## **SPARC**

Simulation Package for Ab-initio Real-space Calculations

User guide

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Contributors
Citation
Acknowledgements

## Introduction

SPARC is an open-source software package for the accurate, efficient, and scalable solution of the Kohn-Sham density functional theory (DFT) problem. The main features of SPARC currently include

- Applicable to isolated systems such as molecules as well as extended systems such as crystals, surfaces, and wires.
- Local, semilocal, and nonlocal (including hybrid) exchange-correlation functionals.
- Standard ONCV pseudopotentials, including nonlinear core corrections.
- Calculation of ground state energy, atomic forces, and stress tensor.
- Structural relaxation and ab initio molecular dynamics (NVE, NVT, and NPT).
- Spin polarized and unpolarized calculations.
- Spin-orbit coupling.
- Dispersion interactions through DFT-D3, vdW-DF1, and vdW-DF2.
- Soft and transferable table of SPMS pseudopotentials.

## **Contributors**

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  - Xin Jing: Code infrastructure, Hybrid functionals, SOC
  - Abhiraj Sharma: Code infrastructure, k-points, PBE, Stress, Non-orthogonal, Relaxation, NLCC
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  - Benjamin Comer: Code testing, Initial testing framework
  - Sushree Jagriti Sahoo: Code testing

## Citation

If you publish work using/regarding SPARC, please cite some of the following articles, particularly those that are most relevant to your work:

- General: https://doi.org/10.1016/j.softx.2021.100709, https://doi.org/10.1016/j.cpc.2016.09.020, https://doi.org/10.1016/j.cpc.2017.02.019
- Non-orthogonal systems: https://doi.org/10.1016/j.cplett.2018.04.018
- Linear solvers: https://doi.org/10.1016/j.cpc.2018.07.007, https://doi.org/10.1016/j.jcp.2015.11.018
- Stress tensor/pressure: https://doi.org/10.1063/1.5057355
- Atomic forces: https://doi.org/10.1016/j.cpc.2016.09.020, https://doi.org/10.1016/j.cpc.2017.02.019

## Citation

- Mixing: https://doi.org/10.1016/j.cplett.2016.01.033, https://doi.org/10.1016/j.cplett.2015.06.029, https://doi.org/10.1016/j.cplett.2019.136983
- SPMS pseudopotentials:

```
https://doi.org/10.1016/j.cpc.2022.108594
```

# **Acknowledgments**

- U.S. Department of Energy (DOE), Office of Science (SC): DE-SC0019410
- U.S. Department of Energy (DOE), National Nuclear Security Administration (NNSA): Advanced Simulation and Computing (ASC) Program
  - Preliminary developments
    - U.S. National Science Foundation: 1553212, 1663244, and 1333500

# Installation - Option 1

Prerequisite: C compiler, MPI.

There are several options to compile SPARC, depending on the available external libraries.

- Option 1: Compile with BLAS and LAPACK.
  - Step 1: Install/Load OpenBLAS/BLAS and LAPACK.
  - Step 2: Go to src/ directory, there is an available makefile.
  - Step 3 (optional): Edit makefile. If the BLAS library path and LAPACK library path are not in the search path, edit the BLASROOT and LAPACKROOT variables, and add them to LDFLAGS. If you are using BLAS instead of OpenBLAS, replace all -lopenblas flags with -lblas.
  - Step 4 (optional): To turn on DEBUG mode, set DEBUG\_MODE to 1 in the makefile.
  - Step 5: Within the src/ directory, compile the code by \$ make clean; make

# Installation - Option 2

- Option 2 (default): Compile with MKL.
  - Step 1: Install/Load MKL.
  - Step 2: Go to src/ directory, there is an available makefile.
  - Step 3: Edit makefile. Set USE\_MKL to 1 to enable compilation with MKL. If the MKL library path is not in the search path, edit the MKLROOT variable to manually set the MKL path.
  - Step 4 (optional): For the projection/subspace rotation step, to use SPARC routines for matrix data distribution rather than ScaLAPACK (through MKL), set USE\_DP\_SUBEIG to 1. We found on some machines this option is faster.
  - Step 5 (optional): To turn on DEBUG mode, set DEBUG\_MODE to 1 in the makefile.
  - Step 6: Within the src/ directory, compile the code by \$ make clean; make

# Installation - Option 3

- Option 3: Compile with BLAS, LAPACK, and ScaLAPACK.
  - Step 1: Install/Load OpenBLAS/BLAS, LAPACK, and ScaLAPACK.
  - Step 2: Go to src/ directory, there is an available makefile.
  - Step 3: Edit makefile. Set USE\_SCALAPACK to 1 to enable compilation with ScaLAPACK. If the BLAS library path, LAPACK library path, and/or ScaLAPACK library path are not in the search path, edit the BLASROOT, LAPACKROOT, and/or SCALAPACKROOT variables accordingly, and add them to LDFLAGS. If you are using BLAS instead of OpenBLAS, replace all -lopenblas flags with -lblas.
  - Step 4 (optional): For the projection/subspace rotation step, to use SPARC routines for matrix data distribution rather than ScaLAPACK, set USE\_DP\_SUBEIG to 1. We found on some machines this option is faster.
  - Step 5 (optional): To turn on DEBUG mode, set DEBUG\_MODE to 1 in the makefile.
  - Step 6: Within the src/ directory, compile the code by \$ make clean; make

## Installation - lib

Once compilation is done, a binary named sparc will be created in the lib/ directory.

# Input files

The required input files to run a simulation with SPARC are

- ".inpt" file User options and parameters.
- ".ion" file Atomic information.

It is required that the ".inpt" and ".ion" files are located in the same directory and share the same name. A detailed description of the input options is provided in this document. Examples of input files can be found in the directory SPARC/tests.

In addition, SPARC requires pseudopotential files of psp8 format which can be generated by D. R. Hamann's open-source pseudopotential code ONCVPSP. A large number of accurate and efficient pseudopotentials are already provided within the package. For access to more pseudopotentials, the user is referred to the SG15 ONCV potentials. Using the ONCVPSP input files included in the SG15 ONCV potentials, one can easily convert the SG15 ONCV potentials from upf format to psp8 format. Paths to the pseudopotential files are specified in the ".ion" file.

## Execution

SPARC can be executed in parallel using the mpirun command. Sample PBS script files are available in "SPARC/tests" folder. It is required that the ".inpt" and ".ion" files are located in the same directory and share the same name. For example, to run a simulation with 8 processes with input files as "filename.inpt" and "filename.ion" in the root directory (SPARC/), use the following command:

\$ mpirun -np 8 ./lib/sparc -name filename

As an example, one can run one of the tests located in 'SPARC/tests/'. First go to 'SPARC/tests/Example\_tests/' directory:

\$ \$ cd tests/Example\_tests/

There are a few input files available. Run a DC silicon system by

## Execution

\$ mpirun -np 24 ../../lib/sparc -name Si8\_kpt

The result is printed to output file "Si8\_kpt.out", located in the same directory as the input files. If the file "Si8\_kpt.out" is already present, the result will be printed to "Si8\_kpt.out\_1" instead. The max number of ".out" files allowed with the same name is 100. Once this number is reached, the result will instead overwrite the "Si8\_kpt.out" file. One can compare the result with the reference out file named "Si8\_kpt.refout".

