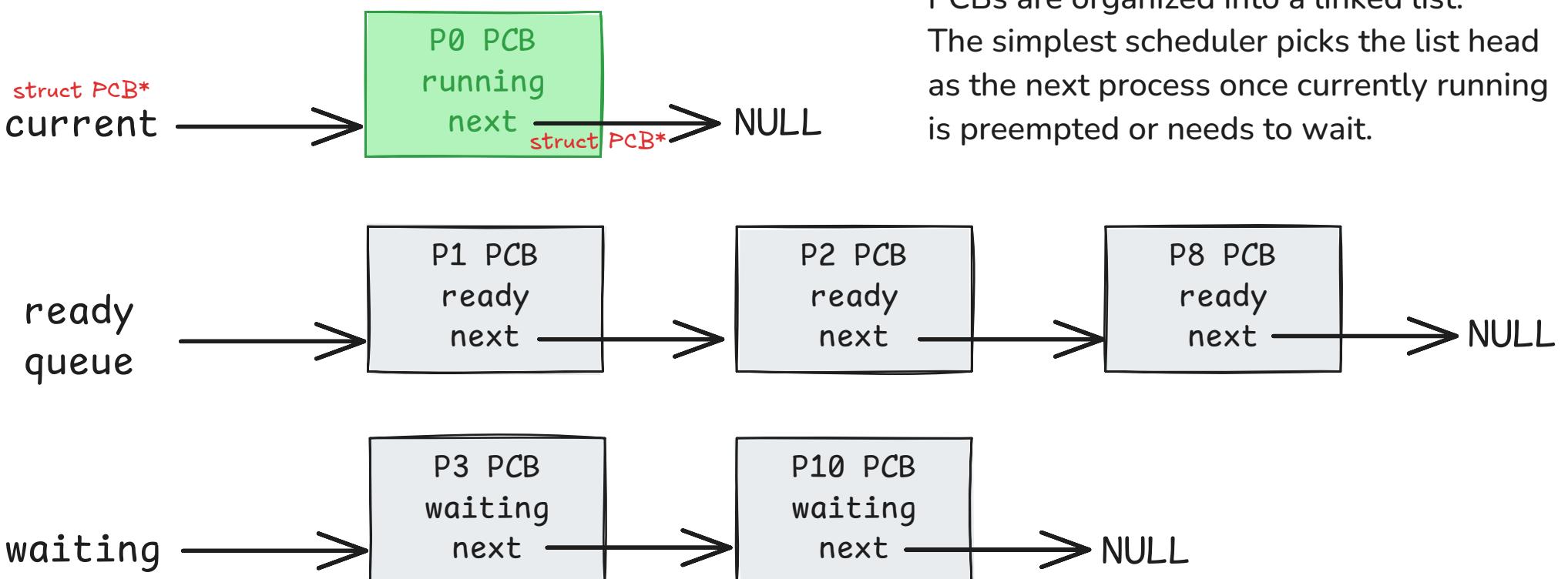
A context switch

What happens when CPU switches from one task to another



Meet the scheduler

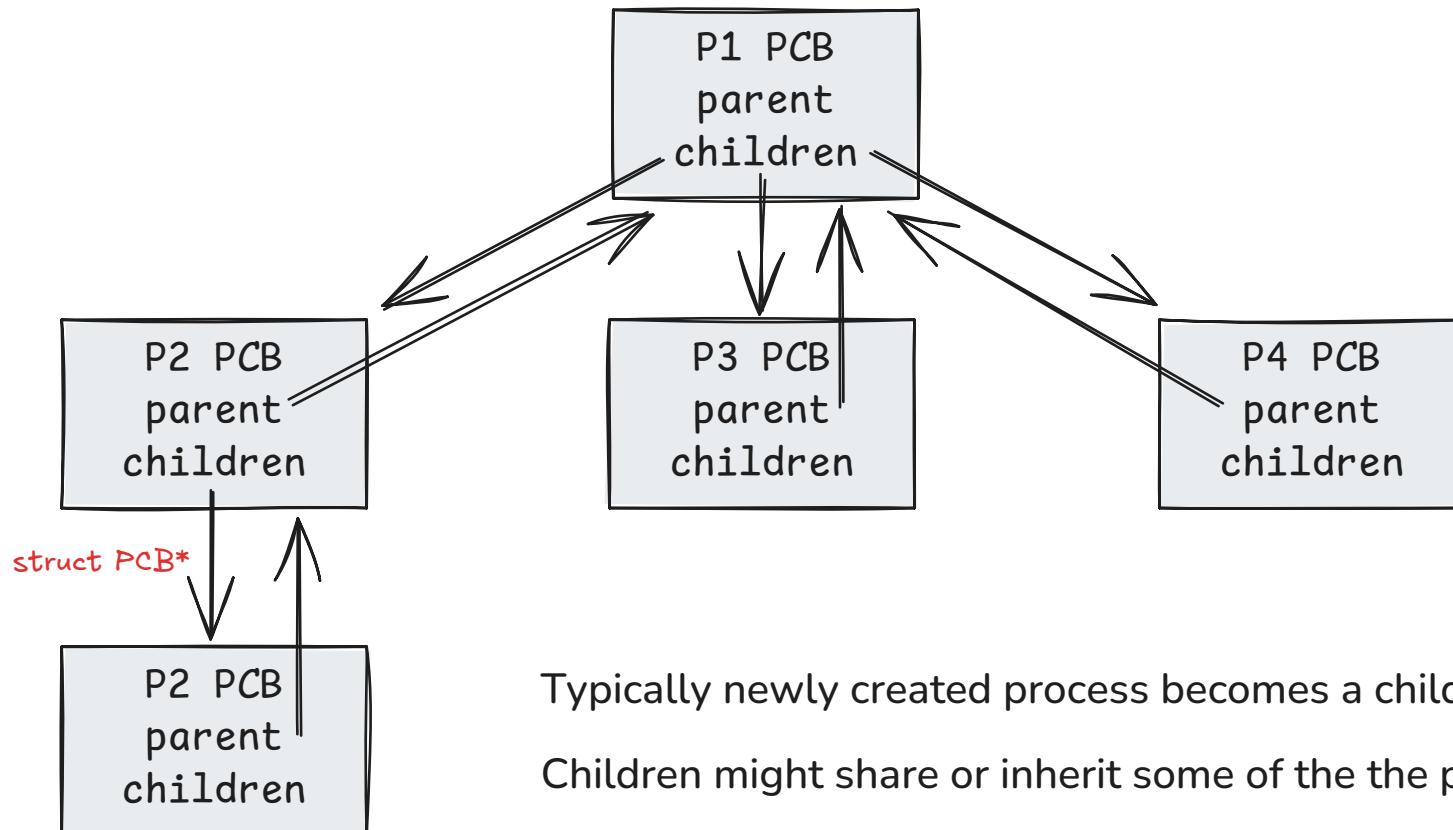
Kernel algorithm which selects the next process to be dispatched on a CPU



