



# SQL Server Configuration And Database Maintenance

Module 1

## Learning Units covered in this Module

- Lesson 1: Windows Server Configuration
- Lesson 2: SQL Server Configuration
- Lesson 3: Database Configuration
- Lesson 4: Database Maintenance

# Lesson 1: Windows Server Configuration

# Objectives

After completing this learning, you will be able to:

- Explain various Windows Server configuration settings that DBAs should be aware of for SQL Server workloads.



# Windows Server Configuration

## Categories

Security and Permissions

Antivirus Exclusions

Power Management

Page File

# Windows Server Configuration

## Security and Permissions

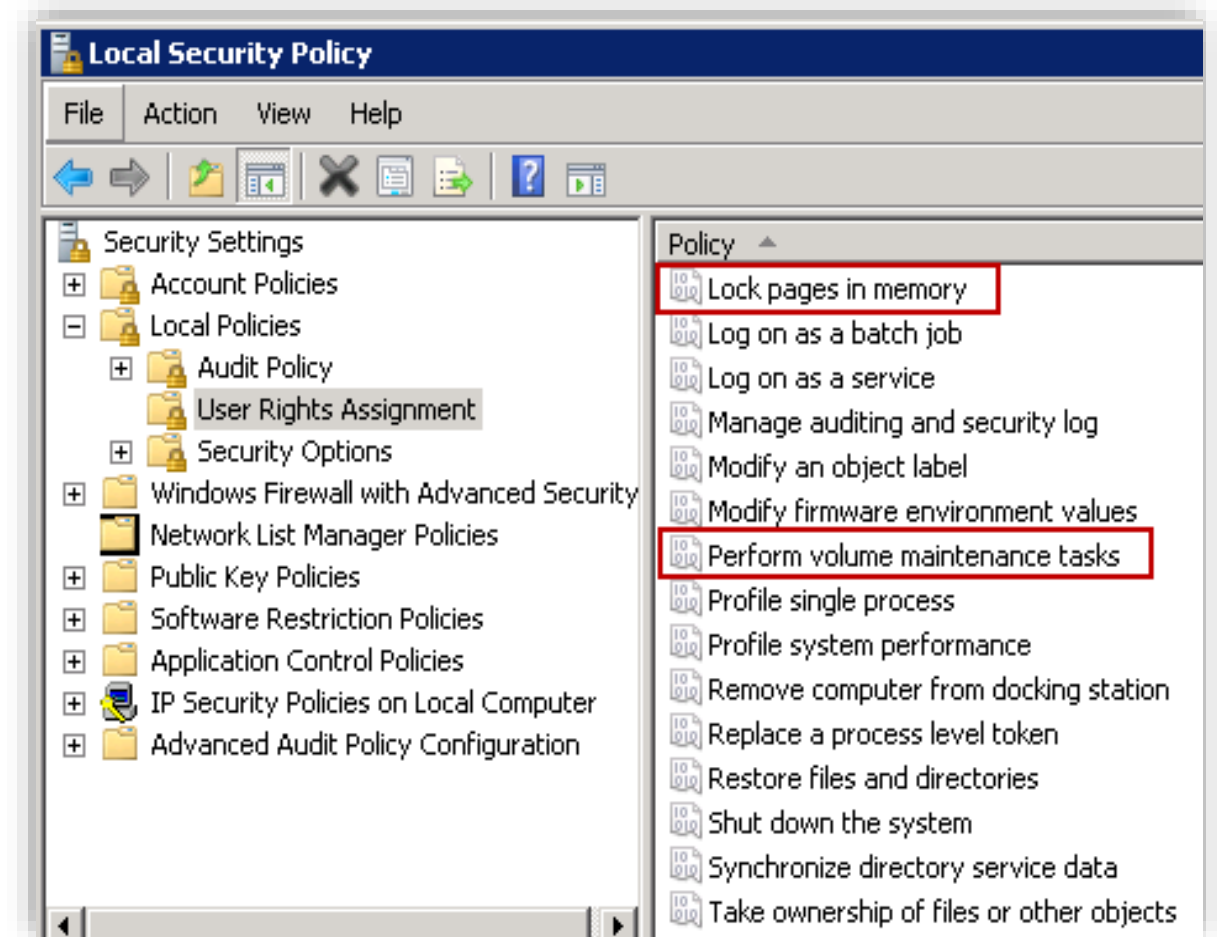
### Required Permissions

- Log on as a Service
- Replace a process level token
- Bypass traverse checking
- Adjust memory quotas for a