In the tests/ directory, we also provide a suite of tests which are arranged in a hierarchy of folders. Each test system has its own directory. A python script is also provided which launches the suite of test systems. To run a set of four quick tests locally on the CPU, simply run:

\$ python test.py quick\_run

## Execution

The result is stored in the corresponding directory of the tests. A message is also printed in the terminal showing if the tests passed or failed. The tests can also be launched in parallel on a cluster by using the Python script. Detailed information on using the python script can be found in the 'ReadMe' file in the 'tests/' directory.

# Output

Upon successful execution of the sparc code, depending on the calculations performed, some output files will be created in the same location as the input files.

#### Single point calculations

- ".out" file General information about the test, including input parameters, SCF convergence progress, ground state properties and timing information.
- ".static" file Atomic positions and atomic forces if the user chooses to print these information.

#### Structural relaxation calculations

• ".out" file - See above.

# Output

- ".geopt" file Atomic positions and atomic forces for atomic relaxation, cell lengths and stress tensor for volume relaxation, and atomic positions, atomic forces, cell dimensions, and stress tensor for full relaxation.
- ".restart" file Information necessary to perform a restarted structural relaxation calculation. Only created if atomic relaxation is performed.

## Quantum molecular dynamics (QMD) calculations

- ".out" file See above.
- ".aimd" file Atomic positions, atomic velocities, atomic forces, electronic temperature, ionic temperature and total energy for each QMD step.
- ".restart" file Information necessary to perform a restarted QMD calculation.

## System

```
CELL | LATVEC_SCALE | LATVEC | FD_GRID | MESH_SPACING | ECUT | BC |
FD_ORDER | EXCHANGE_CORRELATION | SPIN_TYP | KPOINT_GRID |
KPOINT_SHIFT | ELEC_TEMP_TYPE | ELEC_TEMP | SMEARING | NSTATES |
D3_FLAG | D3_RTHR | D3_CN_THR | EXX_RANGE_FOCK | EXX_RANGE_PBE |
ATOM_TYPE | PSEUDO_POT | N_TYPE_ATOM | COORD | COORD_FRAC | RELAX |
SPIN
```

#### SCF

```
CHEB_DEGREE | CHEFSI_BOUND_FLAG | RHO_TRIGGER | MAXIT_SCF |
MINIT_SCF | TOL_SCF | SCF_FORCE_ACC | SCF_ENERGY_ACC |
TOL_LANCZOS | MIXING_VARIABLE | MIXING_HISTORY |
MIXING_PARAMETER | MIXING_PARAMETER_SIMPLE
MIXING_PARAMETER_MAG | MIXING_PARAMETER_SIMPLE_MAG |
PULAY_FREQUENCY | PULAY_RESTART | MIXING_PRECOND |
MIXING_PRECOND_MAG | TOL_PRECOND | PRECOND_KERKER_KTF |
PRECOND_KERKER_THRESH | PRECOND_KERKER_KTF_MAG |
PRECOND_KERKER_THRESH_MAG | FIX_RAND | TOL_FOCK | MAXIT_FOCK |
MINIT_FOCK | TOL_SCF_INIT | ACE_FLAG | EXX_METHOD | EXX_MEM |
EXX_FRAC | EXX_ACE_VALENCE_STATES | EXX_DOWNSAMPLING |
EXX DIVERGENCE
```

#### Electrostatics

```
TOL_POISSON | MAXIT_POISSON | TOL_PSEUDOCHARGE | REFERENCE_CUTOFF
```

#### Stress calculation

CALC\_STRESS | CALC\_PRES

#### **QMD**

```
MD_FLAG | MD_METHOD | MD_NSTEP | MD_TIMESTEP | ION_TEMP | ION_TEMP_END | ION_VEL_DSTR | ION_VEL_DSTR_RAND | QMASS | NPT_NH_QMASS | NPT_NP_QMASS | NPT_NP_BMASS | NPT_SCALE_VECS | TARGET_PRESSURE | RESTART_FLAG | TWTIME
```

#### Structural relaxation

```
RELAX_FLAG | RELAX_METHOD | RELAX_NITER | TOL_RELAX |

TOL_RELAX_CELL | RELAX_MAXDILAT | NLCG_SIGMA | L_HISTORY |

L_FINIT_STP | L_MAXMOV | L_AUTOSCALE | L_LINEOPT | L_ICURV |

FIRE_DT | FIRE_MASS | FIRE_MAXMOV | RESTART_FLAG
```

## Print options

```
PRINT_ATOMS | PRINT_FORCES | PRINT_MDOUT | PRINT_RELAXOUT |
PRINT_RESTART | PRINT_RESTART_FQ | PRINT_VELS | OUTPUT_FILE |
PRINT_EIGEN | PRINT_DENSITY | PRINT_ORBITAL |
PRINT_ENERGY_DENSITY
```

## Parallelization options

```
NP_SPIN_PARAL | NP_KPOINT_PARAL | NP_BAND_PARAL |
NP_DOMAIN_PARAL | NP_DOMAIN_PHI_PARAL | EIG_SERIAL_MAXNS |
EIG_PARAL_BLKSZ | EIG_PARAL_ORFAC | EIG_PARAL_MAXNP
```

# System: .inpt file

#### **CELL**

Type Double Unit

Default

Bohr

None

Example

CELL: 10.20 11.21 7.58

# Description

A set of three whitespace delimited values specifying the cell lengths in the lattice vector (LATVEC) directions, respectively.

#### Remark

Note that CELL ignores the lengths of the lattice vectors specified in the .inpt file and only treats them as unit vectors. LATVEC\_SCALE and CELL cannot be specified simultaneously.

## LATVEC\_SCALE

Type
Double

Default
None

Unit
Bohr

Example
LATVEC\_SCALE: 10.20 11.21 7.58

## Description

A set of three whitespace delimited values specifying the scaling factors in the lattice vectors (LATVEC), respectively.

## LATVEC\_SCALE

#### Remark

The difference between LATVEC\_SCALE and CELL is that CELL treats the lattice vectors as unit vectors, whereas LATVEC\_SCALE scales the lattice vectors directly as specified by the user. LATVEC\_SCALE and CELL cannot be specified simultaneously.

#### LATVEC

## Type

Double array

#### Default

1.0 0.0 0.0

0.0 1.0 0.0

0.0 0.0 1.0

#### Unit

No unit

# Example

LATVEC:

0.5 0.5 0.0

0.0 0.5 0.5

0.5 0.0 0.5

# Description

A set of three vectors in row major order specifying the lattice vectors of the simulation domain (CELL).

## FD\_GRID

Type

Integer

Default

None

Unit

No unit

Example

FD\_GRID: 26 26 30

# Description

A set of three whitespace delimited values specifying the number of finite-difference intervals in the lattice vector (LATVEC) directions, respectively.

## FD\_GRID

#### Remark

The convergence of results with respect to spatial discretization needs to be verified. ECUT, MESH\_SPACING, FD\_GRID cannot be specified simultaneously.

## MESH\_SPACING

Type

Double

Unit

Bohr

Default

None

Example

MESH\_SPACING: 0.4

# Description

Mesh spacing of the finite-difference grid.

