

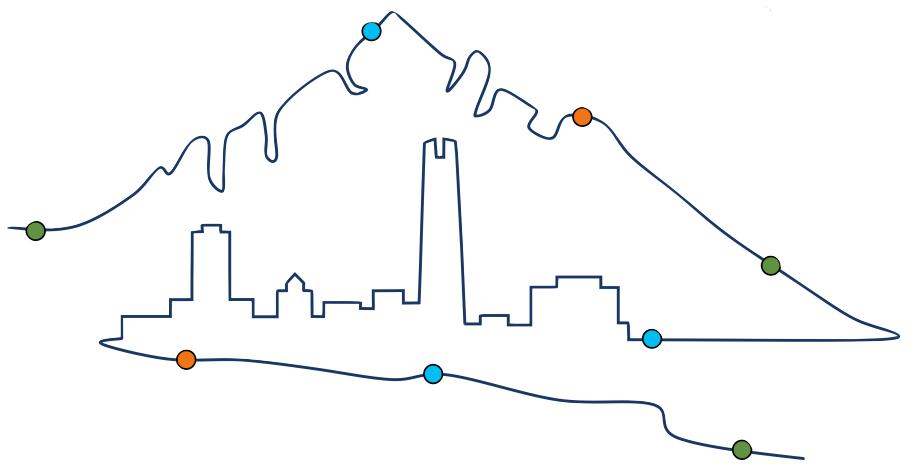
Anatomy of LSM Memory Buffer

Shubham Kaushik

kaushiks@brandeis.edu



Brandeis
UNIVERSITY



Santiago, Chile, 2024

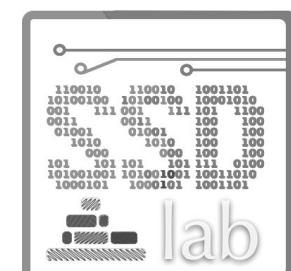


Log-Structured Merge-tree

The Log-Structured Merge-Tree (LSM-Tree)

1996

Patrick O'Neil¹, Edward Cheng²
Dieter Gawlick³, Elizabeth O'Neil¹
To be published: Acta Informatica



Brandeis
U N I V E R S I T Y

LSM-based Datastore

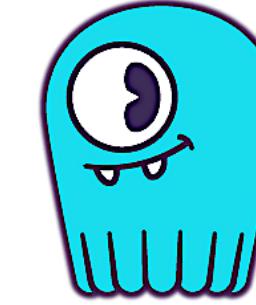
2004 ← → 2024



BigTable



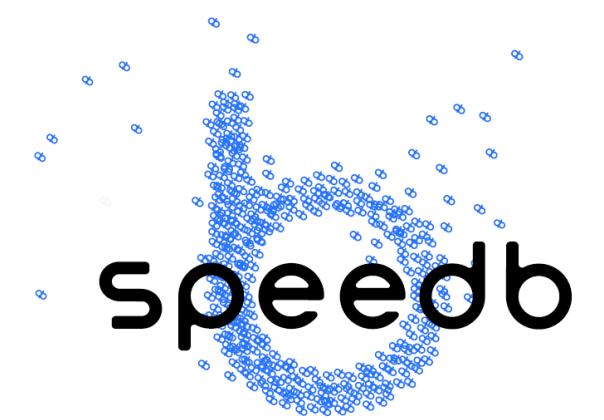
RocksDB



SCYLLA



InnoDB



speeddb



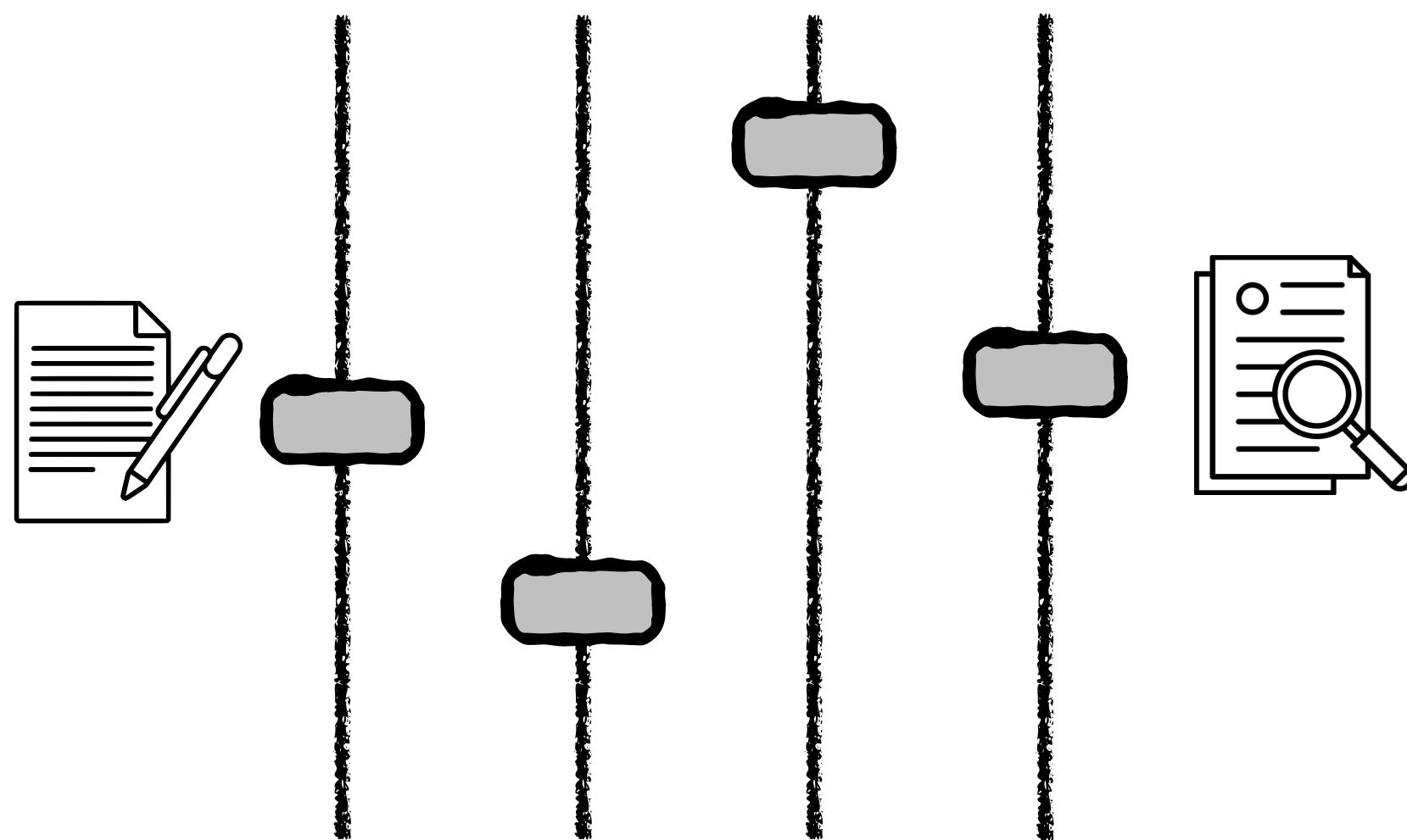
CockroachDB



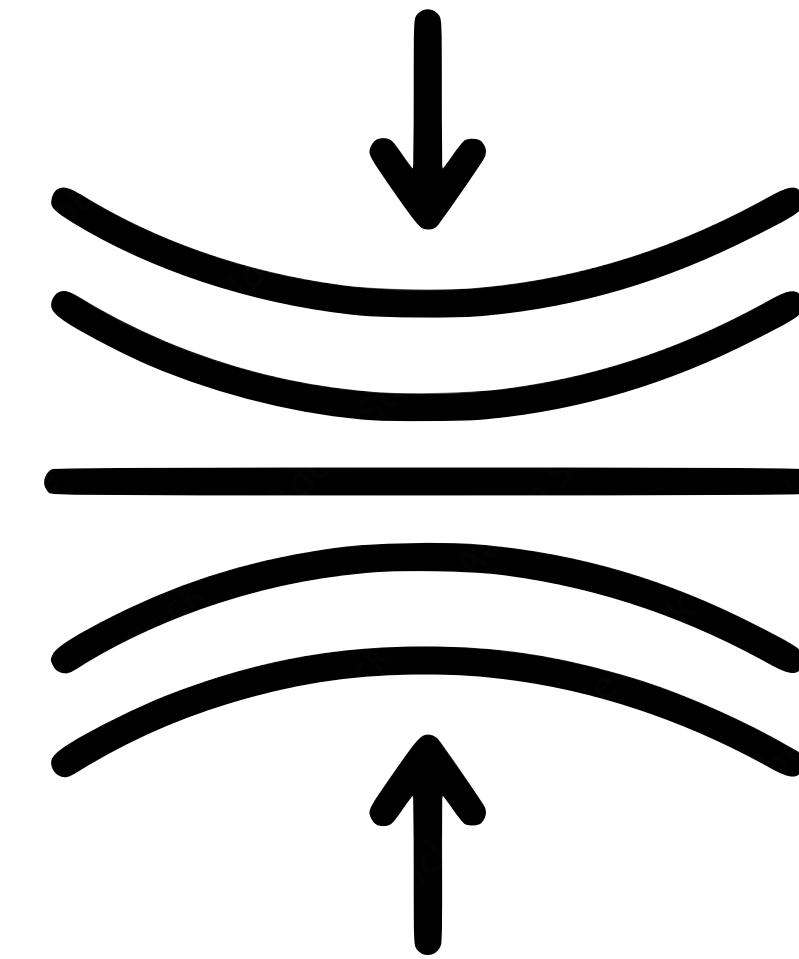
LSM-tree



fast writes

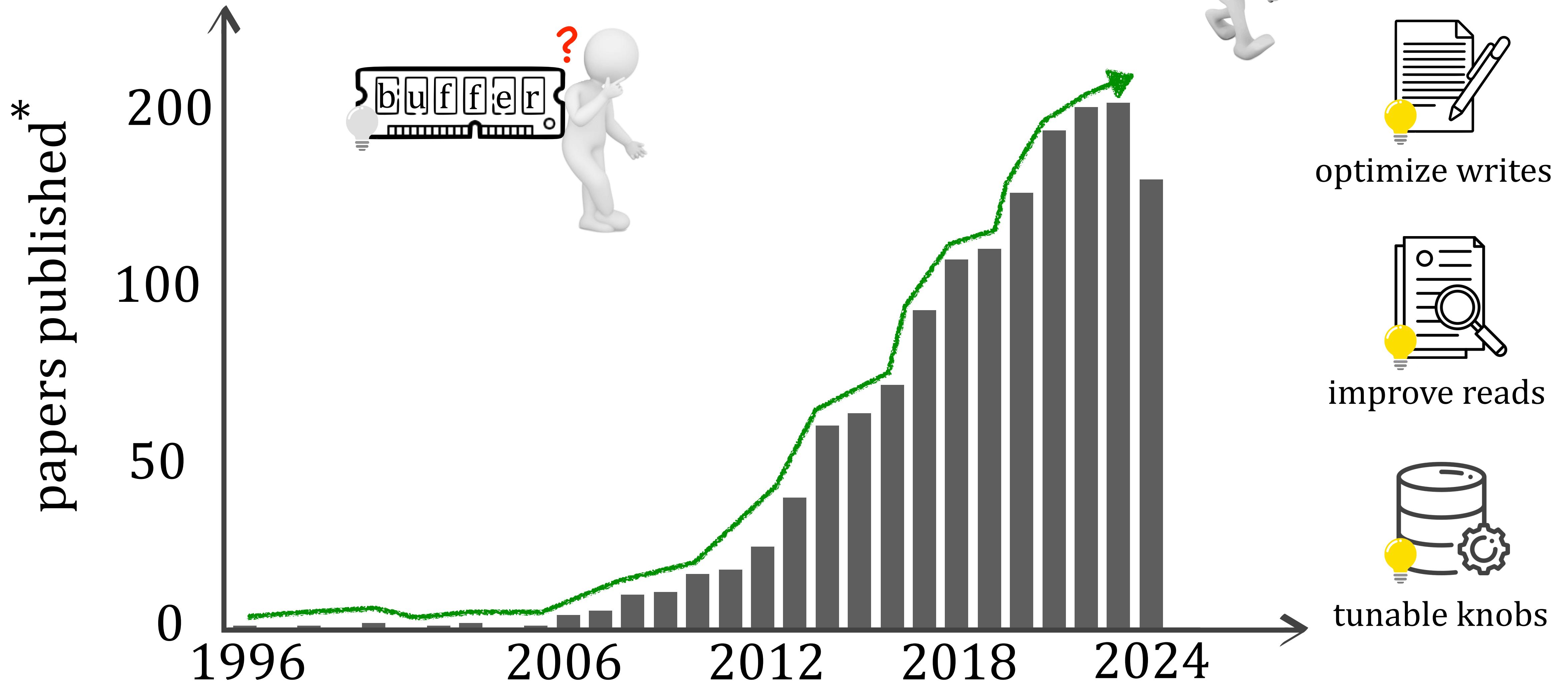


tunable read-write
performance



**good space
utilization**

Research Trend



Brandeis
UNIVERSITY



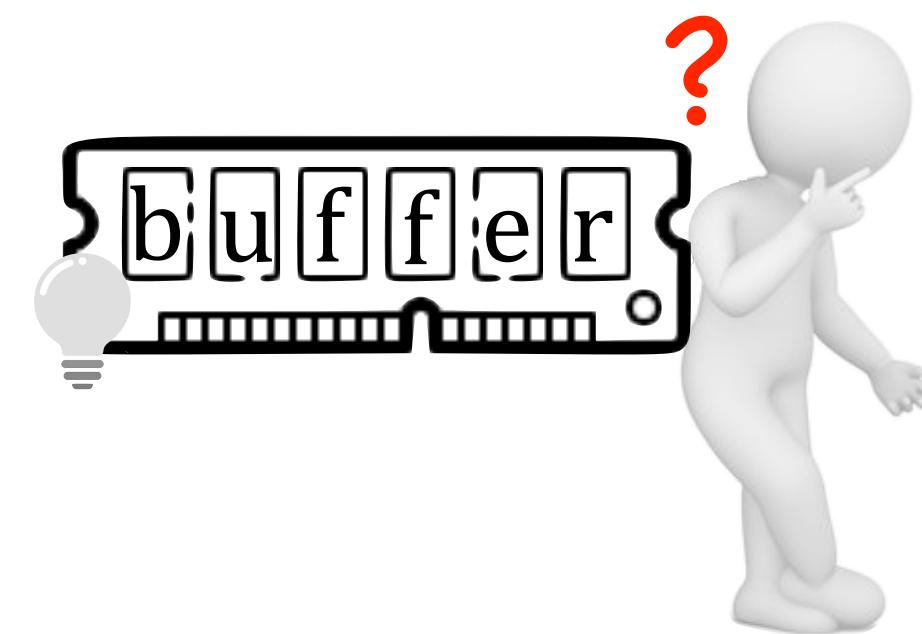
optimize writes



improve reads



tunable knobs



Memory Buffer

a *temporary space* that holds data *in memory*

Implementations of memory buffer

- 1 vector
- 2 skip-list
- 3 hash-hybrids
- 4 trie

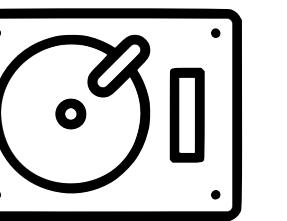


Brandeis
UNIVERSITY

LSM Basics



buffer



Why do we care?

L1



L2



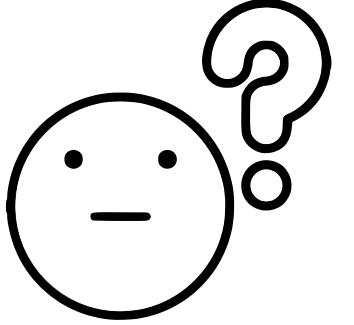
L3



L4



Brandeis
U N I V E R S I T Y



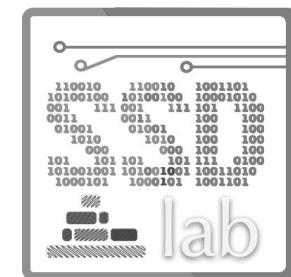
Ideal buffer implementation?



