

# **Security - General**

Module 4

# Learning Units covered in this Module

- Lesson 1: Authentication and Authorization
- Lesson 2: Row Level Security
- Lesson 3: Dynamic Data Masking
- Lesson 4: SQL Server Audit

**Lesson 1: Authentication and Authorization** 

# **Objectives**

After completing this learning, you will be able to:

- Understand the difference between Authentication and Authorization
- Understand the difference between Principals and Securables
- Understand how to create logins and users
- Understand how to assign permissions to objects.
- Understand the concept of SQL Server schemas



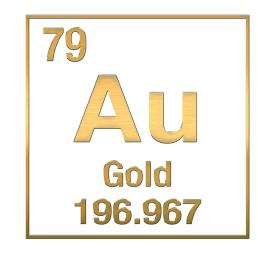
#### The Security Gold Standard



**AUTHENTICATION** – Verifies who you are



**<u>AU</u>THORIZATION** – Assigns what you can do





**AUDITING** – Monitors what you did

# **Authentication Types**

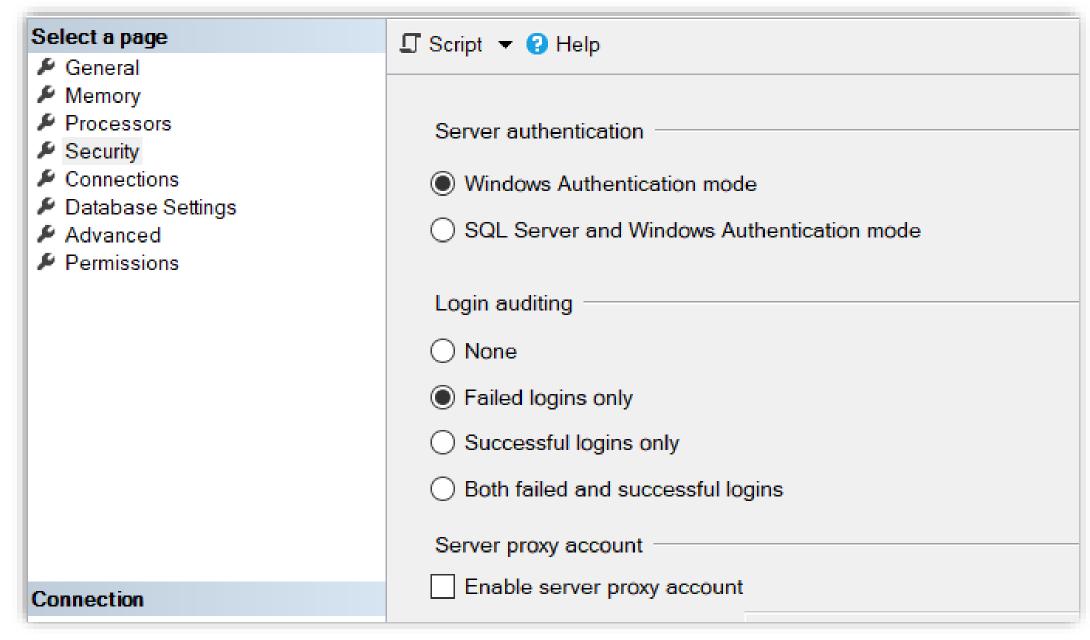
#### **Windows Authentication**

 SQL Server validates credentials using Active Directory and then verifies if it has permissions to connect.

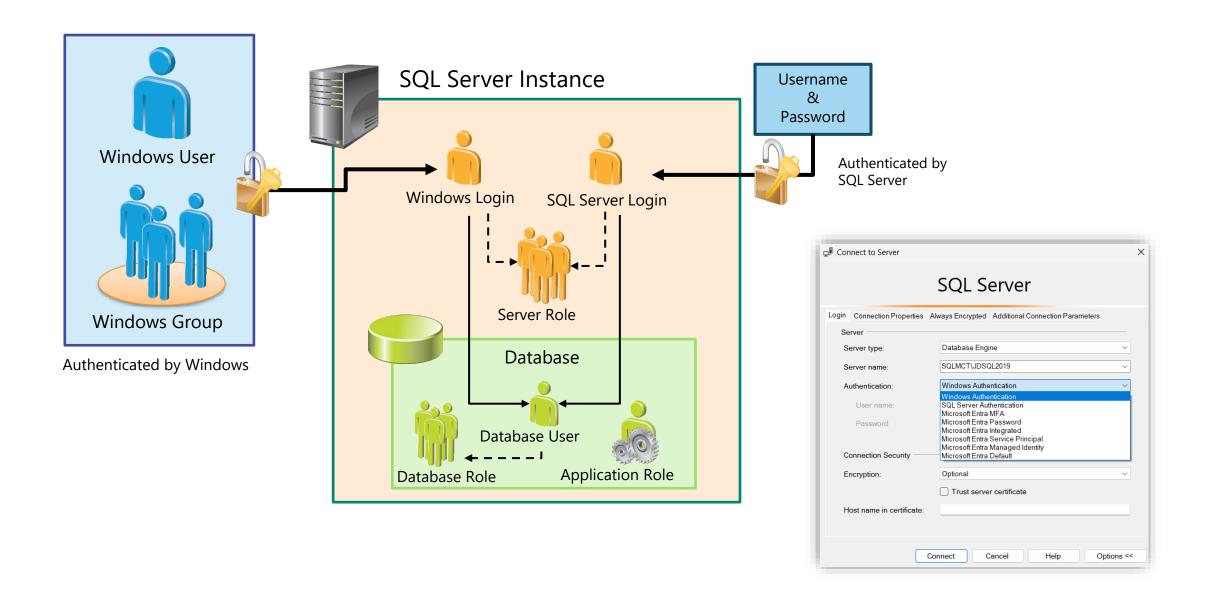
#### **SQL** Authentication

SQL Server validates
 the password against a
 hash stored in master
 and then verifies if it
 has permissions to
 connect.