#### Remark

The exact mesh-size will be determined by the size of the domain. MESH\_SPACING, FD\_GRID, ECUT cannot be specified simultaneously.

#### **ECUT**

Type
Double

Unit
Ha

Default
None

Example
ECUT: 30

# Description

Equivalent plane-wave energy cutoff, based on which MESH\_SPACING will be automatically calculated.

#### Remark

This is not exact, but rather an estimate. ECUT, MESH\_SPACING, FD\_GRID cannot be specified simultaneously.

Type
Character

Default
No unit

Example
BC: P D D

# Description

A set of three whitespace delimited characters specifying the boundary conditions in the lattice vector directions, respectively. P represents periodic boundary conditions and D represents Dirichlet boundary conditions.

## FD\_ORDER

Type Integer

Unit

No unit

Default

Example

FD\_ORDER: 12

# Description

12

Order of the finite-difference approximation.

#### Remark

Restricted to even integers since central finite-differences are employed. The default value of 12 has been found to be an efficient choice for most systems.

# EXCHANGE\_CORRELATION

Type
String

Unit
No unit

Default
No Default
Example
EXCHANGE\_CORRELATION: LDA\_PW

# Description

Choice of exchange-correlation functional. Options are LDA\_PW (Perdew-Wang LDA), LDA\_PZ (Purdew-Zunger LDA), GGA\_PBE (PBE GGA), GGA\_RPBE (revised PBE GGA), and GGA\_PBEsol (PBE GGA revised for solids), PBEO, HF (Hartree-Fock), HSE, vdWDF1 (van der Waals Density Functional developed by Dion et al.), vdWDF2 (vdW Density Functional modified by Lee et al), SCAN (SCAN metaGGA), RSCAN (rSCAN metaGGA), and R2SCAN (r2SCAN metaGGA).

## EXCHANGE\_CORRELATION

#### Remark

For spin-polarized calculation (SPIN\_TYP = 1), LDA\_PZ is not available. Currently SCAN, RSCAN and R2SCAN does not support nonlinear core correction pseudopotential.

## SPIN\_TYP

Type

Integer

Unit

No unit

Default

U

Example

SPIN\_TYP: 1

# Description

 ${\tt SPIN\_TYP:\ 0\ performs\ spin\ unpolarized\ calculation}.$ 

SPIN\_TYP: 1 performs unconstrained collinear spin-polarized calculation.

#### Remark

SPIN\_TYP can only take values 0 and 1.

## KPOINT\_GRID

Type

Integer array

Default

1 1 1

Unit

No unit

Example

KPOINT\_GRID: 2 3 4

# Description

Number of k-points in each direction of the Monkhorst-Pack grid for Brillouin zone integration.

#### Remark

Time-reversal symmetry is assumed to hold.

## KPOINT\_SHIFT

## Type

Double array

#### Default

- 0.0 for odd k-point mesh
- 0.5 for even k-point mesh

#### Unit

No unit

## Example

KPOINT\_SHIFT: 0.5 0.5 0.5

## Description

Shift of k-points with respect to k-point grid containing  $\Gamma$ -point.

#### Remark

The shift is in reduced coordinates.

## ELEC\_TEMP\_TYPE

Type

String

Default

gaussian

Unit

No unit

Example

ELEC\_TEMP\_TYPE: fd

## Description

Function used for the smearing (electronic temperature). Options are: fermi-dirac (or fd), gaussian.

#### Remark

Use ELEC\_TEMP or SMEARING to set smearing value.

## ELEC\_TEMP

Type

Double

Default

2320.904 for gaussian 1160.452 for fermi-dirac Unit

Kelvin

Example

ELEC\_TEMP: 315.775

## Description

Electronic temperature.

#### Remark

This is equivalent to setting SMEARING (0.001 Ha = 315.775 Kelvin).

#### SMEARING

Type

Double

Default

0.007350 for gaussian

0.003675 for fermi-dirac

Unit

На

Example

SMEARING: 0.001

## Description

Value of smearing.

#### Remark

Equivalent to setting ELEC\_TEMP (0.001 Ha = 315.775 Kelvin).

#### **NSTATES**

Type

Integer

Default

 $N_e/2 \times 1.2 + 5$ 

Unit

No unit

Example

NSTATES: 24

## Description

The number of Kohn-Sham states/orbitals.

#### Remark

This number should not be smaller than half of the total number of valence electrons ( $N_e$ ) in the system. Note that the number of additional states required increases with increasing values of ELEC\_TEMP/SMEARING.

### D3\_FLAG

Type 0 or 1

Unit

No unit

Default

0

Example

D3\_FLAG: 1

## Description

Flag for adding Grimme's DFT-D3 correction on the result

#### Remark

Only active when using GGA-PBE, GGA-RPBE and GGA-PBEsol.

## D3\_RTHR

Type
Double
Default
Unit
Bohr²
Example

## Description

1600

Square of cut-off radius for calculating DFT-D3 correction between two atoms

D3\_RTHR: 9000

#### Remark

Only applicable when DFT-D3 correction D3\_FLAG is used. D3\_RTHR should be larger or equal to cutoff radius of CN coefficient, D3\_CN\_THR.

## D3\_CN\_THR

Type

Double

Unit

Bohr<sup>2</sup>

Default

625

Example

D3\_CN\_THR: 1600

## Description

Square of cut-off radius for calculating CN value of every atom and DFT-D3 correction between three atoms

#### Remark

Only applicable when DFT-D3 correction  $D3\_FLAG$  is used.

D3\_CN\_THR should be smaller or equal to cutoff radius of DFT-D3 correction cutoff radius, D3\_RTHR.

## EXX\_RANGE\_FOCK

Type
Double
Unit
1/Bohr

Default Example
0.1587 EXX\_RANGE\_FOCK: 0.106

# Description

Short range screen parameter of hartree-fock operator in HSE functional.

#### Remark

Default is using VASP's HSE03 value. Different code has different parameters. Be careful with the results.

## EXX\_RANGE\_PBE

Type

Double

Unit

1/Bohr

Default 0.1587

Example EXX RANG

EXX\_RANGE\_PBE: 0.106

Description

Short range screen parameter of PBE in HSE functional.

#### Remark

Default is using VASP's HSE03 value. Different code has different parameters. Be careful with the results.

# System: .ion file

## ATOM\_TYPE

Type

String

Unit

No unit

Default

None

Example

ATOM\_TYPE: Fe

# Description

Atomic type symbol.

#### Remark

The atomic type symbol can be attached with a number, e.g., Fe1 and Fe2. This feature is useful if one needs to provide two different pseudopotential files (PSEUDO\_POT) for the same element.

## PSEUDO\_POT

Type
String

Unit
No unit

Default
None

Example
PSEUDO\_POT: ../psp/Fe.psp8

## Description

Path to the pseudopotential file.

#### Remark

The default directory for the pseudopotential files is the same as the input files. For example, if a pseudopotential Fe.psp8 is put in the same directory as the input files, one can simply specify PSEUDO\_POT: Fe.psp8.

## N\_TYPE\_ATOM

Type
Integer

Default
None

No unit

Example
N\_TYPE\_ATOM: 2

## Description

The number of atoms of a ATOM\_TYPE specified immediately before this variable.

#### Remark

For a system with different types of atoms, one has to specify the number of atoms for every type.