Process Hierarchy

> man 1 pstree

Commonly there exists parent-child relationship between processes



Typically newly created process becomes a child of its creator.

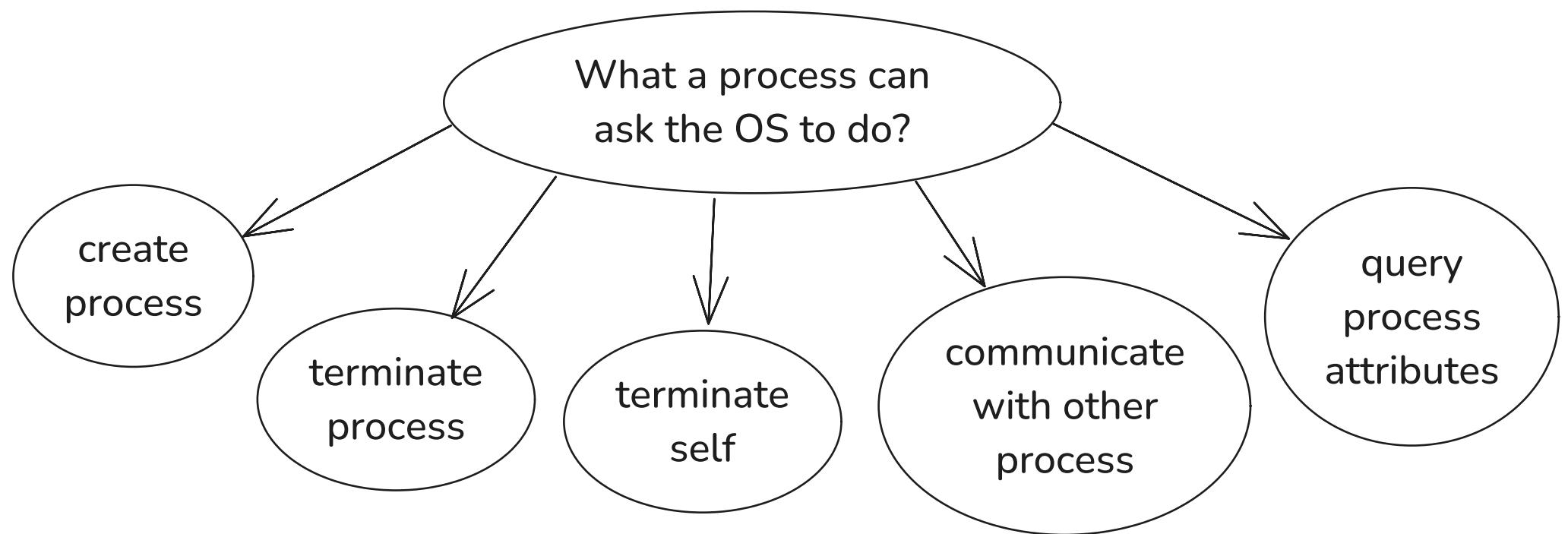
Children might share or inherit some of the parent's resources.

Process lifetime related syscalls

Process management interface provided by the OS

OS by itself is not interested in creating processes. It serves the user (applications).

User might want to run a process. The only way user can ask the OS to do something is through the syscall.



```
> man 3p fork
```