#### **Server Authentication**



# **Security Principals**



# **Creating Logins**

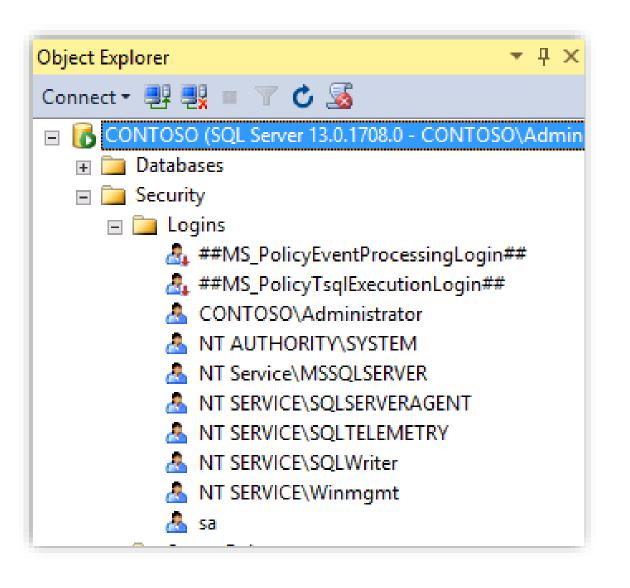
Allows connection to a SQL Server Instance

#### Two type of logins:

- SQL Login
- Windows Login

#### Can be created by:

- CREATE LOGIN statement in T-SQL
- SQL Server Management Studio



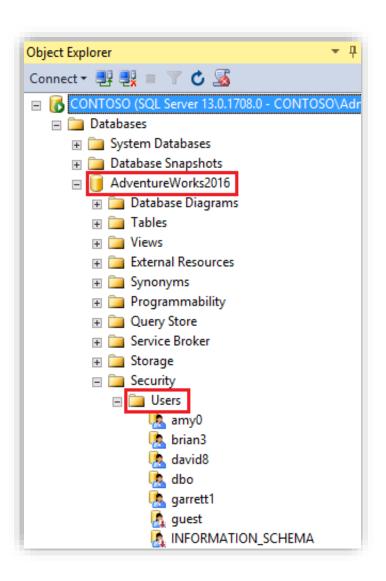
# **Creating Users**

Allow access to a database

Specific to a single database

#### Type of users:

- Windows user
- SQL User with Password
- SQL User with Login
- SQL User without Password
- User mapped to a certificate
- User mapped to an asymmetric key



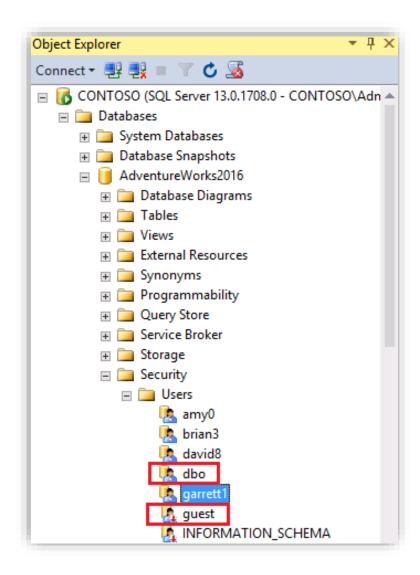
#### **DBO** and Guest User

#### DBO

- Performs all activities in the database
- Members of sysadmin role, SA login, and database owner are mapped to DBO.
- Cannot be deleted

#### Guest

- Allows logins without user accounts to access database
- Disabled by default in user databases
- Cannot be dropped but you can prevent it from accessing a database
- Must NOT be disabled in master and tempdb



#### Roles

#### Server Roles

- Fixed server roles
- User-defined server roles

#### **Database Roles**

- Fixed database roles
- User-defined database roles

#### Application roles

Assign rights to applications instead of users

#### **Fixed Server Level Roles and Permissions**

Role	Description	Server-level Permission
sysadmin	Perform any activity	CONTROL SERVER (with GRANT option)
dbcreator	Create and alter databases	ALTER ANY DATABASE
diskadmin	Manage disk files	ALTER RESOURCES
serveradmin	Configure server-wide settings	ALTER ANY ENDPOINT, ALTER RESOURCES, ALTER SERVER STATE, ALTER SETTINGS, SHUTDOWN, VIEW SERVER STATE
securityadmin	Manage and audit server logins	ALTER ANY LOGIN
processadmin	Manage SQL Server processes	ALTER ANY CONNECTION ALTER SERVER STATE
bulkadmin	Run the BULK INSERT statement	ADMINISTER BULK OPERATIONS
setupadmin	Configure replication and linked servers	ALTER ANY LINKED SERVER

