

# Till now,

State Space → Heuristic Search → optimization  
 ↓  
 Search                      find Solutions faster.  
 ↓  
 optimize the heuristic value / evaluation function

# Let's study solutions to find least cost.  
 (This is critical in many domains)

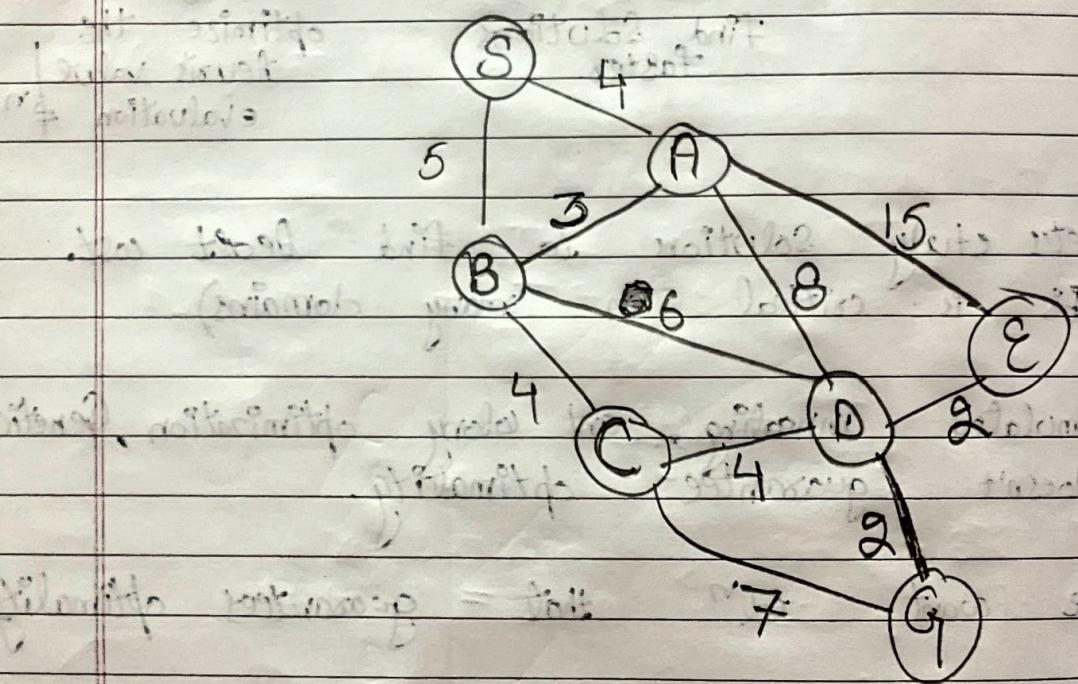
- ⇒ Simulated annealing, ant colony optimization, Genetic algos doesn't guarantee optimality.
- ⇒ We want  $sol^n$  that = guarantees optimality.
- ⇒ Here, we will have cost (weight to edge in Search Space)

### # General Algo

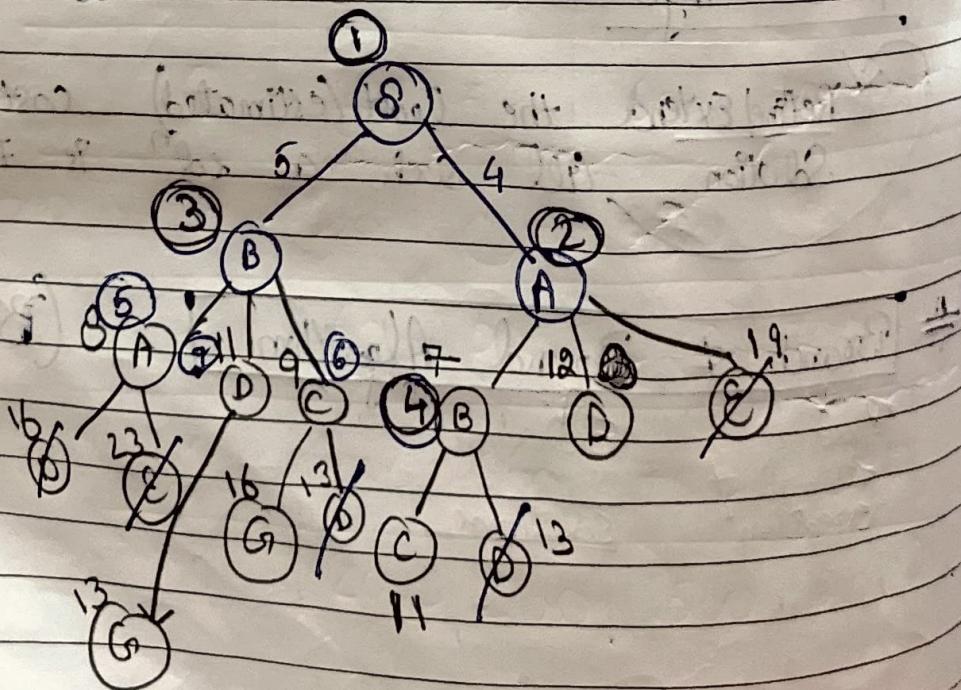
Refine Extend the least (estimated) cost partial solution till such a  $sol^n$  is fully refined.

# Branch & Bound Algorithm (B + B)

↓  
 Process of refinement / extension  
 ↓  
 Excluding some solutions



# Pick the lowest known partial sol<sup>n</sup> cost and extend it



After ⑥, one Goal reached  $\Rightarrow$  Cost  $\Rightarrow$  16

~~Eliminate all nodes with cost  $\geq 16$~~

Now, After ⑦  $G \Rightarrow 13$

~~eliminate all nodes with cost  $\geq 13$~~

Bound

This will keep on going till we explore all sol<sup>n</sup> to Goal state.

Final answer  $\Rightarrow$  least Goal State Sol<sup>n</sup>.

$\Rightarrow$  I will eliminate those nodes whose ~~actual~~ <sup>estimated</sup> cost is ~~cost~~  $>$  some known sol<sup>n</sup>

# Here, we had actual costs, what if actual cost not given, we use some mechanism to find estimated cost.

Say estimated cost  $\Rightarrow C$   
Actual cost  $\Rightarrow C^*$

If  $C = C^*$  (Perfect estimate)  
Very V.V rare

If  $C > C^*$  (Overestimate)

If  $C < C^*$  (Underestimate)

$\Rightarrow$  Say, Blw 2 estimating  $f^n$ , one which underestimates the cost, another one which overestimate the cost, which one to use.

