Decision Tree: Income Prediction

In this case study, we will build a decision tree to predict the income of a given population, which is labelled as <=50Kand > 50K. The attributes (predictors) are age, working class type, marital status, gender, race etc.

In the following sections, we'll:

- · clean and prepare the data,
- · build a decision tree with default hyperparameters,
- · understand all the hyperparameters that we can tune, and finally
- choose the optimal hyperparameters using grid search cross-validation.

Understanding and Cleaning the Data

df = pd.read_csv('adult_dataset.csv')

```
In [1]: # Importing the required libraries
    import pandas as pd
    import numpy as np
    import matplotlib.pyplot as plt
    import seaborn as sns
    %matplotlib inline

In [2]: # To ignore warnings
    import warnings
    warnings.filterwarnings("ignore")

In [3]: # Reading the csv file and putting it into 'df' object.
```

> <class 'pandas.core.frame.DataFrame'> RangeIndex: 32561 entries, 0 to 32560 Data columns (total 15 columns): 32561 non-null int64 age workclass 32561 non-null object 32561 non-null int64 fnlwgt education 32561 non-null object education.num 32561 non-null int64 marital.status 32561 non-null object 32561 non-null object occupation relationship 32561 non-null object 32561 non-null object race 32561 non-null object sex capital.gain 32561 non-null int64 capital.loss 32561 non-null int64 hours.per.week 32561 non-null int64 native.country 32561 non-null object 32561 non-null object income dtypes: int64(6), object(9)

memory usage: 3.7+ MB

Out[5]:	class	fnlwgt	education	education.num	marital.status	occupation	relationship	race	sex	car
	?	77053	HS-grad	9	Widowed	?	Not-in-family	White	Female	
	'rivate	132870	HS-grad	9	Widowed	Exec- managerial	Not-in-family	White	Female	
	?	186061	Some- college	10	Widowed	?	Unmarried	Black	Female	
	'rivate	140359	7th-8th	4	Divorced	Machine- op-inspct	Unmarried	White	Female	
	'rivate	264663	Some- college	10	Separated	Prof- specialty	Own-child	White	Female	
	'rivate	216864	HS-grad	9	Divorced	Other- service	Unmarried	White	Female	
	'rivate	150601	10th	6	Separated	Adm- clerical	Unmarried	White	Male	
	e-gov	88638	Doctorate	16	Never-married	Prof- specialty	Other- relative	White	Female	
	deral- gov	422013	HS-grad	9	Divorced	Prof- specialty	Not-in-family	White	Female	
	'rivate	70037	Some- college	10	Never-married	Craft-repair	Unmarried	White	Male	
	'rivate	172274	Doctorate	16	Divorced	Prof- specialty	Unmarried	Black	Female	
	-emp- lot-inc	164526	Prof- school	15	Never-married	Prof- specialty	Not-in-family	White	Male	
	'rivate	129177	Bachelors	13	Widowed	Other- service	Not-in-family	White	Female	
	'rivate	136204	Masters	14	Separated	Exec- managerial	Not-in-family	White	Male	
	?	172175	Doctorate	16	Never-married	?	Not-in-family	White	Male	
	'rivate	45363	Prof- school	15	Divorced	Prof- specialty	Not-in-family	White	Male	
	'rivate	172822	11th	7	Divorced	Transport- moving	Not-in-family	White	Male	
	'rivate	317847	Masters	14	Divorced	Exec- managerial	Not-in-family	White	Male	
	'rivate	119592	Assoc- acdm	12	Never-married	Handlers- cleaners	Not-in-family	Black	Male	
	'rivate	203034	Bachelors	13	Separated	Sales	Not-in-family	White	Male	

You can observe that the columns workclass and occupation consist of missing values which are represented as '?' in the dataframe.

```
In [6]: # rows with missing values represented as'?'.
df_1 = df[df.workclass == '?']
df_1
```

