

COSI 167A

Advanced Data Systems

Class 9

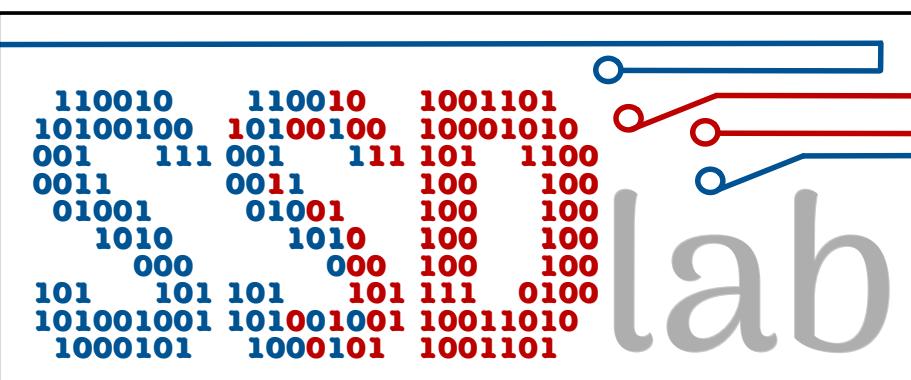
The LSM-Compaction Design Space

Prof. Subhadeep Sarkar



Brandeis
UNIVERSITY

<https://ssd-brandeis.github.io/COSI-167A/>



Class logistics

and administrivia

The **first paper review** is due on **Tue, Oct 1**.

Make sure to go over the **sample review**.

Project proposal is due on **Tue, Oct 8**.

Second guest lecture: next **Tuesday (Oct 1)** by **Andy Huynh**.



Paper review

How to write a **good** review?

learn

What is the **problem**? Why is it **important**?

What is the **state of the art** and why is it **not enough**?

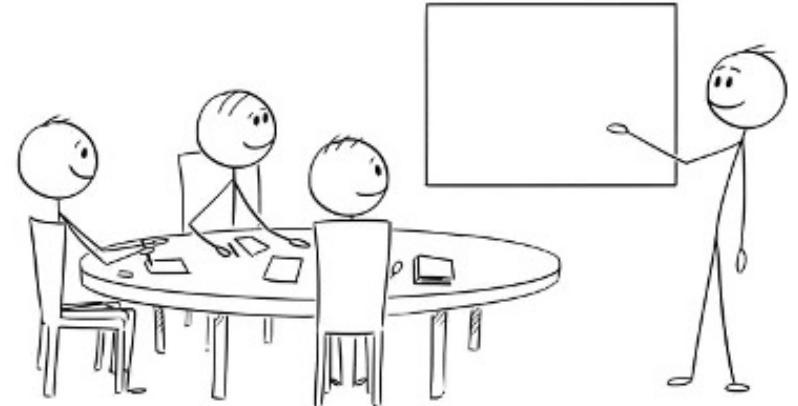
What is the **key idea** and why/how does it **work**?

critique

What is **missing**? How can we **improve** this idea?

Does the paper **support all its claims**?

What are some possible **next steps** of the work?



Paper presentation

and discussion

Register for paper presentation! (<https://shorturl.at/4POIT>)

#	Date	Paper Name	Presenter 1	Presenter 2
1	Oct 18	Learning to Optimize LSM-trees: Towards A Reinforcement Learning based Key-Value Store for Dynamic Workloads	Alex Ott	James Chen
2	Oct 29	The Adaptive Radix Tree: ARTful Indexing for Main-Memory Databases, ICDE, 2013	Tal Kronrod	Archer Heffern
3	Nov 1	Adaptive Adaptive Indexing, ICDE, 2018	Parthiv Ganguly	Arun Shrestha
4	Nov 19	FASTER: A Concurrent Key-Value Store with In-Place Updates, SIGMOD, 2018	Alex Stevenson	Abbie Murphy
5	Nov 26	The Data Calculator: Data Structure Design and Cost Synthesis from First Principles and Learned Cost Models		

Today in COSI 167A

What's on the cards?

compactions

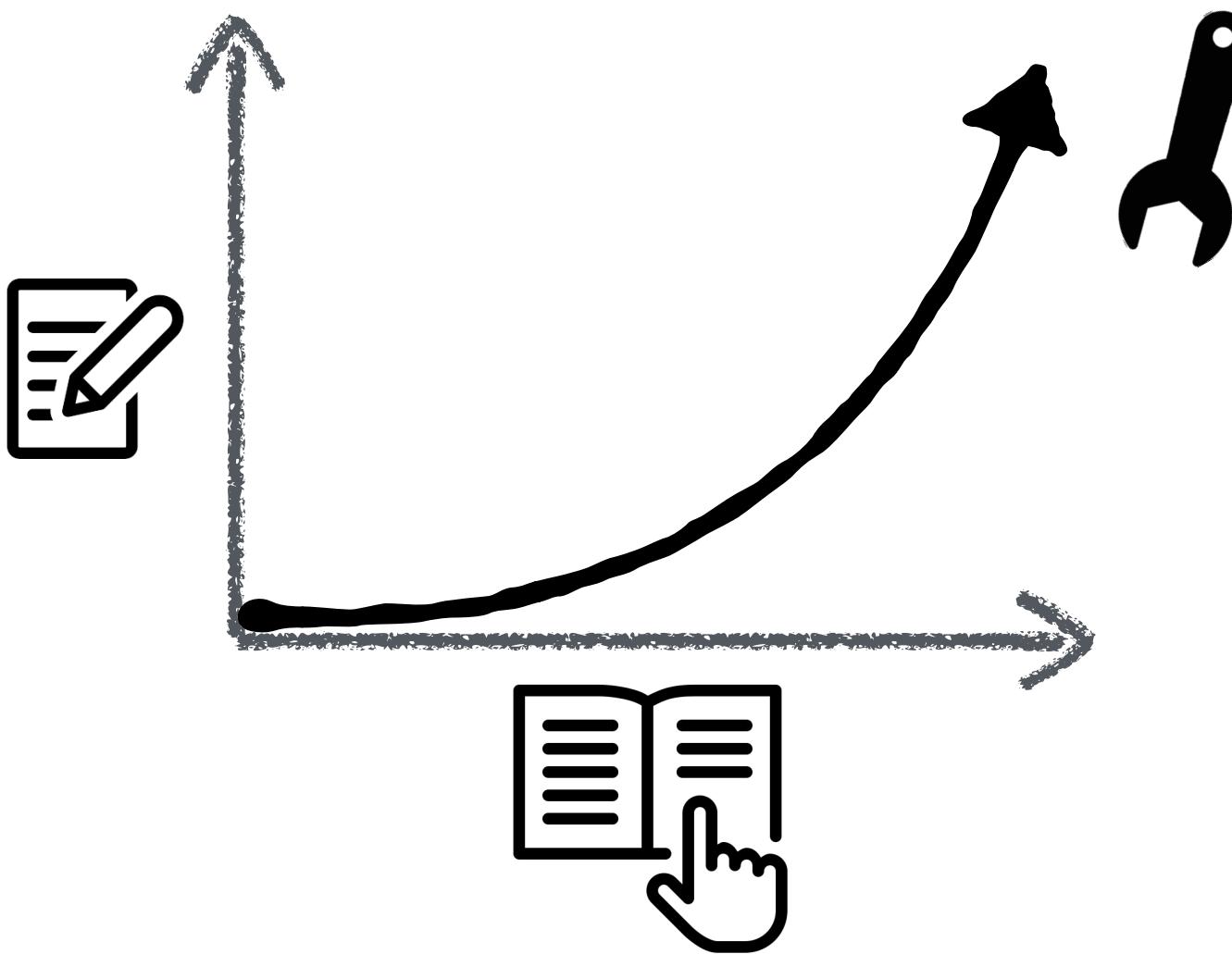
analyzing the LSM compaction design space

Why LSM?

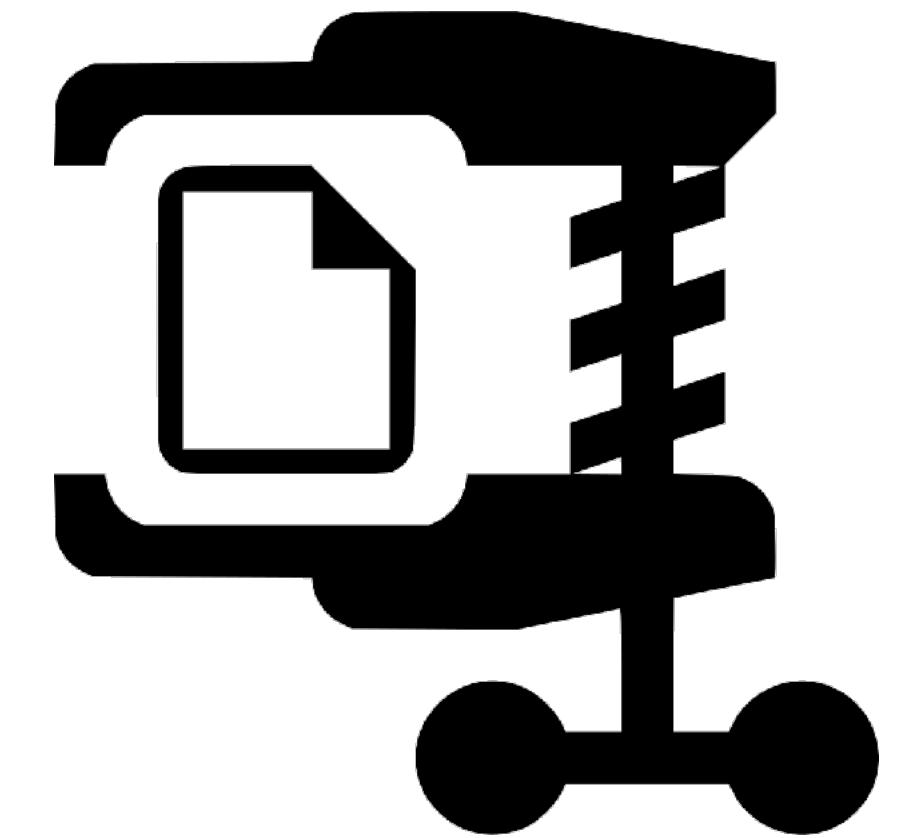
What's the hype all about?



fast writes



tunable read-write
performance



good space
utilization

Operating principles

The foundational pillars!

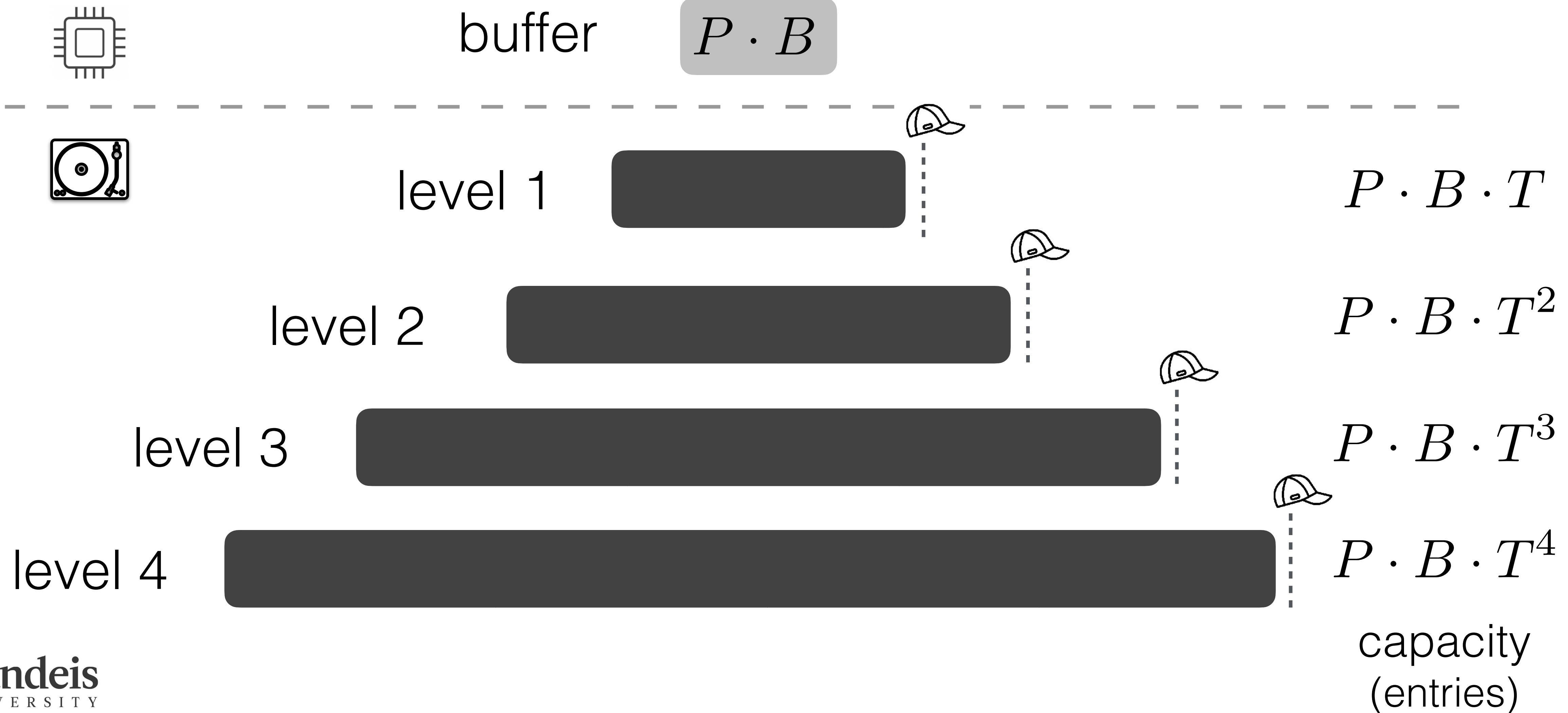
Buffering ingestion

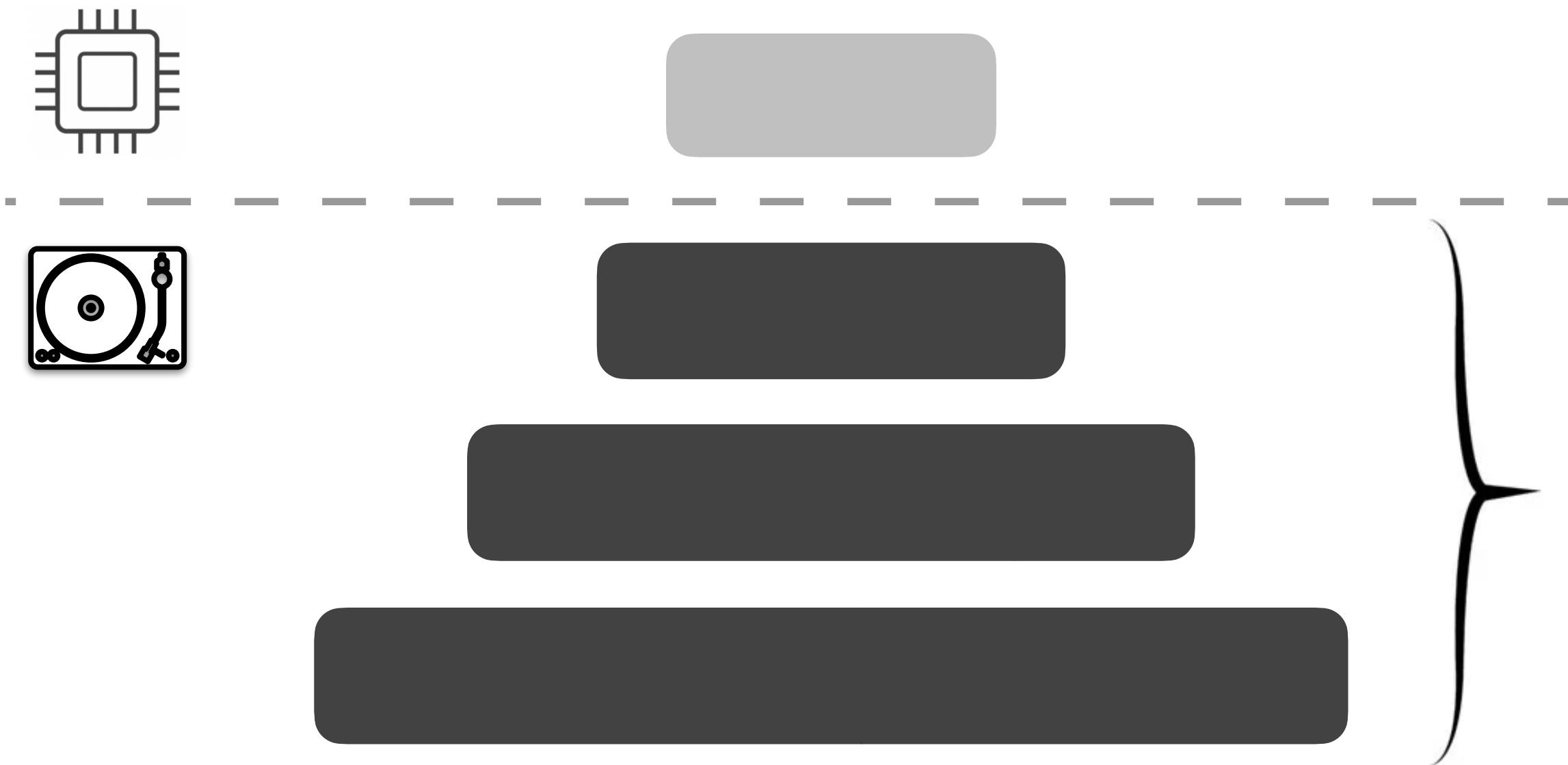
Immutable files on storage

Out-of-place updates & deletes

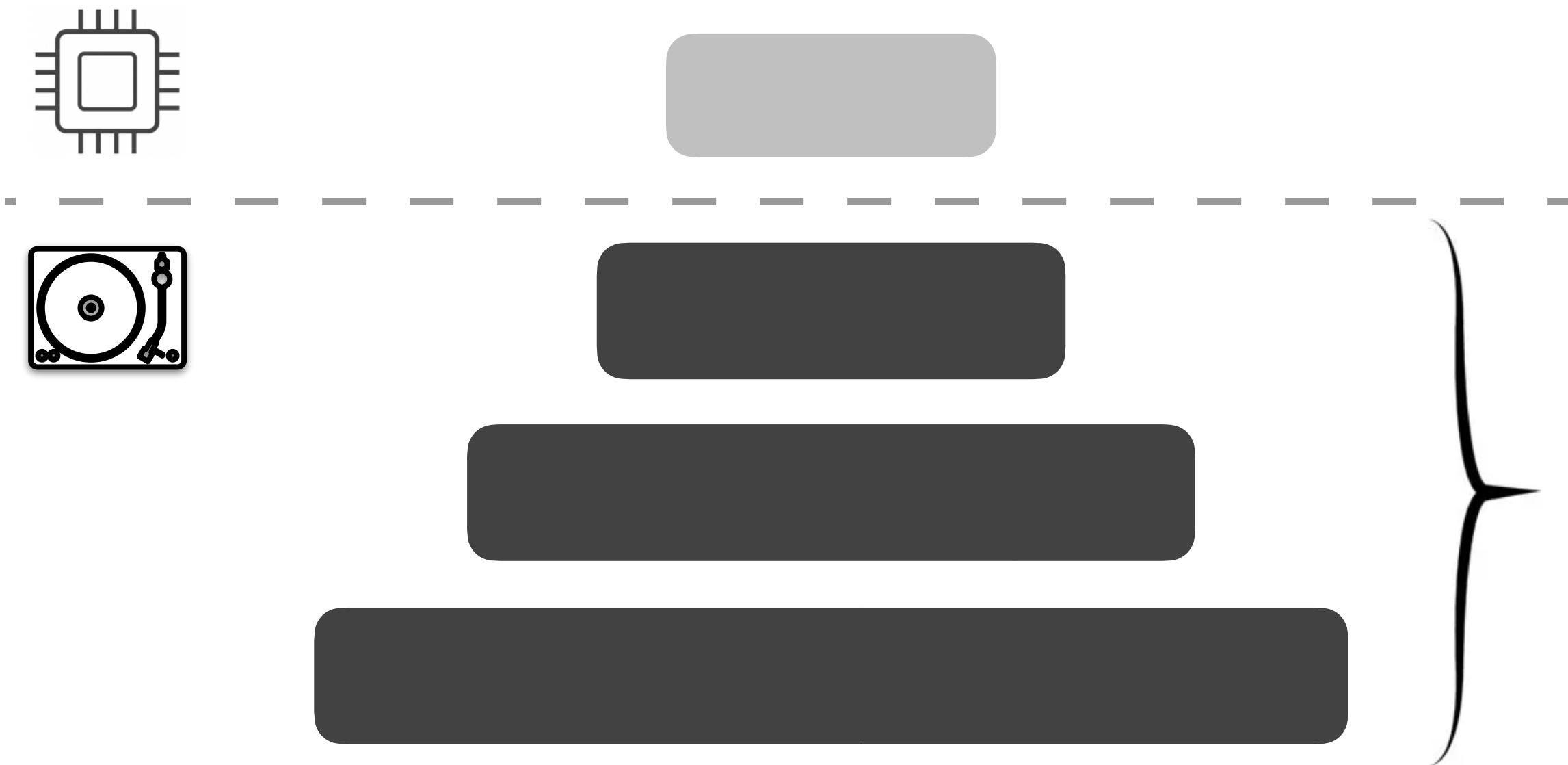
Periodic data layout reorganization

P : pages in buffer
 B : entries/page
 L : #levels
 T : size ratio





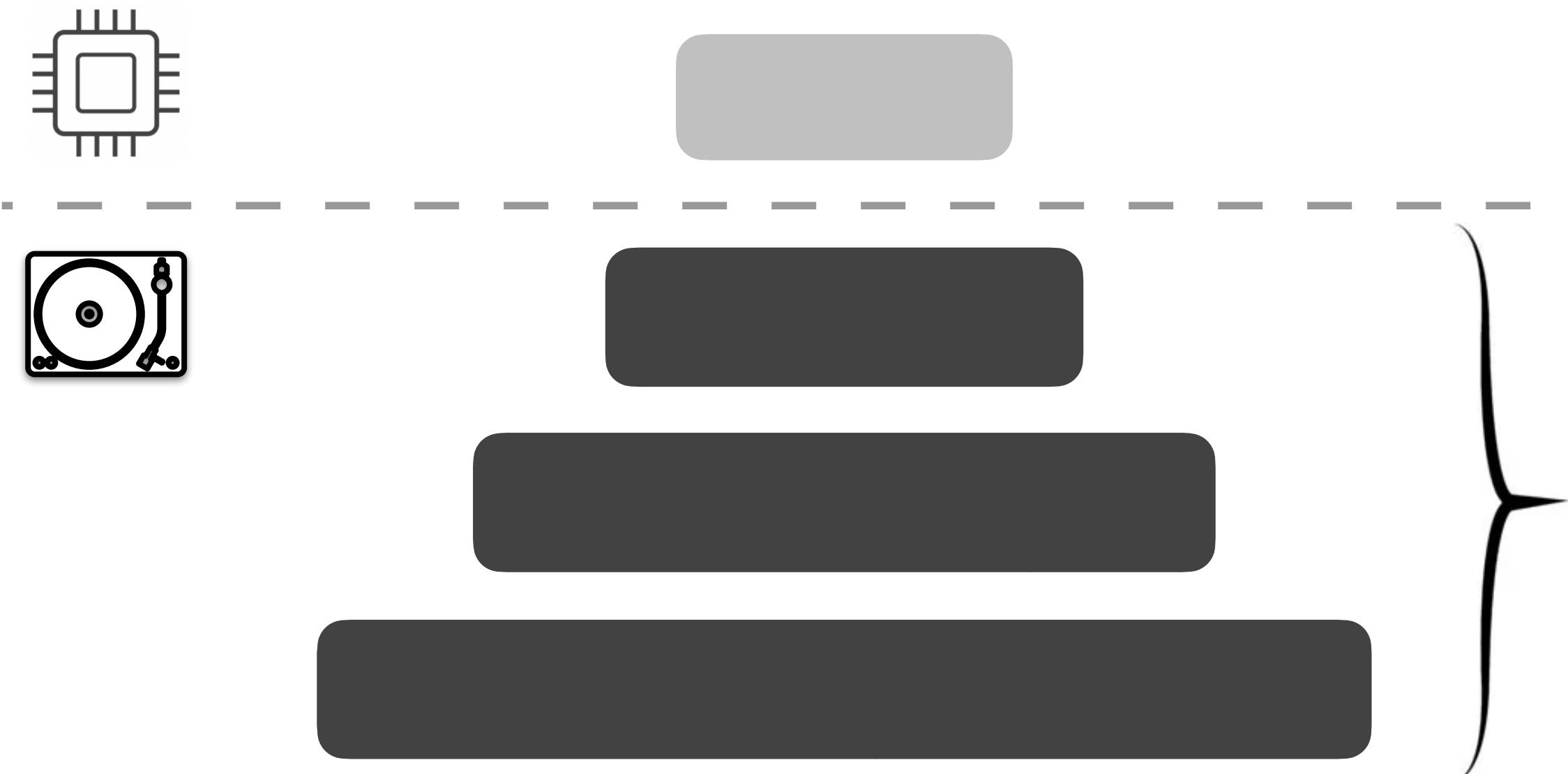
most data
on storage



most data
on storage

L : #levels

T : size ratio



most data
on storage

if $T = 10$ & $L = 4$

99.9% on storage

How does the **storage layer** affect **performance**?

write
performance

**Writing data
on storage**

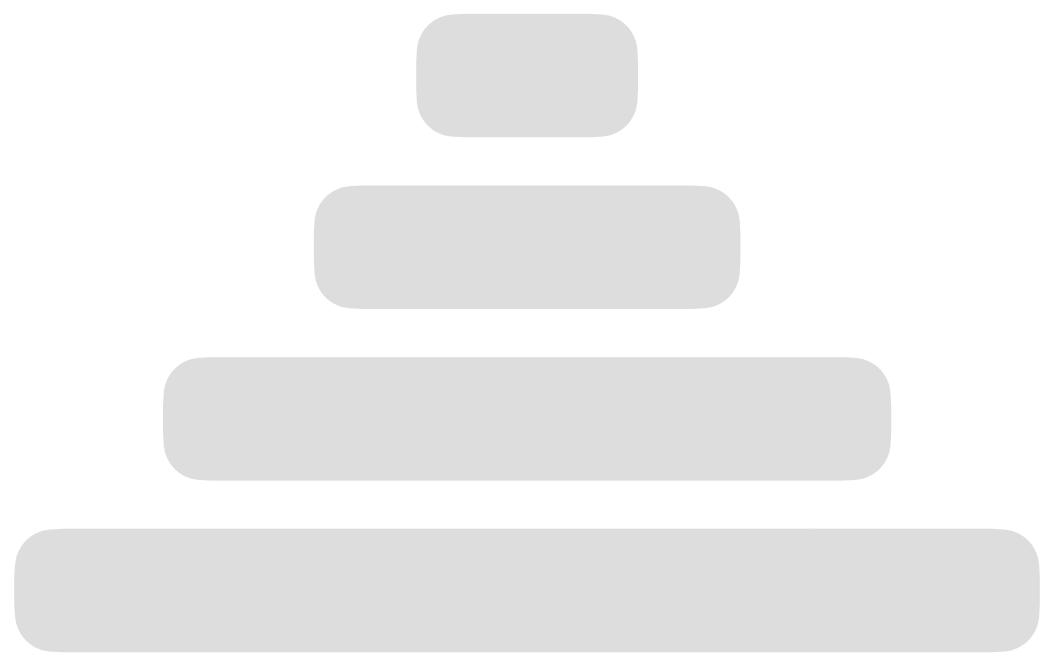
space
amplification

read
performance

Data Layout

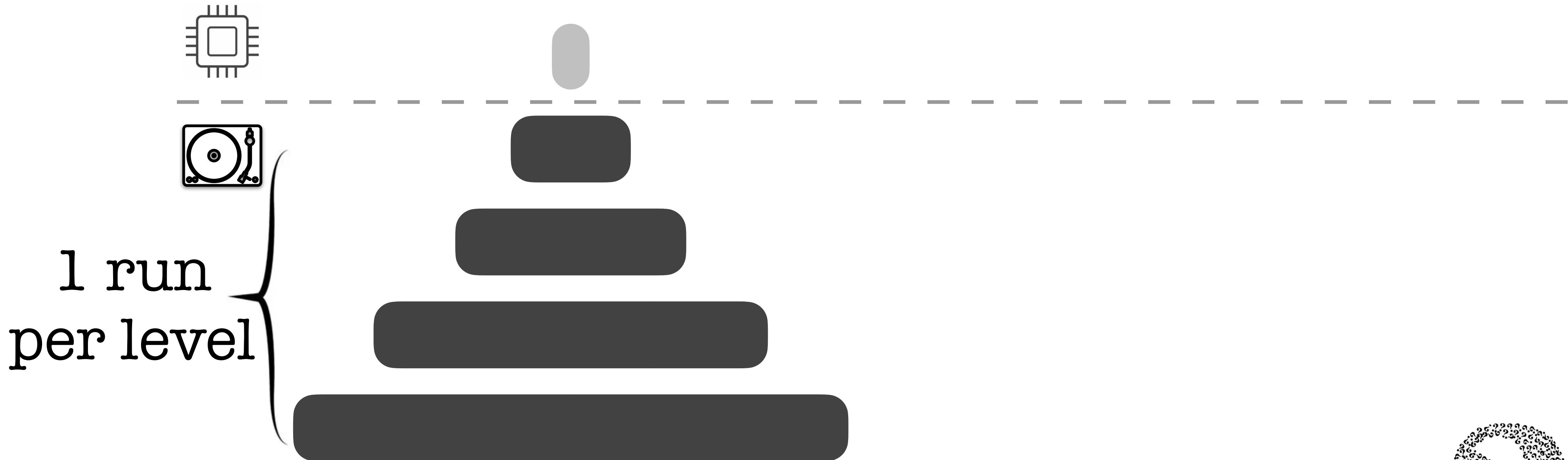
Classical LSM design: leveling

[eager merging]



Data Layout

leveling [eager]



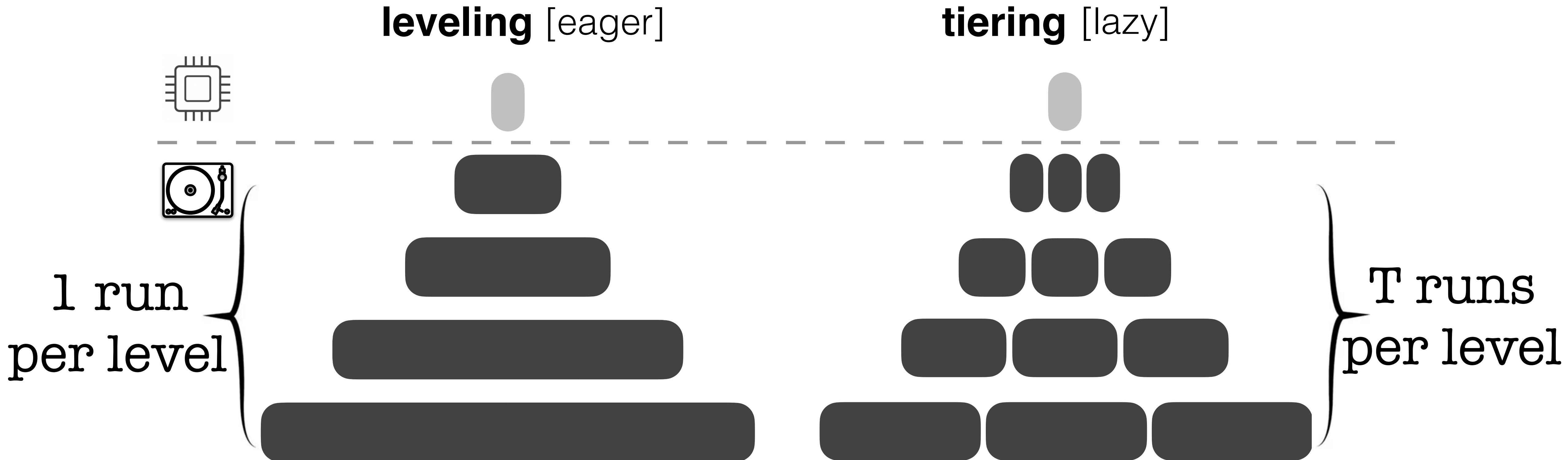
- good read performance
- good space amplification
- high write amplification

any **limitations?**



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Data Layout



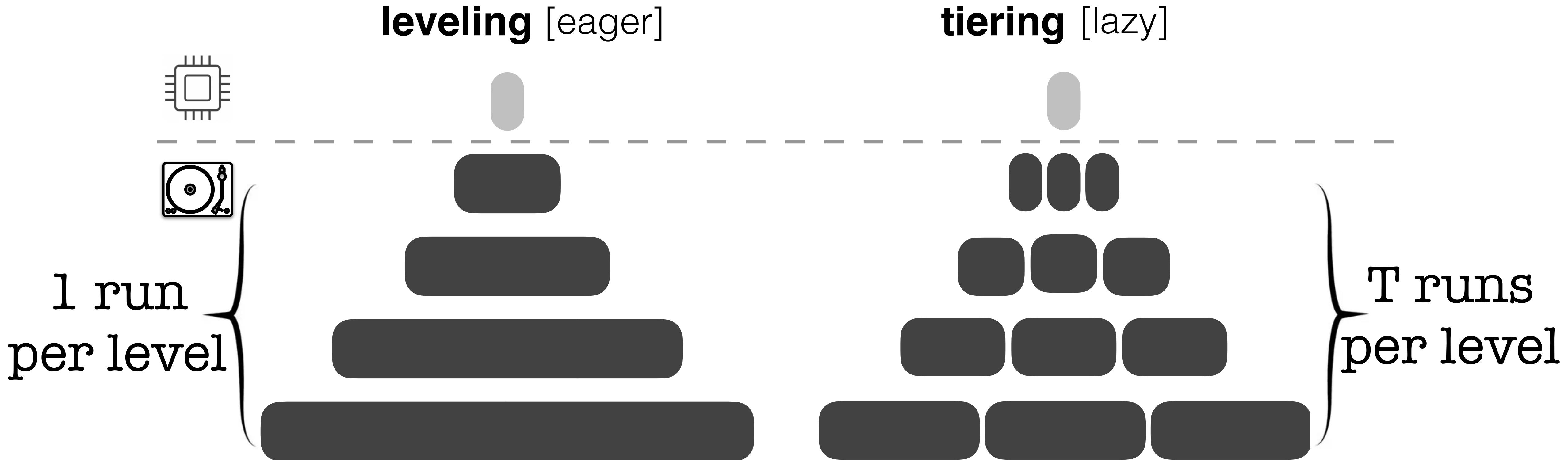
- good read performance
- good space amplification
- high write amplification

- good ingestion performance
- poor space amplification
- poor read performance



P : pages in buffer
 B : entries/page
 L : #levels
 T : size ratio
 N : #entries
 ϕ : FPR of BF