Brandeis
U N I V E R S I T Y

DEFAULT

skip-list

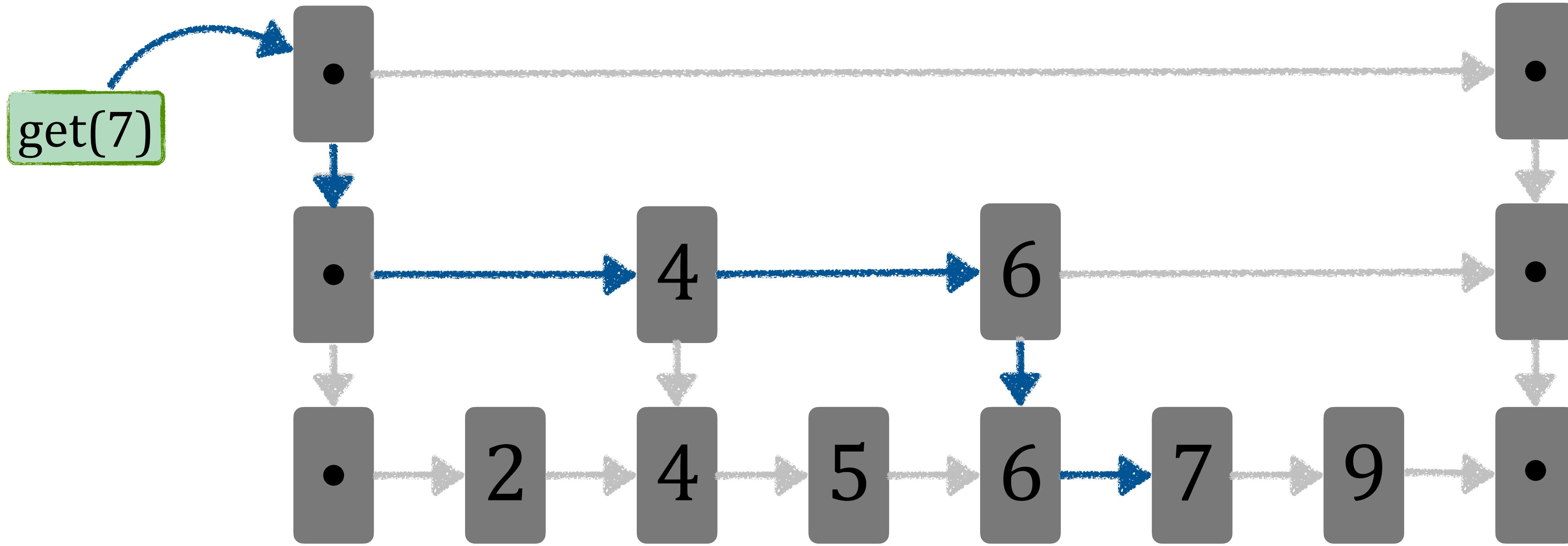


Brandeis
UNIVERSITY

N : entries in buffer

M : meta data

Implementation: Skip-list



- great for mixed w/l
- some extra space needed
- good for point queries

insert cost: $\mathcal{O}(\log N)$

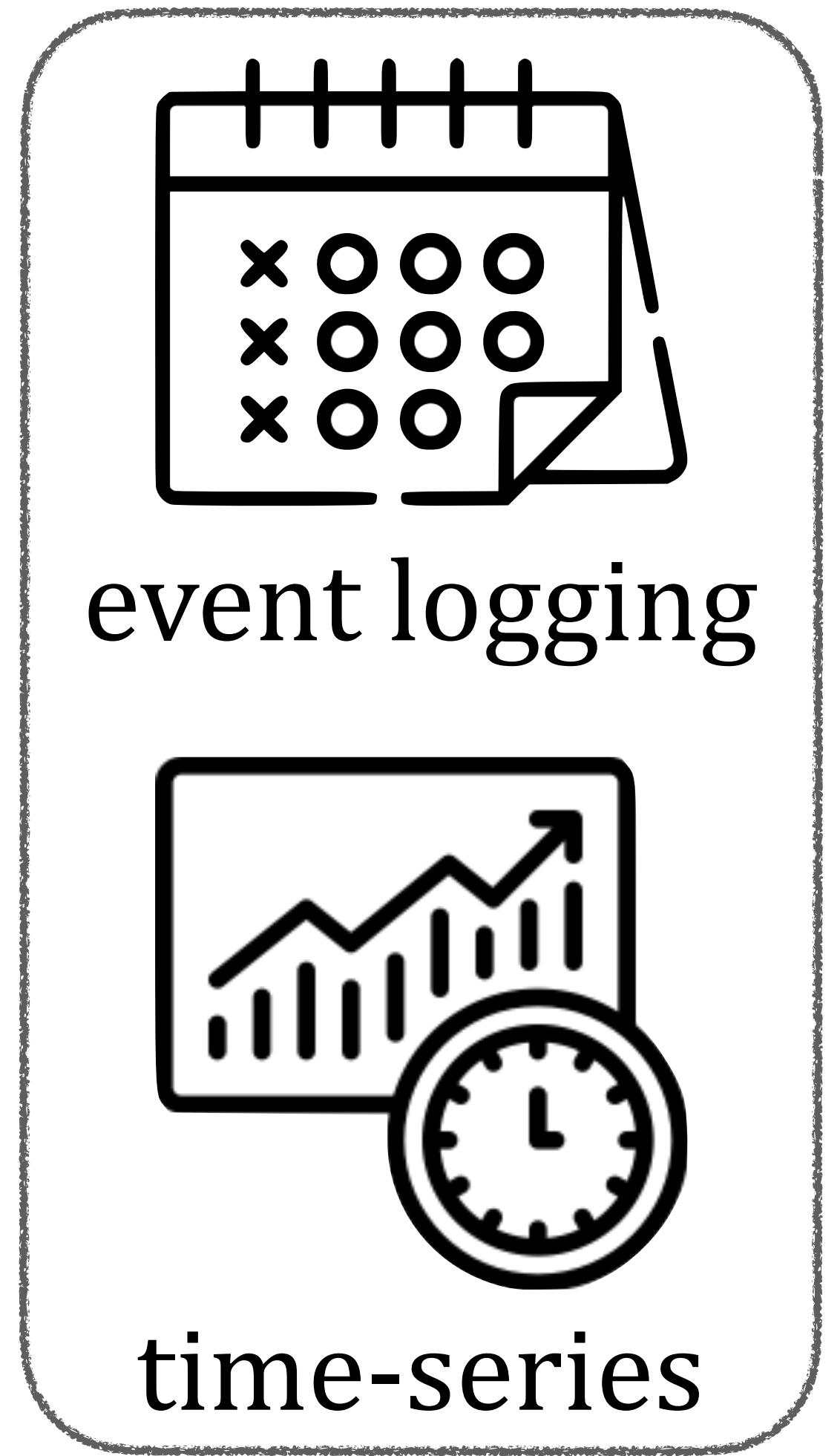
space complexity: $\mathcal{O}(N + M)$

point query cost: $\mathcal{O}(\log N)$



Brandeis
UNIVERSITY

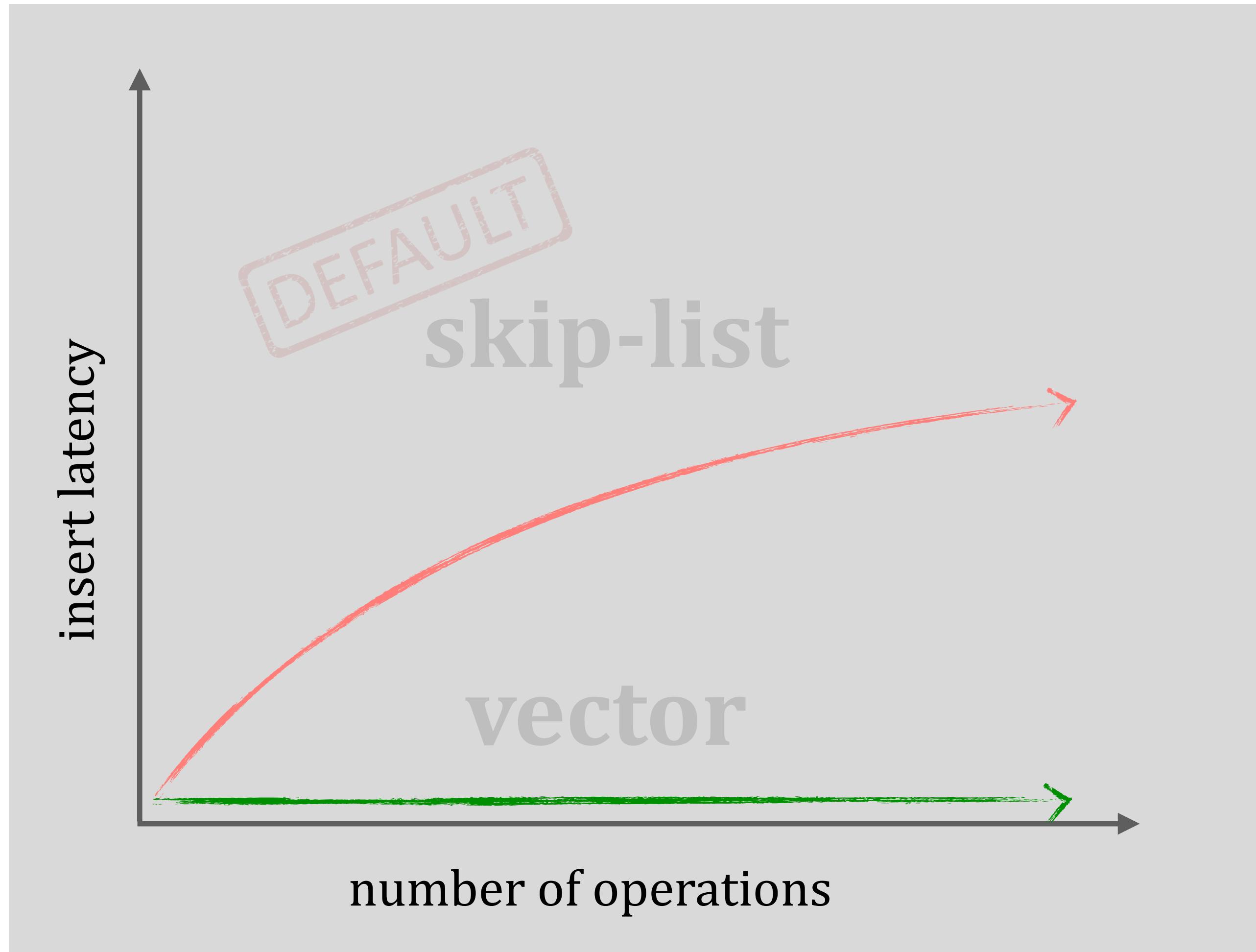
DEFAULT
skip-list



insert heavy



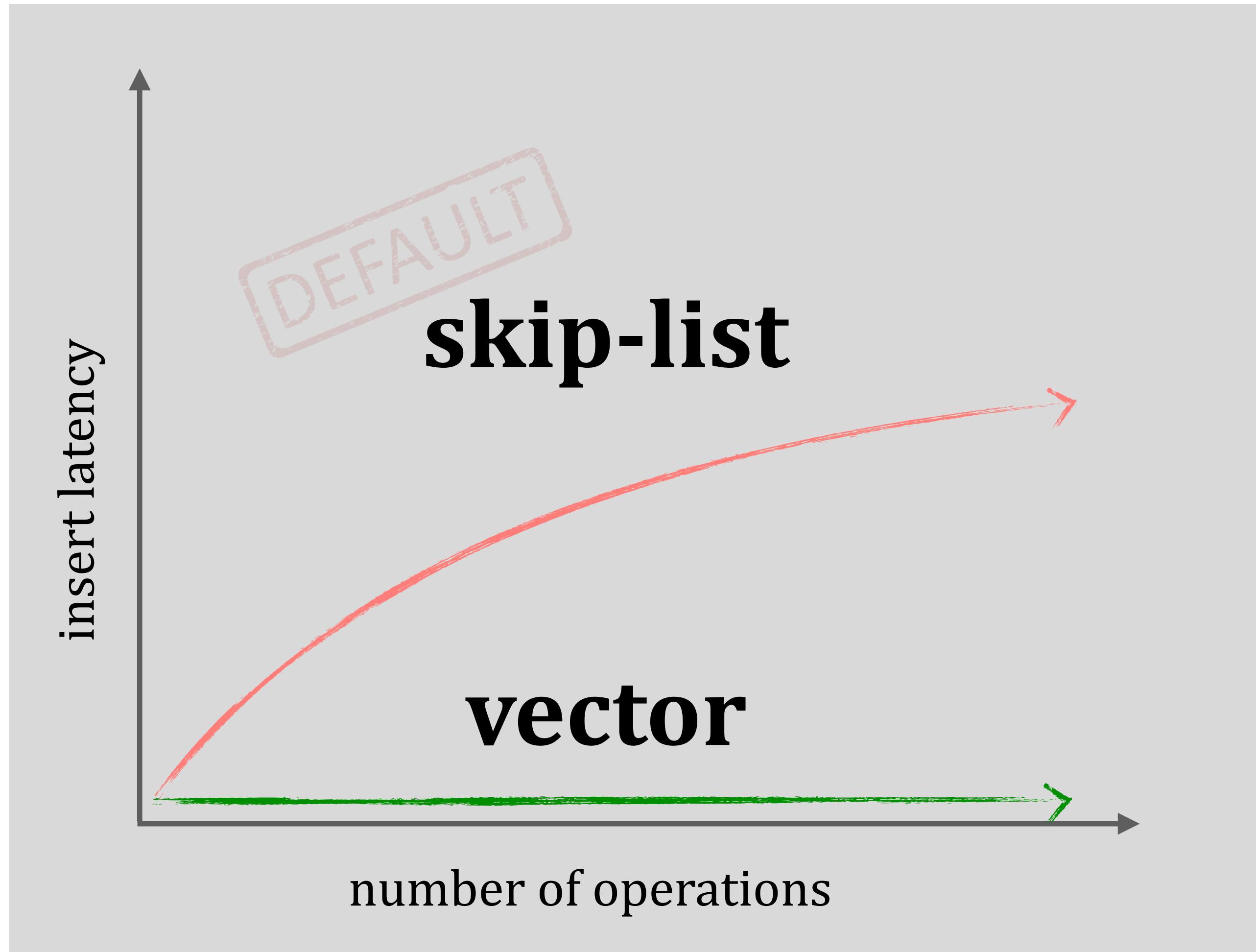
Brandeis
U N I V E R S I T Y



insert heavy



Brandeis
U N I V E R S I T Y



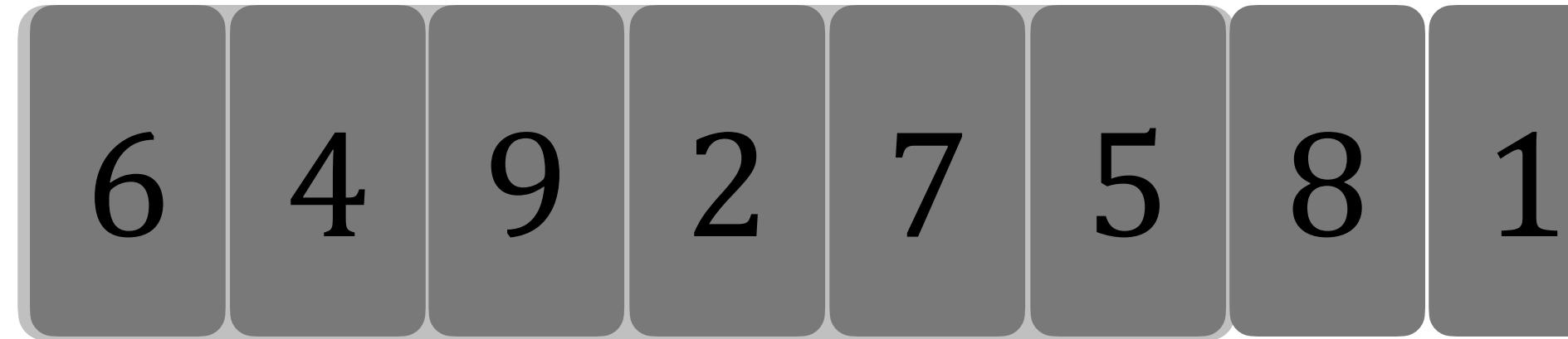
insert heavy



Brandeis
U N I V E R S I T Y

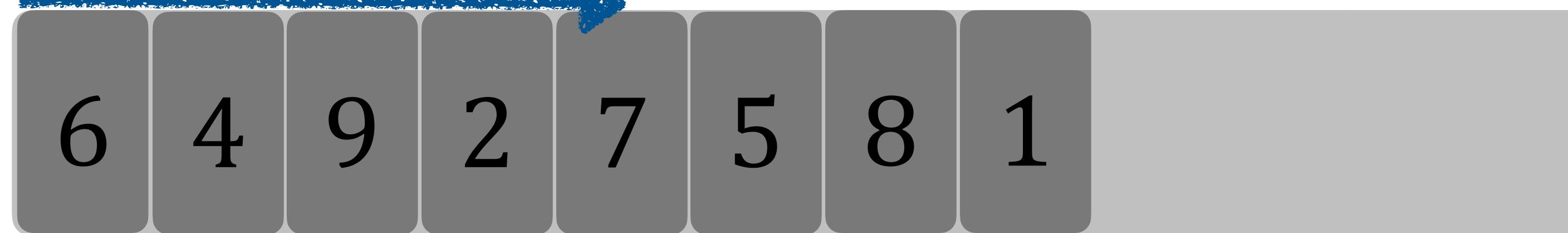
N : entries in buffer

Implementation: Vector



dynamic

get(7)



static

- great for insert-heavy w/l
- no extra space needed
- expensive point queries

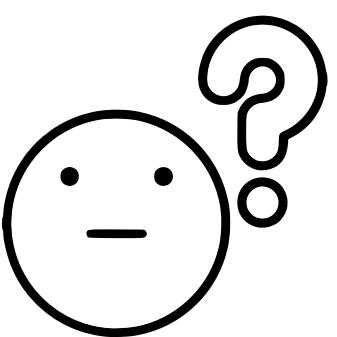
insert cost: $\mathcal{O}(1)$

space complexity: $\mathcal{O}(N)$

point query cost: $\mathcal{O}(N)$



Brandeis
U N I V E R S I T Y



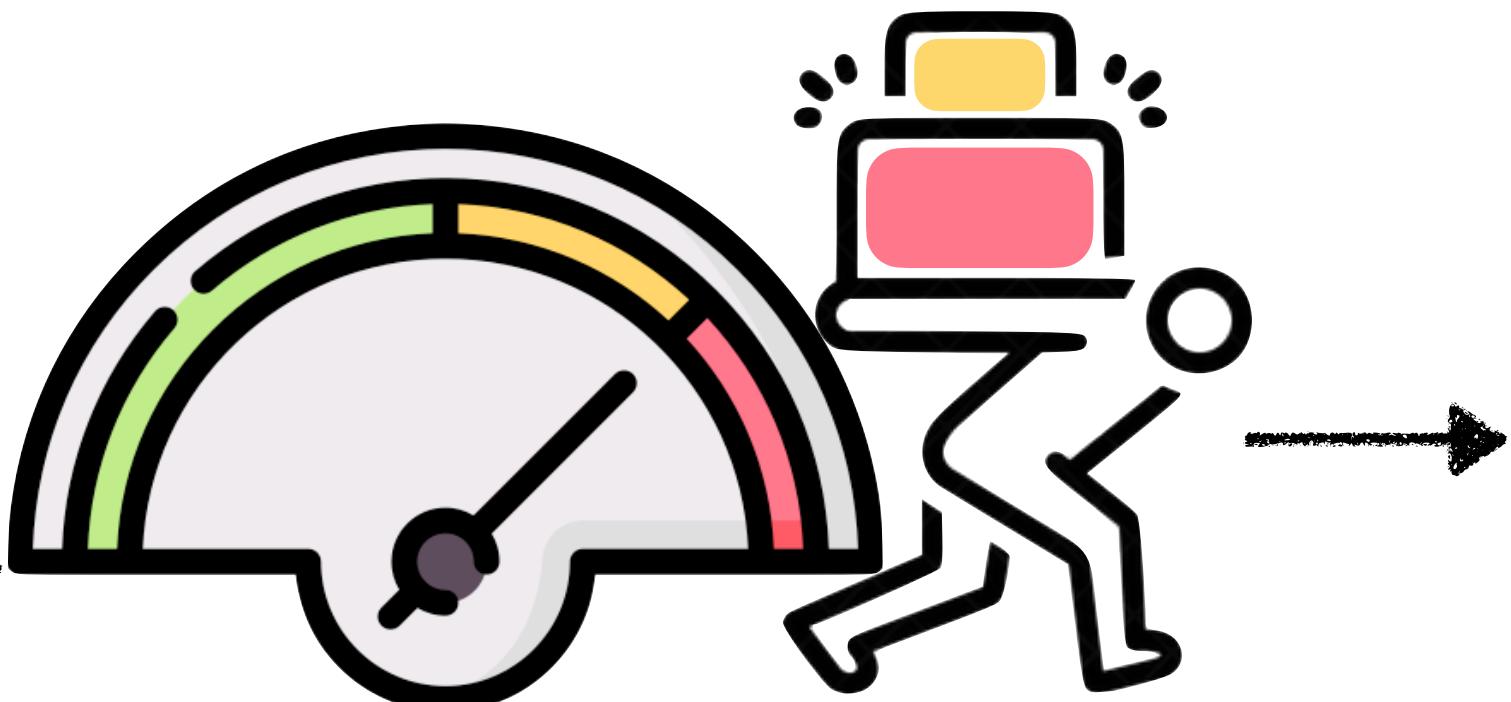
Ideal buffer implementation?