0ι	ut	[6]:

	age	workclass	fnlwgt	education	education.num	marital.status	occupation	relationship
0	90	?	77053	HS-grad	9	Widowed	?	Not-in-family
2	66	?	186061	Some- college	10	Widowed	?	Unmarriec
14	51	?	172175	Doctorate	16	Never-married	?	Not-in-family
24	61	?	135285	HS-grad	9	Married-civ- spouse	?	Husband
44	71	?	100820	HS-grad	9	Married-civ- spouse	?	Husband
48	68	?	192052	Some- college	10	Married-civ- spouse	?	Wif€
49	67	?	174995	Some- college	10	Married-civ- spouse	?	Husband
76	41	?	27187	Assoc-voc	11	Married-civ- spouse	?	Husband

Now we can check the number of rows in df_1.

In [7]: df_1.info()

```
<class 'pandas.core.frame.DataFrame'>
Int64Index: 1836 entries, 0 to 32544
Data columns (total 15 columns):
age
                  1836 non-null int64
workclass
                  1836 non-null object
fnlwgt
                  1836 non-null int64
education
                  1836 non-null object
                  1836 non-null int64
education.num
                  1836 non-null object
marital.status
                  1836 non-null object
occupation
                  1836 non-null object
relationship
                  1836 non-null object
race
                  1836 non-null object
sex
                  1836 non-null int64
capital.gain
capital.loss
                  1836 non-null int64
hours.per.week
                  1836 non-null int64
native.country
                  1836 non-null object
income
                  1836 non-null object
dtypes: int64(6), object(9)
memory usage: 229.5+ KB
```

There are 1836 rows with missing values, which is about 5% of the total data. We choose to simply drop these rows.

```
In [8]: # dropping the rows having missing values in workclass
df = df[df['workclass'] != '?']
df.head()
```

Out[8]: fnlwgt education education.num marital.status occupation rac age workclass relationship Exec-132870 HS-grad 9 82 Private Widowed Not-in-family Whit managerial Machine-Private 140359 3 54 7th-8th Divorced Unmarried Whit op-inspct Some-Prof-Private 264663 Separated Own-child Whit 41 10 college specialty Other-5 34 Private 216864 HS-grad Divorced Unmarried Whit service Adm-38 Private 150601 10th 6 Separated Unmarried Whit 6 clerical

Let's see whether any other columns contain a "?". Since "?" is a string, we can apply this check only on the categorical columns.

```
In [9]: # select all categorical variables
         df_categorical = df.select_dtypes(include=['object'])
         # checking whether any other columns contain a "?"
         df_categorical.apply(lambda x: x=="?", axis=0).sum()
Out[9]:
        workclass
                             0
         education
                             0
        marital.status
                             0
                             7
         occupation
                             0
         relationship
                             0
         race
                             0
         sex
         native.country
                           556
         income
                             0
         dtype: int64
```

Thus, the columns occupation and native.country contain some "?"s. Let's get rid of them.

```
In [10]: # dropping the "?"s

df = df[df['occupation'] != '?']

df = df[df['native.country'] != '?']
```

Now we have a clean dataframe which is ready for model building.

```
In [11]: # clean dataframe
df.info()
```

```
<class 'pandas.core.frame.DataFrame'>
Int64Index: 30162 entries, 1 to 32560
Data columns (total 15 columns):
age
                 30162 non-null int64
                 30162 non-null object
workclass
                 30162 non-null int64
fnlwgt
education
                 30162 non-null object
education.num
                 30162 non-null int64
marital.status
                 30162 non-null object
occupation
                 30162 non-null object
                 30162 non-null object
relationship
race
                 30162 non-null object
                 30162 non-null object
sex
capital.gain
                 30162 non-null int64
capital. loss
                 30162 non-null int64
hours.per.week
                 30162 non-null int64
native.country
                 30162 non-null object
                 30162 non-null object
income
dtypes: int64(6), object(9)
memory usage: 3.7+ MB
```

Data Preparation

There are a number of preprocessing steps we need to do before building the model.