Data Layout



Read cost:

$$\mathcal{O}(L \cdot \phi)$$

Write cost:

$$\mathcal{O}(T \cdot L/B)$$

$$\mathcal{O}(T \cdot L \cdot \phi)$$

$$\mathcal{O}(L/B)$$

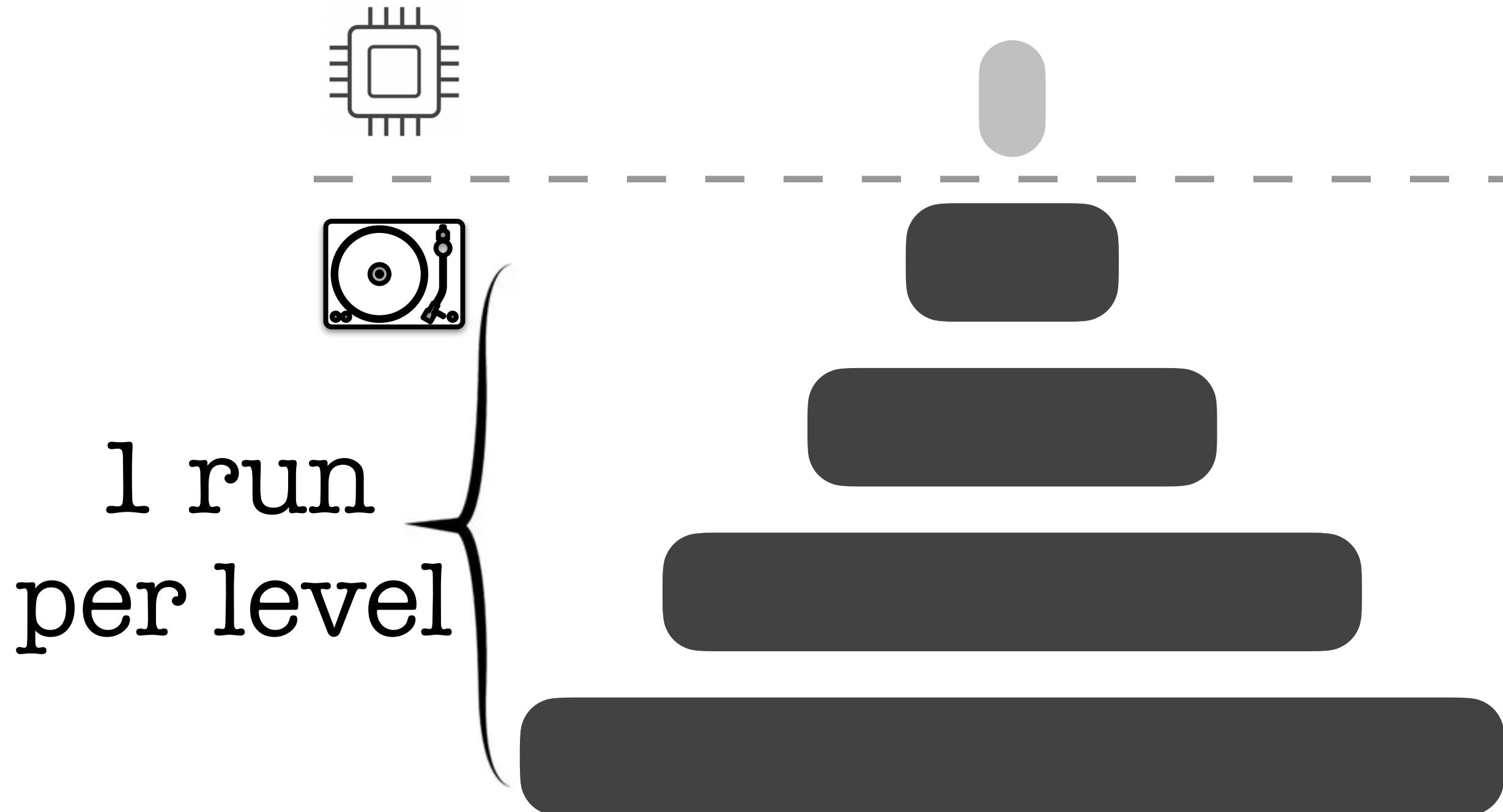


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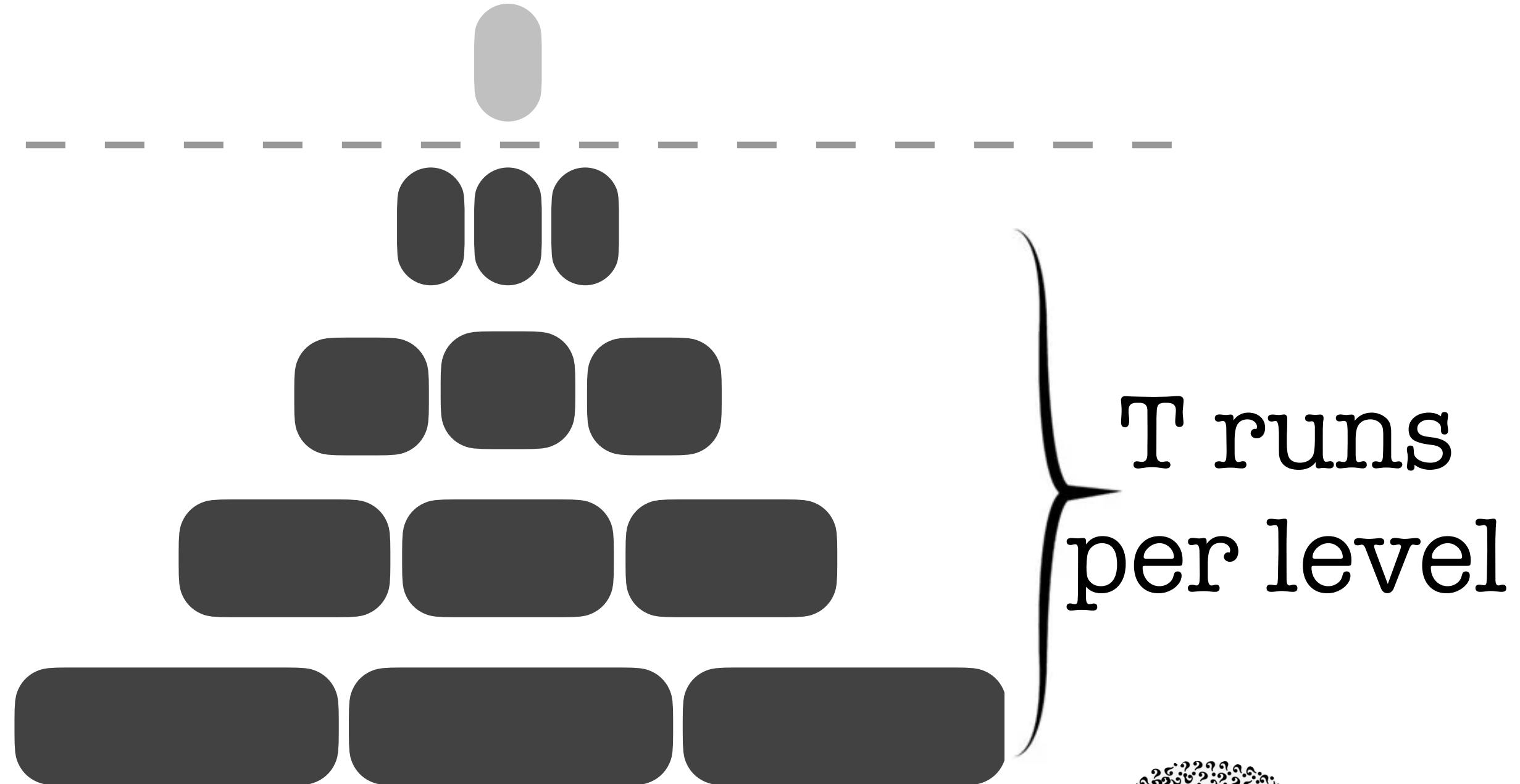
P : pages in buffer
 B : entries/page
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 N : #entries
 ϕ : FPR of BF

Data Layout

leveling [eager]



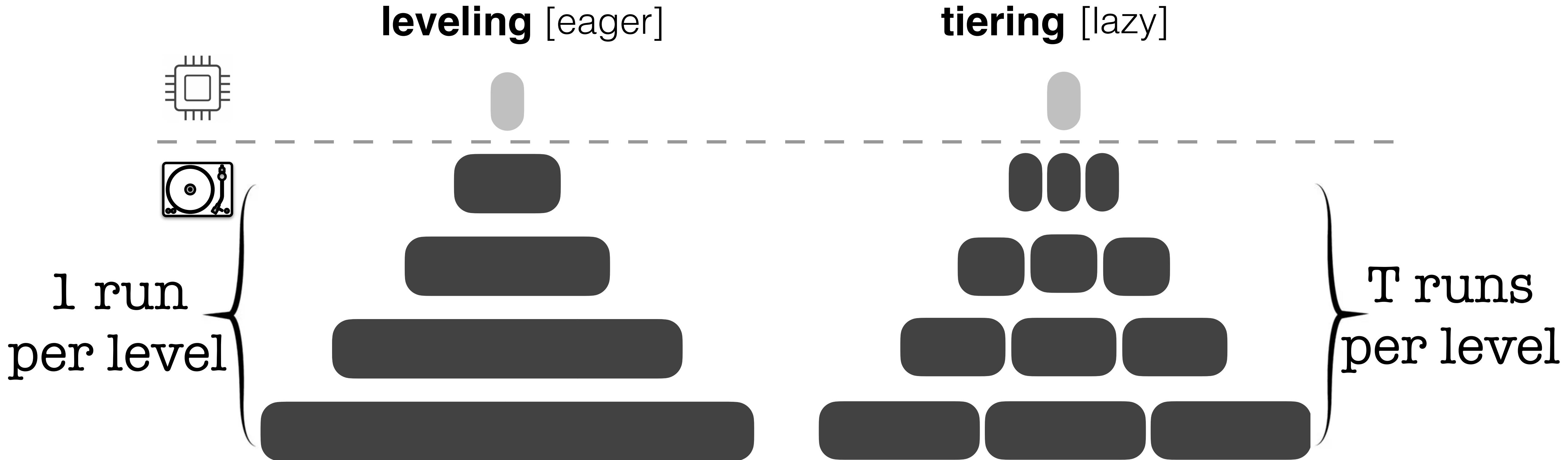
tiering [lazy]



what about **space amplification?**

P : pages in buffer
 B : entries/page
 L : #levels
 T : size ratio
 N : #entries
 ϕ : FPR of BF

Data Layout

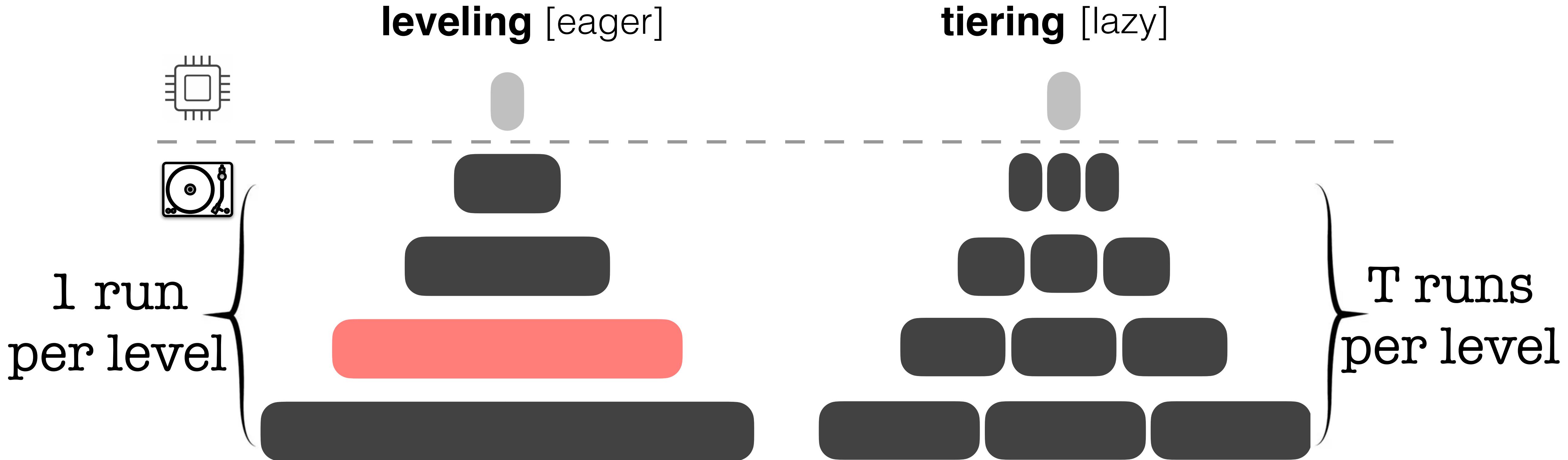


Space amplification

the ratio of **logically invalid data size** to the **total data size**

P : pages in buffer
 B : entries/page
 L : #levels
 T : size ratio
 N : #entries
 ϕ : FPR of BF

Data Layout

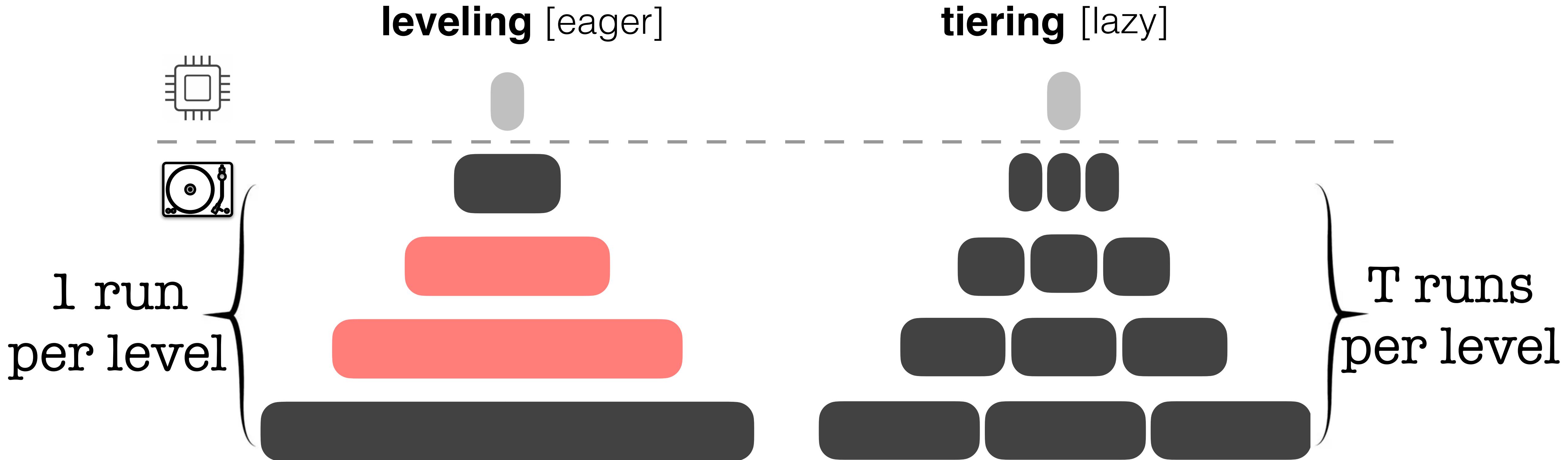


Space amplification

the ratio of **logically invalid data size** to the **total data size**

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 ϕ : FPR of BF

Data Layout

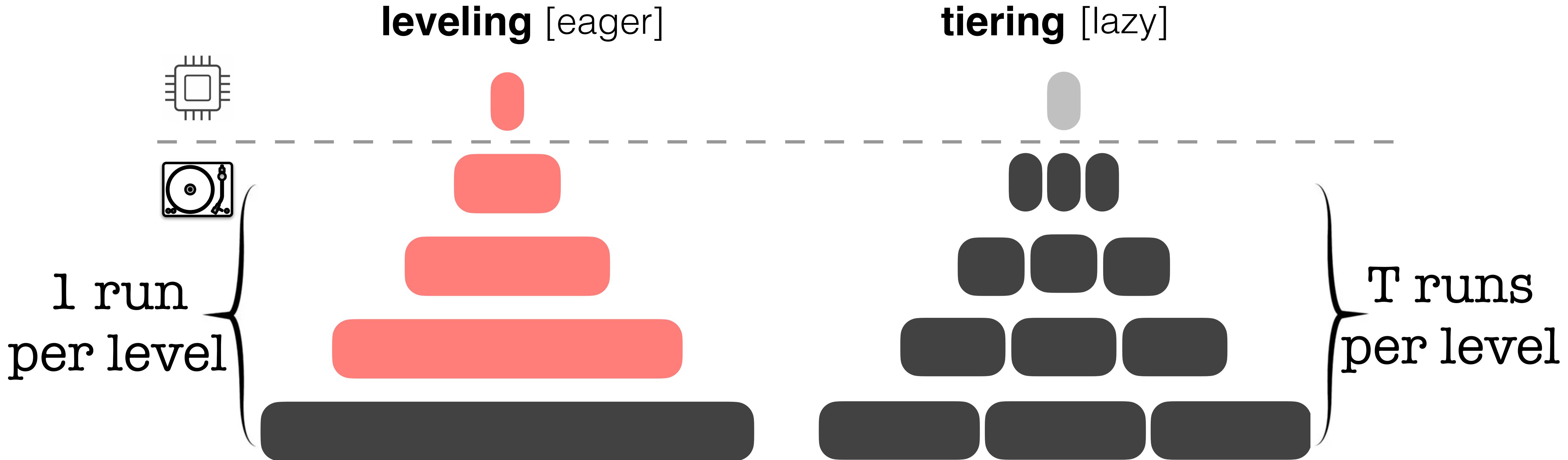


Space amplification

the ratio of **logically invalid data size** to the **total data size**

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 B : entries/page
 L : #levels
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 N : #entries
 ϕ : FPR of BF

Data Layout



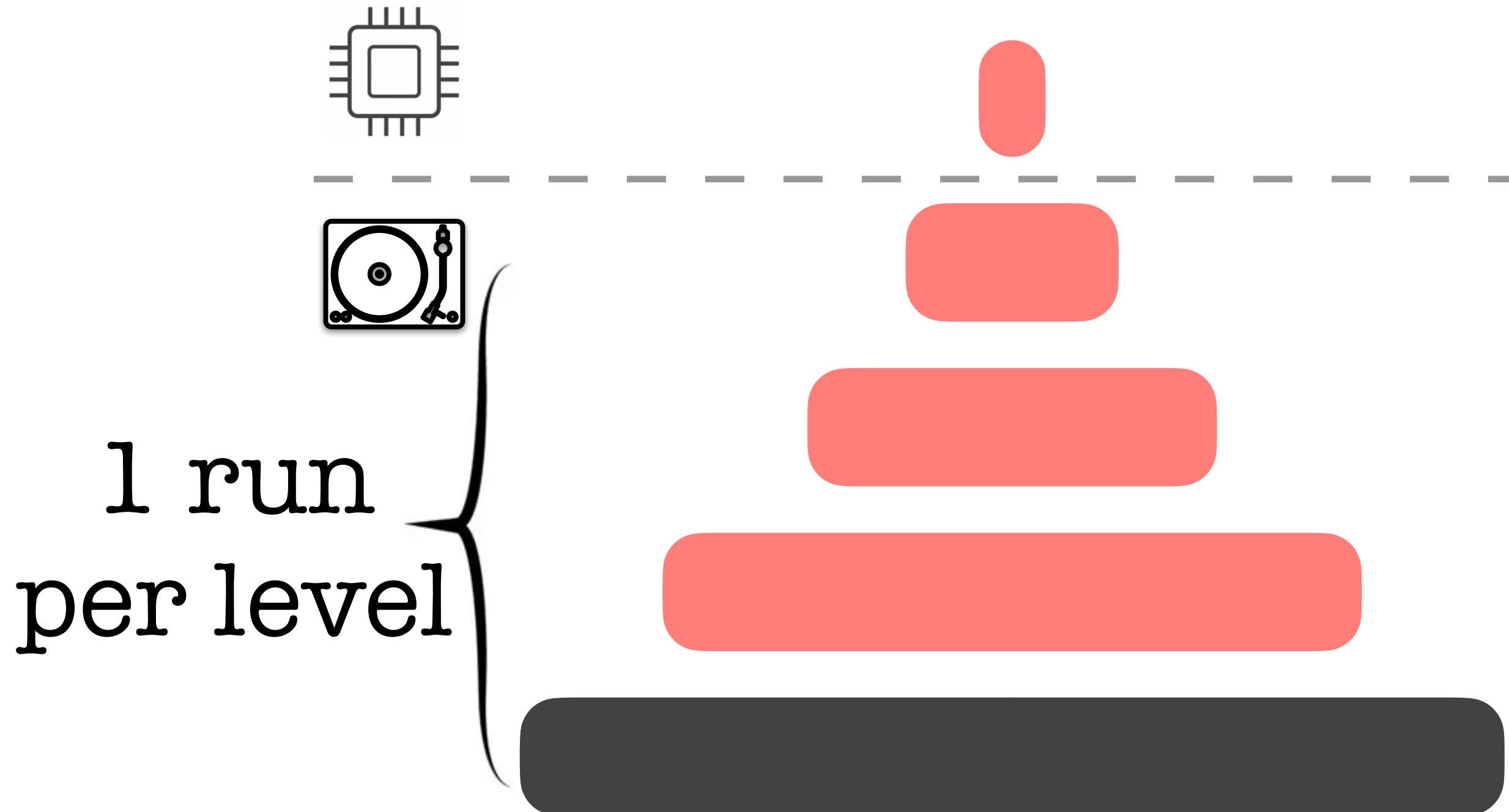
Space amplification

the ratio of **logically invalid data size** to the **total data size**

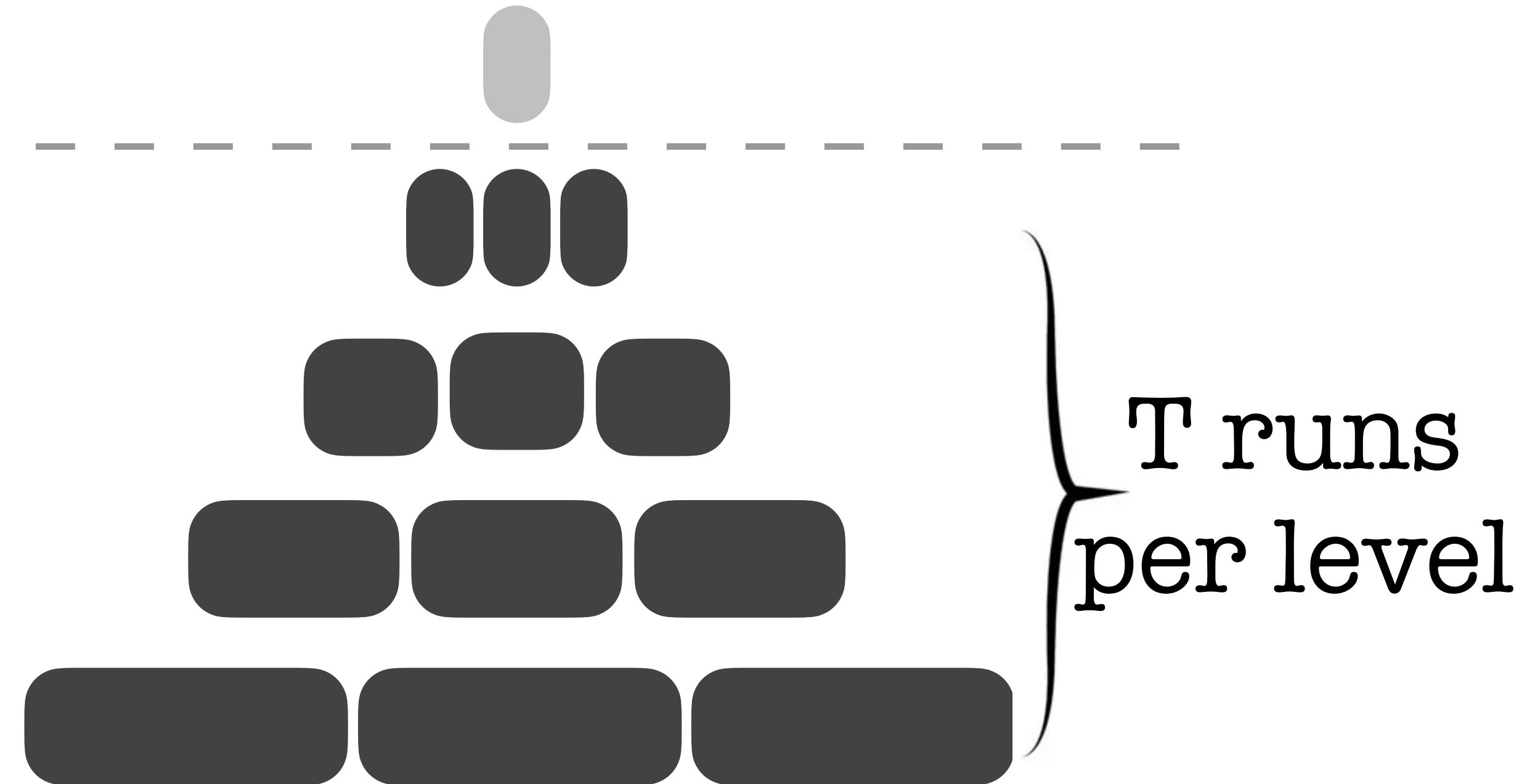
P : pages in buffer
 B : entries/page
 L : #levels
 T : size ratio
 N : #entries
 ϕ : FPR of BF

Data Layout

leveling [eager]



tiering [lazy]



Space amplification: $\mathcal{O}(1/T)$

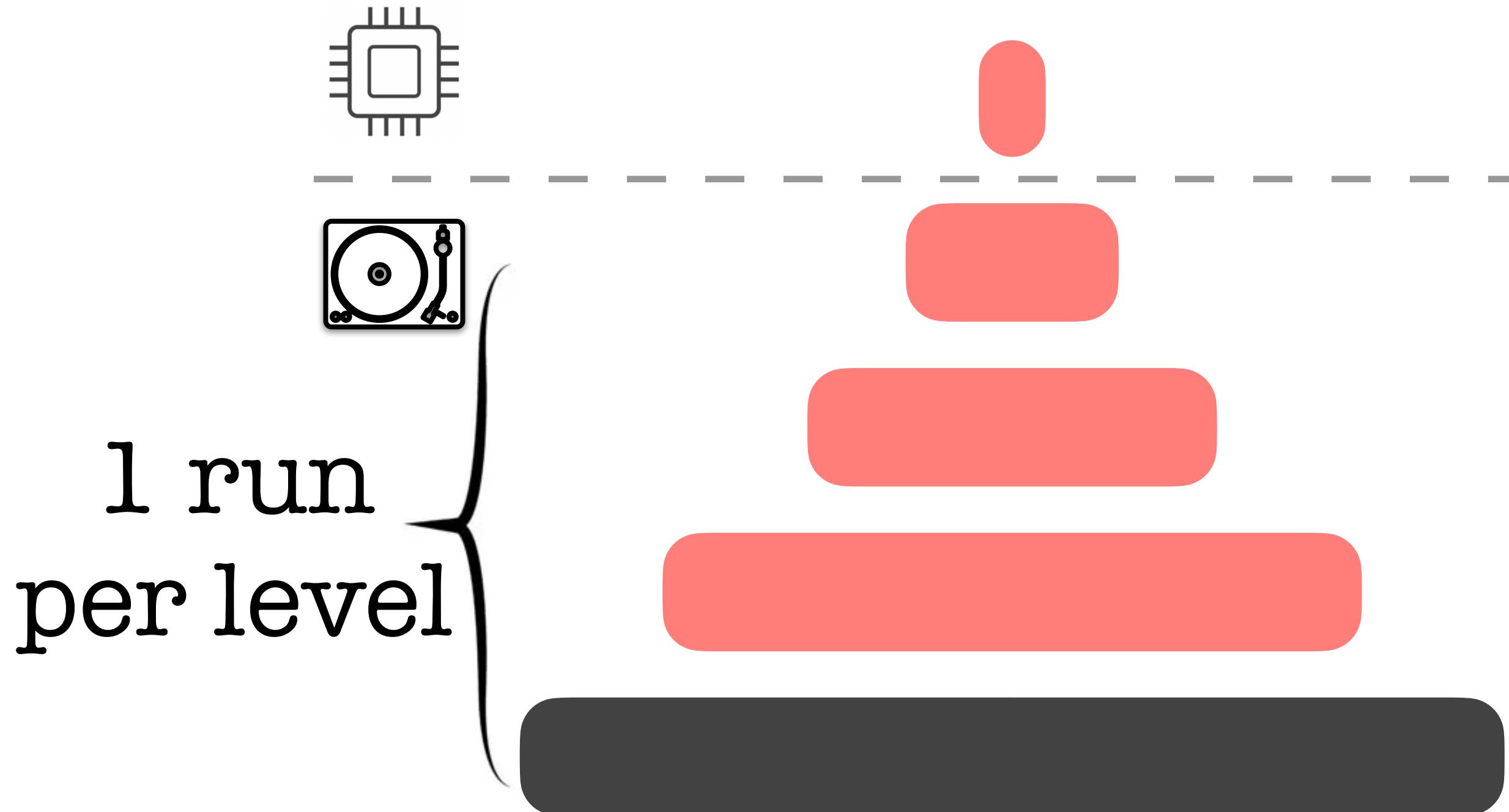
how about **tiering**? 🎉



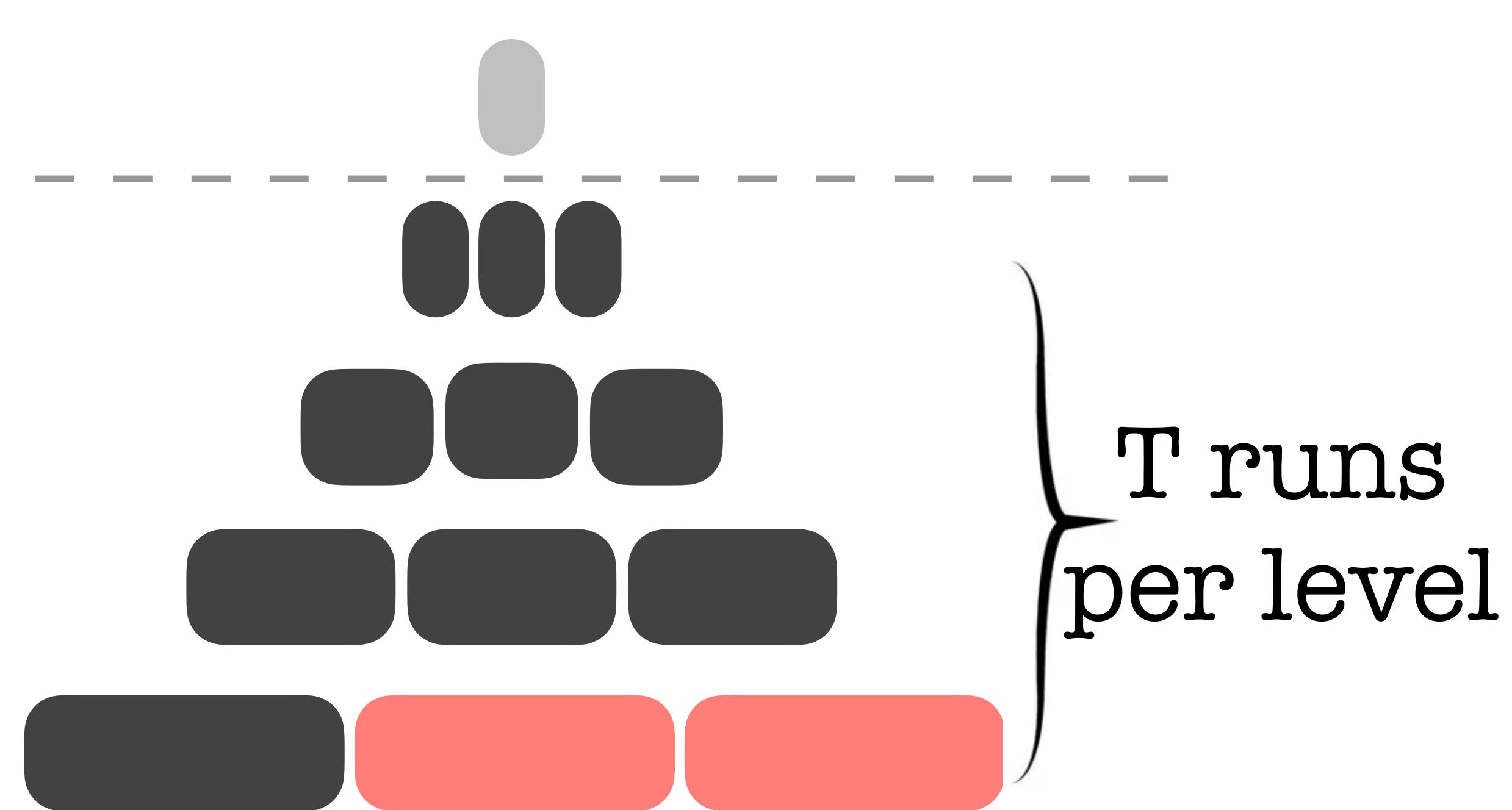
P : pages in buffer
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 ϕ : FPR of BF

Data Layout

leveling [eager]



tiering [lazy]



Space amplification: $\mathcal{O}(1/T)$

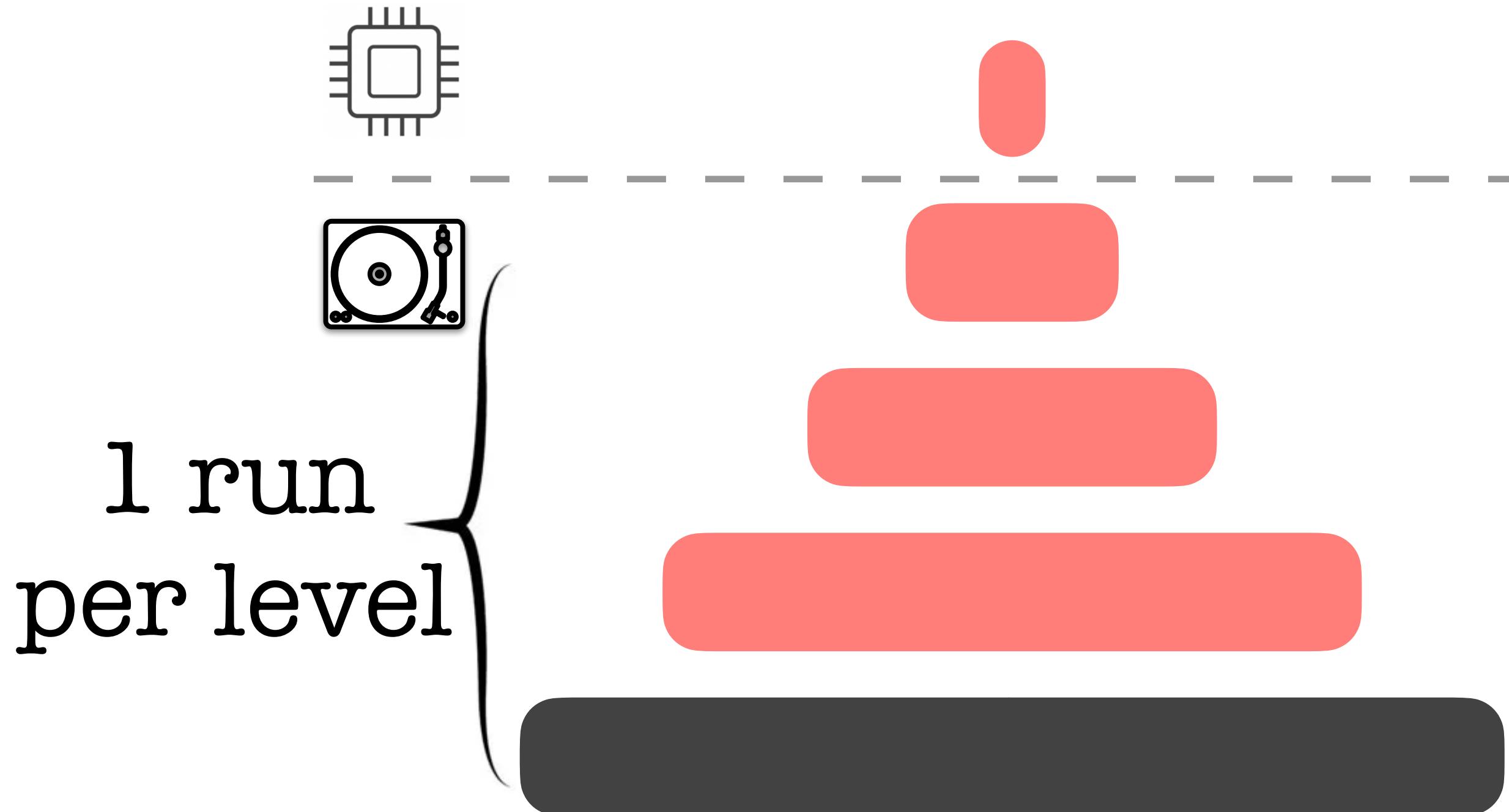
how about **tiering**? 🎉



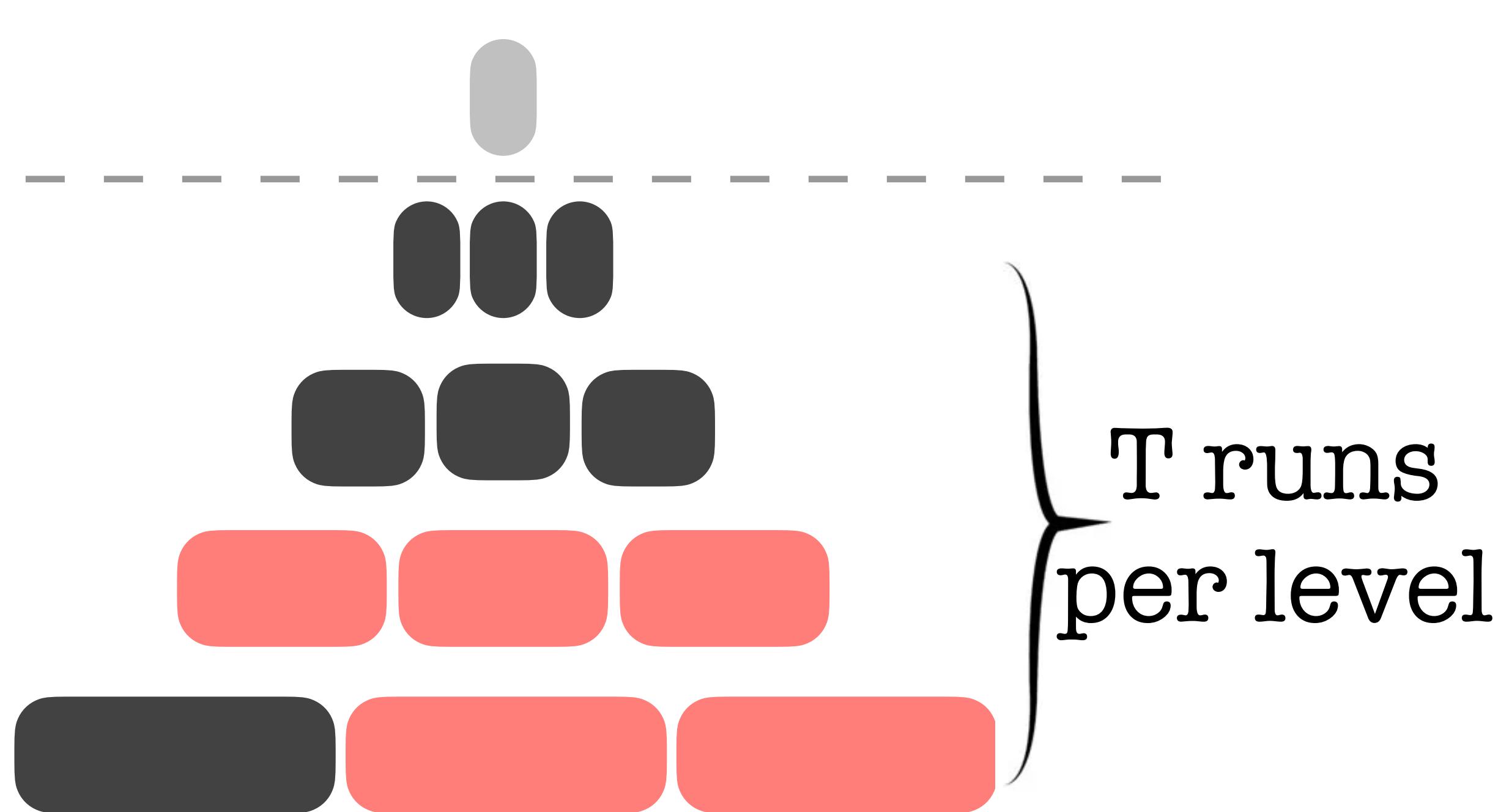
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Data Layout

leveling [eager]



tiering [lazy]



Space amplification: $\mathcal{O}(1/T)$

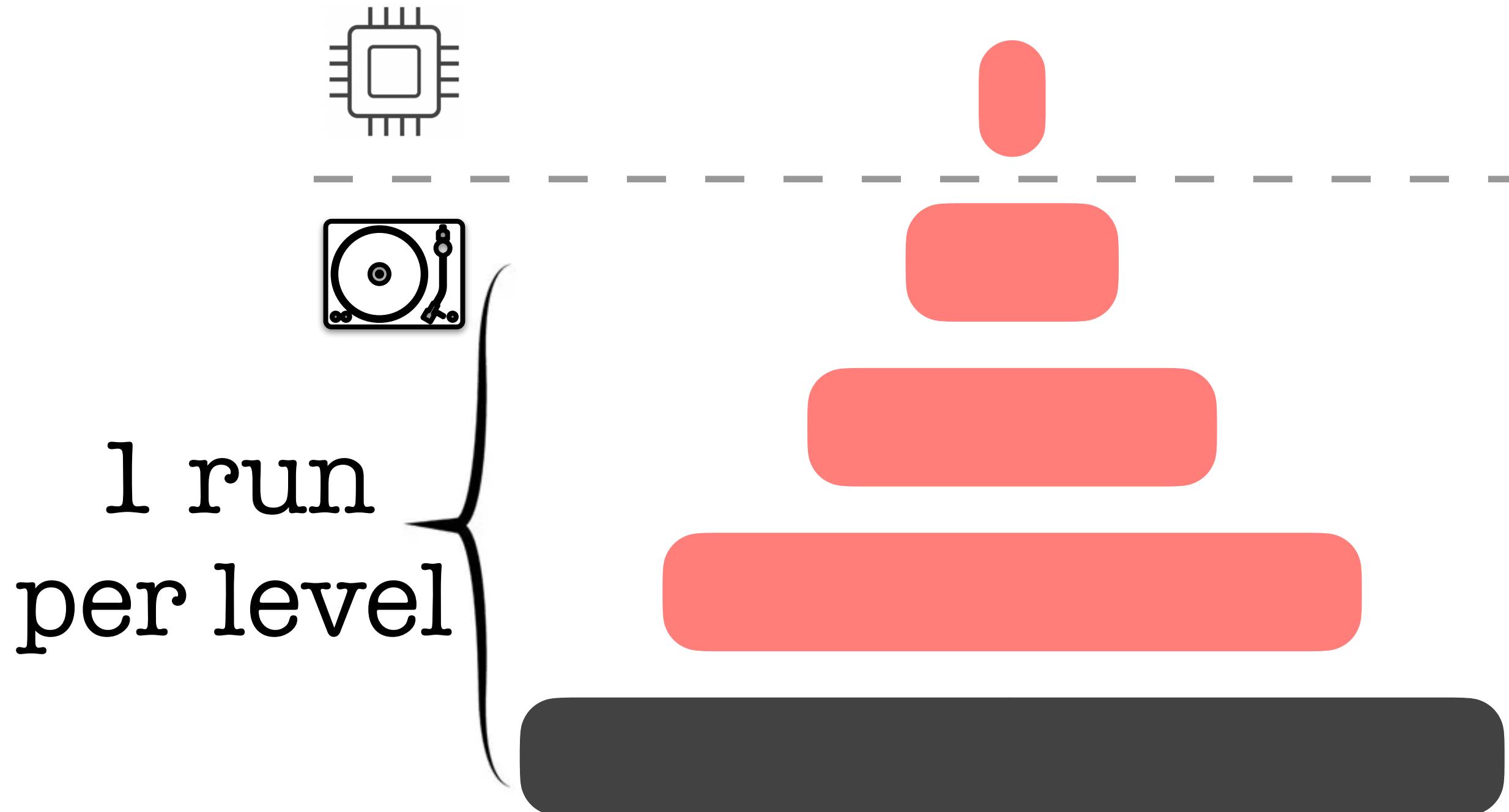
how about **tiering**?



