



# Security - General

Module 4

## Learning Units covered in this Module

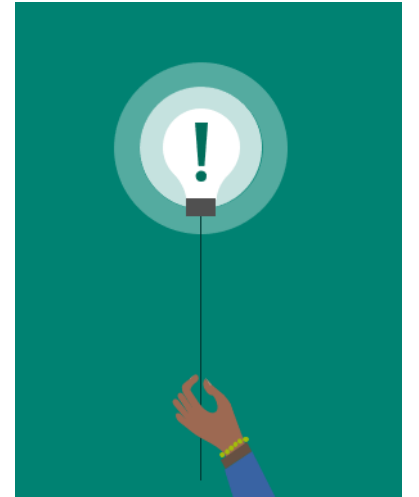
- Lesson 1: Authentication and Authorization
- Lesson 2: Row Level Security
- Lesson 3: Dynamic Data Masking
- Lesson 4: SQL Server Audit

# Lesson 1: Authentication and Authorization

# Objectives

After completing this learning, you will be able to:

- Understand the difference between Authentication and Authorization
- Understand the difference between Principals and Securables
- Understand how to create logins and users
- Understand how to assign permissions to objects.
- Understand the concept of SQL Server schemas



# The Security Gold Standard



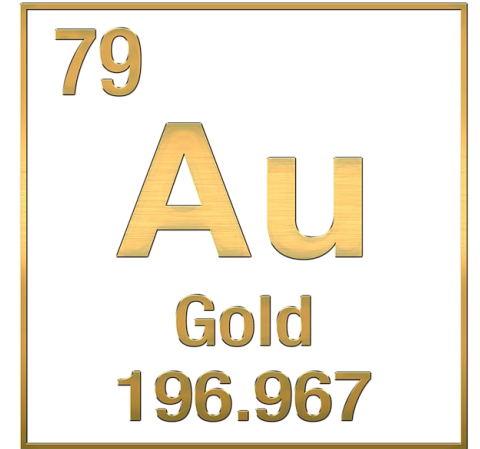
**AUTHENTICATION** – Verifies who you are



**AUTHORIZATION** – Assigns what you can do



**AUDITING** – Monitors what you did



# Authentication Types

## Windows Authentication

- SQL Server validates credentials using Active Directory and then verifies if it has permissions to connect.

## SQL Authentication

- SQL Server validates the password against a hash stored in master and then verifies if it has permissions to connect.

# Server Authentication

Select a page

General

Memory

Processors

Security

Connections

Database Settings

Advanced

Permissions

Connection

Script Help

Server authentication

☒ Windows Authentication mode

☐ SQL Server and Windows Authentication mode

Login auditing

☐ None

☒ Failed logins only

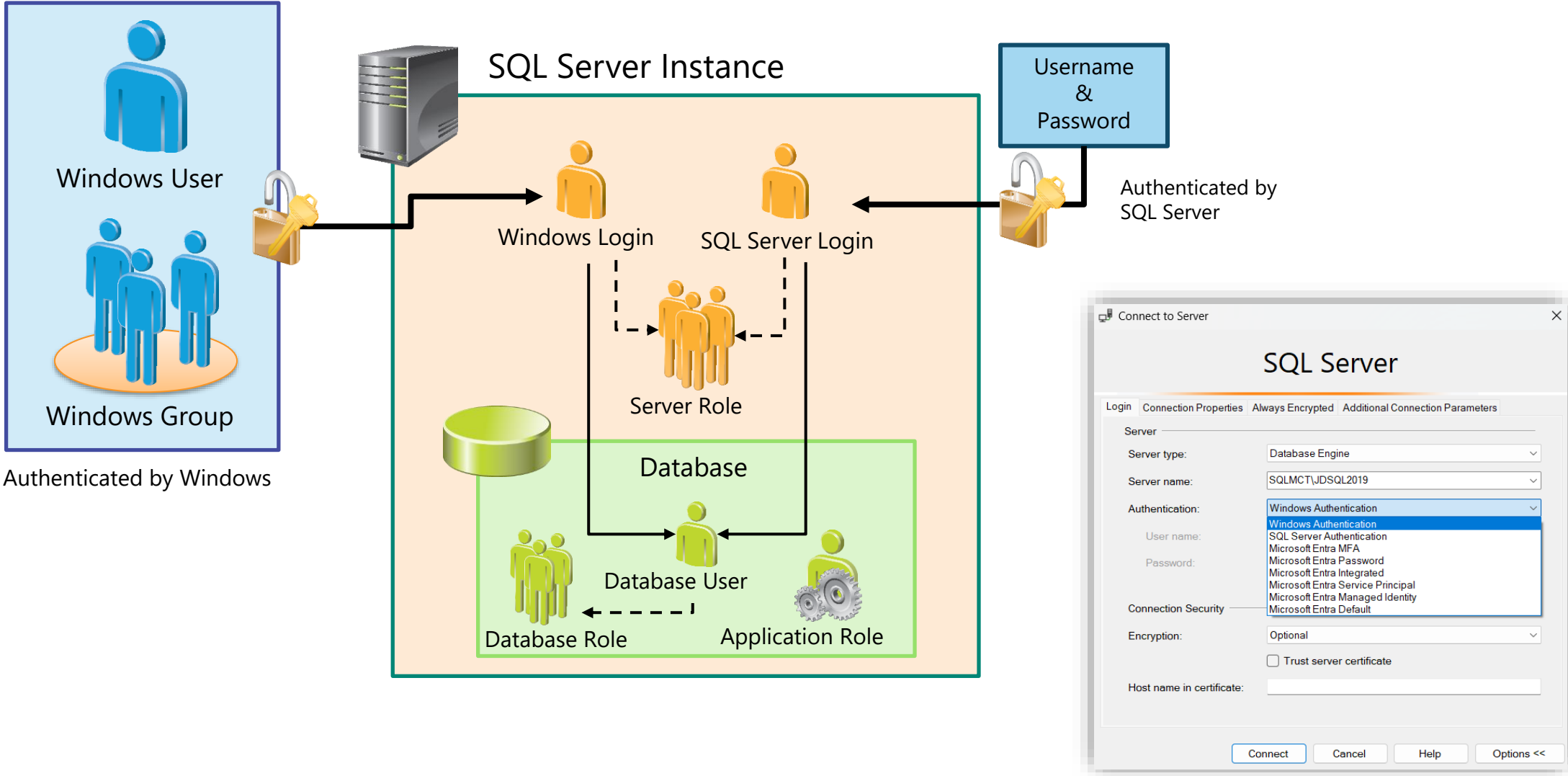
☐ Successful logins only

☐ Both failed and successful logins

Server proxy account

☐ Enable server proxy account

# Security Principals





# Creating Logins

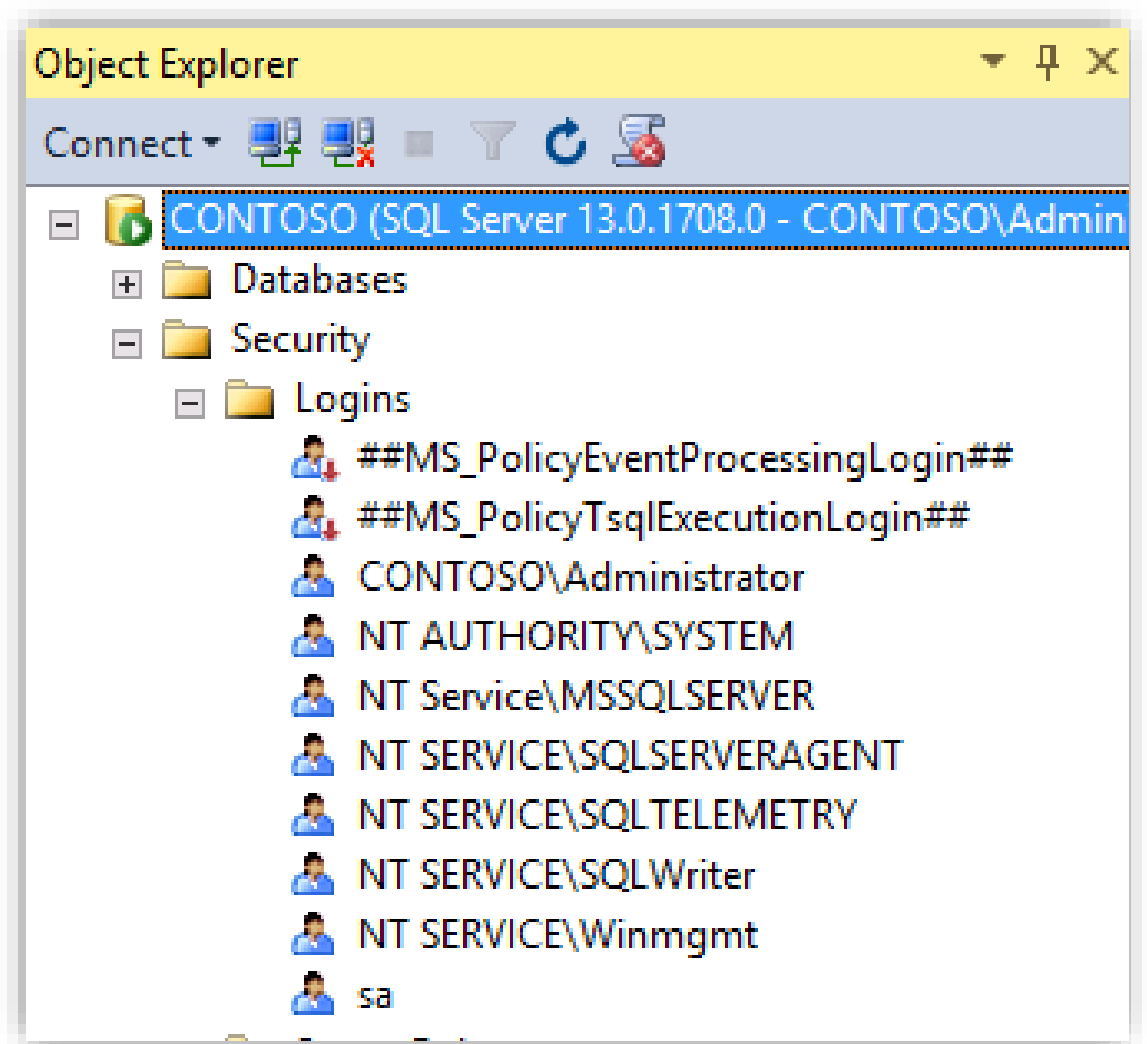
Allows connection to a SQL Server Instance