Process creation

The mighty fork() syscall

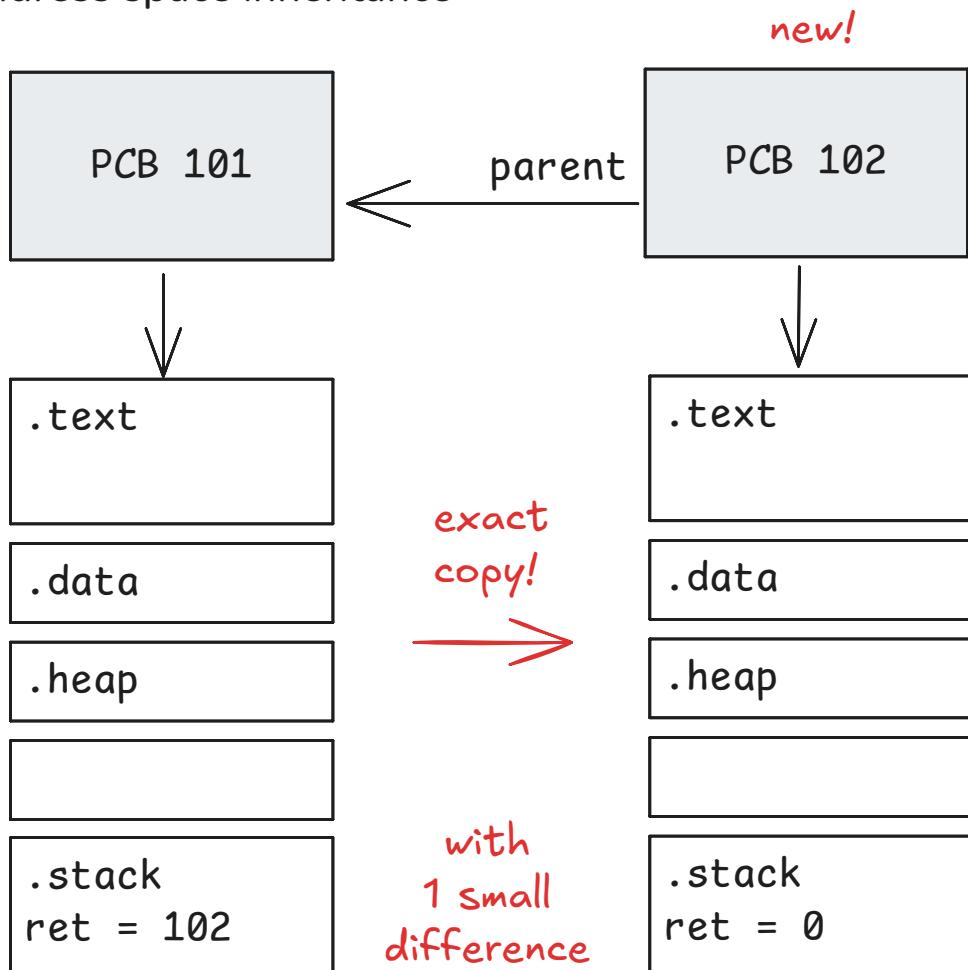


During fork() handling system allocates and initializes a new PCB along with necessary resources. This new PCB is then yield to the scheduler as a new ready queue element.

Note: In case of multicore system the new process could execute (nearly) immediately on a distinct CPU.

What does the child do?

Address space inheritance



Children inherit a copy of parent's address space.
They execute the same code, from the same point.
They have the same state of variables besides
fork return value!

parent stack	child stack
<code>ret = 102</code> <code>i = 10</code> <code>y = 3</code> <code>x = 2</code> <code>\$raddr = 0xCB03</code> <code>val = 0</code> <code>i = 100</code> <code>\$raddr = 0xCA44</code> ...	<code>ret = 0</code> <code>i = 10</code> <code>y = 3</code> <code>x = 2</code> <code>\$raddr = 0xCB03</code> <code>val = 0</code> <code>i = 100</code> <code>\$raddr = 0xCA44</code> ...

Process attribute inheritance

What beyond the memory is inherited (by default)?

INHERITED

address space contents

memory mappings

open file descriptors

environment variables

signal handlers

scheduling policy

process priority

...

NOT INHERITED

timers

awaiting signals

outstanding asynchronous operations

threads

file locks

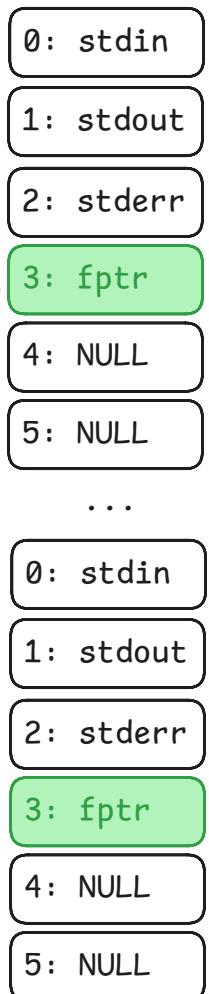
memory locks

...

File descriptor inheritance

Parent PID 101

```
int fd = open(...); // 3  
pid_t pid = fork(); // 102  
write(fd, ...);
```



Child inherits a copy of file descriptor table.
After fork, I/O operations are valid in both processes.
Open file description (mode, position) remain shared.
Child and parent may independently close their fd copies.

Child PID 102

```
int fd = open(...); // 3  
pid_t pid = fork(); // 0  
write(fd, ...);
```



