# Predicting the Critical Temperature of a Superconductor

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Abstract—The purpose of this project is to analyse the various approaches inherited to solve the problem of predicting the critical temperature of superconductors to formulate a model that can best predict the critical temperature. The elemental chemical properties of superconductors are many. Various models aim to narrow it down to the most contributing features that yield the closest prediction. A close look at the correlations between the features and  $T_c$  enables a thorough analysis of pitfalls and contributions. Various approaches deal with statistical machine learning models, featureless approach, Bayesian Neural Network approach and the like for nearly optimized predictions.

Keywords—critical temperature, superconductor, multiple linear regression, regression tree

### I. Introduction

Superconductivity of materials is a property by which materials conduct current with zero resistance, which occurs at or below a certain temperature called the critical temperature. Research existing on this property has been prevailing for years. In the field of material science, this allows scientists to synthesise new materials or gauge the optimal temperature of current flow with minimal resistance, whether a certain temperature is feasible and if yes, to what extent must the material be cooled.

Revolutionary new solutions needed to tackle the power grid challenge calls for efficient study and evaluation of superconductivity of materials. Various studies and models have incorporated efficient models that predict this macroscopic property that relates with other chemical properties intricately.

Our paper aims to review and analyse the various existing models, their performance and formulate a model that predicts the critical temperature of materials using a database of recorded superconductivity.

# II. REVIEW OF LITERATURE

### A. Predicting Critical Temperature of a Superconductor

This assessment [1] of the NIMS dataset provided a thorough exploratory analysis along with useful insights. The author described each attribute inferring what the significance is for each. Each superconductor had 8 main chemical properties which further had 10 more features

extracted using a multitude of statistical transformations such as weighted mean, geometric mean, entropy and so on.

Descriptive analysis was performed which showed that not all distributions were normal and possessed some degree of skewness. This would thus, require data transformation and scaling to be done to have standardized features. Correlation analysis showed not all features were important in predicting the critical temperature and it was made note to drop these in the future model building phase. A Box Cox Transformation was used to normalize the distribution of those features whose skewness exceeded 0.75 which resulted in an approximate normal distribution.

During model development, 14 different models were used which included regression, regularization, instance-based, tree-based, dimensionality reduction and ensemble methods. Since there was data transformation involved, it totaled to 28 models. The MSE was reported for each model along with the standard error, p-value and R² values. The calculated p-values allowed the author to extract the most important or significant features in the data. Linear regression was carried out using forward, backward and stepwise selection to get an optimal number of features. It was found that backward selection provided a simpler model as compared to the rest with the same MSE and R² values.

The model statistics for each model is reported for both the original and the transformed data. A thorough evaluation is conducted of all the models and inferences were concluded. Principal component regression performed the worst among all the models while ensemble tree-based algorithms out performed all other models.

Model predictions were plotted and the most important features used for predicting the critical temperature were reported rank wise. Valence and Thermal Conductivity are the two most important features. Future scope includes including more ensemble learning methods on top of the existing models and improving hyperparameter tuning.

The author provides extensive comparison between different models used for the prediction and the shortcomings of each. Valuable insights can be found by finding ways to work around these shortcomings and produce robust models.

# B. Featureless approach for predicting Critical Temperature of Superconductors

The authors [2] focused on the accuracies of different ML algorithms applied on the dataset containing the chemical formulas of superconductors. The prediction was made without considering the features from the superconductors chemical formula. Hence it would only give the critical temperature and won't check for whether the material is a superconductor or not.

After some literature review in this field, they concluded that though ML, deep learning and AI give good results, there can be more advancement in the research by using a featureless approach in predicting the critical temperature.

The molecule chemical composition formula was used with supervised ML techniques like Decision trees, Random Forest, Bayes classification along with linear classification, PCA, decision PCA, XGBoost and SVM. The chemical formula was sent as an input to the above mentioned techniques to give the critical temperature as the output. The final analysis was done by measuring max\_error, MSE, explained variance, mean absolute error, mean squared log error and R<sup>2</sup> error on different algorithms and the results were recorded. The data split for training and testing was 70:30.

Upon analysing the results and visualizing them graphically, the authors concluded that featureless analysis using advanced ML algorithms like SVM, SVM with RBF and XGBoost are useful in predicting critical temperature. PCA is also helpful as it helps reduce the data dimension. The main focus of their research is the development of 6G.

# C. Machine learning modeling of superconducting critical temperature

In this research [3], several ML schemes were developed to model the critical temperatures of 12,000+ known superconductors using the SuperCon database and to improve the accuracy of the models, new features were incorporated from AFLOW online repositories.

The model used coarse-grained features based only on the chemical compositions. Instead of the heuristic approach of classifying the superconductors based on critical temperature, random forests and simplex fragments were leveraged on the structural properties data from the AFLOW online repositories to find optimum clustering descriptors. A learning framework was also implemented to analyse the limitations of trial-and-error approaches for breakthrough discoveries.