depends on a *workload composition*

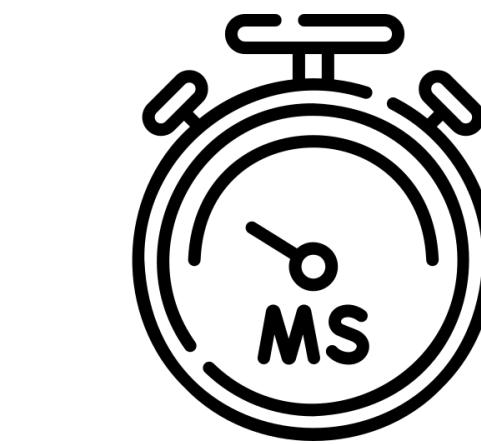
- 1 insert **heavy**
- 2 insert with **point** queries
- 3 insert with **range** queries
- 4 insert with **updates**

Research Focus

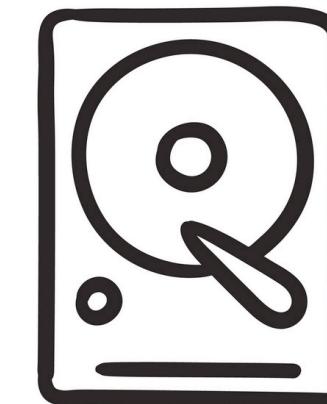
- 1 insert **heavy**
- 2 insert with **point** queries
- 3 insert with **range** queries
- 4 insert with **updates**



benchmark



latency



memory footprint



throughput

| | vector | skip-list | hash-hybrid | trie |
|---|--------|-----------|-------------|------|
| 1 | ?? | | | |
| 2 | | ?? | | |
| 3 | | | ?? | |
| 4 | | | | ?? |