#### COORD

Туре	Unit
Double	Bohr
Default	Example
None	COORD:
	0.0 0.0 0.0
	2.5 2.5 2.5

## Description

The Cartesian coordinates of atoms of a ATOM\_TYPE specified before this variable. If the coordinates are outside the fundamental domain (see CELL and LATVEC) in the periodic directions (see BC), it will be automatically mapped back to the domain.

#### COORD

#### Remark

For a system with different types of atoms, one has to specify the coordinates for every ATOM\_TYPE. One can also specify the coordinates of the atoms using COORD\_FRAC.

## COORD\_FRAC

Туре	Unit
Double	None
Default	Example
None	COORD_FRAC:
	0.5 0.5 0.0
	0.0 0.5 0.5

## Description

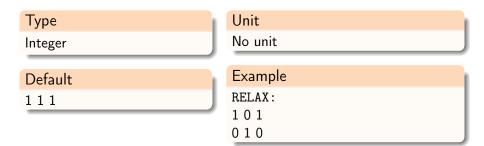
The fractional coordinates of atoms of a ATOM\_TYPE specified before this variable. COORD\_FRAC $(i,j) \times \text{CELL}(j)$ , (j=1,2,3) gives the coordinate of the  $i^{th}$  atom along the  $j^{th}$  LATVEC direction. If the coordinates are outside the fundamental domain (see CELL and LATVEC) in the periodic directions (see BC), it will be automatically mapped back to the domain.

## COORD\_FRAC

#### Remark

For a system with different types of atoms, one has to specify the coordinates for every ATOM\_TYPE. One can also specify the coordinates of the atoms using COORD.

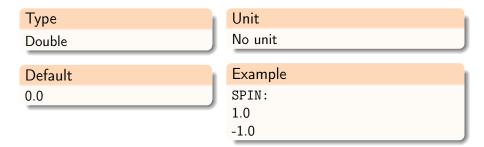
#### RELAX



# Description

Atomic coordinate with the corresponding RELAX value 0 is held fixed during relaxation/QMD.

#### SPIN



# Description

Specifies the net initial spin on each atom for a spin-polarized calculation.

# SCF

## CHEB\_DEGREE

Type

Integer

Default

Automatically set.

Unit

No unit

Example

CHEB\_DEGEE: 25

# Description

Degree of polynomial used for Chebyshev filtering.

#### Remark

For larger mesh-sizes, smaller values of CHEB\_DEGREE are generally more efficient, and vice-versa.

## CHEFSI\_BOUND\_FLAG

Type
Integer

Default
0

Unit
No unit

Example
CHEFSI\_BOUND\_FLAG: 1

## Description

Flag to recalculate the bounds for Chebyshev filtering. If set to 0, then only for the very first SCF will the upper bound be evaluated based on the maximum eigenvalue using Lanczos algorithm, and the upper bound will be the same for the rest steps. If set to 1, the upper bound will be reevaluated for every SCF.

## RHO\_TRIGGER

Default

Type Integer

Unit

No unit

Example

RHO\_TRIGGER: 5

# Description

The number of times Chebyshev filtering is repeated before updating the electron density in the very first SCF iteration.

#### Remark

Values smaller than the default value of 4 can result in a significant increase in the number of SCF iterations. Larger values can sometimes reduce the number of SCF iterations.

## MAXIT\_SCF

Type

Integer

Unit

No unit

Default

100

Example

MAXIT\_SCF: 50

# Description

Maximum number of SCF iterations.

#### Remark

Larger values than the default of 100 may be required for highly inhomogeneous systems, particularly when small values of SMEARING/ELEC\_TEMP are chosen.

## MINIT\_SCF

Type
Integer

Default

MAXIT\_SCF: 5

# Description

Minimum number of SCF iterations.

## TOL\_SCF

Type
Double

Default
see description

Unit
No unit

Example
TOL\_SCF: 1e-5

# Description

In case of single point calculation, TOL\_SCF is set for  $10^{-5}$  Ha/atom energy accuracy. In case of MD, TOL\_SCF is set for  $10^{-3}$  Ha/Bohr force accuracy. In case of relaxation, TOL\_SCF is set for TOL\_RELAX/5 Ha/Bohr force accuracy.

The tolerance on the normalized residual of the effective potential or the electron density for convergence of the SCF iteration.

## TOL\_SCF

#### Remark

Only one of TOL\_SCF, SCF\_ENERGY\_ACC, or SCF\_FORCE\_ACC can be specified.

## SCF\_FORCE\_ACC

Type

Double

Unit

Ha/Bohr

Default

None

Example

SCF\_FORCE\_ACC: 1e-4

# Description

The tolerance on the atomic forces for convergence of the SCF iteration.

#### Remark

Only one of SCF\_FORCE\_ACC, TOL\_SCF or SCF\_ENERGY\_ACC can be specified.

## SCF\_ENERGY\_ACC

Type

Double

Unit

Ha/atom

Default

None

Example

SCF\_ENERGY\_ACC: 1e-5

# Description

The tolerance on the free energy for the convergence of the SCF iteration.

#### Remark

Only one of SCF\_ENERGY\_ACC, SCF\_FORCE\_ACC, or TOL\_SCF can be specified.

## TOL\_LANCZOS

Type

Double

Unit

No unit

Default

1e-2

Example

TOL\_LANCZOS: 1e-3

## Description

The tolerance within the Lanczos algorithm for calculating the extremal eigenvalues of the Hamiltonian, required as part of the CheFSI method.

#### Remark

Typically, the Lanczos tolerance does not need to be very strict.

## MIXING\_VARIABLE

Type
String

Default
density

MIXING\_VARIABLE:
potential

## Description

This specifies whether potential or density mixing is performed in the SCF iteration. Available options are: potential and density.

## MIXING\_HISTORY

Type Intege

Integer

Default 7 Unit

No unit

Example

MIXING\_HISTORY: 40

# Description

The mixing history used in Pulay mixing.

#### Remark

Too small values of MIXING\_HISTORY can result in poor SCF convergence.

## MIXING\_PARAMETER

Type

Double

Default 0.3

Unit

No unit

Example

MIXING\_PARAMETER: 0.1

# Description

The value of the relaxation parameter used in Pulay/simple mixing.

#### Remark

Values larger than the default value of 0.3 can be used for insulating systems, whereas smaller values are generally required for metallic systems, particularly at small values of SMEARING or ELEC\_TEMP.

## MIXING\_PARAMETER\_SIMPLE

Type

Double

Default

Automatically set to the same as MIXING\_PARAMETER

Unit

No unit

Example

MIXING\_PARAMETER\_SIMPLE: 0.1

## Description

The value of the relaxation parameter used in the simple mixing step in the periodic Pulay scheme.

## MIXING\_PARAMETER\_MAG

Type

Double

Default

Automatically set to the same as MIXING\_PARAMETER.

Unit

No unit

Example

MIXING\_PARAMETER\_MAG: 4.0

## Description

The mixing parameter for the magnetization density in Pulay mixing for spin-polarized calculations.

## MIXING\_PARAMETER\_MAG

#### Remark

For spin-polarized calculations, when SCF has difficulty to converge, increasing the mixing parameter to magnetization density might help. For example, setting it to 4.0, while turning off the preconditioner applied to the magnetization density (by setting MIXING\_PRECOND\_MAG to 'none') is a good choice.