Firstly, note that we have both categorical and numeric features as predictors. In previous models such as linear and logistic regression, we had created **dummy variables** for categorical variables, since those models (being mathematical equations) can process only numeric variables.

All that is not required in decision trees, since they can process categorical variables easily. However, we still need to **encode the categorical variables** into a standard format so that sklearn can understand them and build the tree. We'll do that using the LabelEncoder() class, which comes with sklearn.preprocessing.

```
In [12]: from sklearn import preprocessing
         # encode categorical variables using Label Encoder
         # select all categorical variables
         df_categorical = df.select_dtypes(include=['object'])
         df categorical.head()
```

Out[12]: workclass education marital.status occupation relationship native.country race inc sex Exec-1 Private HS-grad Widowed Not-in-family White Female **United-States** <: managerial Machine-3 Private 7th-8th Divorced Unmarried White Female United-States < op-inspct Prof-Some-4 Private Separated Own-child White Female **United-States** < college specialty Other-5 Private HS-grad Divorced Unmarried White Female **United-States** < service Adm-6 Private 10th Separated Unmarried White **United-States**

clerical

Male

<

In [13]: # apply Label encoder to df_categorical le = preprocessing.LabelEncoder() df_categorical = df_categorical.apply(le.fit_transform) df_categorical.head()

Out[13]: workclass education marital.status occupation relationship race sex native.country income 1 2 3 11 6 1 4 0 38 0 2 3 5 0 6 4 4 0 38 0 4 2 15 5 9 3 4 0 38 0 5 2 0 7 0 11 4 0 38

0

4

4

1

38

0

5

2

6

0

```
In [14]: # concat df_categorical with original df
    df = df.drop(df_categorical.columns, axis=1)
    df = pd.concat([df, df_categorical], axis=1)
    df.head()
```

Out[14]: age fnlwgt education.num capital.gain capital.loss hours.per.week workclass education 82 132870 9 0 2 4356 18 11 1 3 54 140359 4 0 3900 40 2 5 10 0 2 15 41 264663 3900 40 5 34 216864 9 0 3770 45 2 11

0

6

3770

40

2

0

```
In [15]: # Look at column types
df.info()
```

```
<class 'pandas.core.frame.DataFrame'>
Int64Index: 30162 entries, 1 to 32560
Data columns (total 15 columns):
age
                  30162 non-null int64
fnlwgt
                  30162 non-null int64
education.num
                  30162 non-null int64
capital.gain
                  30162 non-null int64
capital.loss
                  30162 non-null int64
hours.per.week
                  30162 non-null int64
workclass
                  30162 non-null int32
education
                  30162 non-null int32
                  30162 non-null int32
marital.status
                  30162 non-null int32
occupation
relationship
                  30162 non-null int32
race
                  30162 non-null int32
                  30162 non-null int32
sex
native.country
                  30162 non-null int32
                  30162 non-null int32
income
dtypes: int32(9), int64(6)
memory usage: 2.6 MB
```

38 150601

6

```
In [16]: # convert target variable income to categorical - Target variable not needed in
    Decision node to Check
    #df['income'] = df['income'].astype('category')
    #df.head()
```

Now all the categorical variables are suitably encoded. Let's build the model.

