

Kernels: It is a computer program at the core of computer's OS and has complete control over ~~the everything~~ in the system. Part of OS, always resident in memory.

AMAS Page No.
Date

Operating System

Operating is a system software. It acts as an interface between the applications and hardware of a computer.

User

OS

Hardware

Need of operating system

- User-process Synchronizations
- Safety and Security
- Abstraction → primary goal of OS is to provide convenience
- Protection

* **Throughput**: No. of tasks executed per unit time.
Linux has higher throughput.

Functionality of OS

1. Resource manager: Multiple users accessing resources

2. possibly. The OS decides how much to be allocated to a particular user for the smooth functioning of system.

2. Process manager: Execution of different processes by using CPU (CPU scheduling)

3 Storage Management: (Hard disk)

→ Through file systems

Efficiently storing data.

4. Memory Management (Primary \rightarrow RAM)

→ Allocation and deallocation of 1^o memory to process in execution done by OS

5. Security and Privacy

→ Security between processes

→ Authentication

Types of OS

1. Batch
2. Multi-programmed
3. Multitasking
4. Real time OS
5. Distributed
6. Clustered
7. Embedded

1. Batch OS: Similar kinds of jobs are executed together.

CPU remains idle if the current process of the batch goes for I/O.

2. Multi-programmed (Non-preemptive)

CPU get allocated to other processes when current process demands I/O time.

3. Multi-tasking / Time sharing OS. (Preemptive)

→ Response time is improved as CPU gets allocated to each process for a stipulated amt of time

→ Idle time is also reduced as CPU is always having one process to execute with it.

4. Real-time OS

→ Hard: Delays are not bearable, process has to complete its execution at sharp deadline
→ e.g. Missile launch

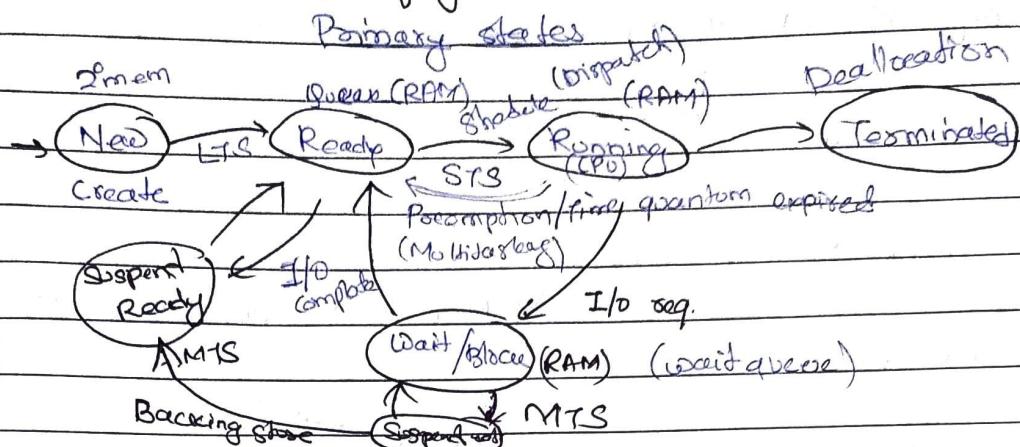
→ Soft → Process has to complete its execution within a range of time.

5. Distributed: Loosely coupled machines; geographically separated.

6. Clustered: Multiple devices connected to a local machine to form a cluster (fault tolerance)

7. Embedded: Specific functionality

Process States / Lifecycle



* Long Term Scheduler: Bring processes from SM to Ready Queue, as max no. as possible

* Short Term Scheduler: Ready queue to Running vice-versa

* Medium Term Scheduler: Wait queue

Additional States: Suspend wait (when wait queue is full)

: Suspend Ready (Ready queue full and a high priority task comes in)

* If a process continuously tries to go to wait queue but it is full then it is sent to suspend ready, this is called backing store.

Important Linux Commands

① `chmod ug=rw note filename`

↑ change mode ↗ read permission all 3
 \downarrow u (user) \downarrow w
 \downarrow g (group) \downarrow x
 \downarrow o (others) \downarrow read \downarrow write \downarrow execute

r_w x_w y_wx
 \downarrow u \downarrow g \downarrow o

1 0 r_wx
4 2 1

② `chmod ug=rw note in octal`
 \downarrow chmod 666 note

initially 0

`fseek (move read/write headers (pointers))`

e.g. `fseek(n, 10, SEEK_CUR);`

↑ ↑ ↑
file to where from where
desc

`fseek(n, 5, SEEK_SET)`

↳ Move to 5th pos (file pointer)

`SEEKEND`

Date: 11/07/21

when process in user mode requires
access to a resource via
request kernel call
System call

System call : Can directly access hardware

and make things happen

e.g. `write()` sys call writes on monitor
screen `open()`

(1) File related (2) Device (3) Information (getpid)
(4) Process Control (5) Communication (pipe)

JProc

(Interprocess)

• `fork()` : To create a child process of a process

Returns 0 child

↳ +1 the parent

↳ -1 → child not created

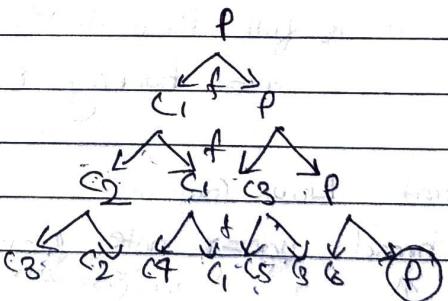
e.g. `main() {`

`fork();`

`fork();`

`fork();`

`printf("Hello")`



2³

3 child JP.

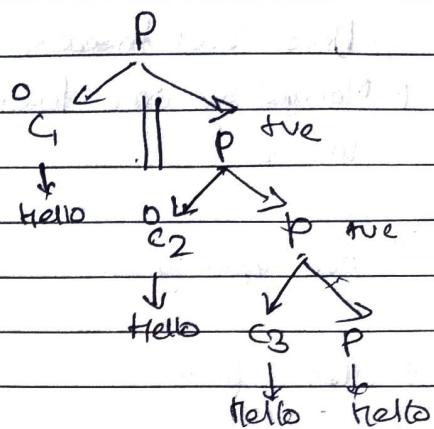
(calls \rightarrow 2^n)

$n = \text{no. of child process}$

No. of c. process = $2^n - 1$ for n .

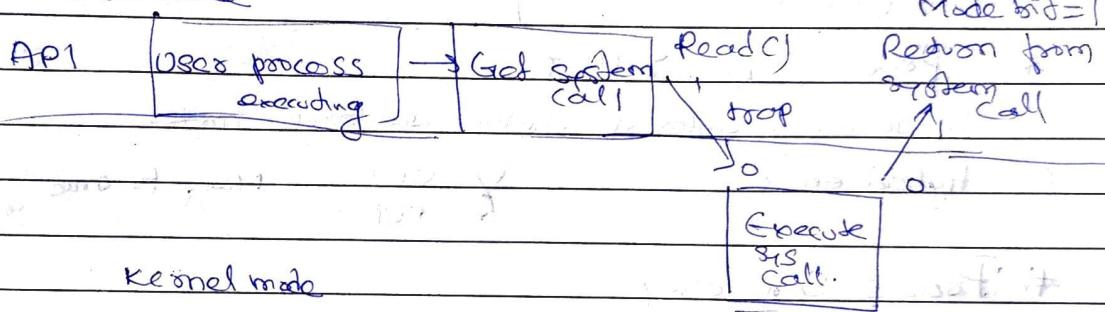
2ⁿ⁻¹ points

```
main() {  
    if (fork() == 0) {  
        if (fork() == 0) {  
            fork();  
            printf("Hello")  
        }  
    }  
}
```



User vs kernel mode

→ Processor switches b/w these 2 modes
User mode



Process

Theorems

* Process vs Threads in Q

- | | |
|---|---|
| 1. System calls involved in process | 2. No system call involved. |
| 2. OS treats diff process differently | 3. User level threads treated as single task for OS |
| 3. Diff process have diff copies of data, files, code | 3. Shares same copy of code and data. |
| 4. Context switching is slower | 4. Context switching is fast |
| 5. Blocking a process won't block another process | 5. Blocking a thread will block entire process |
| 6. Independent | 6. Interdependent |

Dates 12/07/12

KLT creation is almost similar to process creation.