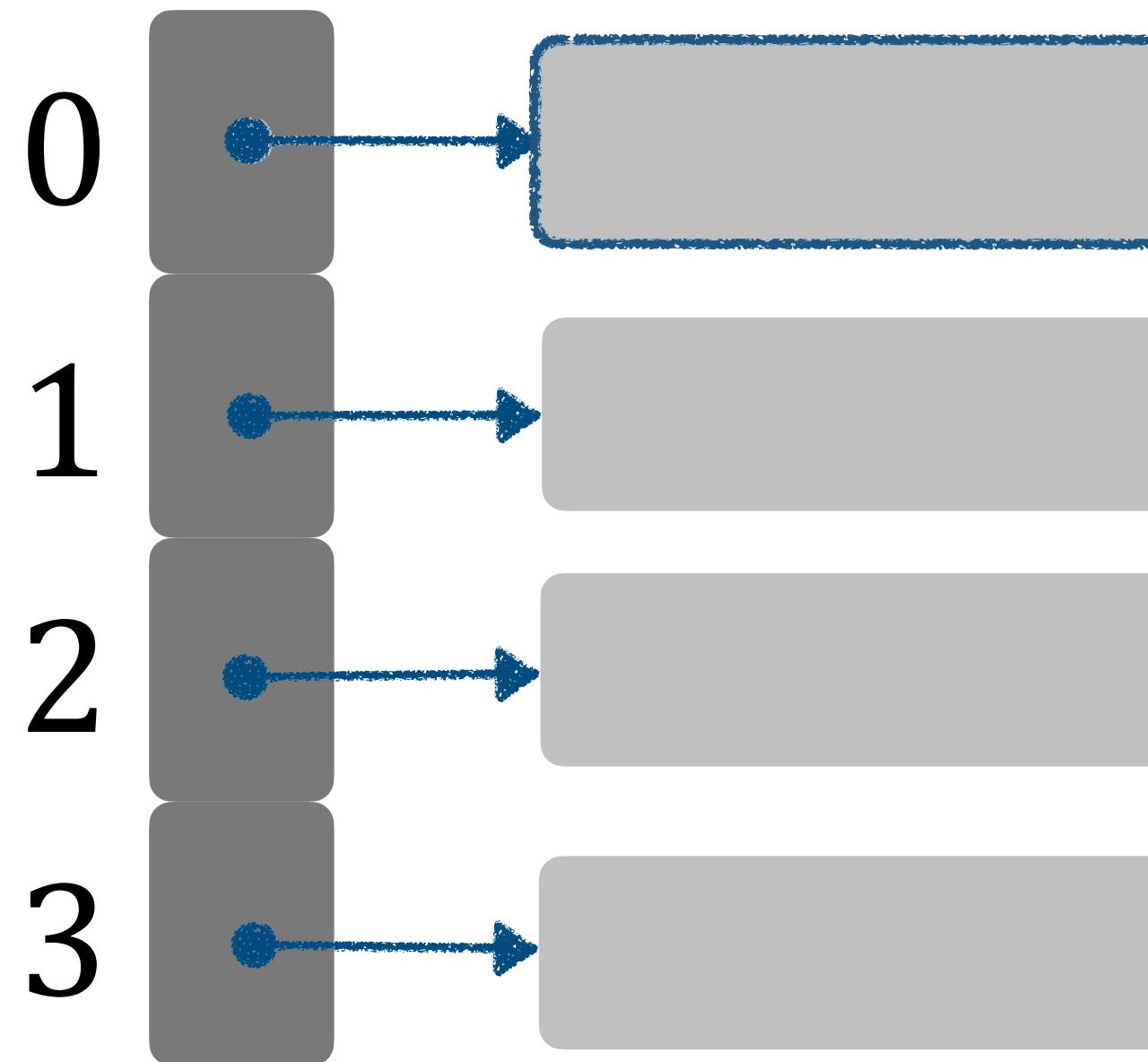
N : entries in buffer

M : meta data

Implementation: Hash Hybrids

**prefix
length (X)**

$f(k)$



**bucket
count (H)**



Brandeis
U N I V E R S I T Y

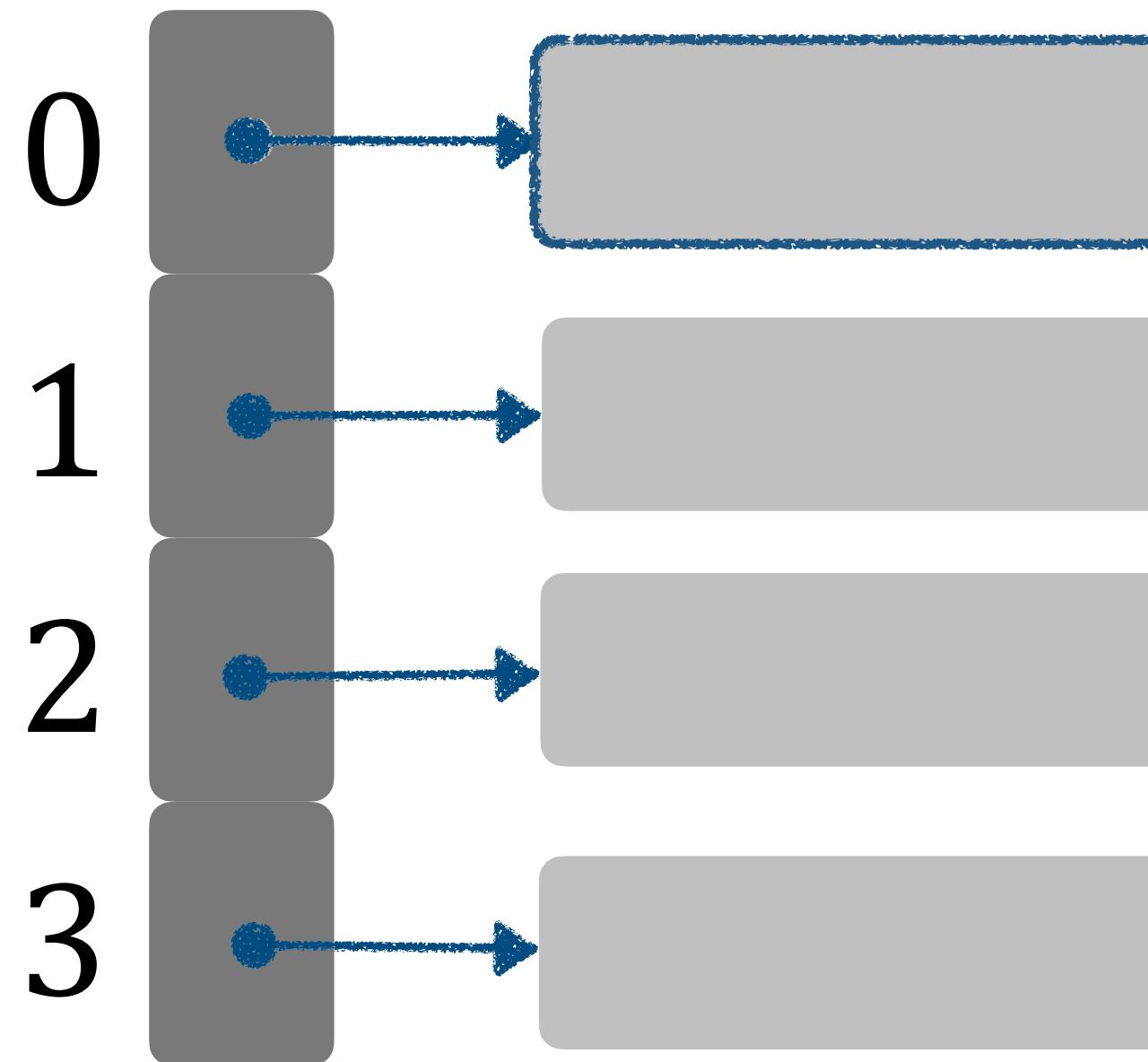
N : entries in buffer

M : meta data

Implementation: Hash Hybrids

**prefix
length (X)**

$f(k)$



**bucket
count (H)**

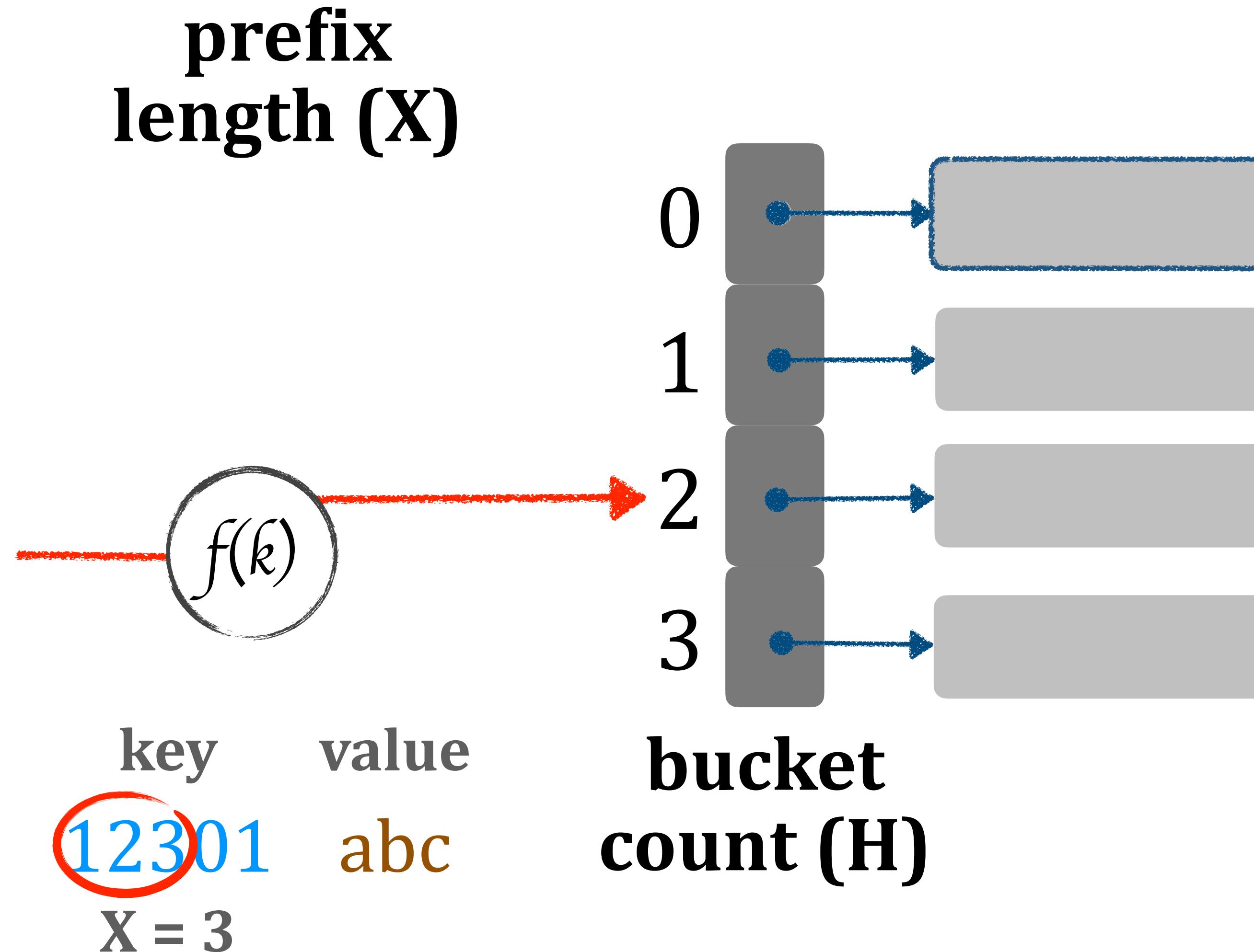


Brandeis
U N I V E R S I T Y

N : entries in buffer

M : meta data

Implementation: Hash Hybrids



Brandeis
UNIVERSITY

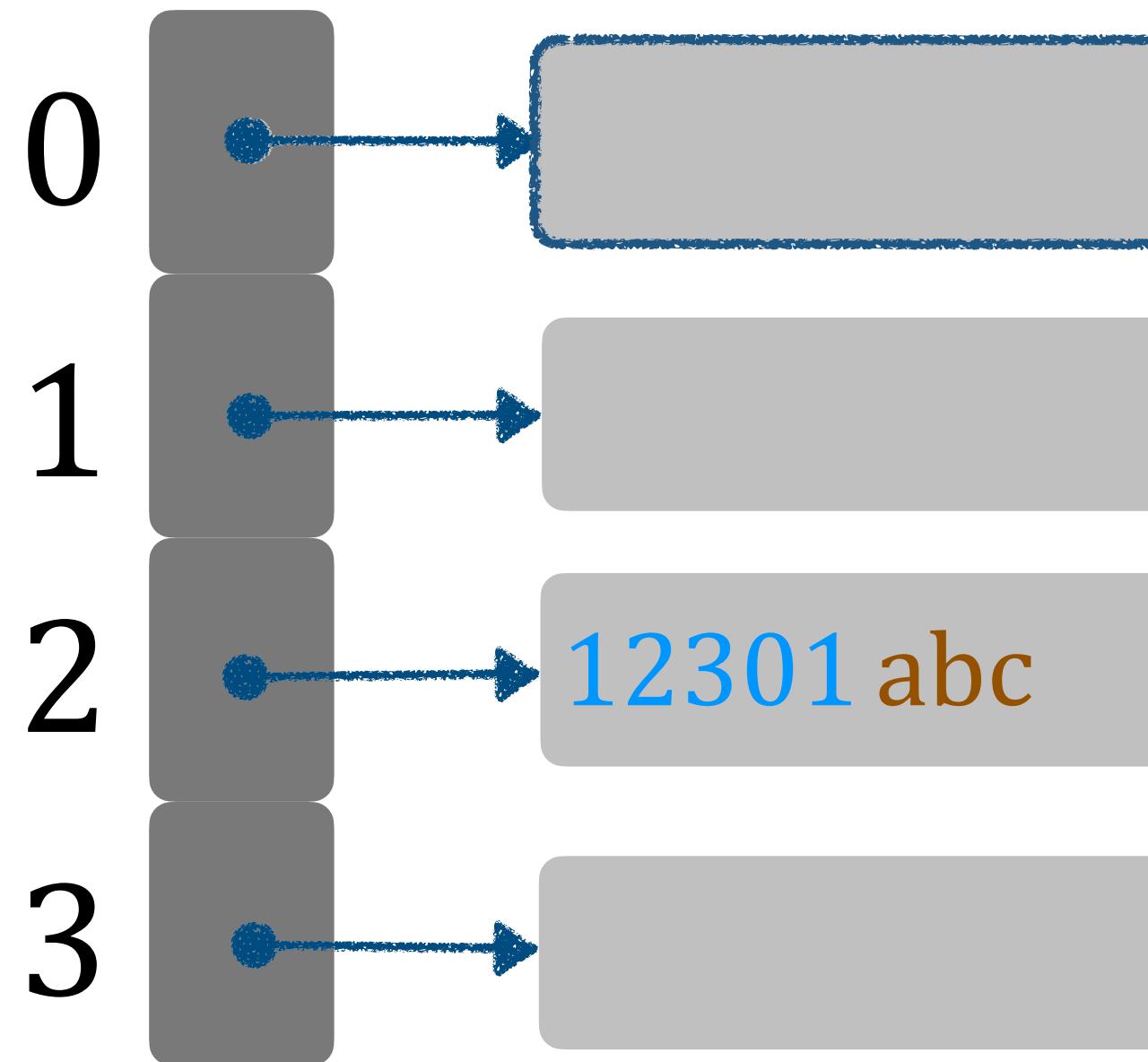
N : entries in buffer

M : meta data

Implementation: Hash Hybrids

**prefix
length (X)**

$f(k)$



**bucket
count (H)**

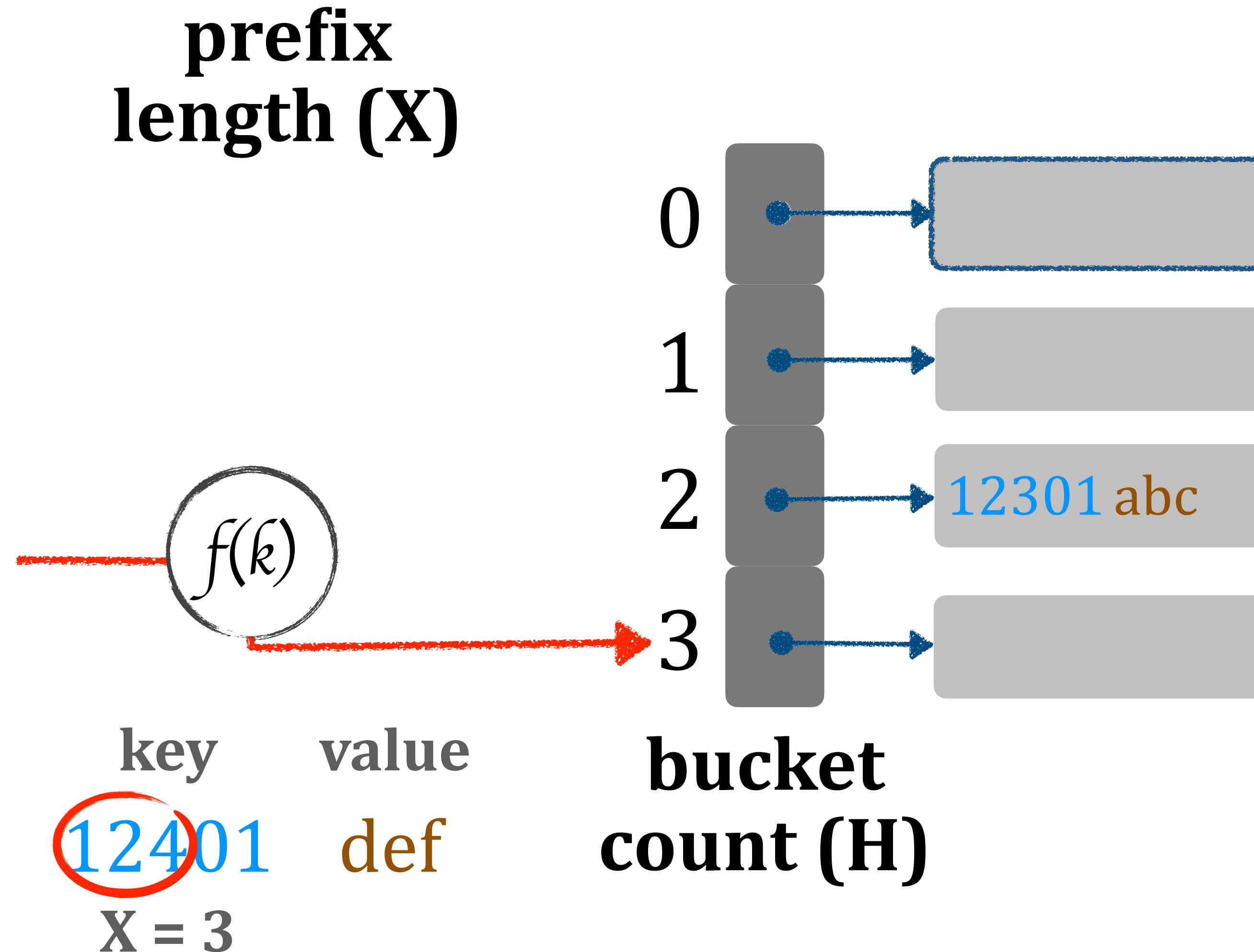


Brandeis
U N I V E R S I T Y

N : entries in buffer

M : meta data

Implementation: Hash Hybrids



Brandeis
UNIVERSITY

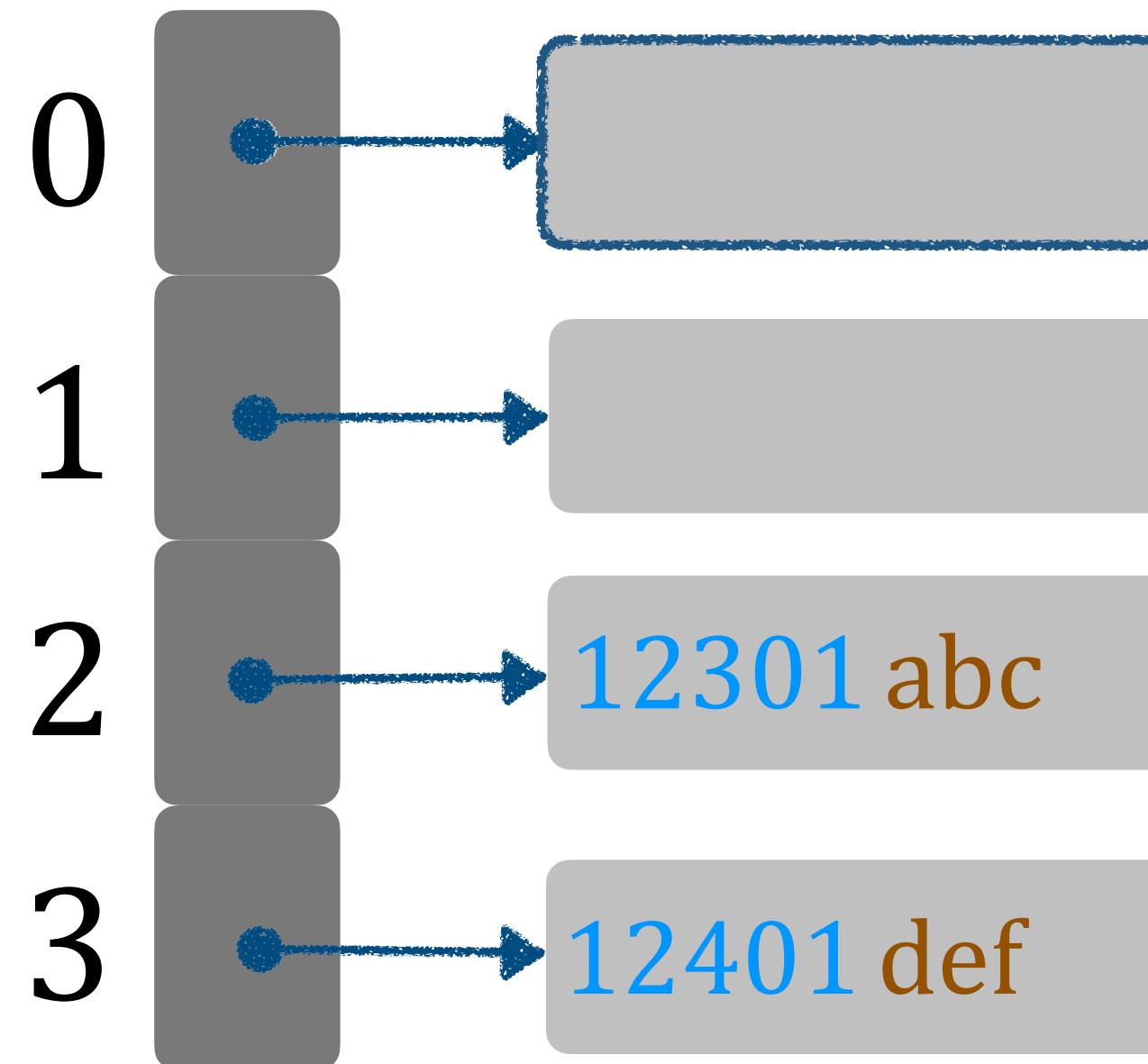
N : entries in buffer

M : meta data

Implementation: Hash Hybrids

**prefix
length (X)**

$f(k)$



**bucket
count (H)**

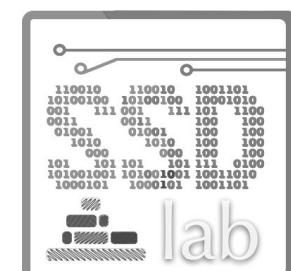
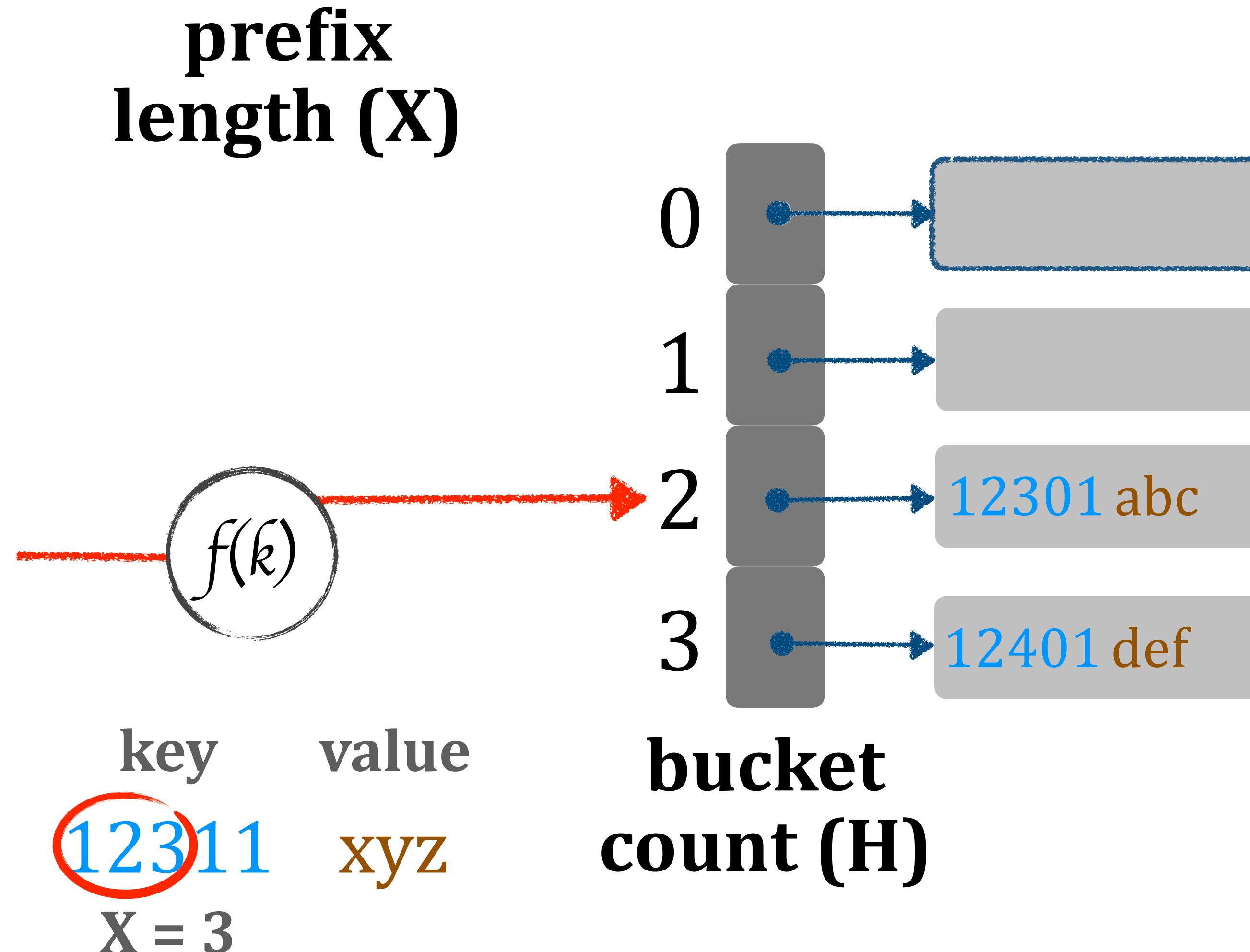


Brandeis
U N I V E R S I T Y

N : entries in buffer

M : meta data

Implementation: Hash Hybrids



Brandeis
UNIVERSITY

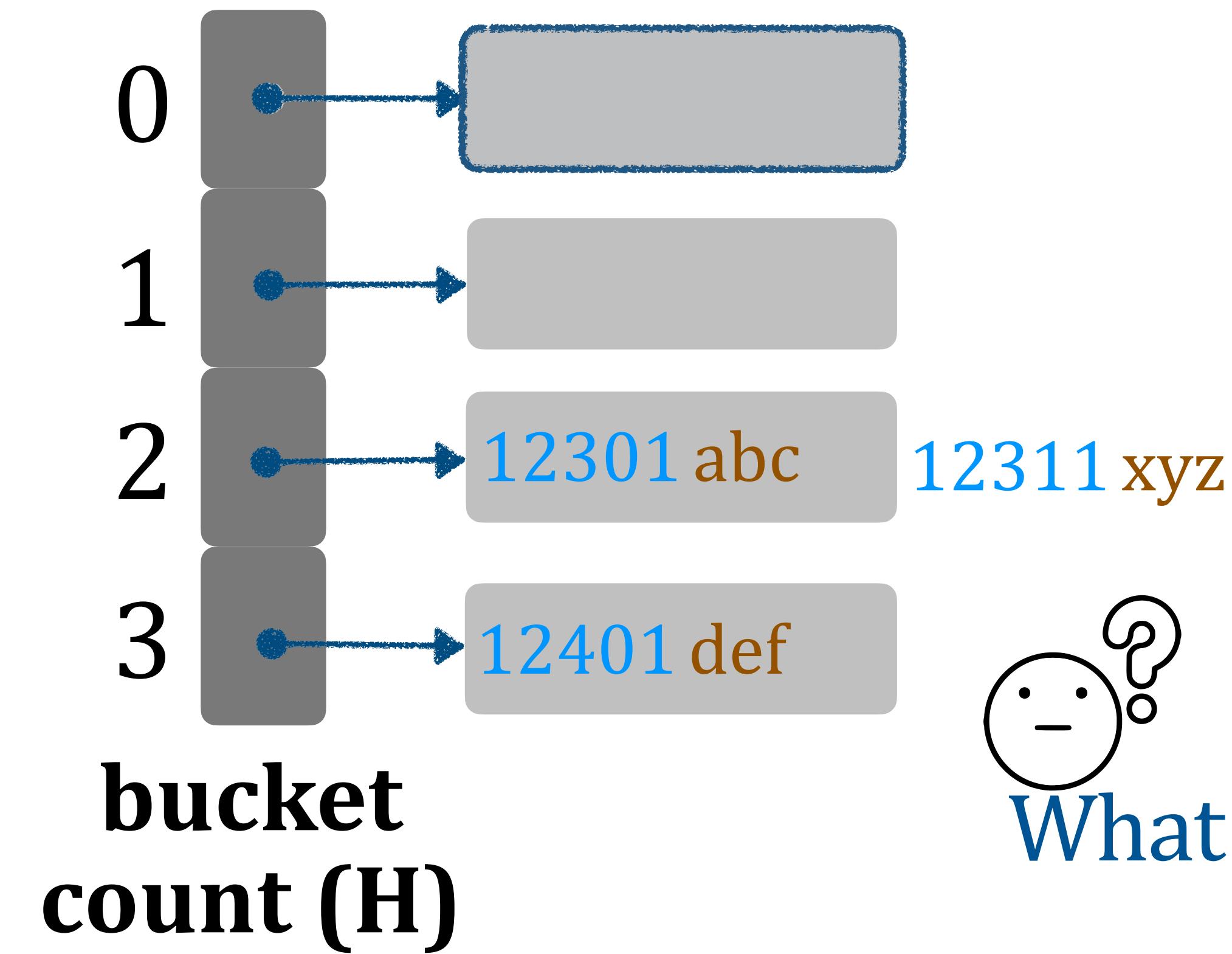
N : entries in buffer

M : meta data

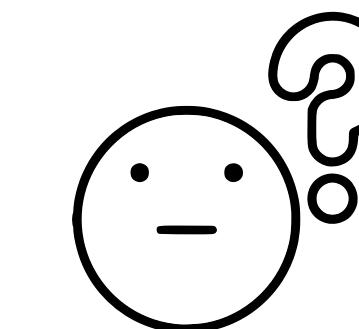
Implementation: Hash Hybrids

**prefix
length (X)**

$f(k)$



collision



What do we do for overflow?

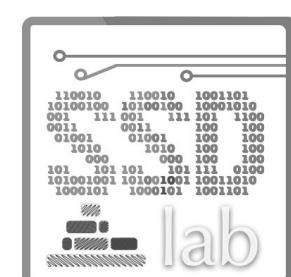
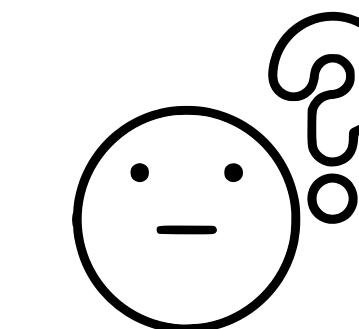
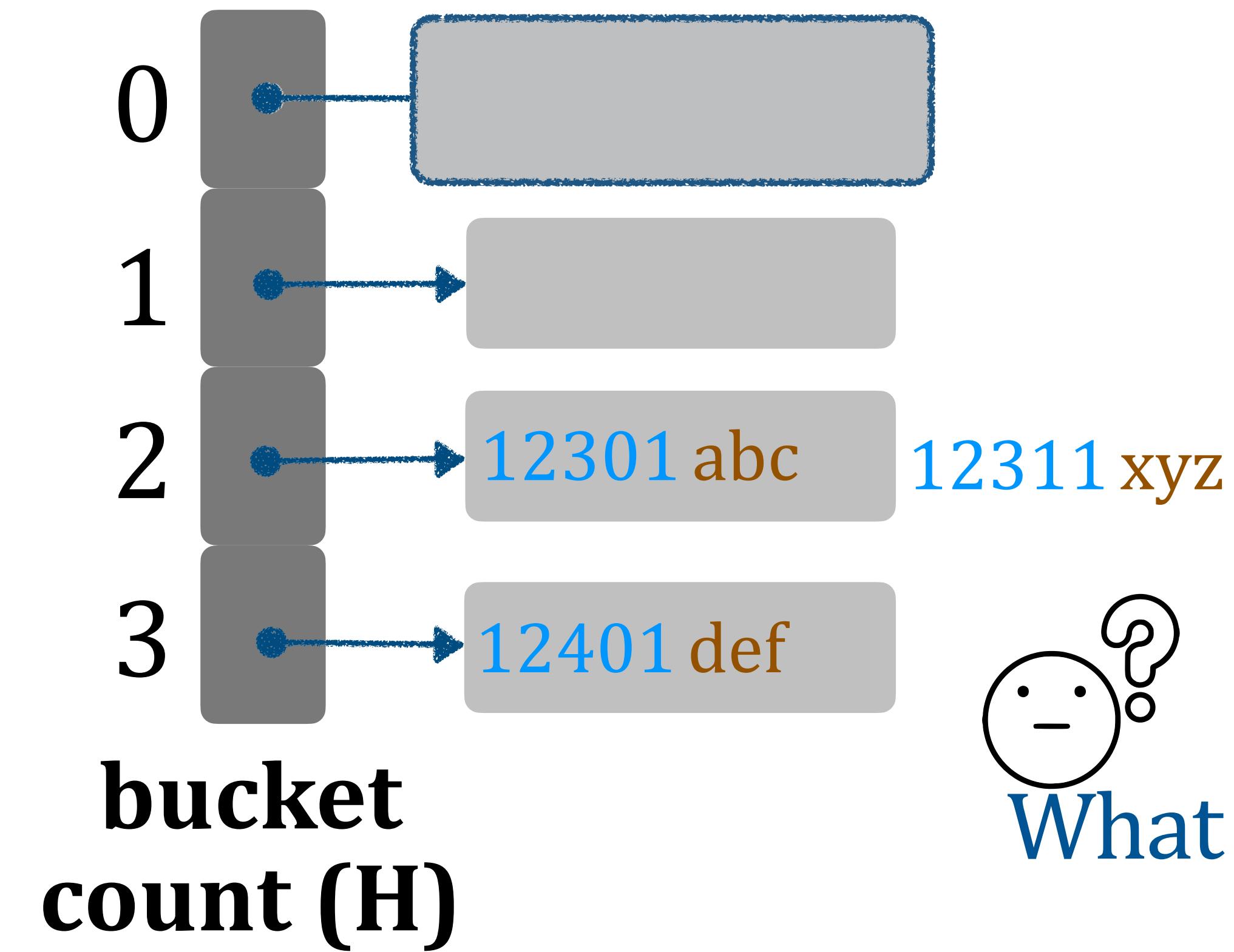
N : entries in buffer