Two type of logins:

- SQL Login
- Windows Login

Can be created by:

- CREATE LOGIN statement in T-SQL
- SQL Server Management Studio



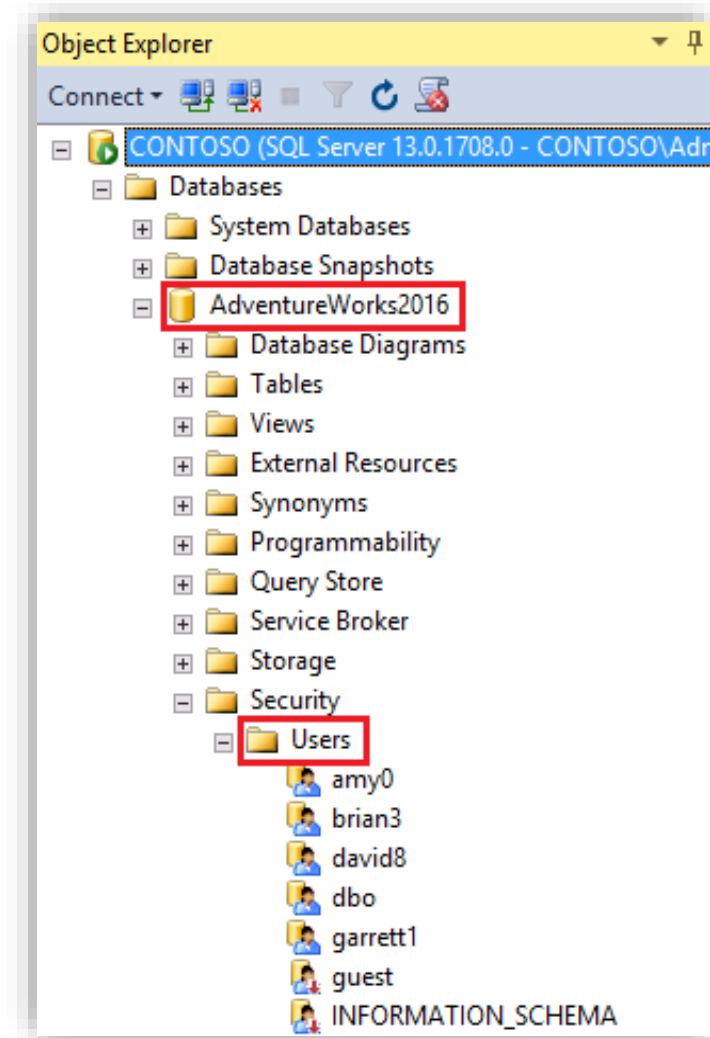
# Creating Users

Allow access to a database

Specific to a single database

Type of users:

- Windows user
- SQL User with Password
- SQL User with Login
- SQL User without Password
- User mapped to a certificate
- User mapped to an asymmetric key



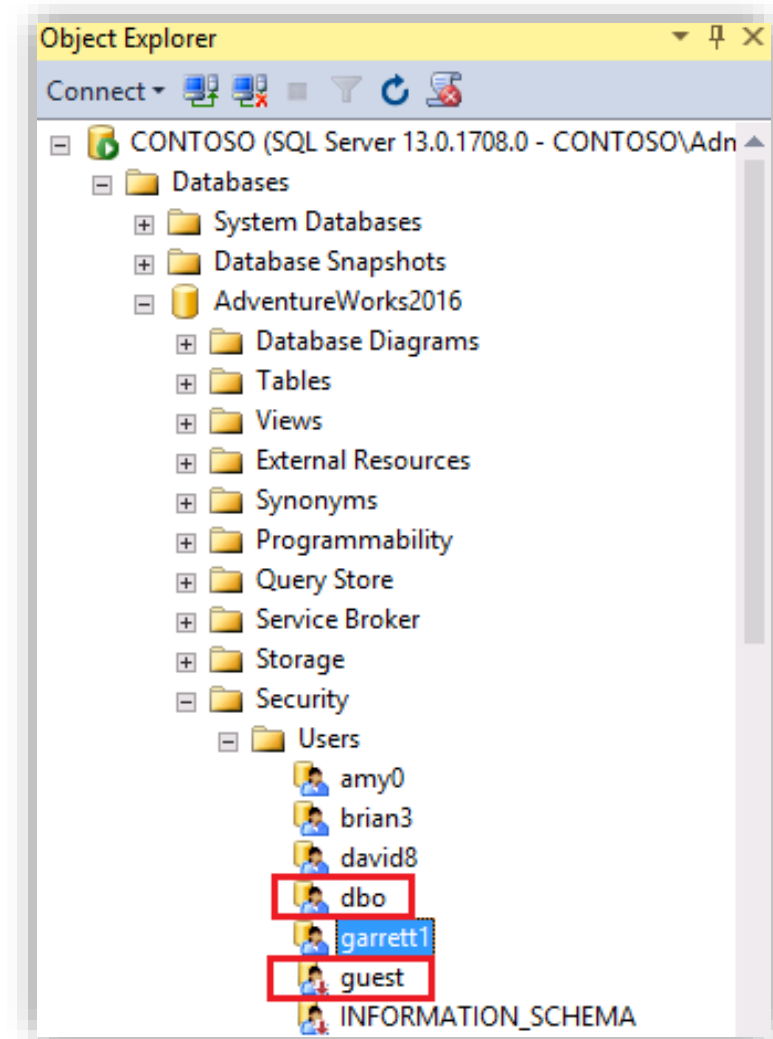
# DBO and Guest User

## DBO

- Performs all activities in the database
- Members of sysadmin role, SA login, and database owner are mapped to DBO.
- Cannot be deleted

## Guest

- Allows logins without user accounts to access database
- Disabled by default in user databases
- Cannot be dropped but you can prevent it from accessing a database
- Must NOT be disabled in master and tempdb



# Roles

## Server Roles

- Fixed server roles
- User-defined server roles

## Database Roles

- Fixed database roles
- User-defined database roles

## Application roles

- Assign rights to applications instead of users

# Fixed Server Level Roles and Permissions

Role	Description	Server-level Permission
sysadmin	Perform any activity	CONTROL SERVER (with GRANT option)
dbcreator	Create and alter databases	ALTER ANY DATABASE
diskadmin	Manage disk files	ALTER RESOURCES
serveradmin	Configure server-wide settings	ALTER ANY ENDPOINT, ALTER RESOURCES, ALTER SERVER STATE, ALTER SETTINGS, SHUTDOWN, VIEW SERVER STATE
securityadmin	Manage and audit server logins	ALTER ANY LOGIN
processadmin	Manage SQL Server processes	ALTER ANY CONNECTION ALTER SERVER STATE
bulkadmin	Run the BULK INSERT statement	ADMINISTER BULK OPERATIONS
setupadmin	Configure replication and linked servers	ALTER ANY LINKED SERVER

# New Server Level Roles introduced in SQL Server 2022

Server-level role	Description
##MS_DatabaseConnector##	Connect to any database without requiring a database User-account.
##MS_LoginManager##	Create, delete and modify logins. Cannot GRANT.
##MS_DatabaseManager##	Create and delete databases.
##MS_ServerStateManager##	Same as the ##MS_ServerStateReader## role but also has the <b>ALTER SERVER STATE</b> permission.
##MS_ServerStateReader##	Read all dynamic management views (DMVs) and functions that are covered by <b>VIEW SERVER STATE</b> .
##MS_ServerPerformanceStateReader##	Read all dynamic management views (DMVs) and functions that are covered by <b>VIEW SERVER PERFORMANCE STATE</b>
##MS_ServerSecurityStateReader##	Read all dynamic management views (DMVs) and functions that are covered by <b>VIEW SERVER SECURITY STATE</b>
##MS_DefinitionReader##	Read all catalog views that are covered by <b>VIEW ANY DEFINITION</b>
##MS_PerformanceDefinitionReader##	Read all catalog views that are covered by <b>VIEW ANY PERFORMANCE DEFINITION</b> .
##MS_SecurityDefinitionReader##	Read all catalog views that are covered by <b>VIEW ANY SECURITY DEFINITION</b> .

# Public Role



Public is a special role that is at the server and database level.



Every SQL Server login and user belongs to the Public role



Care must be taken when granting permissions to Public server role especially when granting server-level **permissions**.