mode: r
offset: 2
inode: 1045

74859: (regfile)
size, timestamps,
device, operations

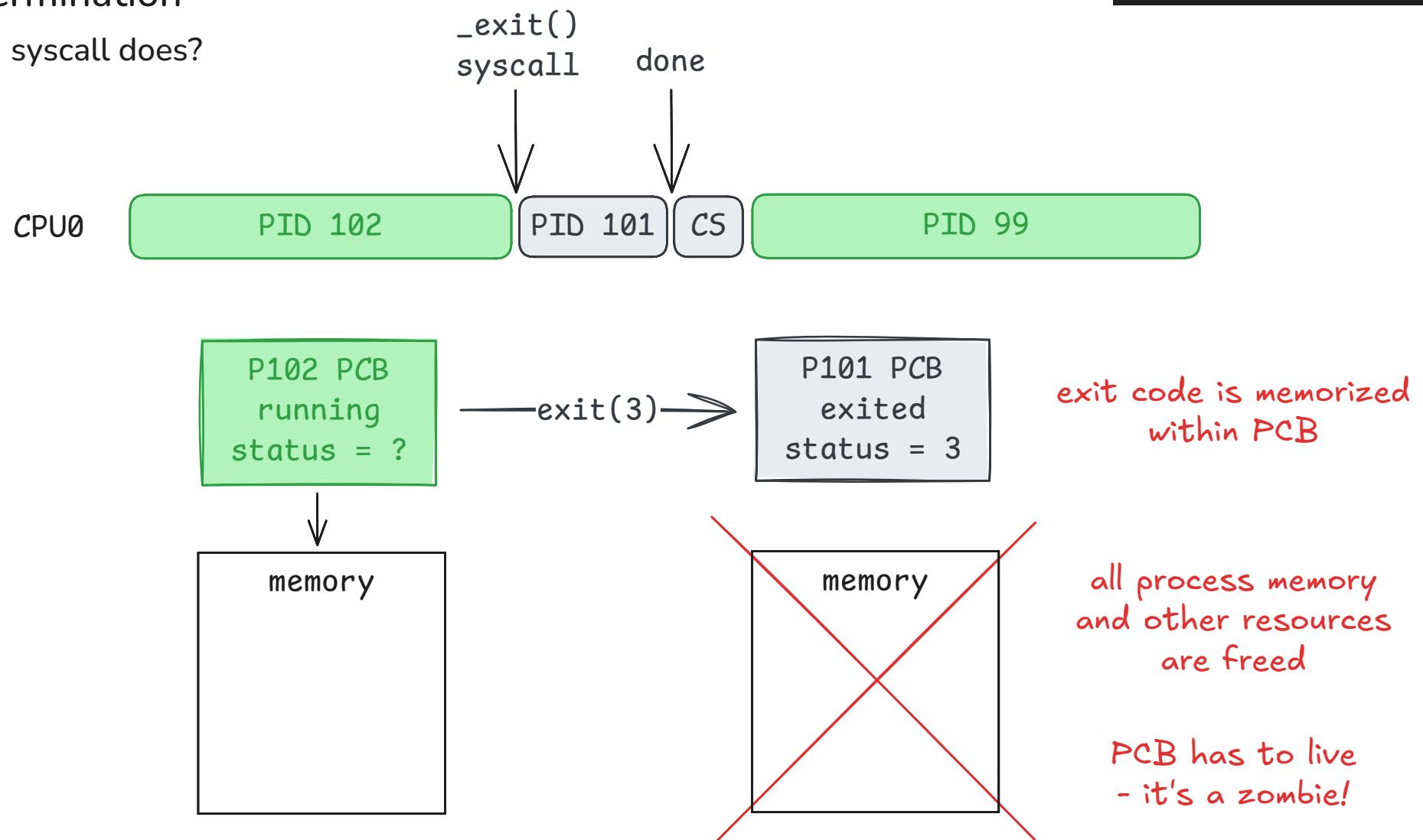
mode: r
offset: 2
inode: 74859

74859: (regfile)
size, timestamps,
device, operations

Process termination

What _exit() syscall does?