M : meta data

Implementation: Hash Hybrids

**prefix
length (X)**

$f(k)$



Brandeis
U N I V E R S I T Y

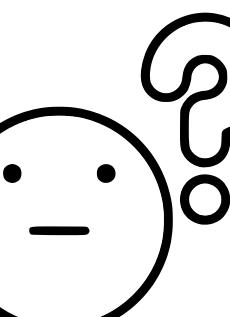
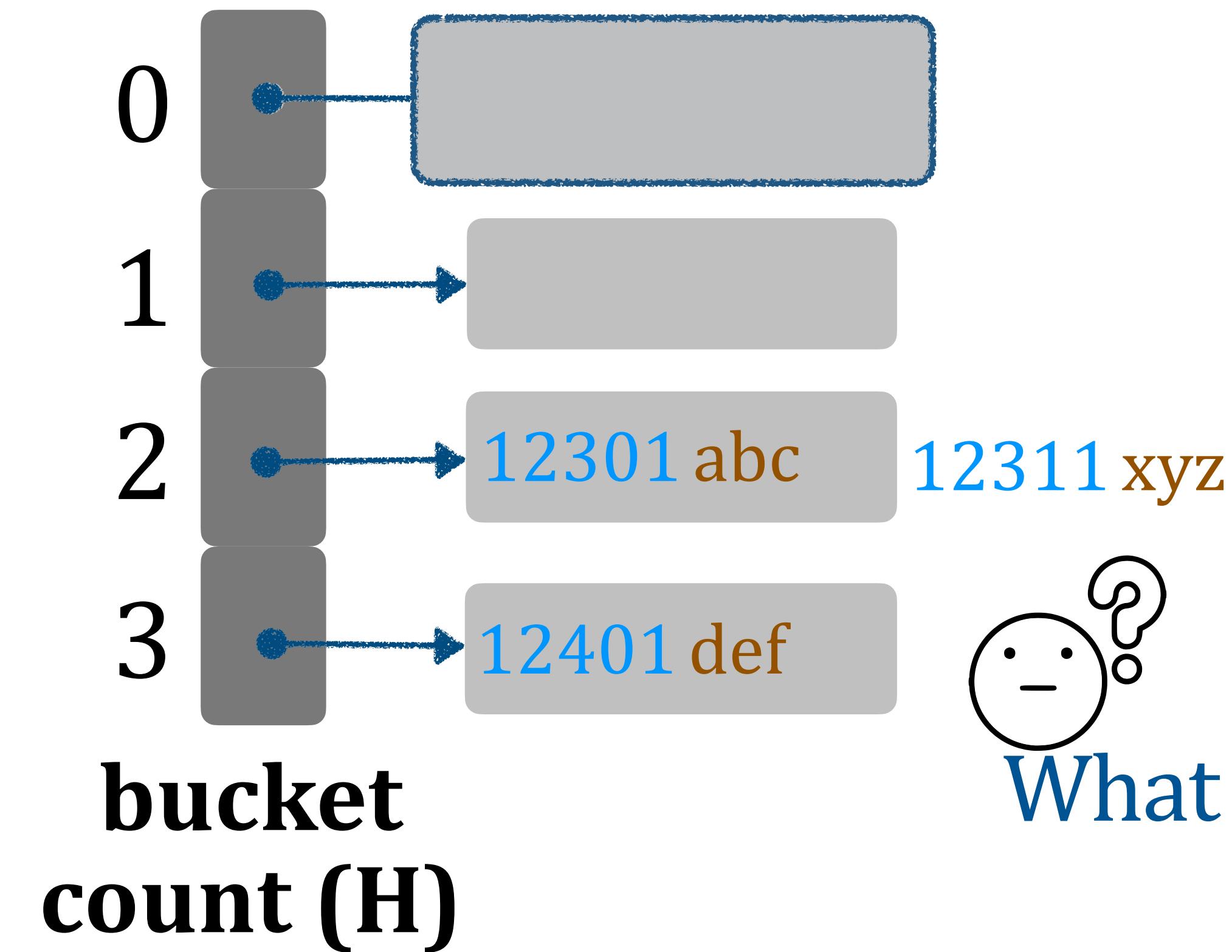
N : entries in buffer

M : meta data

Implementation: Hash Hybrids

prefix
length (X)

$f(k)$



What if we don't store prefix?



Brandeis
U N I V E R S I T Y

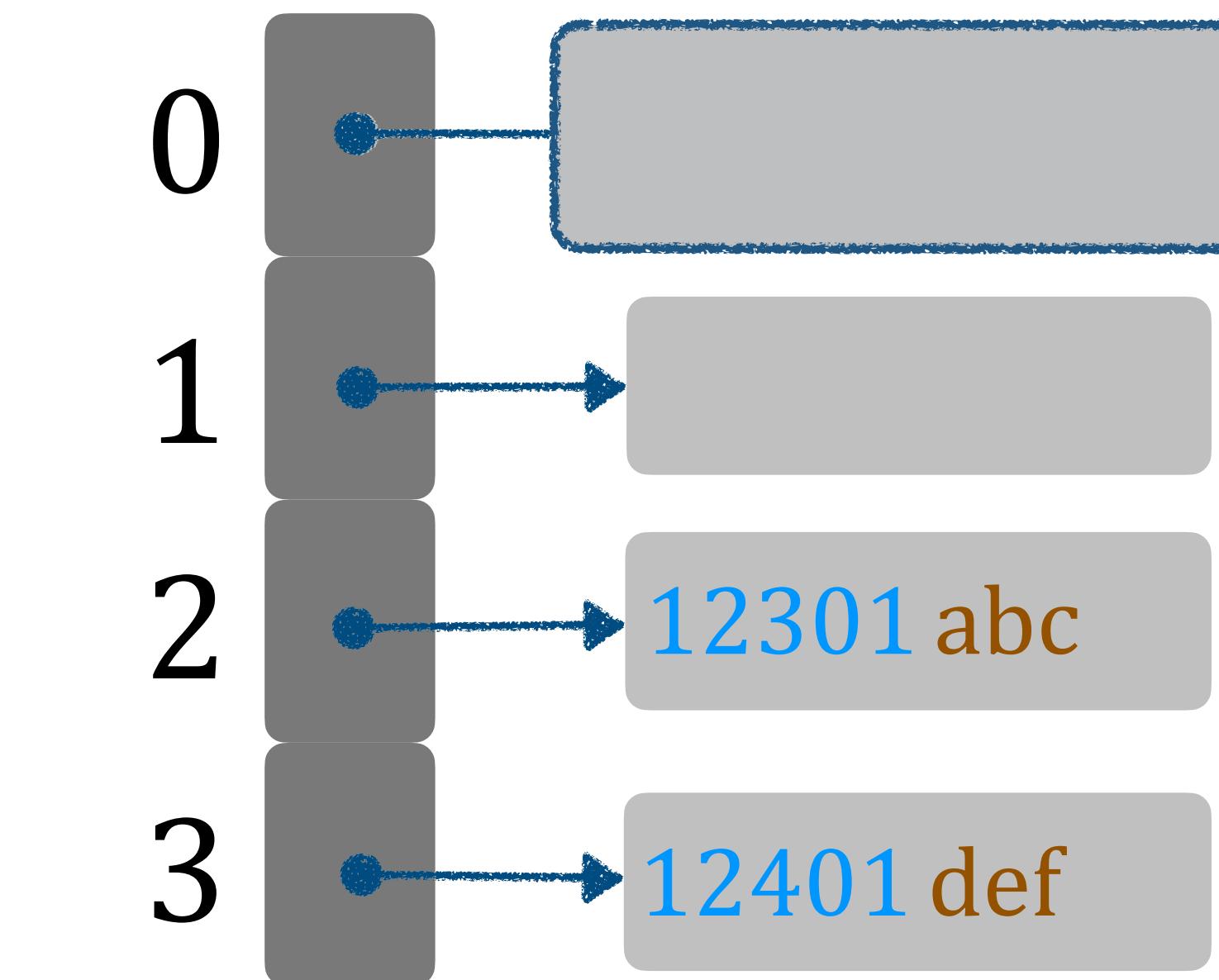
N : entries in buffer

M : meta data

Implementation: Hash Hybrids

prefix
length (X)

$f(k)$



bucket
count (H)

linked-list
skip-list
vector

- great for mixed w/l
- more meta space needed
- good for point queries

insert cost: $\mathcal{O}(\log N/H)$
space complexity: $\mathcal{O}(N + M)$
point query cost: $\mathcal{O}(\log N/H)$



N : entries in buffer

M : meta data

Analyze: Hash Hybrids

prefix length (X)

bucket count (H)

any data structure

$f(k_{i-N}) \rightarrow k_i v, \dots, k_N v$

X = 0, H > 0

or

X = key size,
H = 1

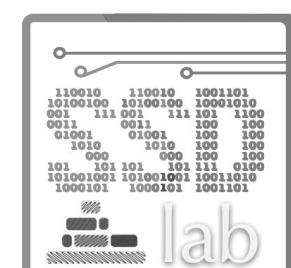
hash-table

$f(k_i) \rightarrow k v$

⋮

$f(k_N) \rightarrow k v$

X = key size,
H = N



Brandeis
UNIVERSITY

N : entries in buffer

M : meta data

Analyze: Hash Hybrids

prefix length (X)

bucket count (H)

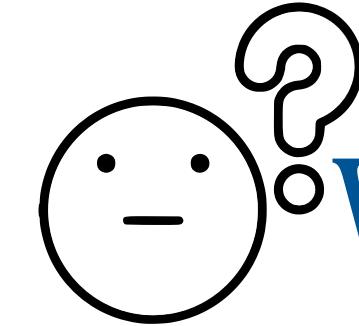
any data structure

$f(k_{i-N}) \rightarrow k_{iV}, \dots, k_{NV}$

X = 0, H > 0

or

X = key size,
H = 1



What could be the possible
values for X and H?

hash-table

$f(k_i) \rightarrow kv$

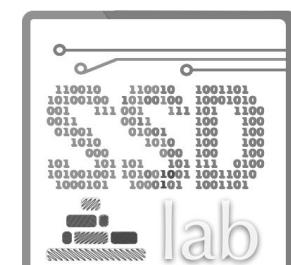
$f(k_N) \rightarrow kv$

X = key size,
H = N

X ??
H ??

b is a finite set of
prefixes $\{b_1, \dots, b_m\}$
for range queries

same prefix for start and end key



Brandeis
UNIVERSITY



N : entries in buffer

M : meta data

Analyze: Hash Hybrids

prefix length (X)

bucket count (H)

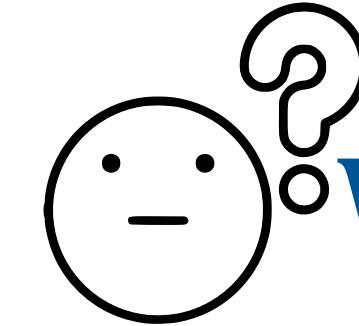
any data structure

$f(k_{i-N}) \rightarrow k_{iV}, \dots, k_{NV}$

X = 0, H > 0

or

X = key size,
H = 1



What could be the possible
values for X and H?

hash-table

$f(k_i) \rightarrow kv$

$f(k_N) \rightarrow kv$

X = key size,
H = N

X = len(b_1)
H > len(b)

b is a finite set of
prefixes $\{b_1, \dots, b_m\}$
for range queries



same prefix for start and end key



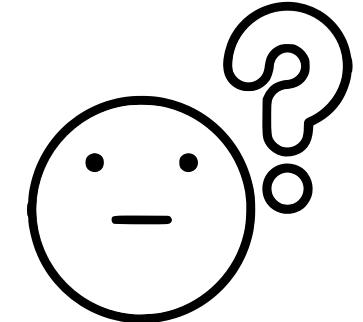
Brandeis
UNIVERSITY

N : entries in buffer

M : meta data

Analyze: Hash Hybrids

prefix length (X)



bucket count (H)

What could be the possible H value?

any data structure

$f(k_{i-N}) \rightarrow k_i v, \dots, k_N v$

$X = 0, H > 0$
or

$X = \text{key size},$
 $H = 1$

hash hybrid_(a)

$X = \text{len}(b_1)$
 $H > \text{len}(b)$

b is a finite set of
prefixes $\{b_1, \dots, b_m\}$
for range queries

$X = \text{len}(b_1),$
 $H \leq ??$



s is selectivity
of range query

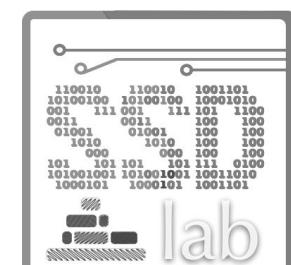
hash-table

$f(k_i) \rightarrow kv$

$f(k_N) \rightarrow kv$

$X = \text{key size},$
 $H = N$

same prefix for start and end key



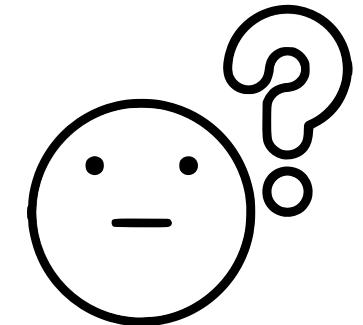
Brandeis
UNIVERSITY

N : entries in buffer

M : meta data

Analyze: Hash Hybrids

prefix length (X)



bucket count (H)

What could be the possible H value?

any data structure

$f(k_{i-N}) \rightarrow k_i v, \dots, k_N v$

$X = 0, H > 0$
or

$X = \text{key size},$
 $H = 1$

hash hybrid_(a)

$X = \text{len}(b_1)$
 $H > \text{len}(b)$

b is a finite set of
prefixes $\{b_1, \dots, b_m\}$
for range queries

$X = \text{len}(b_1),$
 $H \leq \text{size(buffer)} / (N \times s)$



s is selectivity
of range query

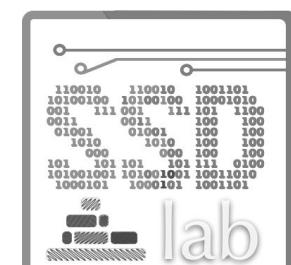
same prefix for start and end key

hash-table

$f(k_i) \rightarrow kv$

$f(k_N) \rightarrow kv$

$X = \text{key size},$
 $H = N$



Brandeis
UNIVERSITY

N : entries in buffer

M : meta data

Analyze: Hash Hybrids

prefix length (X)

bucket count (H)

any data structure

$f(k_{i-N}) \rightarrow k_i v, \dots, k_N v$

$X = 0, H > 0$
or

$X = \text{key size},$
 $H = 1$

hash hybrid_(a)

$X = \text{len}(b_1)$
 $H > \text{len}(b)$

b is a finite set of
prefixes $\{b_1, \dots, b_m\}$
for range queries

hash hybrid_(b)

$X = \text{len}(b_1),$
 $H \leq \text{size(buffer)} / (N \times s)$

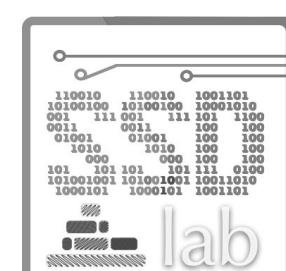
s is selectivity of range query
b is a finite set of
prefixes $\{b_1, \dots, b_m\}$
for range queries

hash-table

$f(k_i) \rightarrow kv$

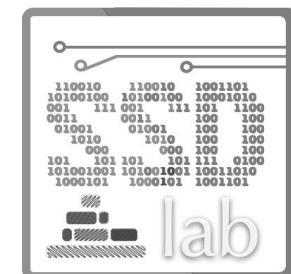
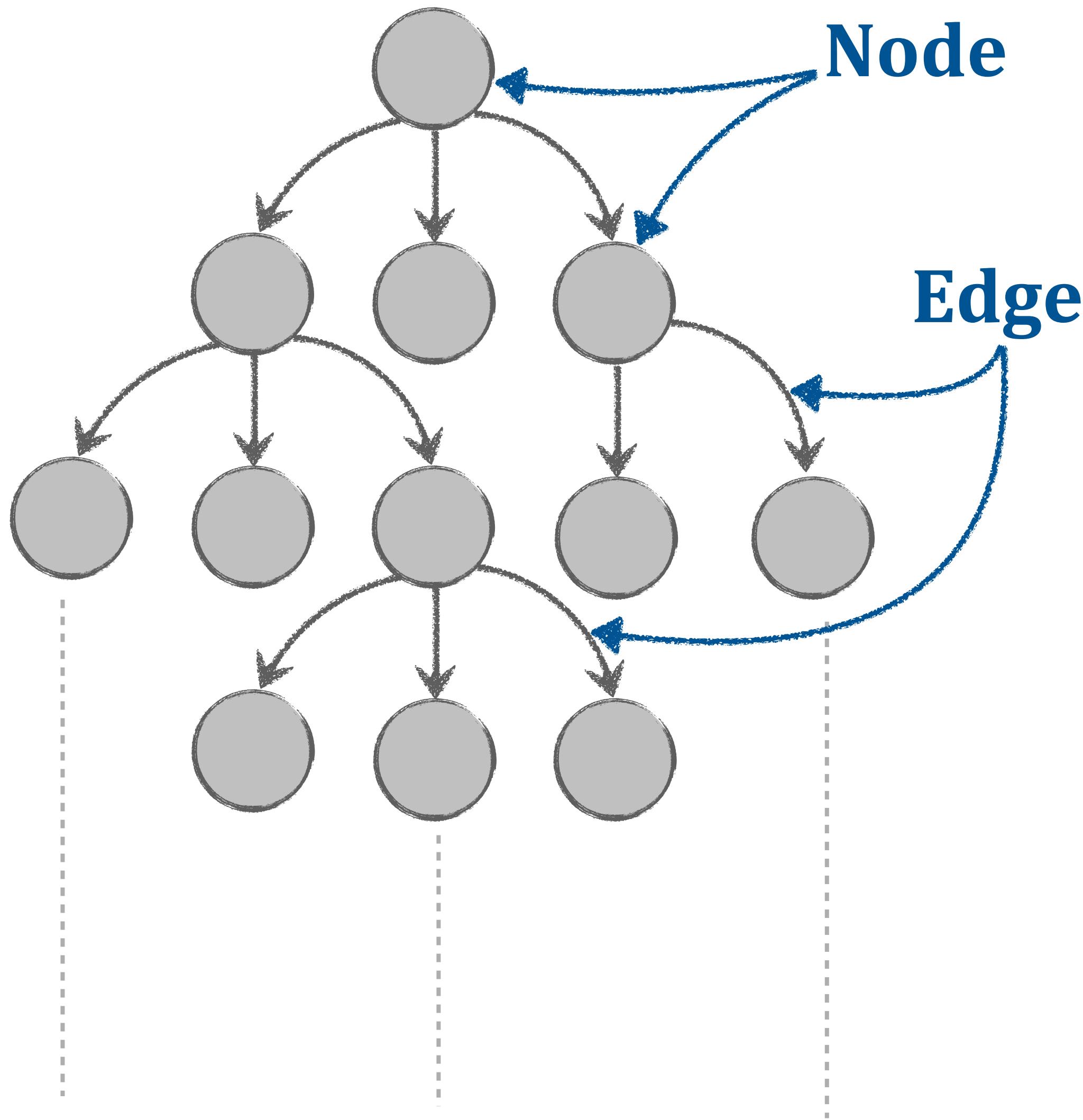
\circlearrowleft
 $f(k_N) \rightarrow kv$