AMAS Page No.
Date

Both share code & Data Section

User level threads vs Kernel level threads

- | | |
|---|--|
| 1. Managed by user level libraries | 1. Managed directly by OS |
| 2. Are typically fast | 2. Slower than user level |
| 3. Is faster | 3. Context switching is slower |
| 4. If one ULT perform blocking then entire process gets blocked | 4. Blocking of 1 KLT doesn't affects others. |

→ Process > KLT > ULT

In decreasing order of context switching time

Hybrid envs

↳ ULT Many to one
↳ KLT

Process Scheduling

Selecting a process from ready queue and keeping it in run state based on criterias

Preemptive

SRTF, RR

LRTR, Priority

Non-preemptive

FCFS, SJF, LTF, HRRN

Multilevel queue

Priority

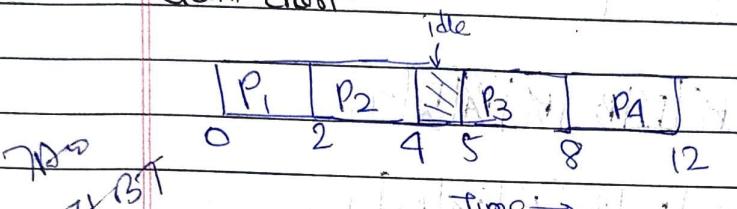
Preemption improves responsiveness

1. Arrival Time: Pt. of time at which a process enters ready queue.
2. Burst time: Time reqd. to get executed. (duration)
3. Completion time: Process has completed its execution
4. Turn around time: Completion time - Arrival time
5. Waiting time: TAT - Burst time
or Burst + waiting time = TAT (Total time useful)
6. Response time: Pt. of time at which a process got CPU for first time - (Arrival time)

FCFS (Criteria: BT, Mode: Non-preemptive)

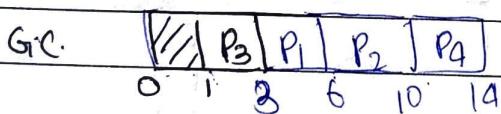
PNo	AT	BT	CT	TAT	WT	RT
-P ₁	0	2	2	2	0	0
-P ₂	1	2	4	3	1	1
P ₃	5	3	8	3	0	0
P ₄	6	4	12	6	2	2

Gantt chart

# for non-preemptive WT = RT

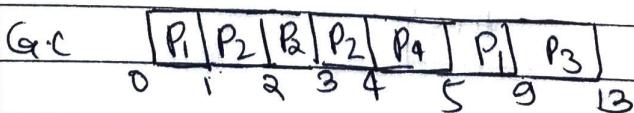
SJF (Criteria: BT, Mode: Non-preemptive)

PNo	AT	BT	CT	TAT	WT	RT
P ₁	1	3	6	5	2	0
P ₂	2	4	10	8	4	4
P ₃	1	2	3	2	0	0
P ₄	4	9	14	10	6	6

P₁ P₃ P₂ P₄

SRTF (Criteria: BT, Mode: Preemptive)

PNo	AT	BT	CT	TAT	WT	RT
P ₁	0	8	9	9	4	0
P ₂	1	3	4	3	0	0
P ₃	2	4	13	11	7	7
P ₄	4	1	5	1	0	0

RQ
P₁ P₂ P₁ P₄ P₃

Round Robin (conferencing, Mode: Preemptive)
 ⇒ Sequence of process in ready queue

TG=2	P.No	AT	BT	CT	TAT	WT	RT
	P ₁	0	8 ₁	12	12	7	0
	P ₂	1	A ₂	11	10	6	1
	P ₃	2	2 ₀	6	4	2	2
	P ₄	4	X	9	5	4	4

G.C Ready Queue [P₁ | P₂ | P₃ | P₁ | P₄ | P₂ | P₁]

G.I.C Run Queue [P₁ | P₂ | P₃ | P₁ | P₄ | P₂ | P₁]
 0 2 4 3 8 9 11 12

No. of context switches = 6

Priority Scheduling (conferencing, Mode: Preemptive)
 > P₁ > P₂

Priority	P.No	AT	BT	CT	TAT	WT	RT
10	P ₁	0	8 ₁	12	12	7	0
20	P ₂	1	A ₂	8	7	13	1
30	P ₃	2	2 ₀	4	2	0	2
40	P ₄	4	1	5	1	0	4

[P₁ | P₂ | P₃ | P₄ | P₂ | P₁]
 0 1 2 4 5 8 12

P₁, P₂
 P₁, P₂, P₃
 P₁, P₂, P₃, P₄

Date 6/13/07/10/11

Multilevel Queue Scheduling

Highest System Process → RR
 Priority (interrupts)

Medium Interactive Process → SJF
 Applications → CPU

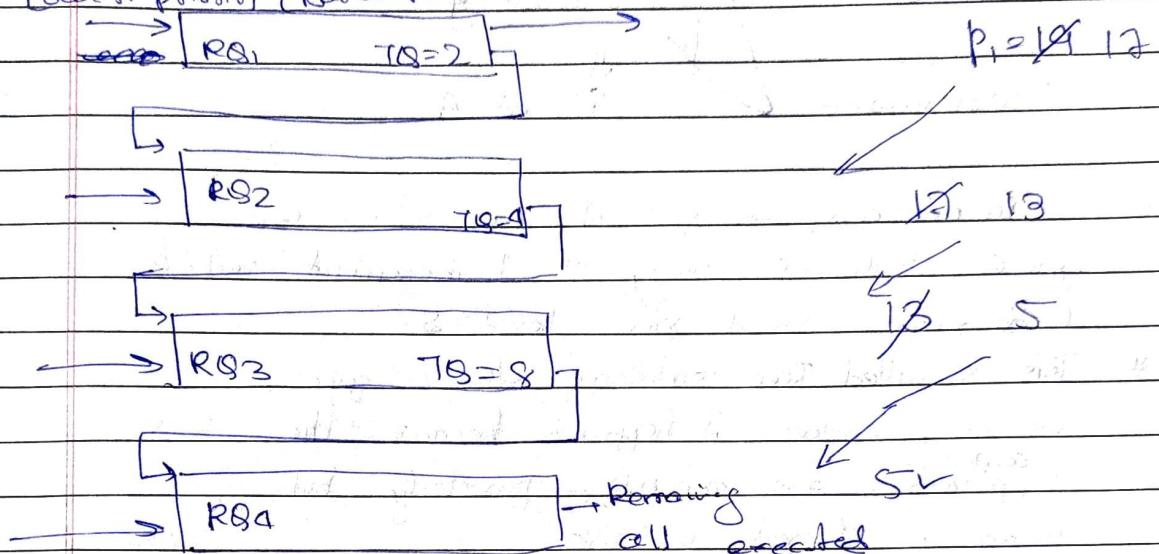
Batch Process
 Background processes

FIFO

Multilevel feedback queue

Because lower queues may face starvation if more and more processes are entering higher queues.