## MIXING\_PARAMETER\_SIMPLE\_MAG

Type

Double

No unit

Unit

#### Default

Automatically set to the same as MIXING\_PARAMETER\_MAG

## Example

MIXING\_PARAMETER\_SIMPLE\_MAG: 4.0

# Description

The value of the relaxation parameter for the magnetization density used in the simple mixing step in the periodic Pulay scheme for spin-polarized calculations.

## PULAY\_FREQUENCY

Type
Integer

Default
1

Unit
No unit

Example
PULAY\_FREQUENCY: 4

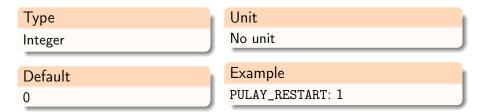
## Description

The frequency of Pulay mixing in Periodic Pulay.

#### Remark

The default value of 1 corresponds to Pulay mixing.

#### PULAY\_RESTART



## Description

The flag for restarting the 'Periodic Pulay' mixing. If set to 0, the restarted Pulay method is turned off.

#### MIXING\_PRECOND

Type

String

Default

kerker

Unit

No unit

Example

MIXING\_PRECOND: none

# Description

This specifies the preconditioner used in the SCF iteration. Available options are: none, kerker.

#### MIXING\_PRECOND\_MAG

Type
String

Unit
No unit

Default
none

Example
MIXING\_PRECOND\_MAG: kerker

## Description

This specifies the preconditioner used for the magnetization density in the SCF iteration for spin-polarized calculations. Available options are: none, kerker.

## TOL\_PRECOND

Type

Double

Unit

No unit

Default

 $h^2 \times 0.001$ 

Example

TOL\_PRECOND: 1e-4

# Description

The tolerance on the relative residual for the linear systems arising during the real-space preconditioning of the SCF.

#### Remark

The linear systems do not need to be solved very accurately. h is the mesh spacing.

#### PRECOND\_KERKER\_KTF

Type
Double

Default
1.0

Unit
Bohr<sup>-1</sup>

Example
PRECOND\_KERKER\_KTF: 0.8

# Description

The Thomas-Fermi screening length appearing in the kerker preconditioner (MIXING\_PRECOND).

#### PRECOND\_KERKER\_THRESH

Type
Double

Default
0.1

Unit
No unit

Example
PRECOND\_KERKER\_THRESH: 0.0

#### Description

The threshold for the kerker preconditioner (MIXING\_PRECOND).

#### Remark

This threshold will be scaled by the MIXING\_PARAMETER. If the threshold is set to 0, the original kerker preconditioner is recovered.

#### PRECOND\_KERKER\_KTF\_MAG

Type
Double

Default

1.0

Unit
Bohr<sup>-1</sup>

Example
PRECOND\_KERKER\_KTF\_MAG: 0.8

## Description

The Thomas-Fermi screening length appearing in the kerker preconditioner for the magnetization density (MIXING\_PRECOND\_MAG).

#### PRECOND\_KERKER\_THRESH\_MAG

Type
Double

Default
0.1

Unit
No unit

Example
PRECOND\_KERKER\_THRESH\_MAG: 0.0

#### Description

The threshold for the kerker preconditioner the magnetization density (MIXING\_PRECOND\_MAG).

#### Remark

This threshold will be scaled by the MIXING\_PARAMETER\_MAG. If the threshold is set to 0, the original kerker preconditioner is recovered.

## FIX\_RAND

Туре	Unit
Integer	No unit
Default	Example
0	FIX_RAND: 1

## Description

Flag to fix the random seeds for setting initial guesses. Once set to 1, the random seeds will be fixed for different runs and for different numbers of processors. This option will make sure the answers will be exactly the same (up to machine precision) when SPARC is executed with different numbers of processors.

## TOL\_FOCK

Type

Double

Unit

No unit

Default

 $0.2*TOL\_SCF$ 

Example

TOL\_FOCK: 1e-6

## Description

The tolerance on the Hartree-Fock outer loop, measured by the exact exchange energy difference per atom in 2 consecutive outer loops.

#### Remark

Only active when using hybrid functionals, like PBE0 and HSE.

#### MAXIT\_FOCK

Type Integer

Default 20 Unit

No unit

Example

MAXIT\_FOCK: 50

## Description

The maximum number of iterations for Hartree-Fock outer loop.

#### Remark

Only active when using hybrid functionals, like PBE0 and HSE.

#### MINIT\_FOCK

Type Integer

Unit

No unit

Example

MINIT\_FOCK: 3

Description

The minimum number of iterations for Hartree-Fock outer loop.

#### Remark

Only active when using hybrid functionals, like PBE0 and HSE.

#### TOL\_SCF\_INIT

Type

Double

Unit

No unit

Default

 $max(TOL\_FOCK \times 10, 0.001)$ 

Example

TOL\_SCF\_INIT: 1e-6

## Description

The initial SCF tolerance for PBE iteration when using hybrid functionals.

#### Remark

Only active when using hybrid functionals, like PBE0 and HSE. Change the TOL\_SCF\_INIT to change the initial guess for Hartree Fock outer loop.

## ACE\_FLAG

Type Integer Unit

No unit

Default

1

Example

ACE\_FLAG: 0

# Description

Use ACE operator to accelarte the hybrid calculation.

#### Remark

Without ACE operator, the hybrid calculation will be way slower than with it on depending on the system size.

#### EXX\_METHOD

Type

String

Default

FOURIER\_SPACE

Unit

No unit

Example

EXX\_METHOD: REAL\_SPACE

# Description

Methods to solve Poisson's equation in Exact Exchange part. Options include using FFT to solve it in Fourier space and using linear solver, like CG, to solve in Real space.

#### EXX\_METHOD

#### Remark

Only active when using hybrid functionals for molecule simulation, like PBE0 and HSE. FOURIER\_SPACE method is much faster than REAL\_SPACE method.

#### EXX\_MEM

Туре	Unit
Integer	No unit
Default	Example
20	EXX_MEM: 0

## Description

Number of Poisson's equations to be solved in each process at a time when creating exact exchange operator or ACE operator. Typically, when EXX\_MEM is larger than 20, the speed of code is barely affected. When it is 0, all Poisson's equations are solved together and it hits the fastest speed but largest memory requirement.

## EXX\_FRAC

Type

Double

Unit

No unit

Default

0.25 for PBE0 and HSE

Example

EXX\_FRAC: 0.3

## Description

Fraction of exact exchange in hybrid functional, e.g. PBE0 and HSE, while the fraction of PBE is  $1\text{-}EXX\_FRAC$ 

## EXX\_ACE\_VALENCE\_STATES

Type

Integer

Unit

No unit

Default

3

Example

 ${\tt EXX\_ACE\_VALENCE\_STATES:~1}$ 

# Description

Control of number of unoccupied states used to construct ACE operator.

#### Remark

Only active when using hybrid functionals with ACE operator.

#### EXX\_DOWNSAMPLING

Type
Integer

Default

Unit

No unit

Example

EXX\_DOWNSAMPLING: 1 2 3

# Description

111

Down-sampling of k-points grids. There should be 3 nonnegative integers. 0 means using 0 k-point in that direction, requiring 0 is one of the k-point after time-reversal symmetry in that direction. Positive value should be a factor of the number of grid points in that direction.