~~if worse Underestimated one (so after ans)~~

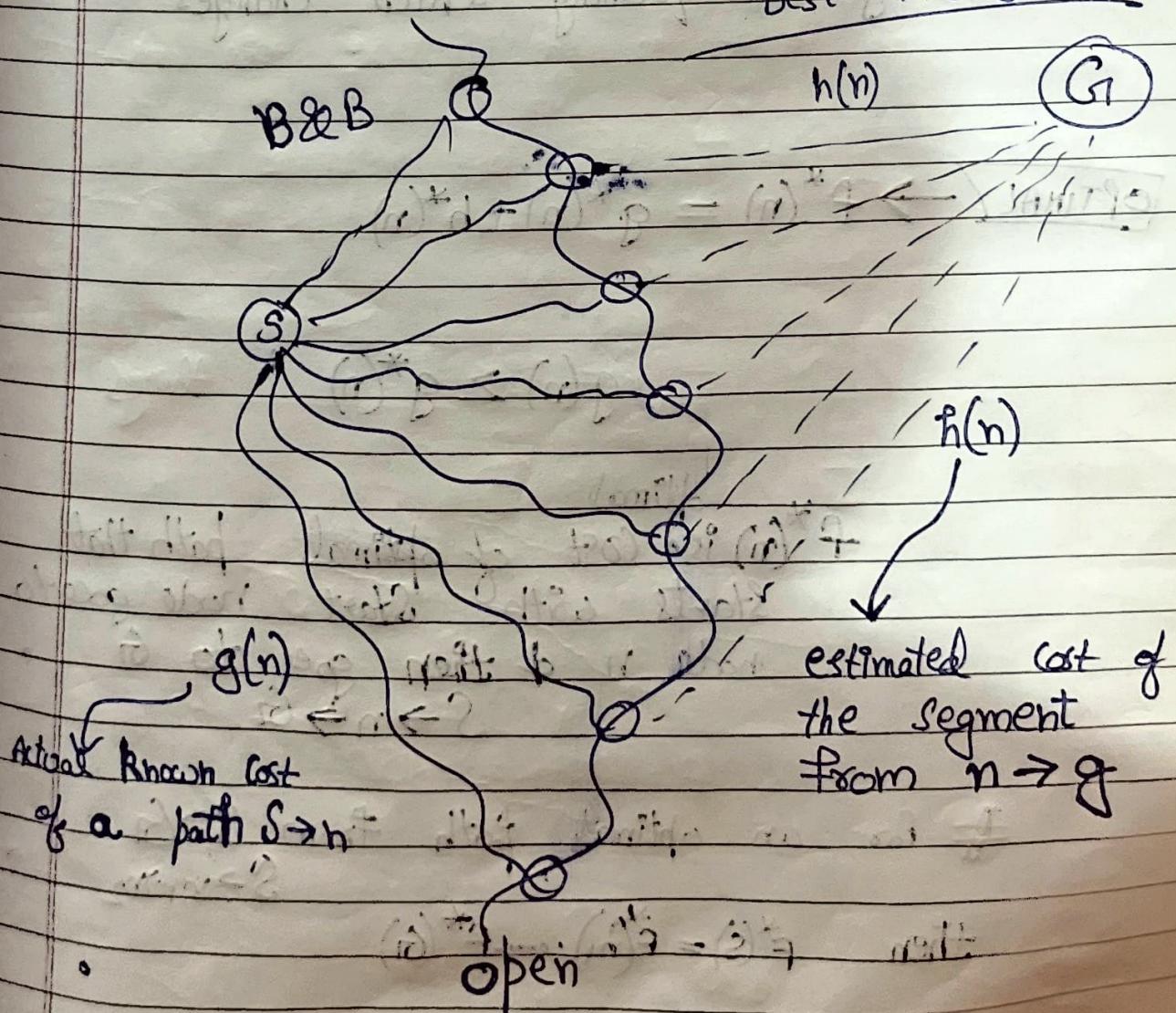
Through branch & bound, we will get optimal sol<sup>n</sup> always but it is not fast as heuristics.

$$f(n) = g(n) + h(n)$$

### Graph Search

A\* algorithm.

Best First Search



- ⇒ In B&B we try to stick as close to the source as possible (always picks node with lowest known cost)
- ⇒ BestFS always try to go as close to the goal as possible. It uses  $h(n) \neq 0$  which tries to be as close as possible to the goal.

In A\* we uses

$$f(n) = g(n) + h(n)$$

We keep P.Queue sorted in values of  $f(n)$

#  $g$  is a quantity which changes

OPTIMAL  $\rightarrow f^*(n) = g^*(n) + h^*(n)$

$$g(n) \geq g^*(n) \quad \text{Always}$$

$f^*(n)$  is <sup>optimal</sup> cost of ~~optimal~~ path that starts with ~~optimal~~ Start node goes to node  $n$  & then goes to  $G$

$$S \rightarrow n \rightarrow G$$

# For an optimal path from  $S \rightarrow G$  o

$$S \rightarrow n_1 \rightarrow n_2 \rightarrow \dots \rightarrow G$$

then  $f^*(S) = f^*(n_1) = \dots = f^*(G)$

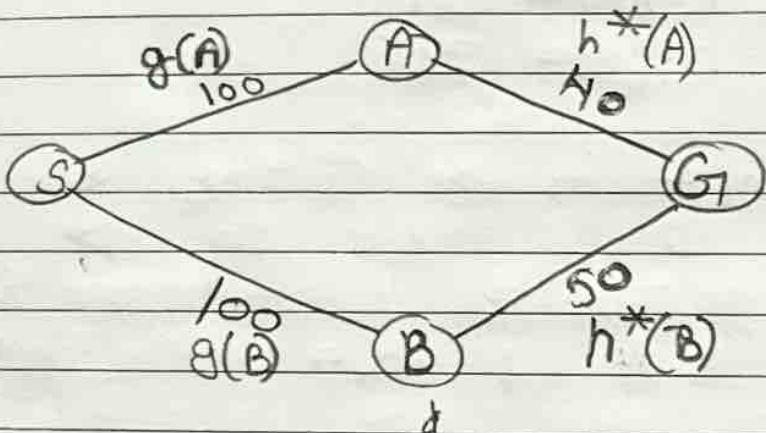
# Admissible means optimal sol? always

$\Rightarrow A^*$  is admissible if

$$? \leftarrow \begin{cases} h(n) \leq h^*(n) & \rightarrow \text{Underestimate} \\ h(n) \geq h^*(n) & \rightarrow \text{Overestimate} \end{cases}$$

$h_1$

$h_2$



$h_2$

Let

$$h_2(B) = 70 > h^*(B)$$

$$h_2(A) = 80 > h^*(A)$$

$$f_2(B) = 100 + 70 = 170$$

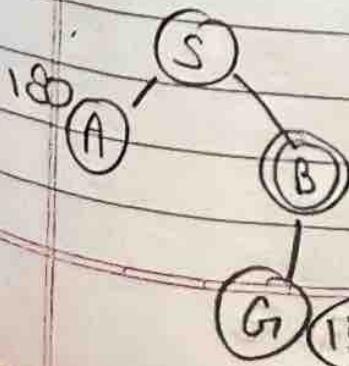
$$f_2(A) = 100 + 80 = 180$$

$\therefore$  Pick  $f_2(B)$

$$\text{But, } g_2(G_1) = g_2(B) + 50 = 150$$

$$h_2(G_1) = 0$$

$$f_2(G_1) = 150$$



$\therefore$  Pick this & we terminate

Now,  $h_1$

$h_1$

$$h_1(n) \leq h_1^*(n)$$

$$h_1(B) = 80$$

$$h_1(A) = 30$$

$\therefore h_1$  &  $h_2$  are similar both thinks  
 B is closer to the goal  
 only d/f  $h_2 \rightarrow$  overestimated  
 $h_1 \rightarrow$  Underestimated

$$f_1(B) = 120$$

$$f_1(A) = 130$$

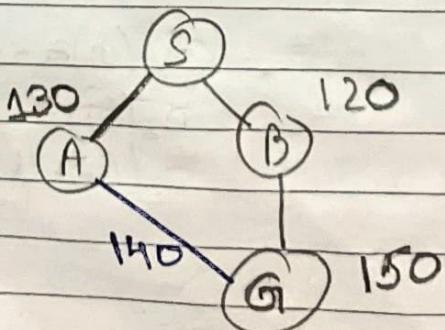
Pick B

$$g_1(G) = g_1(B) + 50 = 150$$

~~$g_1(G) = g_1(A)$~~

$$h_2(G) = 0$$

$$f_1(G) = 150$$



$\therefore$  Pick A pick G &  
 Pick A & n Terminate  
 $\therefore 140$

so Underestimation  $\rightarrow$  Admissible  
of heuristics

$\Rightarrow$  Algorithm :-

OPEN  $\leftarrow S$   
parent(S)  $\leftarrow \text{NIL}$   
CLOSED  $\leftarrow \text{NIL}$

best node  $n$

↓  
lowest f value

$\boxed{g + h}$

$K \rightarrow \text{Cost } f^n$

If OPEN  $\neq \text{NIL}$

Pick best node  $n$  & add  
it to closed

If GoalTest( $n$ ) then reconstruct  
Path( $n$ )

else

Successor  $\leftarrow \text{MoveGen}(n)$

For each  $m$  in Successors

Case 1  $m \notin \text{OPEN} \& m \notin \text{CLOSED}$

Compute  $h(m)$

Parent( $m$ )  $\leftarrow n$

$g(m) \leftarrow g(n) + K(n, m)$

$f(m) \leftarrow g(m) + h(m)$

Add  $m$  to open

Case 2  $m \in \text{OPEN}$

If  $g(n) + K(n, m) < g(m)$

Parent( $m$ )  $\leftarrow n$

$g(m) \leftarrow g(n) + K(n, m)$

$f(m) \leftarrow g(m) + h(m)$

Case 3  $m \in \text{CLOSED}$

Do like in Case 2 if  
better path found.  
improve cost to

# Proof of Admissibility of A\*

## # Conditions for optimal path

some value  
epsilon ↑

① Finite branching factor.