Lowest priority (Batch process)



Highest priority (System process)

Process Synchronization (creates problem in parallel execution)

(i) Cooperative process (ii) Independent process

They share → Variable

No reliability on each other

Memory
Code

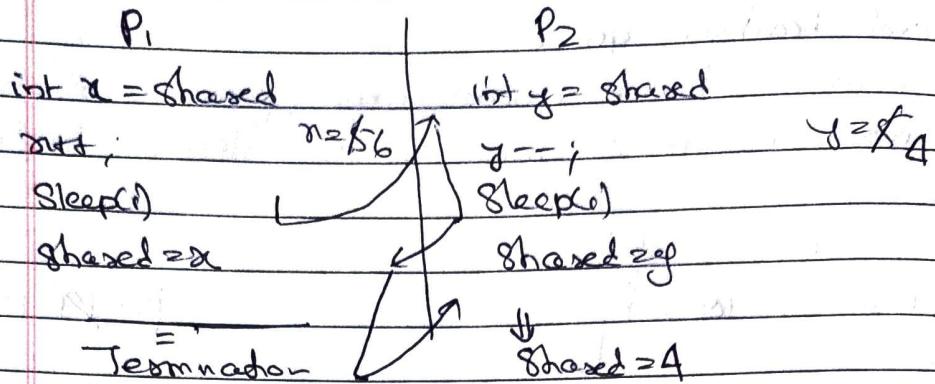
Resources
points
Scenes

→ Creation of 1 process

Effects other process's
execution.

sleep() for implementing preemption

int = Shared = ~~8~~ 4



In one case we get 4 and other we got 6, both are wrong, if 1 increment and 1 decrement should give Shared = 5.

This is called Race Condition, always gives us wrong answers, it happens because the ^{cooperative} processes are executing parallelly but using a shared variable.

Producer-Consumer Problem

$n=8$

`int count = 0; (shared variable)`

`Buffer[n]`

`void producer()`

`0`

Q1

`int itemp;`

`1`

`while(true){`

`2`

`producer(itemp);`

`3`

`Buffers`
`tot1`

`while(count == n);`

`4`

`Buffer[in] = itemp;`

`5`

`in = (in+1) mod n;`

`6`

`Count = Count + 1;`

`7`

`1: Load Rp, m[count]`

`2: INC Rp`

`3: Store m[count], Rp`

`}`

`y`

`count = 0;`

`case 3 & Simple.`

`in = 0;`

`out =`

R1

```

Void Consumer() {
    int itemC;
    while(true) {
        while(count == 0); // consumer Buffer empty
        itemC = Buffer(out);
        out = (out + 1) mod n;
        count = count - 1;
        ProcessItem(itemC);
    }
}

```

30

out = 0

count = 0

itemC = ~~0~~

Case II

Suppose P2 gets prompt after

Instruction 2: while processing

0	in1	count = 4
1	in2	in = 4
2	in3	
3	in4	count = 3

→ Consumer process starts

itemC = ~~in1~~ out = 0

↓ count = 3

(count = 3)

count = 4

R2

Count = 2 (but it should have 3)

Producer I1 I2 consumer I1 I2 Producer I3(T) I2c

→ Race condition arises giving us wrong value
of count

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Printer-spoofer problem

I 1. Load $R_i, m[In]$ I 2. Store $SD(R_i)$ ("File Name")I 3 INCR R_i I 4 Store $m[In], R_i$

Spoofer Directory

0 f1.doc

1 f2.doc

2 f3.doc

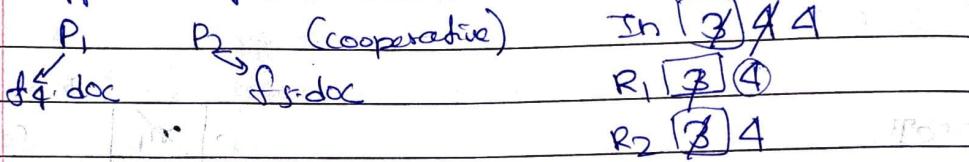
3 f4.doc f5.doc

4

e.g. P_1 , f1.doc $R_1 [] 1$

IN [] 1

Suppose 2 processes are flex



i.e.

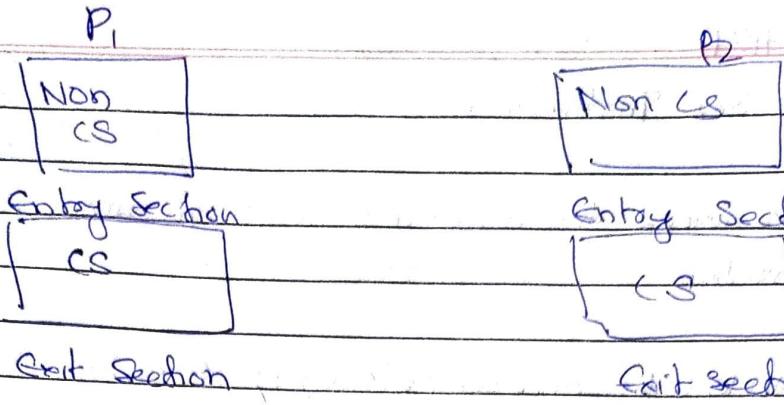
P_1 comes first
 P_1, I_1, I_2, I_3, I_4
 P_2, I_1, I_2, I_3
 Prompt P

Due to synchronization, loss of data happened in Spoofer directory at $In=3$; data from P_2 overrode the already existing data in $SD(3)$ from P_1 , due to Race condition.

Critical Section : It is the part of program where shared resources are accessed by various cooperative processes

(place where shared variables/resources are placed)

If one process is executing CS and another process tries to execute CS, race condition occurs



Synchronization mechanism has 4 condition

- (i) Mutual exclusion: At a time, only one process can enter the critical section.
- (ii) Progress: If P_1 wants to enter, but P_2 doesn't let it enter, and P_2 itself doesn't create CS contention, then no progress is there. This should be eliminated.
- (iii) Bounded wait: There should be indefinite wait for any process. Starvation shouldn't occur.
- (iv) No assumptions related to H/W & speed! Solutions should be independent of H/W, processor, speed, etc. An universal soln should be there.

Lock Variable by OS

do	1. while (lock == 1);	entry code
acquire lock	2. Lock = 1	
CS	3. CS	
release lock	4. Lock = 0	Release
done		

* Acquire in User Mode

* Multiprocess soln

* No mutual exclusion

your contention

Lock = 0 \rightarrow Vacant

\rightarrow false.