#### EXX\_DIVERGENCE

Type

String

Unit

No unit

Default

**SPHERICAL** 

Example

EXX\_DIVERGENCE: AUXILIARY

## Description

Treatment of divergence in exact exchange. Options are SPHERICAL (spherical truncation), AUXILIARY (auxiliary function method) and ERFC (erfc screening).

## EXX\_DIVERGENCE

#### Remark

For systems with cube-like geometry, both methods converge fast. For slab and wire, auxiliary function method is a better option. ERFC screening is the default option for HSE in bulk and molecule simulation.

# **Electrostatics**

## TOL\_POISSON

Type

Integer

No unit

\_

Unit

Default

 $TOL\_SCF \times 0.01$ 

Example

TOL\_POISSON: 1e-6

# Description

The tolerance on the norm of the relative residual for the Poisson equation.

#### Remark

The tolerance for poisson solver should not be worse than TOL\_SCF, otherwise it might seriously affect the convergence of the SCF iteration.

## MAXIT\_POISSON

Type Integer

Default 3000 Unit

No unit

Example

MAXIT\_POISSON: 1000

# Description

The maximum number of iterations for solving the Poisson equation using an iterative linear solver.

#### TOL\_PSEUDOCHARGE

Type

Double

Unit

No unit

Default

TOL\_SCF×0.001

Example

TOL\_PSEUDOCHARGE: 1e-6

## Description

The error in the net enclosed charge for the pseudocharge density of each atom.

#### REFERENCE\_CUTOFF

Type

Double

Unit

Bohr

Default

0.5

Example

REFERENCE\_CUTOFF: 1.0

# Description

The cutoff radius of the reference potential used for evaluating the electrostatic correction arising from overlapping pseudocharge densities.

#### Remark

This number should be smaller than half the smallest interatomic distance.

# Stress calculation

## CALC\_STRESS

Type Integer

Unit

No unit

Example

CALC\_STRESS: 1

Default

## Description

Flag for calculation of the Hellmann-Feynman stress tensor (in cartesian coordinates).

#### CALC\_PRES

Туре

Integer

Default

U

Unit

No unit

Example

CALC\_PRES: 1

# Description

Flag for calculation of the pressure.

#### Remark

Pressure is directly calculated, without calculation of the stress tensor.

# QMD

#### MD\_FLAG

Type Integer

Unit

No unit

Default

0

Example

MD\_FLAG: 1

## Description

QMD simulations are performed if the flag is set to 1.

#### Remark

MD\_FLAG and RELAX\_FLAG both cannot be set to a value greater than 0.

## MD\_METHOD

Type

String

Default

 $NVT_NH$ 

Unit

No unit

Example

MD\_METHOD: NVE

# Description

Type of QMD to be performed. Currently, NVE (microcanonical ensemble), NVT\_NH (canonical ensemble with Nose-Hoover thermostat), NVK\_G (isokinetic ensemble with Gaussian thermostat), NPT\_NH (isothermal-isobaric ensemble with Nose-Hoover thermostat) and NPT\_NP (isothermal-isobaric ensemble with Nose-Poincare thermostat) are supported

## MD\_NSTEP

Type

Integer

Unit

No unit

Default

1e7

Example

MD\_NSTEP: 100

# Description

Specifies the number of QMD steps.

#### Remark

If MD\_NSTEP = N, the QMD runs from 0 to (N - 1) $\times$  MD\_TIMESTEP fs.

## MD\_TIMESTEP

Type

Double

Unit

Femtosecond

Default

1

Example

MD\_TIMESTEP: 0.1

## Description

QMD time step.

#### Remark

Total QMD time is given by:  $MD\_TIMESTEP \times (MD\_NSTEP - 1)$ .

#### ION\_TEMP

Type

Double

Unit

Kelvin

Default

No Default

Example

ION\_TEMP: 315

# Description

Starting ionic temperature in QMD, used to generate initial velocity distribution.

#### Remark

Must be specified if MD\_FLAG is set to 1. It is also the target temperature in MD\_METHOD NPT\_NH and NPT\_NP.

## ION\_TEMP\_END

Type

Double

Unit

Kelvin

Default

ION\_TEMP

Example

ION\_TEMP\_END: 100

# Description

Specifies the final temperature of the thermostat. Thermostat temperature is varied linearly from ION\_TEMP to ION\_TEMP\_END with respect to time.

#### Remark

Available for NVT\_NH quantum molecular dynamics only. Not supported in NPT\_NH and NPT\_NP.

#### ION\_VEL\_DSTR

Type

Integer

Unit

No unit

Default

2

Example

ION\_VEL\_DSTR: 1

## Description

Specifies the type of distribution for the initial velocity of atoms based on their initial temperature. Choose 1 for uniform velocity distribution and 2 for Maxwell-Boltzmann distribution.

#### Remark

Currently, the code supports only two options for the variable.

## ION\_VEL\_DSTR\_RAND

Type
Integer

Default
0

Unit
No unit

Example
ION\_VEL\_DSTR\_RAND: 1

## Description

Flag to reseed the initial velocities of atoms in a QMD simulation. Set this flag to 1 to shuffle (change the random seed for) the initial velocities for different runs. Set this flag to 0 to maintain the same initial velocities.

#### Remark

This option is convenient for parallel statistics calculations.

# **QMASS**

Type

Double

Unit

atomic unit

Default

1653.654933459720

Example

QMASS: 100000

# Description

Gives the inertia factor for Nose Hoover thermostat.

#### Remark

Applicable to NVT\_NH MD\_METHOD only.

## NPT\_NH\_QMASS

## Type

1st number int; others double

#### Default

No default value

#### Unit

atomic unit

## Example

NPT\_NH\_QMASS: 2 700.0 700.0

## Description

Gives the amount (first number) and inertia masses (others) of thermostats in NPT\_NH. The maximum amount of thermostat variables of the Nose-Hoover chain is 60

#### Remark

Applicable to NPT\_NH MD\_METHOD only. Program will exit if NPT\_NH is selected but NPT\_NH QMASS is not input

## NPT\_NH\_BMASS

Type

Double

Unit

atomic unit

Default

No default value

Example

NPT\_NH\_BMASS: 5000

#### Description

Gives the inertia mass for the barostat variable in NPT\_NH.

#### Remark

Applicable to NPT\_NH  $\underline{\text{MD\_METHOD}}$  only. Program will exit if NPT\_NH is selected but NPT\_NH\_BMASS is not input

## NPT\_NP\_QMASS

Type

Double

Unit

atomic unit

Default

No default value

Example

NPT\_NP\_QMASS: 100

# Description

Gives the inertia mass for the thermostat variable in NPT\_NP.

#### Remark

Applicable to NPT\_NP  ${\tt MD\_METHOD}$  only. Program will exit if NPT\_NP is selected but NPT\_NP\_BMASS is not input

## NPT\_NP\_BMASS

Type

Double

Unit

atomic unit

Default

No default value

Example

NPT\_NP\_BMASS: 20

#### Description

Gives the inertia mass for the barostat variable in NPT\_NP.