### Optional Permissions

- Lock Pages In Memory
- Perform Volume Maintenance Tasks

### Managed Service Accounts (MSA) and Group Managed Service Accounts (gMSA)



# Windows Server Configuration

## Antivirus Best Practices

- Antivirus Software adds security at the cost of CPU and IO overhead.
- Proper Antivirus scanning exclusions will help in mitigating the overheads and avoid problems in SQL Server.

Data Files

Log Files

Backup files

Trace files  
.trc and .xel

SQL Server  
executables

SSAS data  
directories

# Windows Server Configuration

## Power Management

OS Power Management impacts how the CPUs work

- Lower clock speeds
- Lower voltage
- Core-parking

Balanced versus High Performance

- For SQL Server workloads, High Performance is recommended

Can be set at the OS and at the BIOS/hardware level

Check periodically – Group Policy Objects (GPOs) may push down the change



# Windows Server Configuration

## Page File

Page file is an extension of physical memory for Windows OS to use when running low on memory.

Paging is controlled by Windows operating system; SQL Server has no direct control over Paging.

Warning messages in SQL error logs when SQL memory has been paged out.

- *A significant part of sql server process memory has been paged out. This may result in a performance degradation. Duration: 0 seconds. Working set (KB): 1086400, committed (KB): 2160928, memory utilization: 50%*

Increasing Page file size will not improve SQL Server performance.

- Performance will suffer if SQL Buffer Pool memory has been paged out.

## Size of Page file.

- Not a consideration for SQL Server workloads or performance.
- Monitor Page File performance counters to determine a more appropriate page file size.

# Windows Server Configuration

## Windows Server Core

Windows OS without a desktop or GUI components

Only installs necessary server components

### Benefits of Windows Server Core:

- Reduced servicing
- Reduced management
- Reduced attack surface area
- Less disk space required for the OS binaries

Questions?



# Knowledge Check

What is the Page File size recommendation for SQL Server machines?

True/False: Only data files should be excluded from antivirus exclusion.

What to do when you see the "*A significant part of sql server process memory has been paged out*" messages in SQL Server error logs?

What type of service accounts can be used to have the password managed automatically by the domain controller?

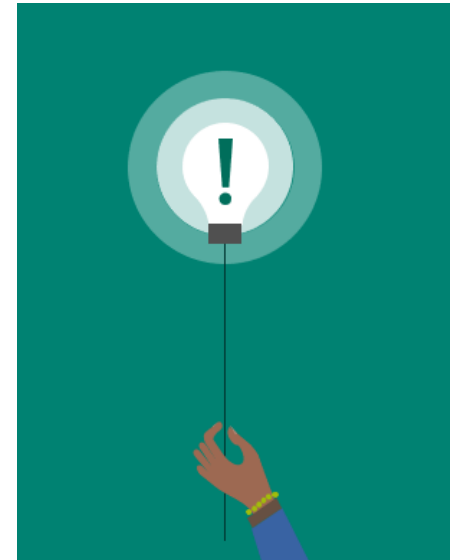
Which version of SQL Server introduced support for Group Managed Service Accounts for failover clusters?

## Lesson 2: SQL Server Configuration

# Objectives

After completing this learning, you will be able to:

- Explain various SQL Server configuration settings and best practices related to
  - Processor configuration.
  - Memory configuration.
  - Other important configuration settings.



# SQL Server Configuration

Tools Used To Configure A SQL Server Instance

SQL Server Management Studio

SQL Server Configuration Manager

sp\_configure

ALTER SERVER CONFIGURATION (transact-SQL)

Registry

# SQL Server Configuration

## Processor Configuration Settings And Best Practices

### Affinity Mask

- Assigns CPUs for SQL Server use
- Set via `sp_configure` or Alter Server Configuration
- Only required in specific scenarios

### Max Degree of Parallelism (MAXDOP)

- Maximum number of processors that are used for the execution of a query in a parallel plan. This option determines the number of threads that are used for the query plan operators that perform the work in parallel.