Lock = 1
No P_2 can't enter

Preemption

(P1) \rightarrow (P2) | 1 2 3 (2) (3)

Two processes inside CS at the same time

case (1) (P1) (P2)

case (2)

L = 0

Date: 15/07/21

#

Test and Set Instruction

It has combined the entry codes into an atomic code/instruction

ie

`while (test_and_set(&loc));` ← entry
 [CS]

`lock = false;` ← repeat until exit

boolean test_and_set (boolean *target) ↴

`boolean x = *target;`

`*target = true;` // set loc to true

`return x;` // previous value of loc

}

ME ✓ Progress ✓

Turn variable (strict alteration)

→ 2 process solution

→ User mode

`turn=0; p0 → P0 ⇒ P1` ↴ If $turn=1 \Rightarrow P_1=P_0$

NON-CS

Entry while ($turn!=0$);

[CS]

Exit if $turn=1$; $P_0 \rightarrow P_1$

Non-CS

while ($turn!=1$);

[CS]

$turn=0;$

ME ✓ Progress ✓

Bounded wait ✓

Semaphore

Prevents race condition:

→ It is an integer variable, which is used in mutual exclusion manner by various concurrent cooperative process in order to achieve synchronization

(i) Counting Semaphores (-∞ to +∞)

Operations in entry code and exit code

 $P()$, Down, wait ← entry code $V()$, Up, signal, Post, Release ← exit

⇒ Entry code

Down (Semaphore S) α'

$S\text{-value} = S\text{-value} - 1$

if ($S\text{-value} < 0$) {

put process (PCB) in

suspended list, sleep();

}

5

else

return;

g

⇒ Exit code

Up (Semaphore S) α'

$S\text{-value} = S\text{-value} + 1$

if ($S\text{-value} \leq 0$) {

Select a process from

suspended list;

wake up(); // keep in ready

g

Y

S=1

(ii) Binary Semaphores

Down (Semaphore S) α' if ($S\text{-value} = 1$)

$S\text{-value} = 0$

else

block this process

and place in suspend

list, sleep();

g

S=1 => 0 (Success)

S>0 X (unsuccess)

Up (Semaphore S) α'

if (suspended list is empty)

$S\text{-value} = 1$

else

start id process from

suspended list,

and wake up();

g

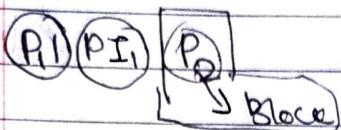
+ S empty

S<1 => (wake up process)

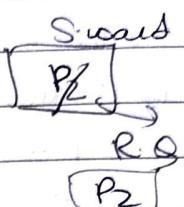
process

S2 # 1 (if 0 no process enters (S))

og

P₁PI₁ Down(S)PI₂ CSPI₃ UP(S)P₂QJ₁ Down(S)QJ₂ CSQJ₃ UP(S)

S = X0 ;

ExampleSolution of Producer-Consumer Problem

Counting Semaphore \rightarrow full = 0 (Initial)

empty = N

Binary Semaphore \rightarrow S = 1Producer Item (Item P)

1. down(empty);

2. down(S)

| Buffer[in] = item;

| in = (in + 1) mod n;

3. up(S)

4. up(full);

Consumer Item (Item C)

1. down(full)

2. down(S)

| item = Buffer[out];

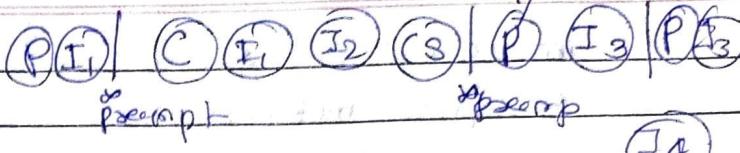
| out = (out + 1) mod n;

3. up(S)

4. up(empty);

N=8

•	a	2
1	b	
2	c	
3	d	
4		
5		
6		
7		



J4

empty = $\exists \forall \exists \forall$

full = $\exists \forall \exists$

$S = X \forall \forall \forall$

- # The process that recodes action(s) first enters critical section and the other can't enter.

Date : 15/07/21

- # Reader-Writer Problem : Two types of users in a single database

R-W, W-R, W-W \Rightarrow problem

R-R \Rightarrow No prob.

$YC \rightarrow$ no. of readers in Buffer

Case I : R-W problem ; when the first reader enters

Case II : W-R

CS; No writer can enter

i.e. when a writer enters CS (OB), no one can enter

```
int rc=0;
Semaphore mutex=1;
Semaphore db=1;
```

Void Reader() {

```
    while (true) {
        1. down (mutex);
        2. rc = rc + 1
        entry 3. if (rc == 1) down (db); } // only when first reader arrives
        4. up (mutex);
    } CS / DB }
```

```
    { down (mutex);
```

```
exit 6. rc = rc - 1;
7. if (rc == 0) up (db); } // the only reader comes out of cs
8. up (mutex); }
```

Process data

}

}

void Writer() {

```
    doerr while (true) {
        down (db);
    } CS / DB }
```

up (db);

}

o.g.

mutex \boxed{X} , db \boxed{Y} , rc \boxed{X} , R₁, R₂ (W), db \boxed{Y} , rc \boxed{X} , R₁, R₂ (W)

(R_1) will be blocked.
↓ and CS

Suppose a Reader comes

rc db
 \emptyset X_D W on 2
 X_2 R-2
both inside CS

w/o reader

R₂ R₃ R₂ R₃

↑ db=1
R₂ R₃
CS

safe condition
No M/R

Date: 16/07/21

Dining Philosophers Problem

100 States
(think & eat)

Case I

P0 comes

to f1 eat f2

P1 comes

f1 f2 (eat) f1 f2

Case II

P0 comes

f1

P1 comes

f2

P2 comes

f3

P3 comes

f4

P4 comes

f5

P0

P1

P2

P3

P4

P5

F1

F2

F3

F4

F5

P1

P2

P3

P4

P5

P0

P1

P2

P3

P4

P5

F1

F2

F3

F4

F5

We will use array of binary semaphores

5 Philosophers
2 Self
00000

S0 S1 S2 S3 S4

Void philosopher();
while (true) {

P0 updo (wait (S0)) | P1 updo (S1)) | P2 updo (S2)) | P3 updo (S3)) | P4 updo (S4)) |

Entry of wait (take_fork (S0));

P1 leads to deadlock situation;

Entry of signal (put_fork (S1));

Signal (put_fork (S2));

Remove Deadlock

(1) Remove one philosopher

(2) Out of 5

4 take S1, S2, S3 and

the left one takes S4

S1, S2 (then no deadlock will be there)

P0 S0 S1

P1 S1 S2

P2 S2 S3

P3 S3 S4

P4 S0 S4

Dining Philosophers' problem

classic synchronization problem

w/o using semaphores:

→ Race conditions will develop as philosophers will try to eat and dependent, can will compete to take up the forks.

After using an array of Semaphore (representing each philosopher) if it is ensured that after one fork is taken, it is not available for others due to do wait() operation ($i \rightarrow i+1$)

Difficulty:

The above soln makes sure that no two neighbour philosophers can eat simultaneously.

But in case all the philosophers pick up 1 fork. It will lead to a deadlock situation.

This can be overcome by following methods.

- (i) Allow only four philosophers on the table and 5 forks
- (ii) 6 forks and 5 philosophers
- (iii) Odd no. philosophers will pick up left chopstick first and then right while even no. philosopher picks right followed by left.
- (iv) 4 philosophers will follow one order, e.g. left \rightarrow right and the 5th philosopher does reverse right \rightarrow left.
- (v) A philosopher will only pick chopsticks if both are available.