$X = \text{key size},$
 $H = N$



Brandeis
U N I V E R S I T Y

Implementation: Trie



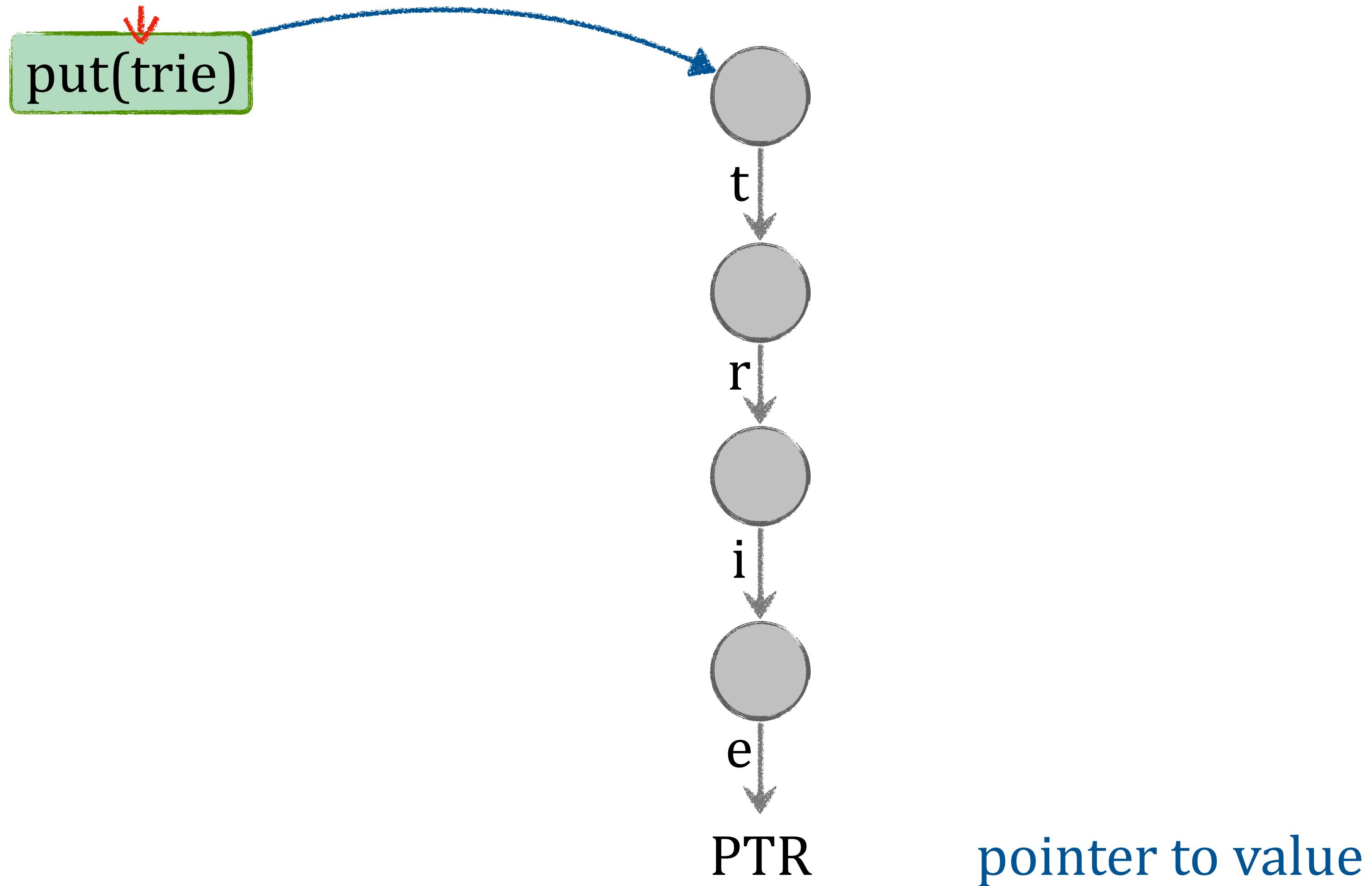
Brandeis UNIVERSITY

K : max size of key

M : meta data

N : entries in buffer

Put Implementation: Trie



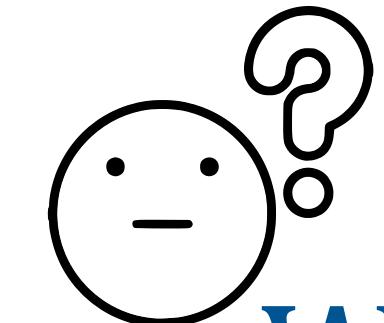
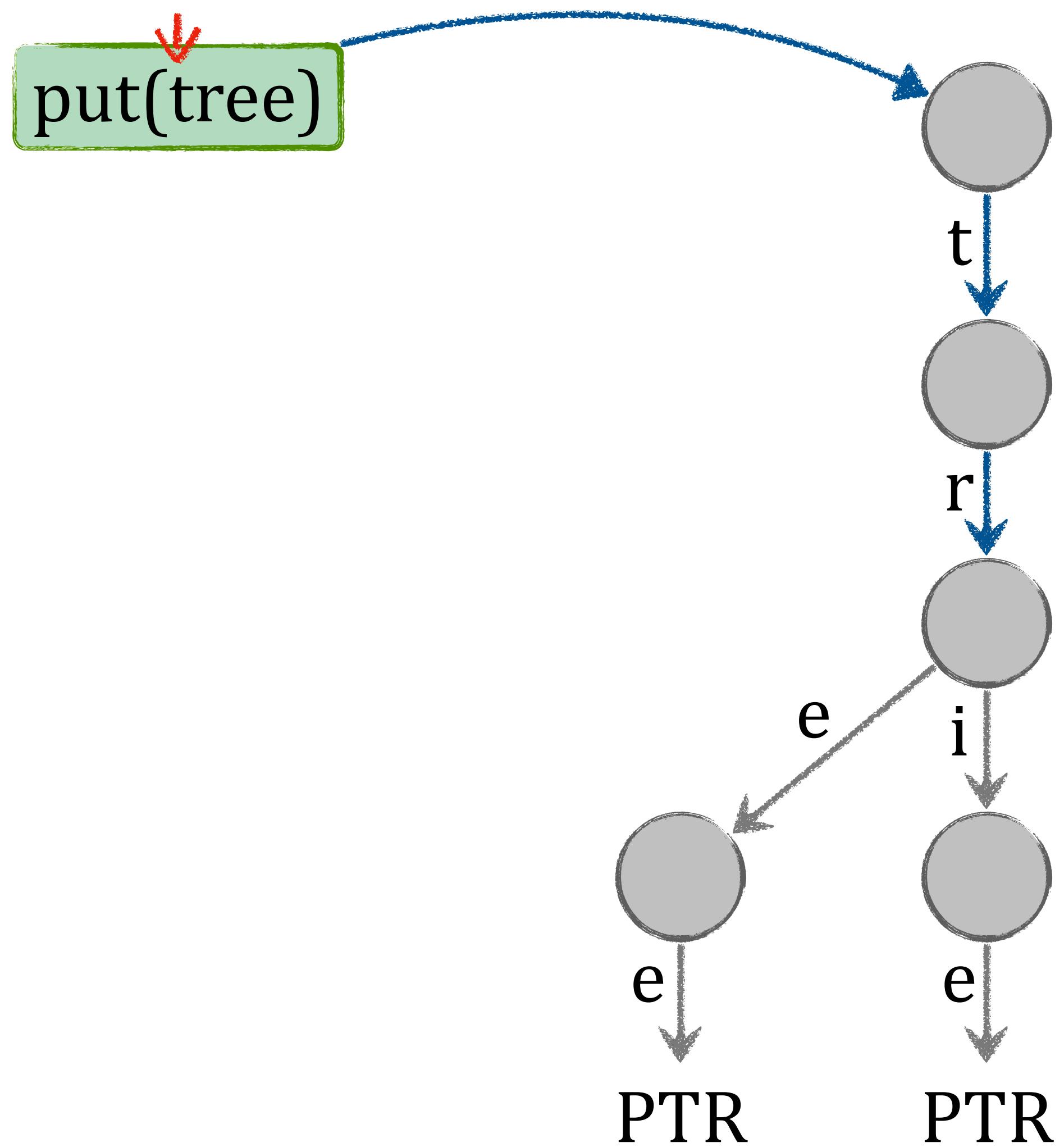
Brandeis
UNIVERSITY

K : max size of key

M : meta data

N : entries in buffer

Put Implementation: Trie



What's the difference?

- more space optimized



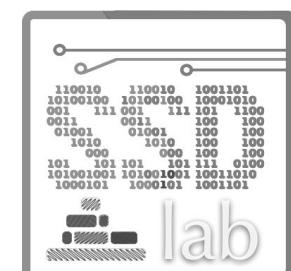
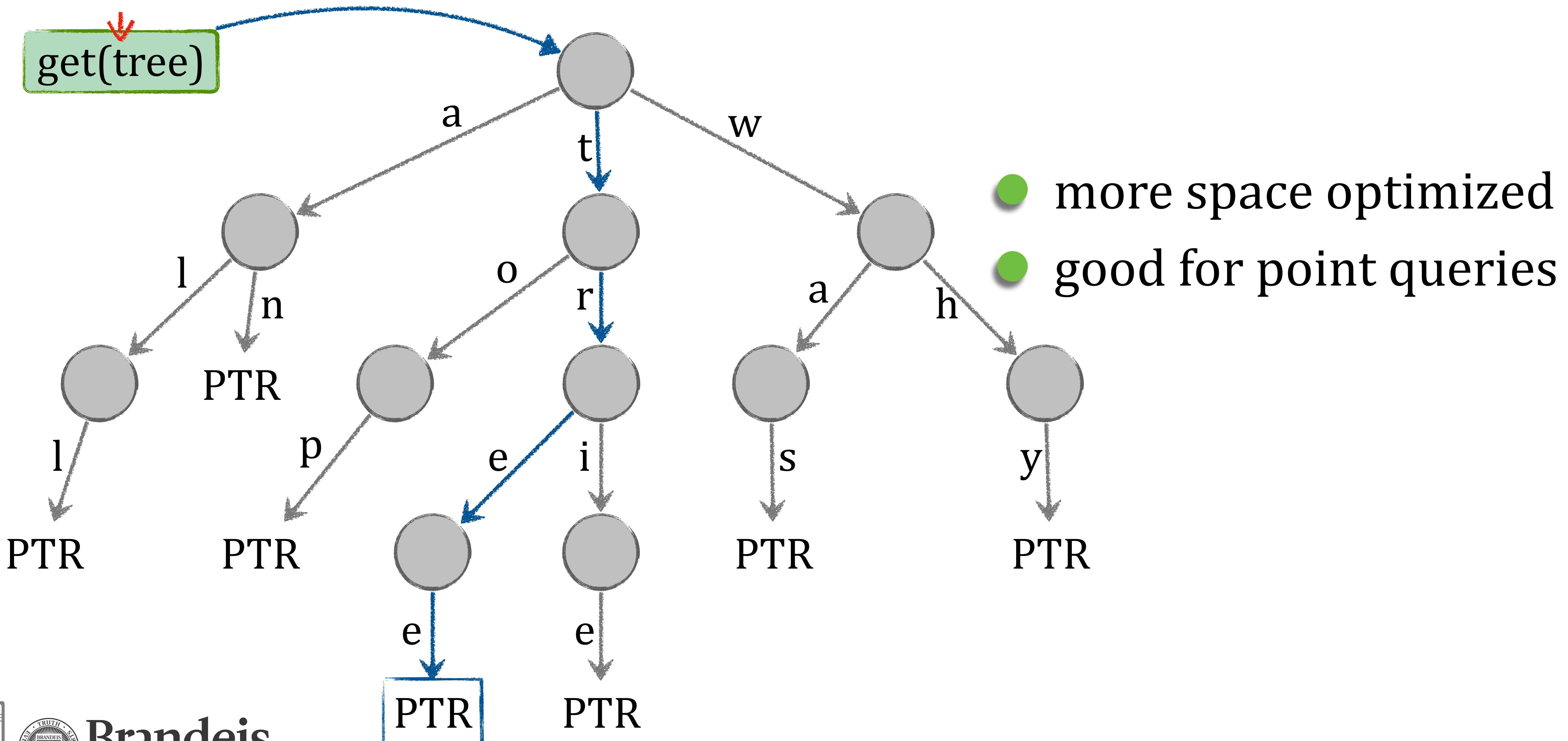
Brandeis
UNIVERSITY