### Cost Threshold for Parallelism

- Queries with a cost that is higher than this value will use parallelism
- Only required when dealing with excessive parallelism

### Max Worker Threads

- Number of threads SQL Server can allocate
- Recommended value is 0. SQL Server will dynamically set the Max based on CPUs and CPU architecture.  $(512 + (\text{Processors} - 4) * 16)$



# SQL Server Configuration

## MAXDOP Setting and Best Practices

Best Practice Recommendations (documented in ): [KB 2806535](#)

Server with single NUMA node	Less than or equal to 8 logical processors	Keep MAXDOP at or below # of logical processors
Server with single NUMA node	Greater than 8 logical processors	Keep MAXDOP at 8
Server with multiple NUMA nodes	Less than or equal to 16 logical processors per NUMA node	Keep MAXDOP at or below # of logical processors per NUMA node
Server with multiple NUMA nodes	Greater than 16 logical processors per NUMA node	Keep MAXDOP at half the number of logical processors per NUMA node with a MAX value of 16

# SQL Server Configuration

## Memory Settings and Best Practices

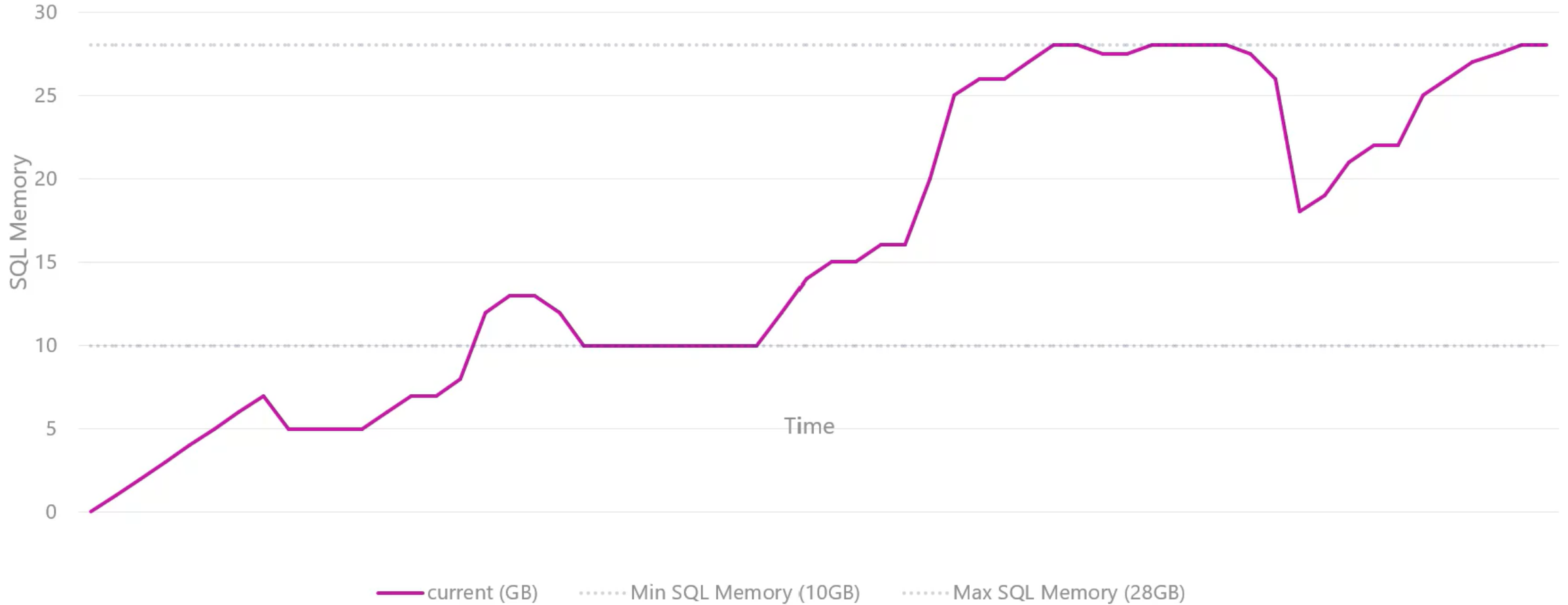
### Min Server Memory and Max Server Memory

- Controls most of the memory allocated by SQL Server
- Can be left at default; however, most recommendations are to set to Max Server Memory to allow for memory to be available for all other services on the system
- Cluster Considerations
  - How many instances may run on one node at any given time?