# New Server Level Roles introduced in SQL Server 2022

Server-level role	Description
##MS_DatabaseConnector##	Connect to any database without requiring a database User-account.
##MS_LoginManager##	Create, delete and modify logins. Cannot GRANT.
##MS_DatabaseManager##	Create and delete databases.
##MS_ServerStateManager##	Same as the ##MS_ServerStateReader## role but also has the <b>ALTER SERVER STATE</b> permission.
##MS_ServerStateReader##	Read all dynamic management views (DMVs) and functions that are covered by <b>VIEW SERVER STATE</b> .
##MS_ServerPerformanceStateReader##	Read all dynamic management views (DMVs) and functions that are covered by <b>VIEW SERVER PERFORMANCE STATE</b>
##MS_ServerSecurityStateReader##	Read all dynamic management views (DMVs) and functions that are covered by <b>VIEW SERVER SECURITY STATE</b>
##MS_DefinitionReader##	Read all catalog views that are covered by VIEW ANY DEFINITION
##MS_PerformanceDefinitionReader##	Read all catalog views that are covered by VIEW ANY PERFORMANCE DEFINITION.
##MS_SecurityDefinitionReader##	Read all catalog views that are covered by VIEW ANY SECURITY DEFINITION.

#### **Public Role**



Public is a special role that is at the server and database level.



Every SQL Server login and user belongs to the Public role



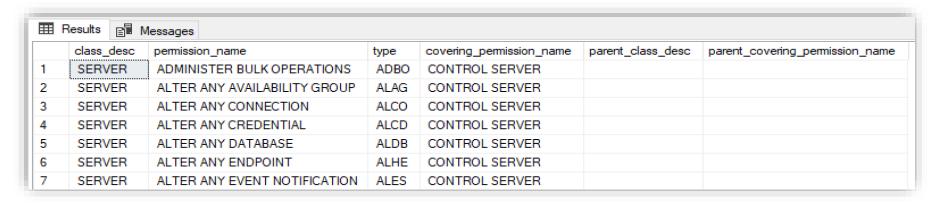
Care must be taken when granting permissions to Public server role especially when granting server-level **permissions**.

#### Fixed Database Level Roles and Permissions

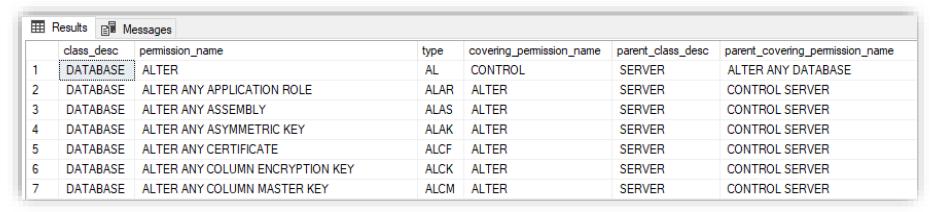
Role	Description
db_owner	Perform any configuration and maintenance activities on the DB and can drop it
db_securityadmin	Modify role membership and manage permissions
db_accessadmin	Add or remove access to the DB for logins
db_backupoperator	Back up the DB
db_ddladmin	Run any DDL command in the DB
db_datawriter	Add, delete, or change data in all user tables
db_datareader	Read all data from all user tables
db_denydatawriter	Cannot add, delete, or change data in user tables
db_denydatareader	Cannot read any data in user tables

# Listing Built-in Server and Database Permissions

SELECT \* FROM sys.fn\_builtin\_permissions('SERVER')
ORDER BY permission\_name;



SELECT \* FROM sys.fn\_builtin\_permissions('Database')
ORDER BY permission\_name;



#### **Authorization**



Process by which SQL server decides whether a given principal can access a resource



Allows granting the specific permissions required rather than granting membership in a fixed role



Provides information and metadata of a securable only to those principals who have permission to access the securable



Allows creating custom permission sets



Works on the principle of *least privilege* 

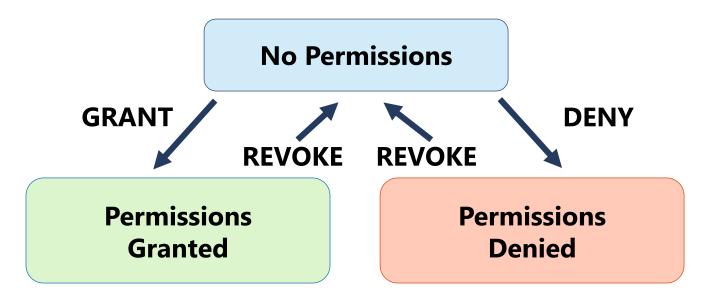
#### **Assigning Permissions to Accounts**

GRANT is used to assign a permission

# DENY is used to explicitly deny a permission

- Used where permissions inherited through group or role membership
- Should only be used in exceptional circumstances

REVOKE removes either a GRANT or a DENY



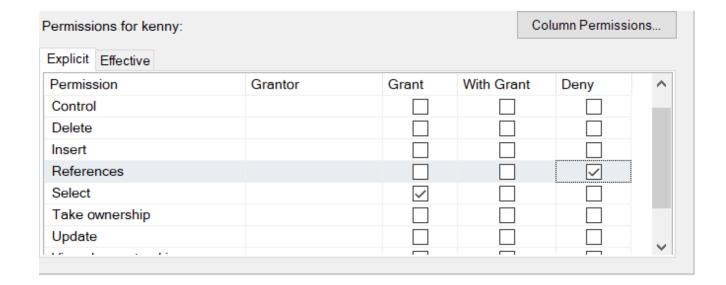
# **Assigning Permissions to Tables and Views**

Grant with Grant allows the user to assign that permission.