Model Building and Evaluation

Let's first build a decision tree with default hyperparameters. Then we'll use cross-validation to tune them.

```
In [17]: # Importing train-test-split
          from sklearn.model selection import train test split
In [18]:
         # Putting feature variable to X
          X = df.drop('income',axis=1)
          # Putting response variable to y
          y = df['income']
In [19]:
         # Splitting the data into train and test
          X_train, X_test, y_train, y_test = train_test_split(X, y,
                                                                test_size=0.30,
                                                                random state = 99)
         X train.head()
Out[19]:
                fnlwgt education.num capital.gain capital.loss hours.per.week workclass education
                                                                                          mari
            42
               289636
                                  9
                                            0
                                                       0
                                                                              2
         1
                                                                    46
                                                                                       11
                                                       0
         6
            37
                52465
                                  9
                                            0
                                                                    40
                                                                               1
                                                                                       11
            38 125933
                                            0
                                                       0
                                                                    40
                                                                               0
                                                                                       12
         7
                                 14
         2
            44 183829
                                 13
                                            0
                                                       0
                                                                    38
                                                                               5
                                                                                        9
         3
            35 198841
                                 11
                                            0
                                                       0
                                                                    35
                                                                              2
                                                                                        8
In [20]: # Importing decision tree classifier from sklearn library
          from sklearn.tree import DecisionTreeClassifier
          # Fitting the decision tree with default hyperparameters, apart from
          # max depth which is 5 so that we can plot and read the tree.
          dt default = DecisionTreeClassifier(max depth=5)
          dt_default.fit(X_train, y_train)
Out[20]: DecisionTreeClassifier(class_weight=None, criterion='gini', max_depth=5,
                                 max features=None, max leaf nodes=None,
                                 min impurity decrease=0.0, min impurity split=None,
                                 min_samples_leaf=1, min_samples_split=2,
                                 min_weight_fraction_leaf=0.0, presort=False,
                                  random state=None, splitter='best')
```

```
In [21]: # Let's check the evaluation metrics of our default model

# Importing classification report and confusion matrix from sklearn metrics
from sklearn.metrics import classification_report, confusion_matrix,
accuracy_score

# Making predictions
y_pred_default = dt_default.predict(X_test)

# Printing classification report
print(classification_report(y_test, y_pred_default))
```

	precision	recall	f1-score	support
0	0.86	0.95	0.91	6867
1	0.78	0.52	0.63	2182
accuracy			0.85	9049
macro avg	0.82	0.74	0.77	9049
weighted avg	0.84	0.85	0.84	9049

```
In [22]: # Printing confusion matrix and accuracy
print(confusion_matrix(y_test,y_pred_default))
print(accuracy_score(y_test,y_pred_default))
```

```
[[6553 314]
[1038 1144]]
0.8505912255497845
```

Hyperparameter Tuning

Tuning max_depth

Let's first try to find the optimum values for max_depth and understand how the value of max_depth affects the decision tree.

Here, we are creating a dataframe with max_depth in range 1 to 80 and checking the accuracy score corresponding to each max_depth.

To reiterate, a grid search scheme consists of:

```
an estimator (classifier such as SVC() or decision tree)
a parameter space
a method for searching or sampling candidates (optional)
a cross-validation scheme, and
a score function (accuracy, roc_auc etc.)
```

```
In [56]: # scores of GridSearch CV
scores = tree.cv_results_
pd.DataFrame(scores).head()
```

Out[56]: mean_fit_time std_fit_time mean_score_time std_score_time param_max_depth params {'max_depth': 0 0.013414 0.006326 0.001799 0.000979 {'max_depth': 1 0.018751 0.006248 0.006253 0.007658 2} {'max_depth': 2 0.018158 0.003160 0.006247 0.007651 3} {'max_depth': 3 0.051170 0.018552 0.002007 0.004013

0.006250

0.007654

{'max_depth':

5}

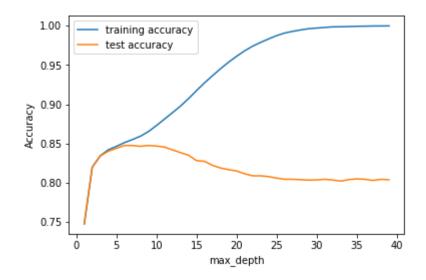
5 rows × 21 columns

0.035856

0.007133

4

Now let's visualize how train and test score changes with max_depth.