# Fixed Database Level Roles and Permissions

Role	Description
db_owner	Perform any configuration and maintenance activities on the DB and can drop it
db_securityadmin	Modify role membership and manage permissions
db_accessadmin	Add or remove access to the DB for logins
db_backupoperator	Back up the DB
db_ddladmin	Run any DDL command in the DB
db_datawriter	Add, delete, or change data in all user tables
db_datareader	Read all data from all user tables
db_denydatawriter	Cannot add, delete, or change data in user tables
db_denydatareader	Cannot read any data in user tables



# Listing Built-in Server and Database Permissions

```
SELECT * FROM sys.fn_builtin_permissions('SERVER')  
ORDER BY permission_name;
```

	class_desc	permission_name	type	covering_permission_name	parent_class_desc	parent_covering_permission_name
1	SERVER	ADMINISTER BULK OPERATIONS	ADBO	CONTROL SERVER		
2	SERVER	ALTER ANY AVAILABILITY GROUP	ALAG	CONTROL SERVER		
3	SERVER	ALTER ANY CONNECTION	ALCO	CONTROL SERVER		
4	SERVER	ALTER ANY CREDENTIAL	ALCD	CONTROL SERVER		
5	SERVER	ALTER ANY DATABASE	ALDB	CONTROL SERVER		
6	SERVER	ALTER ANY ENDPOINT	ALHE	CONTROL SERVER		
7	SERVER	ALTER ANY EVENT NOTIFICATION	ALES	CONTROL SERVER		

```
SELECT * FROM sys.fn_builtin_permissions('Database')  
ORDER BY permission_name;
```

	class_desc	permission_name	type	covering_permission_name	parent_class_desc	parent_covering_permission_name
1	DATABASE	ALTER	AL	CONTROL	SERVER	ALTER ANY DATABASE
2	DATABASE	ALTER ANY APPLICATION ROLE	ALAR	ALTER	SERVER	CONTROL SERVER
3	DATABASE	ALTER ANY ASSEMBLY	ALAS	ALTER	SERVER	CONTROL SERVER
4	DATABASE	ALTER ANY ASYMMETRIC KEY	ALAK	ALTER	SERVER	CONTROL SERVER
5	DATABASE	ALTER ANY CERTIFICATE	ALCF	ALTER	SERVER	CONTROL SERVER
6	DATABASE	ALTER ANY COLUMN ENCRYPTION KEY	ALCK	ALTER	SERVER	CONTROL SERVER
7	DATABASE	ALTER ANY COLUMN MASTER KEY	ALCM	ALTER	SERVER	CONTROL SERVER

# Authorization



Process by which SQL server decides whether a given principal can access a resource



Allows granting the specific permissions required rather than granting membership in a fixed role



Provides information and metadata of a securable only to those principals who have permission to access the securable



Allows creating custom permission sets



Works on the principle of *least privilege*

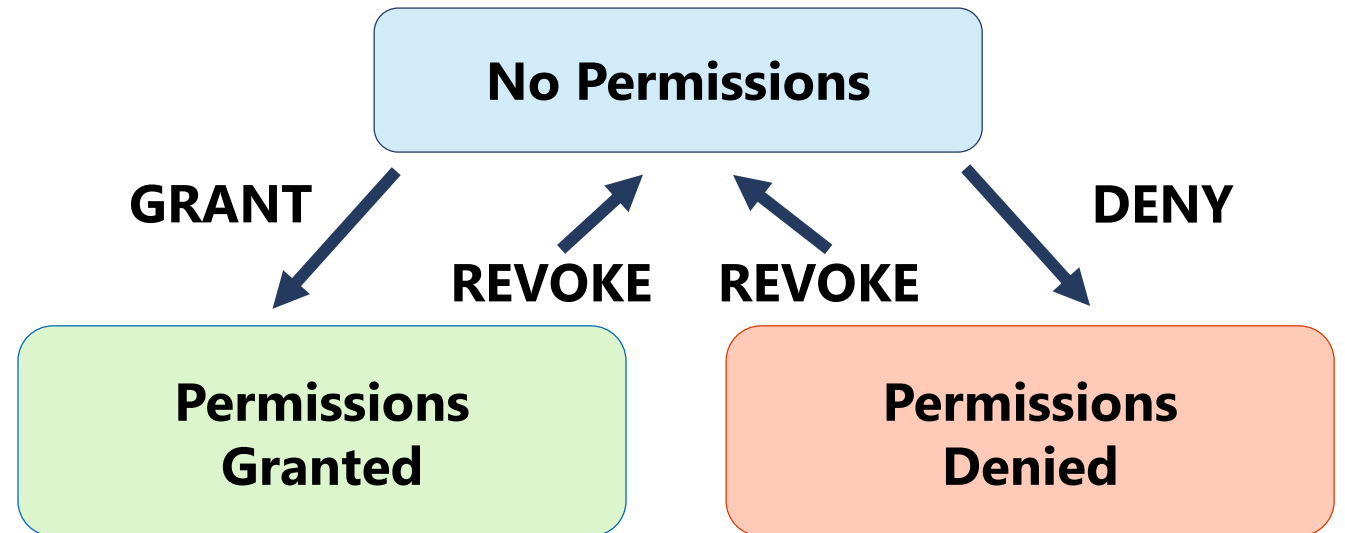
# Assigning Permissions to Accounts

GRANT is used to assign a permission

DENY is used to explicitly deny a permission

- Used where permissions inherited through group or role membership
- Should only be used in exceptional circumstances

REVOKE removes either a GRANT or a DENY



# Assigning Permissions to Tables and Views

Grant with Grant allows the user to assign that permission.

Tables and Views can be assigned the same permissions.

Permissions for SELECT, UPDATE, and REFERENCES can also be set at the column level.

Select the Effective Tab to see what permissions have been granted.

Permissions for kenny:

Column Permissions...

Explicit Effective

Permission	Grantor	Grant	With Grant	Deny
Control		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Delete		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Insert		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
References		<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Select		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Take ownership		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Update		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# Security with Schemas

FQN has the form: ***server.database.schema.object***

In a database, all objects are created within a schema (dbo is default).

Allow their owners full control over objects within the schema

Permissions can be granted at the schema level.

Can contain objects owned by multiple database users

Can be owned by any database principal

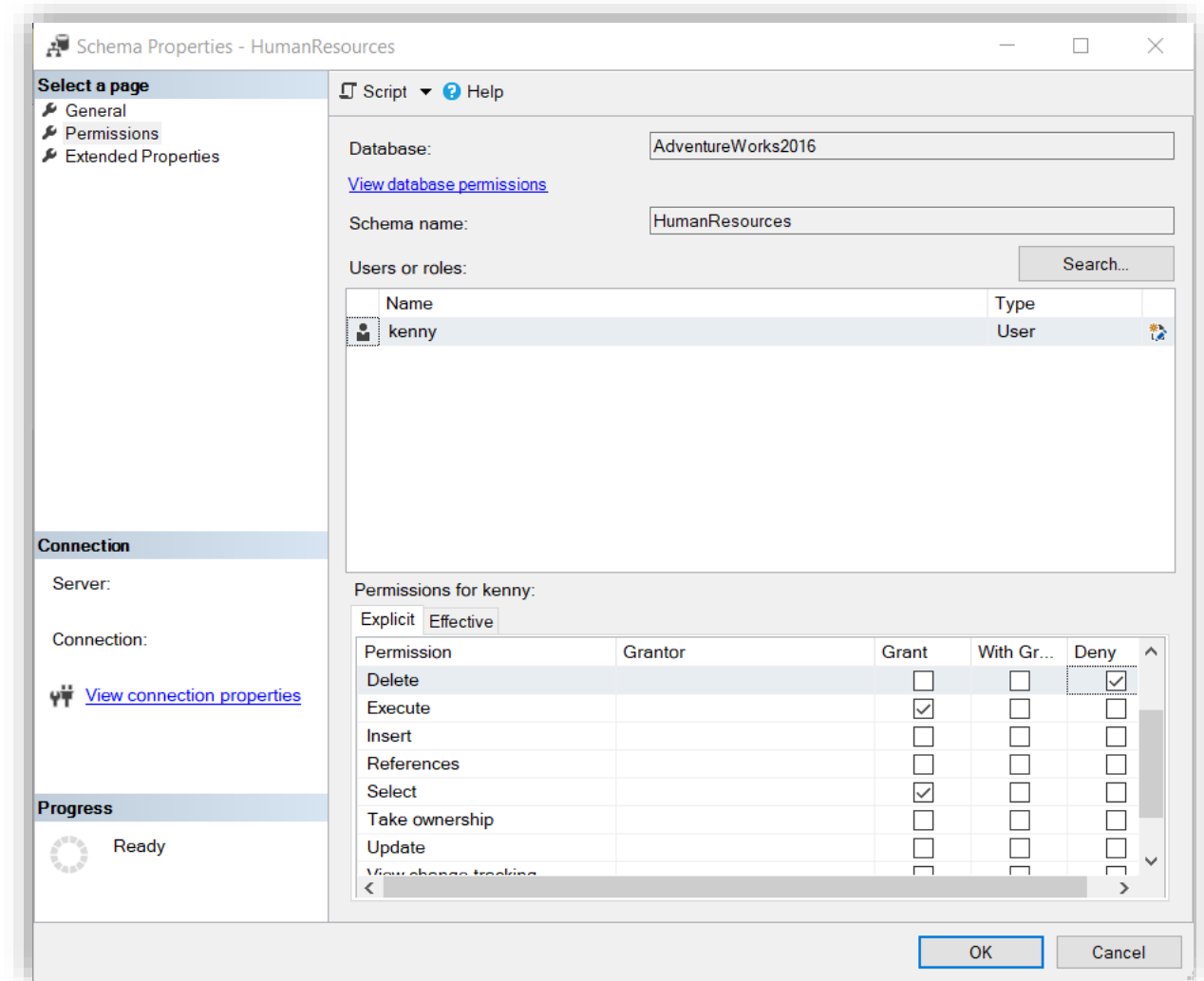
# Assign Permissions to a Schema

Permissions assigned at the schema level affect all objects belonging to that schema.

Tables and Views can be assigned the same permissions.

The Execute permission will be applied to all Stored Procedures in the schema.

Select the Effective Tab to see what permissions have been granted.



Questions?



# Knowledge Check

What is the difference between Authentication and Authorization?

What are the two types of Logins for SQL Server?

What is an example of a securable?

What are the two Authentication modes?

How should the (sa) account be handled?

How would an admin explicitly restrict access to a table?

What statement would be used to remove a permission?



## Lesson 2: Row Level Security

# Objectives

After completing this learning, you will be able to:

- Understand row-level security and how it can be used.