#### Remark

Applicable to NPT\_NP  ${\tt MD\_METHOD}$  only. Program will exit if NPT\_NP is selected but NPT\_NP\_BMASS is not input

## NPT\_SCALE\_VECS

Type

Permutation of 1, 2, 3

Default

1 2 3

Unit

No unit

Example

NPT\_SCALE\_VECS: 2

# Description

Specify which lattice vectors can be rescaled in NPT-NH

#### Remark

Only numbers 1, 2 and 3 can be accepted. For example, if " 2 3" is the input, the cell will only expand or shrink in the directions of lattice vector 2 and lattice vector 3. Only NPT-NH can specify the rescaled vector

## TARGET\_PRESSURE

Type

Double

Unit

GPa

Default

0.0

Example

TARGET\_PRESSURE: 40.9611

# Description

Gives the outer pressure in NPT\_NH and NPT\_NP.

#### Remark

Applicable to NPT\_NH and NPT\_NP MD\_METHOD only.

#### RESTART\_FLAG

Type
Integer

Default
0

Unit
No unit

Example
RESTART\_FLAG: 0

## Description

Flag for restarting quantum molecular dynamics and structural relaxation. Stores last three histories for quantum molecular dynamics simulations in .restart, .restart-0 and .restart-1 files, respectively.

#### RESTART\_FLAG

#### Remark

Restarts from the previous configuration which is stored in a .restart file. Currently, code provides restart feature for atomic relaxation and QMD only.

#### TWTIME

Type
Double

Default
1e9

Unit
min

Example
TWTIME: 1000

# Description

Gives the upper bound on the wall time for quantum molecular dynamics.

# Structural relaxation

#### RELAX\_FLAG

Туре	Unit
Integer	No unit
Default	Example
0	RELAX_FLAG: 1

## Description

Flag for performing structural relaxation. 0 means no structural relaxation. 1 represents relaxation of atom positions. 2 represents optimization of volume with the fractional coordinates of the atoms fixed. 3 represents full optimization of the cell i.e., both atoms and cell volume are relaxed

#### Remark

This flag should not be specified if MD\_FLAG is set to 1.

#### RELAX\_METHOD

Type

String

Default

LBFGS

Unit

No unit

Example

RELAX\_METHOD: NLCG

# Description

Specifies the algorithm for structural relaxation. The choices are 'LBFGS' (limited-memory BFGS), 'NLCG' (Non-linear conjugate gradient), and 'FIRE' (Fast inertial relaxation engine).

#### Remark

LBFGS is typically the best choice.

#### RELAX\_NITER

Type

Integer

Unit

No unit

Default

300

Example

RELAX\_NITER: 25

# Description

Specifies the maximum number of iterations for the structural relaxation (RELAX\_FLAG).

#### Remark

If RESTART\_FLAG is set to 1, then relaxation will restart from the last atomic configuration and run for maximum of RELAX\_NITER iterations.

#### TOL\_RELAX

Type
Double
Unit
Ha/Bohr

Default
5e-4

Unit
Ha/Bohr

Example
TOL\_RELAX: 1e-3

# Description

Specifies the tolerance for termination of the structural relaxation. The tolerance is defined on the maximum force component (in absolute sense) over all atoms.

## TOL\_RELAX\_CELL

Type

Double

Default

1e-2

Unit

GPa

Example

TOL\_RELAX: 1e-3

# Description

Specifies the tolerance for termination of the cell relaxation. The tolerance is defined on the maximum principle stress component.

## RELAX\_MAXDILAT

Type
Double

Default
1.06

Unit
No unit

Example
RELAX\_MAXDILAT: 1.4

# Description

The maximum scaling of the volume allowed with respect to the initial volume defined by CELL and LATVEC. This will determine the upper-bound and lower-bound in the bisection method (Brent's method) for the volume optimization.

## NLCG\_SIGMA

Type

Double

Unit

No unit

Default

0.5

Example

NLCG\_SIGMA: 1

## Description

Parameter in the secant method used to control the step length in NLCG (RELAX\_METHOD).

#### Remark

## L\_HISTORY

Type Integer

Unit

No unit

Example

L\_HISTORY: 15

# Description

20

Size of history in LBFGS (RELAX\_METHOD).

#### Remark

#### L\_FINIT\_STP

Type

Double

Unit

Bohr

Default

5e-3

Example

L\_FINIT\_STP: 0.01

# Description

Step length for line optimizer in LBFGS (RELAX\_METHOD).

#### Remark

# L\_MAXMOV

Type Double

Unit Bohr

Double

Example

Default

L\_MAXMOV: 1.0

0.2

## Description

The maximum allowed step size in LBFGS (RELAX\_METHOD).

#### Remark

## L\_AUTOSCALE

Type

Integer

Default

Unit

No unit

Example

L\_AUTOSCALE: 0

# Description

Flag for automatically determining the inverse curvature that is used to determine the direction for next iteration in LBFGS (RELAX\_METHOD).

#### Remark

## L\_LINEOPT

Type
Integer

Default
L\_LINEOPT: 0

# Description

Flag for atomic force based line minimization in LBFGS (RELAX\_METHOD).

#### Remark

Required only if L\_AUTOSCALE is 0.

## L\_ICURV

Type

Double

Unit

No unit

Default

1.0

Example

L\_ICURV: 0.1

## Description

Initial inverse curvature, used to construct the inverse Hessian matrix in LBFGS (RELAX\_METHOD).

#### Remark

Needed only if L\_AUTOSCALE is 0. Default value works well in most cases.

#### FIRE\_DT

Type Double

Unit

Femto second

Default

1

Example

FIRE\_DT: 0.1

# Description

Time step used in FIRE (RELAX\_METHOD).

#### Remark

#### FIRE\_MASS

Type

Double

Unit

Atomic mass unit

Default

1.0

Example

FIRE\_MASS: 2.5

Description

Pseudomass used in FIRE (RELAX\_METHOD).

Remark

## FIRE\_MAXMOV

Type
Double

Default
0.2

Unit
Bohr

Example
FIRE MAXMOV: 1.0

## Description

Maximum movement for any atom in FIRE (RELAX\_METHOD).

#### Remark

# Print options

#### PRINT\_ATOMS

Type
0 or 1

Default
0

PRINT\_ATOMS: 1

## Description

Flag for writing the atomic positions. For ground-state calculations, atom positions are printed to a '.static' output file. For structural relaxation calculations, atom positions are printed to a '.geopt' file. For QMD calculations, atom positions are printed to a '.aimd' file.

#### PRINT\_FORCES

Type
0 or 1

Default
0

PRINT\_FORCES: 1

# Description

Flag for writing the atomic forces. For ground-state calculations, forces are printed to a '.static' output file. For structural relaxation calculations, forces are printed to a '.geopt' file. For QMD calculations, forces are printed to a '.aimd' file.

## PRINT\_MDOUT

Type
0 or 1

Default
1

Default
1

Unit
No unit

Example
PRINT\_MDOUT: 0

# Description

Flag for printing the the QMD output into the .aimd file.

## PRINT\_RELAXOUT

Type
0 or 1

Default
1

Unit
No unit

Example
PRINT\_RELAXOUT: 0

# Description

Flag for printing the structural relaxation data in a .geopt file.

## Remark

Required only if RELAX\_FLAG is greater than 0.