The compounds from the SuperCon database had few records with no critical temperature reported, compounds that were cuprate and iron-based and some unconventional superconductors. Training this on ML model would lead to bias as it would be ineffective on new materials because of training only on superconductors. Hence 300 materials were incorporated, which were found by H. Hosono's. Then the data was classified based on a threshold critical temperature, placing the records with no reported critical temperature as below threshold.

Magpie was used to convert the information on chemical composition into a meaningful set of attributes which

included mean and std deviation of 22 different elemental properties. For the classification, to set the threshold critical temperature, a series of random forest models were trained. Precision, recall and F1 score were also used along with accuracy as metrics for classification. To estimate the performance of the models, the data was split as 85:15 for training and testing. The observations were graphically observed to see how the metrics changed as the threshold critical temperature changed. The threshold was set for 10K.

After constructing the successful classification model, a regression model was created to get a better understanding of the factors controlling the critical temperature of superconductors. The regression model focused on the materials with a critical temperature greater than the threshold to avoid the problem of non-reported critical temperatures. Their model achieved an R² value of 0.88, signifying that the random forest algorithm was a flexible and powerful method to automatically separate the compounds into groups and group-specific branches with distinct predictors. A final accuracy and F1 score of about 92% was achieved.

Finally, the classification and regression models were combined into a single-integrated pipeline and employed to search the entire Inorganic Crystallographic Structure Database (ICSD) for potential new superconductors, non-cuprate and non-iron-based oxides as candidate materials in particular.

# D. Prediction of critical temperature and new superconducting materials

This paper [4] employed machine learning models to predict the critical temperature of a superconductor using the same NIMS dataset. The change here is how the dataset is preprocessed. It was found that multiple critical temperature values were reported for the same or extremely similar compounds. It is speculated that the data gathered came from a variety of laboratories which might have caused the discrepancy. The cleaned dataset now contains about 15000 unique samples as opposed to the original 21000.

Three different datasets were extracted from this with minimum (sc\_min), mean (sc\_mean) and maximum (sc\_max) experimental values. The logic behind dividing into three separate sets is due to the fact that the critical temperature significantly depends on the size of the sample and the number of defects; therefore, several values of the critical temperature are possible for the same material.

Outliers were removed by keeping only those samples whose values remained within 3 standard deviations from the mean of each feature. The authors used a 70:30 train-test split for training their model and used a standard feature selection algorithm for choosing the best features.

Random forest regression was used and it performed well giving an average R<sup>2</sup> value of 0.9. In order to further improve the model's accuracy, the data was broken down by the number of chemical elements and the ratios. The model performed noticeably better this time around with an average R<sup>2</sup> of 0.94. After further model evaluation, it was observed that outlier removal did not benefit the quality and sc\_mean data provided the best output. While sc\_mean did

provide better results, sc\_min was used for alloys particularly for their relatively low critical temperatures.

When it comes to predicting the critical temperature of unseen data, the paper uses an algorithm wherein the number of ions are taken; The combinations of positive and negative ions are determined; A weight vector p is calculated for each combination. This is later used to find the corresponding chemical properties from the closest compound in the dataset.

The model does not comment on the presence of superconductivity, rather the critical temperature of a compound that does. The constructed models do not take into account the crystallography of the considered substances, which can be important when considering, for example, various modifications of carbon.

The authors further recommend using linear regression models and nonlinear dependencies for prediction of critical temperatures.

# E. Data-driven statistical model for critical temperature prediction

The approach that was accepted here [5] was entirely data-driven. A statistical model was constructed with 21, 263 rows of superconductors after data processing. In terms of percentage, this was 67% of the original dataset obtained from the Superconducting Material Database maintained by Japan's National Institute for Materials Science (NIMS). This paper was one of the only two that focussed on statistical models to predict  $T_{\rm c}$ .

 $T_{\rm c}$  is closely associated with the number of available valence electrons per atom and predictors for this model were derived based on the superconductor's elemental properties. One of the crucial keys to note is that this model does not predict whether a material is a superconductor, rather gives predictions for superconductors.

A total of 81 features were extracted from each superconductor and one 1 additional column of the observed  $T_c$  values. After a thorough analysis with various statistical models, two models were considered in this approach: A multiple regression model that served as the benchmark and a gradient boosted model as the key predicting model.

The latter is worth elaborating on; the gradient boosted models work closely with trees which account for the points which are difficult to predict and encounter the various intricate interactions between features. The correlation among features is also handled. The trees are progressively added sequentially, thereby improving the model. A weighted average is considered for final prediction, once fitted.

Associated with the data preparation process was the attempt to reduce the dimensionality. On prior analysis, it was found that a certain number of features resulted in high correlation. This observation motivated dimensionality

reduction using Principal Component Analysis (PCA), but the returns in terms of improvement and benefits were not appreciable. This was due to the fact that a large number of principal components were required for a substantial percentage of data variation and hence, the PCA approach was abandoned.

The performance and the numbers associated with the results that this paper brought forth were as follows: The multiple regression model under-predicted  $T_{\rm c}$  of high temperature superconductors and over-predicted for low temperature ones, but this only served as a benchmark. The latest improvement called XGBoost was used to improve on the performance further and returned an out-of-sample prediction of 9.5K based on RMSE and an out-of-sample  $R^2$  values of 0.92 for one out of the 750 trees generated by the XGBoost model, which is considerably well.