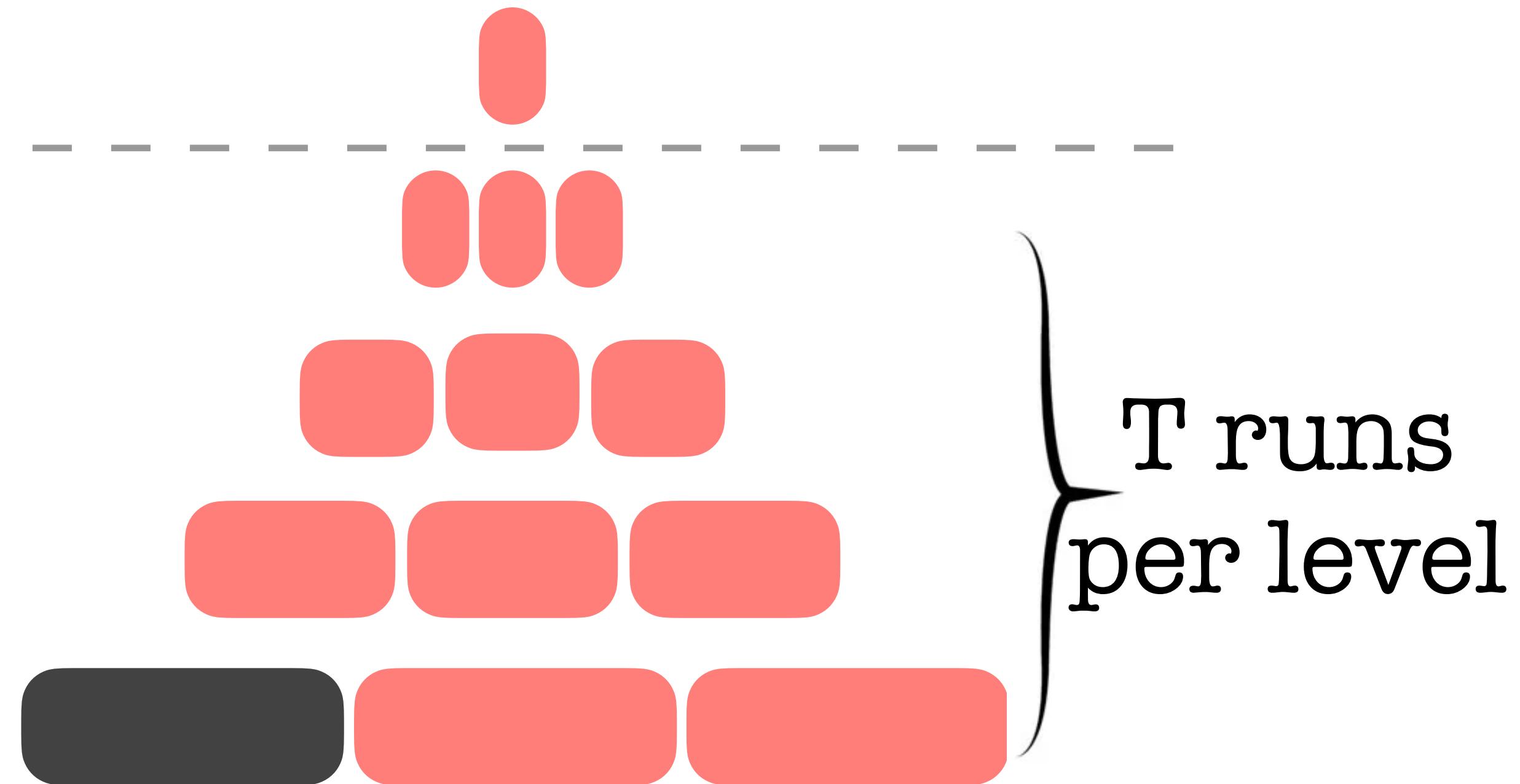
P : pages in buffer
 B : entries/page
 L : #levels
 T : size ratio
 N : #entries
 ϕ : FPR of BF

Data Layout

leveling [eager]



tiering [lazy]



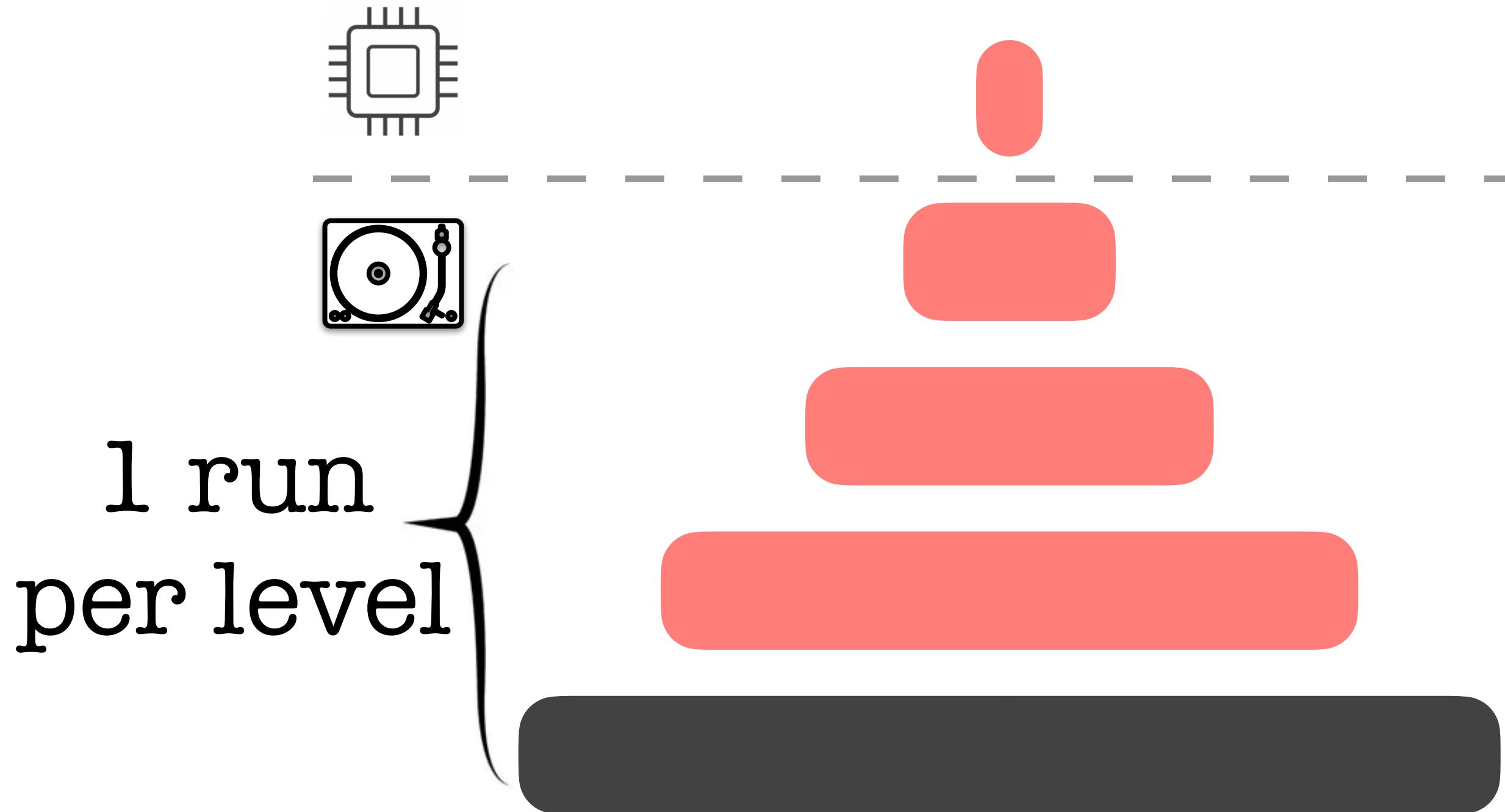
Space amplification: $\mathcal{O}(1/T)$

how about **tiering**?

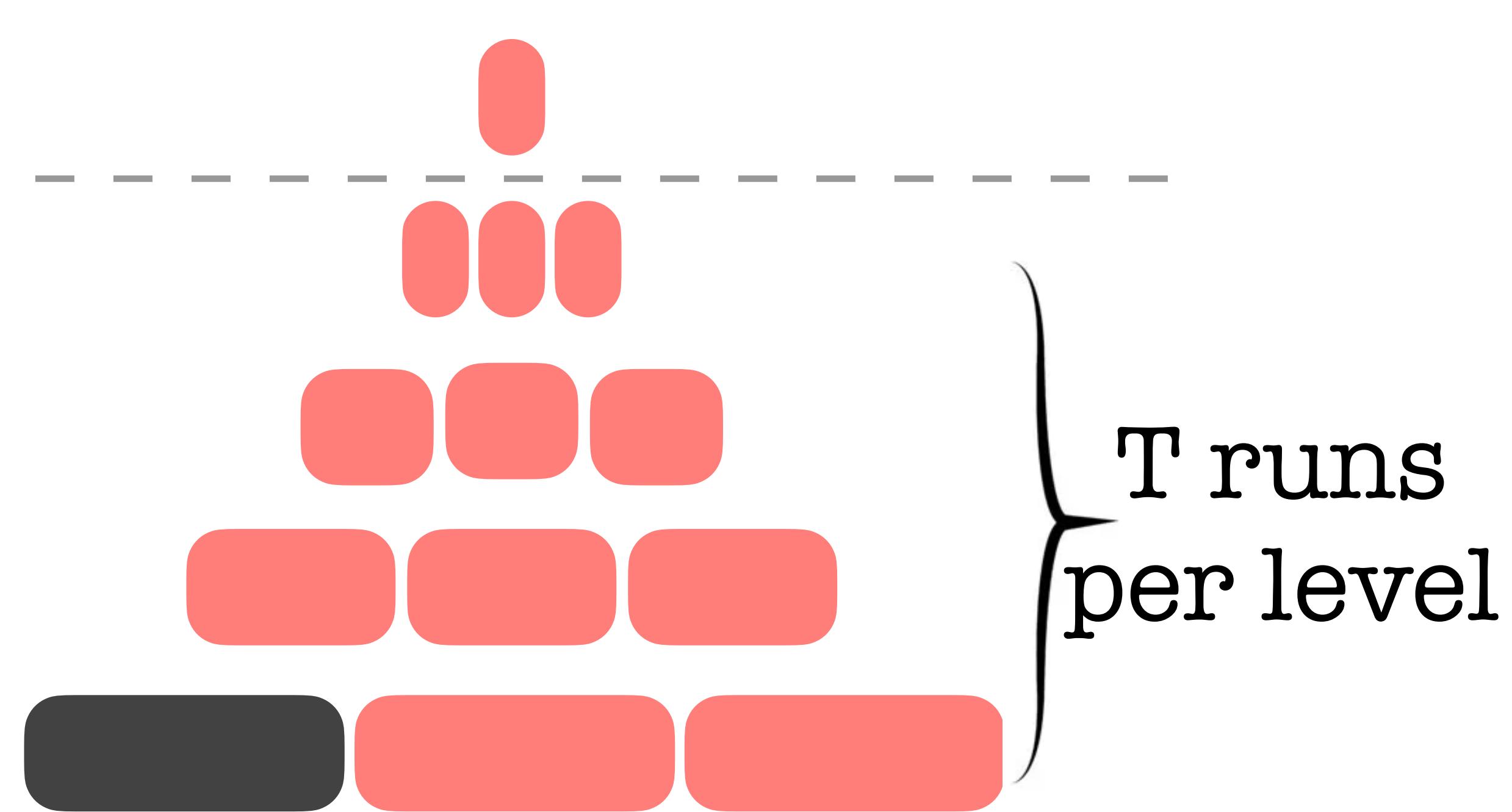
P : pages in buffer
 B : entries/page
 L : #levels
 T : size ratio
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 ϕ : FPR of BF

Data Layout

leveling [eager]



tiering [lazy]

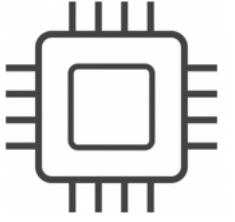


Space amplification: $\mathcal{O}(1/T)$

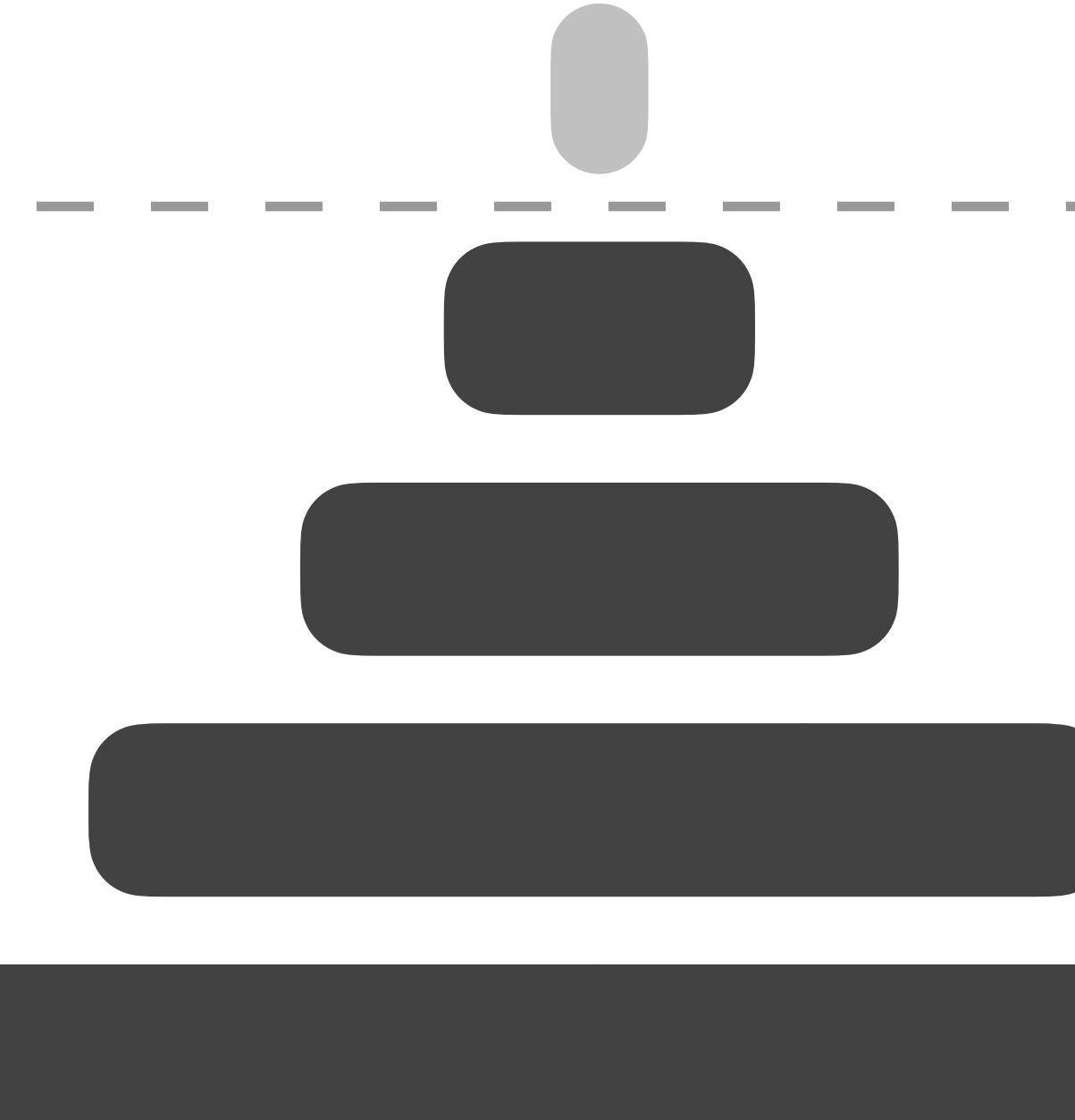
$\mathcal{O}(T)$

Data Layout

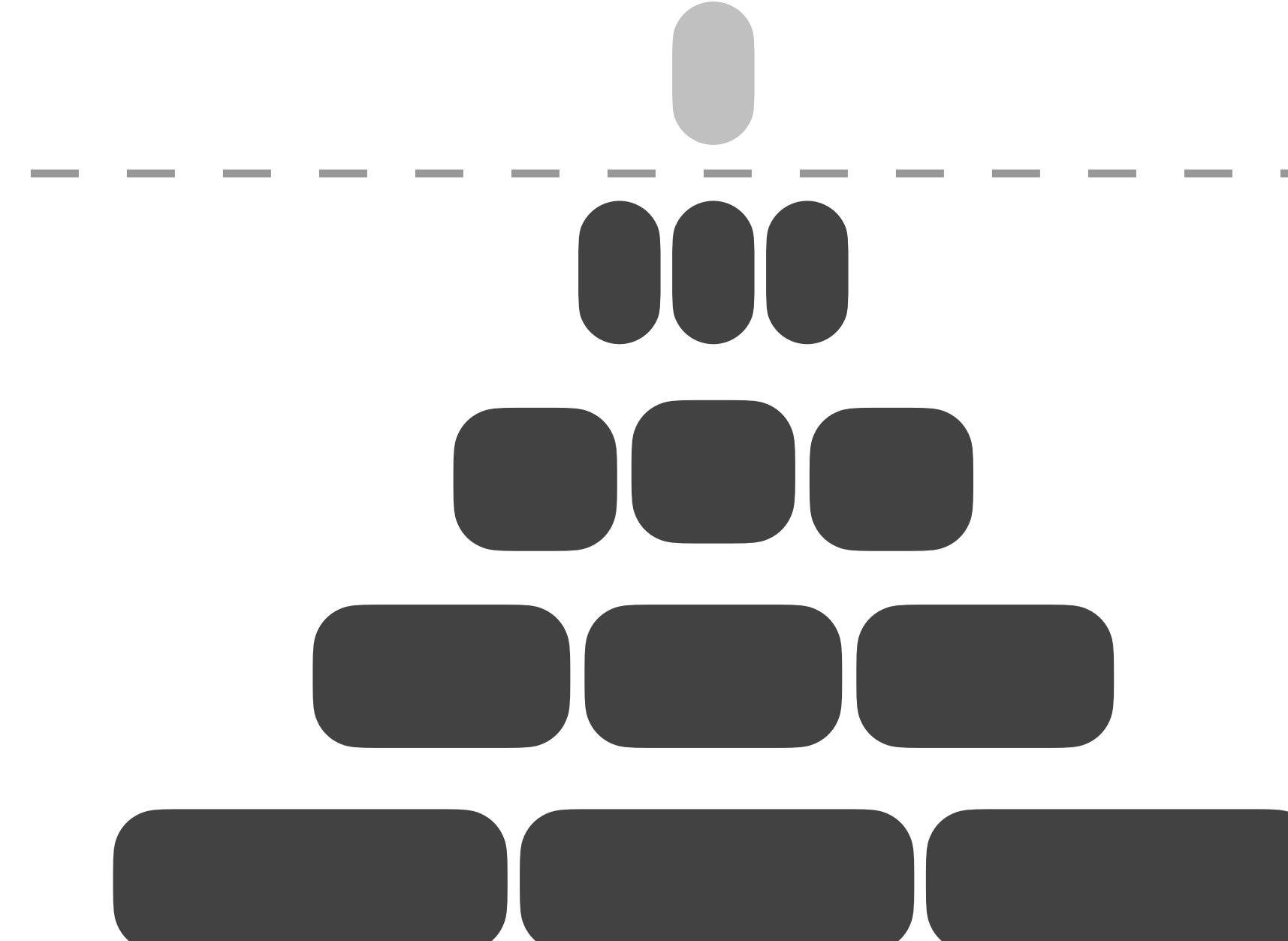
leveling [ea]



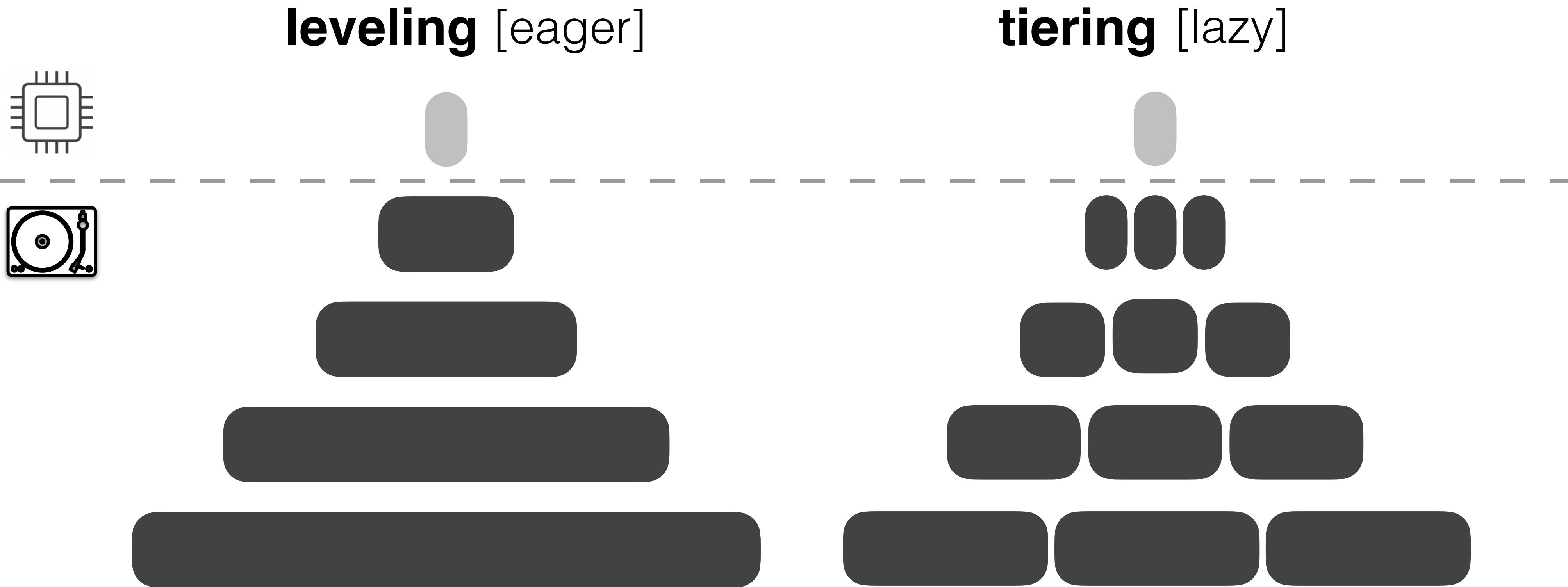
A black and white icon of a turntable, showing a platter, tonearm, and cartridge.



tiering [laɪərɪŋ]



Data Layout



What happens if T becomes too **large**?

Data Layout

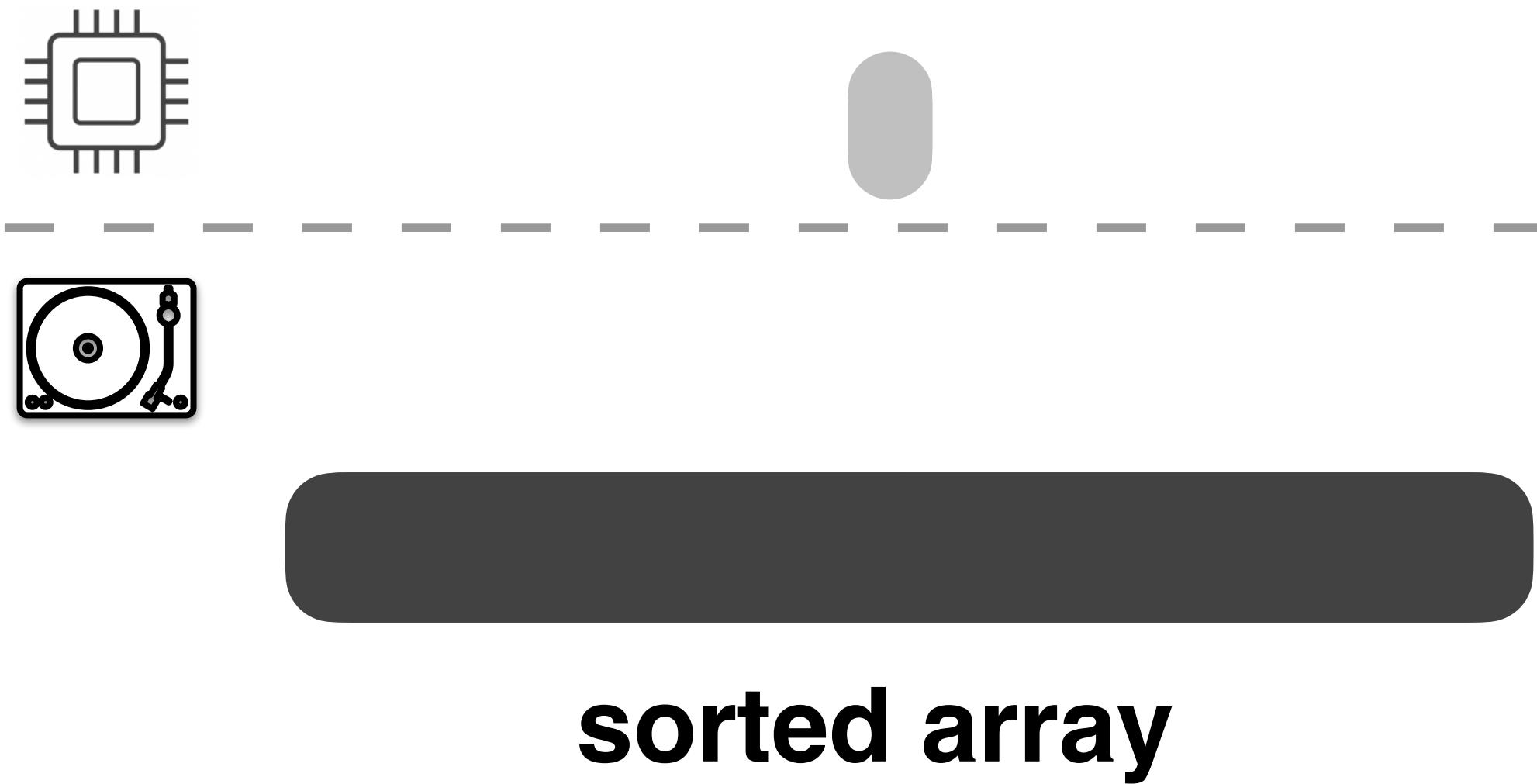
leveling [eager]



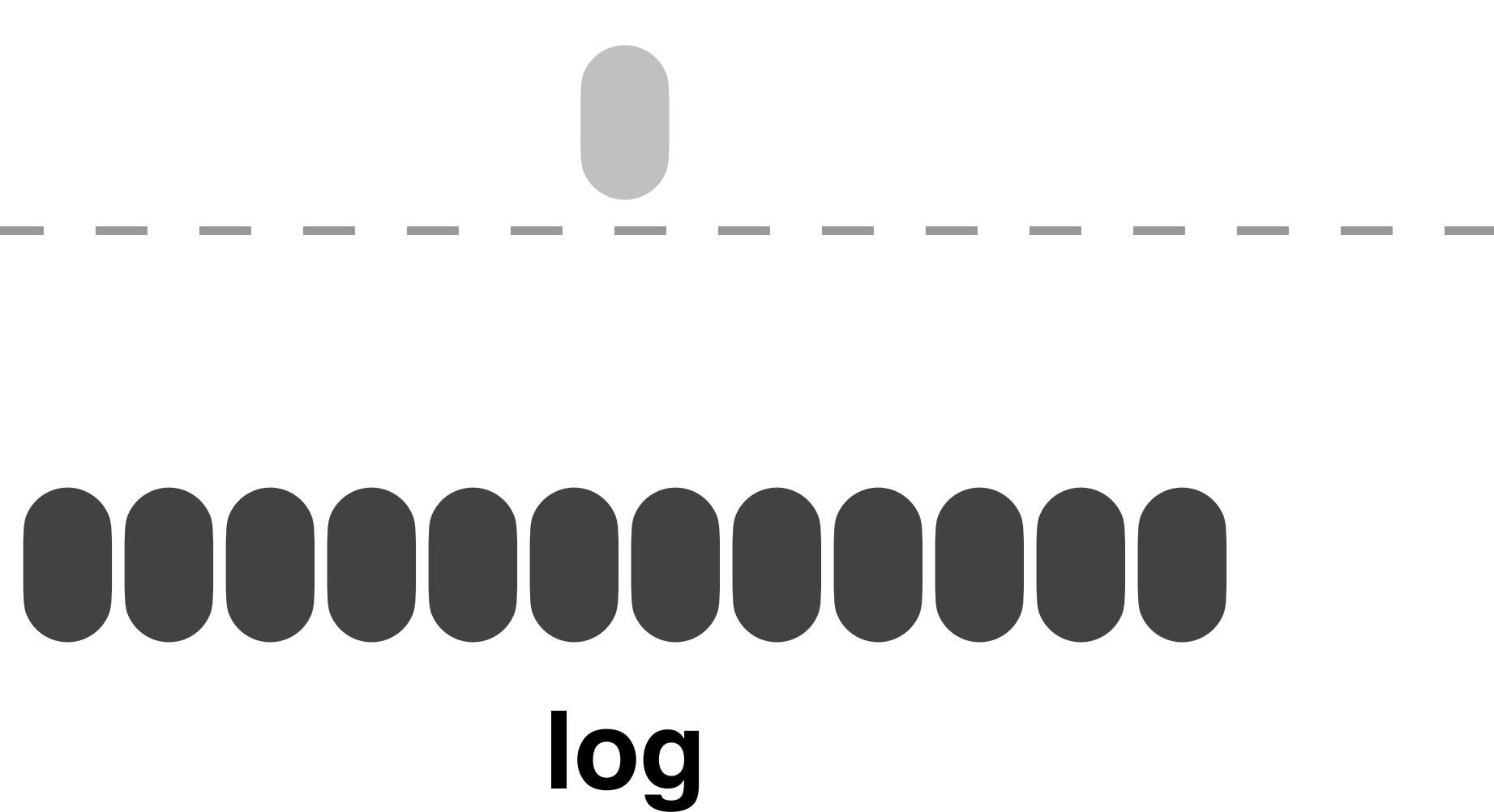
sorted array

Data Layout

leveling [eager]

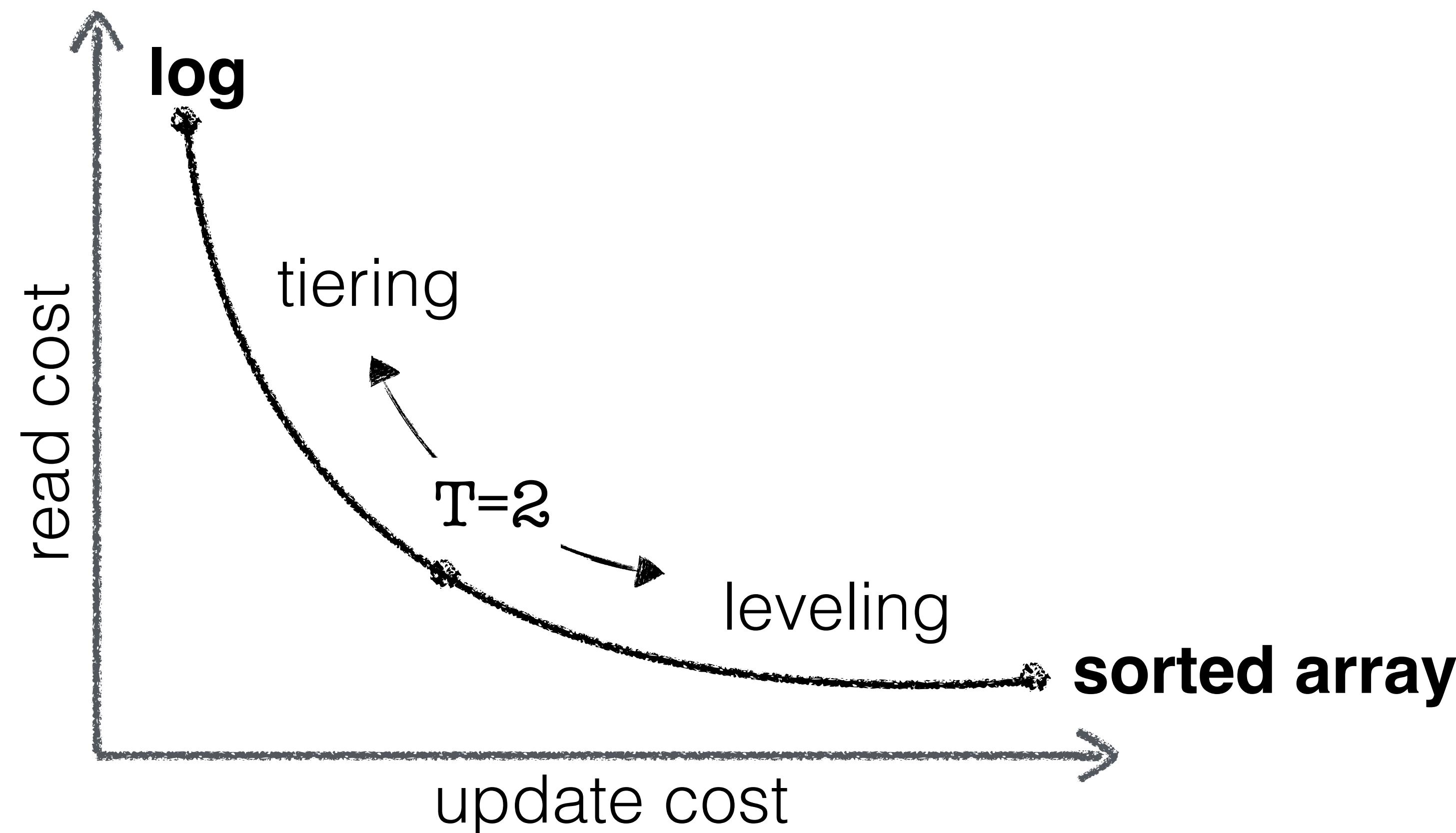


tiering [lazy]