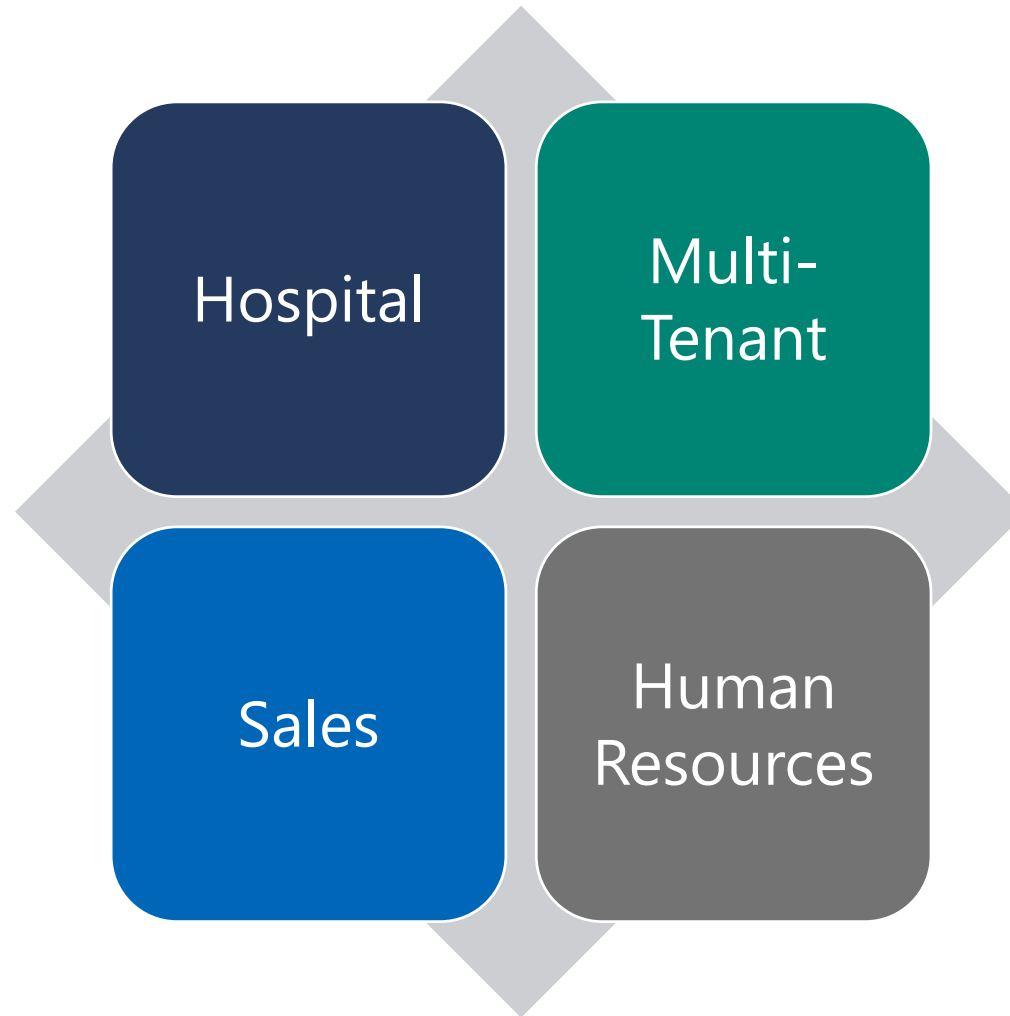


# Row-Level Security Overview

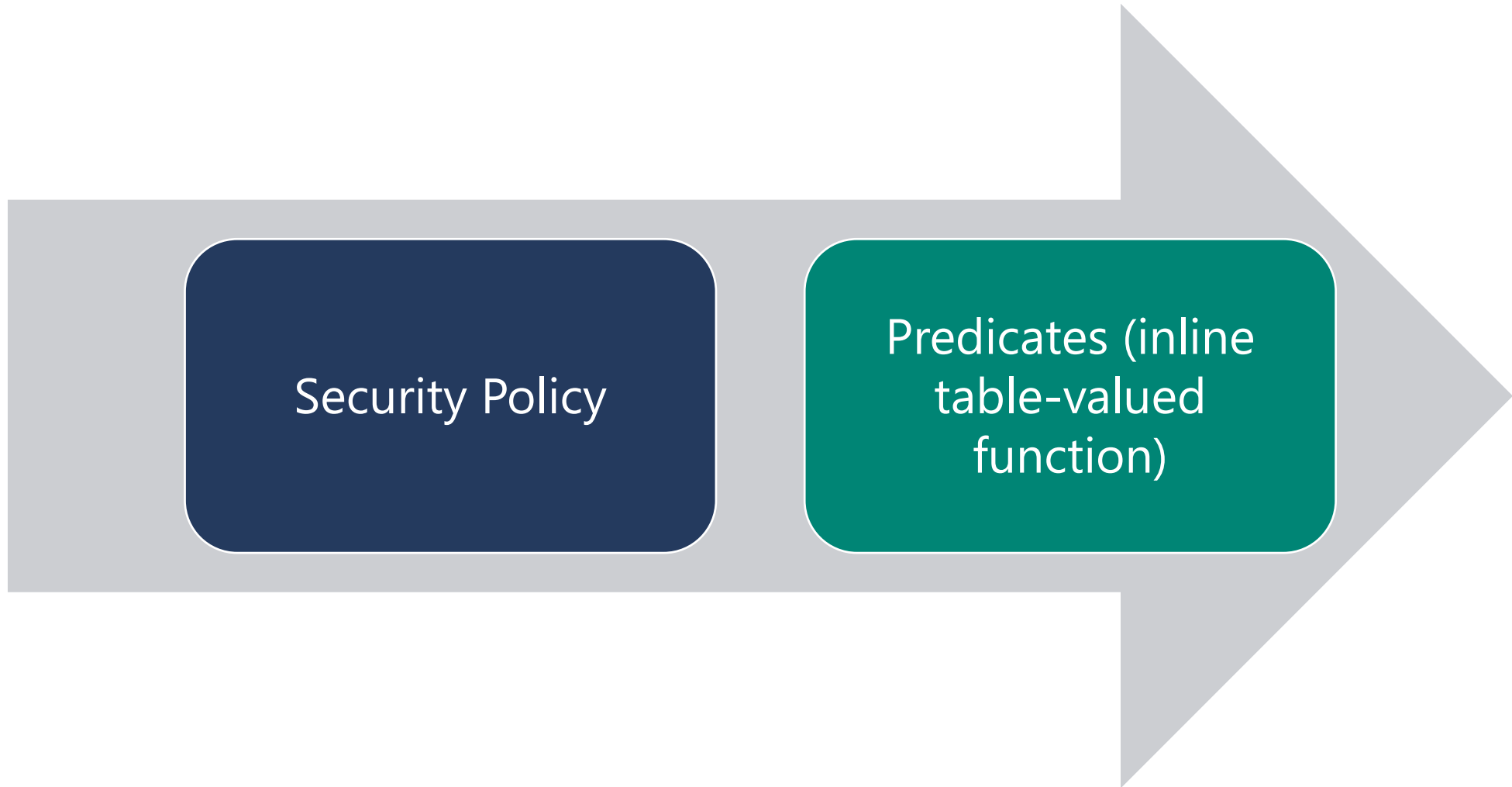
Enables fine grained access control  
at the row level

Security logic is controlled at the  
database tier instead of the  
application tier

# Row-Level Security Use Cases



# Row-Level Security Components



# Row-Level Security Predicates



Filter

Block

```
CREATE FUNCTION fn_RowLevelSecurity (@FilterColumnName sysname) RETURNS TABLE WITH  
SCHEMABINDING  
as  
RETURN SELECT 1 as fn_SecureCustomerData  
-- filter out records based on database user name  
where @FilterColumnName = user_name();
```

# Row-Level Security Policy

Security policies are named objects, scoped to a schema that perform filtering using an inline table-valued function.

State setting determines if they are on or off.

```
CREATE SECURITY POLICY FilterCustomer  
ADD FILTER PREDICATE dbo.fn_RowLevelSecurity(SalesPersonUserName)  
ON dbo.Customer  
WITH (STATE = ON);
```

# Row-Level Security Permissions

Create, alter or drop  
policy

- Requires ALTER ANY SECURITY POLICY
- Creating or dropping a security policy requires the ALTER permission on the schema

For each predicate added

- SELECT and REFERENCES permissions on the function being used as a predicate
- REFERENCES on the target table
- REFERENCES on every column from the target table used as an argument



# Row-Level Security Best Practices

Create a separate schema  
for RLS objects

Monitor who has the ALTER  
ANY SECURITY POLICY –  
intended for highly  
privileged users

If the security policy  
managers have the ALTER  
ANY SECURITY POLICY  
permission, they do not  
need the select permission  
on the table

Keep predicate functions as  
simple as possible to  
prevent performance issues

# Row-Level Security Limitations

Filestream – not supported

Polybase – not supported

DBCC SHOW\_STATISTICS report statistics on unfiltered data (potential leak)

Memory-optimized tables – predicate must use WITH NATIVE\_COMPILATION option

Indexed views cannot be created on top of tables that have a security policy

Change Data Capture (CDC) – can leak rows that should be filtered to db\_owner

# Demonstration

## Row-Level Security

Create a RLS Function and  
Security Policy



# Row-Level Security

You will setup row level security to allow different people to see their territory without seeing data for other territories.



Questions?



# Knowledge Check

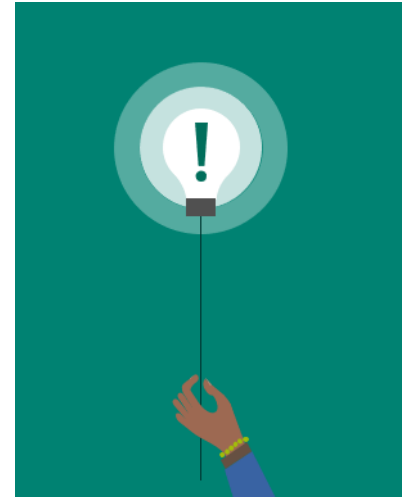
What are some scenarios where row-level security would be beneficial?

# Lesson 3: Dynamic Data Masking

# Objectives

After completing this learning, you will be able to:

- Understand how dynamic data masking can be utilized to enhance data security.





# Dynamic Data Masking Overview

## Why use Dynamic Data Masking?

Limits sensitive data exposure to non-privileged users

Provides control over how much of the sensitive data is revealed

Minimal impact to the application layer

Data in the database remains unchanged

# Types of Data Masks

Default (based on data  
type)

Email

Custom String

Random

# Dynamic Data Masking Limitations

The following columns cannot be masked

- Using Always Encrypted
- FILESTREAM
- Computed Columns\*
- Column that is a key for a full-text index

# Dynamic Data Masking Permissions

ALTER ANY MASK and ALTER  
permission on the table

Required to add,  
replace or remove the  
mask of a column

UNMASK

Required to see  
unmasked data\*

# Demonstration

## Dynamic Data Masking

Creating and Querying Masked  
Tables



Questions?