Tables and Views can be assigned the same permissions.

Permissions for SELECT, UPDATE, and REFERENCES can also be set at the column level.

Select the Effective Tab to see what permissions have been granted.



# **Security with Schemas**

FQN has the form: **server.database.schema.object** 

In a database, all objects are created within a schema (dbo is default).

Allow their owners full control over objects within the schema

Permissions can be granted at the schema level.

Can contain objects owned by multiple database users

Can be owned by any database principal

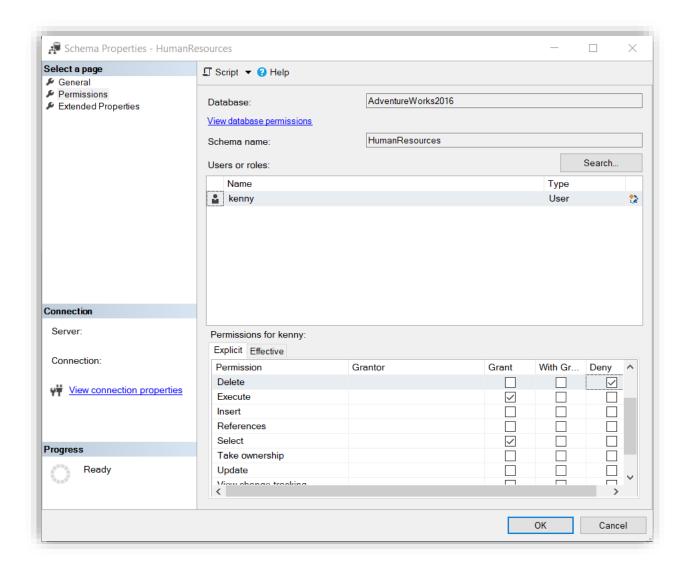
# **Assign Permissions to a Schema**

Permissions assigned at the schema level affect all objects belonging to that schema.

Tables and Views can be assigned the same permissions.

The Execute permission will be applied to all Stored Procedures in the schema.

Select the Effective Tab to see what permissions have been granted.



**Questions?** 



# **Knowledge Check**

What is the difference between Authentication and Authorization?

What are the two types of Logins for SQL Server?

What is an example of a securable?

What are the two Authentication modes?

How should the (sa) account been handled?

How would an admin explicitly restrict access to a table?

What statement would be used to remove a permission?

**Lesson 2: Row Level Security** 

# **Objectives**

After completing this learning, you will be able to:

· Understand row-level security and how it can be used.



#### **Row-Level Security Overview**

Enables fine grained access control at the row level

Security logic is controlled at the database tier instead of the application tier

# **Row Level Security Scenarios**



A hospital can restrict doctors and nurses to only view data about their specific patients.



A bank can restrict access to data based on the location of their branch offices.



A bicycle company can restrict sales leads to only specific salespeople.

# Salespeople for the Adventure Works Bicycle Company



# **Row-Level Security Components**

Predicates (inline table-valued function)

Security Policy

# **Row-Level Security Predicates**



```
CREATE FUNCTION fn_RowLevelSecurity (@FilterColumnName sysname) RETURNS TABLE WITH
SCHEMABINDING
as
RETURN SELECT 1 as fn_SecureCustomerData
-- filter out records based on database user name
where @FilterColumnName = user_name();
```

# **Row-Level Security Policy**

Security policies are named objects, scoped to a schema that perform filtering using an inline table-valued function.

State setting determines if they are on or off.

```
CREATE SECURITY POLICY FilterCustomer
ADD FILTER PREDICATE dbo.fn_RowLevelSecurity(SalesPersonUserName)
ON dbo.Customer
WITH (STATE = ON);
```

#### **Row-Level Security Permissions**

Create, alter or drop policy

- Requires ALTER ANY SECURITY POLICY
- Creating or dropping a security policy requires the ALTER permission on the schema

For each predicate added

- SELECT and REFERENCES permissions on the function being used as a predicate
- REFERENCES on the target table
- REFERENCES on every column from the target table used as an argument

#### **Row-Level Security Best Practices**

Create a separate schema for RLS objects

Monitor who has the ALTER ANY SECURITY POLICY – intended for highly privileged users

If the security policy managers have the ALTER ANY SECURITY POLICY permission, they do not need the select permission on the table

Keep predicate functions as simple as possible to prevent performance issues

#### **Row-Level Security Limitations**

Filestream – not supported

Polybase – not supported

DBCC SHOW\_STATISTICS report statistics on unfiltered data (potential leak)

Memory-optimized tables – predicate must use WITH NATIVE\_COMPILATION option

Indexed views cannot be created on top of tables that have a security policy

Change Data Capture (CDC) – can leak rows that should be filtered to db\_owner

#### **Demonstration**

#### **Row-Level Security**

Create a RLS Function and Security Policy



### **Row-Level Security**

You will setup row level security to allow different people to see their territory without seeing data for other territories.



**Questions?** 



# **Knowledge Check**

What are some scenarios where row-level security would be beneficial?

Lesson 3: Dynamic Data Masking

# **Objectives**

After completing this learning, you will be able to:

 Understand how dynamic data masking can be utilized to enhance data security.