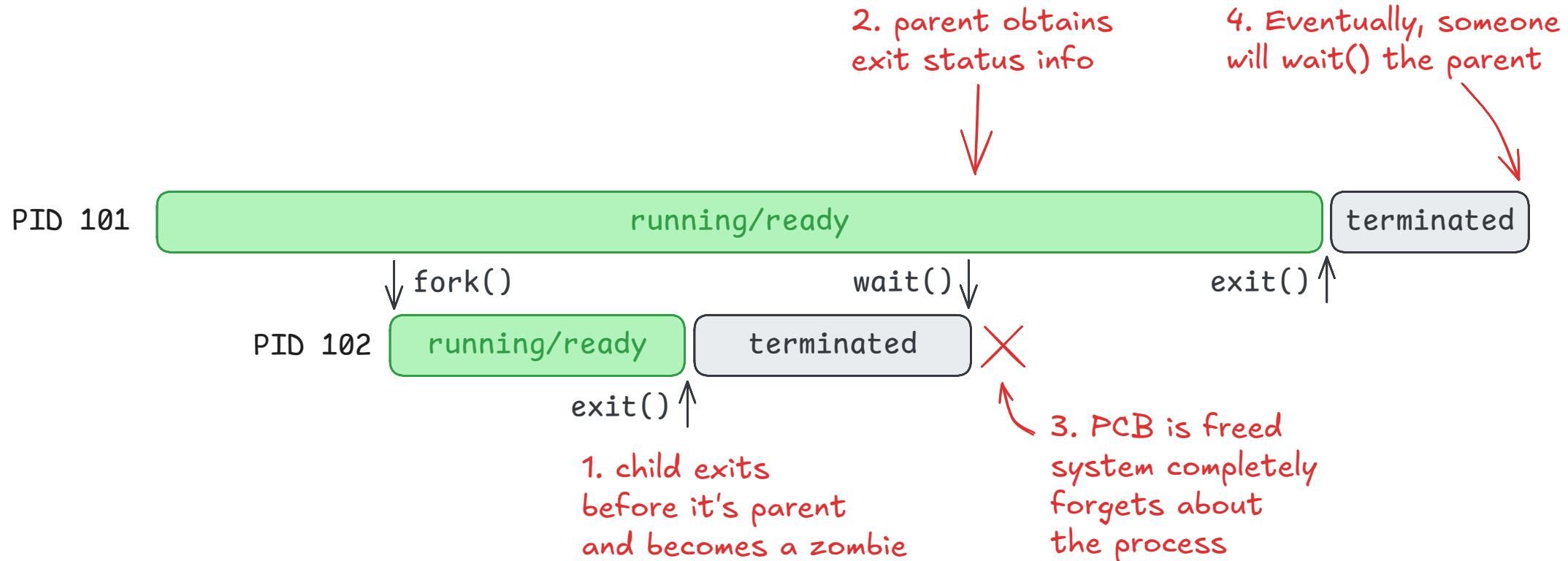
```
> man 3p exit
```



When this exited PCB will die?

```
> man 3p wait
```

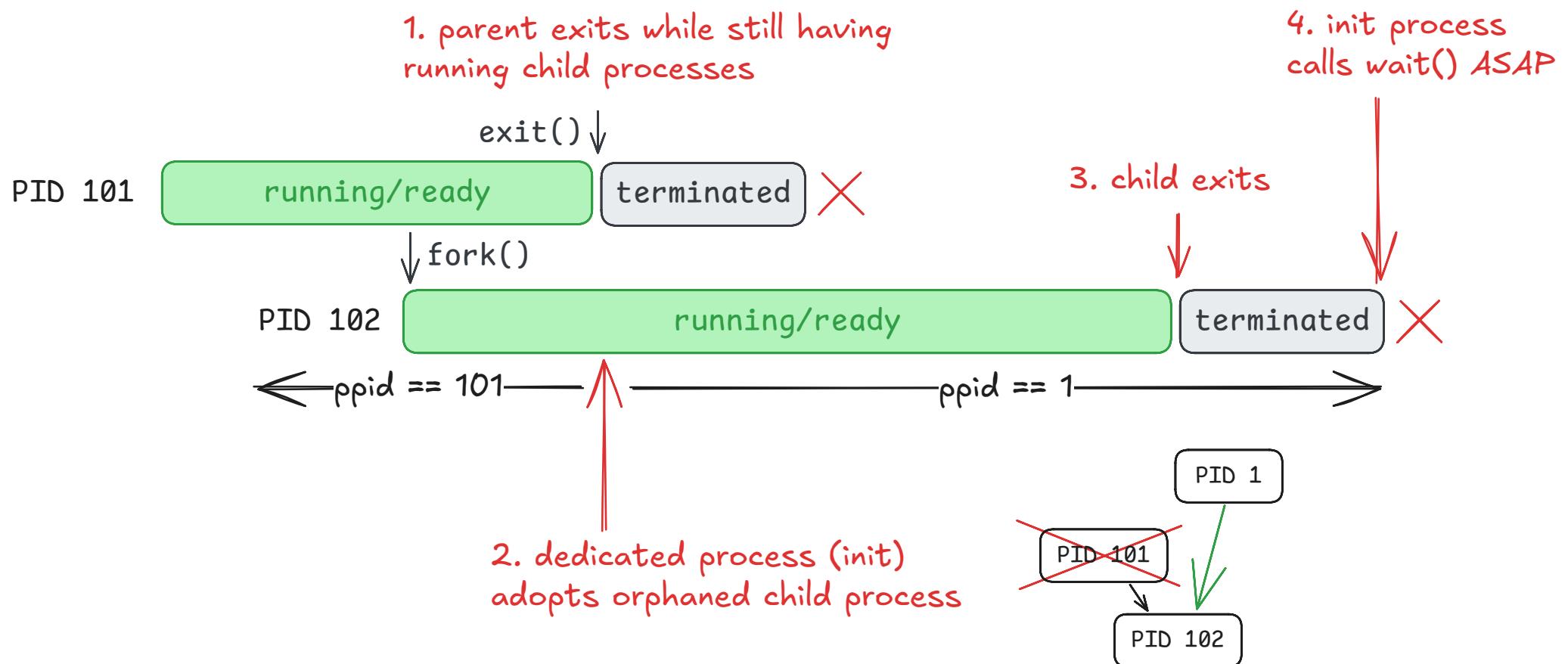
Parent must have a chance to consume the exit status



What if parent exits before the child without calling wait()?

Orphaned processes

If parent dies prematurely OS automatically reparents its children



Decoding exit status information

```
pid_t wait(int *stat_loc);  
pid_t waitpid(pid_t pid, int *stat_loc, int options);
```

blocks awaiting status of any child
controls who to wait for
may behave non-blocking with WNOHANG

What is returned via stat_loc? → termination reason (exited, signaled or stopped)
+ reason dependent info (exit code or lethal signal or stopping signal)

```
WIFEXITED(status) # True if child exited normally  
WIFSIGNALED(status) # True if child terminated due to signal  
WIFSTOPPED(status) # True if child has stopped
```