# Knowledge Check

Which permission needs to be granted for a user to see the full data view

What are the four types of data masks?

## Lesson 4: Introduction to SQL Server Audit



# Objectives

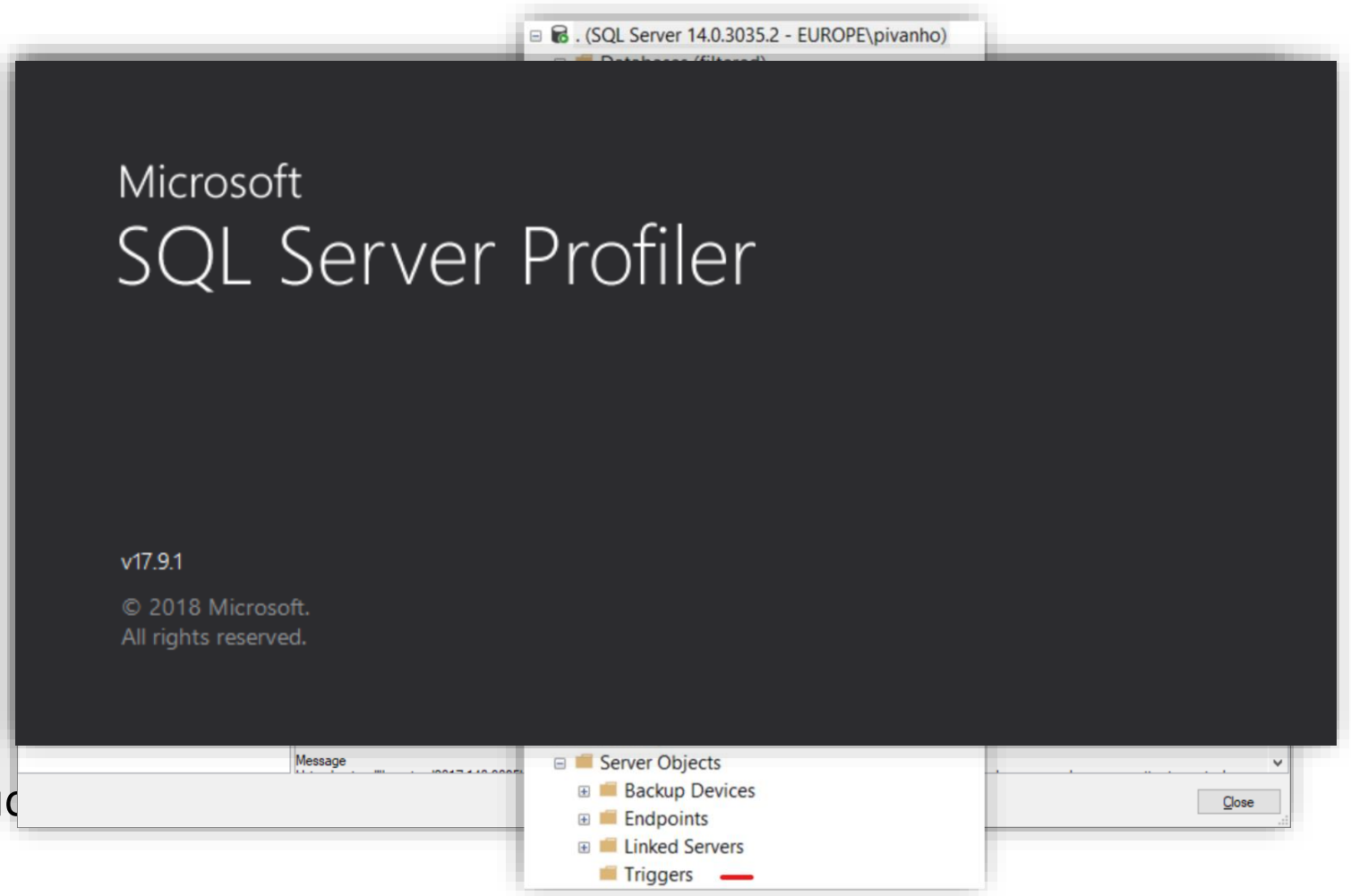
After completing this learning, you will be able to:

- Understand what SQL Server Audit is and how to configure it.

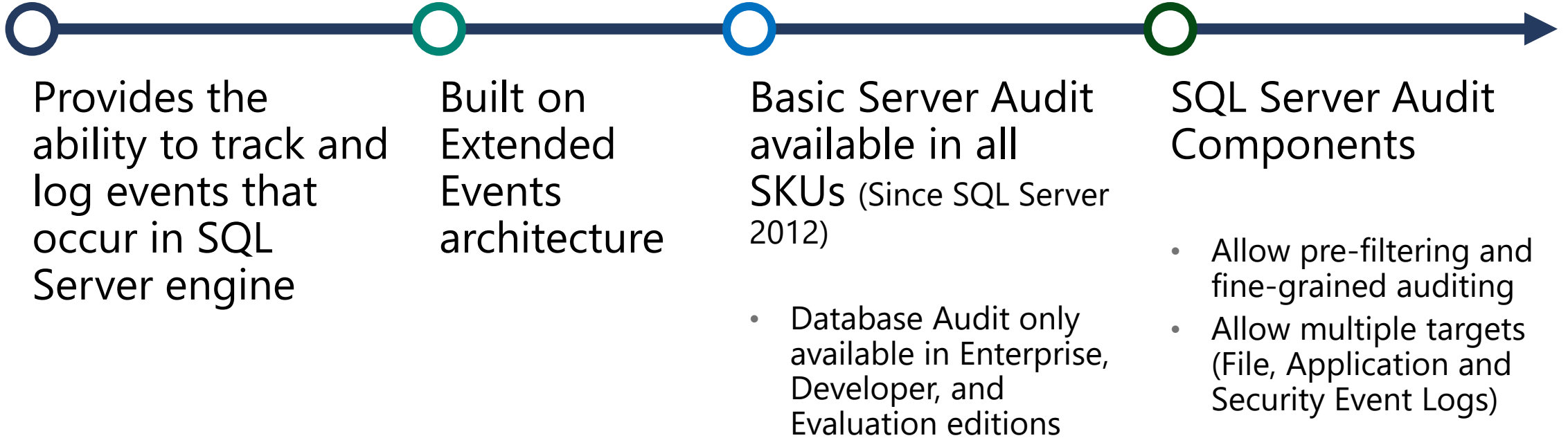


# Some History...

- SQL 2005 and earlier
  - Server Level
  - Application Log
  - SQL Server Error Log
- Triggers
  - Login triggers
  - Server triggers
  - DDL triggers
- SQL Trace (Profiler)
  - Detailed activity audits
  - Individual statements, including



# SQL Server Audit



# Key part of security strategy

Who has accessed or attempted to access your data

Ability to detect unauthorized access attempts

Piece together the actions of malicious insiders

Robust tracking capability

# Primary Goals of SQL Server Audit

## Security

- The audit feature must be truly secure.

## Performance

- Performance impact must be minimized

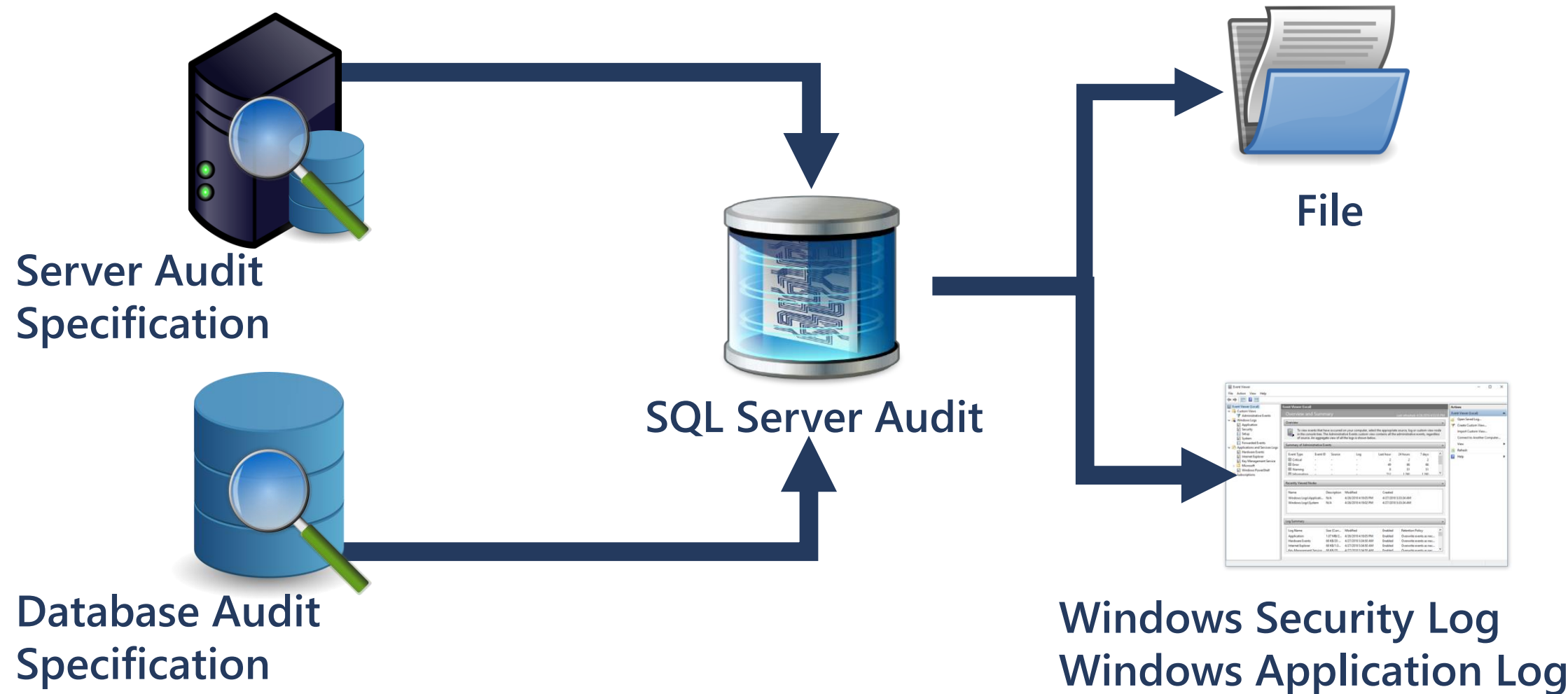
## Management

- The audit feature must be easy to manage.