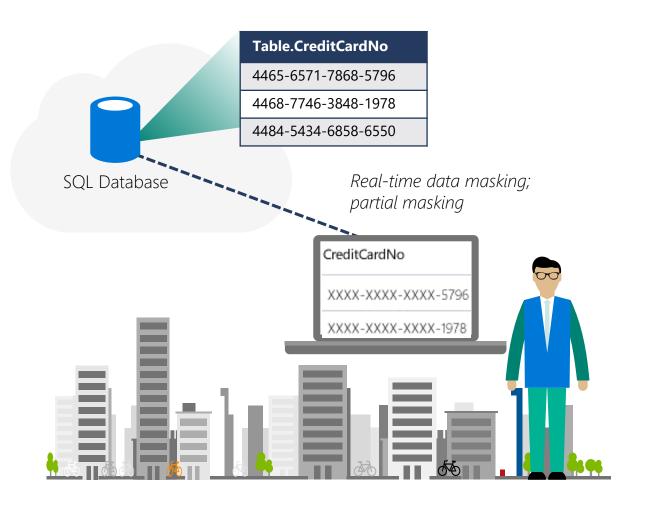
### **Dynamic Data Masking**

Prevent abuse of sensitive data by hiding it from users

Policy-driven at table and column level for defined set of users

Applied in real time to query results based on policy

Multiple masking functions available for various sensitive data categories



### **Dynamic Data Masking scenarios**



Developers can troubleshoot production data without viewing sensitive information.



Customer Service representatives can view parts of sensitive data like credit card information.



Reports can be distributed with sensitive data obfuscated at the data layer.

# Types of Data Masks

Default (based on data Email type) Random **Custom String** 

### **Dynamic Data Masking Limitations**

#### The following columns cannot be masked

- Using Always Encrypted
- FILESTREAM
- Computed Columns\*
- Column that is a key for a full-text index

### **Dynamic Data Masking Permissions**

ALTER ANY MASK and ALTER permission on the table

Required to add, replace or remove the mask of a column

UNMASK

Required to see unmasked data\*

#### **Demonstration**

#### **Dynamic Data Masking**

Creating and Querying Masked Tables



**Questions?** 



### **Knowledge Check**

Which permission needs to be granted for a user to see the full data view

What are the four types of data masks?

Lesson 4: Introduction to SQL Server Audit

# **Objectives**

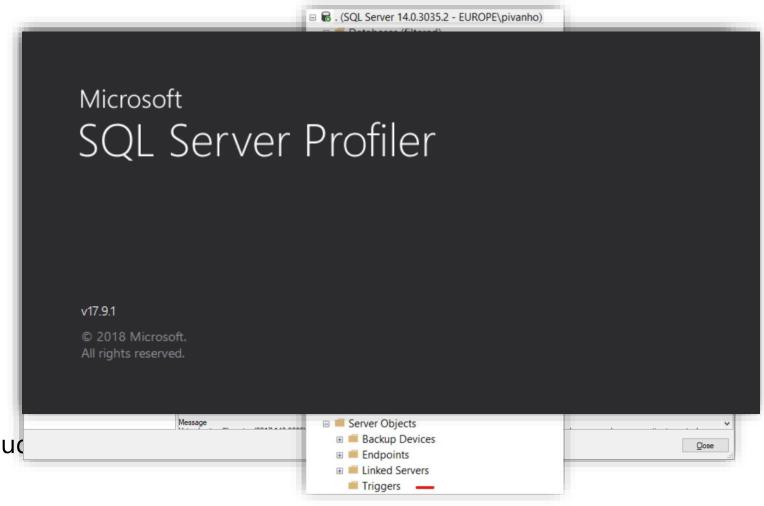
After completing this learning, you will be able to:

· Understand what SQL Server Audit is and how to configure it.



### Some History...

- SQL 2005 and earlier
  - Server Level
  - Application Log
  - SQL Server Error Log
- Triggers
  - Login triggers
  - Server triggers
  - DDL triggers
- SQL Trace (Profiler)
  - · Detailed activity audits
  - Individual statements, include



#### **SQL Server Audit**

Provides the ability to track and log events that occur in SQL Server engine

Built on Extended Events architecture Basic Server Audit available in all SKUs (Since SQL Server 2012)

 Database Audit only available in Enterprise, Developer, and Evaluation editions

# SQL Server Audit Components

- Allow pre-filtering and fine-grained auditing
- Allow multiple targets (File, Application and Security Event Logs)