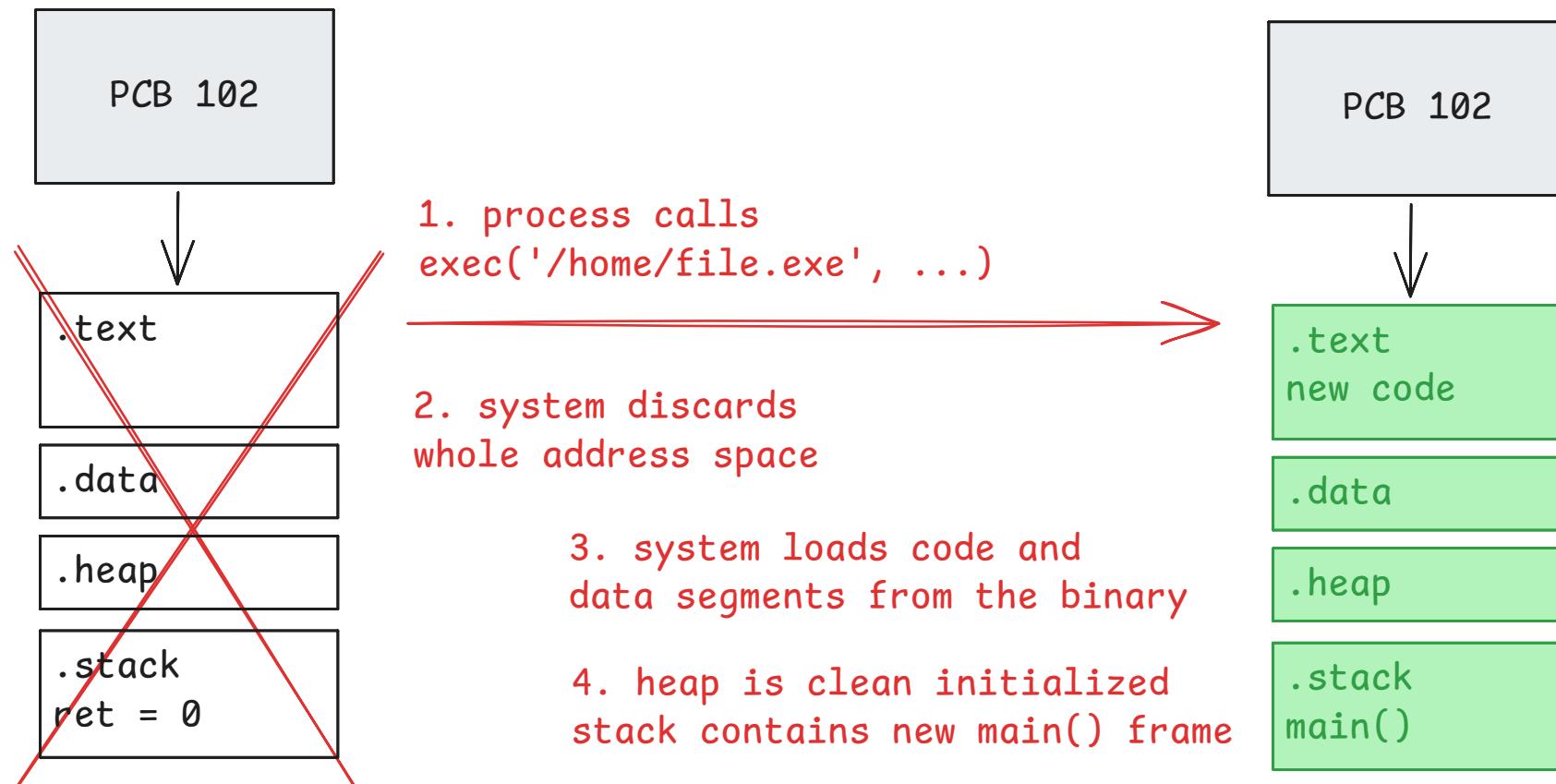
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Executing different code