## Discoverability

- Audit-centric questions must be easy to answer

# Audit Object Layout



# Working with SQL Server Audit



Create an audit and define the target



Create either a server audit specification or database audit specification



Enable the audit specification



Enable the audit



Read the audit events

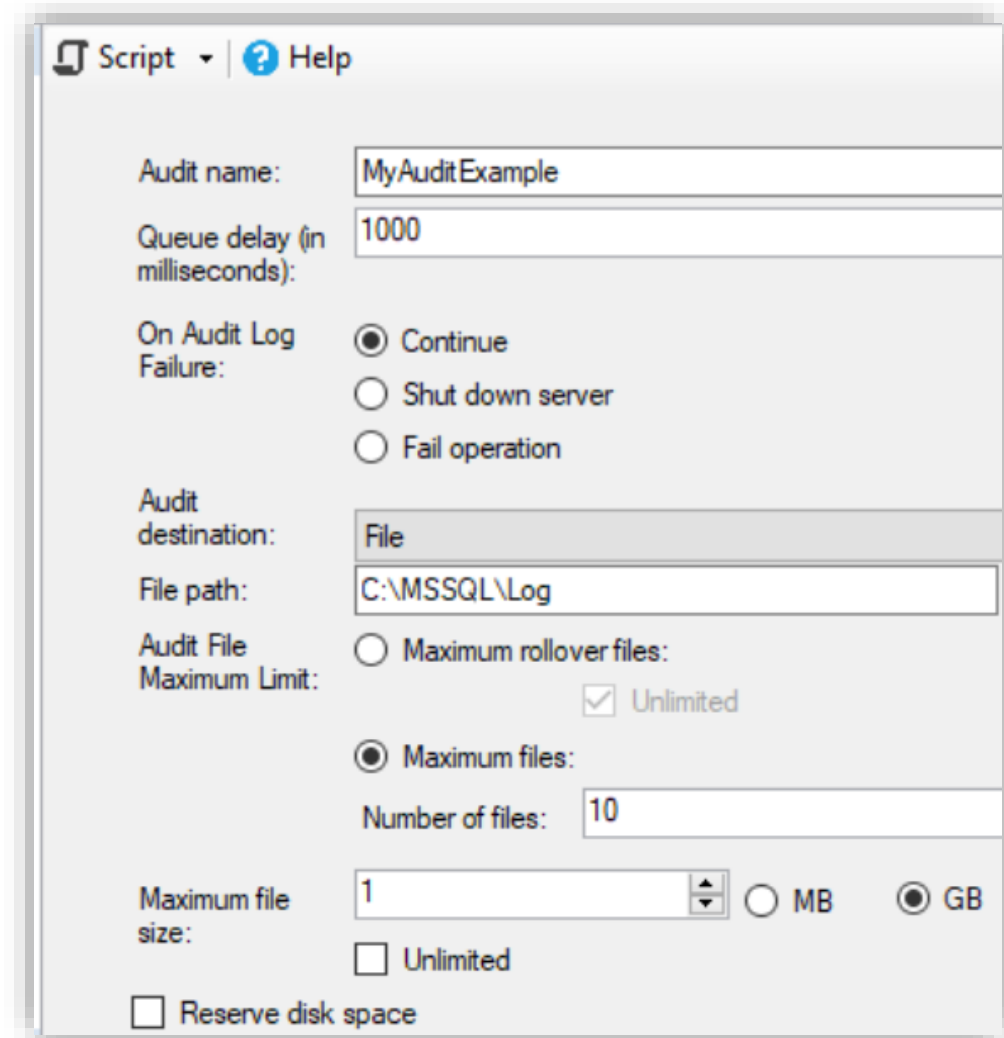
# Create Audit

Queue delay (in milliseconds)

On Audit Log Failure - Continue

On Audit Log Failure - Shut down server

On Audit Log Failure - Fail operation



The screenshot shows the 'Script' window in SQL Server Enterprise Manager, used for creating an audit. The window has a menu bar with 'Script' and 'Help'. The configuration is as follows:

- Audit name:** MyAuditExample
- Queue delay (in milliseconds):** 1000
- On Audit Log Failure:** ☒ Continue, ☐ Shut down server, ☐ Fail operation
- Audit destination:** File
- File path:** C:\MSSQL\Log
- Audit File Maximum Limit:** ☐ Maximum rollover files: ☒ Unlimited, ☒ Maximum files: Number of files: 10
- Maximum file size:** 1 (with up/down arrows), ☐ MB, ☒ GB, ☐ Unlimited
- ☐ Reserve disk space



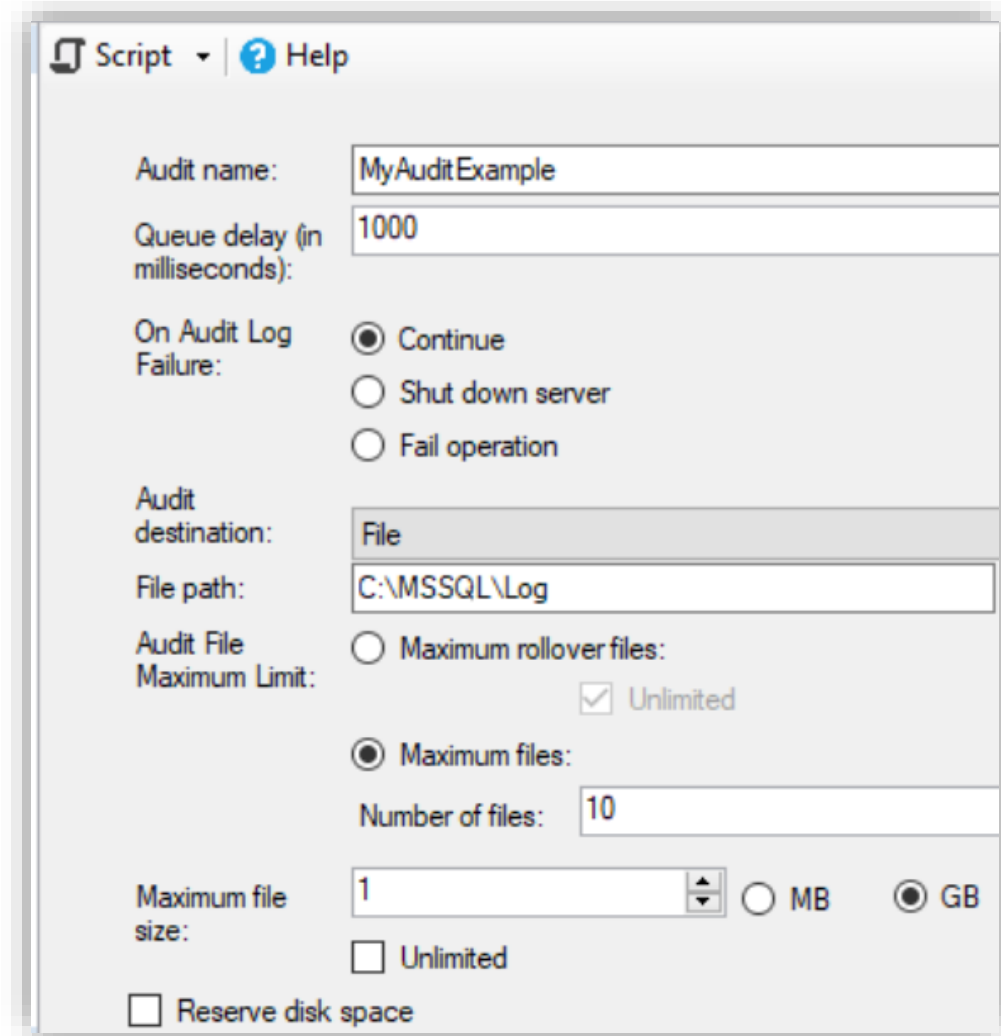
# Create Audit (Continued)

## Audit Destination

- Binary file
- Windows Application log
- Windows Security log

## File Settings

- File Path
- Audit File Maximum Limit
- Maximum File Size
- Reserve disk space



The screenshot shows the 'Script' and 'Help' tabs at the top. The configuration fields are as follows:

- Audit name:** MyAuditExample
- Queue delay (in milliseconds):** 1000
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- Maximum file size:** 1 (with up/down arrows), ☐ MB, ☒ GB, ☐ Unlimited
- ☐ Reserve disk space

# SQL Server Audit Events to the Security Log

## The Audit object

- The Audit object access setting must be configured to capture the events. The audit policy tool (auditpol.exe) exposes a variety of sub-policies settings in the audit object access category. To allow SQL Server to audit object access, configure the application generated setting.

## SQL Server service Account

- The account that the SQL Server service is running under must have the generate security audits permission to write to the Windows Security log.
- secpol.msc → Generate security audits

## Registry

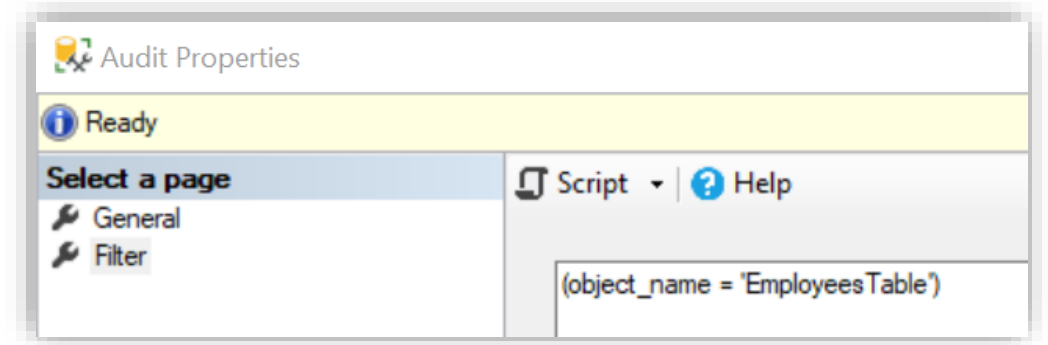
- Provide full permission for the SQL Server service account to the registry hive
- HKEY\_LOCAL\_MACHINE\SYSTEM\CurrentControlSet\Services\EventLog\Security.