# Key part of security strategy

Who has accessed or attempted to access your data

Ability to detect unauthorized access attempts

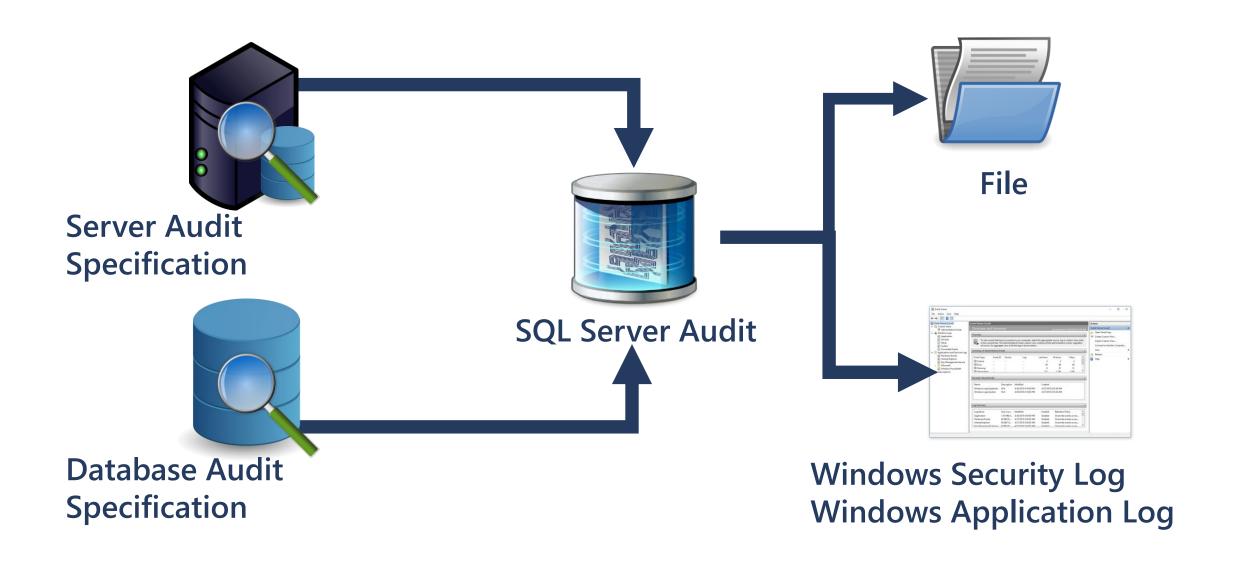
Piece together the actions of malicious insiders

Robust tracking capability

### **Primary Goals of SQL Server Audit**

Security The audit feature must be truly secure. Performance Performance impact must be minimized Management The audit feature must be easy to manage. Audit-centric questions must be easy to Discoverability answer

# **Audit Object Layout**



### Working with SQL Server Audit



Create an audit and define the target



Create either a server audit specification or database audit specification



Enable the audit specification



Enable the audit



Read the audit events

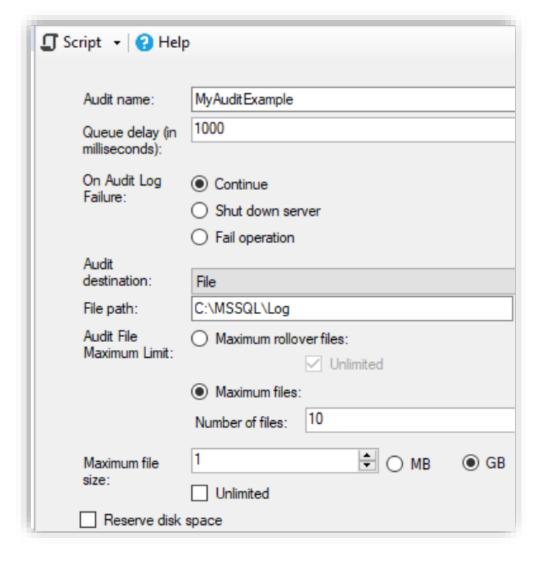
#### **Create Audit**

Queue delay (in milliseconds)

On Audit Log Failure - Continue

On Audit Log Failure - Shut down server

On Audit Log Failure - Fail operation



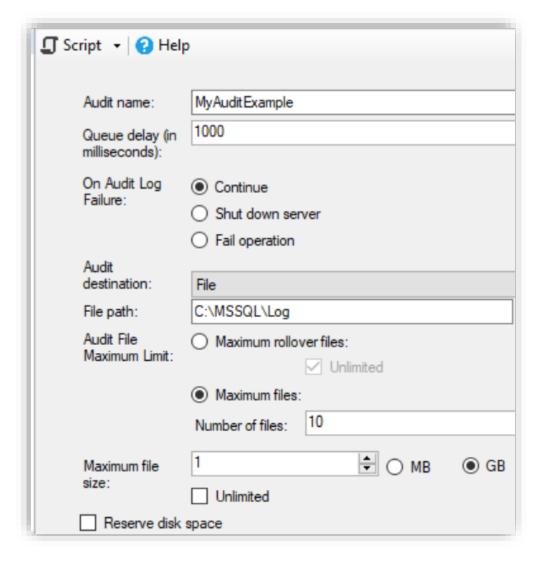
#### **Create Audit (Continued)**

#### **Audit Destination**

- Binary file
- Windows Application log
- Windows Security log

#### File Settings

- File Path
- Audit File Maximum Limit
- Maximum File Size
- Reserve disk space



### **Create an Audit Specification (Syntax)**

```
Database Audit Specification
  CREATE DATABASE AUDIT SPECIFICATION
  audit_specification_name
  { FOR SERVER AUDIT audit_name
  { ADD ( { <audit_action_specification > |
  audit_action_group_name } ) }
  [, ...n] ]
  [ WITH ( STATE = { ON | OFF } ) ] }
  [;] <audit_action_specification>::= { action [
  ,...n ]ON [ class :: ] securable BY principal [ ,...n
  ] }
```

### **SQL Server Audit Events to the Security Log**

#### The Audit object

• The Audit object access setting must be configured to capture the events. The audit policy tool (auditpol.exe) exposes a variety of sub-policies settings in the audit object access category. To allow SQL Server to audit object access, configure the application generated setting.