T : size ratio

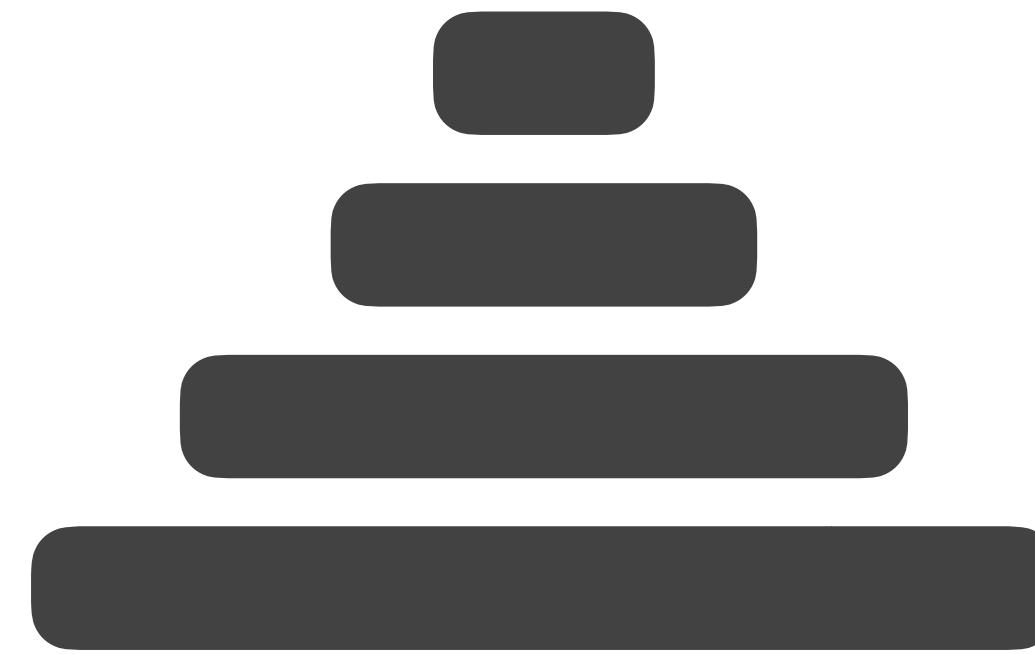
Data Layout



Data Layout

hybrid designs

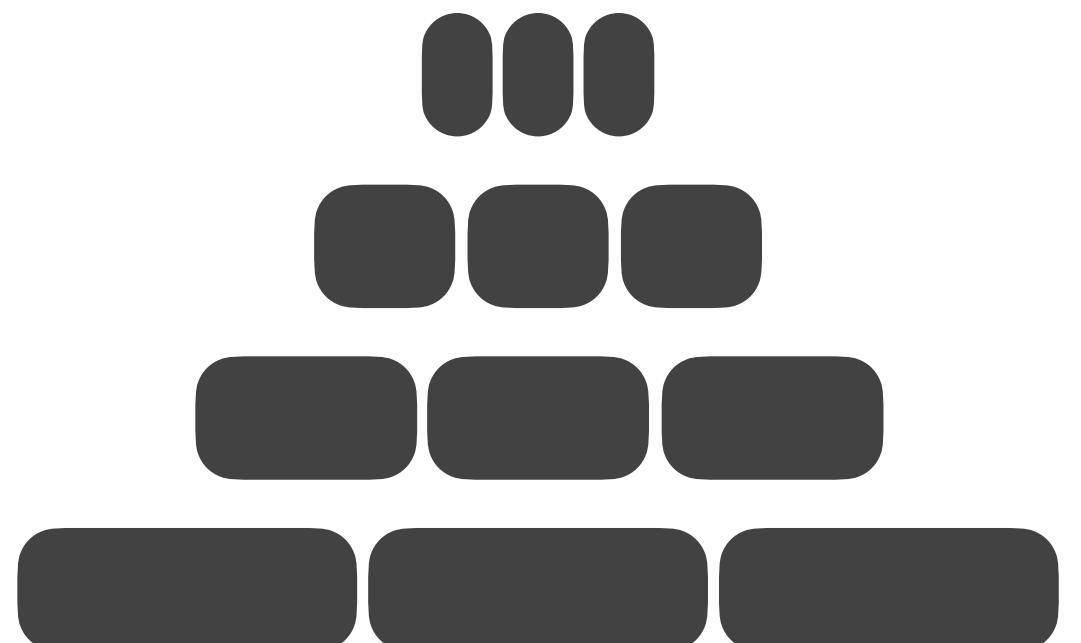
leveling



read

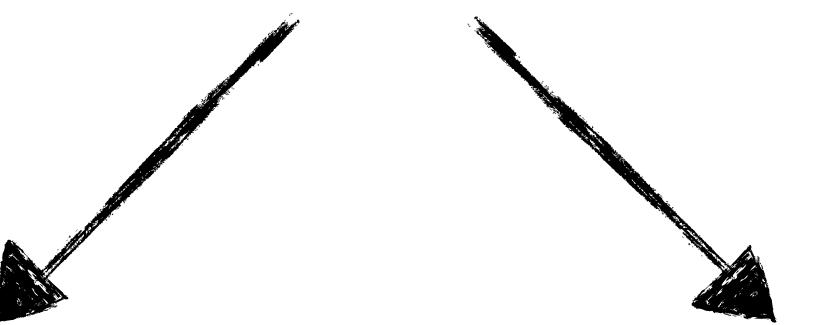
optimized

tiering



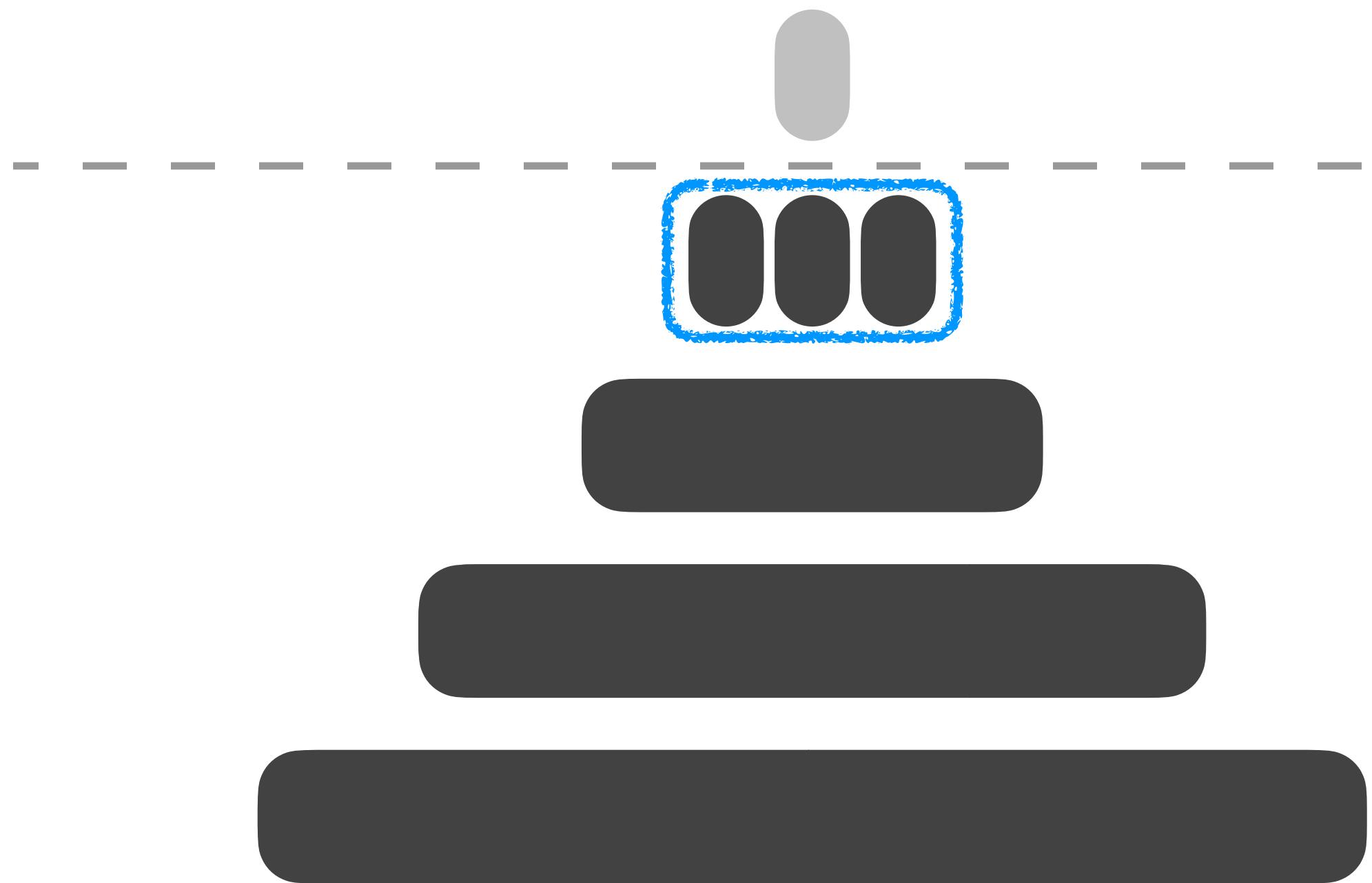
write

optimized

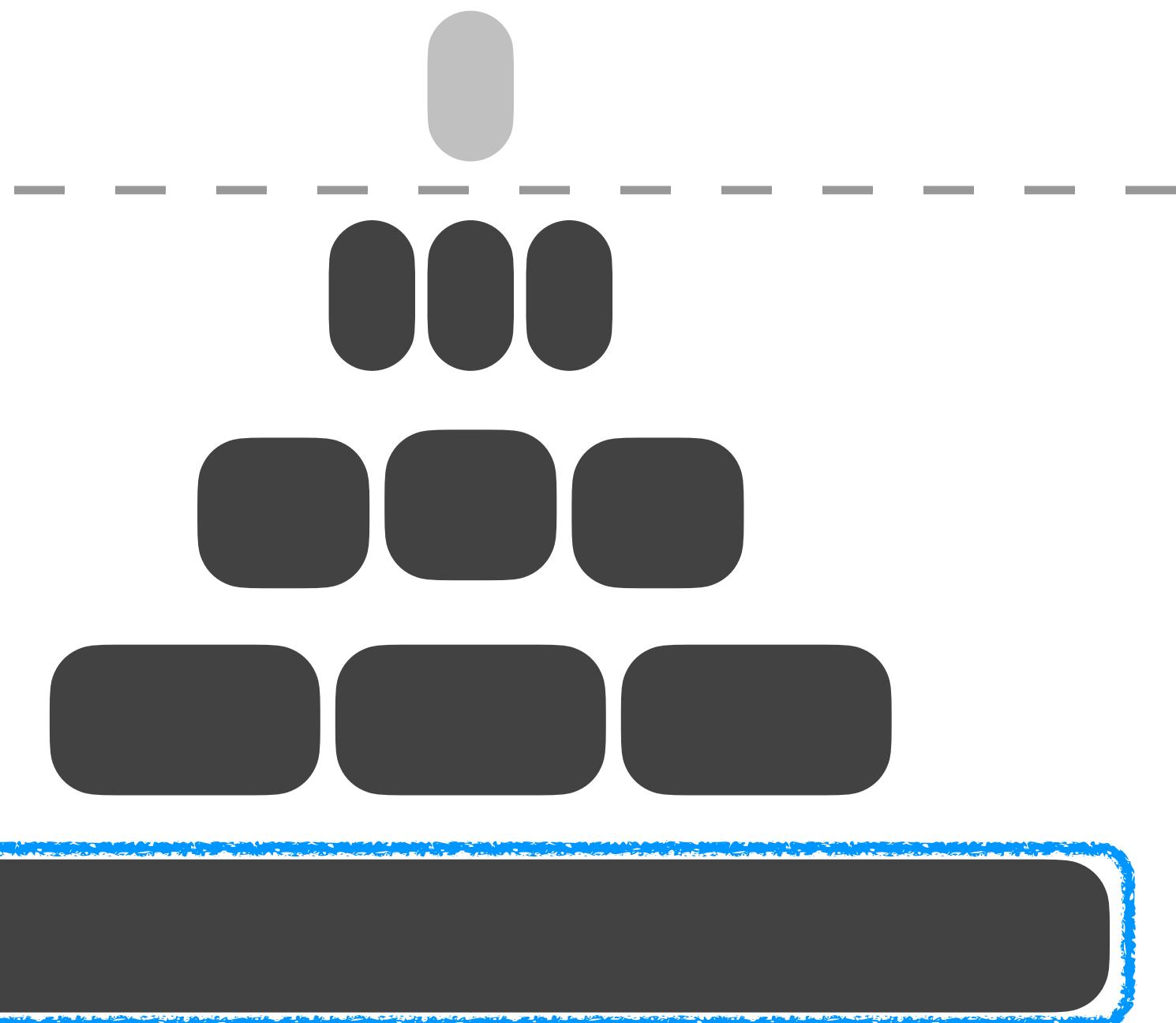


Data Layout

1-leveling



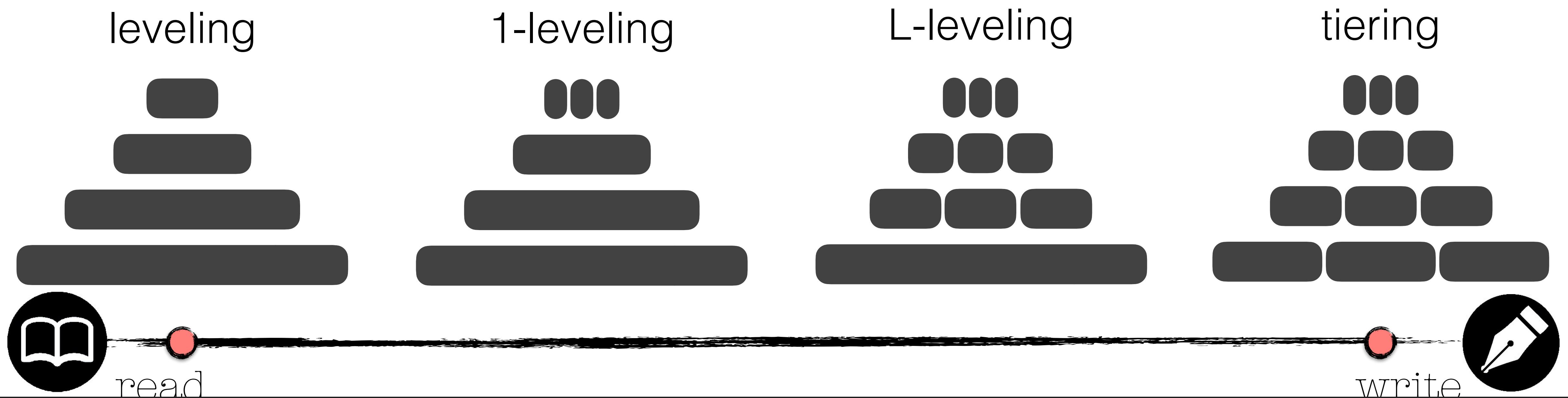
L-leveling



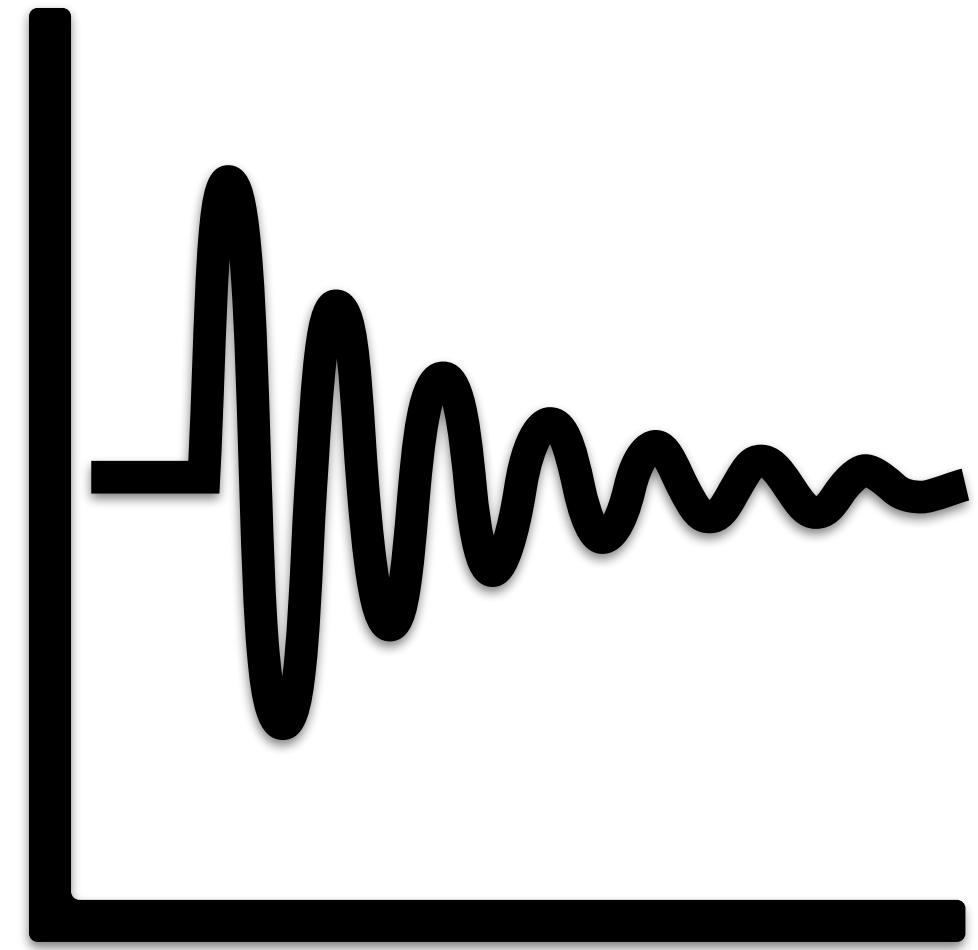
- fewer write stalls
- increased block cache hits

- low write amplification
- better read performance

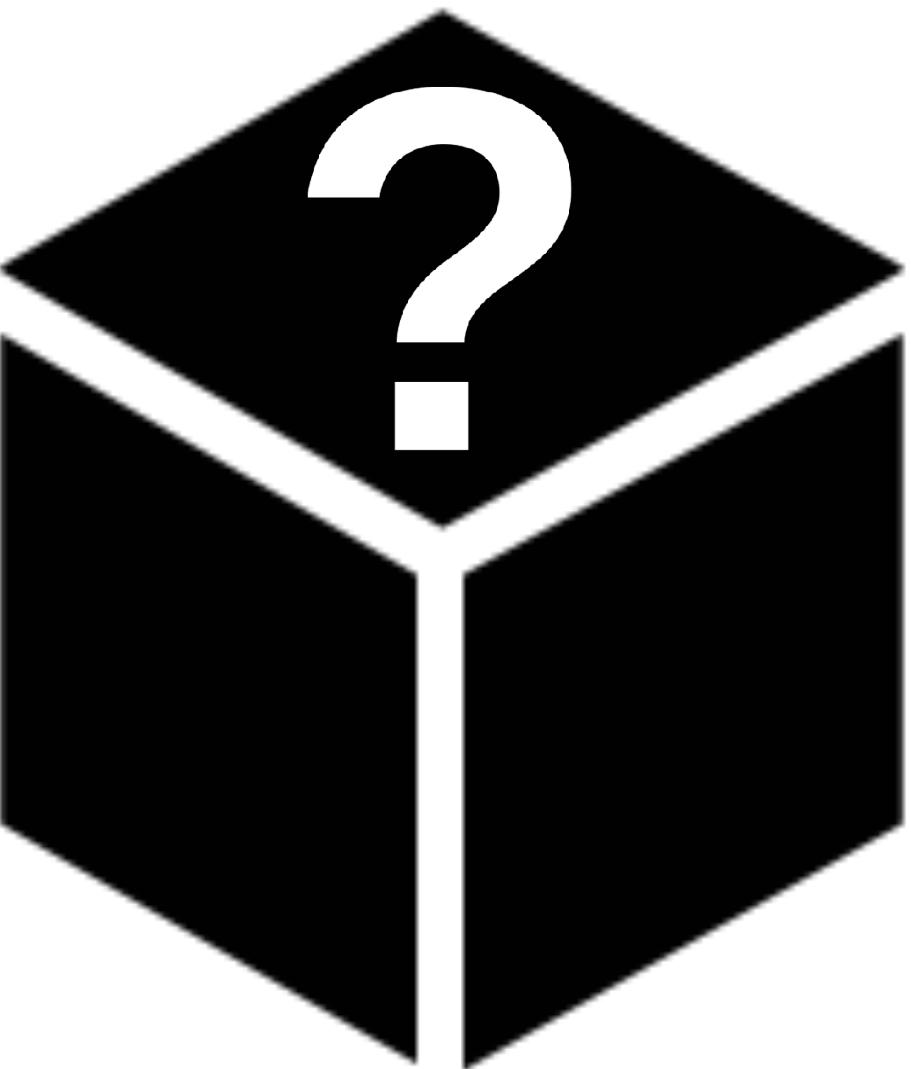
Data Layout



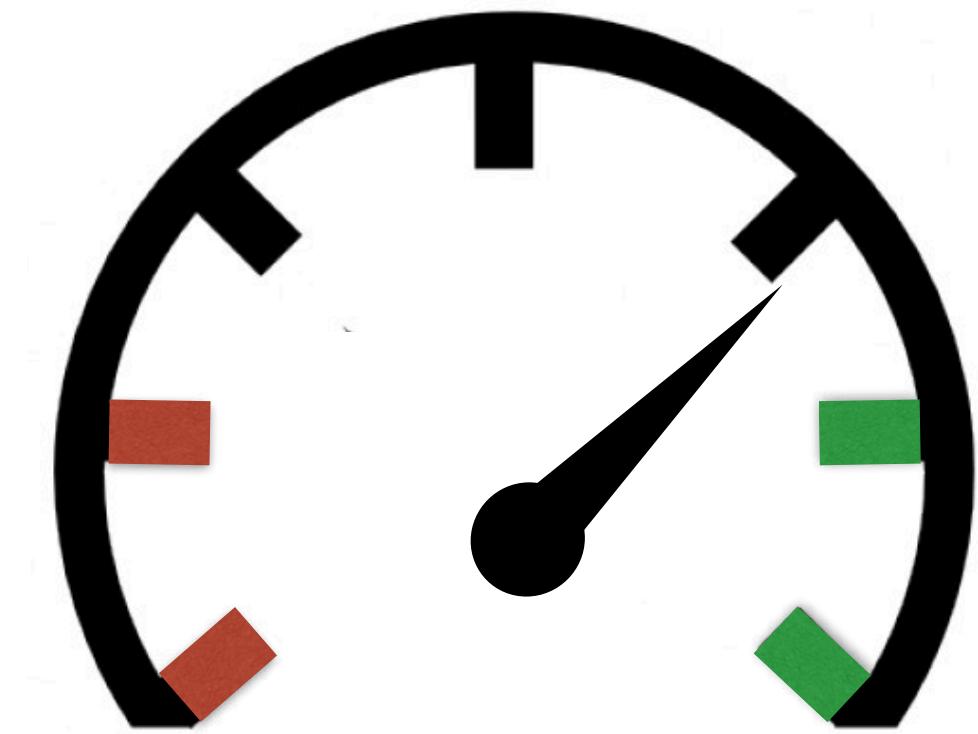
So, how do we reason about the **data layout**?



workload



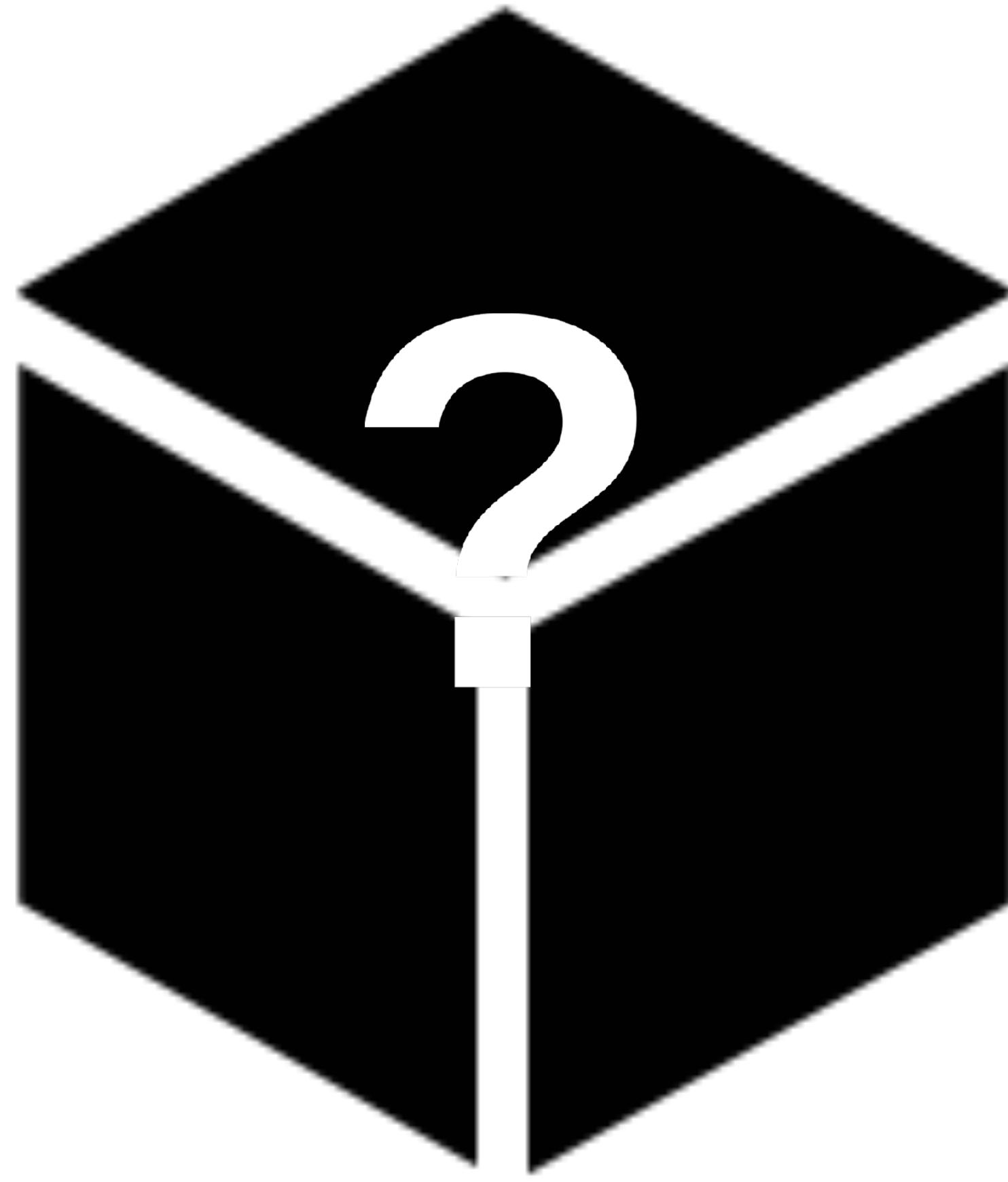
data layout



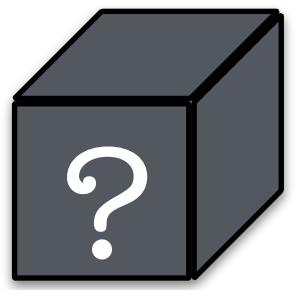
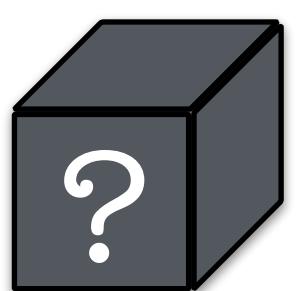
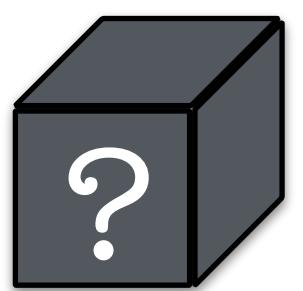
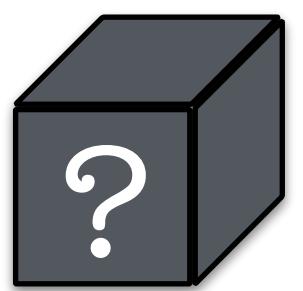
performance



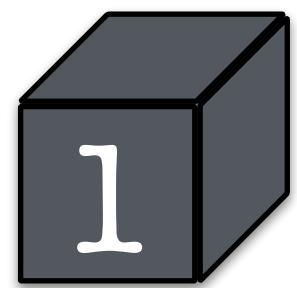
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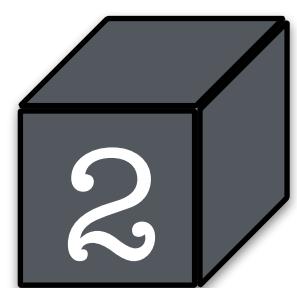
Compaction black box



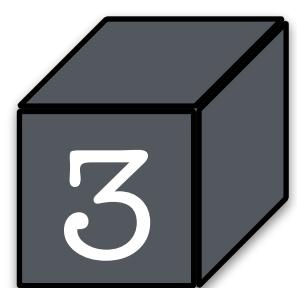
Brandeis
U N I V E R S I T Y



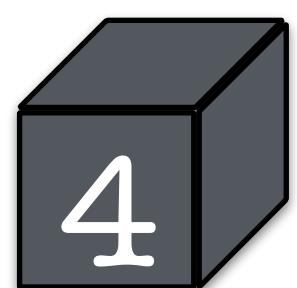
How to organize the data on device?



How much data to move at-a-time?



Which block of data to be moved?



When to re-organize the data layout?

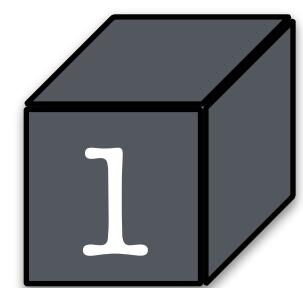


Data Layout

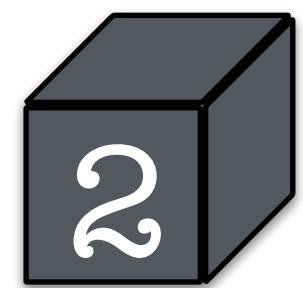
Compaction
Granularity

Data Movement
Policy

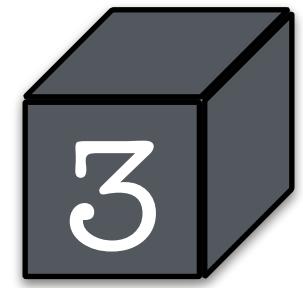
Compaction
Trigger



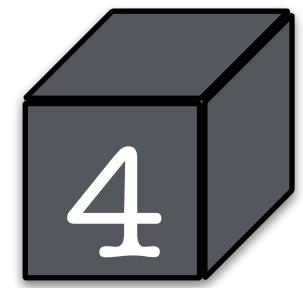
How to organize the data on device? 



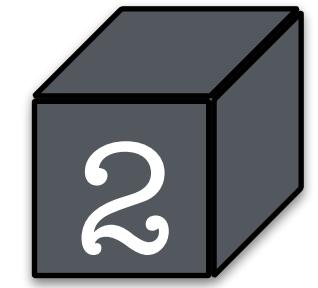
How much data to move at-a-time?



Which block of data to be moved?



When to re-organize the data layout?

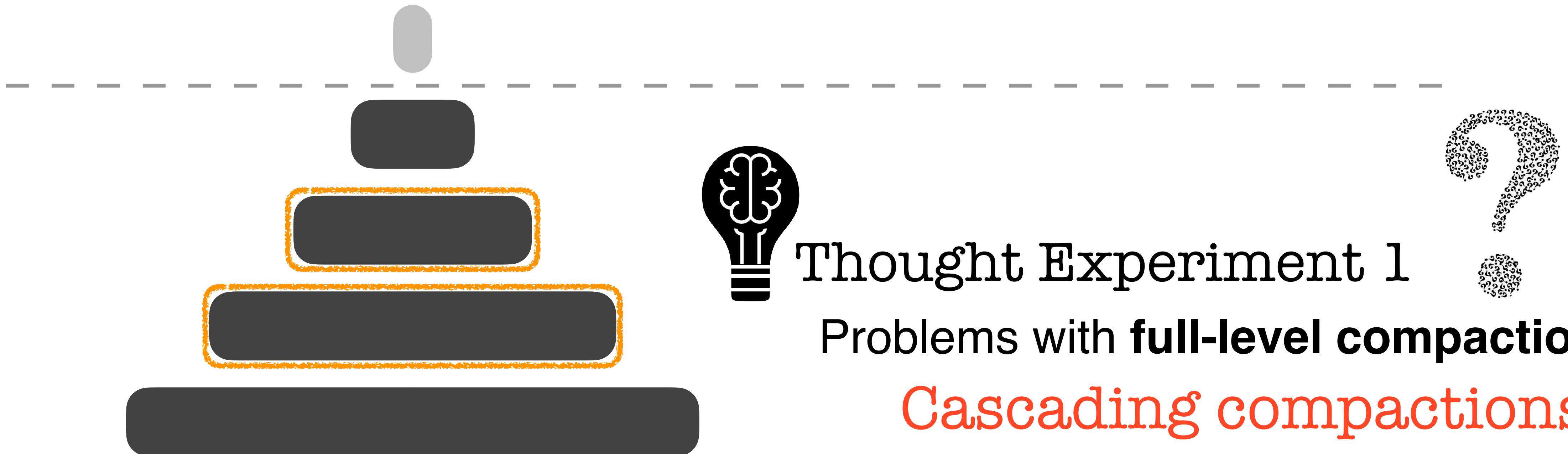


Compaction **Granularity**

data moved per compaction

Compaction Granularity

data moved per compaction



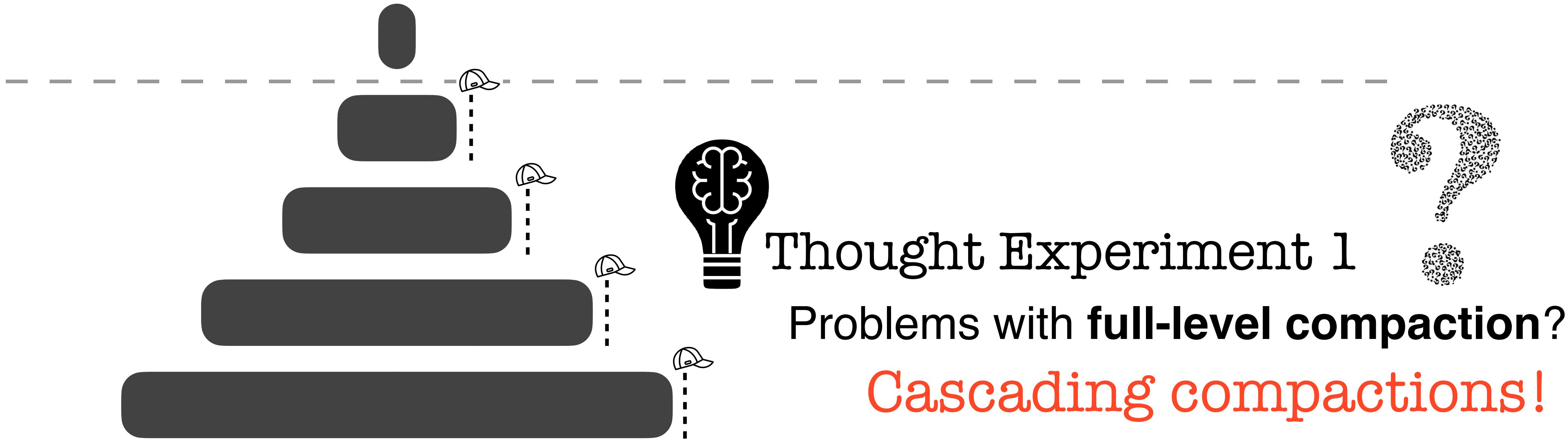
consecutive levels



2

Compaction Granularity

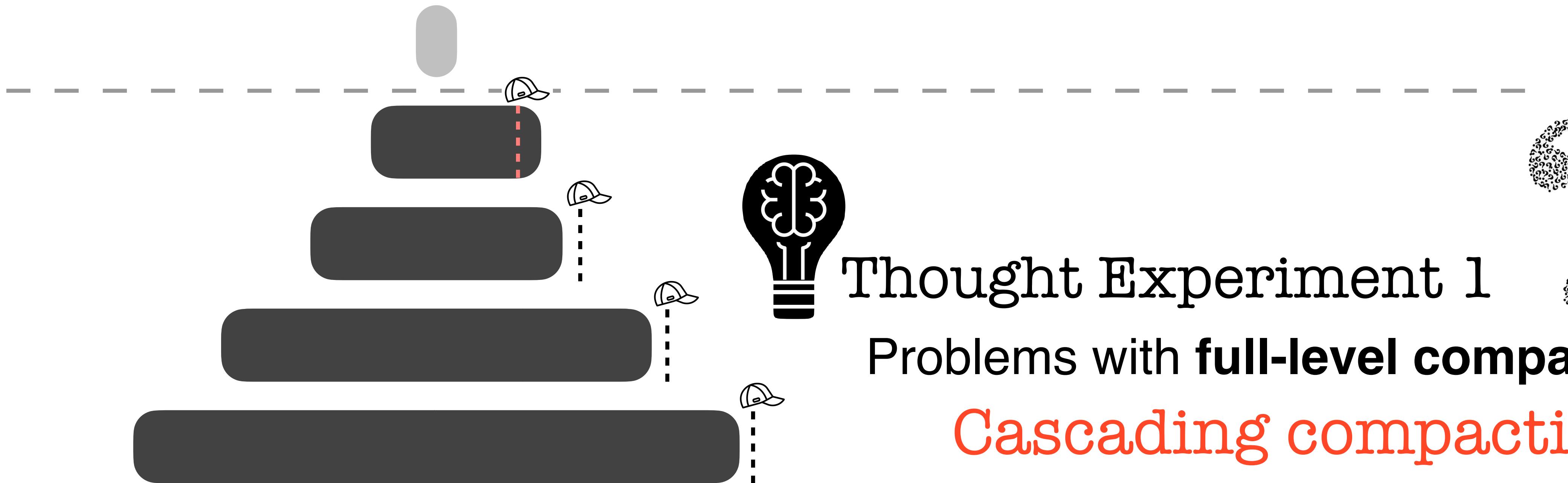
data moved per compaction



2

Compaction Granularity

data moved per compaction



Thought Experiment 1

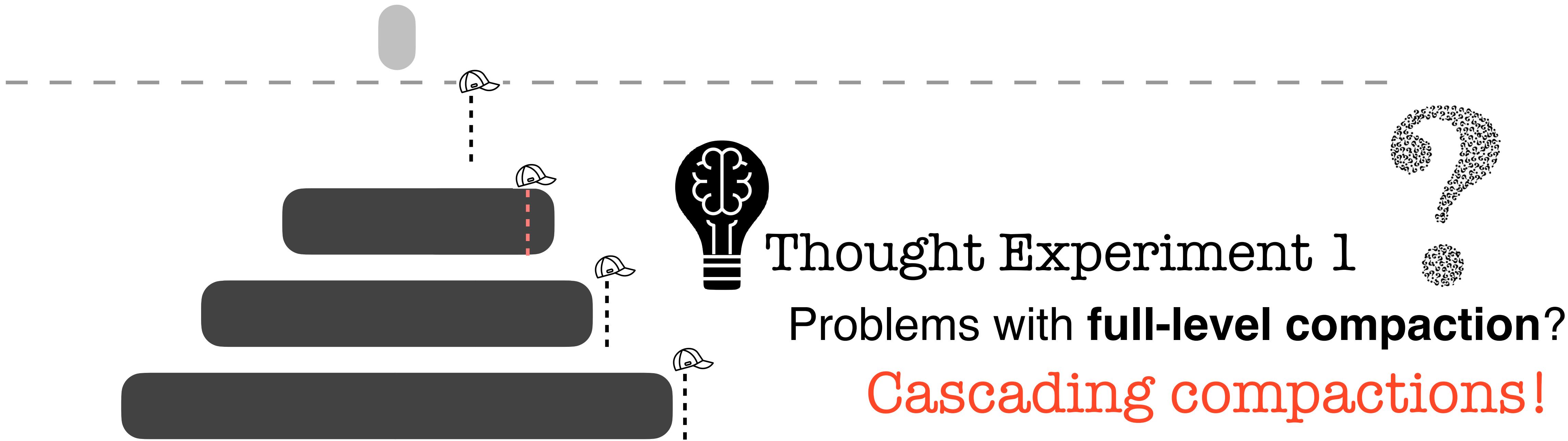
Problems with **full-level compaction?**

Cascading compactions!