Void philosopher()

Thinking;

wait(chops[i]);

wait(chops[(i+1)%5]);

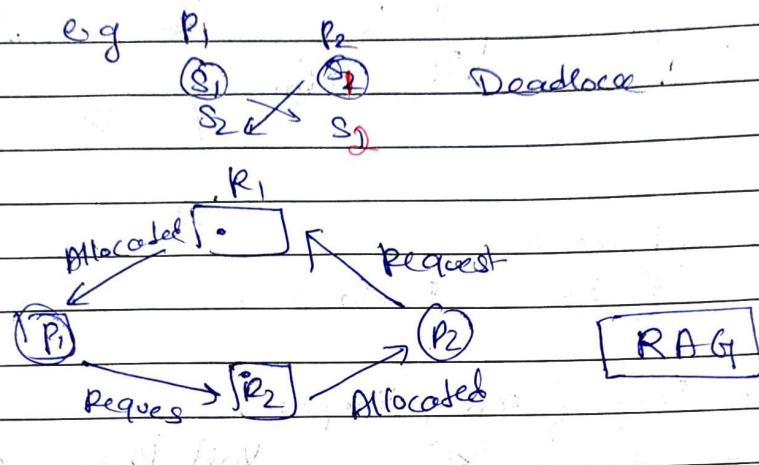
Eat;

signal(chops[i]);

signal(chops[(i+1)%5]);

Thinking;

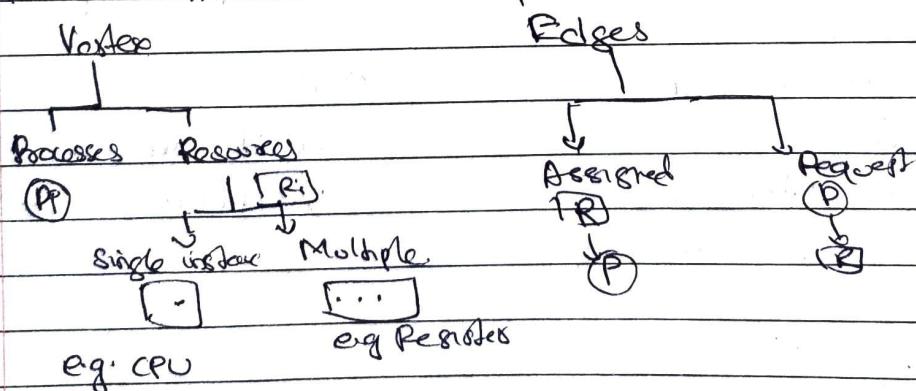
Deadlock is if two or more processes are waiting on happening of some event, which never happens, then we say these processes are involved in deadlock then that state is called Deadlock.



Conditions of Deadlock (Necessary)

1. Mutual exclusion (Resources must be used one by one)
 2. No preemption (Forcefully removal of resource not allowed)
 3. Hold and Wait (A process must hold and wait for)
 4. Circular Wait (in Resource allocation graph)
- ~~R → P~~ R → P (Allocated)
 P → R (Request)

Resource Allocation Graph



Finite waiting and progress i.e. there \Rightarrow starvation
 Infinite waiting and no progress \Rightarrow Deadlock.

If RAG has circular wait and every resource is of single instance \Rightarrow Deadlock.
 Vice-versa \Rightarrow No deadlock.

$S_I \& P(C) \Rightarrow \text{true}$

$S_I \& S_N(C) \Rightarrow \text{false}$

Various methods to resolve deadlock.

- (1) Deadlock ignorance (ostrich method) [Rest easy]
- (2) Deadlock prevention
- (3) Deadlock avoidance [Banker's algo]
- (4) Deadlock detection & Recovery.

(2) Try to remove any one of the four necessary conditions of deadlock. (ME, No pre-empt ...)

→ No Mutual exclusion (allows multiple processes to enter a particular resource at a time)

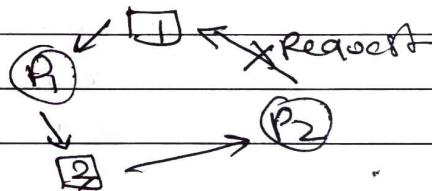
→ Preemption

→ $!(\text{Hold \& Wait})$ = Allocate all reqd resources initially to a process so that it doesn't have to wait for any.

→ Removing Circular Waits

Number the resources

- 1 Printer
- 2 Scanner
- 3 CPU
- 4 Register



→ A process can only request in increasing order.

i.e. To request a resource R_j , a process must release $\cancel{\text{all } R_i \text{ s.t. } i > j}$ for R_1 , P_2 must release R_3 .

Diamond size problem

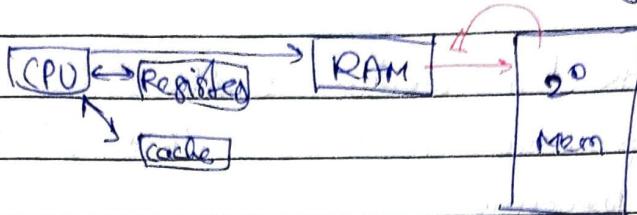
AMAS / Pe

Date _____

Date: 11/08/21

Memory Management

Goal: Efficient utilization of memory.



Increasing size of RAM, Registers & Cache

will increase cost of system. Hence, they have limited size. And thus we need to manage.

Degree of

Multiprogramming: Multiple or as many as possible programs should be brought into RAM from 2nd mem for better utilization of CPU.

$K \rightarrow$ I/O time

(K) \rightarrow (CPU time) \rightarrow (I/O time)

And no. of process \rightarrow K

Total I/O time $\approx K^n$ (n = no. of processes)

CPU Utilization $= (K - K^n)$

the more the value of n , the more is

CPU utilization $as 0 < K \leq 1$

For this we need to increase the size of RAM.

Memory Management Techniques

(1) Contiguous \rightarrow Fixed partitioning (Static)

\rightarrow Variable Partitioning (Dynamic)

(2) Non-contiguous \rightarrow Paging

\rightarrow Multilevel paging

\rightarrow Segmented paging

\rightarrow Segmentation

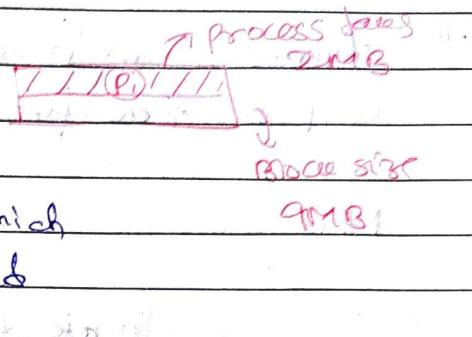
\rightarrow Segmentation paging

fixed partition (Static)

- No. of partition fixed.
- size of each partitions may or may not be same.
- ∵ Contiguous Allocation, Spanning is not allowed.
i.e. only those processes whose size \leq partition size is allowed in RAM.

Demanding

- The wasted amount of memory in partitions, which after allocation to a process which can't be used anymore is called **internal fragmentation**.



- There is a limit in Process size ($\leq \max(\text{partition size})$)

- Degree of multiprogramming (= no. of total partitions)

- If a new process of size 5MB comes in, although we have 8MB available memory, but this process can't be allocated with this memory, as only one process can reside inside one partition, this is known as **External fragmentation**.

BS	
P_1	4MB
P_2	4MB
P_3	8MB
P_4	16MB
P_5	

- ## # Variable partition: whenever a process comes in RAM, (Dynamic) only then space is allocated to that process based on its requirement. Partitions are done at runtime.