② Cost of each edge  $k(m, n) > \epsilon$

③  $h(n) \leq h^*(n)$  [Underestimating  $h^*$ ]

If these 3 conditions are true, then A\* always finds optimal path i.e., it is admissible.

- ① Terminates for finite graphs
- ② At all times before termination there exists a node  $n'$  on OPEN which is on an optimal path.

One iteration  
open  $\rightarrow$  one node

from root  
not to close  
we will terminate  
if open empty  
or goal found

Initially  $S$  on open  
then  $n_1$   
then  $n_2$

$S \rightarrow n_1 \rightarrow n_2 \dots \rightarrow G$

G

Further  $f(n') \leq f^*(s)$

$f^*(s) \rightarrow$  optimal cost of  
going from  $S$  to  $G$ .

$$f(n') = g(n') + h(n')$$

$$= g^*(n') + h(n') \quad (\because \text{optimal path})$$

$$\leq g^*(n') + h^*(n') \quad (\because h(n) \leq h^*)$$

$$\leq f^*(n') = f^*(s)$$

where  $f^*(n)$  is optimal cost of path that passes through  $n$ .

(L3) - If there is a path to the goal the algo terminate (even for infinite graph) with a path.

$\therefore$  At some point in P. Queue cell makes will be expensive than  $G_i$ .

(L4) -  $A^*$  is admissible

Let  $A^*$  terminate with a non optimal path such that

$$g(G_{ii}) > f^*(S)$$

CANNOT  $\because$  it would pick  $n'$  (from L2)

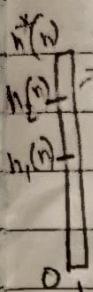
$n'$  is on open + its value  $\leq f^*(S)$   
 $\therefore$  it will be at head of PQ & not  $g(G_{ii})$   
 $\therefore$  it will pick  $n'$

(G)  $\therefore$  for every node  $n$   $A^*$  picks  $f(n) \leq f^*(S)$

L6 :-

If  $h_2$  is more informed than  $h_1$   
for all nodes,  
 $h_2(n) \geq h_1(n) \rightarrow *$

Then ~~any~~ node seen by  $A_2^*$  is also  
seen by  $A_1^*$



This means  $A_2^*$  will explore smaller part of search space & it has seen less.

→ P → If  $A_2^*$  sees a node then  $A_1^*$  also sees a node



# P is proved for S

If  $A_2^*$  sees still node  $A_1^*$  also sees that

# Let, (P) be true for depth K

# Let, N be a node at depth (K+1)  
picked by  $A_2^*$

Let,  $A_1^*$  terminates without picking N

$$f_2(N) = g_2(N) + h_2(N) < f^*(S)$$

$$\therefore h_2(N) \leq f^*(S) - g_2(N) \rightarrow ①$$

$$f_1(N) \geq f^*(S) \quad (\because \text{For } A_1^* \text{ N not picked})$$

$$f^*(S) \leq g_1(N) + h_1(N)$$

$$h_1(N) \leq f^*(S) - g_2(N) \rightarrow ②$$

$$g_2(N) \geq g_1(N)$$

From ① + ②

$$\therefore h_2(N) \leq h_1(N)$$

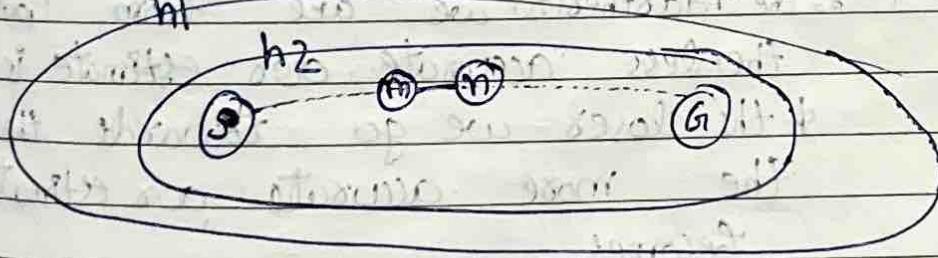
Contradiction as  $h_2(N) > h_1(N)$

(From \*)

If  $h \uparrow$  the better it is for algo

Done: rear. elements

$\Rightarrow A^*$  Monotone Property



Some path from S to G

Say m & n are on this path

if it satisfies property of

$$h(m) - h(n) \leq K(m, n)$$

$h(m) \rightarrow$  estimated cost from m to G

$h(n) \rightarrow$  estimated cost from n to G

$\therefore h(m) - h(n)$  sometimes is  $K(m, n)$

sometimes in case of underestimation they

are  $< K(m, n)$

This condition is called monotone or Consistency condition.

$h(m) - h(n) \leq K(m, n)$  is satisfied whenever A\* picks a node n if it has

already found an optimal path to n.

i.e., when we pick node  $n$  from OPEN list. At that point  $g(n) = g^*(n)$

$$h(m) - h(n) \leq K(m, n)$$

$$\Rightarrow h(m) \leq K(m, n) + h(n)$$

Now, Add  $g(m)$  on LHS

$$\Rightarrow h(m) + g(m) \leq K(m, n) + g(m) + h(n)$$

$$\Rightarrow g(m) + h(m) \leq g(n) + h(n)$$

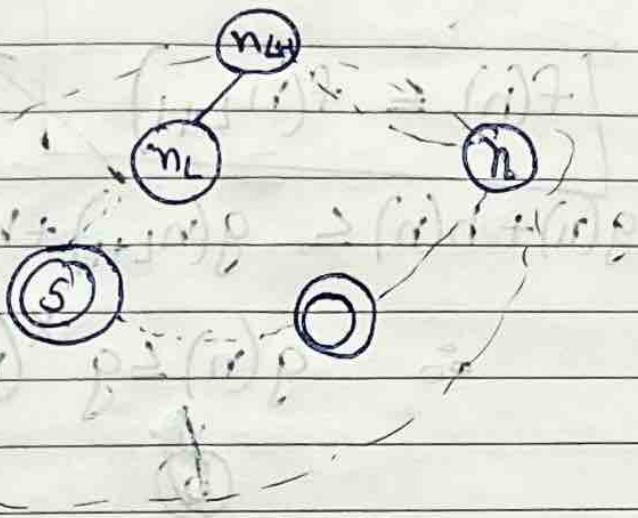
$$\Rightarrow f(m) \leq f(n)$$

$\therefore$  The farther we are from Goal, the less accurate our estimate is & the closer we go towards the goal, the more accurate our estimate becomes.

$\therefore f$  values monotonically increase as we go towards the goal

Proof :- Say for a node  $n$  in open & A\* is about to pick  $n$ . We need to prove that if  $n$  is picked, then it must have found optimal path to  $n$ .