# Create Audit Filter

Enter a predicate, or "WHERE clause"

Audit events are filtered before they are written to the audit log

You can filter on every element of the Audit Records



# Server-Level Audit Action Groups

## LOGIN\_CHANGE\_PASSWORD\_GROUP

- Whenever a login password is changed

## SERVER\_OBJECT\_CHANGE\_GROUP

- CREATE, ALTER, or DROP operations on server objects

## SERVER\_PRINCIPAL\_CHANGE\_GROUP

- When server principals are created, altered, or dropped

## SERVER\_ROLE\_MEMBER\_CHANGE\_GROUP

- Whenever a login is added or removed from a fixed server role.

## SUCCESSFUL\_LOGIN\_GROUP

- A principal has successfully logged in to SQL Server

# Database-Level Audit Action Groups

## BACKUP\_RESTORE\_GROUP

- Whenever a backup or restore command is issued

## DATABASE\_CHANGE\_GROUP

- When a database is created, altered, or dropped

## DATABASE\_OBJECT\_CHANGE\_GROUP

- When a CREATE, ALTER, or DROP statement is executed on database objects

## DATABASE\_ROLE\_MEMBER\_CHANGE\_GROUP

- Whenever a login is added to or removed from a database role

## DBCC\_GROUP

- Whenever a principal issues any DBCC command

## FAILED\_DATABASE\_AUTHENTICATION\_GROUP

- A principal tried to log on to SQL Server and failed

# Database Audit Specifications Actions and Groups

```
select *  
from sys.dm_audit_actions  
where class_desc = 'database'  
or parent_class_desc = 'database';
```

action_id	name	class_desc	covering_action_name
R	REVOKE	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
D	DENY	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
G	GRANT	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
GWG	GRANT WITH GRANT	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
RWG	REVOKE WITH GRANT	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
RWC	REVOKE WITH CASCADE	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
DWC	DENY WITH CASCADE	DATABASE	DATABASE_PERMISSION_CHANGE_GROUP
R	REVOKE	OBJECT	NULL
D	DENY	OBJECT	NULL
G	GRANT	OBJECT	NULL
GWG	GRANT WITH GRANT	OBJECT	NULL
RWG	REVOKE WITH GRANT	OBJECT	NULL
RWC	REVOKE WITH CASCADE	OBJECT	NULL
DWC	DENY WITH CASCADE	OBJECT	NULL
R	REVOKE	TYPE	NULL
D	DENY	TYPE	NULL
G	GRANT	TYPE	NULL
GWG	GRANT WITH GRANT	TYPE	NULL
RWG	REVOKE WITH GRANT	TYPE	NULL
RWC	REVOKE WITH CASCADE	TYPE	NULL
DWC	DENY WITH CASCADE	TYPE	NULL
R	REVOKE	SCHEMA	NULL
D	DENY	SCHEMA	NULL

# List All Server and Database Action Groups

```
select name,class_desc from
sys.dm_audit_actions
where name in
(select containing_group_name
from sys.dm_audit_actions) order
by class_desc, name;
```

name	class_desc
DBCC_GROUP	DATABASE
FAILED_DATABASE_AUTHENTICATION_GROUP	DATABASE
SCHEMA_OBJECT_ACCESS_GROUP	DATABASE
SCHEMA_OBJECT_CHANGE_GROUP	DATABASE
SCHEMA_OBJECT_OWNERSHIP_CHANGE_GROUP	DATABASE
SCHEMA_OBJECT_PERMISSION_CHANGE_GROUP	DATABASE
SUCCESSFUL_DATABASE_AUTHENTICATION_GROUP	DATABASE
USER_CHANGE_PASSWORD_GROUP	DATABASE
USER_DEFINED_AUDIT_GROUP	DATABASE
APPLICATION_ROLE_CHANGE_PASSWORD_GROUP	SERVER
AUDIT_CHANGE_GROUP	SERVER
BACKUP_RESTORE_GROUP	SERVER
BROKER_LOGIN_GROUP	SERVER
DATABASE_CHANGE_GROUP	SERVER
DATABASE_LOGOUT_GROUP	SERVER
DATABASE_MIRRORING_LOGIN_GROUP	SERVER
DATABASE_OBJECT_ACCESS_GROUP	SERVER
DATABASE_OBJECT_CHANGE_GROUP	SERVER
DATABASE_OBJECT_OWNERSHIP_CHANGE_GROUP	SERVER
DATABASE_OBJECT_PERMISSION_CHANGE_GROUP	SERVER

# Get Information About a Particular Group Name

```
select *  
from sys.dm_audit_actions  
where containing_group_name = 'USER_CHANGE_PASSWORD_GROUP';
```

action_id	name	class_desc	covering_action_name	parent_class_desc	covering_parent_action_name	configuration_level
PWR	RESET PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
PWRS	RESET OWN PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
PWCS	CHANGE OWN PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
PWC	CHANGE PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
USTC	COPY PASSWORD	USER	NULL	DATABASE	USER_CHANGE_PASSWORD_GROUP	NULL
UCGP	USER_CHANGE_PASSWORD_GROUP	DATABASE	NULL	SERVER	USER_CHANGE_PASSWORD_GROUP	Group
UCGP	USER_CHANGE_PASSWORD_GROUP	SERVER	NULL	NULL	NULL	Group



# Database-Level Audit Actions

SELECT

UPDATE

INSERT

DELETE

EXECUTE

RECEIVE

REFERENCES

# View a SQL Server Audit Log



SQL SERVER  
MANAGEMENT STUDIO



SYS.FN\_GET\_AUDIT\_FILE

# sys.fn\_get\_audit\_file

- **file\_pattern**
  - Specifies the directory or path and file name for the audit file set to be read.
- **initial\_file\_name**
  - Specifies the path and name of a specific file in the audit file set to start reading audit records from
- **audit\_record\_offset**
  - Specifies a known location with the file specified for the initial\_file\_name

```
SELECT * FROM sys.fn_get_audit_file  
( '\\serverName\Audit\HIPAA_AUDIT.sqlaudit', default, default );
```

# Considerations



In the case of a failure during audit initiation, the server will not start.



Attaching a Database with an Audit Defined



Always On Availability Groups and SQL Server Audit



Auditing Administrators

# Demonstration

Demonstrate how to Create an Audit and Audit Specification within SQL Server



Questions?



# SQL Server Auditing

- Exercise 1: Create a Login with PowerShell
- Exercise 2: Create a Server audit and read its results



# Knowledge Check

How do you start an audit after creating the server audit specification login?

How do you stop the audit?

What are audit action groups in a server audit specification?



# Lesson 5: Ledger for SQL Server

# Objectives

After completing this learning, you will be able to:

- Understand what Ledger for SQL Server is and how to configure it.



# Security enhancements - Ledger for SQL Server

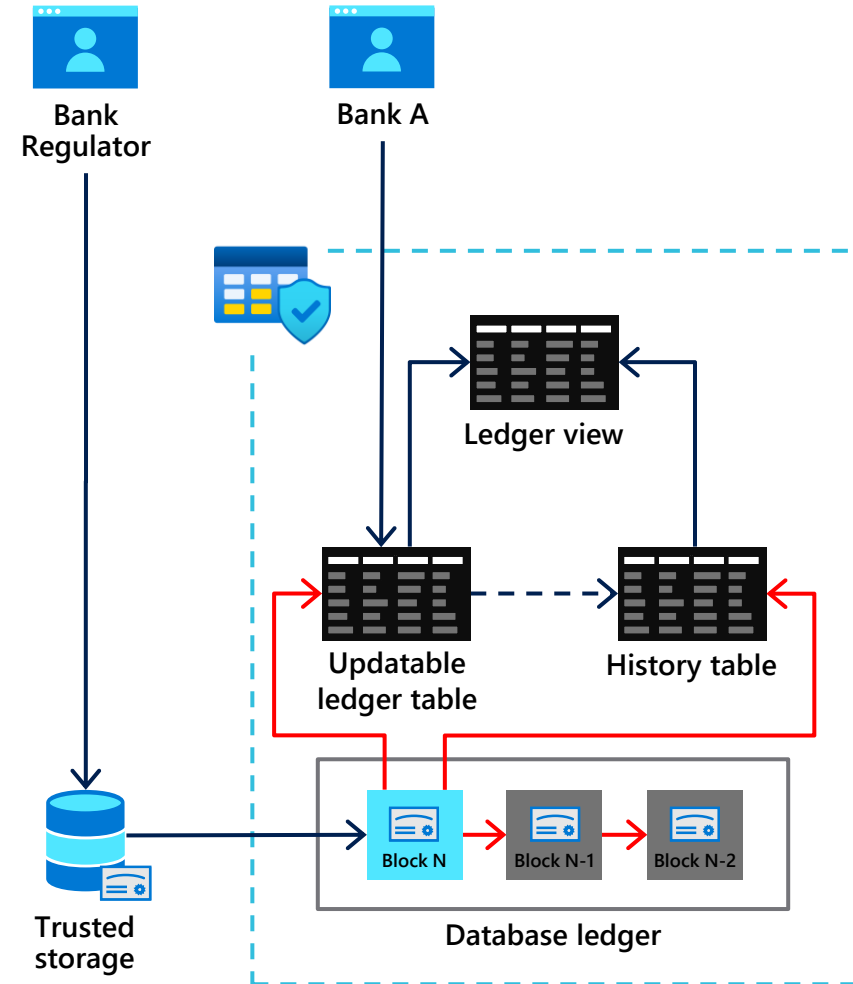
Ledger for SQL Server -The ledger feature provides tamper-evidence capabilities in your database. You can cryptographically attest to other parties, such as auditors or other business parties, that your data hasn't been tampered with.