- No internal fragmentation
- Degree of multiprogramming is not compromised
- No limit in process size

BS	
P_1	20MB
P_2	4MB
P_3	8MB

Disadvantages:

- Suppose if (P_1) goes out, a hole of 4MB is created. Now, if a new process P_4 comes in of 8MB. Although, we have total 8MB, but we can't allocate it. It suffers from external fragmentation (\because mem is not contiguous).

We can remove ext. frag. using 'Compaction', i.e. shift all allocated space together and rest together. But this has overhead and hence non-desirable.

Allocation and deallocation is a little bit complex than fixed partitioning.

Memory allocation techniques

1. # First-fit: Allocate the first hole that is big enough

2. # Next-fit: Same as first-fit, but start search always from last allocated hole.
 → we have a pointer pointing to the last allocated hole.
 → Improves searching time.

3. # Best fit: Allocate the smallest hole that is big enough. Which gives min internal fragmentation.

4. # Worst fit: Allocate the largest hole available.

Advantages

- # 1. Simple and fast
- # 2. faster using pointers

Disadvantages

- 1. Leads to hole creation
- 2. "
- 3. Because of tiny holes creation, they are & non-useable
 → Needs to search the entire list

4. Tiny hole problem is not there.

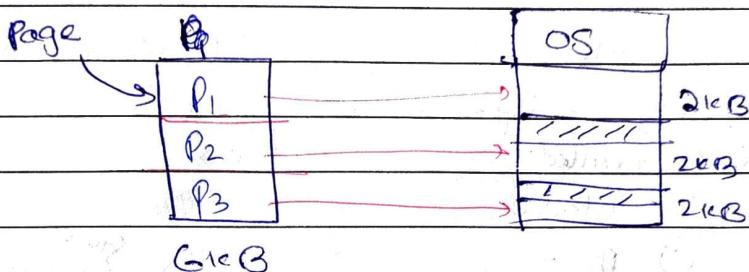
4. Search time very large

11. All the above algorithms work for contiguous memory allocations.

Non-contiguous memory Allocation

→ processes can span b/w different RAM holes.

→ Extranodal fragmentation is removed



Divide P into 3 parts of $2\pi B$ each. And allocate each hole to each position.

- # holes are changing dynamically and the cost of partitioning a process according to these dynamic holes is ~~is~~ high.
 - # each partition is known as "page". This partition is done in L memory itself.
 - # RAM memory is divided into "frames".

- # Paging is division of process according to frame size($2^{10}m$)
in ~~Page~~ 2nd manner to reduce external fragmentation.

Page Size = 2B

Process Size = 1B

$$\text{No. of pages} = \frac{1}{2} = 2$$

Representation	Page No.	Bytes	
		0	1
0	0	14	
1	2	3	

This makes our memory "Byte addressable".

In RAM, Msize = 1GB, Frame Size = 2B, No. of frames = 2⁸

Frame No.	Bytes	Process
0	16/17	filled
1	12/13	filled
2	4/5	P ₀
3	6/7	filled
4	8/9	P ₁
5	10/11	filled
6	12/13	
7	14/15	

Now, let's CPU ask for 3 byte from this process
(But 3 is not absolute address)

We need a technique to map

6 index → (3) → (9) (in RAM)
from (pu) 3 byte address

This is continued through page table.

→ Each process has page no. 0, 1, 2, ...

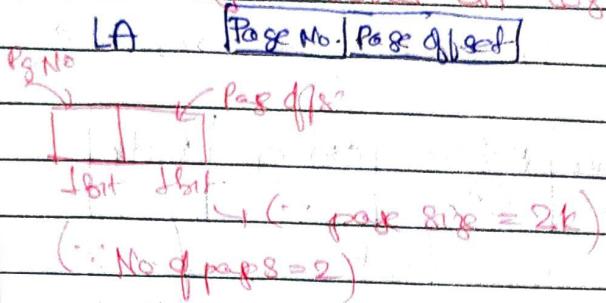
→ So, every process has its own page table.

Page Table of P

0	f ₂
1	f ₄

↓ Frame no., whose corresponding page no. is stored.

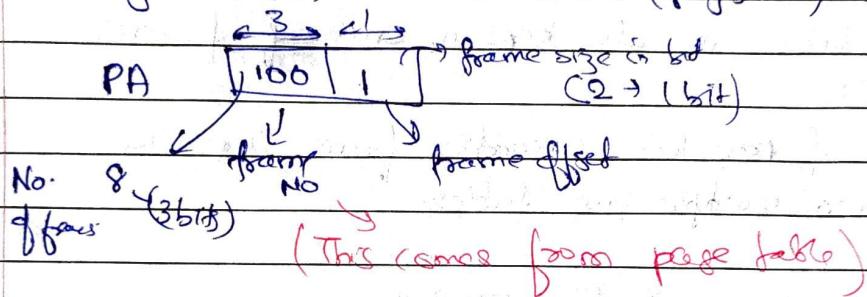
→ CPU always works on logical address



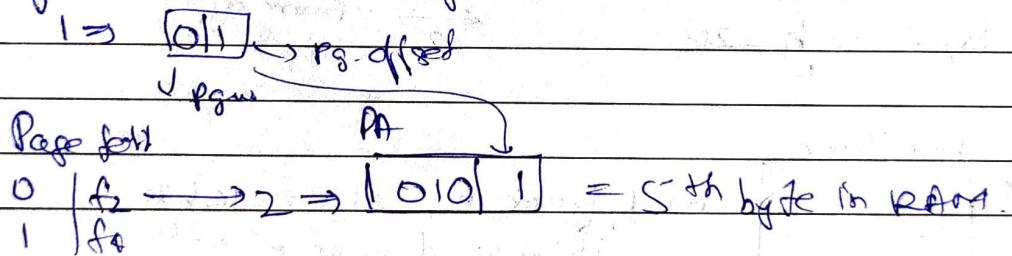
SD (for 32 bytes)

LA [1 | 1]

Converting LA (3) to Abs address (Physical) (9)



e.g. CPU wants 1st byte



The offset will be same as
 $(\text{frame size} = \text{page size})$

Ex. LAS = 1GB = $2^2 \times 2^{30} \text{ B} = 2^{32} \text{ Bytes} \Rightarrow 32 \text{ bytes} = \text{LA size}$

Page size = 4KB = $2^2 \times 2^{10} \text{ B} = 2^{12} \text{ Bytes} \Rightarrow 12 \text{ bytes} (\text{for Page offset})$

No. of pages = 2^{20}

No. of frames = 2^{19}

PAS = 64MB

= $2^6 \times 2^{20} \text{ B}$

= 2^{26}

[20 | 12]

[14 | 12]

[14 | 12]

No. of entries in page table
 $= \text{No. of pages}$

Size of page table
 $= (\text{No. of pages}) \times (\text{No. of bits reqd to represent a frame})$

Page table entries

→ It is used to convert LA to PA.