You can see that as we increase the value of max_depth, both training and test score increase till about max-depth = 10, after which the test score gradually reduces. Note that the scores are average accuracies across the 5-folds.

Thus, it is clear that the model is overfitting the training data if the max_depth is too high. Next, let's see how the model behaves with other hyperparameters.

Tuning min_samples_leaf

The hyperparameter **min_samples_leaf** indicates the minimum number of samples required to be at a leaf.

So if the values of min_samples_leaf is less, say 5, then the will be constructed even if a leaf has 5, 6 etc. observations (and is likely to overfit).

Let's see what will be the optimum value for min_samples_leaf.

```
In [39]: # GridSearchCV to find optimal max depth
         from sklearn.model selection import KFold
         from sklearn.model_selection import GridSearchCV
         # specify number of folds for k-fold CV
         n folds = 5
         # parameters to build the model on
         parameters = {'min_samples_leaf': range(5, 200, 20)}
         # instantiate the model
         dtree = DecisionTreeClassifier(criterion = "gini",
                                          random state = 100)
         # fit tree on training data
         tree = GridSearchCV(dtree, parameters,
                              cv=n_folds,
                             scoring="accuracy")
         tree.fit(X_train, y_train)
Out[39]: GridSearchCV(cv=5, error score='raise-deprecating',
                 estimator=DecisionTreeClassifier(class_weight=None, criterion='gini', ma
         x_depth=None,
                      max_features=None, max_leaf_nodes=None,
                      min impurity decrease=0.0, min impurity split=None,
                      min_samples_leaf=1, min_samples_split=2,
                      min weight fraction leaf=0.0, presort=False, random state=100,
                      splitter='best'),
                fit_params=None, iid='warn', n_jobs=None,
                param_grid={'min_samples_leaf': range(5, 200, 20)},
                pre_dispatch='2*n_jobs', refit=True, return_train_score='warn',
                scoring='accuracy', verbose=0)
In [32]: # scores of GridSearch CV
         scores = tree.cv_results_
         pd.DataFrame(scores).head()
Out[32]:
             mean_fit_time mean_score_time mean_test_score mean_train_score param_min_samples_leaf
                                                                                          5 {
          0
                 0.076940
                                0.002072
                                               0.823663
                                                               0.913785
                 0.070042
                                                                                         25
          1
                                0.002049
                                               0.844172
                                                               0.869180
```

45 {

65 {

85 {

5 rows × 21 columns

0.055783

0.061370

0.051622

0.001711

0.002087

0.002022

0.848529

0.846114

0.845451

0.861554

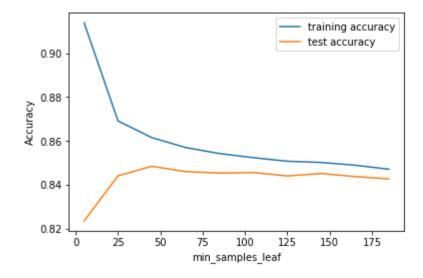
0.857067

0.854320

4

2

3



You can see that at low values of min_samples_leaf, the tree gets a bit overfitted. At values > 100, however, the model becomes more stable and the training and test accuracy start to converge.

Tuning min_samples_split

The hyperparameter **min_samples_split** is the minimum no. of samples required to split an internal node. Its default value is 2, which means that even if a node is having 2 samples it can be furthur divided into leaf nodes.