~~Assume that  $g(n) > g^*(n)$ . This will lead to contradiction~~



optimal path  $\rightarrow S \dots n_L - n_{L+1} - n$

Say A\* has found below path  
which is not optimal.

$n_L \rightarrow$  last node seen on optimal path

$n_{L+1} \rightarrow$  first node on optimal path  
to  $n$  which is not seen

$$f(n_i) \leq f(n_{L+1}) \dots \leq f(n)$$

$$\text{or } g(n_{L+1}) + h(n_{L+1}) \leq g(n) + h(n)$$

Cause they are on optimal path

$$\boxed{g^*(n_{L+1}) + h(n_{L+1}) \leq g^*(n) + h(n)}$$

①

How?

Page No.

Date

$$f(n) \leq f(n_{L+1})$$

$$= g^*(n_{L+1})$$

$$g(n) + h(n) \leq g(n_{L+1}) + h(n_{L+1}) \Rightarrow ②$$

$$\therefore g(n) \leq g^*(n) \quad (\text{From } ① \text{ & } ②)$$

(\*)

Only possible if  $g(n) = g^*(n)$

∴ optimal cost से कम ही नहीं सकता।

# Weighted A\* algorithm

$$f(n) = g(n) + K * h(n)$$

If  $K=1 \Rightarrow A^*$

If  $K=0 \Rightarrow$  Branch & Bound

+ If  $K \gg (V. high)$  [Best First Search]

If  $K > 1$  then more emphasis to heuristic  $f^*$  (∴ we less admissible)

∴ search becomes narrow  
∴ fast

⇒ How to optimize space?

IDA\* → Iterative Deepening A\*

A\* → Guarantees optimal soln

ID → like DFID

# In IDA\* we have boundary.  
→ Boundary is basically how far the start state thinks goal is.

Data		
------	--	--

In ~~ID~~ IDA\*

$$\text{bound} = h(s)$$

Do DFS as long as

$$f(n) \leq \text{boundary}$$

increment bound

bound  $\leftarrow$  lowest unexplored  $f(n)$

In D\*ID we increment f(n) by 1, here we increment bound to lowest among all unexplored nodes (out of bound).

→ This guarantees optimal path  $\because h(s) \leq h^*(s)$

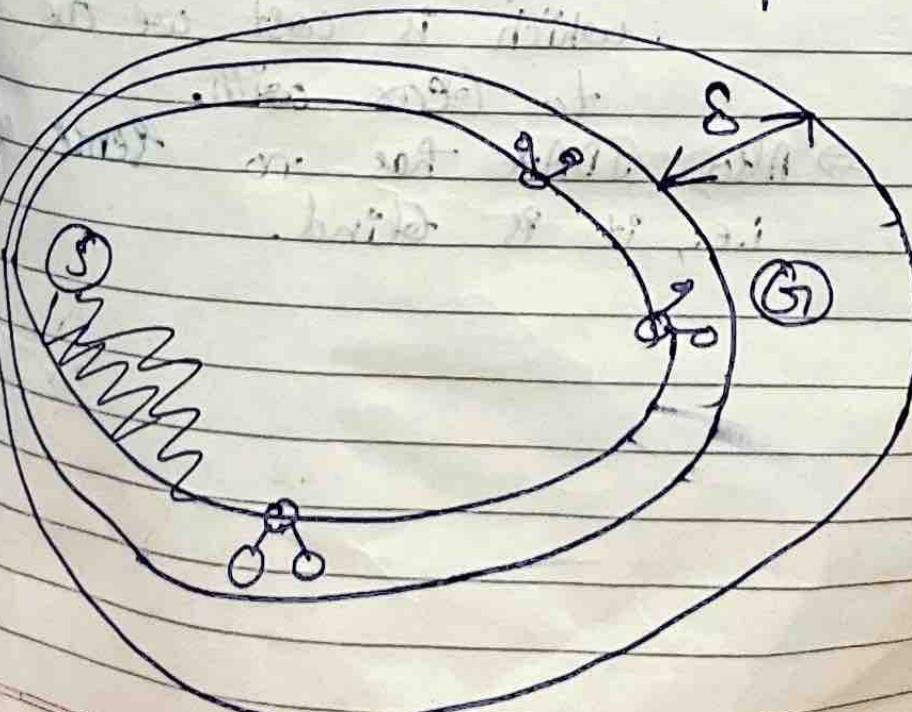
→ Save space  $\because$  DFS

→ T.C. ↑  $\because$  many iterations.

One way to tackle this by incrementing bound by fixed  $\delta$ .

$\therefore$  No of iterations ↓

In worst case optima Sol = optimal + 8



This algo is ~~uninformed~~ uninformed (blind)

This algo uses  $f(n)$  to determine boundary, not to explore

## # Variations of A\* that are space saving.

$\Rightarrow$  IDA\* we have boundary, if soln not found

boundary extended

$\Rightarrow$  Space  $\rightarrow$  linear  $\therefore$  DFS

$\Rightarrow$  Optimal Soln  $\rightarrow$  Yes, it finds optimal soln always.

$\Rightarrow$  T.C.  $\uparrow$

$\therefore$  we increase bound to lowest unexplored node  $\therefore$  we do DFS from start again. Many DFS repetition field.

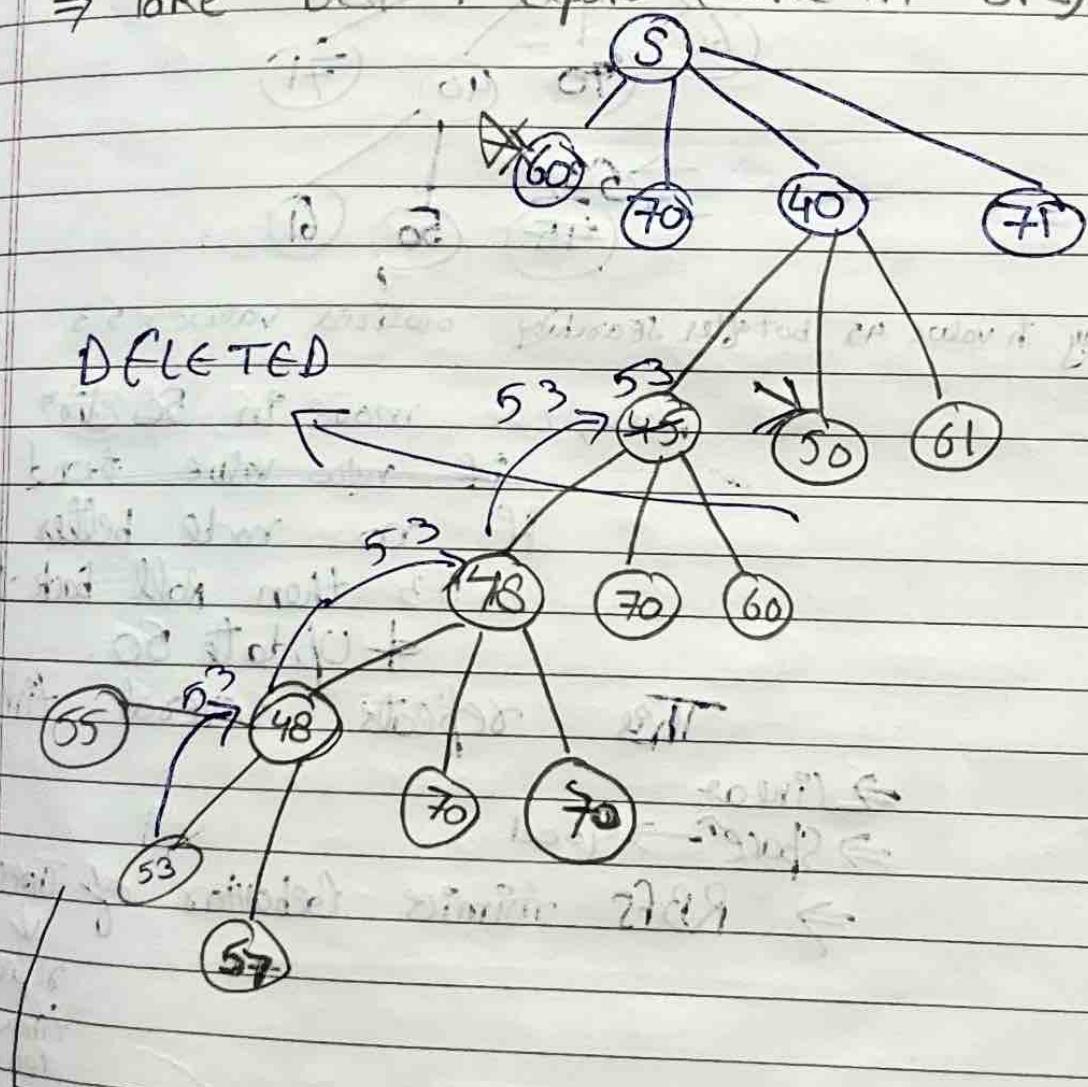
$\therefore$  Instead of increasing bound to last unexplored, we increase it by  $s$  which is cost we are ready to bear with.