(Page number)

based on
↑ requirement
↑ enable/disable

Frame No.	Valid(1)/ Invalid(0)	Protection (R/W/X)	Reference (G/I)	Caching	Dirty
Mandatory field	Read/write exec	Post deferrable (0/1)			Modif (if yes (1) else No(0))

Optional fields

Multilevel paging

→ page table is also kept in one of frames of RAM

→ If size of page table exceeds frame size, we divide into multiple page tables.

$$\text{e.g. } \text{PA} = 256 \text{ MB} = 2^{32} \times 2^{20} = 2^{52} \text{ bits}$$

$$\text{LA} = 4 \text{ GB} \quad \text{PA} \leftarrow 2^{28} \rightarrow$$

$$\text{FS} = 2^4 \text{ KB} = 2^12 \times 2^{10}$$

$$\text{Page table entry} = 2B$$



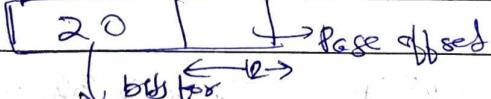
↔ Frame offset

Page Table

fnos
—
—

No. of frame no. size = 16 bits
Total no. of pages $\leq 2^{20}$

$$\text{LA} \leftarrow 32 \rightarrow$$



$$4 \text{ GB} = 2^32 \times 2^{20}$$

$$\text{Page table size} = 2^{20} \times 2B$$

$$= 2 \text{ MB} \gg 4 \text{ KB} (\text{frame size})$$

∴ Page table is divided so that it can fit under frames

$$\text{Hence, No. of partitions in page table: } \frac{2 \text{ MB}}{4 \text{ KB}} = \frac{2^{21}}{2^{12}} = 2^9 = 512$$

$$\text{No. of entries in page table} = 2^9 \times 2B = 1 \text{ KB} < 4 \text{ KB}$$

Outer table \rightarrow inner table \rightarrow fro.

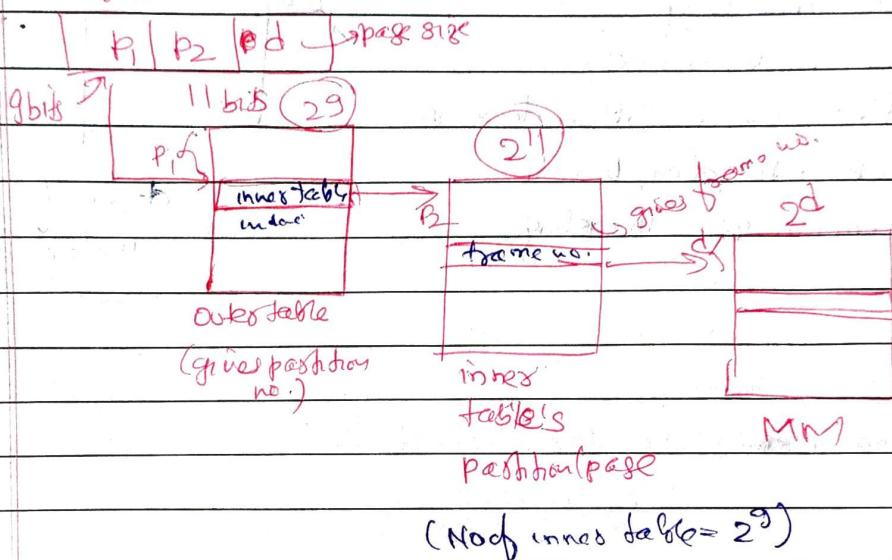
LA

20 | 12

Each position of inner table contains $\frac{4KB}{2B} = 2^11$ records

Address translational scheme

logical address



Inverted paging

\rightarrow for each process in MM, page table is also stored in MM, even if one page is required by CPU.

\rightarrow The above problem is solved using page inverted paging.

\rightarrow This creates a Global page table.

Structure

frame no.	Page No.	Page ID
0	P0	P ₁
1	P ₁	P ₂₁
2	P0	P ₂
	P ₂	P ₃

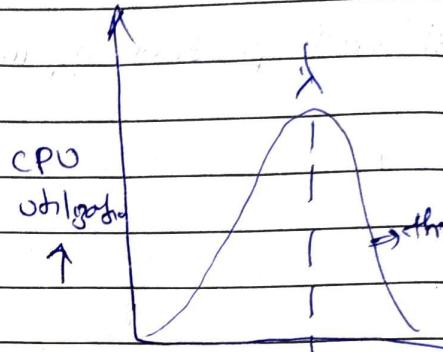
if No of frames in PMA is = 1024

\rightarrow High Searching time.

Thrashing

→ To increase the degree of multiprogramming one page from each process is brought.

→ If for each/most of the processes page faults occurs and the CPU gets busy in Page fault service, then there is a sudden decrease in CPU utilization, which is known as "Thrashing".



Thrashing can be removed by

1) MM size increase

2) Long term scheduler's speed should be decreased.

Segmentation vs Paging

→ In paging, we divide the process without knowing the actual content of it.

→ The problem is that if a fundamental operation code has been divided into two or more pages and either of them is not available in MM (page fault) operation won't be executed fully.

→ Segmentation helps in this regard by smartly dividing the process. So that fundamental operation or code related for a single program/function is kept at single segment.

Hence, segments are of variable lengths.

Mem Manag. Unit helps to convert LA to PA.

Segment Table

Seg. No:

	Base Address	Size
0		
1		
2		
3		
4	1500	300

1500	OS
1800	SS
	SA

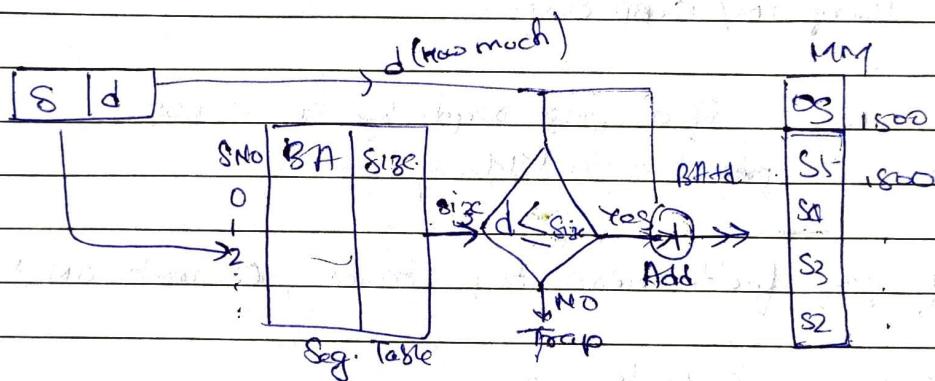
LA

8 | offset | Segment size

Segment No. (1500 much)

(less cost to access)

Schemas



Advantage:

→ Appropriate from user's point of view (related data together).

Overlay & We can accommodate processes having size even greater than MM.

→ We use this concept when $MM\text{-size}() < \text{Process-Sizes}$.

→ Overlays is generally used in embedded systems.

As there is no driver in OS which handles it.

Itself has to decide which functions are to be kept together which are not.

But embedded systems performs specific tasks and hence overlays can be employed.

Further, the result / sum of divided parts of two processes should be independent.

Date 8/12/08

Virtual Memory

→ It provides an illusion to the user that a process having size $>$ than MM size can also be executed.

→ It also provides illusion for bringing more and more processes into MM, although it's size is limited.

we only bring a part or some of the pages into MM instead of entire process into the MM.
Whenever a new page is needed, it is swapped with some already existing (non required) page (if no space available) in RAM.
Swap IN / Swap OUT.

Page fault: If a page read by CPU is not present in the MM.

