## The Little Book of Smiley Plots

A collection of ancient DNA patterns and their causes

The SPAAM Community

2025-01-05

## Table of contents

Preface Introduction		3	
		4	
	What are deamination patterns?	4	
	How to generate damage patterns	4	
	Genomics	4	
	Metagenomics	4	
I	Valid Smiley Plots	5	
1	Smiley Plot of Double Stranded DNA Libraries	6	
2	Smiley Plot of Single Stranded DNA Libraries	8	
11	Problematic Smiley Plots	9	
3	Smiley Plot of Insufficient Reads	10	
References		11	

### **Preface**

A key part of any ancient DNA project is to show that the DNA is exactly that - that the DNA is ancient, rather than from modern contamination.

A key authentication method is to show the presence of elevated C to T deamination patterns (and the complementary G to A) at the end of DNA molecules (Figure 1) - known as damage patterns - originally reported by (Briggs et al. 2007).

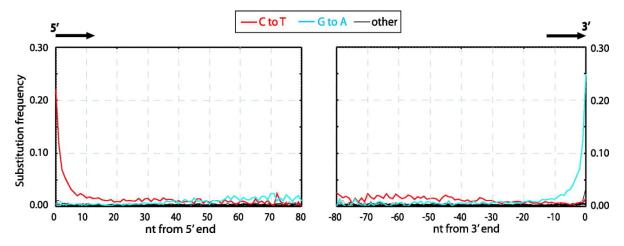


Figure 1: First reported damage pattern from Neanderthal DNA (Briggs et al. 2007)

These patterns can be plotted in what have been colloquially known as 'Smiley Plots. However, there can be a wide range of smiley plots, some which show valid ancient DNA, and others that do not - either due to not actually having true ancient DNA but also from laboratory and/or bioinformatic artifacts.

This book aims to act as a reference guide to interpreting ancient DNA damage plots, providing a wide range of example 'smiley plots', with descriptions of what the describe and what can cause them. As an added bit of fun, each type of 'smiley plot' comes with a artistic interpretation of the line shape contributed by members of the ancient DNA community.

### Introduction

### What are deamination patterns?

### How to generate damage patterns

There is a range of software that can generate damage pattern plots from ancient DNA NGS libraries. The vast majority of tools require to be of sequencing reads aligned to a reference genome. Here we make suggestions of some tools that you can use to generate such plots.

#### **Genomics**

These tools general take BAM files as input (i.e., after mapping of FASTQ files to a reference genome using a short-read aligner )

- mapDamage
  - Source: https://github.com/ginolhac/mapDamagee
  - Documentation: https://ginolhac.github.io/mapDamage
  - Citation: (Jónsson et al. 2013)
- DamageProfiler
  - Source: https://github.com/Integrative-Transcriptomics/DamageProfiler
  - Documentation: https://damageprofiler.readthedocs.io/en/latest/
  - Citation: (Neukamm, Peltzer, and Nieselt 2021)

### Metagenomics

- MaltExtract
  - Source: https://github.com/rhuebler/MaltExtract
  - Documentation: https://github.com/rhuebler/MaltExtract
  - Citation: (Hübler et al. 2019)

# Part I Valid Smiley Plots

# 1 Smiley Plot of Double Stranded DNA Libraries

Start PLACEHOLDER!

snah Kritzler, CC0, via Wikimedia Commons

#### End PLACEHOLDER!

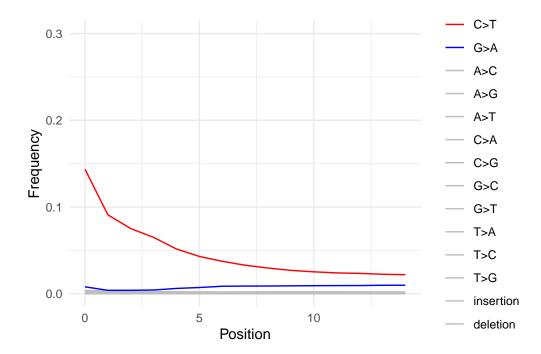


Figure 1.1: Example of a smiley plot of a double stranded DNA library. Data taken from (Star et al. 2017). Damage data calculated using DamageProfiler and plotted using R and ggplot2.

This is the 'classical' ancient DNA plot that you will see most often. You expect to see a smooth curve from the beginning of the read (position 1) to a flat line in the middle (e.g. positions 10-25 in mapDamage plots).

If you get such a plot in ancient DNA double-stranded libraries, this is a good indication you have authentic ancient DNA!

# 2 Smiley Plot of Single Stranded DNA Libraries

# Part II Problematic Smiley Plots

## 3 Smiley Plot of Insufficient Reads

### References

- Briggs, Adrian W, Udo Stenzel, Philip L F Johnson, Richard E Green, Janet Kelso, Kay Prüfer, Matthias Meyer, et al. 2007. "Patterns of Damage in Genomic DNA Sequences from a Neandertal." *Proceedings of the National Academy of Sciences of the United States of America* 104 (37): 14616–21. https://doi.org/10.1073/pnas.0704665104.
- Hübler, Ron, Felix M Key, Christina Warinner, Kirsten I Bos, Johannes Krause, and Alexander Herbig. 2019. "HOPS: Automated Detection and Authentication of Pathogen DNA in Archaeological Remains." *Genome Biology* 20 (1): 280. https://doi.org/10.1186/s13059-019-1903-0.
- Jónsson, Hákon, Aurélien Ginolhac, Mikkel Schubert, Philip L F Johnson, and Ludovic Orlando. 2013. "mapDamage2.0: Fast Approximate Bayesian Estimates of Ancient DNA Damage Parameters." *Bioinformatics* 29 (13): 1682–84. https://doi.org/10.1093/bioinformatics/btt193.
- Neukamm, Judith, Alexander Peltzer, and Kay Nieselt. 2021. "DamageProfiler: Fast Damage Pattern Calculation for Ancient DNA." *Bioinformatics* 37 (20): 3652–53. https://doi.org/10.1093/bioinformatics/btab190.
- Star, Bastiaan, Sanne Boessenkool, Agata T Gondek, Elena A Nikulina, Anne Karin Hufthammer, Christophe Pampoulie, Halvor Knutsen, et al. 2017. "Ancient DNA Reveals the Arctic Origin of Viking Age Cod from Haithabu, Germany." *Proceedings of the National Academy of Sciences of the United States of America* 114 (34): 9152–57. https://doi.org/10.1073/pnas.1710186114.