Feature importance was evaluated using the XGBoost model which did so using the gain obtained for each feature. The result obtained listed the top 20 features that contribute most to the  $T_{\rm c}$  prediction. Features defined based on thermal conductivity, atomic radius, valence, electron affinity, and atomic mass were observed to be the most important.

### F. Variational Bayesian Neural Network approach

The SuperCon database was obtained from NMIS here as well. This study [6] examined and evaluated an approach for prediction in twofold and made use of the Bayesian Neural Network which is a generative machine-learning framework and was the focus of the approach.

The prediction was based on superconductors, chemical elements and formulas. In order to improve the interpretability, a variational inference to approximate the distribution was used which captures the statistical mutual correlation, followed by a stochastic optimization algorithm.

The dataset followed a 70-30 split for train and test set without the use of validation set to explore the effect of VBNN after overcoming the overfitting challenge.

From a general point of view, the improvement of a neural network is said to increase with more epochs and smaller batch size results in a faster convergence.

Taking this into consideration, the experiment converged with 1000 epochs, batch size of 10 and 100 hidden layers.

The performance of the model was shown to have the R<sup>2</sup> value very close to the best model (0.94), with the RMSE value of 3.83 K.

# III. DATASET

For the purpose of predicting the critical temperature of a superconductor, we have chosen the SuperCon database [7] maintained by Japan's National Institute for Materials Science (NIMS).

Upon analysing the dataset, it was found that though there was no missing data, duplicates had to be taken care of. The dataset consists of 81 continuous valued features along with 21263 recorded critical temperatures. The 82nd feature is the target column consisting of all critical temperatures.

Each superconductor had 8 main chemical properties which further had 10 more features extracted using a multitude of statistical transformations such as weighted mean, geometric mean, entropy and so on. Another dataset includes the chemical composition of each known superconductor in the NIMS dataset.

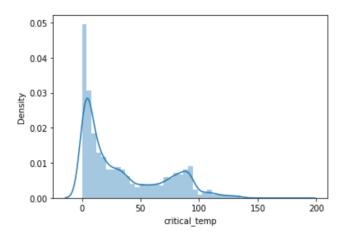
### IV. INITIAL INSIGHTS

From the EDA performed, the following 20 features showed the highest correlation with the critical temperature.

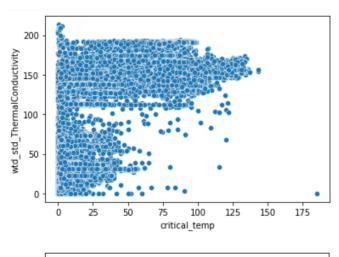
TABLE I. TOP 20 CORRELATIONS

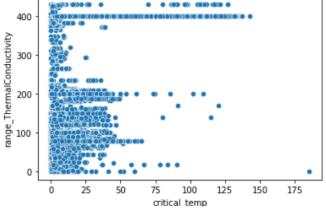
Feature	Correlatio n	Feature	Correlation
wtd_std_ThermalC onductivity	0.721271	mean_Valence	0.600085
range_ThermalCon ductivity	0.687654	wtd_std_atomic_ra dius	0.599199
range_atomic_radiu s	0.653759	entropy_Valence	0.598591
std_ThermalCondu ctivity	0.653632	wtd_entropy_Valen	0.589664
wtd_mean_Valence	0.632401	wtd_std_fie	0.582013
wtd_entropy_atomi c_mass	0.626930	gmean_Valence	0.573068
wtd_gmean_Valenc	0.615653	entropy_fie	0.567817
wtd_entropy_atomi c_radius	0.603494	wtd_entropy_Fusio nHeat	0.563244
number_of_element	0.601069	std_atomic_radius	0.559629
range_fie	0.600790	entropy_atomic_rad ius	0.558937

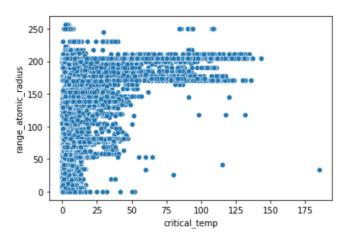
The distribution for the reported critical temperatures is shown below. This shows positive skewness as most of the critical temperatures were observed to be towards the lower range.



The scatter plot between the critical temperature and the 3 features with the highest correlation are shown below.







The values can be normalized later so that the correlation can be observed clearly.

### V. PROBLEM STATEMENT AND APPROACH

The goal of this project is to develop a model which predicts the critical temperature of a superconductor with acceptable accuracy. The model would be able to predict temperatures for unseen compounds as well.

Our approach differs from current work on the topic where we plan to make use of both, the chemical composition and the properties of the material. As far as our knowledge goes, models have only taken into consideration either only the chemical properties or only the chemical composition and not both.

Our initial approach consists of combining both datasets to form a 168 feature table from which multiple models such as regression, decision trees, PCA and so on will be trained. We also plan to use some sort of ensemble method where tree-based algorithms are trained on both datasets separately and the final prediction is an aggregation of the two

The model giving the best performance will be considered for predictions.

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