### Lock Pages in Memory

- Used to help ensure that SQL Server memory is not paged out

# Dynamic Memory Management



# SQL Server Configuration

Other important configuration settings

## Backup Compression Default

- All backups taken on the SQL Server instance will be compressed.

## Priority Boost

- Raises the priority of sqlservr.exe at the OS level.
- Leave at 0 unless directed by Microsoft Support.

## Lightweight Pooling

- Enables Fiber mode. Leave to 0 unless directed by Microsoft Support.

## Recovery Interval

- The maximum ideal time, in seconds, that SQL Server will spend during the recovery process for a given database after restart, crash or failover.

## Optimize for ad-hoc workloads

- Avoid plan cache pollution with lots of Adhoc SQL statements.

# SQL Server Configuration Manager

SQL Server Service and Network Configuration

Changing the Accounts Used by the Services

Change startup parameters (such as to add trace flags)

Enable Availability Groups and Filestream features

Manage Server & Client Network Protocols

- Enable/Disable specific network protocol: TCP/IP, Named Pipes or Shared Memory
- Change the order in which protocols are used
- Force protocol encryption
- Define and manage server aliases

# SQL Server Configuration

## ALTER SERVER CONFIGURATION

Processes affinity configuration and to replace the affinity mask `sp_configure` options.

Extends the usage to control diagnostic logging and failover clustering properties.

Extends the usage to control the Buffer Pool Extensions feature with this command.

Allows for the `SOFT NUMA` option to control Soft NUMA configuration.

Allows the `MEMORY_OPTIMIZED` option to enable or disable memory-optimized tempdb metadata and hybrid buffer pool.

# Demonstration

ALTER SERVER  
CONFIGURATION command



Questions?





# Knowledge Check

What is an easy way to find out which settings in sp\_configure which can be changed without recycling SQL Service?

Which SQL Server tool can be used to enforce TCP/IP protocol encryption?

What is the recommended value for Priority Boost configuration option?

What is the recommend value for MAXDOP server configuration option for a server with single NUMA node and 12 logical processors?

If you leave the Max\_Worker\_threads option to its default setting of 0, how many threads will SQL Server use?

# Lesson 3: Database Configuration

# Objectives

After completing this learning, you will be able to:

- Explain various configuration settings available at the database level.
- Describe the new DATABASE SCOPED CONFIGURATION command.



# Database files and filegroups

## Database files

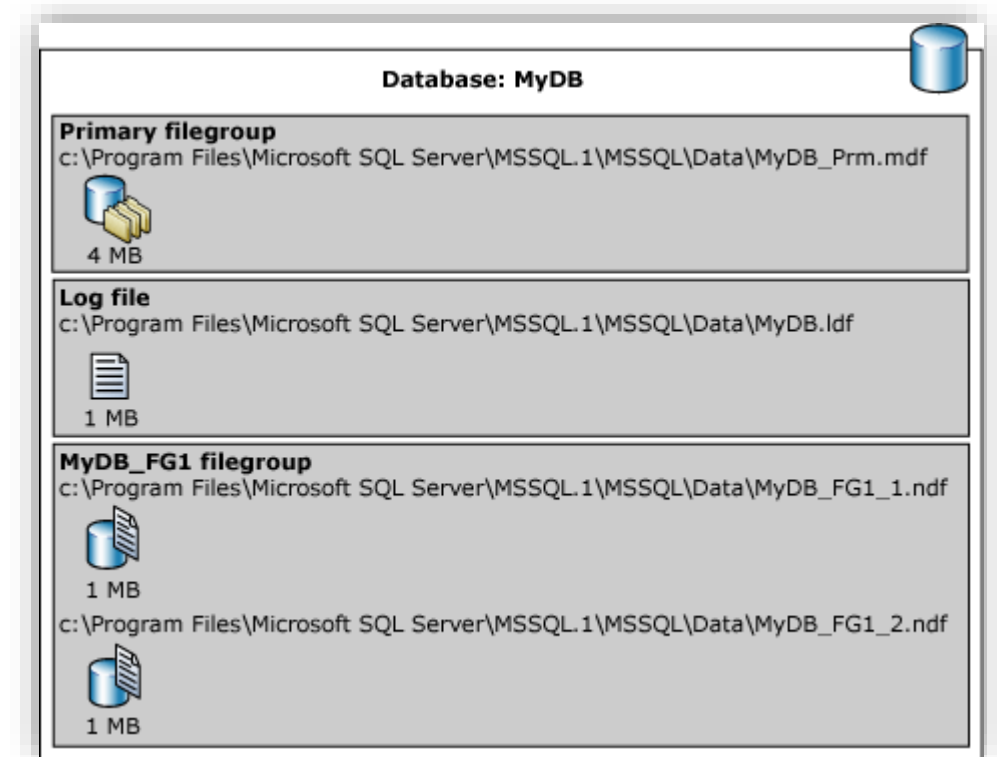
A database is composed by at least two operating system files:

### Data files

- Contain database objects and data
- First data file is called primary data file. This file has a .mdf extension
- A database may have additional data files, known as secondary data files. They use .ndf extension
- Can be grouped together in filegroups for allocation and administration purposes

### Log file

- Contain Log Records and entries are sequenced

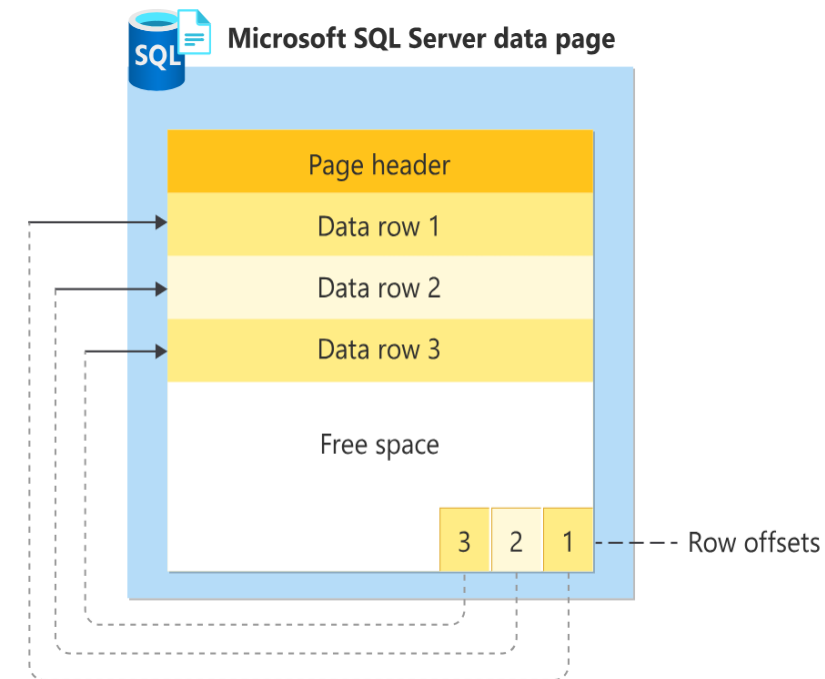


# Pages and Extents architecture

A data page is the fundamental unit of data storage in SQL Server.

- The disk space allocated to a data file (.mdf or .ndf) is logically divided into pages.
- Each page is 8 KB in size
- Pages are numbered contiguously from 0 to n.
- Disk I/O operations are performed at the page level.

Extents are a collection of eight physically contiguous pages (64KB) and are used to efficiently manage the pages.



# Database Configuration

Database Configuration Settings Categories

General

File/Filegroups

Options

Change Tracking

Transaction Log Shipping

Mirroring

# Database Configuration

## General Database Configuration Settings

### Collation

- Governs how SQL Server sorts and compares data.

### Recovery Model

- Governs how transactions are stored in the transaction log.