$\Rightarrow$  Also, IDA\* has no sense of dir<sup>n</sup> i.e., it is blind.

## # Recursive BestFirst Search (RBFS)

⇒ Here, pointer to next best node

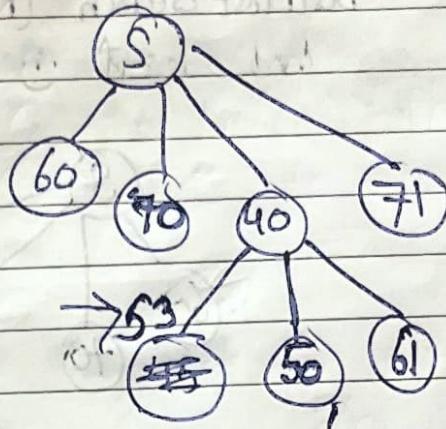
⇒ Take Best & expand (like in BFS)



→ After this BFS would have picked  
Next Best node i.e. 50 & expand it  
But, RBFS rolls back search so  
that it can reach next best.  
so it deletes nodes i.e., rolls back

→ Rule for Roll Back  
BACKUP RULE

$$f'(n) = f(n) \text{ if } n \text{ is leaf or} \\ = \min f'(\text{CHILDREN})$$



Initially h value 45 but after searching realized value = 53

Now, we move in 50 direction

if new value found

if no node better than  
53, then roll back to 53  
& update 50.

This repeats several times.

⇒ linear

⇒ Space → Good

⇒ RBfs mimics behavior of Threshing.

↓  
repeats several  
times bcoz  
few nodes

T.C is ↑ than A\* so space exploded  
again & again.

## # SEQUENCE ALIGNMENT

→ Allowed to insert gaps (if alignment improves DNA sequence alignment)

ACGT CAG TCG TACG

ACG CAG TCG TATCG

Two gaps included

In S.A. we try to find some alignment which is optimal in some criteria.

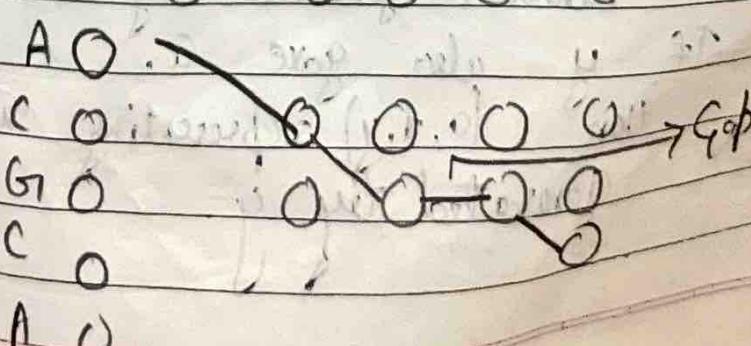
⇒ To find which alignment is good or bad we have costs

Cost	
Match	0
Mismatch	1
Gap	2

Based on these operations, we want optimal cost.

Why to solve this

⇒ Graph search



Transform this problem into graph search problem

$\Rightarrow$  What if there is no CLOSE LIST?

CLOSE Helps IN

- ① Avoid looping
- ② find better paths
- ③ Allows to reconstruct the path

② This can be done if monotone Condition is satisfied by  $h(n)$ .  
 $\therefore$  Every n we take  $\rightarrow$  part of soln. ( $\therefore$  no close needed)  
 $\therefore$  We should worry about ① + ③

Now, we will assume that we are working with  $h(n)$  that satisfies the monotone criteria.

## # PRUNING The CLOSED LIST

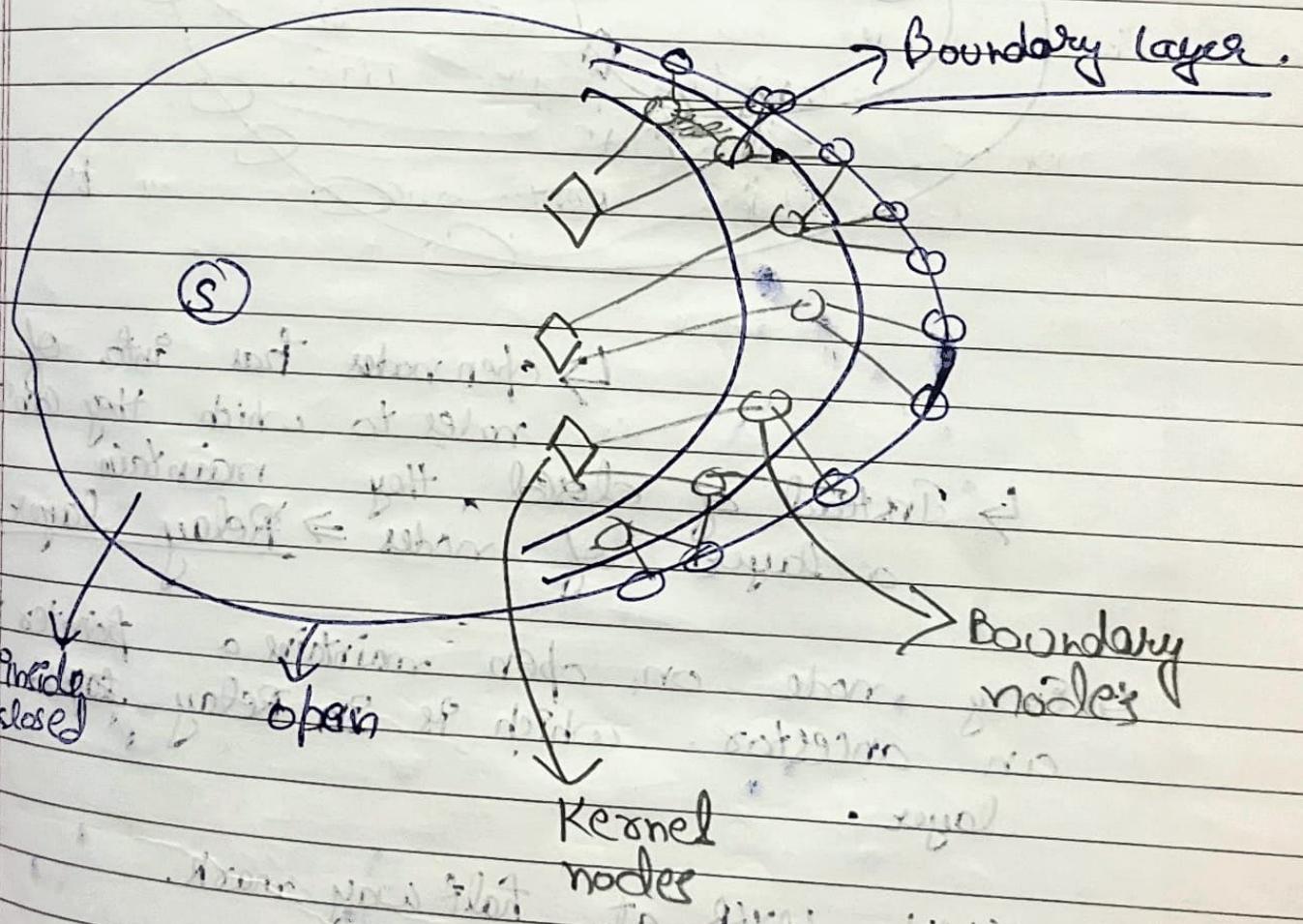
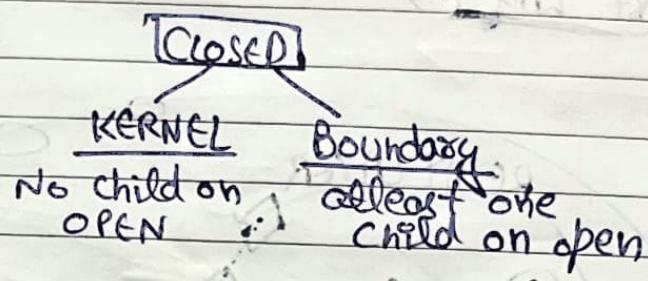
① How to Stop search from going backwards? / How to STOP "LEAKING BACK"? / How to STOP looping?