→ If page fault occurs, trap occurs, OS makes ON (takes action)

→ OS first authenticates user

→ Searches for the page from LAG

→ Brings that page into MM and info is updated in page table

Then give control back to user

Effective Mem. access time

'P' prob that page fault occurs

$$\text{EMAT} = P(\text{Page fault service time}) + (1-P)(\text{MMAT})$$

(in msec)

+ (MMAT)

↳ access

(in microseconds)

Translation

CPU

→ Page table

→ And if memory is swap

for increasing (faster access)
→ We will

EMAT =
(we can't
limited)

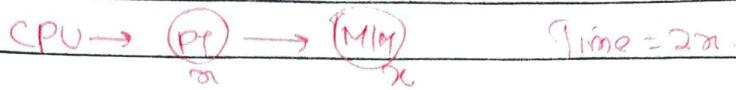
TLB

EMAT =

[CPU]

Date & 12/08/21

Translational Lookaside Buffer



- Page table is also stored in RAM, so this also consumes time
- And if multilevel paging is used, even more memory time is reqd.

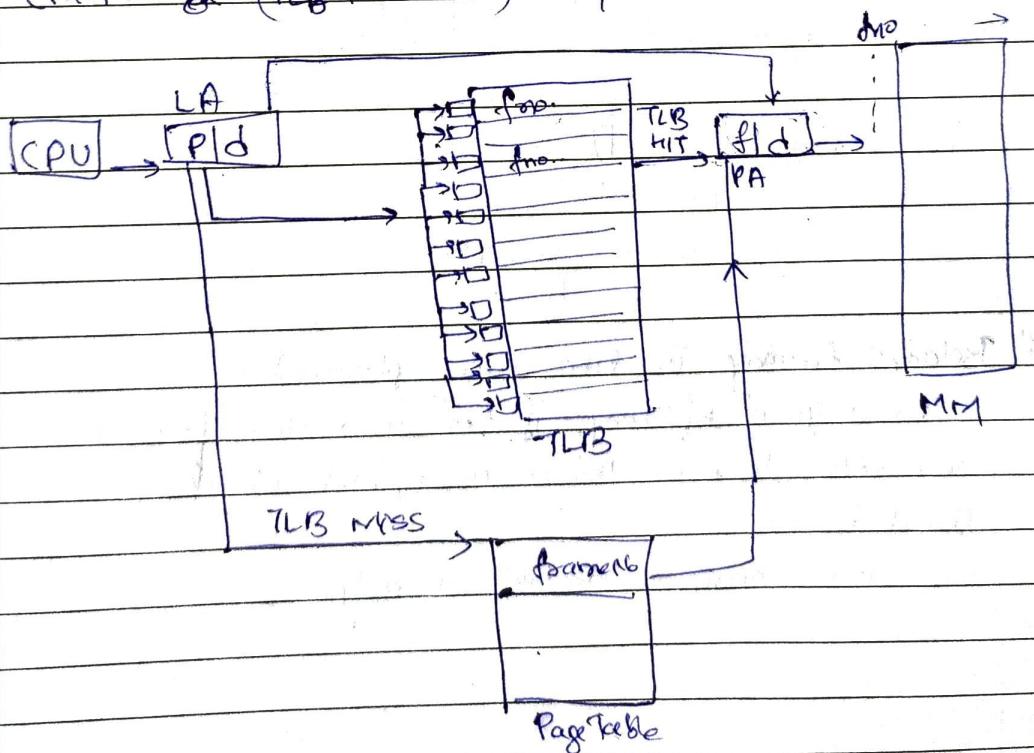
- # For increasing this speed, we use cache memory or TLB (faster than RAM).
- We will store page table entries in TLB.

$$EMAT = (TLB + \alpha) , \text{ if TLB hit}$$

(we can't store all page entries in TLB, ∴ memory is limited).

- # TLB stores frame No. corresponding to a given page No

$$EMAT = (TLB + \alpha + \gamma) , \text{ miss}$$



Page Replacement algorithms

→ To swap in / swap pages from RAM and

 Sec. Mom

3 algorithms case:

(1) FIFO

(2) Optimal page Replacement

(3) Least Recently Used

(1) FIFO

e.g.

Reference: 3, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 1, 2, 0

String

Time →

F_1		1	1	1	1	0	0	Ø	3	3	3	β	2	2
F_2		0	0	0	✗	3	3	3	2	2	2	2	1	1
P_B	7	7	7	2	2	2	2	4	4	4	4	0	0	0

For page no 2; it will be replaced with 2

- If come first

No. of page faults = 12

$$\text{Hits} = 3$$

$$\text{Hit ratio} = \frac{3}{15} = 20\%$$

Belady's Anomaly in FIFO page replacement.

For a given ref. string, if we are increasing frame nos. and still sites ~~are~~^{not} decreasing, which normally it should decrease.

This is known as Belladonna's anomaly.

Optimal page Replacement

Replace the page which is not used in largest dimension of time in future farthest from current demand

Ref. string:

7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 1, 2, 1, 2, 0, 1, 7, 0, 1

f ₁		2	2	2	2	2	2	2	2	2	2	2	2	2	2
f ₃		1	1	1	X	4	4	4	4	9	A	F	1	1	1
f ₂		0	0	0	0	0	0	0	0	0	0	0	0	0	0
f ₁	7	7	7	7	7	3	3	3	3	3	3	3	3	3	7

* * * * H * H

it is demanded by CPU very

lately as compared 0, 1, 2, 8 so replace 7

Hits = 12

Faults = 8

Least Recently used & Remove swap the least recently used page in list.

Same Ref. strings

f ₁		2	2	2	2	2	2	2	2	2	2	2	2	2	2
f ₃		1	1	1	X	4	4	4	4	9	1	1	1	1	1
f ₂		0	0	0	0	0	0	0	0	0	0	0	0	0	0
f ₁	7	7	7	7	7	3	3	3	3	3	3	3	3	3	7

* * * * H * H

Hits = 12

Faults = 8

→ Speed slower than FIFO, as it searches in list

Most Recently used

Remove the most recently used pages (in pink)

7, 6, 1, 2, 0, 3, 0, 4, 2, 3, 0, 13, 2, 9, 12, 0, 1, 7, 0, 1

f ₁	1	2	2	2	2	2	7	8	0	3	2	2	2	0	0	0	0
f ₂	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
f ₃	0	0	0	8	8	0	9	4	4	4	4	4	4	4	4	4	4
f ₄	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7
	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*

0 3

$$7, 8 = 8$$

$$\text{faults} = 12$$

②

Atomic access

Mutex vs Semaphore

Both of them are synchronization primitives often Mutexes are being confused for binary semaphores, as their implementation is similar; but they are not.

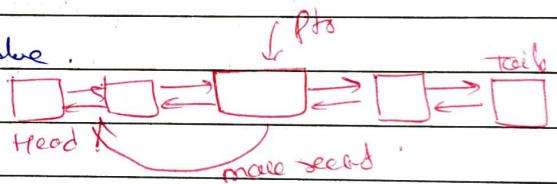
A Mutex is a "locking mechanism" used to synchronize access to a resource. Only one task (either thread/process) can acquire this lock, and only the owner can release the lock later on.

While semaphore is a "signalling mechanism" ("I am done, you can carry on", kind of signals)

LRU Implementation

get(key)

1. find if node in map, return -1
2. If found, find pfr corresponding to key from map
3. makeRecent(pfr).
4. return ~~key~~ pfr.value.



put(key, value)

1. find key in map, if found update value and makeRecent
2. If not found
 - (i) Create new node.
 - (ii) Add to map
 - (iii) Add to starting of DLL
→ if size exceeds capacity
 - (iv) Remove tail pfr → key from map (LRU)

and tail pfr from DLL using remove

Unlikely

- ① Record (pfr)
- ② add (pfr)
- ③ Remove (pfr)