# SQL Server service Account

- The account that the SQL Server service is running under must have the generate security audits permission to write to the Windows Security log.
- secpol.msc → Generate security audits

#### Registry

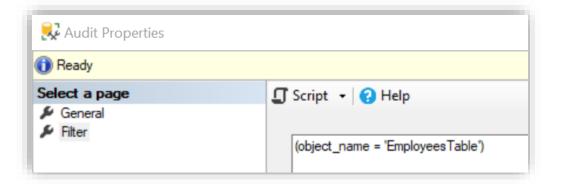
- Provide full permission for the SQL Server service account to the registry hive
- HKEY\_LOCAL\_MACHINE\SYS TEM\CurrentControlSet\Serv ices\EventLog\Security.

#### **Create Audit Filter**

Enter a predicate, or "WHERE clause"

Audit events are filtered before they are written to the audit log

You can filter on every element of the Audit Records



### Server-Level Audit Action Groups

#### LOGIN\_CHANGE\_PASSWORD\_GROUP

Whenever a login password is changed

#### SERVER\_OBJECT\_CHANGE\_GROUP

• CREATE, ALTER, or DROP operations on server objects

#### SERVER\_PRINCIPAL\_CHANGE\_GROUP

When server principals are created, altered, or dropped

#### SERVER\_ROLE\_MEMBER\_CHANGE\_GROUP

Whenever a login is added or removed from a fixed server role.

#### SUCCESSFUL\_LOGIN\_GROUP

• A principal has successfully logged in to SQL Server

# Server Audit Specifications Actions and Groups

```
SELECT *
FROM sys.dm_audit_actions
WHERE class_desc = 'server';
```

action id	name	class_desc	covering action name
R	REVOKE	SERVER	SERVER_PERMISSION_CHANGE_GROUP
D	DENY	SERVER	SERVER PERMISSION CHANGE GROUP
G	GRANT	SERVER	SERVER PERMISSION CHANGE GROUP
GWG	GRANT WITH GRANT	SERVER	SERVER_PERMISSION_CHANGE_GROUP
RWG	REVOKE WITH GRANT	SERVER	SERVER_PERMISSION_CHANGE_GROUP
RWC	REVOKE WITH CASCADE	SERVER	SERVER_PERMISSION_CHANGE_GROUP
DWC	DENY WITH CASCADE	SERVER	SERVER_PERMISSION_CHANGE_GROUP
ADBO	BULK ADMIN	SERVER	SERVER_OPERATION_GROUP
ALRS	ALTER RESOURCES	SERVER	SERVER_OPERATION_GROUP
ALST	ALTER SETTINGS	SERVER	SERVER_OPERATION_GROUP
XA	EXTERNAL ACCESS ASSEMBLY	SERVER	SERVER_OPERATION_GROUP
XU	UNSAFE ASSEMBLY	SERVER	SERVER_OPERATION_GROUP
ALTR	ALTER TRACE	SERVER	TRACE_CHANGE_GROUP
ALCN	ALTER CONNECTION	SERVER	SERVER_OPERATION_GROUP
ALSS	ALTER SERVER STATE	SERVER	SERVER_OPERATION_GROUP
SVSR	SERVER STARTED	SERVER	SERVER_STATE_CHANGE_GROUP
SVSD	SERVER SHUTDOWN	SERVER	SERVER_STATE_CHANGE_GROUP
SVPD	SERVER PAUSED	SERVER	SERVER_STATE_CHANGE_GROUP
SVCN	SERVER CONTINUE	SERVER	SERVER_STATE_CHANGE_GROUP
CR	CREATE	SERVER	SERVER_OBJECT_CHANGE_GROUP
AL	ALTER	SERVER	SERVER_OBJECT_CHANGE_GROUP
DR	DROP	SERVER	SERVER_OBJECT_CHANGE_GROUP
TASA	TRACE AUDIT START	SERVER	TRACE_CHANGE_GROUP

#### **Database-Level Audit Action Groups**

#### BACKUP\_RESTORE\_GROUP

• Whenever a backup or restore command is issued

#### DATABASE\_CHANGE\_GROUP

When a database is created, altered, or dropped

#### DATABASE\_OBJECT\_CHANGE\_GROUP

• When a CREATE, ALTER, or DROP statement is executed on database objects

#### DATABASE\_ROLE\_MEMBER\_CHANGE\_GROUP

• Whenever a login is added to or removed from a database role

#### DBCC\_GROUP

• Whenever a principal issues any DBCC command

#### FAILED\_DATABASE\_AUTHENTICATION\_GROUP

A principal tried to log on to SQL Server and failed

### Database Audit Specifications Actions and Groups

```
SELECT *
FROM sys.dm_audit_actions
WHERE class_desc = 'database'
OR parent_class_desc = 'database';
```

action_id	name	class_desc	covering_action_name
R	REVOKE	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
D	DENY	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
G	GRANT	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
GWG	GRANT WITH GRANT	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
RWG	REVOKE WITH GRANT	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
RWC	REVOKE WITH CASCADE	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
DWC	DENY WITH CASCADE	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
R	REVOKE	OBJECT	NULL
D	DENY	ОВЈЕСТ	NULL
G	GRANT	ОВЈЕСТ	NULL
GWG	GRANT WITH GRANT	OBJECT	NULL
RWG	REVOKE WITH GRANT	OBJECT	NULL
RWC	REVOKE WITH CASCADE	ОВЈЕСТ	NULL
DWC	DENY WITH CASCADE	ОВЈЕСТ	NULL
R	REVOKE	TYPE	NULL
D	DENY	TYPE	NULL
G	GRANT	TYPE	NULL
GWG	GRANT WITH GRANT	TYPE	NULL
RWG	REVOKE WITH GRANT	TYPE	NULL
RWC	REVOKE WITH CASCADE	TYPE	NULL
DWC	DENY WITH CASCADE	TYPE	NULL
R	REVOKE	SCHEMA	NULL
D	DENY	SCHEMA	NULL