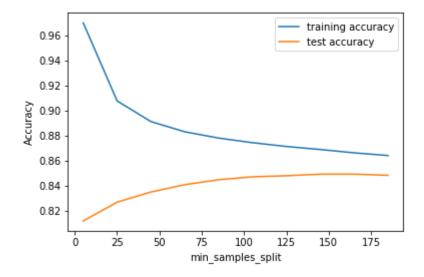
```
In [40]: # GridSearchCV to find optimal min samples split
         from sklearn.model selection import KFold
         from sklearn.model_selection import GridSearchCV
         # specify number of folds for k-fold CV
         n folds = 5
         # parameters to build the model on
         parameters = {'min_samples_split': range(5, 200, 20)}
         # instantiate the model
         dtree = DecisionTreeClassifier(criterion = "gini",
                                         random state = 100)
         # fit tree on training data
         tree = GridSearchCV(dtree, parameters,
                             cv=n_folds,
                             scoring="accuracy")
         tree.fit(X_train, y_train)
Out[40]: GridSearchCV(cv=5, error score='raise-deprecating',
                estimator=DecisionTreeClassifier(class_weight=None, criterion='gini', ma
         x depth=None,
                     max_features=None, max_leaf_nodes=None,
                     min_impurity_decrease=0.0, min_impurity_split=None,
                     min_samples_leaf=1, min_samples_split=2,
                     min_weight_fraction_leaf=0.0, presort=False, random_state=100,
                     splitter='best'),
                fit_params=None, iid='warn', n_jobs=None,
                param_grid={'min_samples_split': range(5, 200, 20)},
                pre_dispatch='2*n_jobs', refit=True, return_train_score='warn',
```

```
In [41]: # scores of GridSearch CV
scores = tree.cv_results_
pd.DataFrame(scores).head()
```

scoring='accuracy', verbose=0)

Out[41]:		mean_fit_time	std_fit_time	mean_score_time	std_score_time	param_min_samples_split	
	0	0.249302	0.023308	0.007176	0.001296	5	{'min_sa
	1	0.254080	0.041291	0.006585	0.002792	25	{'min_sa
	2	0.248087	0.022977	0.008883	0.002362	45	{'min_sa
	3	0.271269	0.056348	0.008110	0.001872	65	{'min_sa
	4	0.216440	0.032674	0.007011	0.002618	85	{'min_sa

5 rows × 21 columns



This shows that as you increase the min_samples_split, the tree overfits lesser since the model is less complex.

Grid Search to Find Optimal Hyperparameters

We can now use GridSearchCV to find multiple optimal hyperparameters together. Note that this time, we'll also specify the criterion (gini/entropy or IG).

```
In [58]: # Create the parameter grid
         param_grid = {
             'max_depth': range(5, 15, 5),
             'min_samples_leaf': range(50, 150, 50),
             'min_samples_split': range(50, 150, 50),
             'criterion': ["entropy", "gini"]
         }
         n folds = 5
         # Instantiate the grid search model
         dtree = DecisionTreeClassifier()
         grid_search = GridSearchCV(estimator = dtree, param_grid = param_grid,
                                    cv = n folds, verbose = 1)
         # Fit the grid search to the data
         grid search.fit(X train,y train)
         Fitting 5 folds for each of 16 candidates, totalling 80 fits
         [Parallel(n jobs=1)]: Using backend SequentialBackend with 1 concurrent worker
         [Parallel(n_jobs=1)]: Done 80 out of 80 | elapsed:
                                                                  4.4s finished
Out[58]: GridSearchCV(cv=5, error score='raise-deprecating',
                estimator=DecisionTreeClassifier(class weight=None, criterion='gini', ma
         x depth=None,
                     max_features=None, max_leaf_nodes=None,
                     min impurity decrease=0.0, min impurity split=None,
                     min_samples_leaf=1, min_samples_split=2,
                     min_weight_fraction_leaf=0.0, presort=False, random_state=None,
                     splitter='best'),
                fit params=None, iid='warn', n jobs=None,
                param_grid={'max_depth': range(5, 15, 5), 'min_samples_leaf': range(50,
         150, 50), 'min_samples_split': range(50, 150, 50), 'criterion': ['entropy', 'gi
         ni']},
                pre dispatch='2*n jobs', refit=True, return train score='warn',
                scoring=None, verbose=1)
```

```
In [59]:
          # cv results
          cv_results = pd.DataFrame(grid_search.cv_results_)
          cv results
Out[59]:
              mean_fit_time std_fit_time mean_score_time std_score_time param_criterion
                                                                                   param_max_de
            0
                   0.050325
                              0.008642
                                              0.001601
                                                            0.001358
                                                                            entropy
            1
                   0.032814
                              0.003100
                                              0.003125
                                                            0.006250
                                                                            entropy
            2
                  0.038284
                              0.004984
                                              0.003126
                                                            0.006251
                                                                            entropy
            3
                  0.040109
                              0.006026
                                              0.000000
                                                            0.000000
                                                                            entropy
          # printing the optimal accuracy score and hyperparameters
In [60]:
          print("best accuracy", grid_search.best_score_)
          print(grid_search.best_estimator_)
          best accuracy 0.8514659214701843
          DecisionTreeClassifier(class_weight=None, criterion='gini', max_depth=10,
                       max_features=None, max_leaf_nodes=None,
                       min impurity decrease=0.0, min impurity split=None,
                       min samples leaf=50, min samples split=50,
                       min_weight_fraction_leaf=0.0, presort=False, random_state=None,
                       splitter='best')
```