### Compatibility Level

- Sets database functionality and behaviors to the SQL Server level specified.

### Containment Type

- Determines if a database is partially contained.

# Database Configuration

## Database AUTO Configuration Settings

### Auto Close

- Shuts down the database cleanly and frees resources after the last user exits

### Auto Shrink

- Allows for the periodic shrinking of database files
- If turned on, this can cause an “accordion effect”. Generally recommended to be turned off

### Auto Create Statistics

- Determines whether a database automatically creates missing optimization statistics

### Auto Create Incremental Statistics

- Update statistics for only a specific partition

### Auto Update Statistics

- Automatic update of out-of-date statistics

### Auto Update Statistics ASYNC

- Updates statistics asynchronously



# Database Configuration

## Database State Configuration Settings

### Database State

- Online, Offline, Restoring, Recovery Pending, Suspect, and Emergency

### Restricted Access

- Specifies which users may access the database (Multiple, Single, and Restricted)

### Encryption Enabled

- Determines whether a database is enabled for encryption

### Read Only

- Make the database read only

# Database Configuration

## DATABASE SCOPED CONFIGURATION

Implement some of the settings at the database level, which were earlier configurable only at the instance level. These are also customizable on Always On secondary replicas.

- CLEAR PROCEDURE\_CACHE
- MAXDOP
- LEGACY\_CARDINALITY\_ESTIMATION
- PARAMETER\_SNIFFING
- QUERY\_OPTIMIZER\_HOTFIXES
- IDENTITY\_CACHE
- VERBOSE\_TRUNCATION\_WARNINGS
- LAST\_QUERY\_PLAN\_STATS

```
SELECT * From sys.database_scoped_configurations;
```

# Demonstration

ALTER DATABASE SCOPED  
CONFIGURATION command



# SQL Server System Databases

## **master**

- Records all the system-level information for an instance of SQL Server.
- Contains metadata about other databases, logins, and configuration settings.

## **model**

- Serves as the template for all databases created on the SQL Server instance.
- Modifications made to the model database apply to new databases.

## **msdb**

- Used by SQL Server Agent for scheduling alerts and jobs.
- Stores information related to maintenance plans, and other administrative tasks.

## **tempdb**

- Workspace for holding temporary objects or intermediate result sets.
- Used for sorting, temporary tables, and other temporary storage needs.

Questions?



# Knowledge Check

Which version of SQL Server first introduced the ALTER DATABASE CONFIGURATION SET MAXDOP option?

What is the recommended setting for the PAGE\_VERIFY database option?

What are the recommended and default settings for the AUTO\_CREATE\_STATISTICS and AUTO\_UPDATE\_STATISTICS options?

True/False: SQL Server DBAs can change the database state value from Emergency to Online in the Database Properties in SSMS.

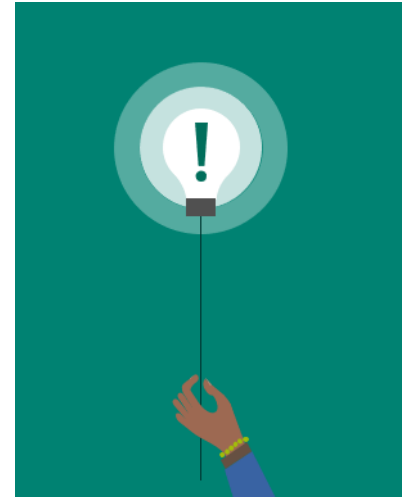
Which database option allows updating statistics for a specific partition?

# Lesson 4: Database Maintenance

# Objectives

After completing this learning, you will be able to:

- Explain various database maintenance activities such as index defragmentation, statistics maintenance, DBCC Checks.
- Explain the differences between index rebuild and index reorganize.
- Describe smart index maintenance.





# Database Maintenance

## Checklist

### Data and Log File Management

### Index Maintenance

- Reorganize and Rebuilding Indexes
- Online Index Maintenance

### Statistics Maintenance

### Integrity Checks

### Smart Maintenance

- Maintenance Plans
- Custom solution

# Database Maintenance

## Database File Size Management

Monitor available space in data and log files

Adjust auto growth settings on data and log files

- Fixed Size Growth (Recommended)
- Percentage Growth
- Enable Instant File Initialization to reduce impact of autogrowth
- Defragment database file system if lots of autogrowth events have occurred

Monitor Virtual Log File (VLF) Fragmentation

- Monitor with the sys.dm\_db\_log\_stats dynamic management view.