2

Compaction Granularity

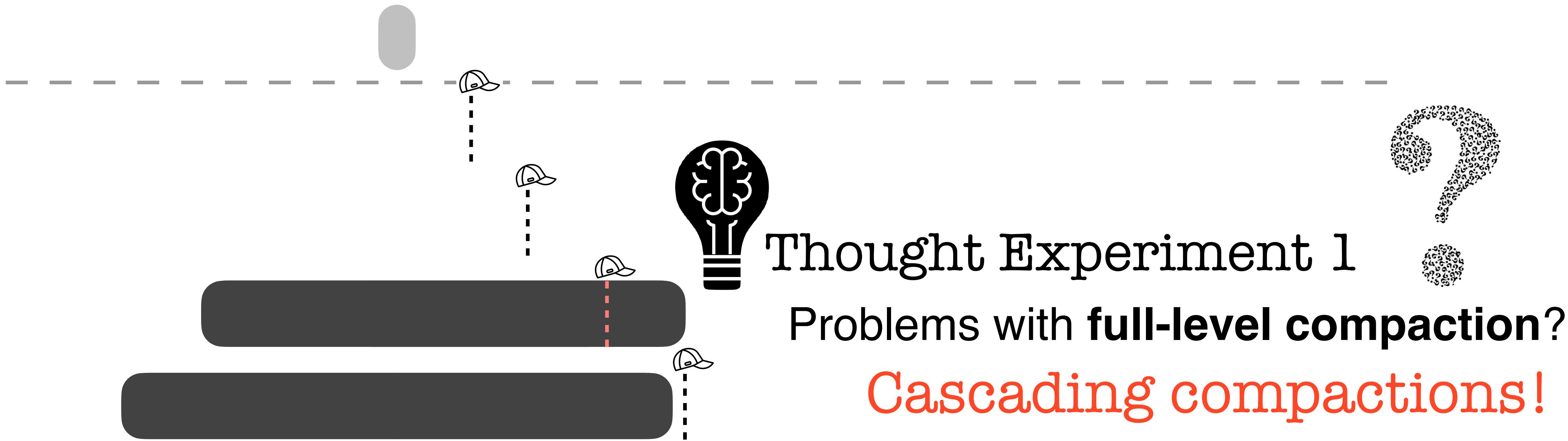
data moved per compaction



2

Compaction Granularity

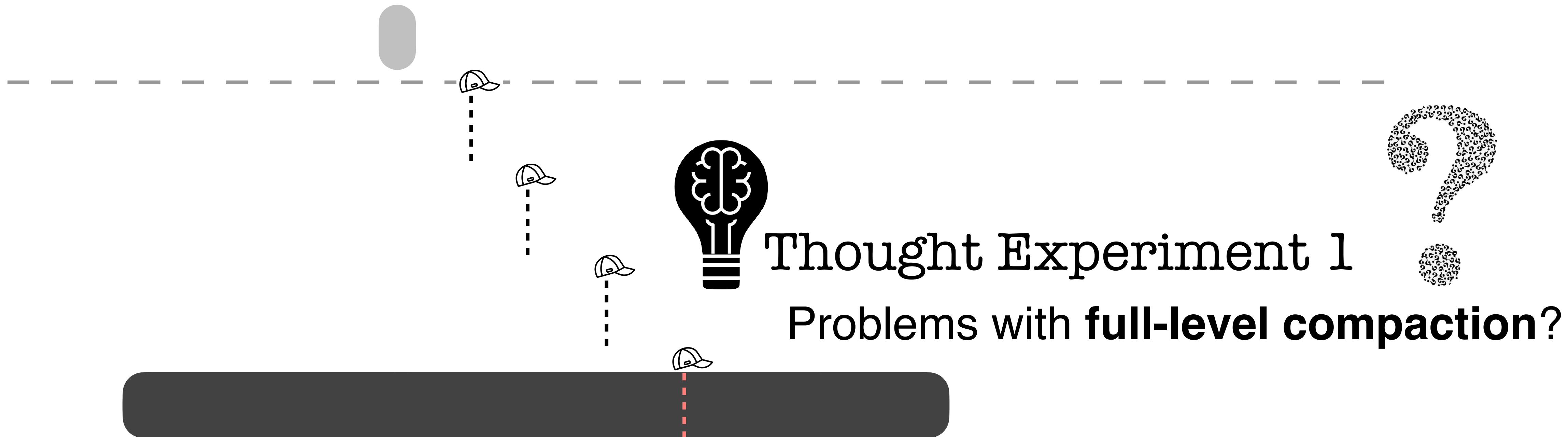
data moved per compaction



2

Compaction Granularity

data moved per compaction

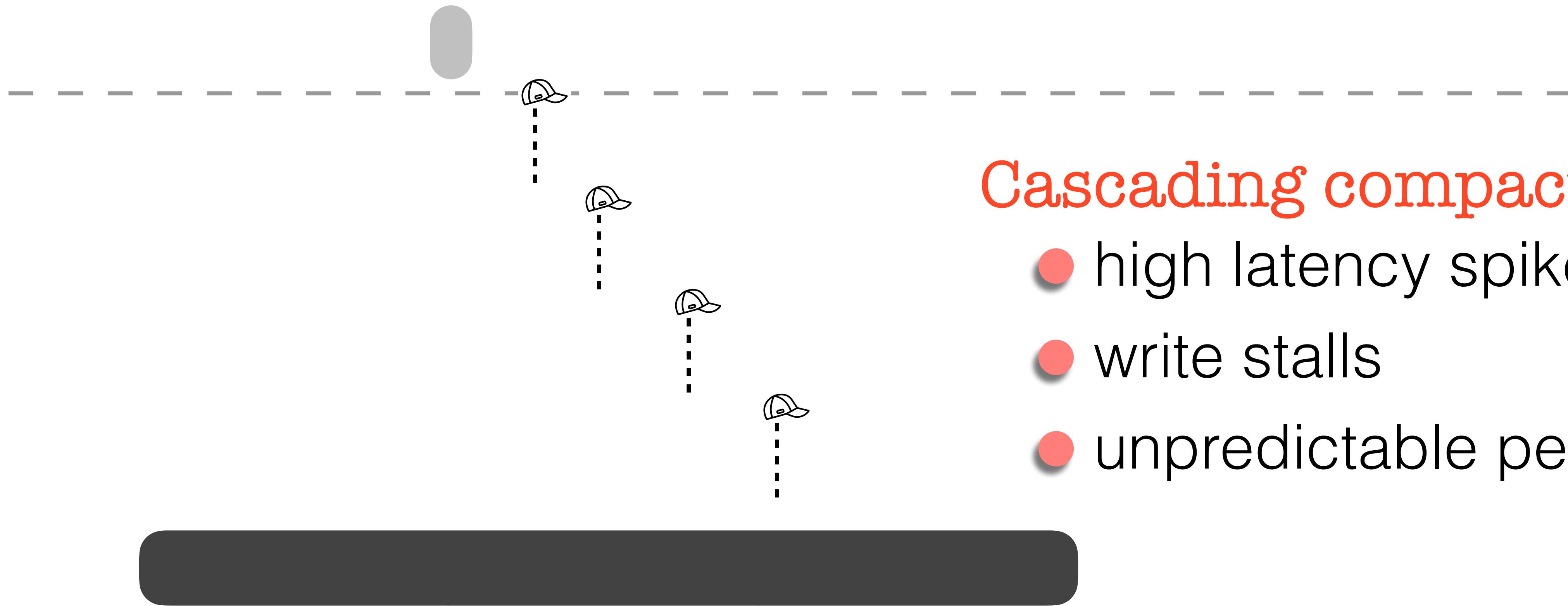


Cascading compactions!

2

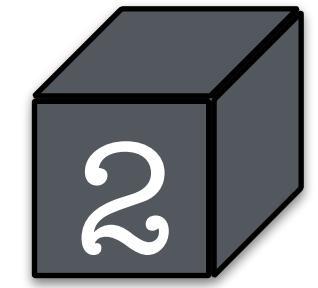
Compaction Granularity

data moved per compaction



Cascading compactions!

- high latency spikes
- write stalls
- unpredictable perf.

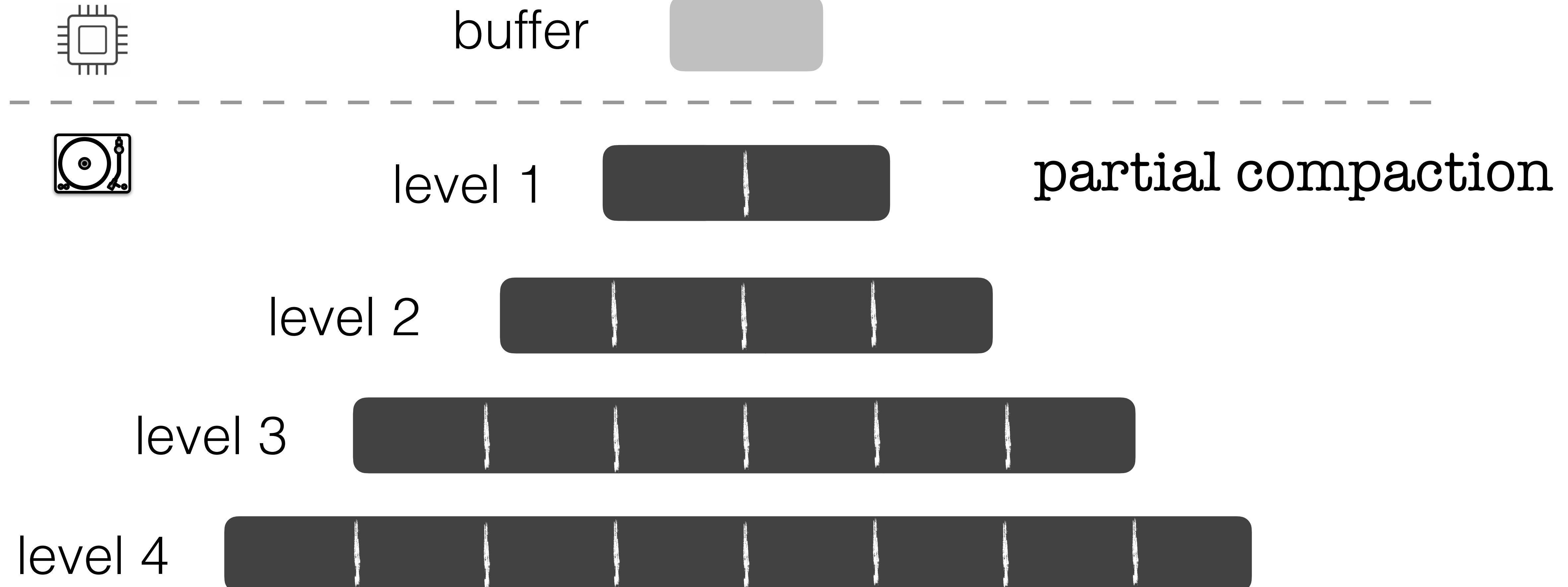


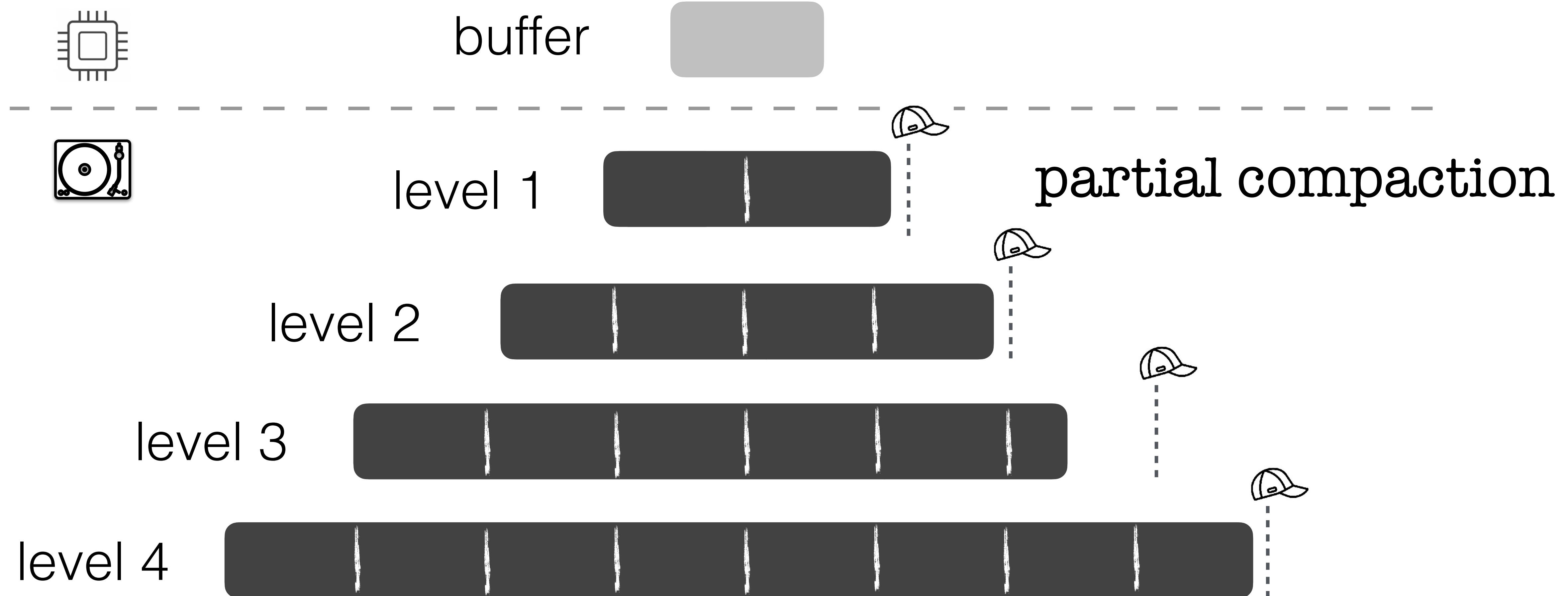
Compaction **Granularity**

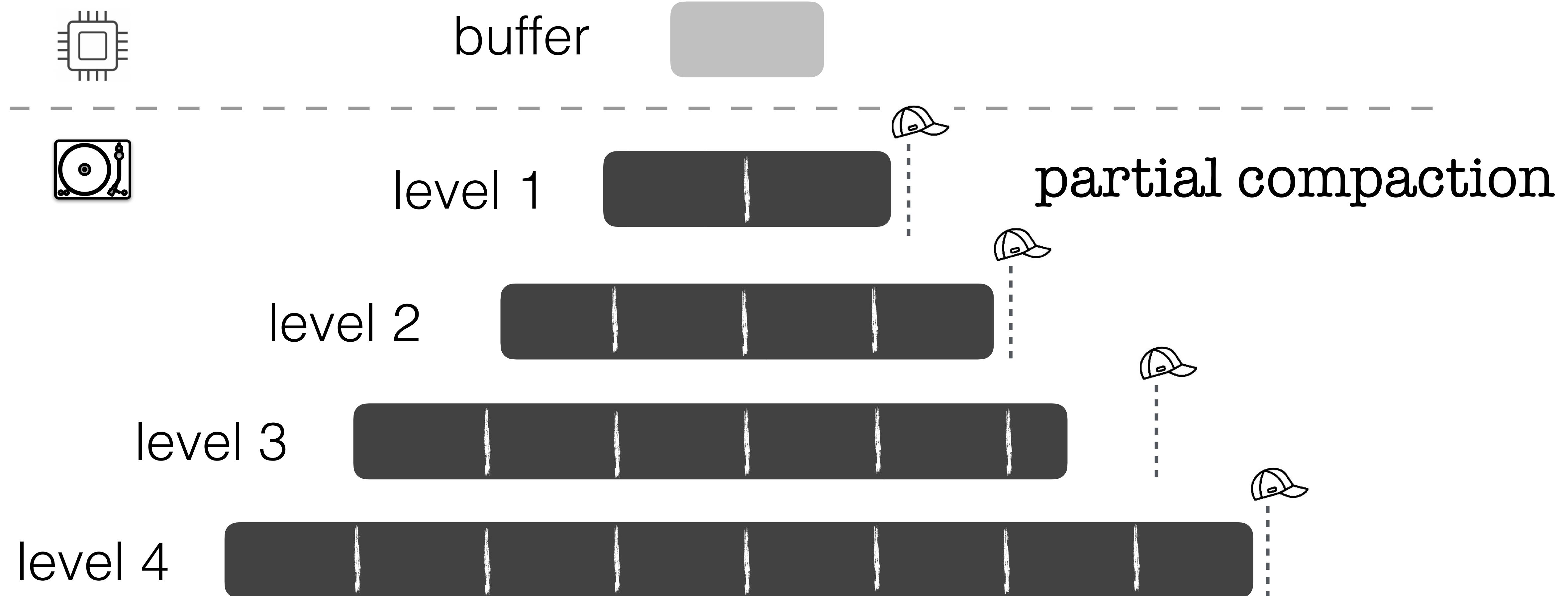
data moved per compaction

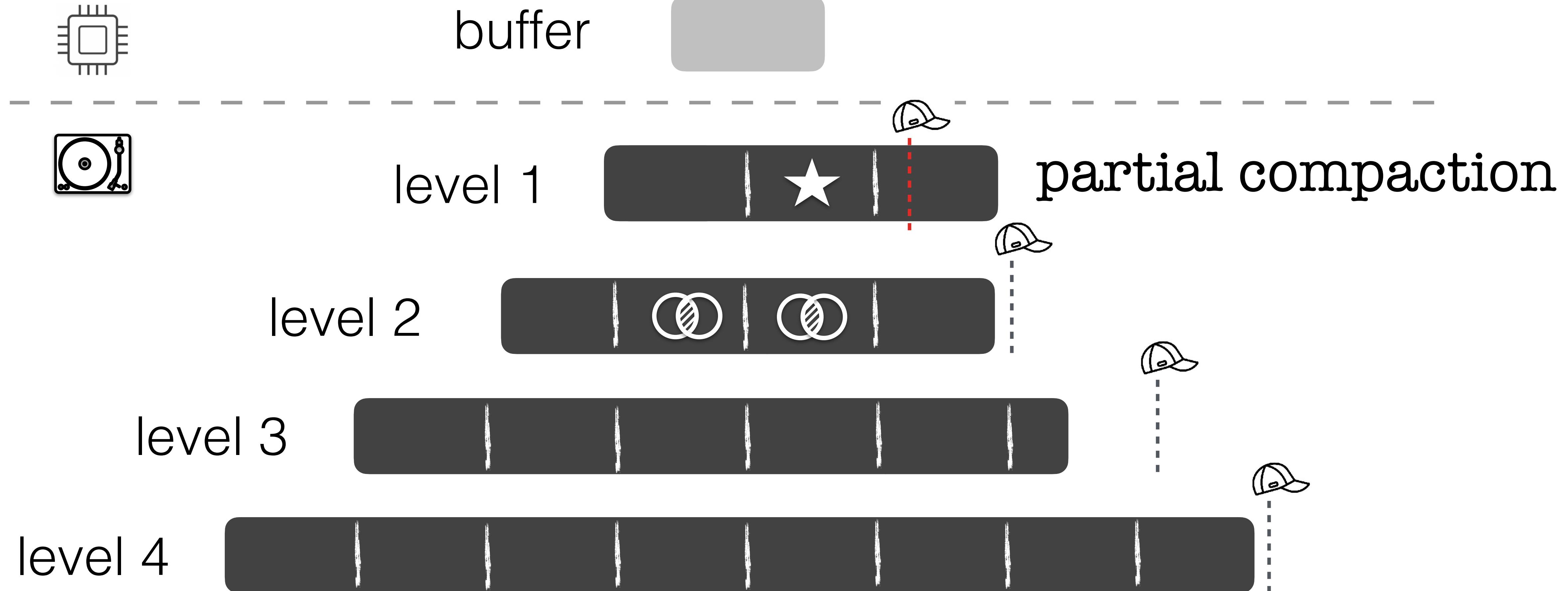
partial compaction

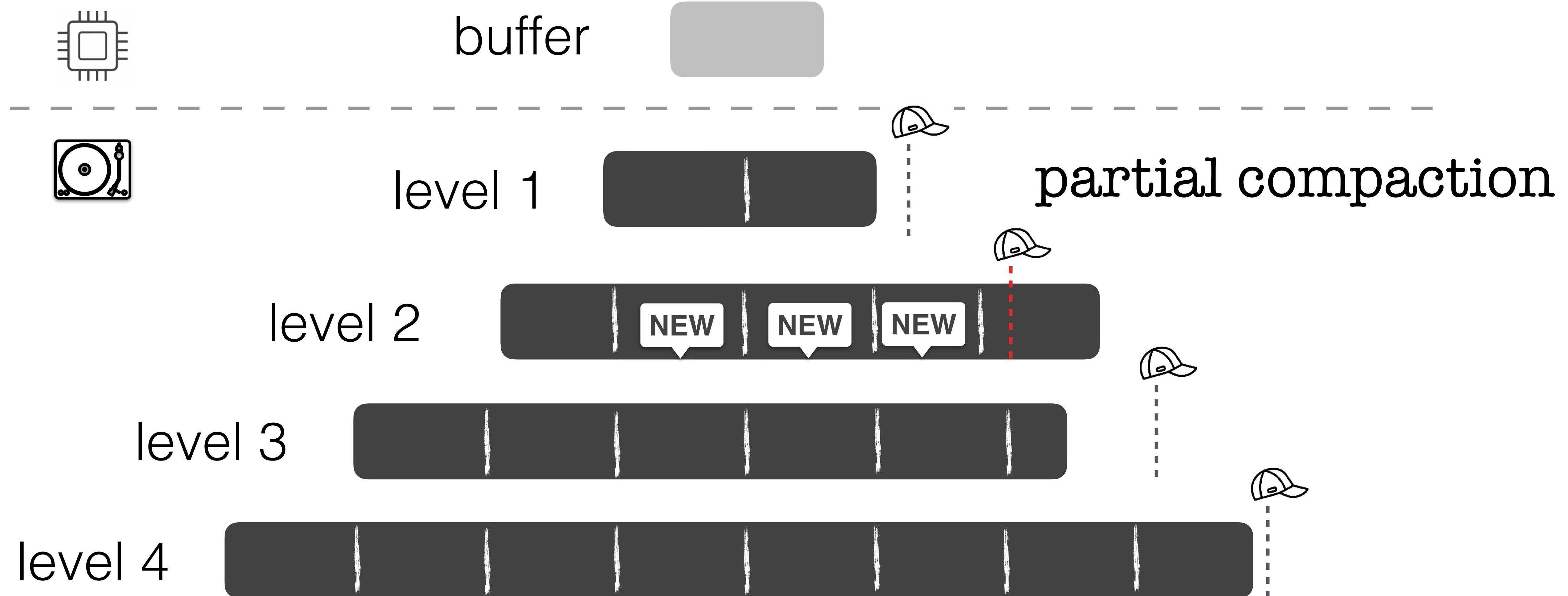
granularity: files

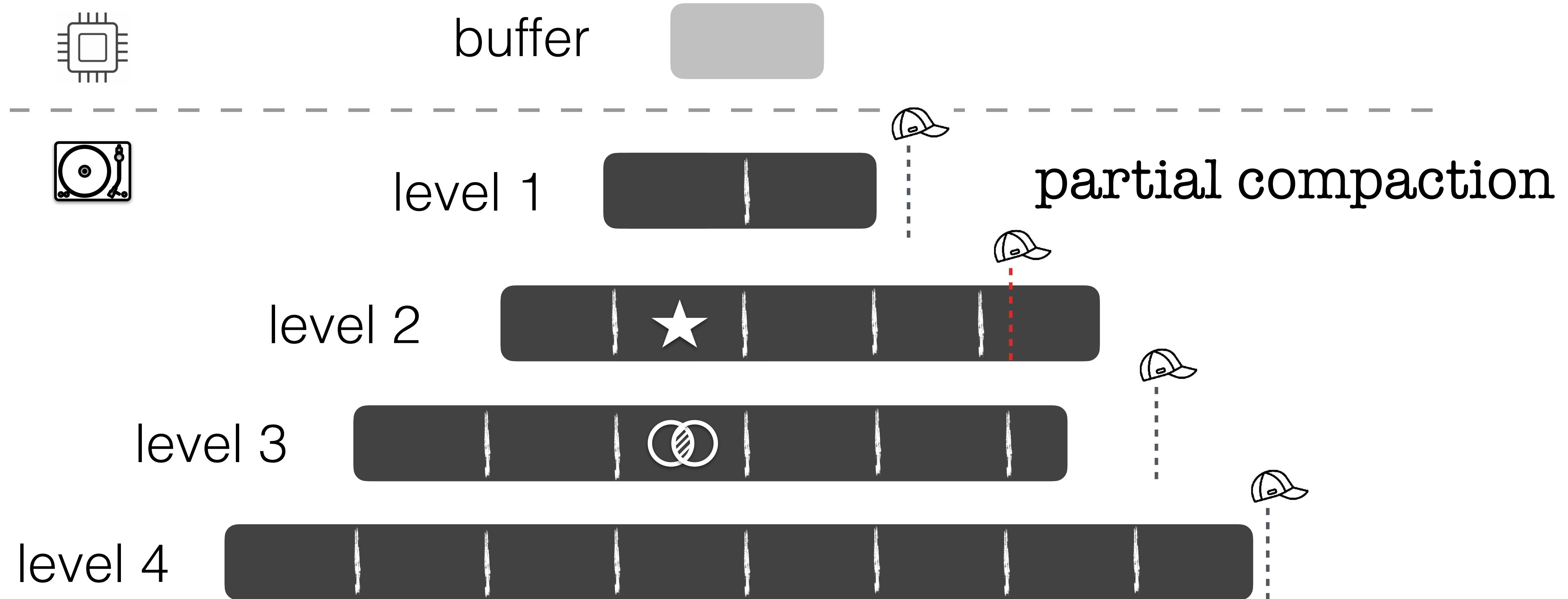


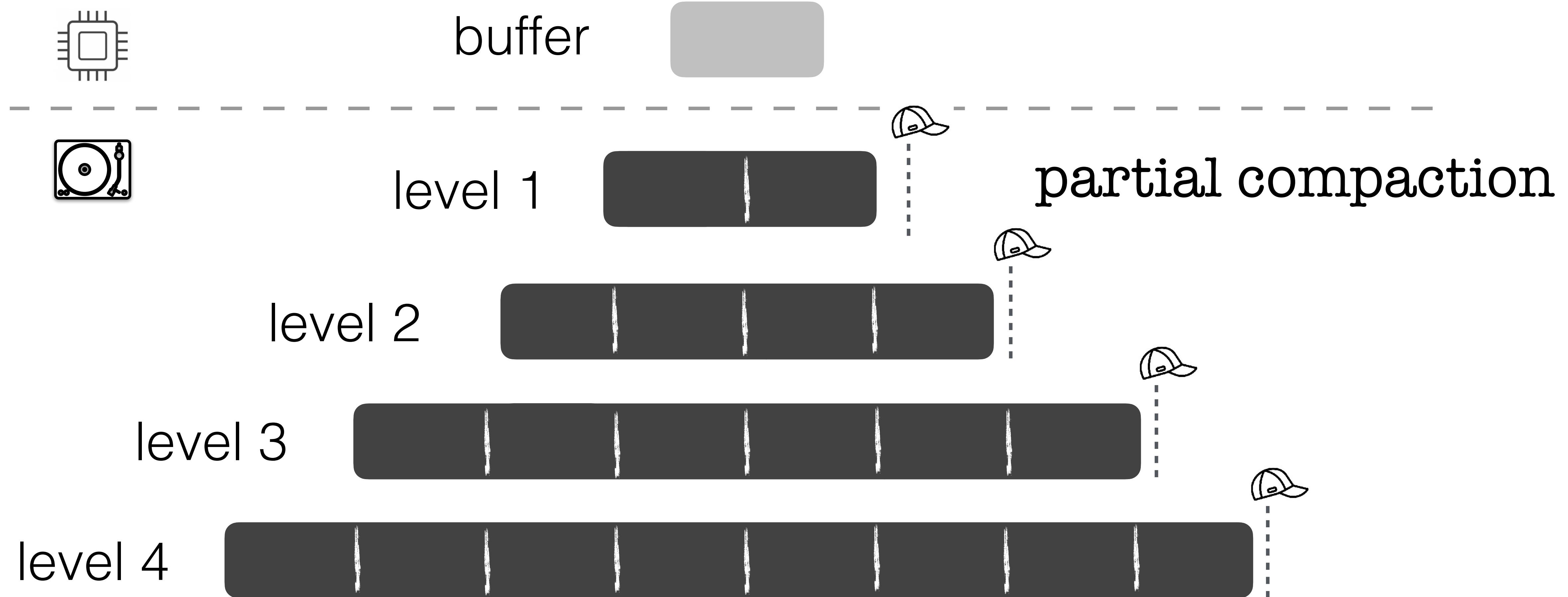






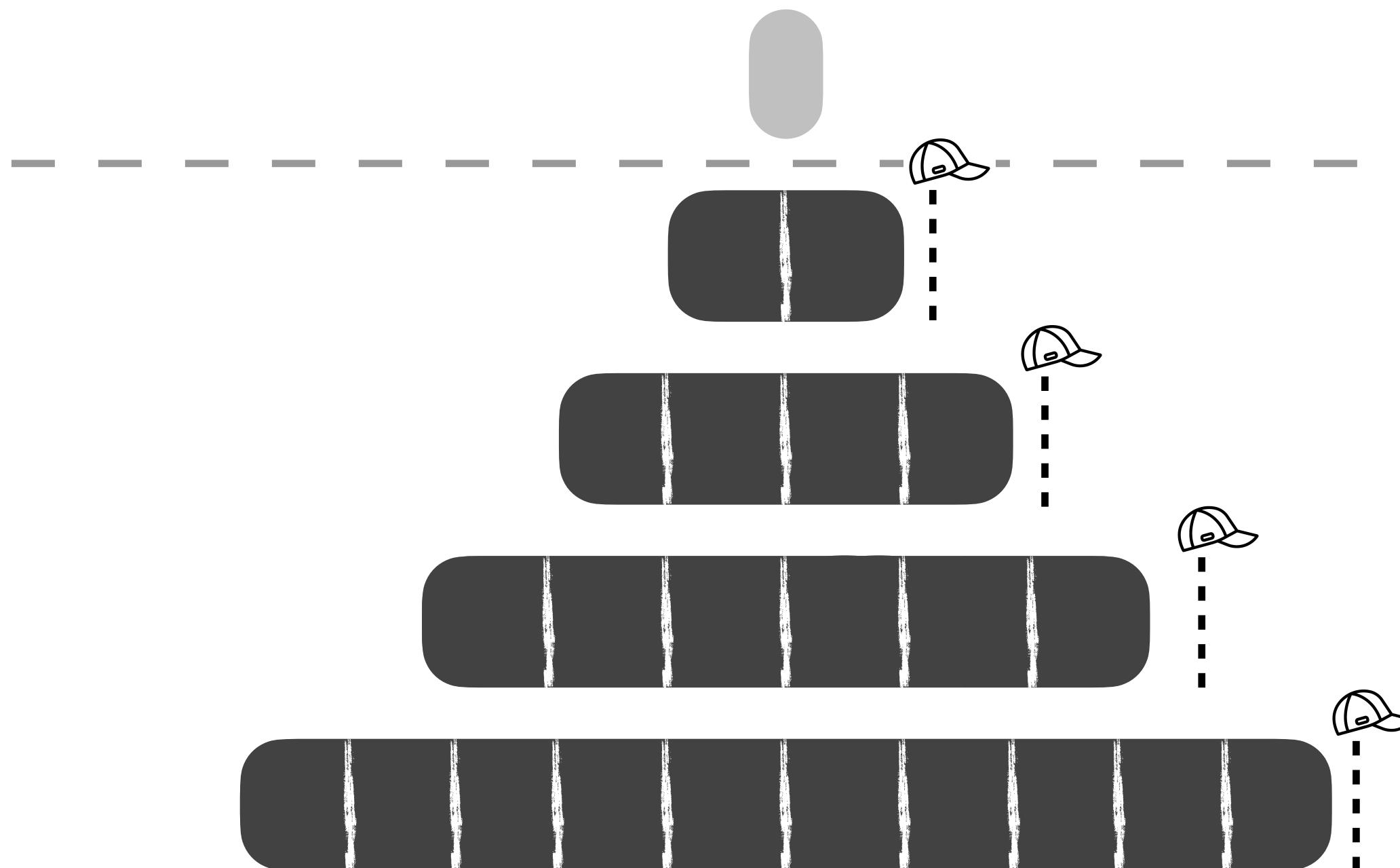






Compaction Granularity

data moved per compaction



partial compaction

- ~same data movement
 - amortized cost for compactions
 - predictable performance

files

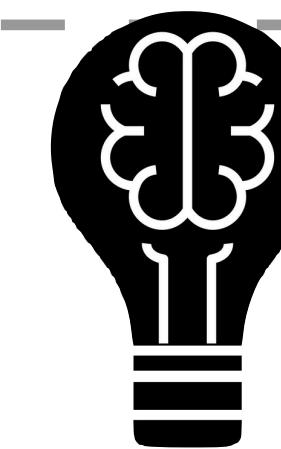
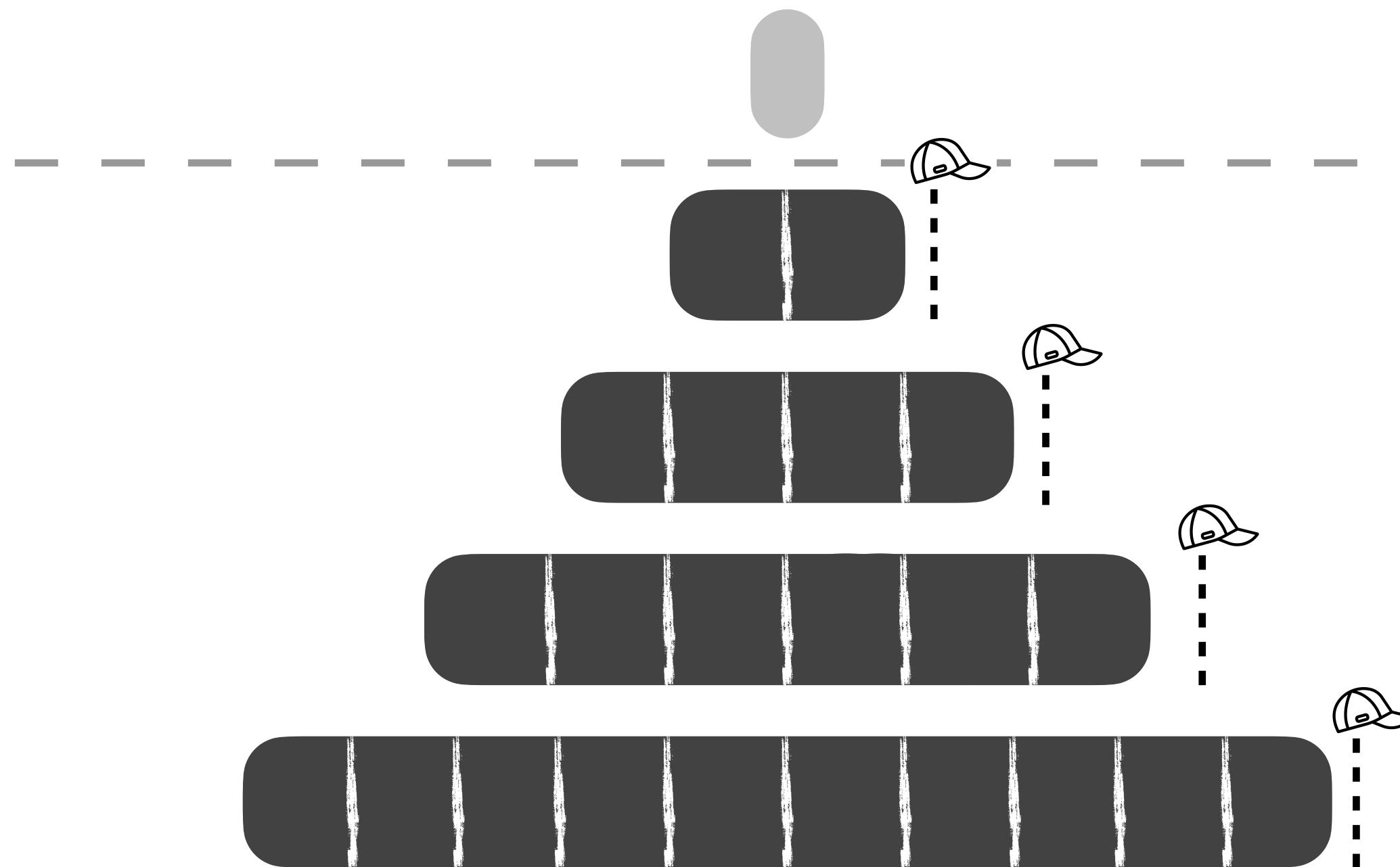


RocksDB

2

Compaction Granularity

data moved per compaction



Thought Experiment 2

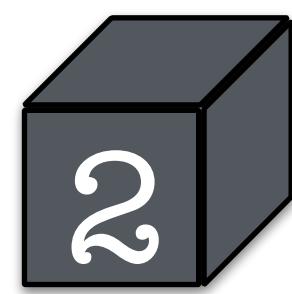
Limitations of **partial compactions**?