### **List All Server and Database Action Groups**

```
SELECT name, class_desc
FROM sys.dm_audit_actions
WHERE name IN
     (SELECT containing_group_name
     FROM sys.dm_audit_actions)
ORDER BY class_desc, name;
```

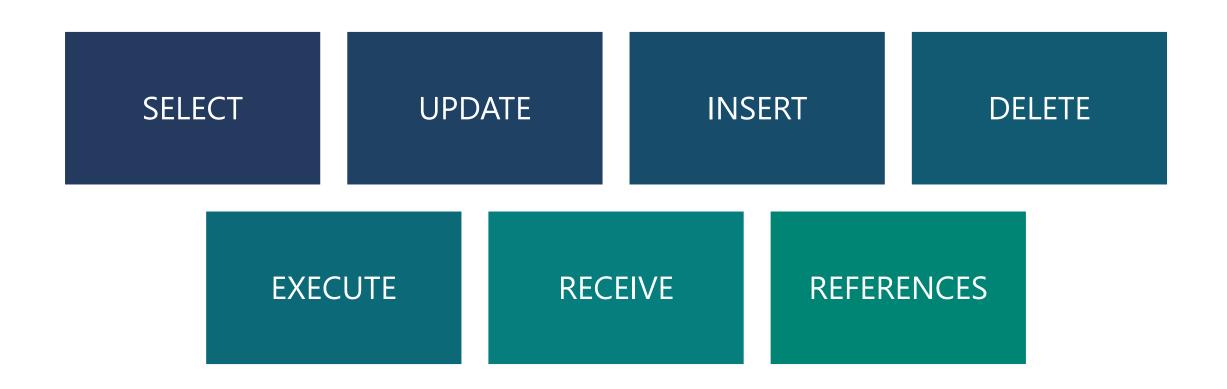
name	class desc
DBCC_GROUP	DATABASE
FAILED_DATABASE_AUTHENTICATION_GROUP	DATABASE
SCHEMA_OBJECT_ACCESS_GROUP	DATABASE
SCHEMA_OBJECT_CHANGE_GROUP	DATABASE
SCHEMA_OBJECT_OWNERSHIP_CHANGE_GROUP	DATABASE
SCHEMA_OBJECT_PERMISSION_CHANGE_GROUP	DATABASE
${\tt SUCCESSFUL\_DATABASE\_AUTHENTICATION\_GROUP}$	DATABASE
USER_CHANGE_PASSWORD_GROUP	DATABASE
USER_DEFINED_AUDIT_GROUP	DATABASE
APPLICATION_ROLE_CHANGE_PASSWORD_GROUP	SERVER
AUDIT_CHANGE_GROUP	SERVER
BACKUP_RESTORE_GROUP	SERVER
BROKER_LOGIN_GROUP	SERVER
DATABASE_CHANGE_GROUP	SERVER
DATABASE_LOGOUT_GROUP	SERVER
DATABASE_MIRRORING_LOGIN_GROUP	SERVER
DATABASE_OBJECT_ACCESS_GROUP	SERVER
DATABASE_OBJECT_CHANGE_GROUP	SERVER
DATABASE_OBJECT_OWNERSHIP_CHANGE_GROUP	SERVER
DATABASE_OBJECT_PERMISSION_CHANGE_GROUP	SERVER

# Get Information About a Particular Group Name

```
SELECT *
FROM sys.dm_audit_actions
WHERE containing_group_name = 'USER_CHANGE_PASSWORD_GROUP';
```

action_id	name	class_desc	covering_action_name	parent_class_desc	covering_parent_action_name	configuration_level
PWR	RESET PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
PWRS	RESET OWN PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
PWCS	CHANGE OWN PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
PWC	CHANGE PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
USTC	COPY PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
UCGP	USER_CHANGE_PASSWORD_GROUP	DATABASE	NULL	SERVER	USER_CHANGE_PASSWORD_GROUP	Group
UCGP	USER_CHANGE_PASSWORD_GROUP	SERVER	NULL	NULL	NULL	Group

#### **Database-Level Audit Actions**



# View a SQL Server Audit Log





SQL SERVER
MANAGEMENT STUDIO

SYS.FN\_GET\_AUDIT\_FILE

### sys.fn\_get\_audit\_file

#### · file\_pattern

· Specifies the directory or path and file name for the audit file set to be read.

#### · initial\_file\_name

 Specifies the path and name of a specific file in the audit file set to start reading audit records from

#### audit\_record\_offset

Specifies a known location with the file specified for the initial\_file\_name

```
SELECT * FROM sys.fn_get_audit_file
('\\serverName\Audit\HIPAA_AUDIT.sqlaudit',default,default);
```

#### Considerations



In the case of a failure during audit initiation, the server will not start.



Attaching a Database with an Audit Defined



Always On Availability Groups and SQL Server Audit



**Auditing Administrators** 

#### **Demonstration**

Demonstrate how to Create an Audit and Audit Specification within SQL Server



**Questions?** 



### **Knowledge Check**

How do you start an audit after creating the server audit specification login?

How do you stop the audit?

What are audit action groups in a server audit specification?