$\Rightarrow$  1st way. Store the nodes that should not be generated by MoveGen fn for that node with it in OPEN LIST only.

For e.g. If MoveGen(x) gave a, b, c then put  $(a, x), (b, x), (c, x)$  in open representing x. Cannot be generated by them.

If y also gave a.  
 Then  $(a, x, y)$  representing x, y cannot be generated by y.

2nd Why  $\Rightarrow$

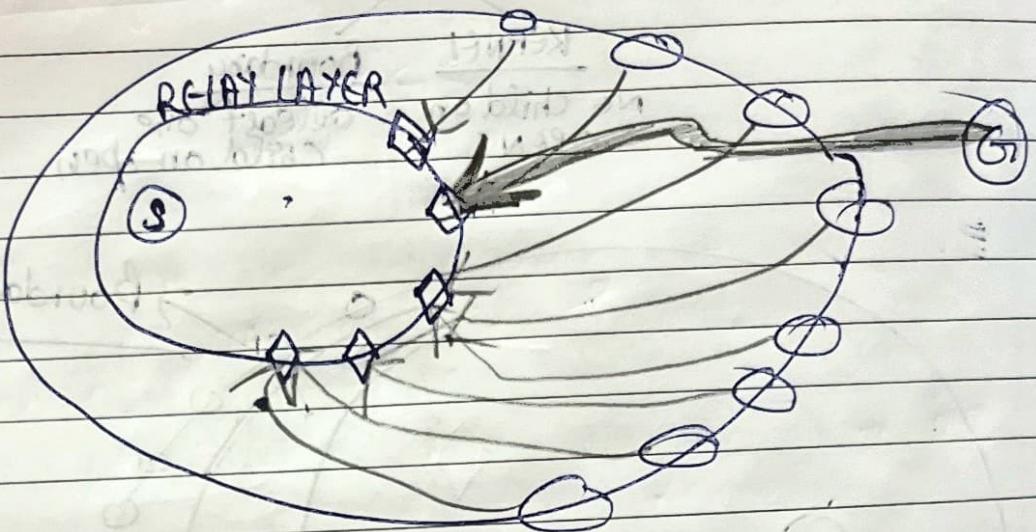


Idea is, when we want to gen. child. of OPEN only look at boundary. Boundary serves as the child fn of CLOSED. ↳  
We don't want boundary nodes from OPEN.

② Reconstruct the path

In 1st way  $\rightarrow$

DCFS  $\Rightarrow$  Divide & Conquer  
Frontier Search



$\hookrightarrow$  open nodes free info of nodes to which they can't go

$\hookrightarrow$  Instead of closed, they maintain a layer of nodes  $\Rightarrow$  Relay layer

$\hookrightarrow$  Every node on open maintains a pointer to an ancestor which is in Relay ~~list~~.

$\hookrightarrow$  RELAY LAYER at half way mark.

Half way mark when  $g(n) \approx h(n)$

$\hookrightarrow$  Children of <sup>OPEN</sup> node will also point to some RELAY Node & so on:

$\hookrightarrow$  Goal will also point to some RELAY node.

$\hookrightarrow$  When we picked up  $G_7$  we know optimal cost to  $G_7$  but we don't know path. We do know one Relay node which is an ancestor of  $G_7$  that is it is both to  $G_7$ .

Now, we want path  $S \rightarrow G$

To reconstruct path we make  
2 recursive calls to DCFS :-

One Call  $\rightarrow S$  to R

2nd Call  $\rightarrow R$  to G

This will give me 2 more  
nodes b/w  $[S+R]$  &  $[R+G]$

Then make 4 recursive calls  
& so on

& keep doing this till the  
problem becomes edge case  
i.e next node is child of  
1st one.

Space req.  $\Rightarrow$  OPEN LIST, RELAY layer LIST

T.C  $\rightarrow$

In original problem with depth d  
had T.C  $\rightarrow T(d)$   $d \rightarrow$  exponential  
 $n$  in general  
then this one would have T.C  $\Rightarrow$

$$T(d) + 2T\left(\frac{d}{2}\right) + 4T\left(\frac{d}{4}\right) + \dots + 2^k T(1)$$

$$= T(d) * \lg_2(T(d))$$

2nd why  $\rightarrow$

## SMGS

### Smart Memory Graph Search

keep track of memory if running out of memory then prune the kernel nodes.

so we kept the boundary but pruned the kernel.

& when we prune the kernel convert boundary into relay.  
& search progresses from there.

⑤

Memory limit reached

Prune Kernel

Convert boundary into relay

⑥

Sparse path

Dense path

Again memory reached  
Same repeat

Here, many relay larger possible  
maybe  $O \otimes 1 \otimes 2 \dots \otimes n$

When goal reached

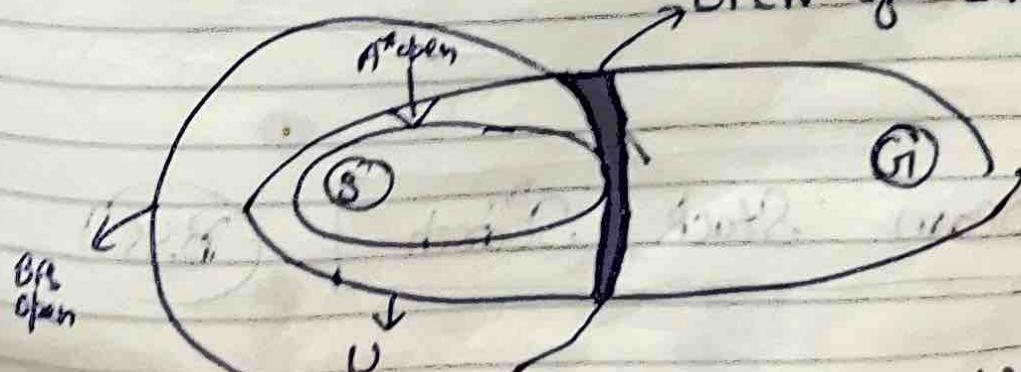
we can move from goal to  
last relay then last relay to  
other inner relays, hence path  
reconstructed.

Here, Many recursive calls  
to solve each of them.

## # PRUNING THE OPEN LIST

### ② Breadth first Heuristic Search (BFHS)

U Complete U  $\rightarrow$  Upper Bound  
 $\rightarrow$  Maximum possible value that could be the  
cost of the solution.