More #compactions
triggered

files

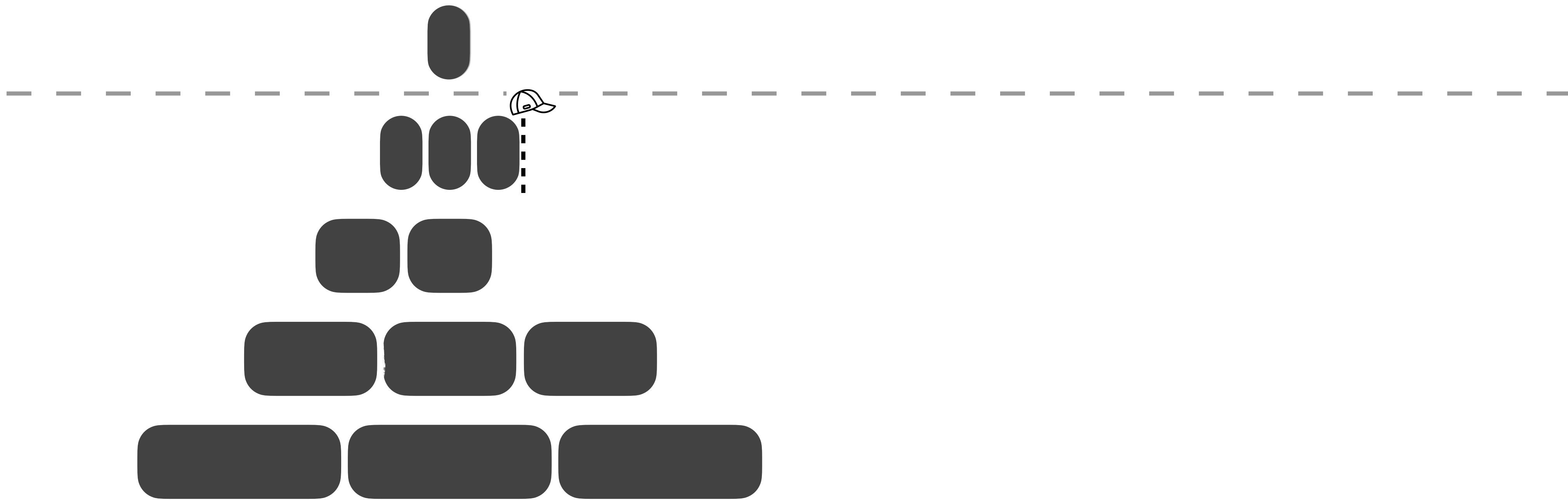


RocksDB

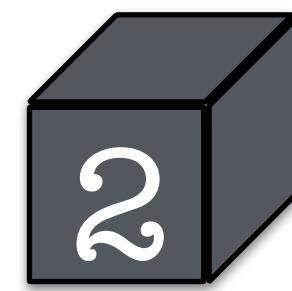


Compaction Granularity

data moved per compaction

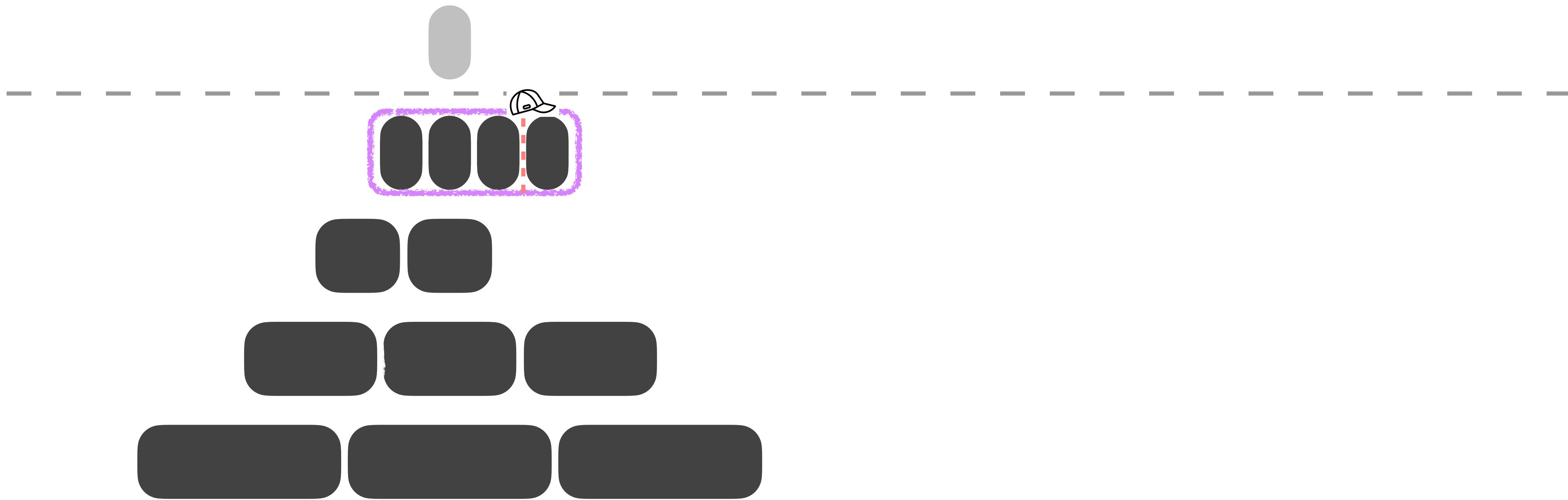


sorted runs in a level



Compaction Granularity

data moved per compaction

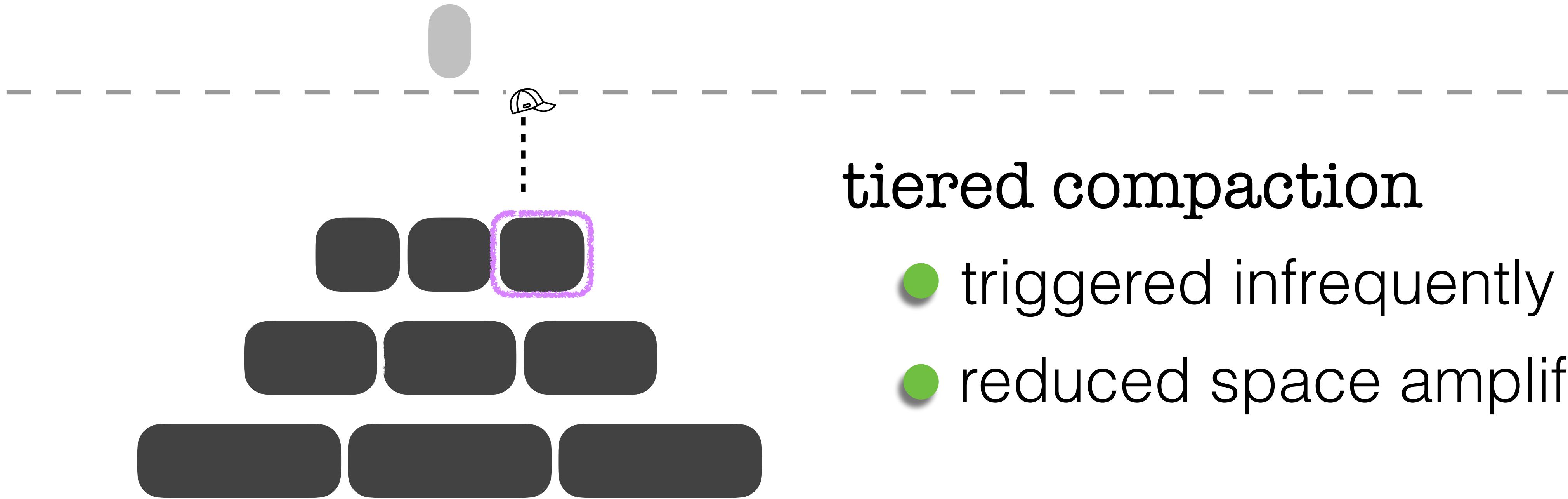


sorted runs in a level

2

Compaction Granularity

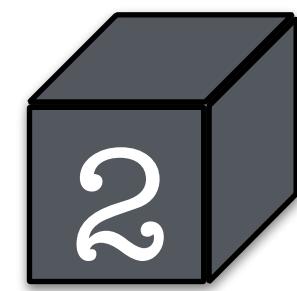
data moved per compaction



tiered compaction

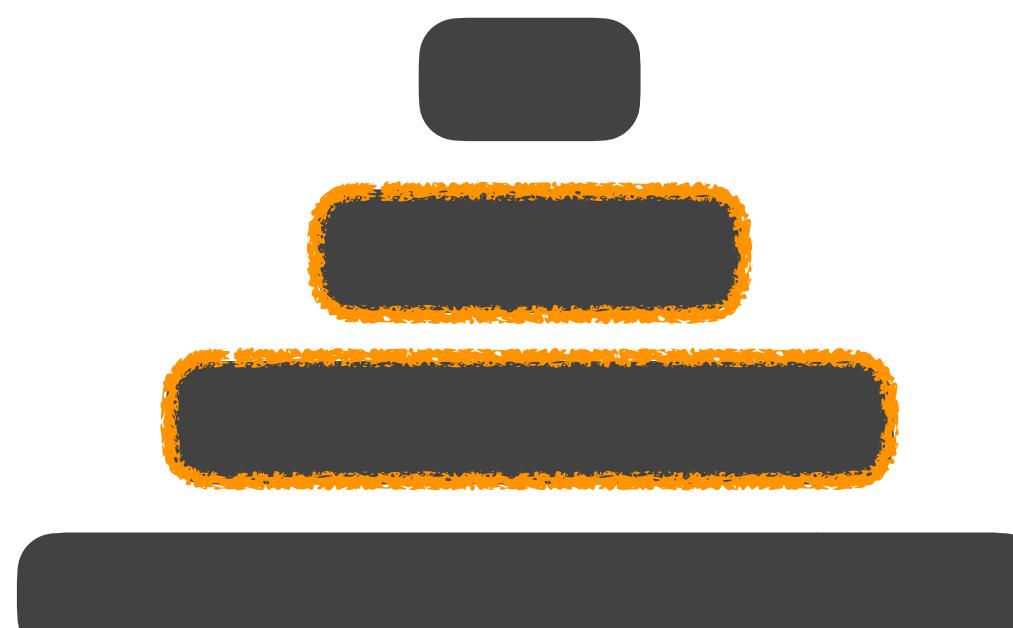
- triggered infrequently
- reduced space amplification

sorted runs in a level

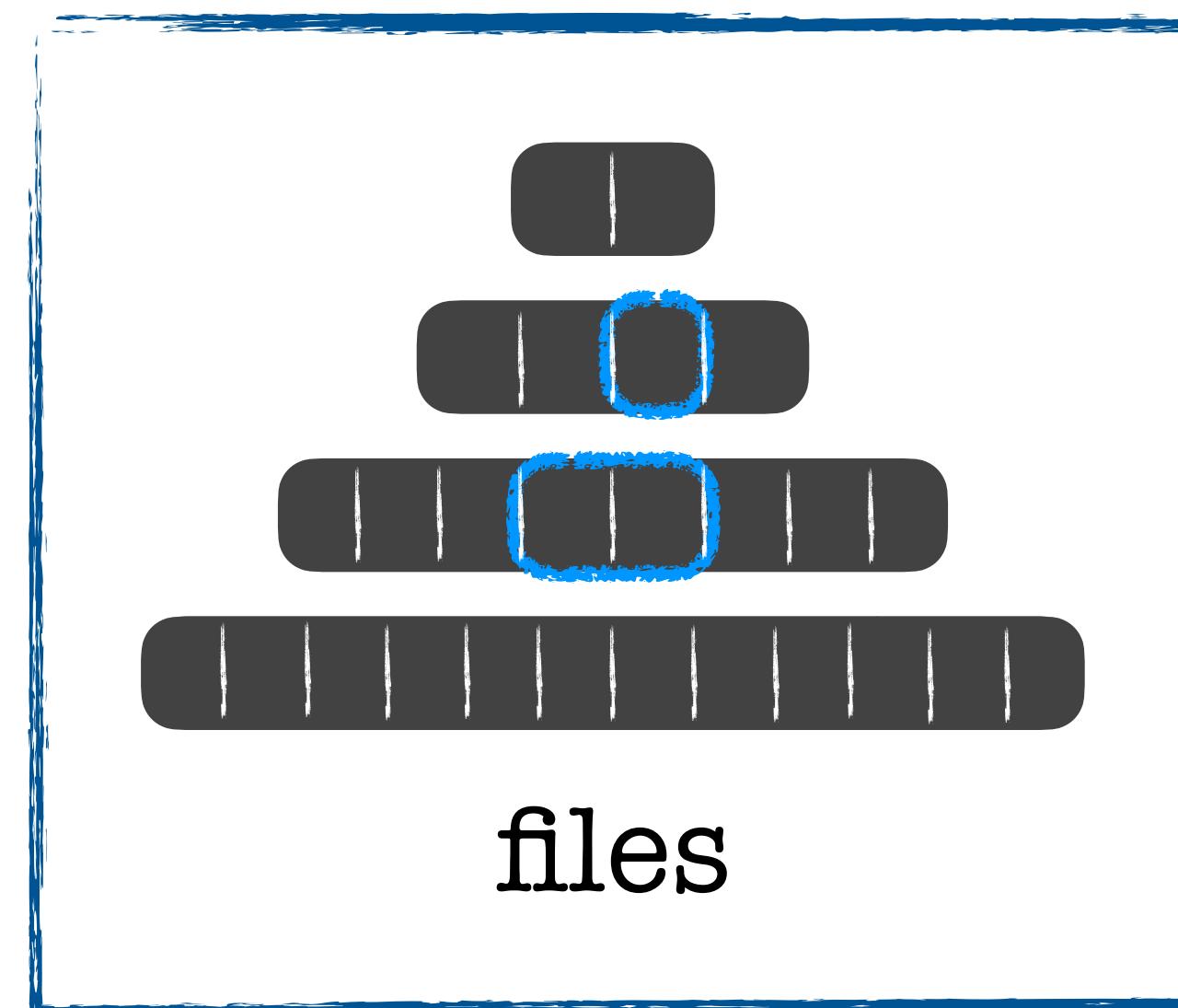


Compaction Granularity

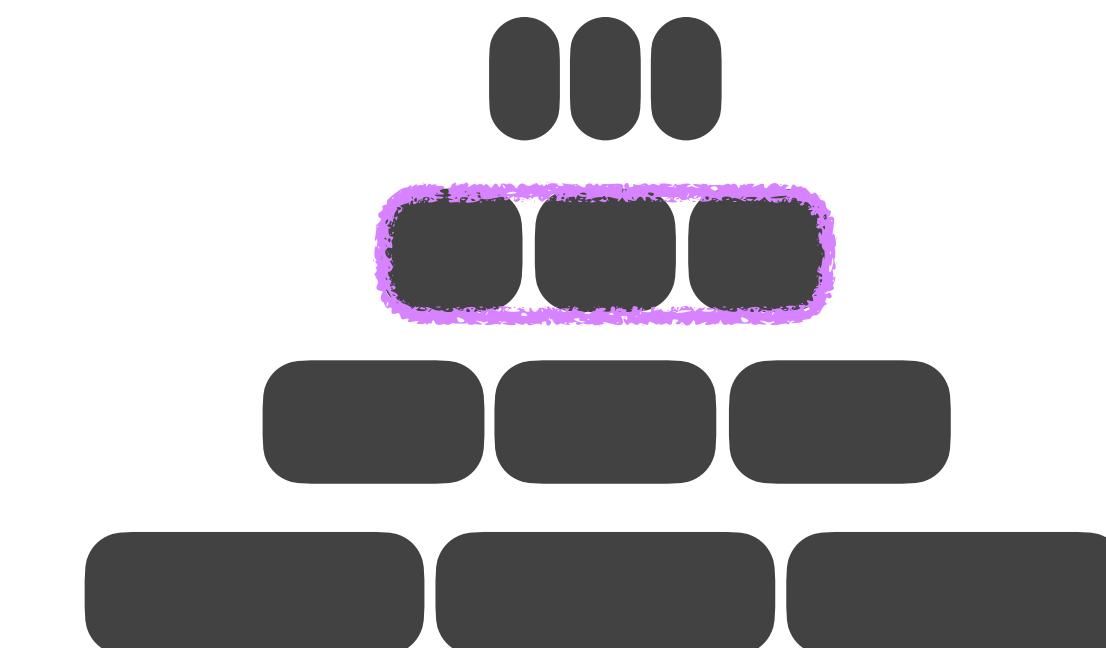
data moved per compaction



levels

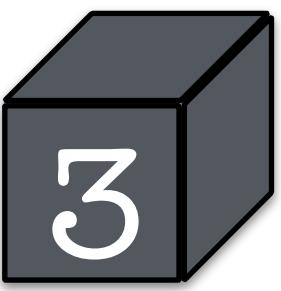


files



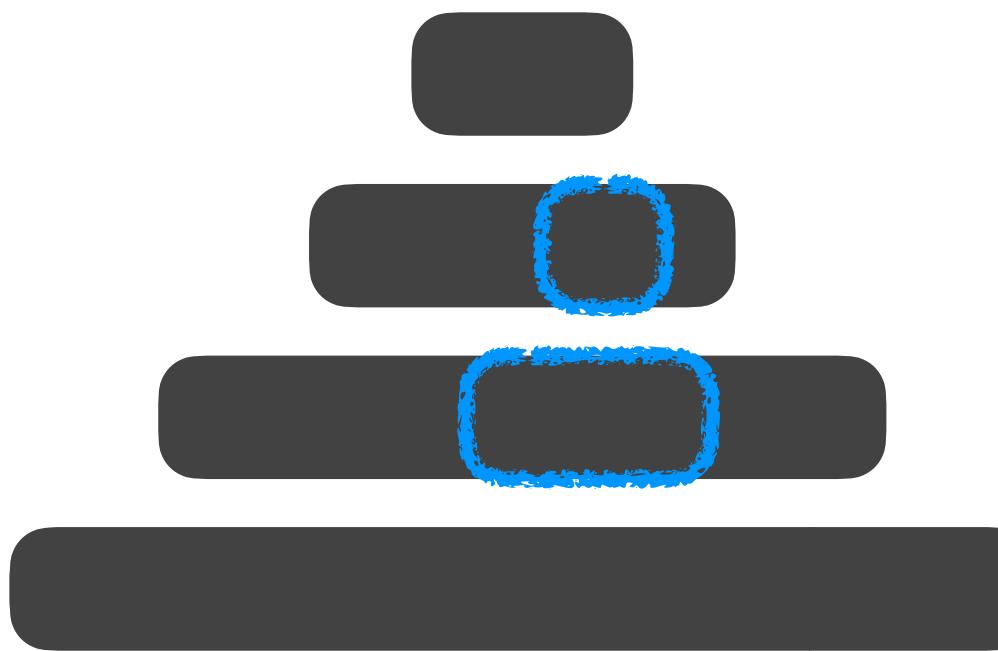
sorted runs in a level



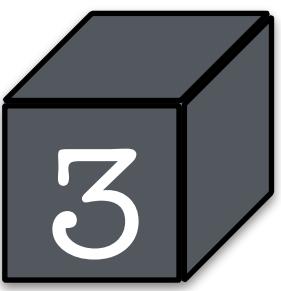


Data Movement Policy

which data to compact

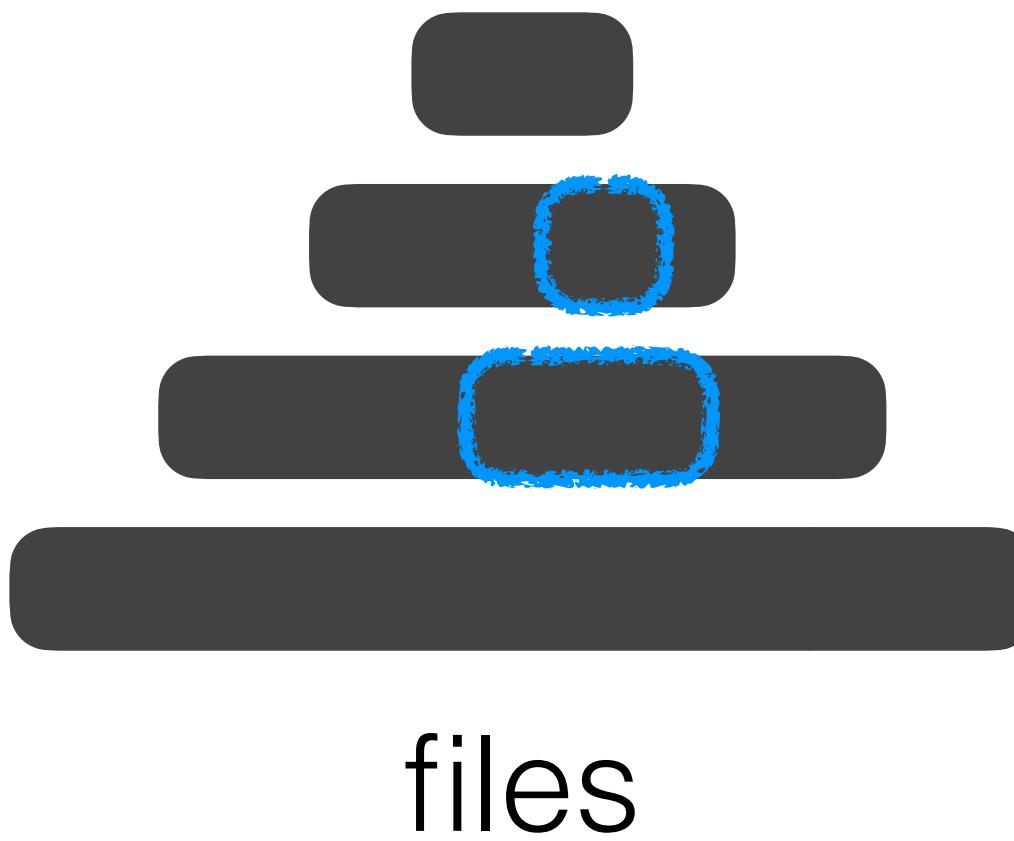


files



Data Movement Policy

which data to compact



round-robin



minimum **overlap with parent level**



file with most **tombstones**



coldest file





Compaction Trigger

invoking the compaction routine

level **saturation**

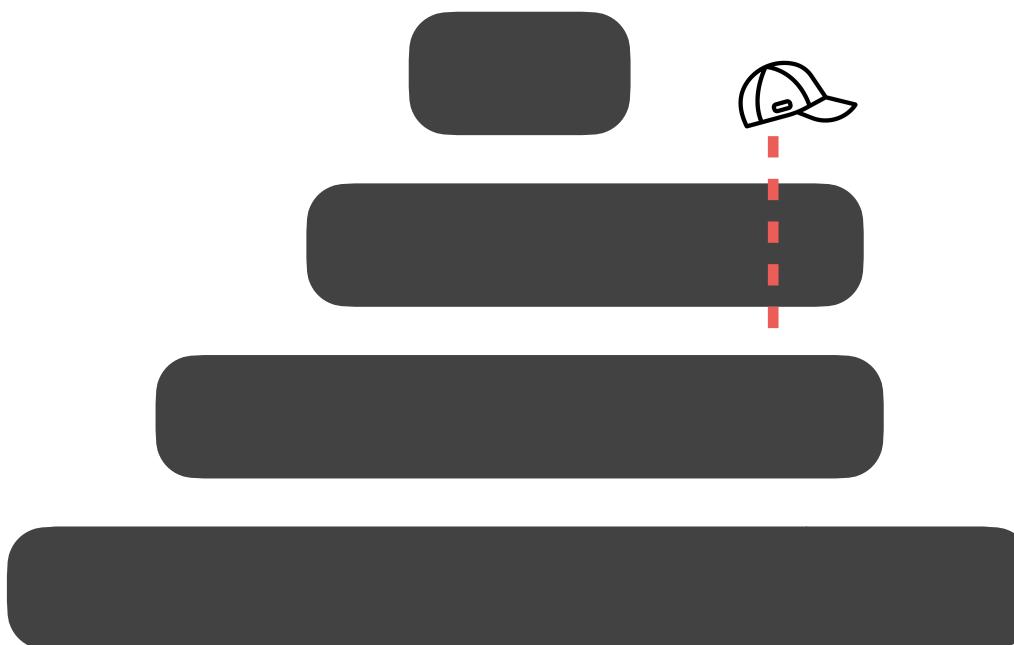




Compaction Trigger

invoking the compaction routine

level **saturation**

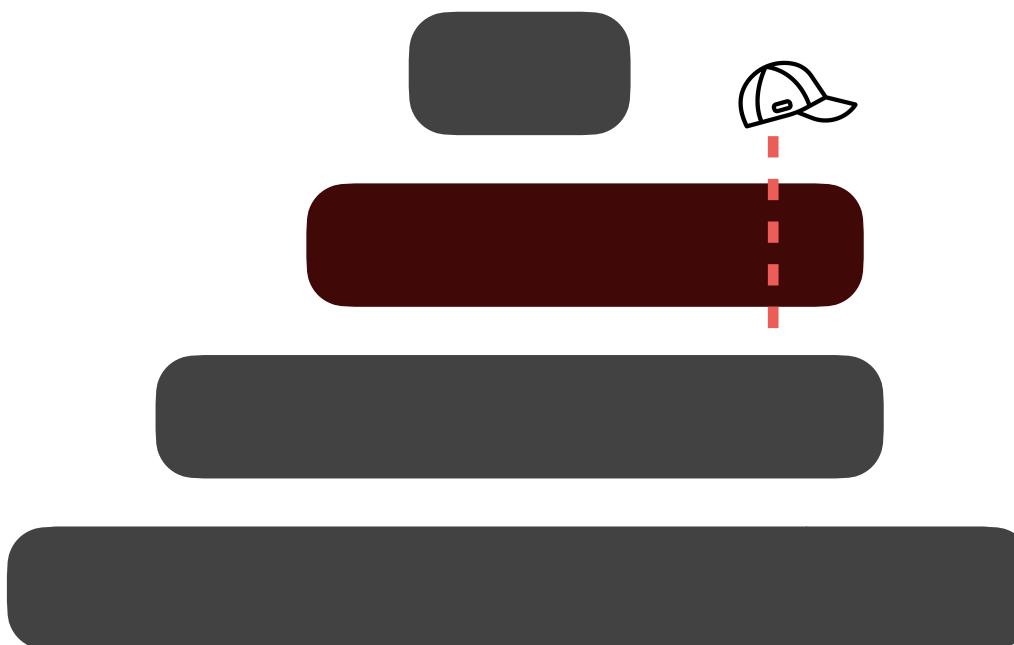




Compaction Trigger

invoking the compaction routine

level **saturation**

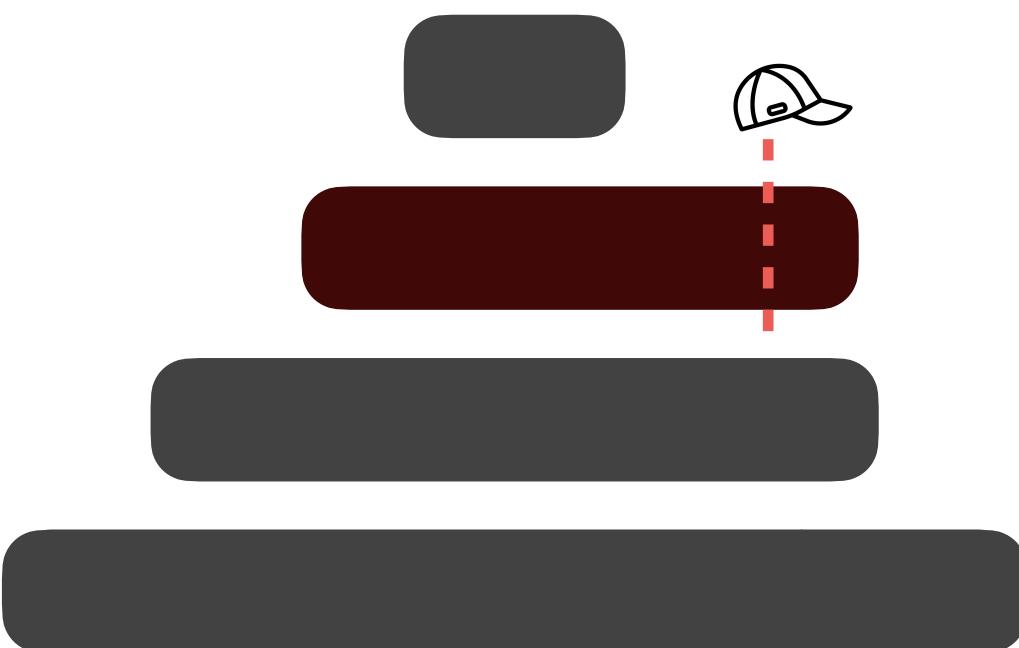




Compaction Trigger

invoking the compaction routine

level **saturation**



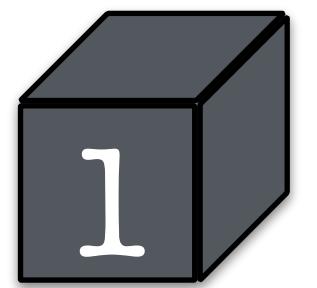
number of **sorted runs**

space amplification

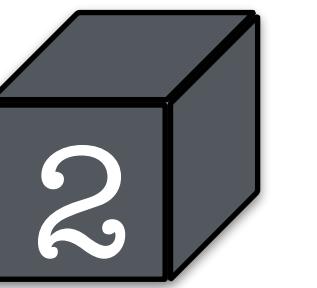
SA

age of a file

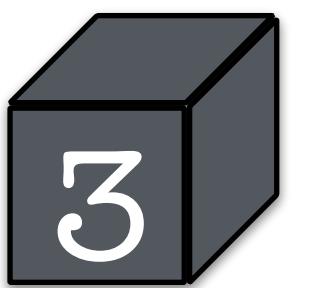
De



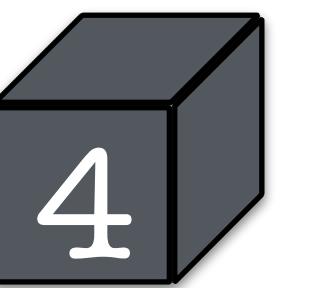
Data Layout



Compaction
Granularity



Data Movement
Policy



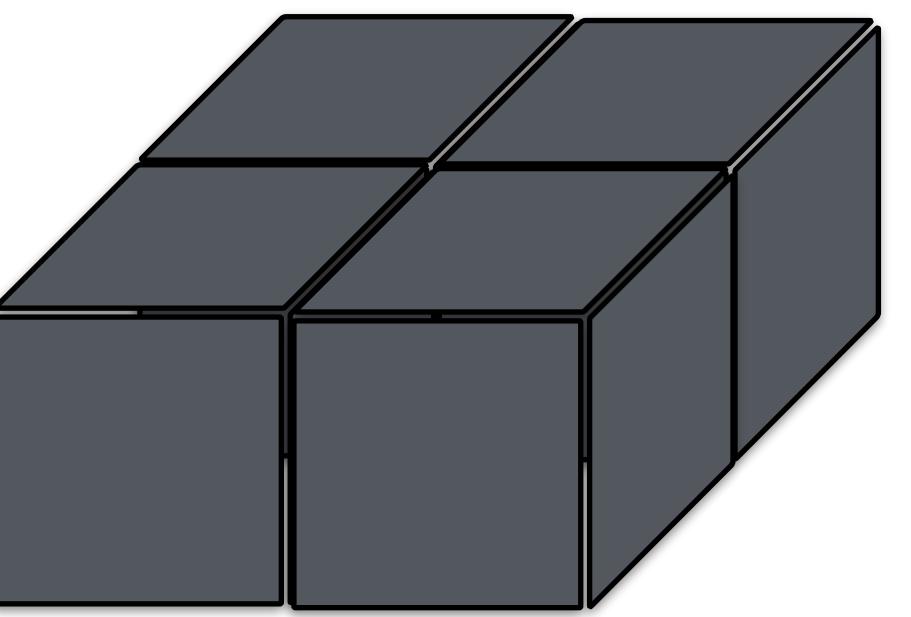
Compaction
Trigger

Data Layout

Compaction
Granularity

Data Movement
Policy

Compaction
Trigger



Any Compaction Algorithm



Database	Data layout	Compaction Trigger				Compaction Granularity		Data Movement Policy									
		Level saturation	#Sorted runs	File staleness	Space amp.	Tombstone-TTL	Level	Sorted run	File (single)	File (multiple)	Round-robin	Least overlap (+1)	Least overlap (+2)	Coldest file	Oldest file	Tombstone density	Expired TS-TTL
RocksDB [30], Monkey [22]	Leveling / 1-Leveling Tiering	✓	✓					✓	✓		✓	✓	✓	✓	✓	✓	✓
LevelDB [32], Monkey (J.) [21]	Leveling	✓						✓			✓	✓	✓				
SlimDB [47]	Tiering	✓						✓	✓							✓	
Dostoevsky [23]	L-leveling	✓ ^L	✓ ^T					✓ ^L	✓ ^T		✓ ^L					✓ ^T	
LSM-Bush [24]	Hybrid leveling	✓ ^L	✓ ^T					✓ ^L	✓ ^T		✓ ^L					✓ ^T	
Lethe [51]	Leveling	✓		✓				✓	✓		✓					✓	
Silk [11], Silk+ [12]	Leveling	✓						✓	✓		✓						
HyperLevelDB [35]	Leveling	✓						✓			✓	✓	✓				
PebblesDB [46]	Hybrid leveling	✓						✓	✓							✓	
Cassandra [8]	Tiering		✓	✓	✓	✓		✓								✓	✓
	Leveling	✓		✓				✓	✓		✓				✓	✓	✓
WiredTiger [62]	Leveling	✓					✓									✓	
X-Engine [34], Leaper [63]	Hybrid leveling	✓						✓	✓		✓				✓	✓	
HBase [7]	Tiering		✓					✓								✓	
AsterixDB [3]	Leveling	✓					✓									✓	
	Tiering	✓					✓									✓	



Database	Data layout	Compaction Trigger				Compaction Granularity		Data Movement Policy								
		Level saturation	#Sorted runs	File staleness	Space amp.	Tombstone-TTL	Level	Sorted run	File (single)	File (multiple)	Round-robin	Least overlap (+1)	Least overlap (+2)	Coldest file	Oldest file	Tombstone density
RocksDB [30], Monkey [22]	Leveling / 1-Leveling	✓	✓					✓	✓		✓	✓	✓	✓	✓	
	Tiering		✓	✓	✓		✓								✓	
LevelDB [32], Monkey (J.) [21]	Leveling	✓						✓			✓	✓	✓			
SlimDB [47]	Tiering	✓						✓	✓						✓	
Dostoevsky [23]	L-leveling	✓ ^L	✓ ^T				✓ ^L	✓ ^T			✓ ^L				✓ ^T	
LSM-Bush [24]	Hybrid leveling	✓ ^L	✓ ^T				✓ ^L	✓ ^T			✓ ^L				✓ ^T	
Lethe [51]	Leveling	✓		✓				✓	✓		✓				✓	
Silk [11], Silk+ [12]	Leveling	✓						✓	✓		✓					
HyperLevelDB [35]	Leveling	✓						✓			✓	✓	✓			
PebblesDB [46]	Hybrid leveling	✓						✓	✓						✓	
Cassandra [8]	Tiering		✓	✓	✓		✓								✓	
	Leveling	✓		✓				✓	✓		✓				✓	✓
WiredTiger [62]	Leveling	✓				✓										✓
X-Engine [34], Leaper [63]	Hybrid leveling	✓						✓	✓		✓				✓	
HBase [7]	Tiering		✓				✓								✓	
AsterixDB [3]	Leveling	✓				✓									✓	
	Tiering	✓				✓									✓	

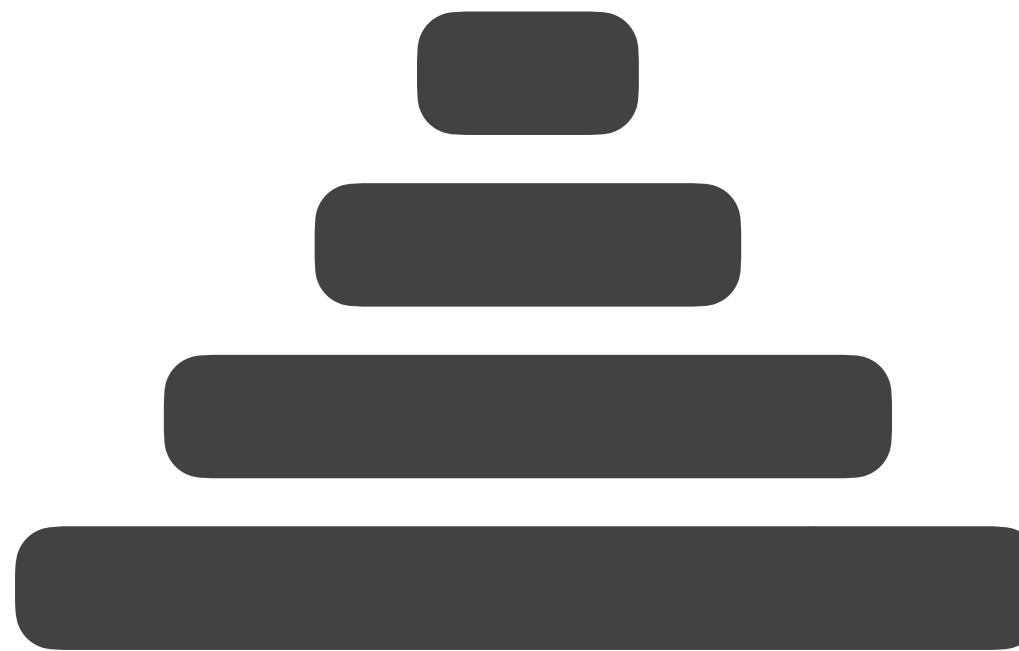


Database	Data layout	Compaction Trigger		Compaction Granularity		Data Movement Policy	
		Level saturation	#Sorted runs	File staleness	Tombstone-TTL	Sorted run	File (single)
RocksDB [30], Monkey [22]	Leveling / 1-Leveling	✓	✓			✓	✓
	Tiering	✓	✓	✓	✓		✓
LevelDB [32], Monkey (J.) [21]	Leveling	✓			✓	✓	✓
SlimDB [47]	Tiering	✓			✓	✓	✓
Dostoevsky [23]	<i>L</i> -leveling	✓ ^L	✓ ^T		✓ ^L	✓ ^T	✓ ^L
LSM-Bush [24]	Hybrid leveling	✓ ^L	✓ ^T		✓ ^L	✓ ^T	✓ ^T
Lethe [51]	Leveling	✓		✓		✓	✓
Silk [11], Silk+ [12]	Leveling	✓			✓	✓	✓
HyperLevelDB [35]	Leveling	✓			✓	✓	✓
PebblesDB [46]	Hybrid leveling	✓			✓	✓	✓
Cassandra [8]	Tiering		✓	✓	✓		✓
	Leveling	✓		✓		✓	✓
WiredTiger [62]	Leveling	✓		✓			✓
X-Engine [34], Leaper [63]	Hybrid leveling	✓			✓	✓	✓
HBase [7]	Tiering		✓		✓		✓
AsterixDB [3]	Leveling	✓		✓			✓
	Tiering	✓		✓			✓