# Ledger for SQL Server

Tamper-evidence track record of data over time

Challenge: I want the power of blockchain in a centralized system like SQL Server

- ✓ Use a cryptographically hashed ledger detect tampering by malicious actors
- ✓ Built into SQL Server with T-SQL
- ✓ Establish digital trust in a centralized system using blockchain technology.
- ✓ Attest to other parties that data integrity has not been compromised
- ✓ Automatic digest storage



# Ledger Tables – Updatable and Append-Only

**Updatable Ledger Tables** are standard SQL tables which allow updates and deletes

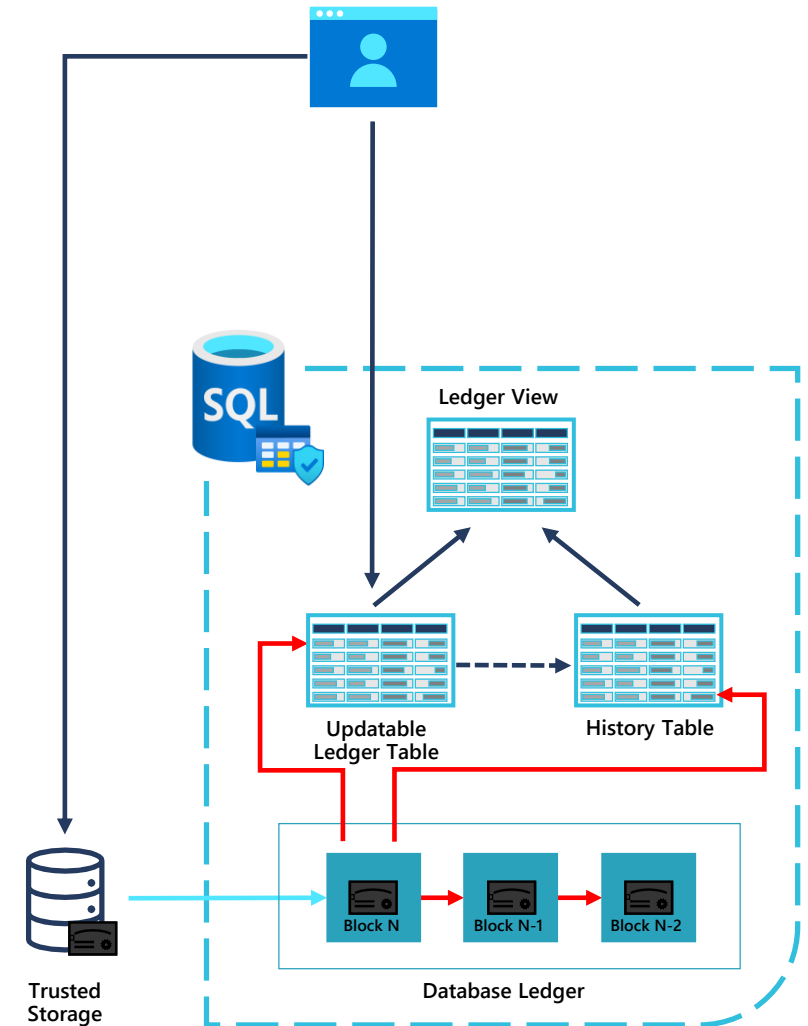
The history of rows that have been updated or deleted are preserved in the history table and easy-to-query Ledger View

Integrity of the updatable and history tables are maintained through cryptographic links from the Database Ledger

System periodically uploads digital receipts to a customer-configured trusted storage service

Customer can use digital receipts to verify the integrity of data in Ledger tables

**Append-Only Ledger Tables** block UPDATE/DELETE at the API and remove the need for a history table



# How to use Ledger for SQL Server

## Create a Ledger table

Updateable or  
Append Only

## Make changes

INSERT, UPDATE/ DELETE  
(only updateable)

## Save digest

Manual or auto

View ledger history



Verify Ledger



# Creating an Account Balance Updatable Ledger Table

```
CREATE SCHEMA [Account];
GO
CREATE TABLE [Account].[Balance]
([CustomerID] INT NOT NULL PRIMARY KEY CLUSTERED,
 [LastName] VARCHAR (50) NOT NULL,
 [FirstName] VARCHAR (50) NOT NULL,
 [Balance] DECIMAL (10,2) NOT NULL)
WITH (SYSTEM_VERSIONING = ON (HISTORY_TABLE = [Account].[BalanceHistory]),
 LEDGER = ON);
```

	ledger_table_name	history_table_name	ledger_view_name
1	Account.Balance	Account.MSSQL_LedgerHistoryFor_1525580473	Account.Balance_Ledger

# Viewing the Account Balance Updatable Ledger Table

```
SELECT ts.[name] + '.' + t.[name] AS [ledger_table_name]
, hs.[name] + '.' + h.[name] AS [history_table_name]
, vs.[name] + '.' + v.[name] AS [ledger_view_name]
FROM sys.tables AS t
JOIN sys.tables AS h ON (h.[object_id] = t.[history_table_id])
JOIN sys.views v ON (v.[object_id] = t.[ledger_view_id])
JOIN sys.schemas ts ON (ts.[schema_id] = t.[schema_id])
JOIN sys.schemas hs ON (hs.[schema_id] = h.[schema_id])
JOIN sys.schemas vs ON (vs.[schema_id] = v.[schema_id])
WHERE t.[name] = 'Balance';
```

	ledger_table_name	history_table_name	ledger_view_name
1	Account.Balance	Account.MSSQL_LedgerHistoryFor_1525580473	Account.Balance_Ledger



# Add 4 Accounts In 2 Separate Transactions

**Tx1:** Add Nick with an opening balance of \$50

**Tx2:** Add John, Joe and Mary

- 1. Each transaction has it's own unique transaction ID
- 2. Tx2 modified 3 rows, each tracked with a ledger sequence number

## Updatable ledger table

Results Messages

	CustomerID	LastName	FirstName	Balance	ledger_start_transaction_id	ledger_end_transaction_id	ledger_start_sequence_number	ledger_end_sequence_number
1	1	Jones	Nick	50.00	999	NULL	0	NULL
2	2	Smith	John	500.00	1002	NULL	0	NULL
3	3	Smith	Joe	30.00	1002	NULL	1	NULL
4	4	Michaels	Mary	200.00	1002	NULL	2	NULL

# Update Nick's Balance From \$50 To \$100

Applies to: Azure SQL Database, Managed Instance preview

## Updatable ledger table – Nick's balance is now \$100

	CustomerID	LastName	FirstName	Balance	ledger_start_transaction_id	ledger_end_transaction_id	ledger_start_sequence_number	ledger_end_sequence_number
1	1	Jones	Nick	100.00	1055	NULL	0	NULL
2	2	Smith	John	500.00	1002	NULL	0	NULL
3	3	Smith	Joe	30.00	1002	NULL	1	NULL
4	4	Michaels	Mary	200.00	1002	NULL	2	NULL

## History Table – Shows the historical value of row containing Nick's opening balance

	CustomerID	LastName	FirstName	Balance	ledger_start_transaction_id	ledger_end_transaction_id	ledger_start_sequence_number	ledger_end_sequence_number
1	1	Jones	Nick	50.00	999	1055	0	1

## Ledger View – Shows Nick's update as a delete followed but a subsequent insert

	CustomerID	LastName	FirstName	Balance	ledger_transaction_id	ledger_sequence_number	ledger_operation_type_id	ledger_operation_type_desc
1	1	Jones	Nick	50.00	999	0	1	INSERT
2	2	Smith	John	500.00	1002	0	1	INSERT
3	3	Smith	Joe	30.00	1002	1	1	INSERT
4	4	Michaels	Mary	200.00	1002	2	1	INSERT
5	1	Jones	Nick	50.00	1055	1	2	DELETE
6	1	Jones	Nick	100.00	1055	0	1	INSERT

# Ledger Views

Applies to: Azure SQL Database, Managed Instance preview

**Sys.database\_ledger\_transactions** - Records the table hashes for each transaction in the database as well as the user who issued the transaction

	transaction_id	block_id	transaction_ordinal	commit_time	principal_name	table_hashes
1	999	0	0	2021-03-23 20:18:08.2700000	janders	0xB982EE5A88DFE8EF08BE7564D62273BD17306231C8E22E052644805...
2	1002	0	1	2021-03-23 20:18:12.9300000	janders	0xB982EE5AB931133CF9B8E6FCD06C9AF25C0F0C6A9A91A12C89A84AB...
3	1055	0	2	2021-03-23 20:40:08.9500000	janders	0xB982EE5A38F20FA9D8ABFC3C3523284FE65466DAA9E91166447648B...
4	1091	0	3	2021-03-23 21:36:22.2533333	janders	0x9D13BF5E345245E7456EC748BC895E0E1323379BD04EBC35638D91E...

**Sys.database\_ledger\_blocks** – Records the hash of each block created in the database, along with the # of transactions in the block

	block_id	transactions_root_hash	block_size	previous_block_hash
1	0	0x8F3C4C8ADF99EAEE24A783CB1AC282A12E9C9ECA619DDE19B2C98D8ECCA5E4A5	4	NULL

# Ledger FAQ

## How is this different from a temporal table?

- Built-in transaction auditing
- Append-only
- Database Ledger and digest for tamper evidence

## How is this different than SQL Server Audit?

- Transaction history and audit built into database
- Digest verification

## Can I disable ledger from a table?

- You cannot ALTER a table to “turn off” ledger
- You cannot turn off system versioning

## Can I drop a ledger table?

- Yes, but a history of the dropped table and ledger is kept

# Ledger FAQ

## How often do I need to save the digest?

- As frequent as you need to ensure the ledger is tamper proof

## Does Ledger require more space?

- Updateable requires similar extra space as temporal
- **Plus** database ledger requires some minimal extra space for hashes and blockchain
- Digests small and separate but you may need a long history
- You can't archive or truncate ledger tables and database ledger

## Any performance impact?

- Append only should see minimal impact
- Updateable would have similar impact as temporal

# Demonstration

Create and Update a Ledger  
Table