Shrinking database and log files

- Not recommended as a regular maintenance task
- Don't forget to defragment indexes after shrinking database files

# Clustered vs Nonclustered Indexes

An index is an on-disk structure associated with a table or view that speeds retrieval of rows.

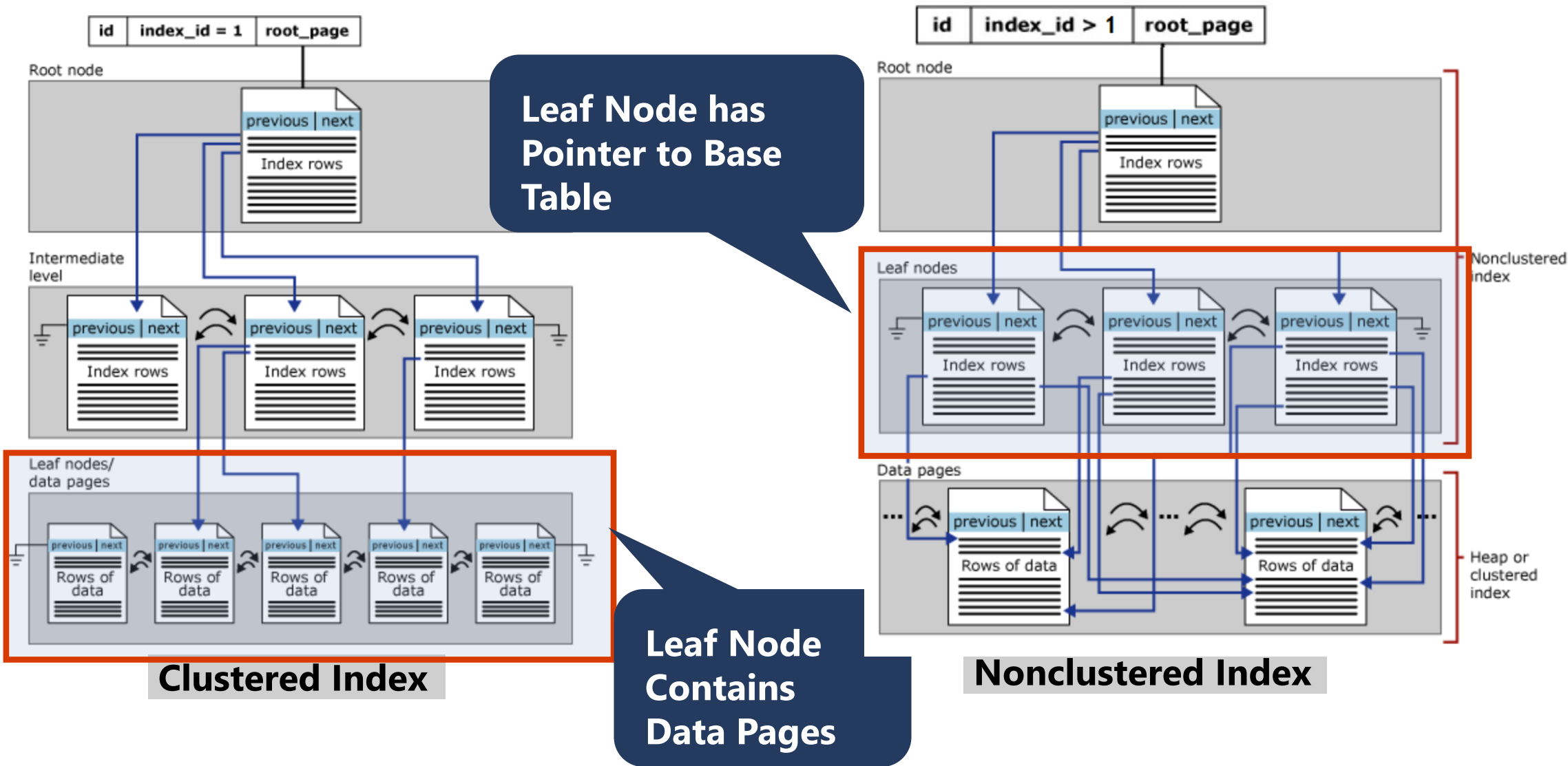
## Clustered Indexes

- Defines the order in which data is physically stored in a table.
- Table data can be sorted in only one way.
- Leaf level has data rows stored with index.
- When a table has a clustered index, the object is called clustered table.
- Cluster key is added to nonclustered index (as the pointer), keep it as narrow as possible.

## Non-clustered Indexes

- Separate structure from base table.
- Contains a pointer back to base table called:
  - Row ID (RID when base table is HEAP)
  - Key (KEY when base table is Clustered)
- "Skinny" data structure as it contains a subset of base table only.
- To by-pass index key limits (1,700 bytes), non-key columns can be added to leaf level.
- As Leaf level contains fewer columns than base table, the non-clustered index uses fewer pages than the corresponding base table.

# Comparing Clustered and Nonclustered indexes



# Fragmentation

A fragmented table/Index is when some of its data pages point to pages that are not in sequence.

## Logical fragmentation

- Occurs when leaf level pages are not physically corresponding to the logical order of the index:
  - Pages are not in the most efficient order for scanning purposes.
- Limits the efficiency of read-ahead scans, but not seeks.

## Page density

- How full a page is when a rebuild/reorganization occurs.
- The fuller a page is, the more likely page splits occur when data is modified.
- The less full a page is, the more wasted space in the buffer pool when reading pages.

# Database Maintenance

## Index Fragmentation and Maintenance

### Types of Index Fragmentation

- Internal Fragmentation
- Logical (aka External) Fragmentation

### Monitor index fragmentation

- sys.dm\_db\_index\_physical\_stats data management function

### Avoid Fragmentation

- Page Splits and Fill Factor

### Address index fragmentation

- Index Reorganize versus Index Rebuild