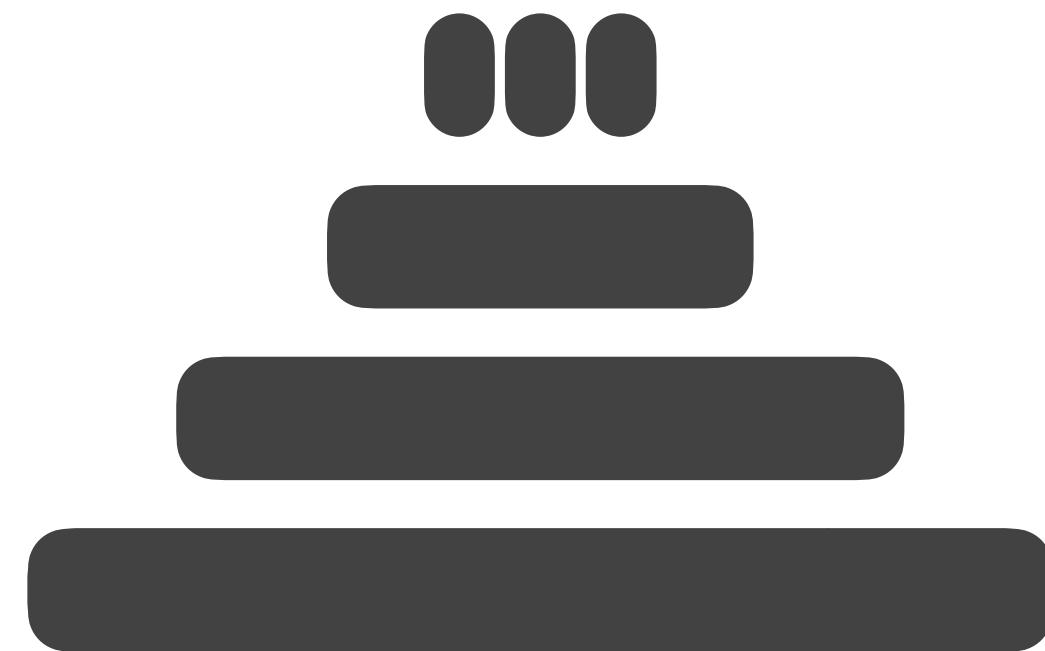


Storage Layer Design Continuum

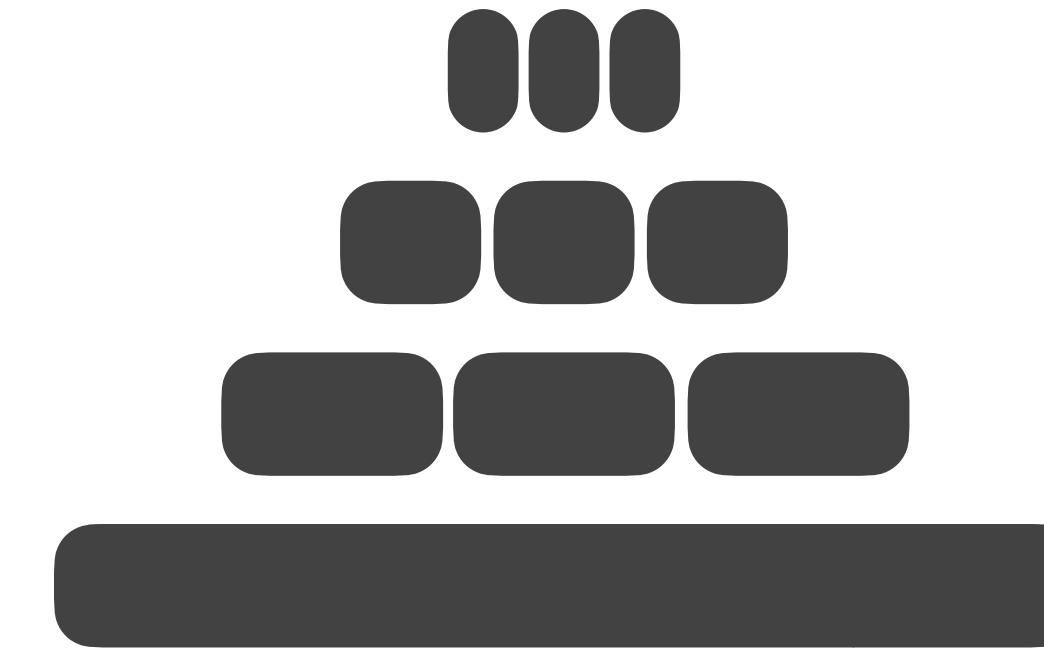
leveling



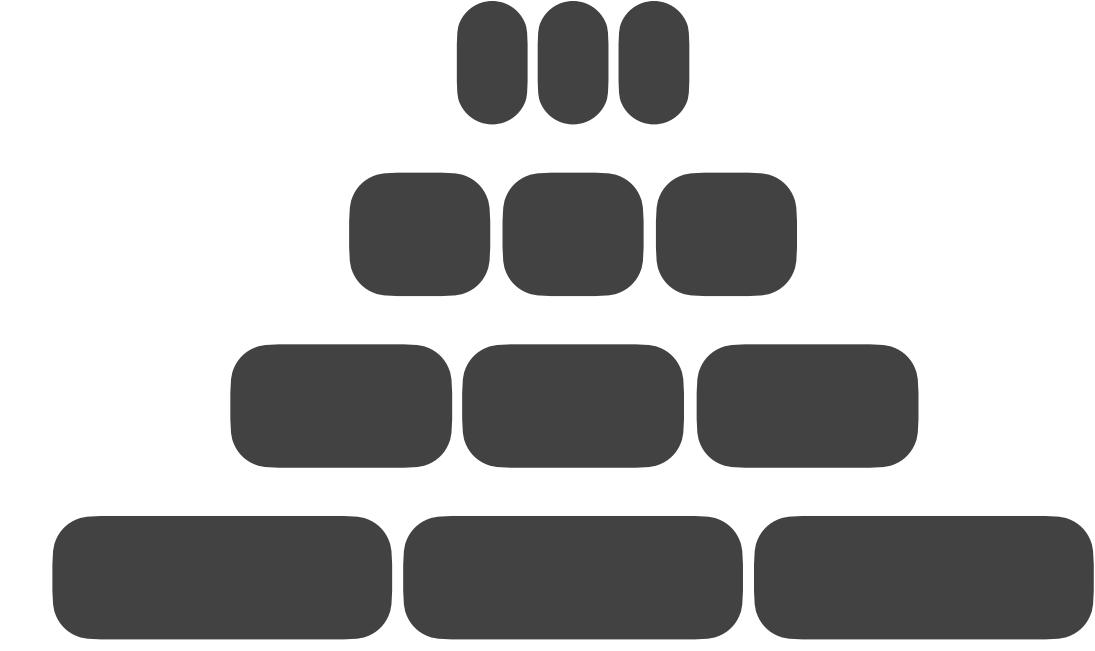
1-leveling



L-leveling

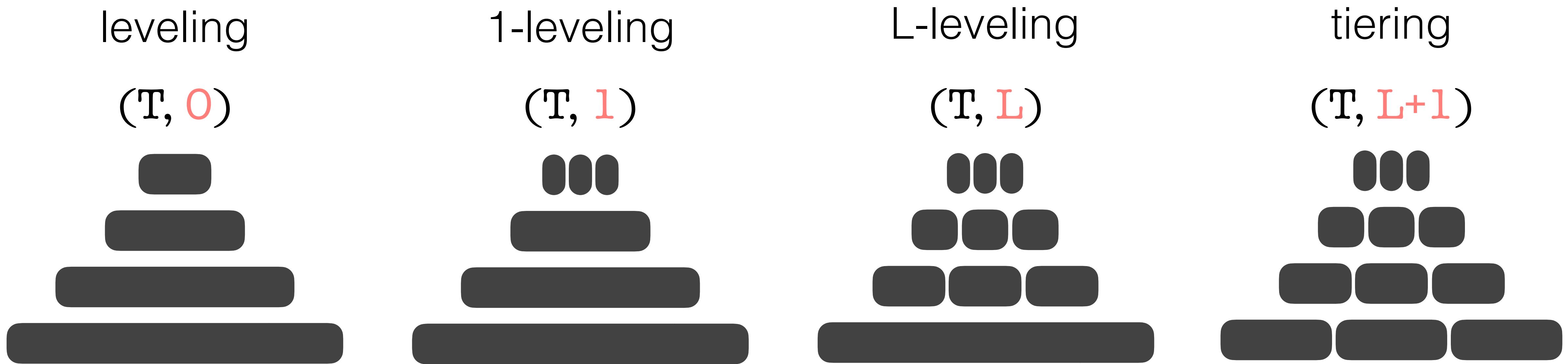


tiering



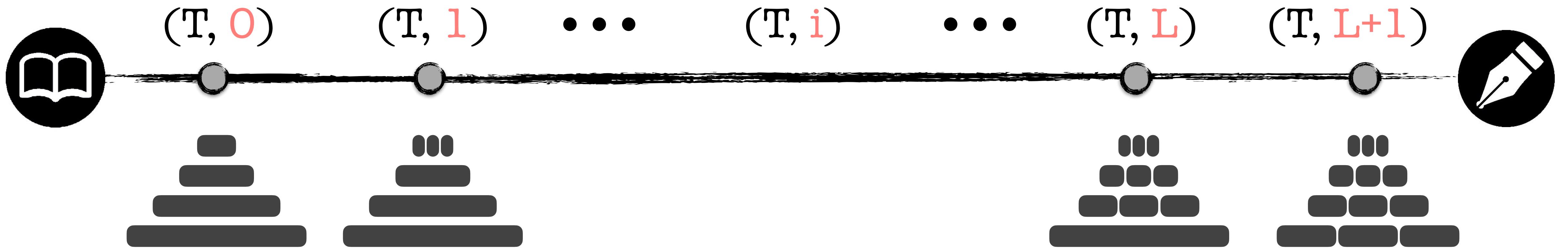
Any design can be defined by the tuple-set: (T, i)

Storage Layer Design Continuum

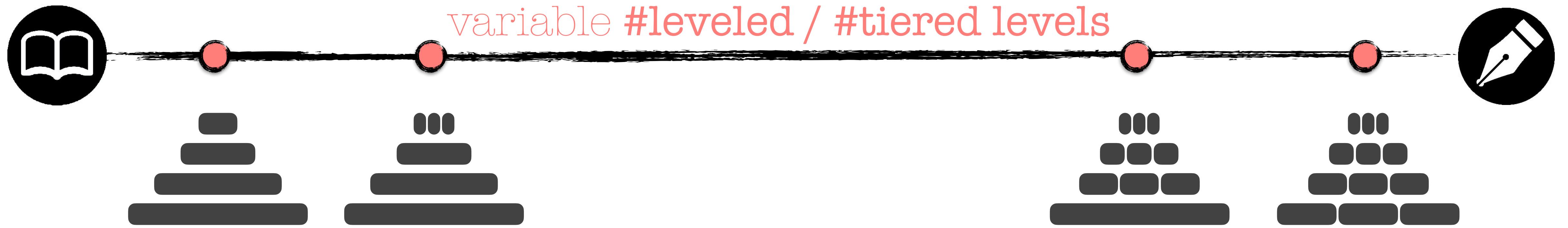


Any design can be defined by the tuple-set: (T, i)

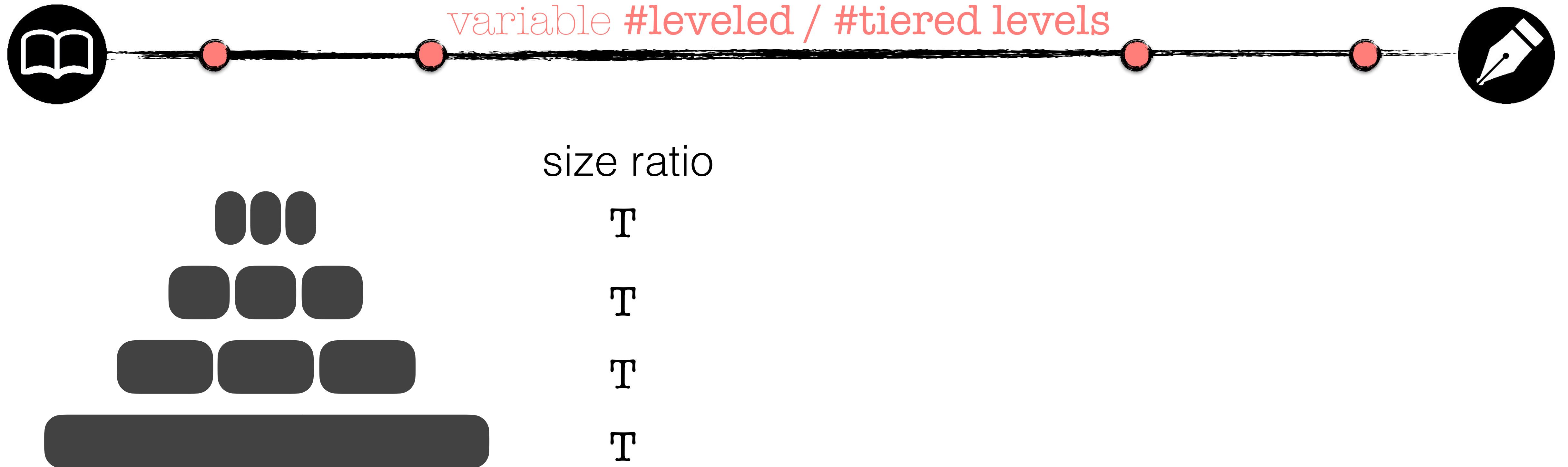
Storage Layer Design Continuum



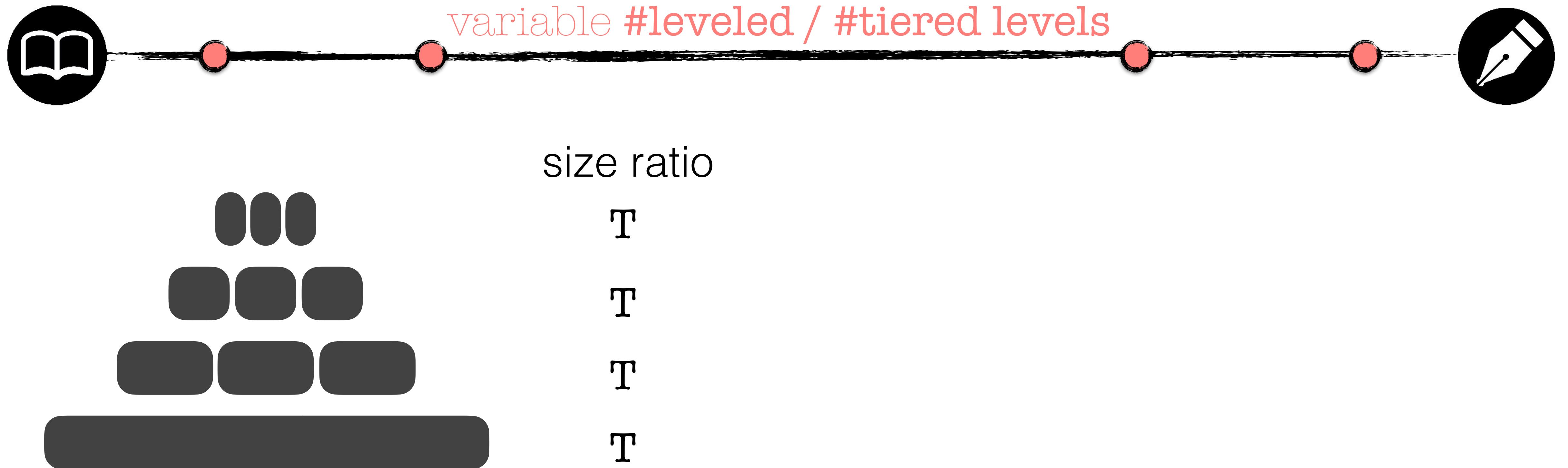
Storage Layer Design Continuum



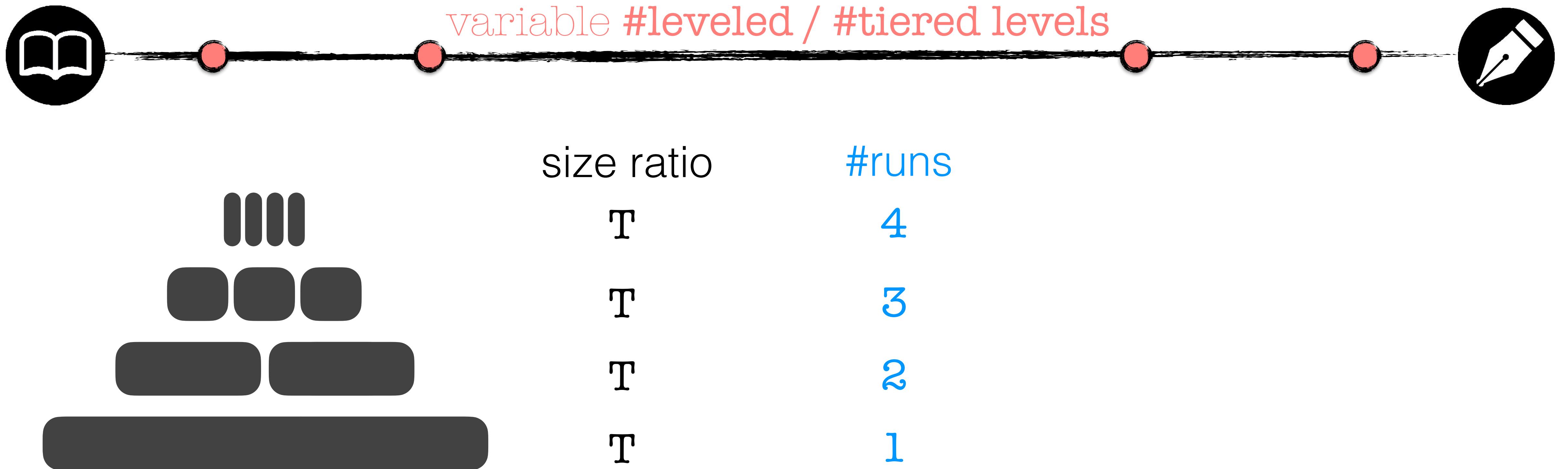
Storage Layer Design Continuum



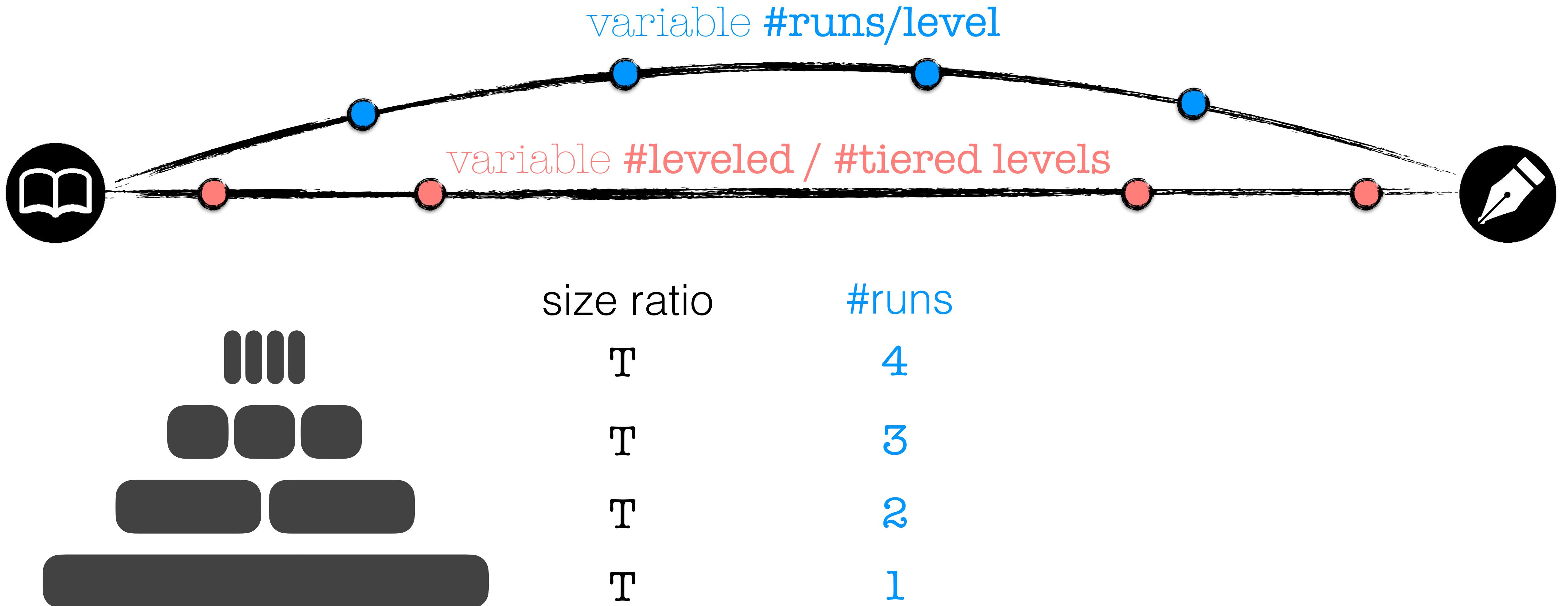
Storage Layer Design Continuum



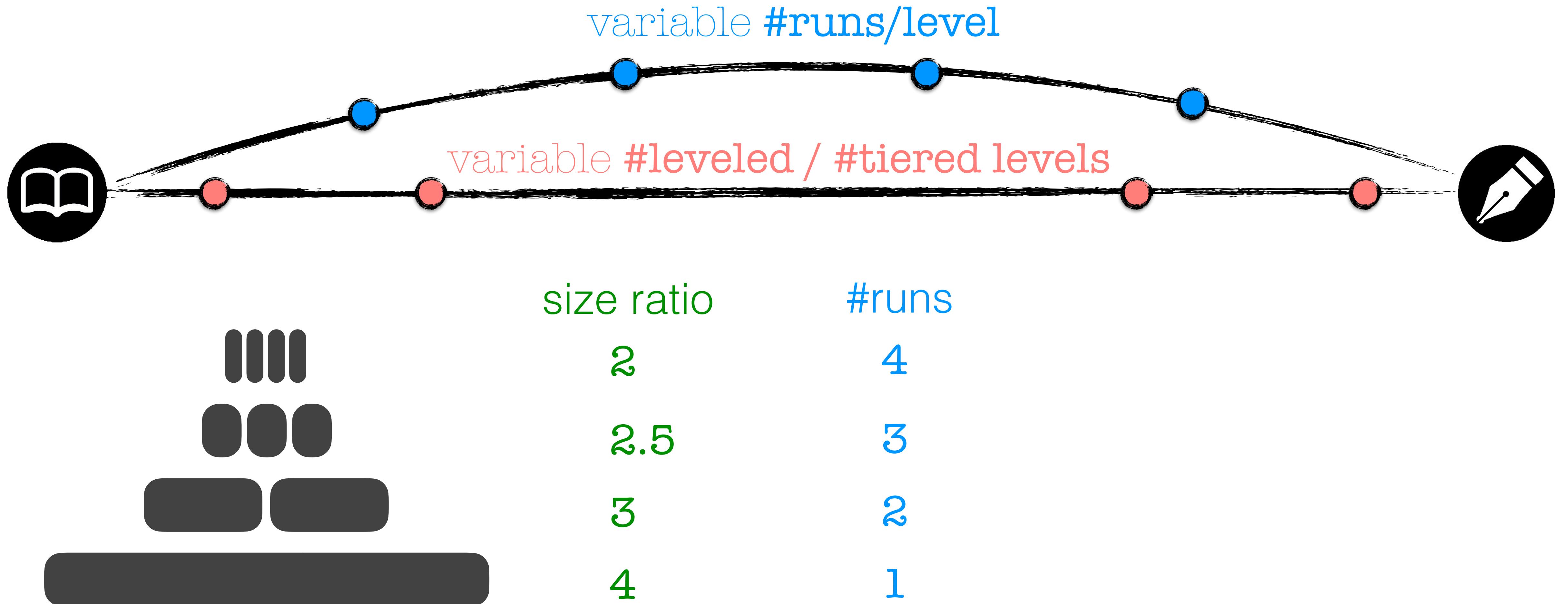
Storage Layer Design Continuum



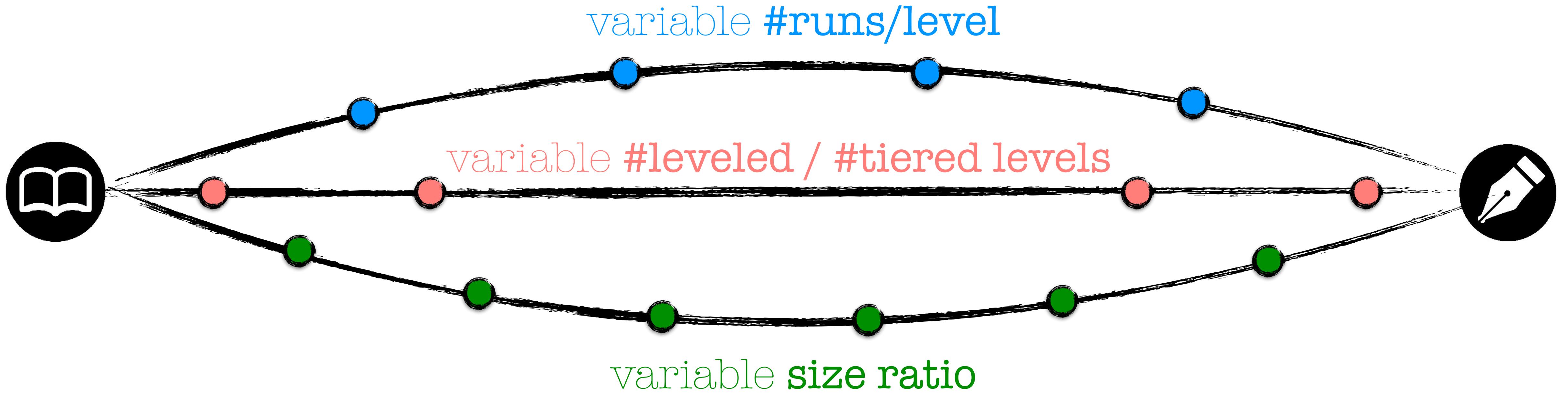
Storage Layer Design Continuum



Storage Layer Design Continuum



Storage Layer Design Continuum



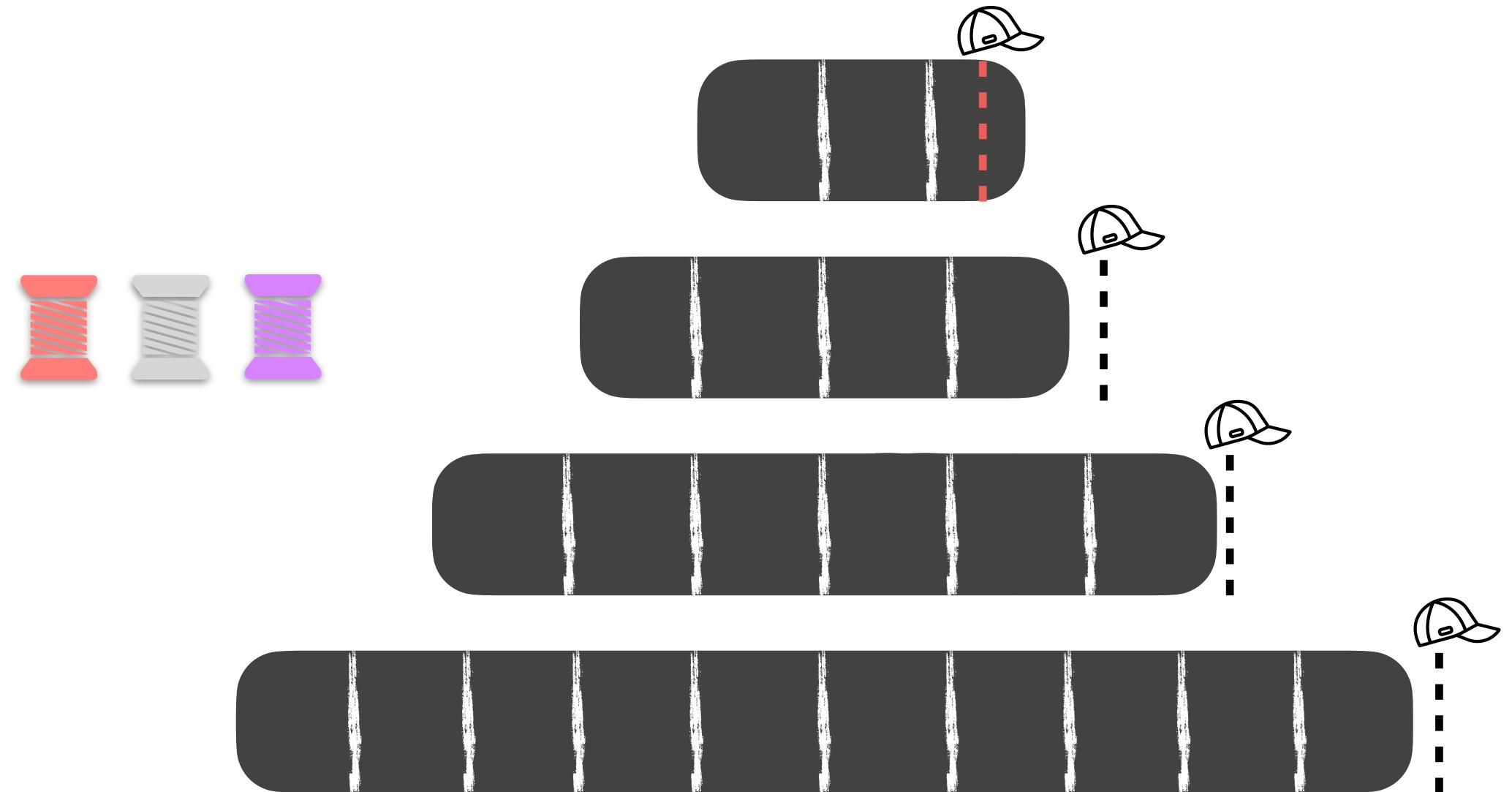
The LSM storage layer
design continuum

Optimizing Compactions

Background
Compactions

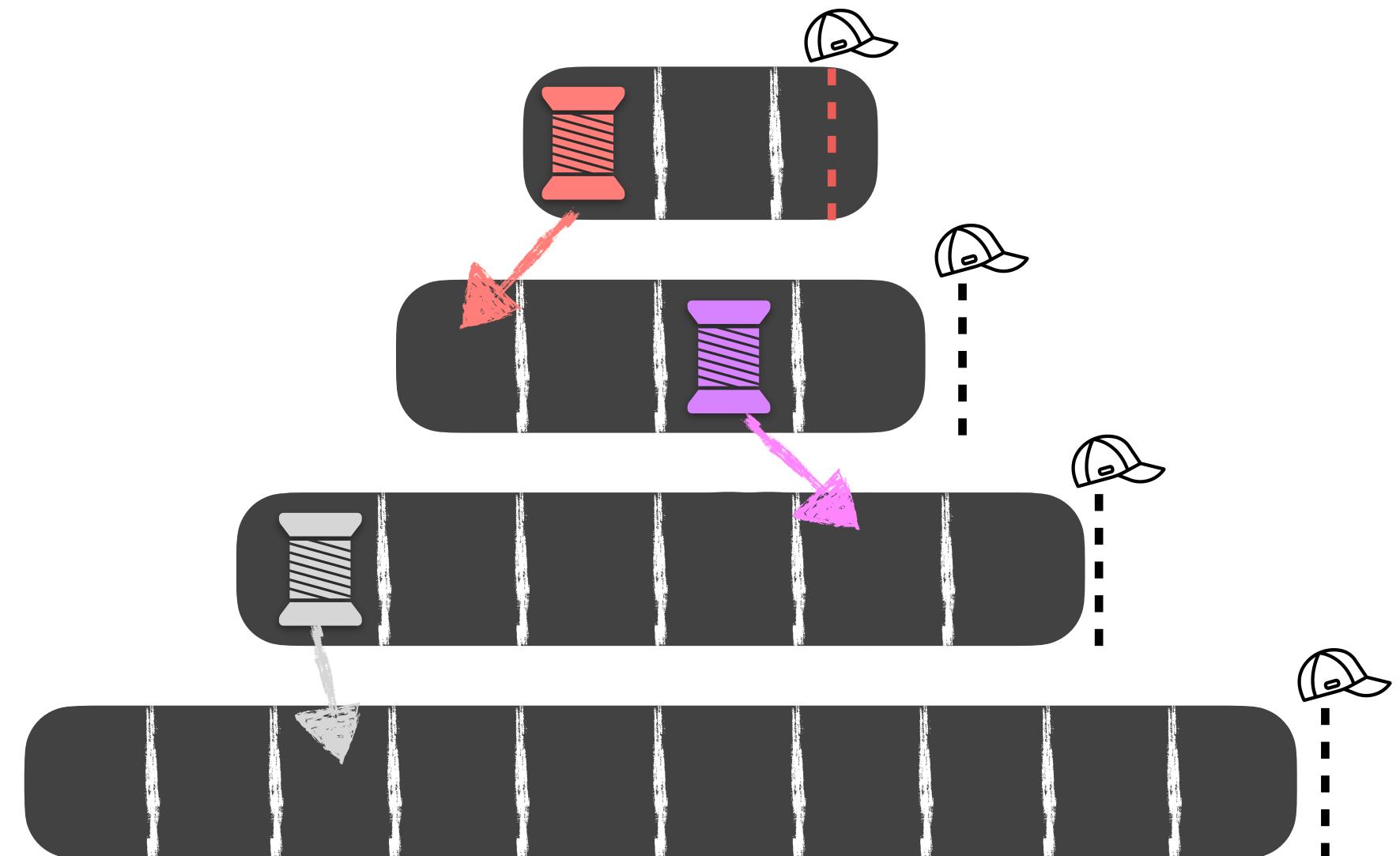
Optimizing Compactions

Background
Compactions



Optimizing Compactions

Background Compactions



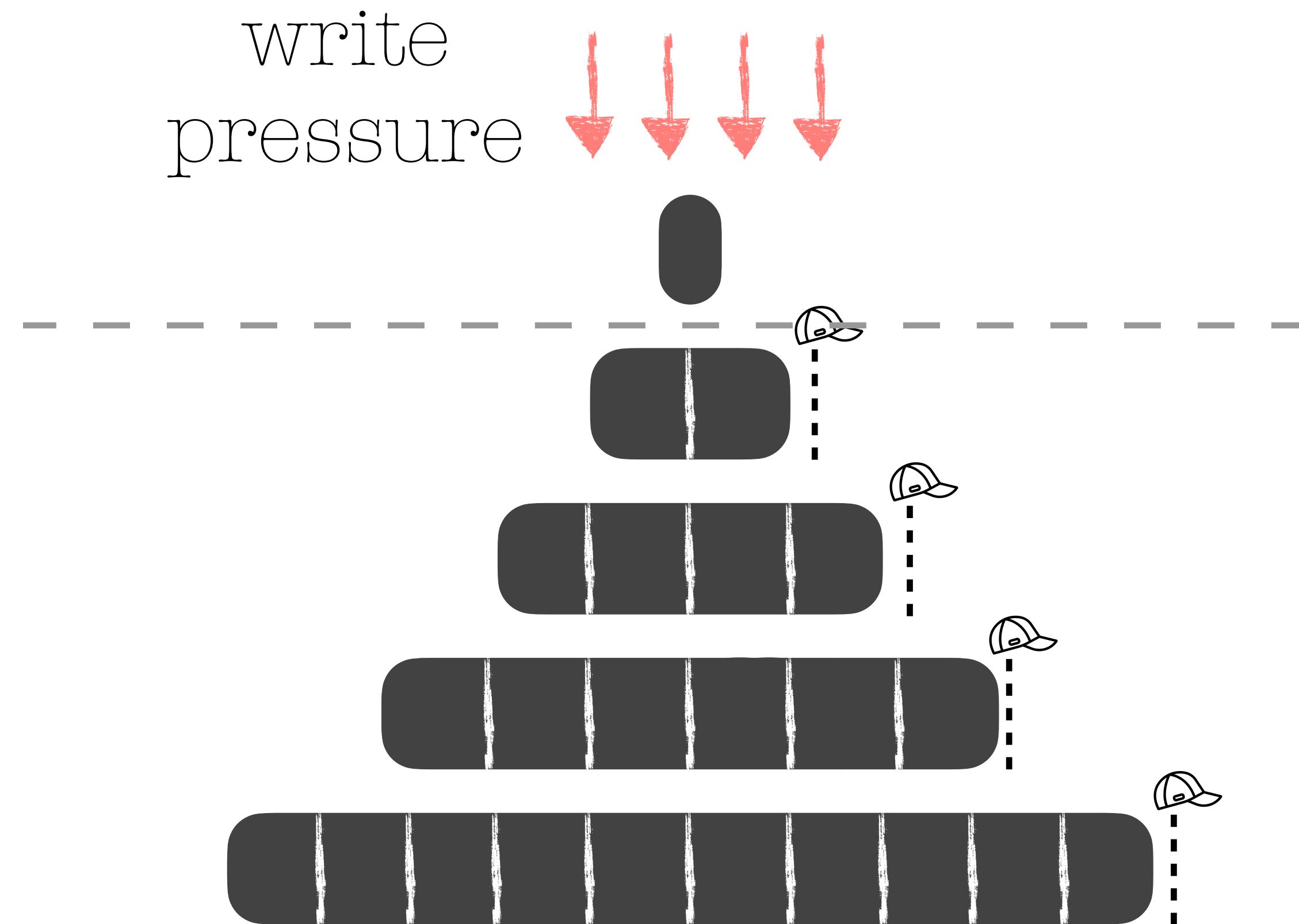
- non-blocking reads/writes
- improves write throughput