If any node has  $f$  value  $\geq U$ , then  
we won't expand it.

Variation  $\rightarrow$  Beam Search

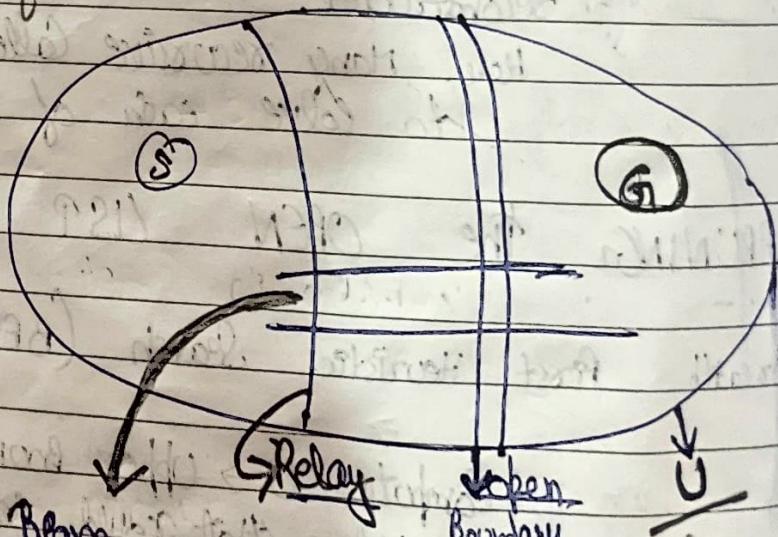
$\downarrow$   
Maintain OPEN LIST of Constant width

# Not Complete

$\hookrightarrow$  We can also convert this info

DCBFHS

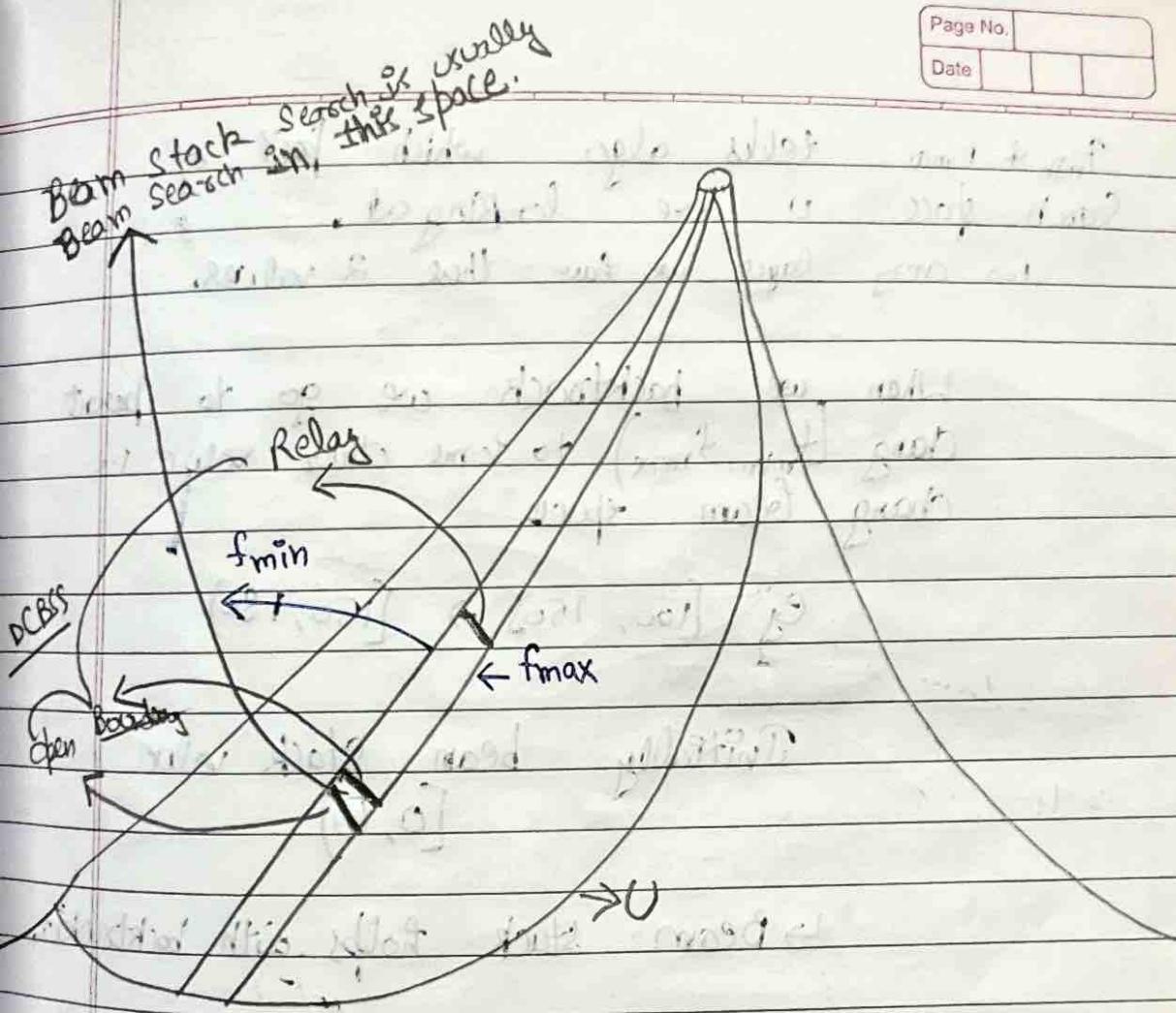
divide  
& conquer



(2) # Beam Stack Search

BSS

Visualize nodes as tree



→ increasing  $f_h$  values

# Beam Search is incomplete, we can make it complete by introducing backtracking

Backtrack after hitting boundary.

⇒ How to do Backtracking in this

Maintain another Data Structure → Beam Stack  
At each layer we store 2 values  
one is  $f_{min}$   
& another is  $f_{max}$

$f_{\min}$  &  $f_{\max}$  tells algo which part of search space u are looking at.  
for every layer we have these 2 values.

When we backtrack we go to parent changing  $[f_{\min}, f_{\max}]$  to some other value i.e. changing beam space

$$\text{eg } [100, 150] \rightarrow [150, 180]$$

Initially beam stack value  $[0, U]$

↳ Beam stack helps with backtracking

Beam stack allows us to search in entire space of  $[0, U]$

Next  $\Rightarrow$  DCBSS

In PSS we can go to parent & regenerate all the other beam. ~~children~~ new set of children

In DCBSS we don't ~~re~~ have only have open beams when How to Backtrack?

so go to start & use beam stack  
to generate till parent. 11  
Beam stack will tell P<sub>b</sub> Beam  
in step 421 2.

Suppose, I want to backtrack from 9 to 8  
Go to start Generate till & using beam stack  
& then generate new unexplored.

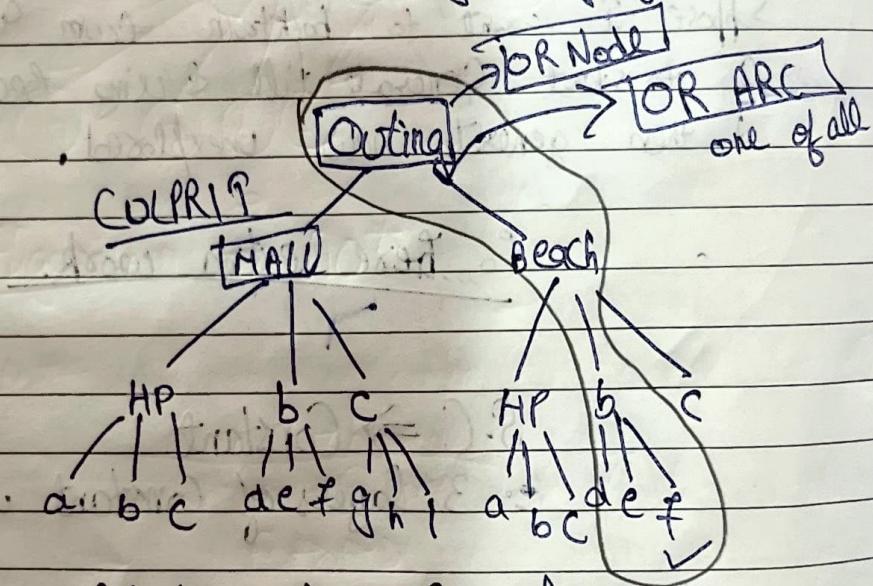
So here extra work.