K : max size of key

M : meta data

N : entries in buffer

Get Implementation: Trie



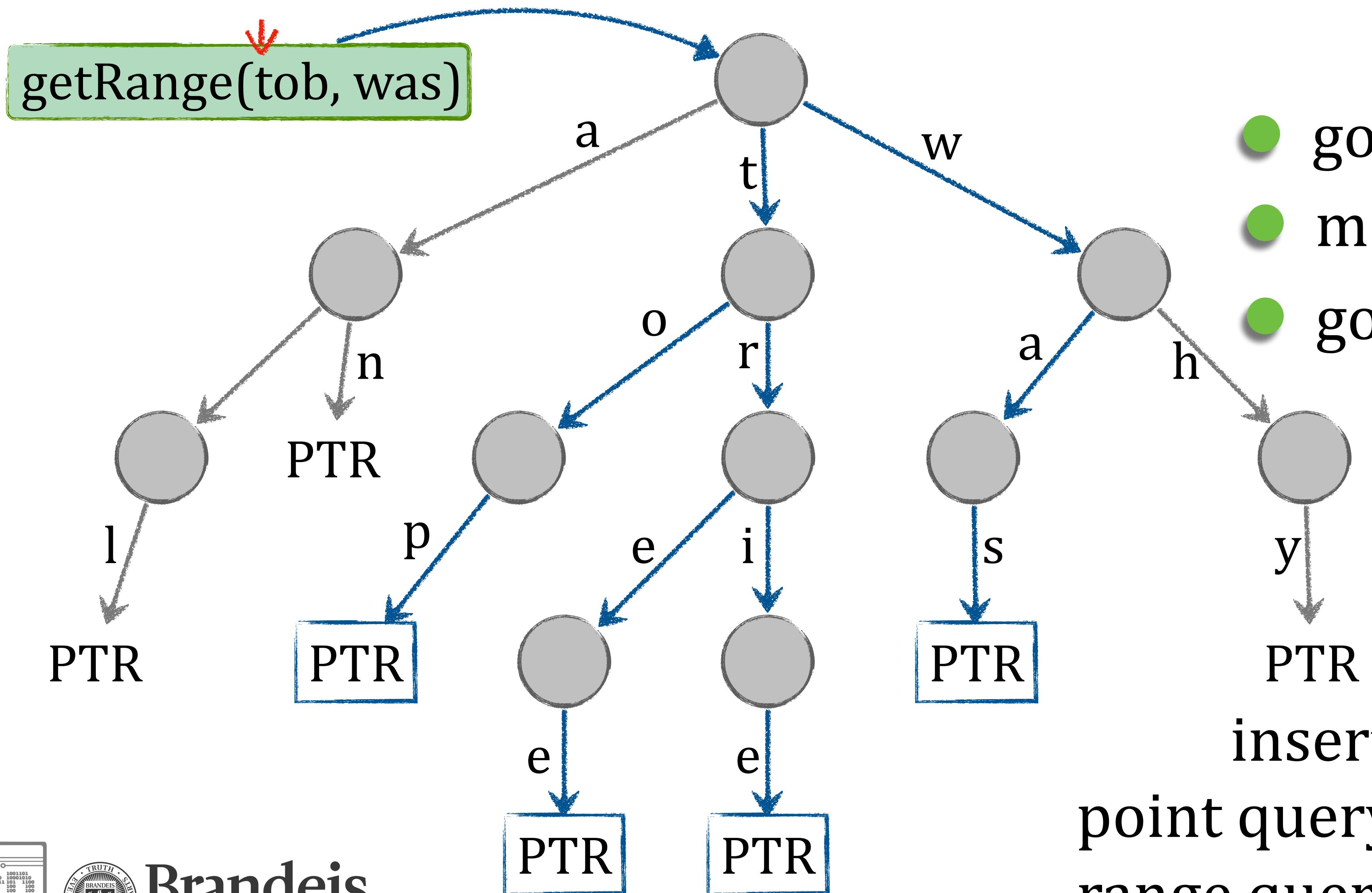
Brandeis
UNIVERSITY

K : max size of key

M : meta data

N : entries in buffer

GetRange Implementation: Trie



- good for range queries
- more space optimized
- good for point queries

insert cost: $\mathcal{O}(K)$

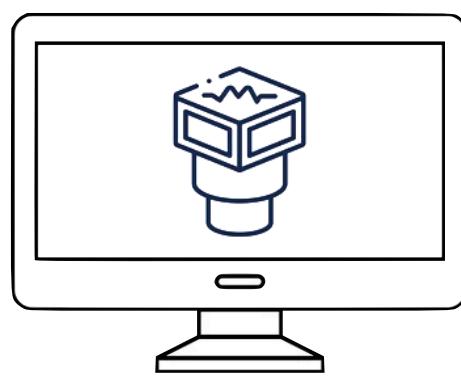
point query cost: $\mathcal{O}(K)$

range query cost: $\mathcal{O}(K \times N \times s)$

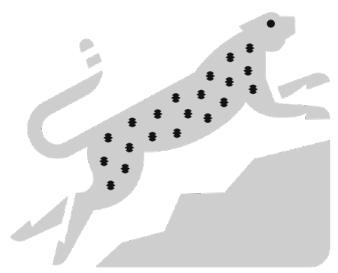


Brandeis
UNIVERSITY

Experiments



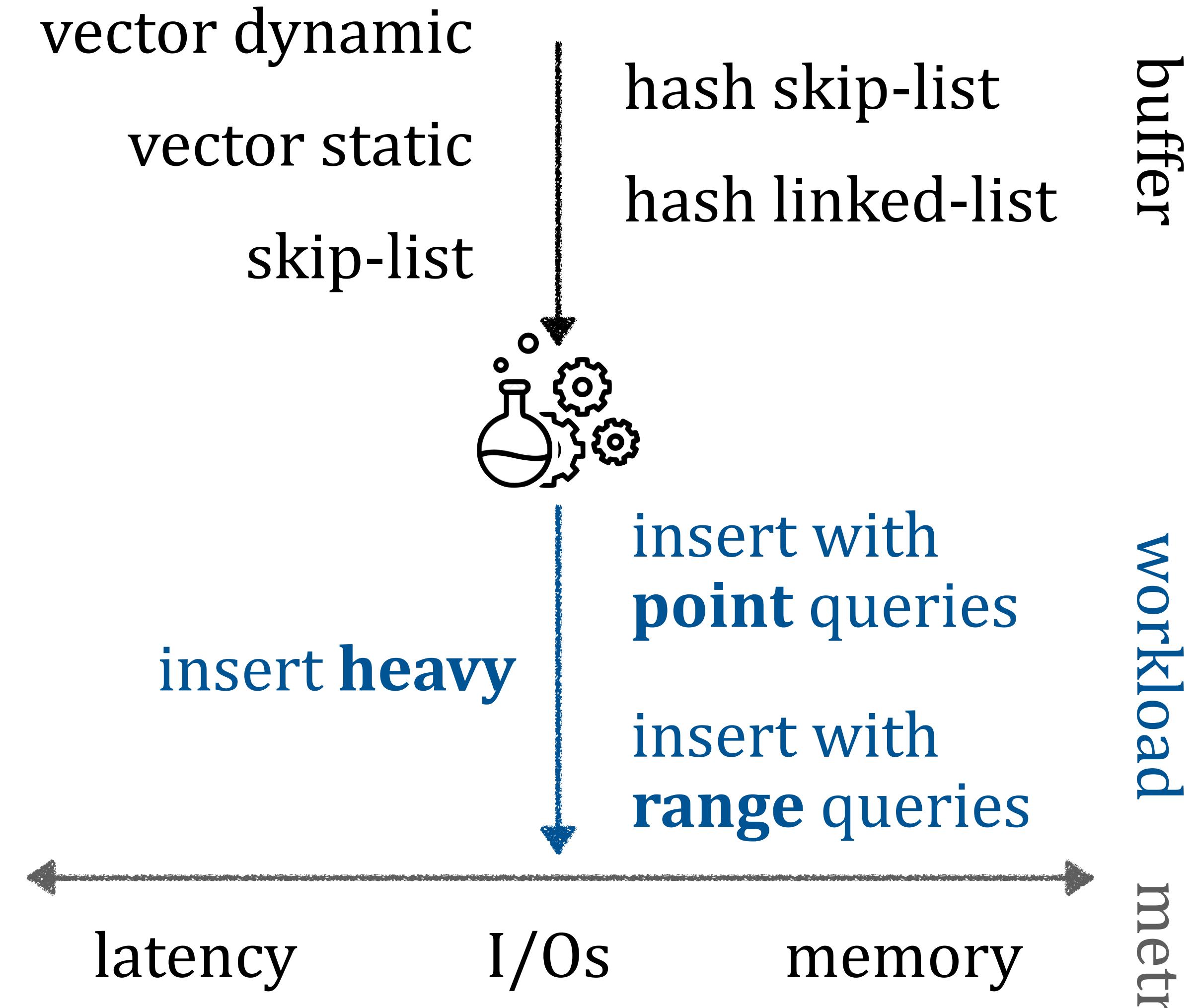
2 Intel Gold 6126 vCPUs
192 GB RAM
240 GB SSD
Ubuntu 20.04 LTS



RocksDB v9.0.0



size ratio 4
buffer size 16MB
page size 4KB
entry size 64B
key size 10



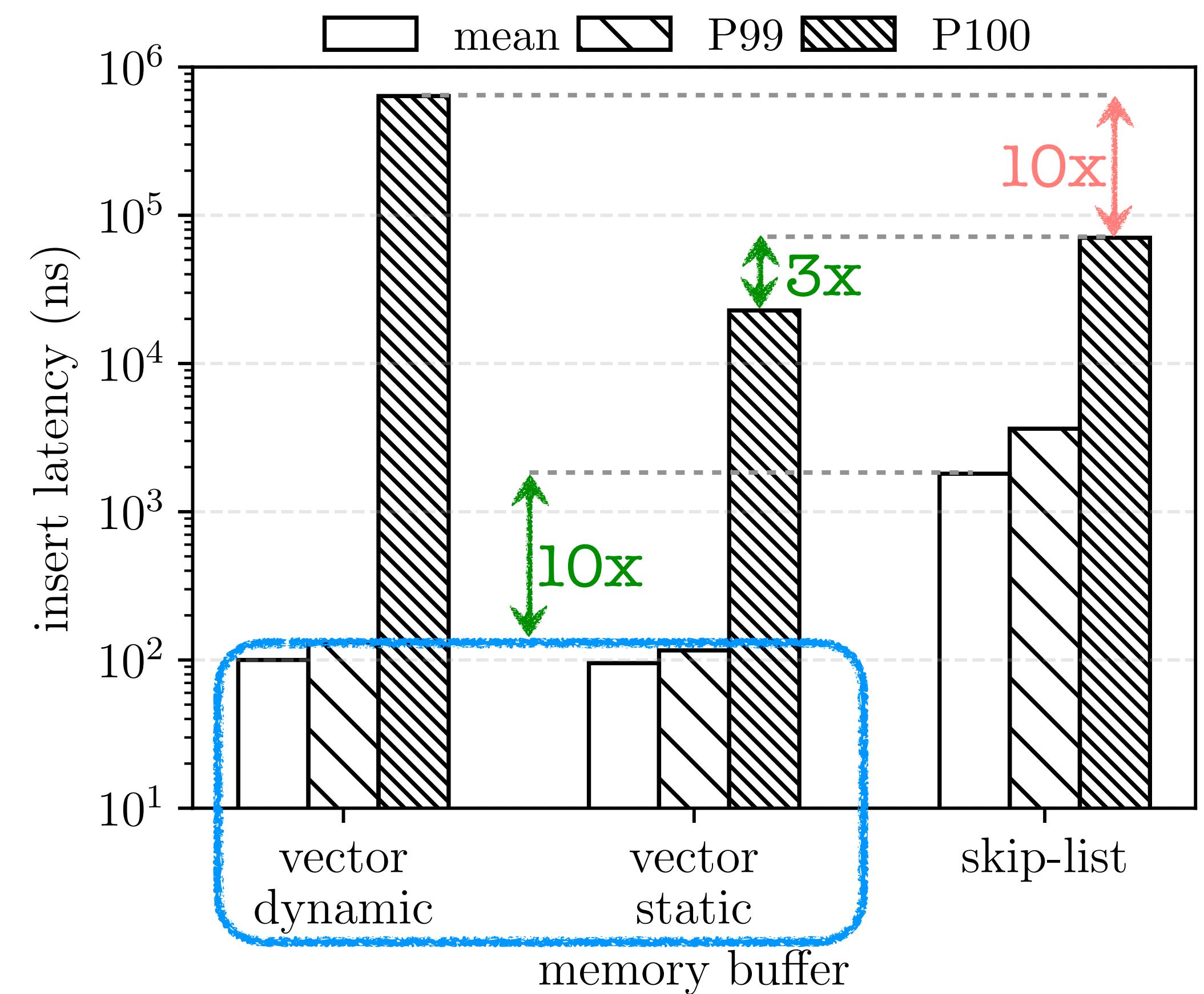
Brandeis
U N I V E R S I T Y

Evaluation

vector is 10x faster than
skip-list for an *insert heavy* w/l



120K inserts



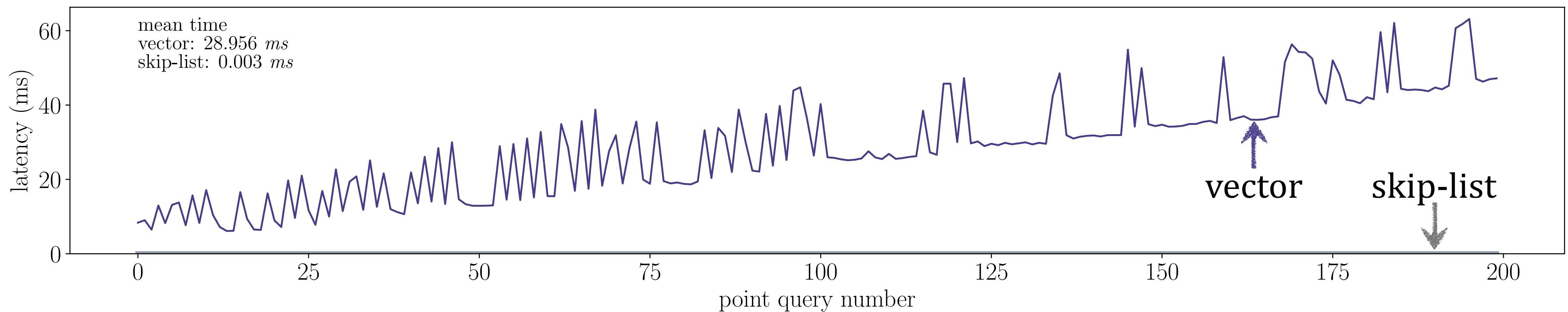
insert heavy

Evaluation

vector is 10x faster than
skip-list for an *insert heavy* w/l



140K inserts, 200 interleaved point queries



insert with point queries



Brandeis
U N I V E R S I T Y

Evaluation

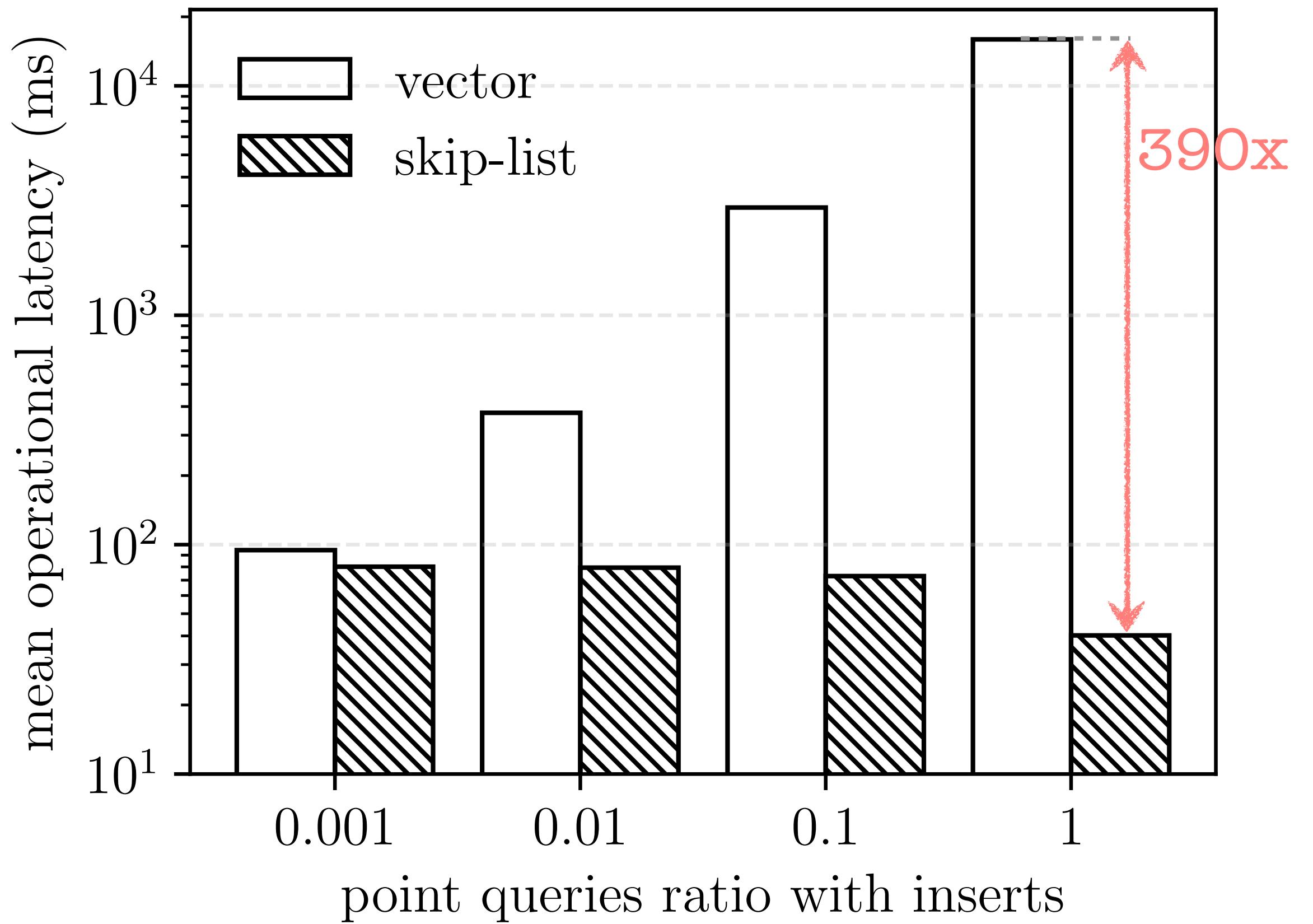
vector is 10x faster than
skip-list for an *insert heavy* w/l



vector is worst choice for w/ls
with point queries



120K inserts, interleaved point queries



insert with point queries

Evaluation

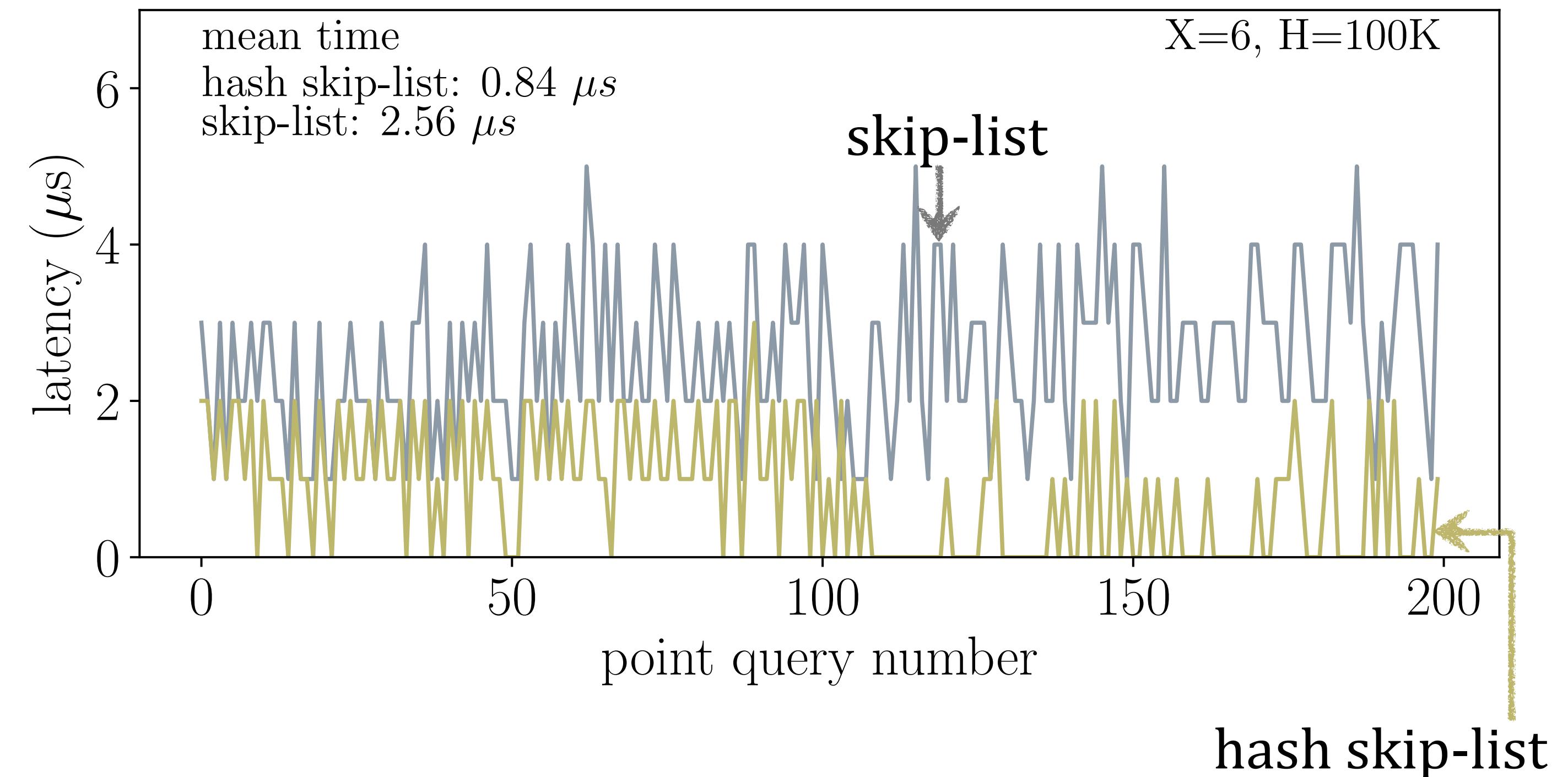
vector is 10x faster than
skip-list for an *insert heavy* w/l



vector is worst choice for w/ls
with point queries



140K inserts, 200 interleaved point queries



insert with point queries

Evaluation

vector is 10x faster than
skip-list for an *insert heavy* w/l



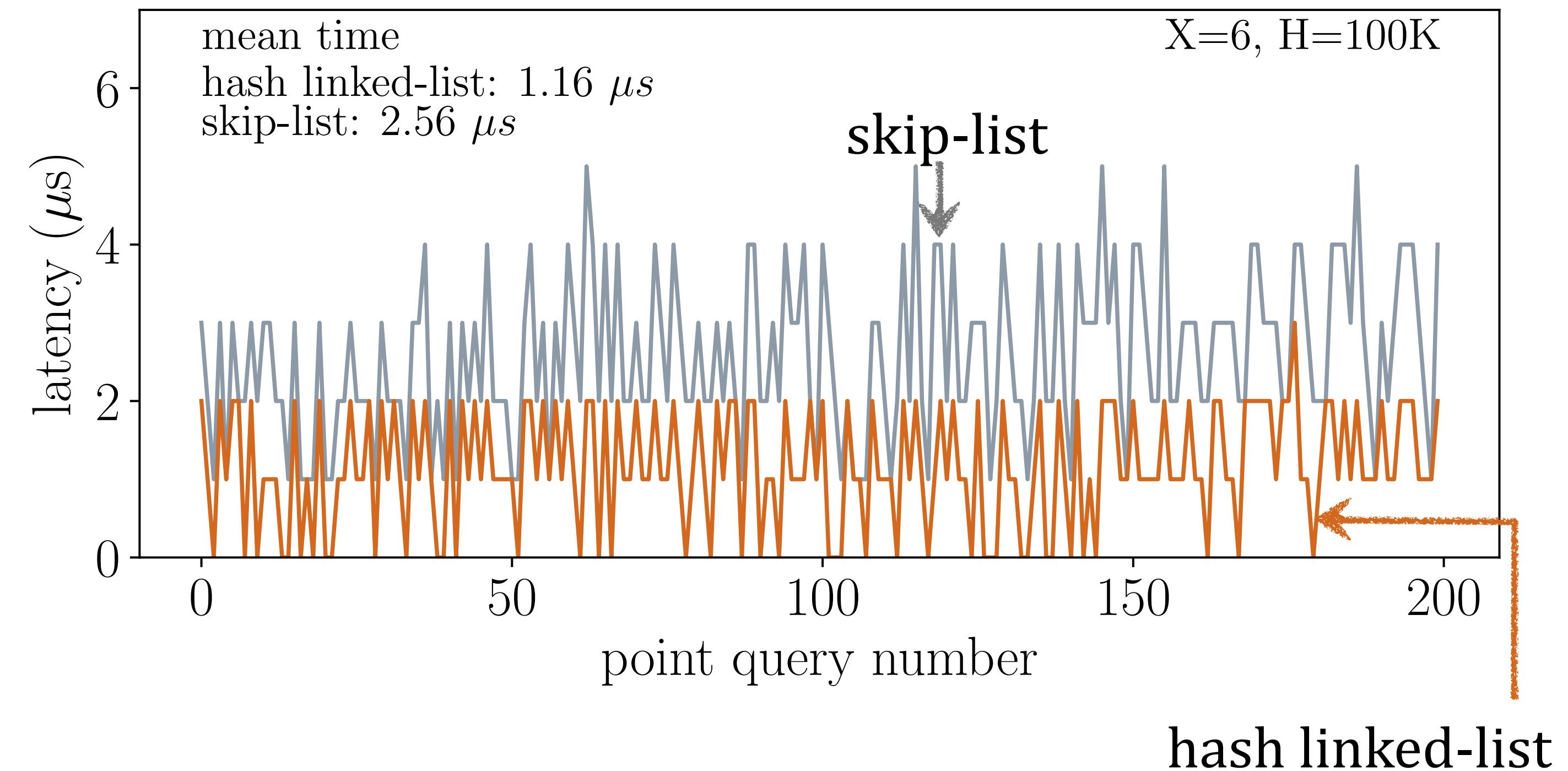
vector is worst choice for w/ls
with point queries