## PRINT\_RESTART

Type 0 or 1

Unit

No unit

Default

1

Example

PRINT\_RESTART: 0

# Description

Flag for writing the .restart file, used to restart QMD and structural relaxation simulations.

#### Remark

Relevant only if either MD\_FLAG is 1 or RELAX\_FLAG is 1.

## PRINT\_RESTART\_FQ

Type

Integer

Unit

No unit

Default

1

Example

PRINT\_RESTART\_FQ: 10

# Description

Frequency at which .restart file is written in QMD and structural optimization simulations.

#### Remark

Relevant only if either MD\_FLAG is 1 or RELAX\_FLAG is 1.

#### PRINT\_VELS

Type
0 or 1

Default
1

Unit
No unit

Example
PRINT\_VELS: 0

# Description

Flag for printing the ion velocities in an QMD simulation into the .aimd file.

## Remark

Relevant only if MD\_FLAG is set to 1.

# OUTPUT\_FILE

Type

String

Default

Same as the input file name

Unit

No unit

Example

OUTPUT\_FILE: myfname

# Description

The name of the output files. The output files are attached with a suffix ('.out', '.static', '.geopt' and '.aimd').

# OUTPUT\_FILE

#### Remark

If an output file with the same name already exist, the results will be written to a file with a number attached, e.g., 'myfname.out\_1'. The maximum number of output files with the same name allowed is 100. After that the output files will be overwritten in succession.

# PRINT\_EIGEN

Type
int

Default

Default

PRINT\_EIGEN: 1

# Description

Flag for writing eigenvalues and occupations into .eigen file.

## PRINT\_DENSITY

Type
int

Default

Default

PRINT\_DENSITY: 1

# Description

Flag for writing electron density into cube format. For spin-unpolarized calculation, electron density is printed into .dens file. For collinear spin calculation, total, spin-up and spin-down electron density are printed into .dens, .densUp and .densDwn file, respectively.

Type
int

Default

Default

PRINT\_ORBITAL: 1

# Description

Flag for writing Kohn-Sham orbitals into a binary file.

#### Remark

It consists of headers with system information and the orbitals. First define a few variables and their types.

name	type, length	description
Nx Ny Nz	int, 1	Number of FD nodes in x,y,z directions
Nd	int, 1	Total number of FD nodes
dx, dy,dz	double, 1	mesh size in x,y,z directions
dV	double, 1	unit Volume
Nspinor	int, 2	Number of spinor in orbitals
isReal	int, 1	Flag for orbitals being real or complex
nspin	int, 1	Number of spin channel printed
nkpt	int, 1	Number of k-point printed

#### Remark - cont.

nband	int, 1	Number of bands printed
name	type, length	description
spin_index	int, 1	spin index of specific orbital
kpt_index	int, 1	k-point index of specific orbital
kpt_vec	double, 3	k-point in reduced coordinates
band_index	int, 1	band index of specific orbital
psi_real	double, Nd	real Kohn-Sham orbitals
psi_complex	k double complex, Nd	complex Kohn-Sham orbitals

The header is organized as: Nx, Ny, Nz, Nd, dx, dy, dz, dV, Nspinor, isReal, nspin, nkpt, nband, followed by the data for Kohn-sham orbital. Below is the pseudo-code to read orbitals after reading variables in headers.

#### Remark - cont.

```
for ispin = 1:nspin do
   for ikpt = 1:nkpt do
      for iband = 1:nband do
          spin index, kpt_index, kpt_vec, band_index
          if isReal == 1 then
             psi real
          else
             psi complex
          end if
      end for
   end for
end for
```

# PRINT\_ENERGY\_DENSITY

Type
int

Default

Default

PRINT\_ENERGY\_DENSITY: 1

# Description

Flag for writing a few energy densities into cube format. Currently, only kinetic energy density, exchange correlation energy density (without exact exchange contribution) and exact exchange energy density (if any) are implemented.

# PRINT\_ENERGY\_DENSITY

#### Remark

For spin-unpolarized calculation, kinetic energy density is written into .kedens, exchange correlation energy density is written into .xcedens, and exact exchange energy density is written into .exxedens. For collinear spin calculation, total, spin-up, spin-down kinetic energy density are written into .kedens, kedensUp, kedensDwn files, total, spin-up, spin-down exact exchange energy density are writted into .exxedens, .exxedensUp, .exxedensDwn files, respectively.

# Parallelization options

# NP\_SPIN\_PARAL

Type

Integer

Default

Automatically optimized

Unit

No unit

Example

NP\_SPIN\_PARAL: 2

# Description

Number of spin groups.

#### Remark

# NP\_KPOINT\_PARAL

Type

Integer

Default

Automatically optimized

Unit

No unit

Example

NP\_KPOINT\_PARAL: 5

# Description

Number of k-point groups.

#### Remark

# NP\_BAND\_PARAL

Type

Integer

Default

Automatically optimized

Unit

No unit

Example

NP\_BAND\_PARAL: 5

# Description

Number of band groups.

#### Remark

# NP\_DOMAIN\_PARAL

Type

Integer

Default

Automatically optimized

Unit

No unit

Example

NP\_DOMAIN\_PARAL: 3 3 2

# Description

Dimensions of the 3D Cartesian topology embedded in each band group.

#### Remark

# NP\_DOMAIN\_PHI\_PARAL

Type

Integer

Default

Automatically optimized

Unit

No unit

Example

NP\_DOMAIN\_PHI\_PARAL: 1 1 2

# Description

Dimensions of the 3D Cartesian topology embedded in the global communicator.

#### Remark

# EIG\_SERIAL\_MAXNS

Type

Integer

Unit

No unit

Default

2000

Example

EIG\_SERIAL\_MAXNS: 1000

# Description

Maximum NSTATES value up to which a serial algorithm will be used to solve the subspace eigenproblem.

#### Remark

If one wants to use a parallel algorithm to solve the subspace eigenproblem for all cases, simply set EIG\_SERIAL\_MAXNS to 0. Alternatively, set EIG\_SERIAL\_MAXNS to a very large value to always use serial algorithm.

# EIG\_PARAL\_BLKSZ

Type Integer

Default

128

Unit

No unit

Example

EIG\_PARAL\_BLKSZ: 64

# Description

Block size for the distribution of matrix in block-cyclic format in a parallel algorithm for solving the subspace eigenproblem.

# EIG\_PARAL\_ORFAC

Type Double

Unit No unit

Default

Example

0.0

EIG\_PARAL\_ORFAC: 0.001

# Description

Specifies which eigenvectors should be reorthogonalized when using the parallel eigensolver p?syevx or p?sygvx for solving the subspace eigenproblem. The parallel eigensolvers can be turned on using the EIG\_SERIAL\_MAXNS flag. No reorthogonalization will be done if EIG\_PARAL\_ORFAC equals zero. A default value of 0.001 is used if EIG\_PARAL\_ORFAC is negative. Note that reorthogonalization of eigenvectors is extremely time-consuming.

# EIG\_PARAL\_MAXNP

Type

Integer

Default

Generated by a linear model.

Unit

No unit

Example

EIG\_PARAL\_MAXNP: 36

# Description

Maximum number of processors used in parallel eigensolver. The number is machine dependent. Users could provide their own value for best performance.