S.C  $\Rightarrow$  Constant

$\therefore$  3 layers of constant width.

## # Problem Decomposition with Goal Tree

Say we have a problem of planning bday of friend



$\Rightarrow$  Solution here is path

We only went to

Outing - Beach - b-d,e,f  
after exploring complete  
left tree.

Problem was with MAIL  
we should have Backtrack  
from there only.

$\Rightarrow$  How to address this difficulty?

In CSP

① Dependency Directed Backtracking

② AND/OR Graphs / Trees

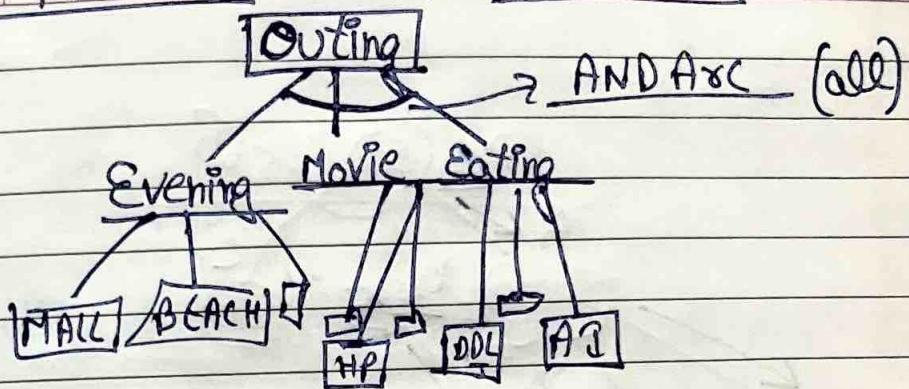
~~level 2 < find~~

AND/OR tree

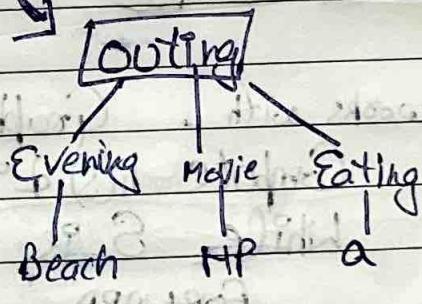
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AND Node

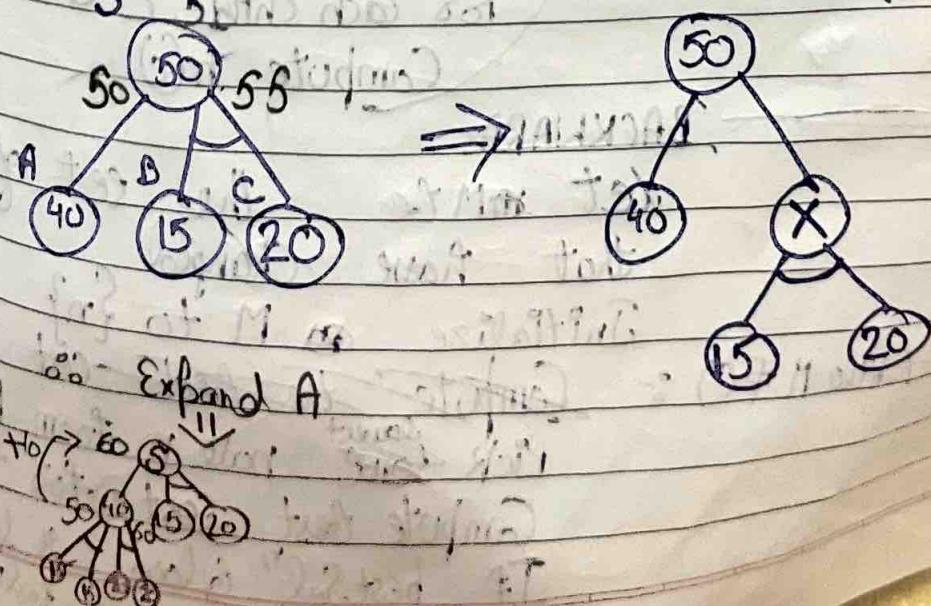


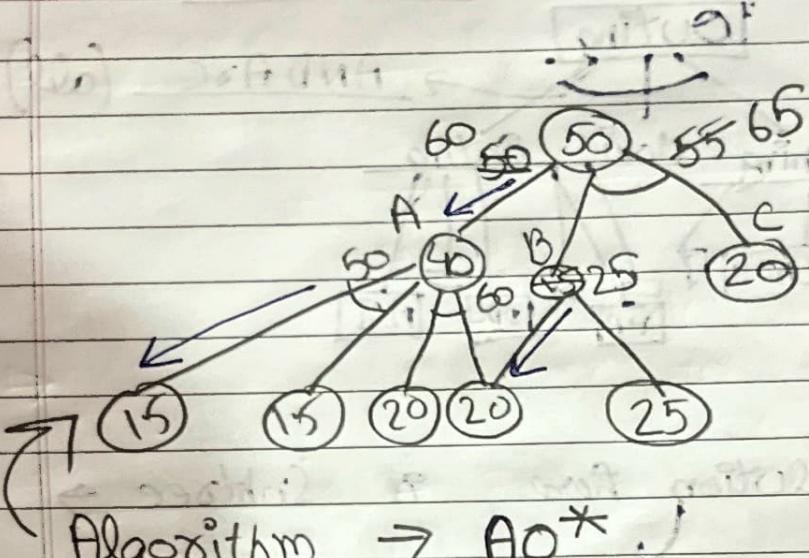
⇒ Solution here is Subtree →



⇒ Imp. thing → We broke problem into 3 small problems  
+ solved them independently of each other.

# Algo → break problem into simpler problems.  
 $h(n)$  → estimation of solving node  $n$ .  
EdgeCost = 10 (for all edges)





Algorithm  $\rightarrow$   $AO^*$

↳  $AO^*$  works with a Graph  $G_1$  initialized to  $\emptyset$

Compute  $h(S)$  & Initialize

While,  $S$  is not solved and  $h(S) < \text{FUTILITY}$

FORWARD

Follow the markers to a set of nodes  $N$ .

Pick some  $n \in N$ .

Generate children  $C$  of  $n$   
if  $C$  is none

$h(n) \leftarrow \text{FUTILITY}$

Remove loops

for each child  $c \in C$

Compute  $h(c)$

BACKWARD

Let  $M$  be the set of nodes that have changed

Initialize  $M$  to  $\{n\}$

Compute the best cost

Pick ~~some~~ lowest cost node  $n$  from  $M$

Compute best cost for  $n$

If  $\text{bestSol} < \text{FUTILITY}$

Solved, label  $n$  as solved.

label  $solve$   
 $n$  is not  
parent.

Futility = Some Value  
above which  
we don't want  
any ~~child~~  $h(n)$

Marker points  
to best node  
in that  
area.

more  
terminal node  
leaf

We propagate  
back to  
parent &  
revise cost  
based on  
children  
produced  
in forward  
also change  
markers

Mark best hyperedge

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If node n has changed then  
add all parents of n to M

As long as  $h(n) \leq h^*(n)$ , we get optimal cost