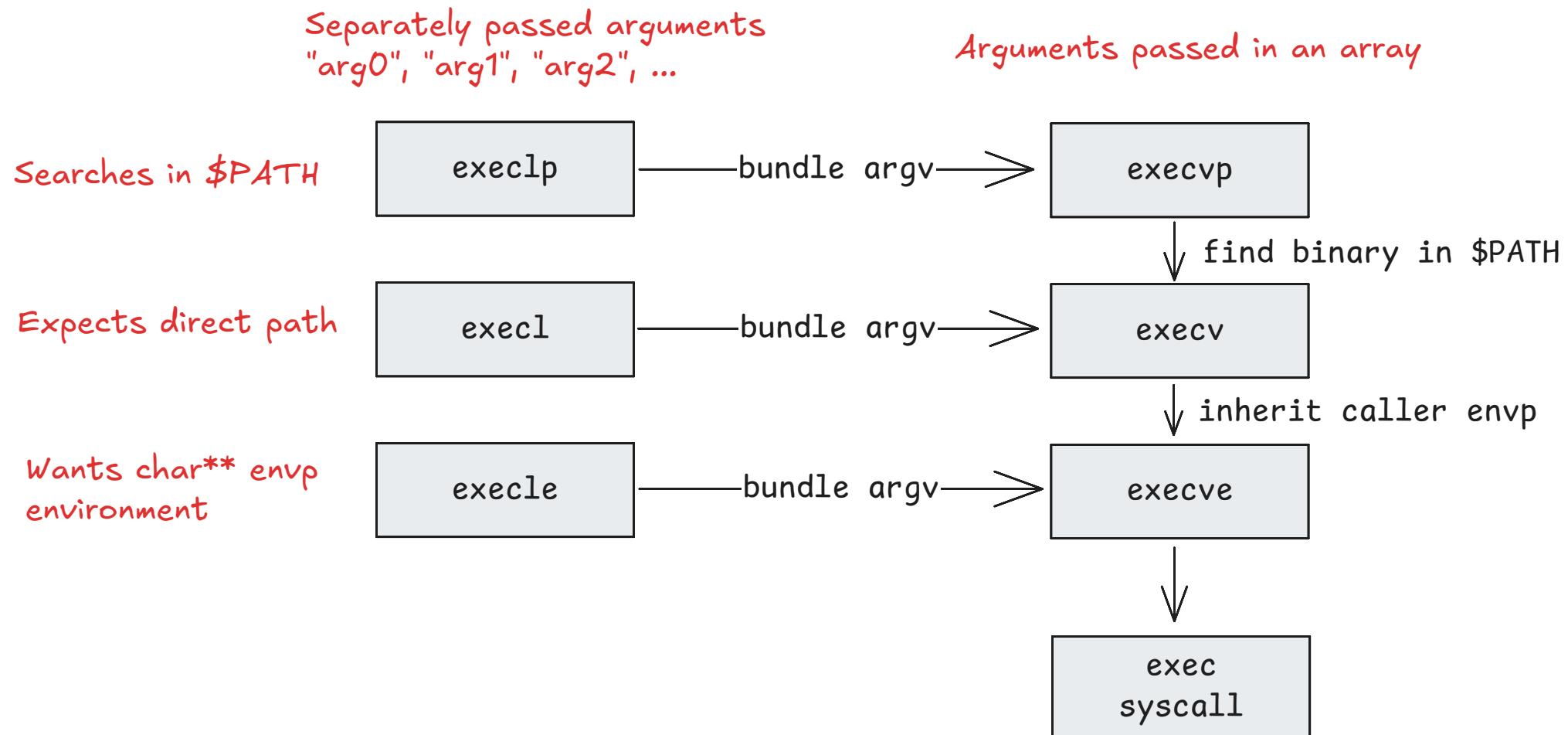
```
> man 3p exec
```

Meet the exec() syscall

With fork child has the same code as parent. exec() provides means of running a different binary.

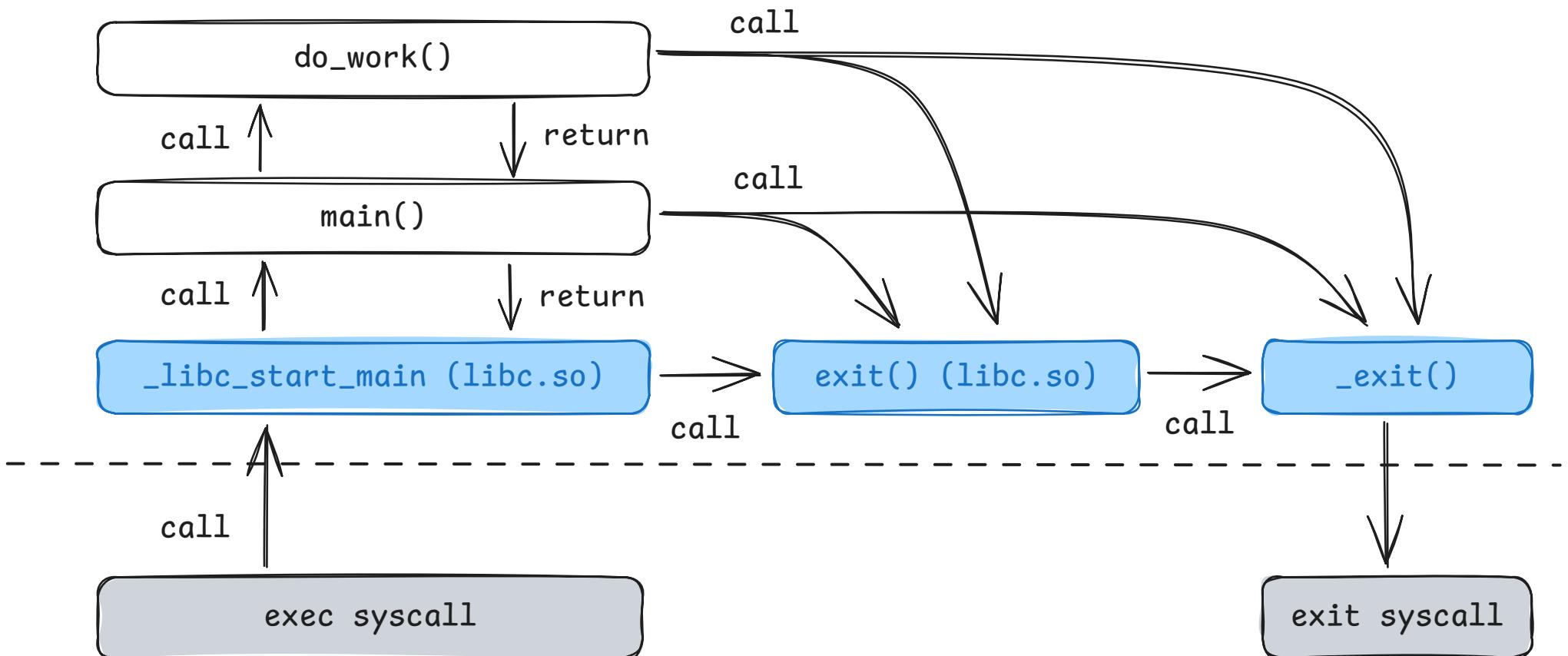


The exec() flavours



What happens after exit() call?

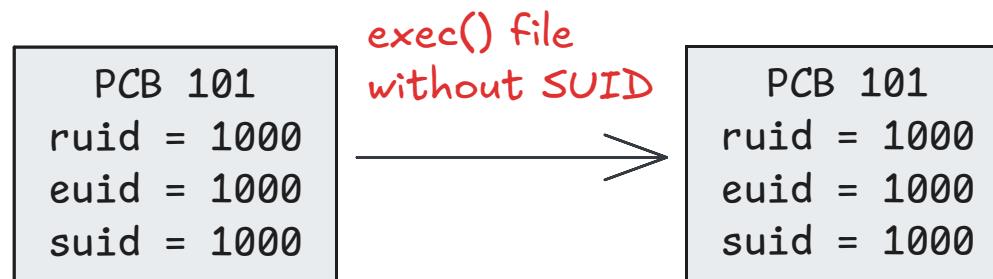
And before the main()?