Optimizing Compactions

Background
Compactions

Compaction
Priority

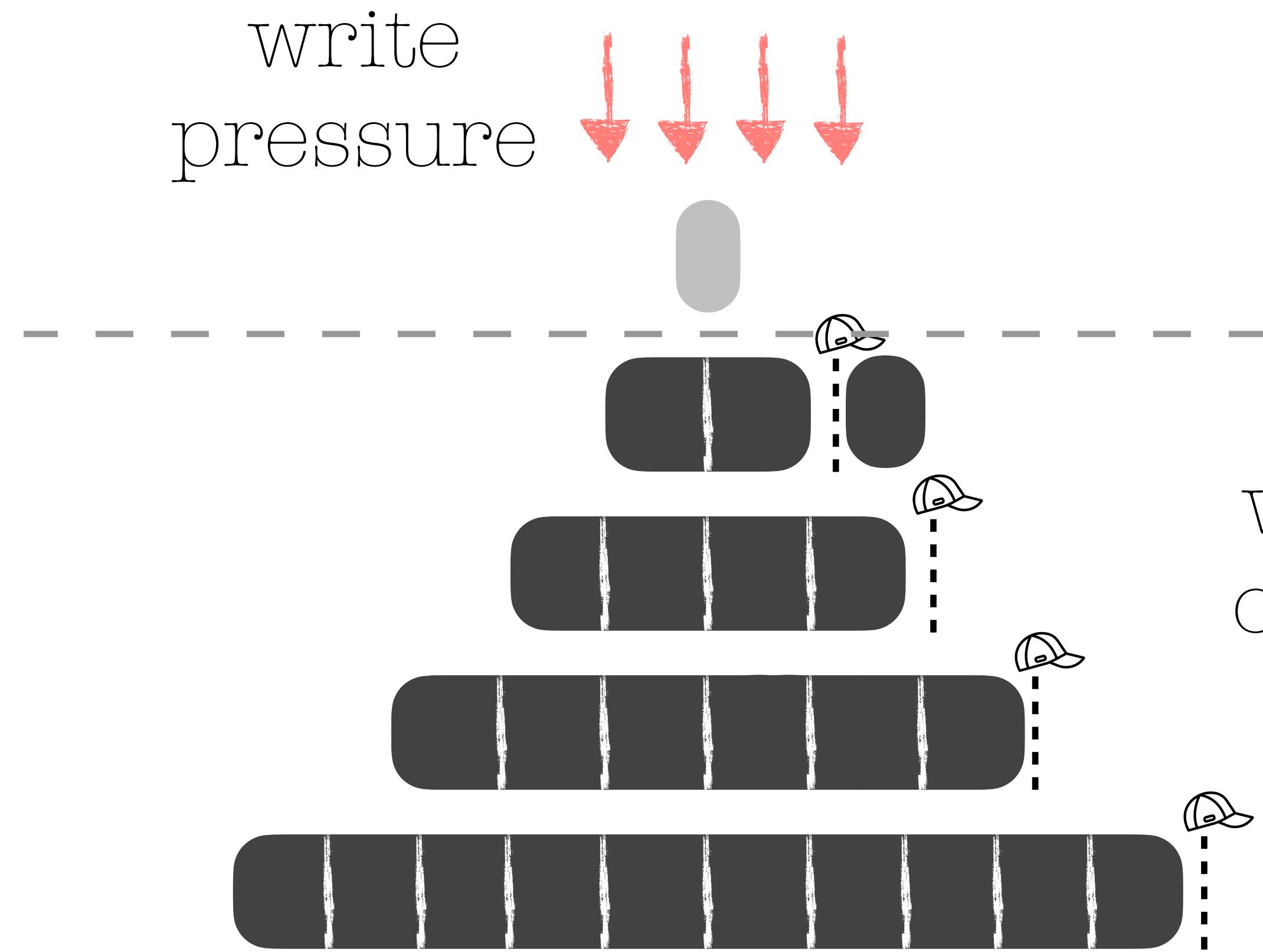
Optimizing Compactions



Background
Compactions

Compaction
Priority

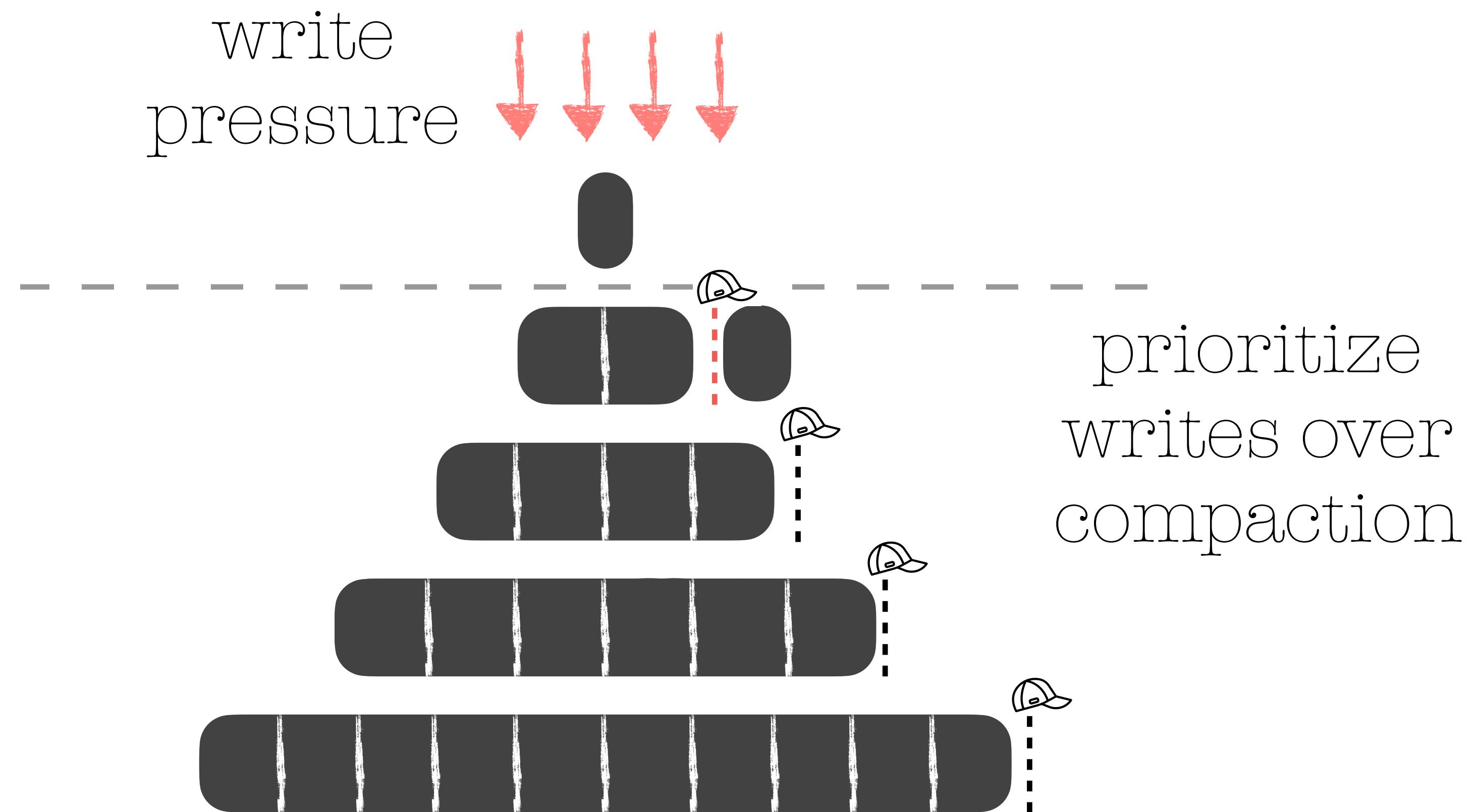
Optimizing Compactions



Background
Compactions

Compaction
Priority

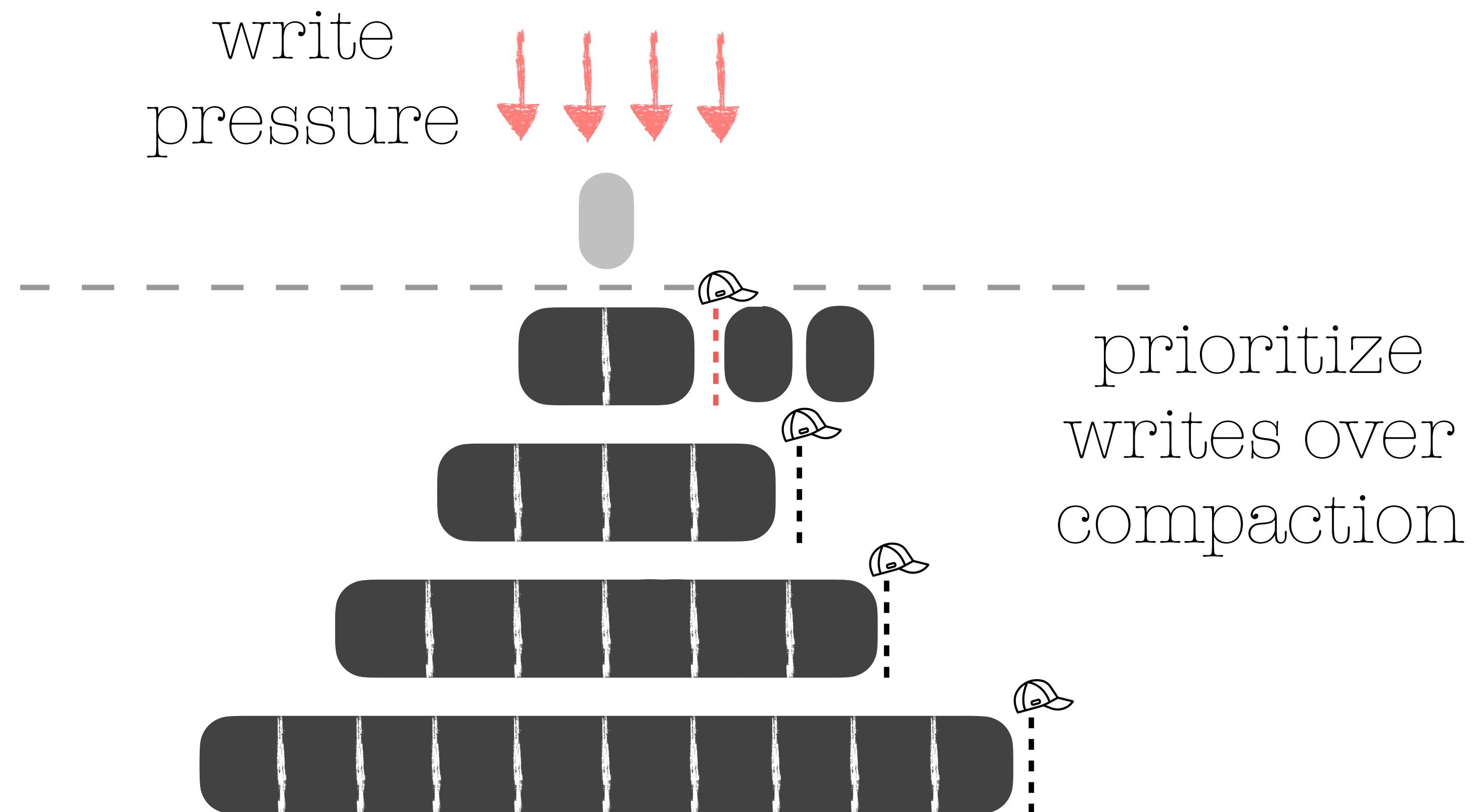
Optimizing Compactions



Background
Compactions

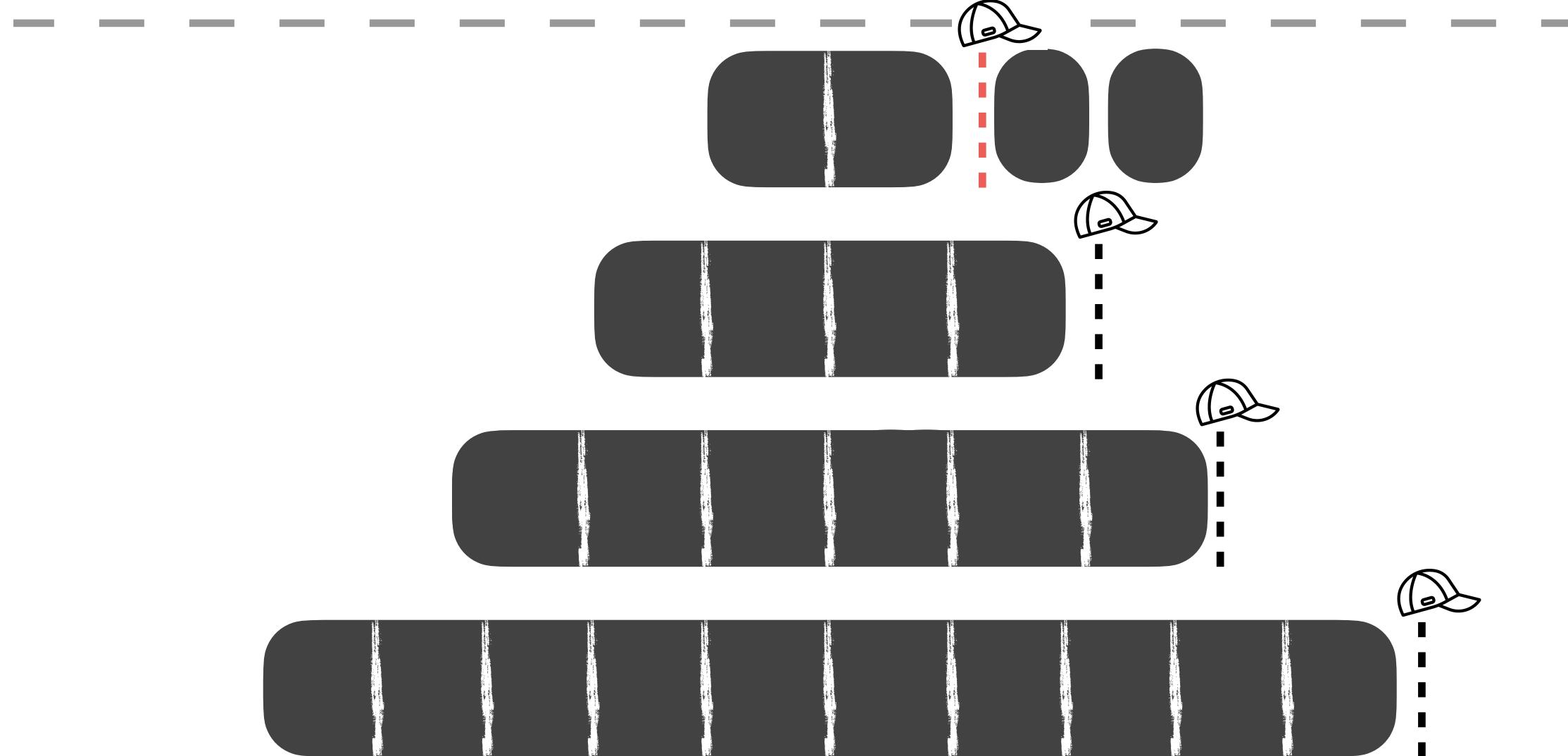
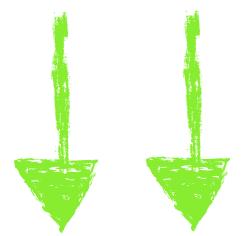
Compaction
Priority

Optimizing Compactions



Optimizing Compactions

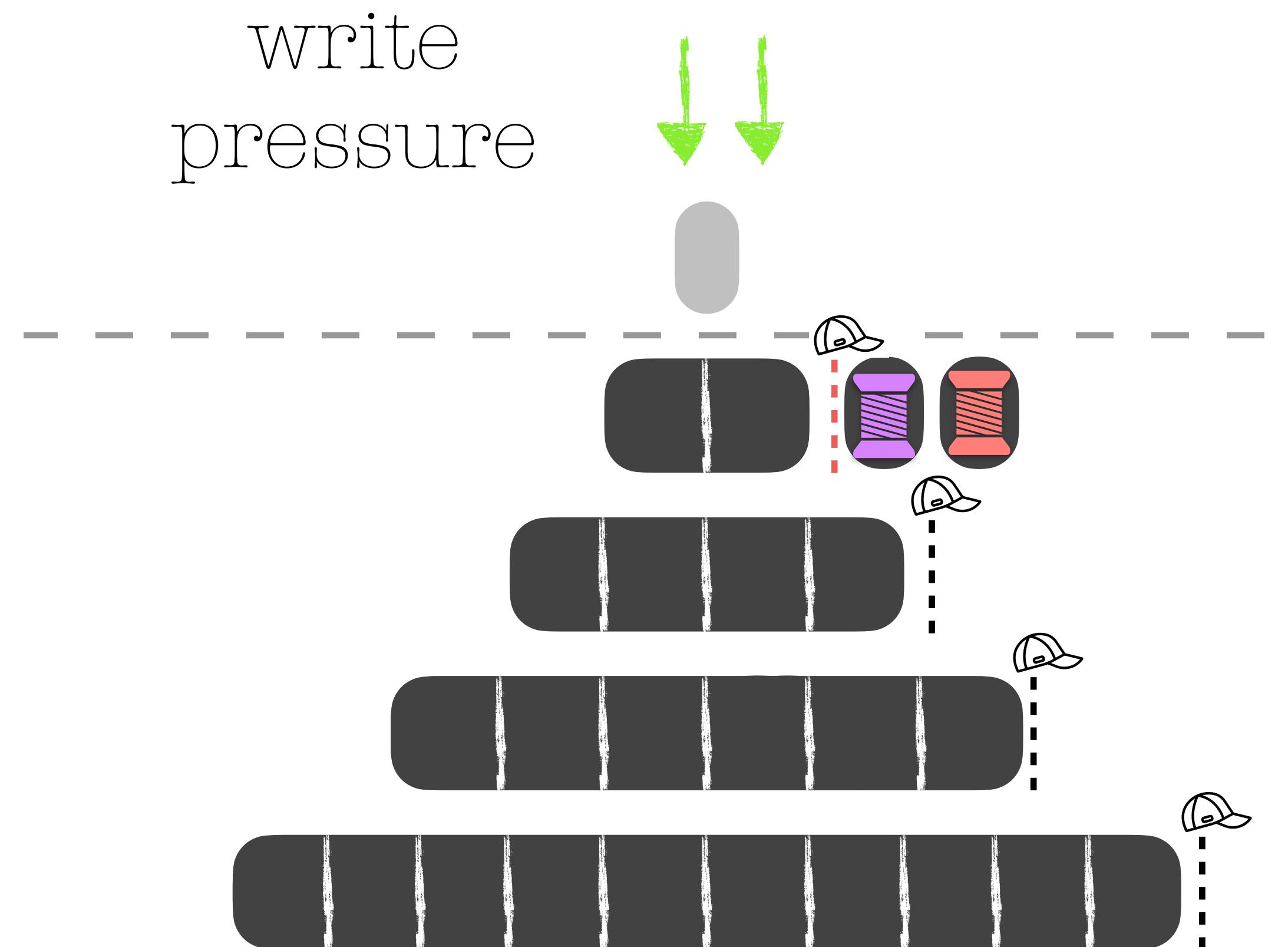
write
pressure



Background
Compactions

Compaction
Priority

Optimizing Compactions



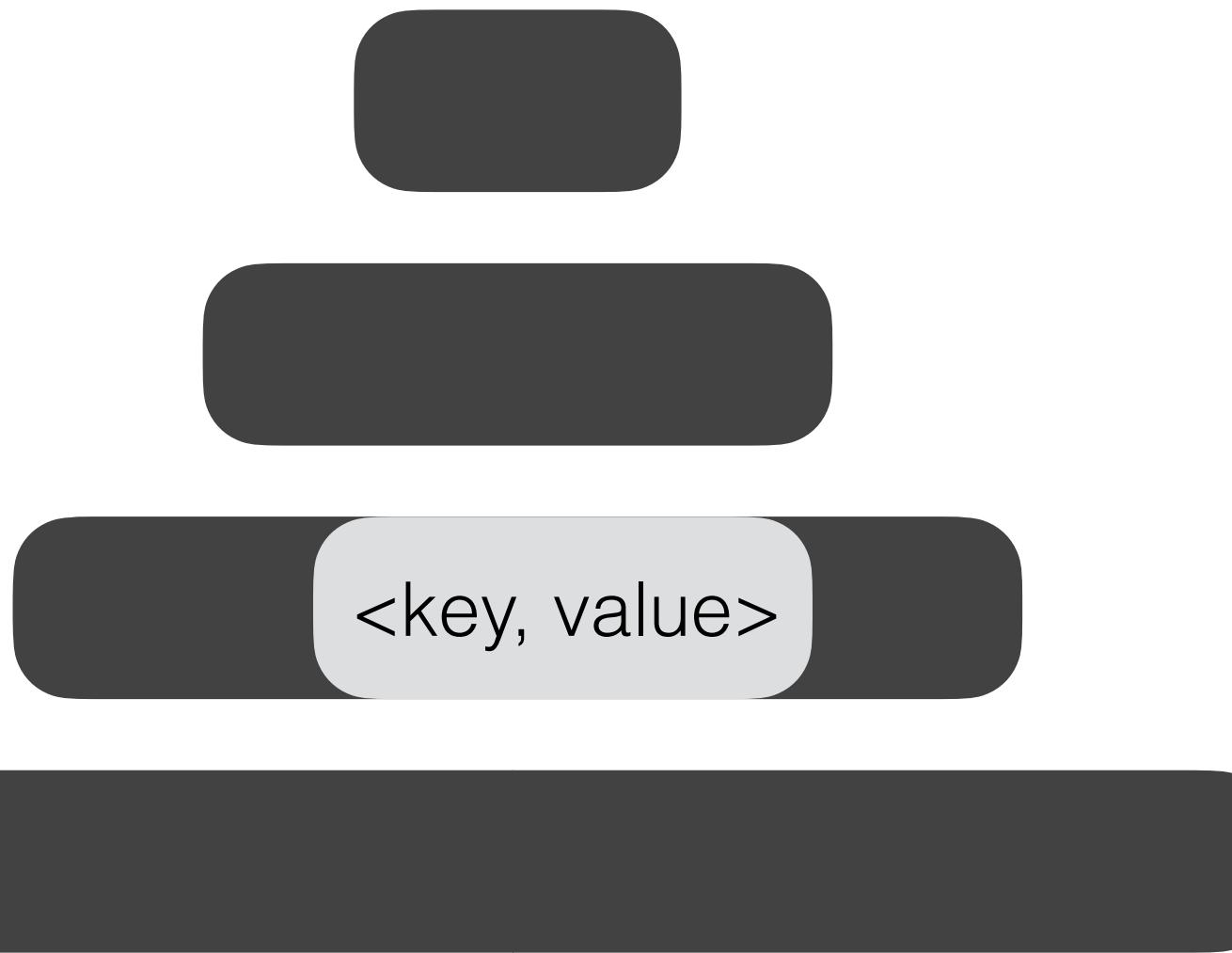
Background
Compactions

Compaction
Priority

- sustain heavy write bursts
- tree becomes out of shape

Data Placement Variations

Data Placement Variations

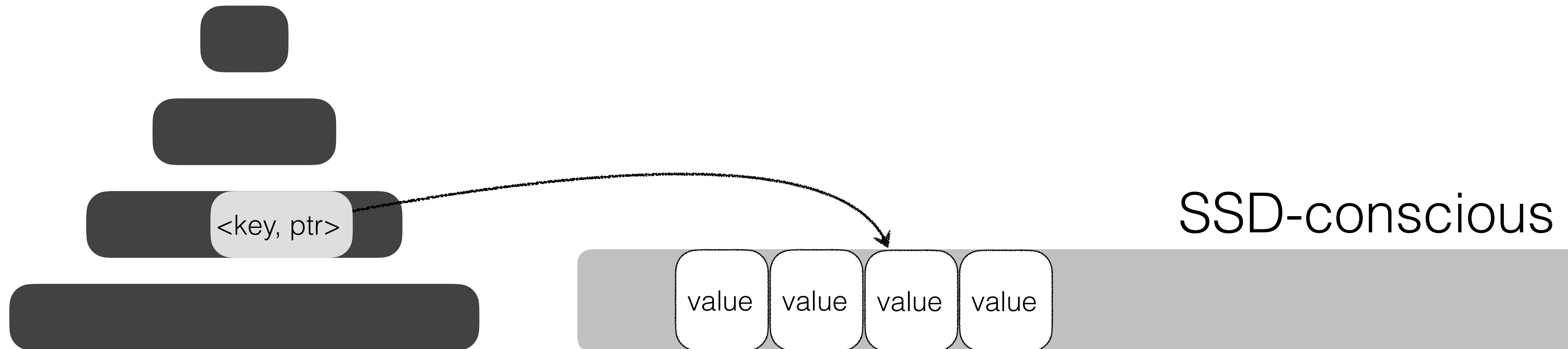


key-value separation



LuFAST16

Data Placement Variations



key-value separation



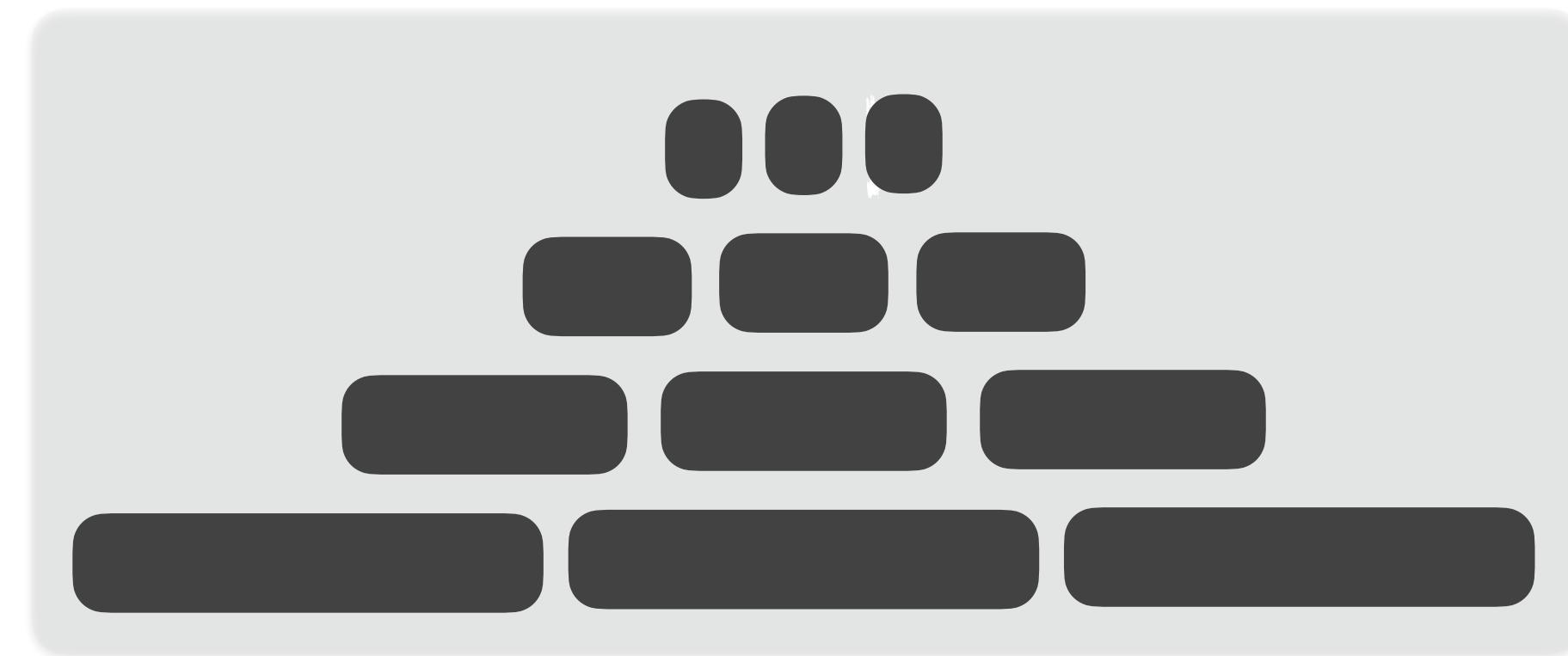
- reduced write amplification
- better read performance

Data Placement Variations



partitioning / sharding

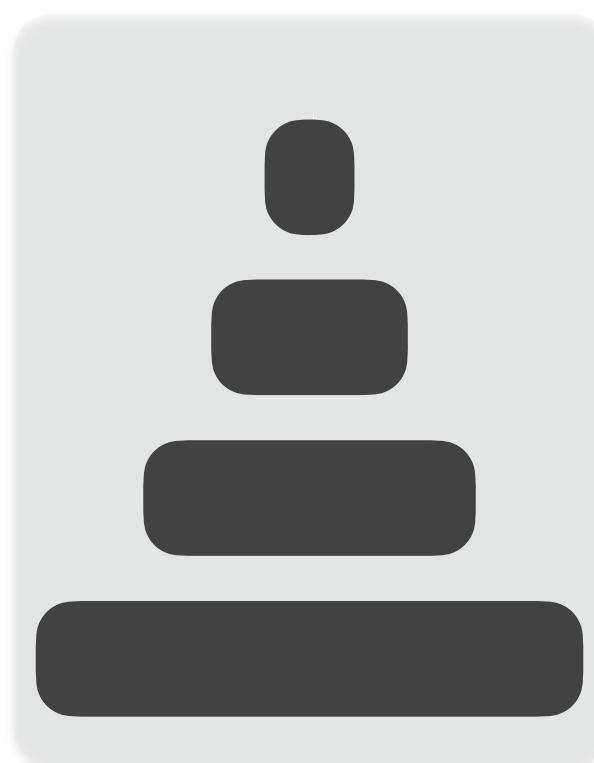
Data Placement Variations



storage

partitioning

RajuSOSP17



storage-1

storage-2

storage-3

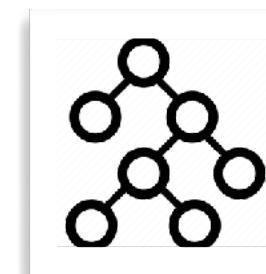
sharding

HuangSIGMOD21

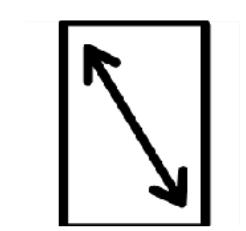
- improved ingestion throughput
- reduced write amplification

Summary: Ingestion Optimization

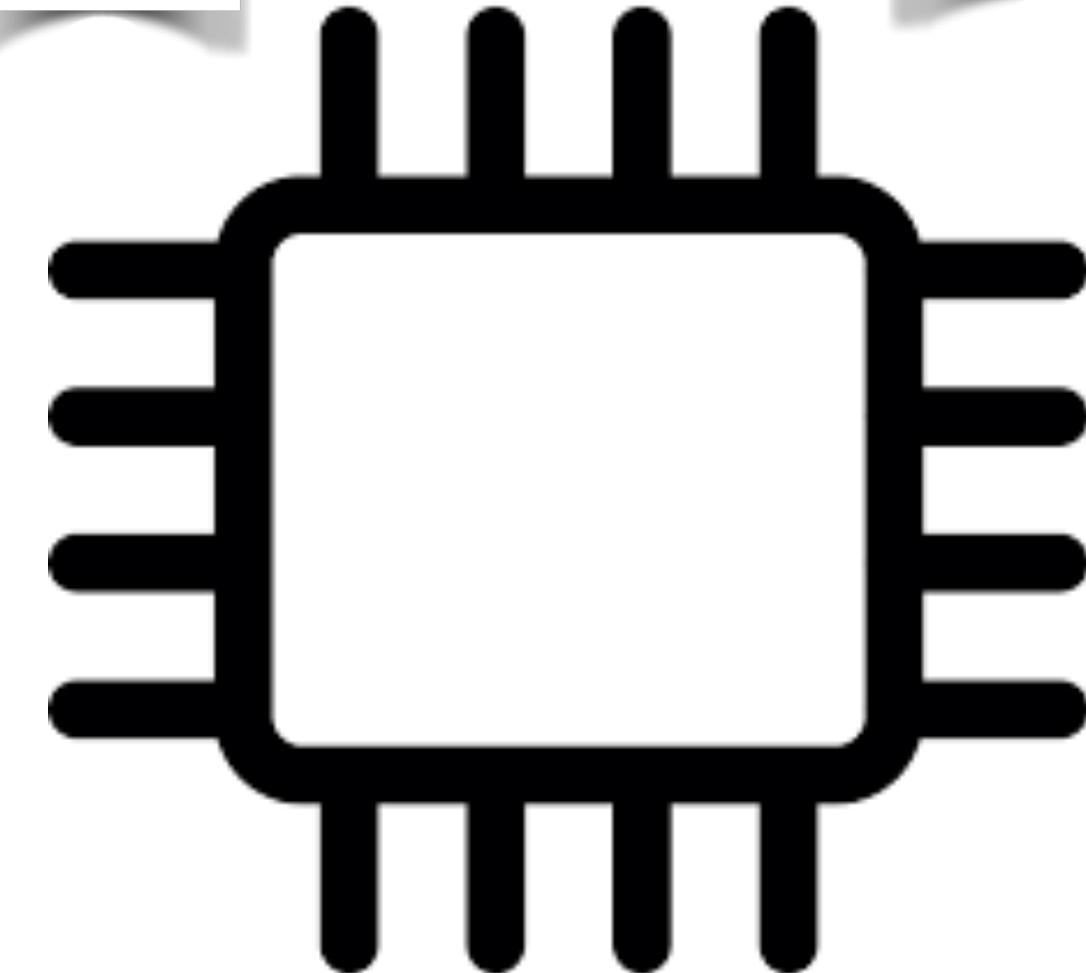
data
structure



size

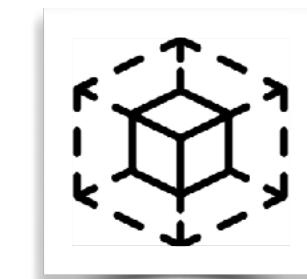


flush
strategy

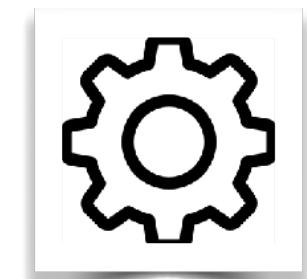


main memory
design elements

compaction
design space

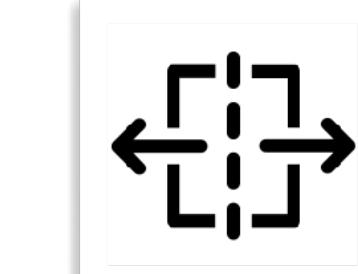


tuning
compactions



data layout
on storage

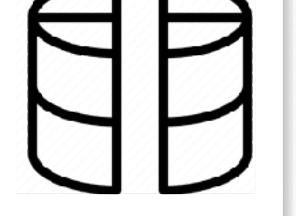
key-value
separation



data
partitioning



data
sharding



hardware-conscious
designs



Next time in COSI 167A

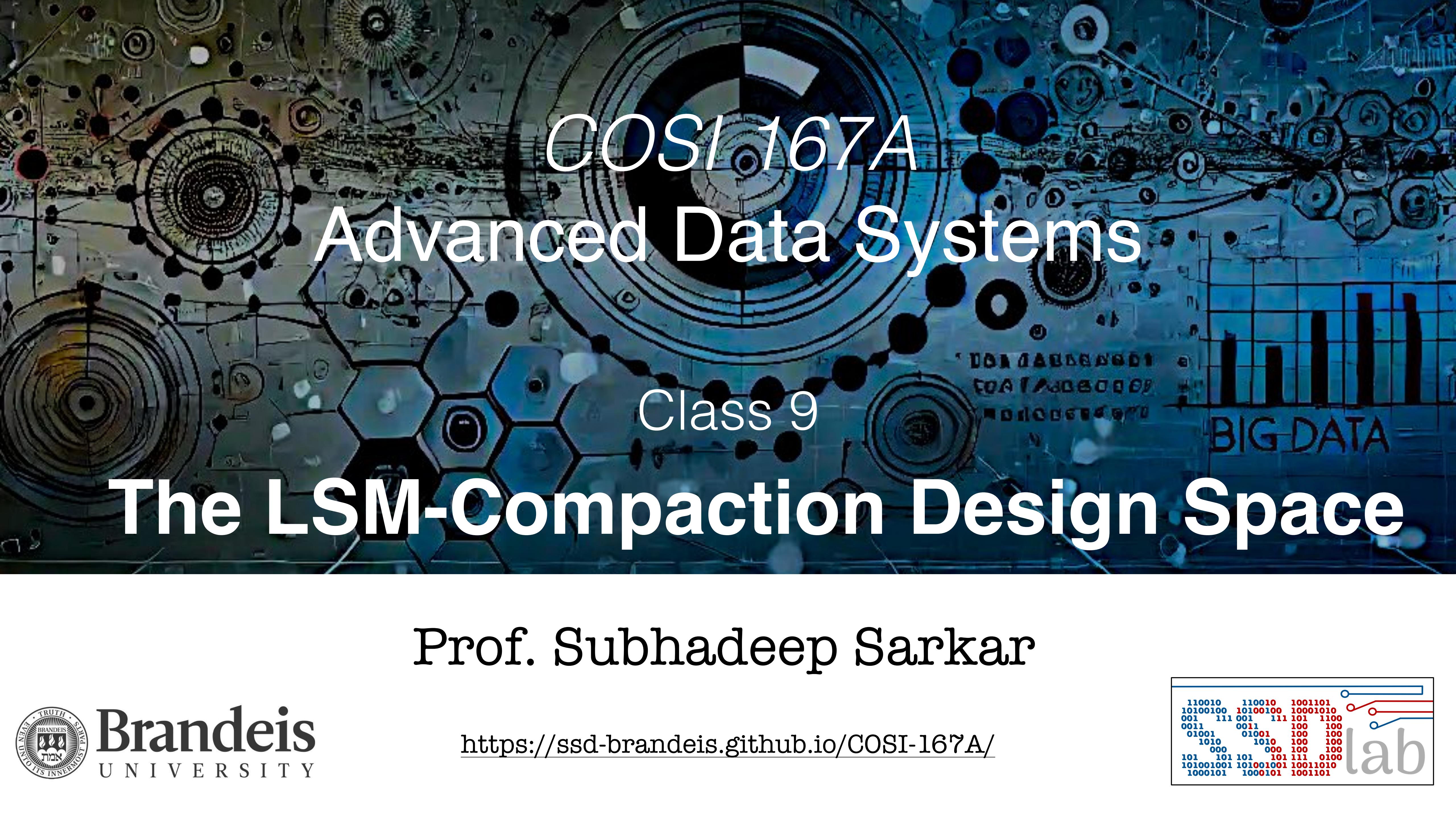
More on LSMs

robust LSM tuning

[P] ["Endure: A Robust Tuning Paradigm for LSM Trees Under Workload Uncertainty"](#), VLDB, 2022

REVIEW PAPER 1

[B] ["CliffGuard: A Principled Framework for Finding Robust Database Designs"](#), SIGMOD, 2015



COSI 167A Advanced Data Systems

Class 9

The LSM-Compaction Design Space

Prof. Subhadeep Sarkar



Brandeis
UNIVERSITY

<https://ssd-brandeis.github.io/COSI-167A/>