- CREATE INDEX...WITH (DROP\_EXISTING=ON)

### Online Index Rebuild

### Resumable Online Index Rebuild

# Demonstration

Viewing index fragmentation



# Index Maintenance

- Identifying and removing physical index fragmentation





# Database Maintenance

## Statistics Maintenance

Statistics Maintenance is important

- For good query plans and optimal performance

Two types of Statistics - Index Statistics and Column Statistics

Statistics sample size is important

Automatic Statistics Update

- 20% threshold to kick in automatic update of statistics
- Trace Flag 2371 (enabled by default)

Index Rebuild will update statistics associated with the index

Manual Statistics Update

- UPDATE STATISTICS command
- Sp\_updatestats

Incremental Statistics

- Creates, stores and refreshes statistics on specific partitions thus reducing maintenance time

# Statistics Update

- Observing Automatic statistics update
- Updating Statistics by executing ALTER INDEX



# Database Maintenance

## Database Integrity Checks

### Why database integrity checks are important

- Databases may be come corrupt for many reasons
- Recovery relies on proactive detection and mitigation

### Commands to perform database integrity checks

- DBCC CHECKDB (most used)
- DBCC CHECKALLOC
- DBCC CHECKCATALOG
- DBCC CHECKTABLE

### Repair Options

- REPAIR\_FAST
- REPAIR\_REBUILD
- REPAIR\_ALLOW\_DATA\_LOSS

# Database Maintenance

Smart Maintenance

## Maintenance Plans

- Backup database and log files
- Check database integrity
- Reorganize index
- Update statistics
- Shrink database
- Rebuild index
- Maintenance cleanup

Questions?



# Knowledge Check

Which new dynamic management function was introduced in SQL Server 2017 to monitor VLF fragmentation?

What are the disadvantages of shrinking database and transaction log files?

Starting with which version of SQL Server, trace flag 2371 (for changing auto statistics threshold) is enabled by default?

What commands can be used to update statistics manually on a specific object or an entire database?

What enhancements were made to DBCC CHECKDB in SQL Server 2016?

Which feature can be enabled to reduce the impact of large file size autogrowth?