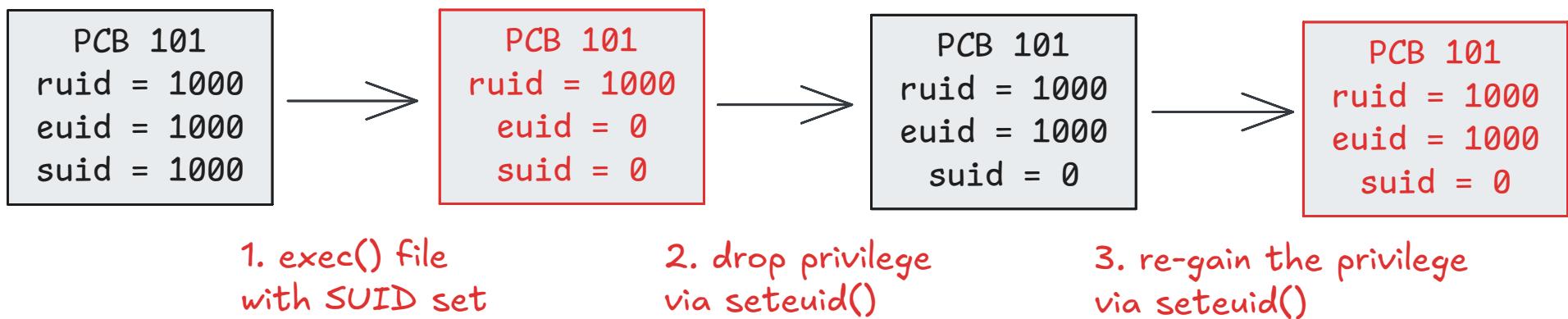
The process user ID

```
> man 3p seteuid
```

Normally during exec process user ID remains unchanged

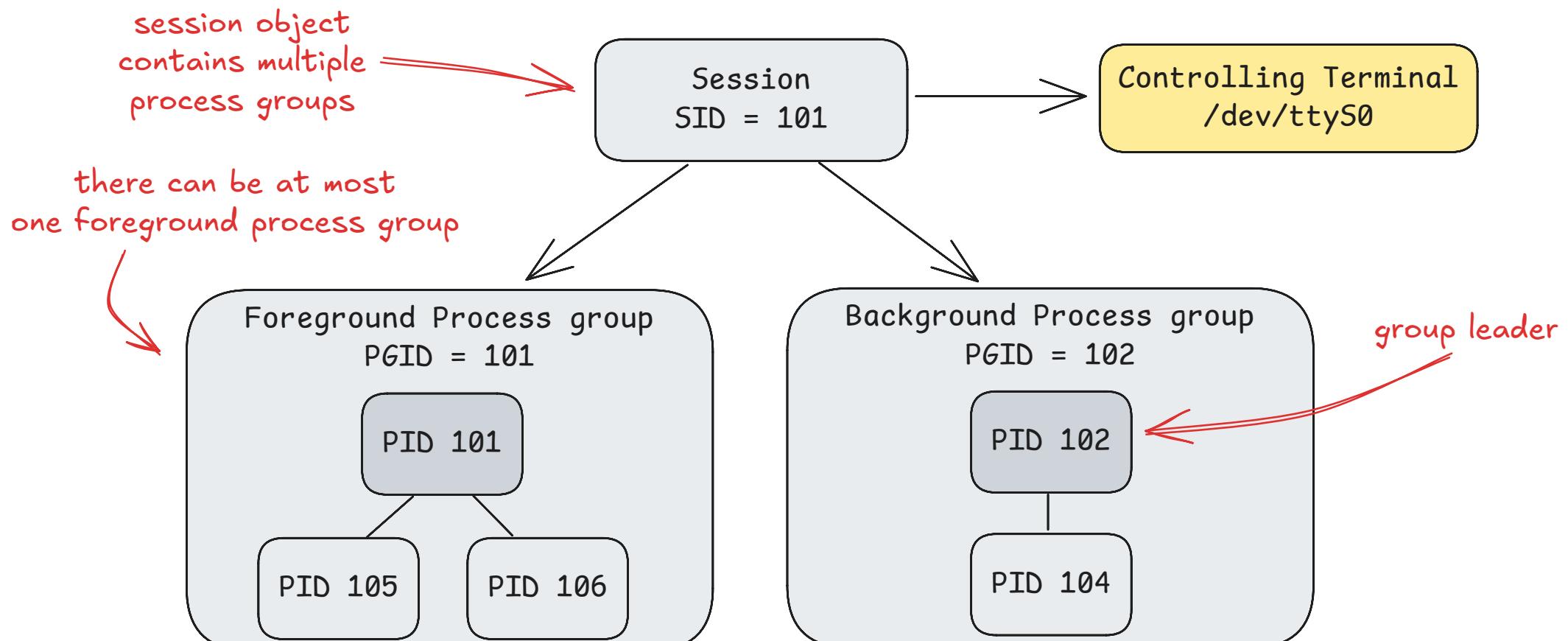


One can exec a program with SUID bit set in the mode filed of the inode to get privileges of the file owner



Process groups

```
> man 3p setpgid  
> man 3p setsid
```



After fork() child inherits process group ID and session ID - this can be changed later on.

Fork alternatives

Advanced tools for creating new processes

```
> man 2 vfork  
> man 2 clone
```

```
pid_t vfork(void);
```

Faster process creation for cases where child immediately exec()'s a different binary.
In contrast to fork() child process shares the address space (no copy overhead).
Parent is stopped until child calls exec() or _exit().

```
int clone(int (*fn)(void *), void *stack, int flags, void *arg, ...  
/* pid_t *parent_tid, void *tls, pid_t *child_tid */ );
```

Provides fine-grained control over what is shared and what is not via flags bitfield.

CLONE_PARENT - sharing PPID of the parent process

CLONE_FS - sharing file system root, current directory, umask

CLONE_FILES - sharing the file descriptor table

CLONE_SIGHAND - sharing signal table

CLONE_VM - sharing virtual memory

CLONE_VFORK - stops the parent process, until the child terminates