Running the model with best parameters obtained from grid search.

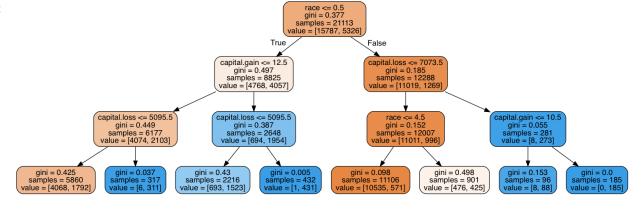
```
In [61]: # model with optimal hyperparameters
         clf gini = DecisionTreeClassifier(criterion = "gini",
                                            random state = 100,
                                            max depth=10,
                                            min samples leaf=50,
                                            min_samples_split=50)
         clf gini.fit(X train, y train)
Out[61]: DecisionTreeClassifier(class weight=None, criterion='gini', max depth=10,
                     max features=None, max leaf nodes=None,
                     min_impurity_decrease=0.0, min_impurity_split=None,
                     min samples leaf=50, min samples split=50,
                     min weight fraction leaf=0.0, presort=False, random state=100,
                     splitter='best')
In [62]: # accuracy score
         clf_gini.score(X_test,y_test)
Out[62]: 0.850922753895458
In [63]: # plotting the tree
         dot data = StringIO()
         export graphviz(clf gini,
         out file=dot data, feature names=features, filled=True, rounded=True)
         graph = pydotplus.graph_from_dot_data(dot_data.getvalue())
         Image(graph.create_png())
                                                    Traceback (most recent call last)
         NameError
         <ipython-input-63-62884116144f> in <module>()
               1 # plotting the tree
               2 dot_data = StringIO()
         ---> 3 export graphviz(clf gini, out file=dot data, feature names=features, fill
         ed=True, rounded=True)
               5 graph = pydotplus.graph from dot data(dot data.getvalue())
         NameError: name 'features' is not defined
```

You can see that this tree is too complex to understand. Let's try reducing the max_depth and see how the tree looks.

0.8393192617968837

In [44]: # plotting tree with max_depth=3 dot_data = StringIO() export_graphviz(clf_gini, out_file=dot_data,feature_names=features,filled=True,rounded=True) graph = pydotplus.graph_from_dot_data(dot_data.getvalue()) Image(graph.create_png())

Out[44]:



In [45]: # classification metrics

from sklearn.metrics import classification_report,confusion_matrix
y_pred = clf_gini.predict(X_test)
print(classification_report(y_test, y_pred))

support	f1-score	recall	precision	
6867	0.90	0.96	0.85	0
2182	0.59	0.47	0.77	1
9049	0.82	0.84	0.83	avg / total

In [46]: # confusion matrix print(confusion_matrix(y_test,y_pred))

```
[[6564 303]
[1151 1031]]
```