hash buffers are 2-3x faster
than skip-list for point queries
w/ls



140K inserts, 200 interleaved point queries



insert with point queries

Evaluation

vector is 10x faster than
skip-list for an *insert heavy* w/l



vector is worst choice for w/ls
with point queries



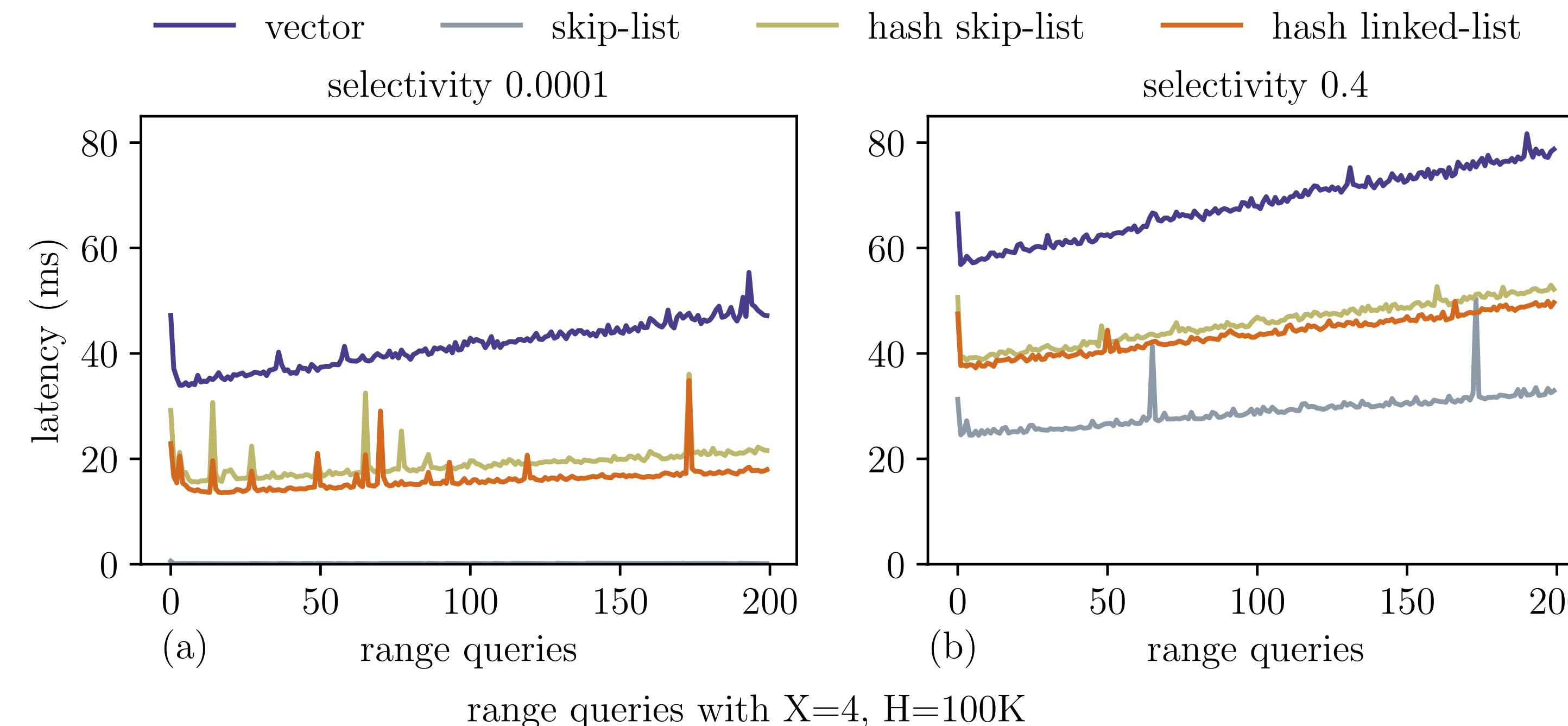
hash buffers are 2-3x faster
than skip-list for point queries
w/ls



skip-list outperforms for w/ls
with range queries



140K inserts, 200 interleaved range queries



insert with range queries

Evaluation

vector is 10x faster than
skip-list for an *insert heavy* w/l



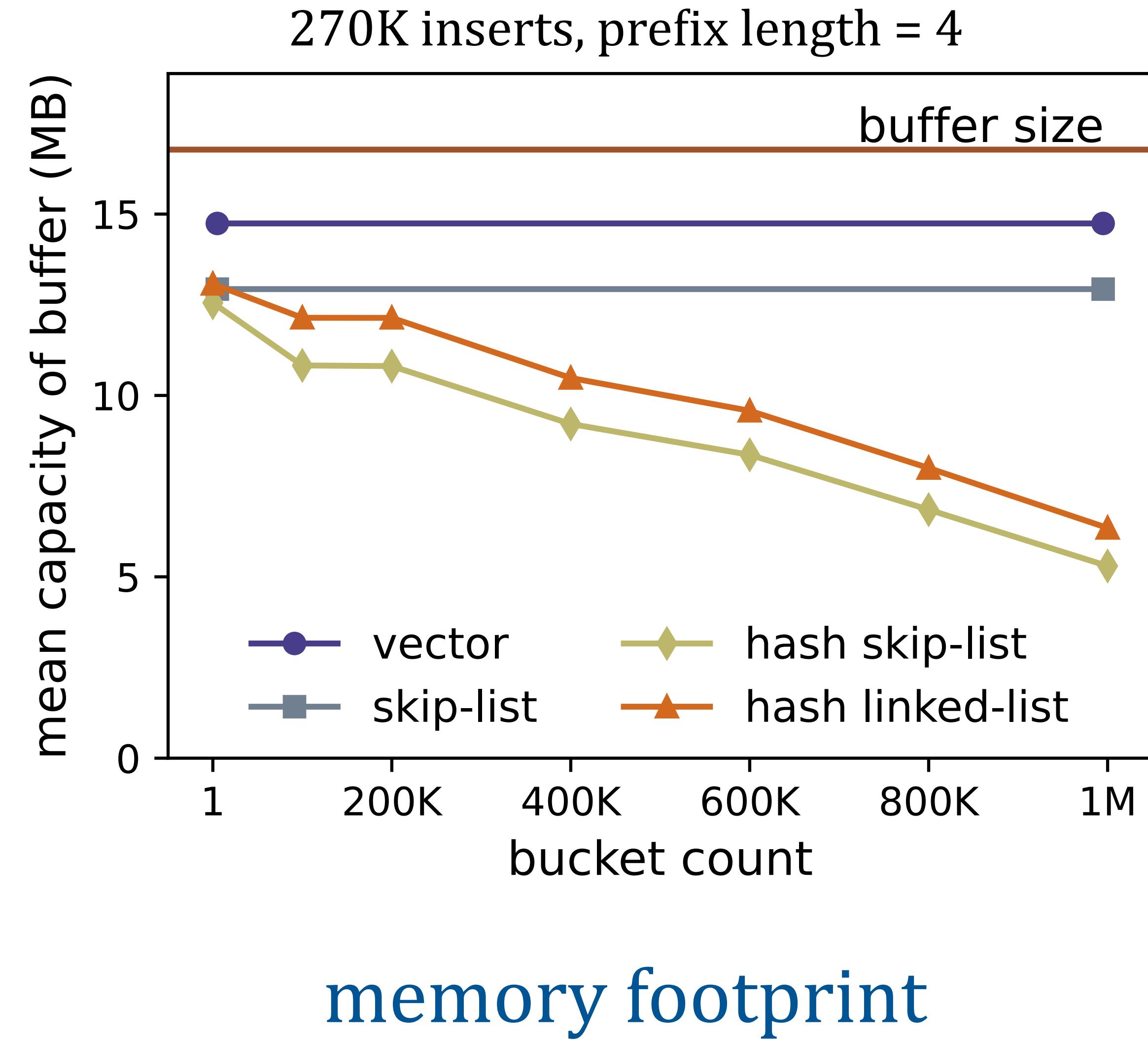
vector is worst choice for w/ls
with point queries



hash buffers are 2-3x faster
than skip-list for point queries
w/ls



skip-list outperforms for w/ls
with range queries



Evaluation

vector is 10x faster than
skip-list for an *insert heavy* w/l



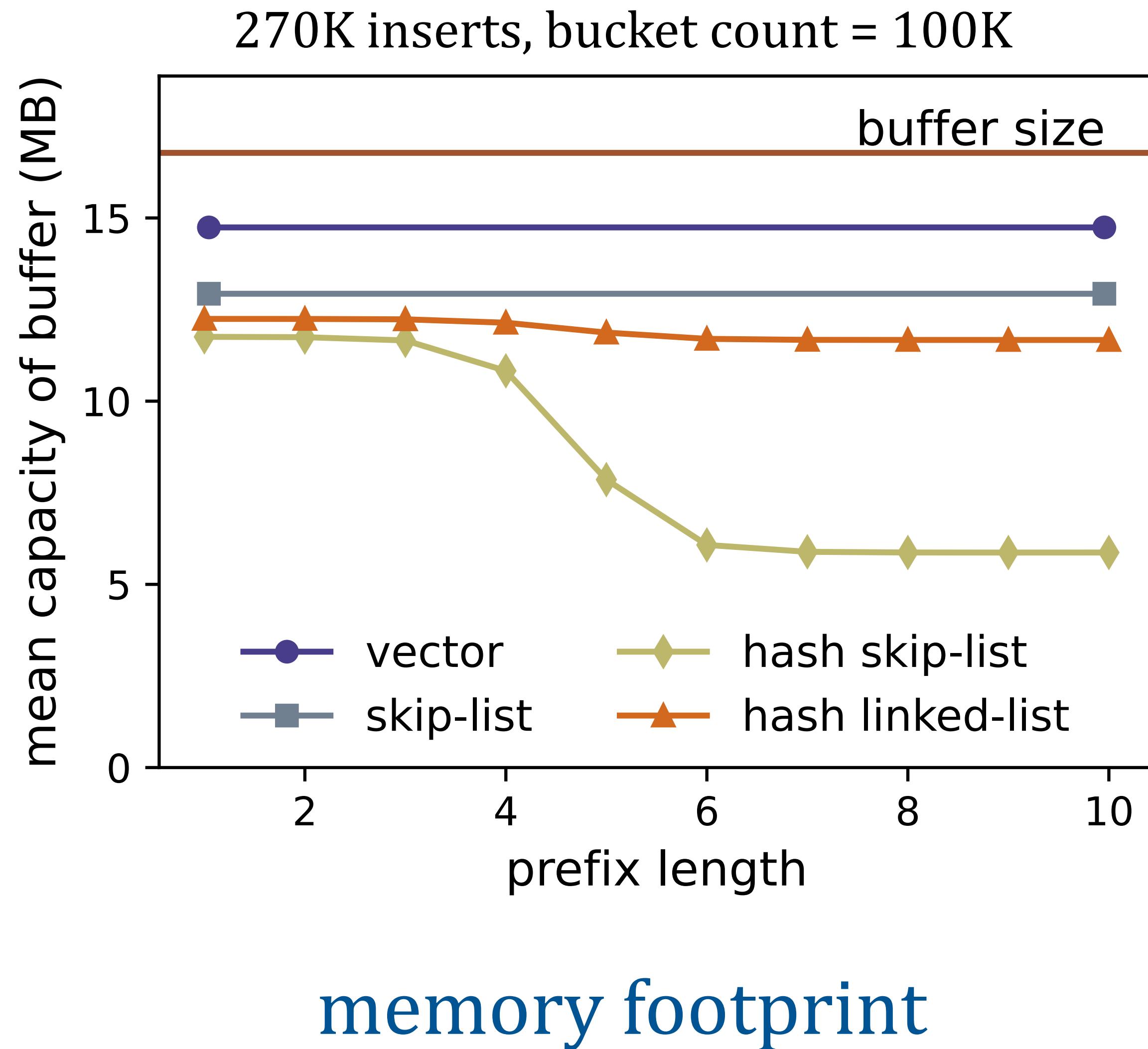
vector is worst choice for w/ls
with point queries



hash buffers are 2-3x faster
than skip-list for point queries
w/ls



skip-list outperforms for w/ls
with range queries



Evaluation

vector is 10x faster than skip-list for an *insert heavy* w/l



vector is worst choice for w/ls with point queries



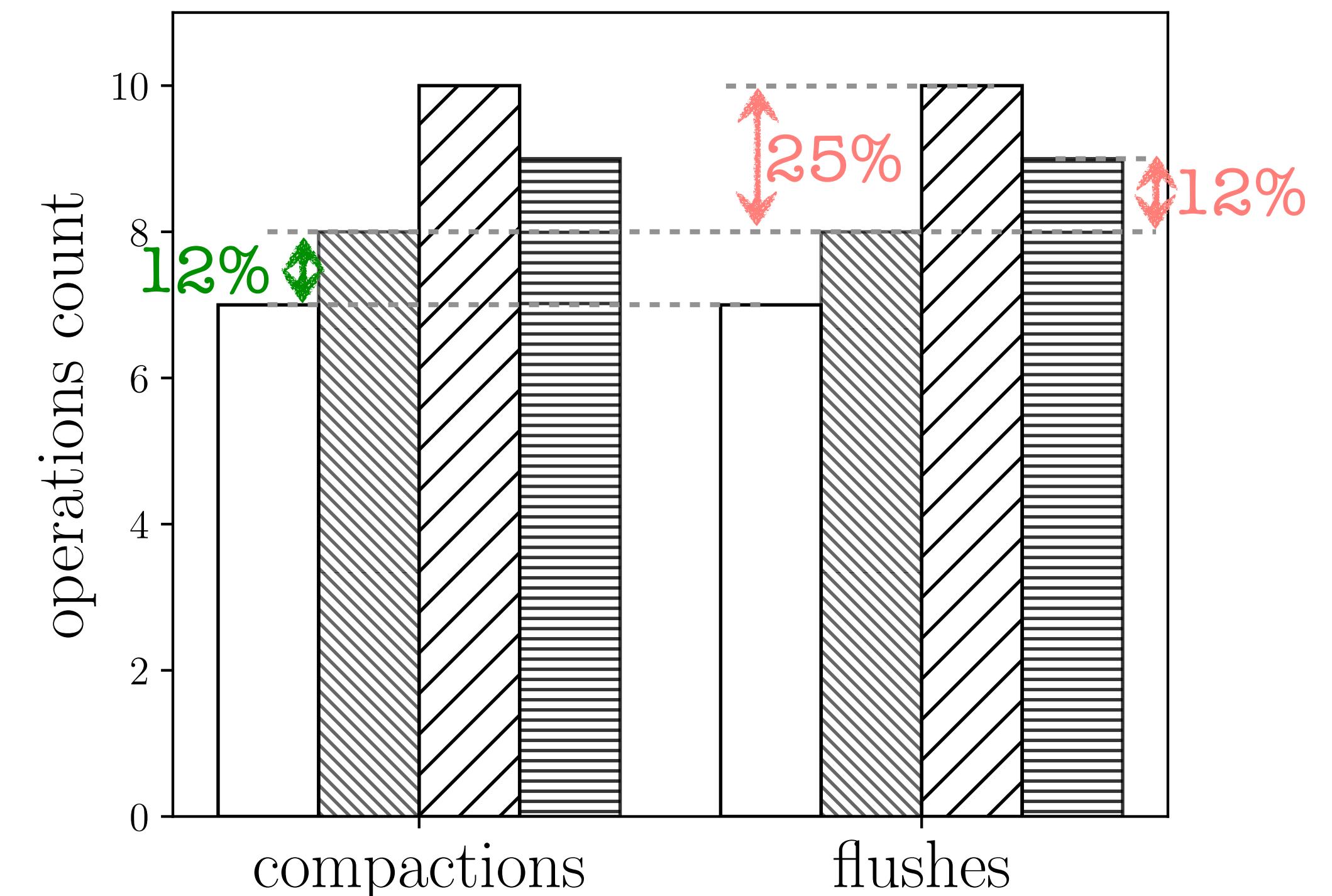
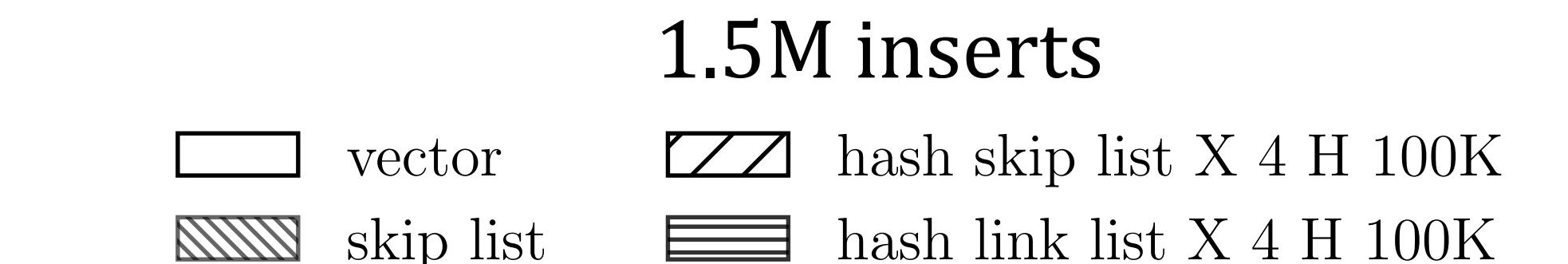
hash buffers are 2-3x faster than skip-list for point queries w/ls



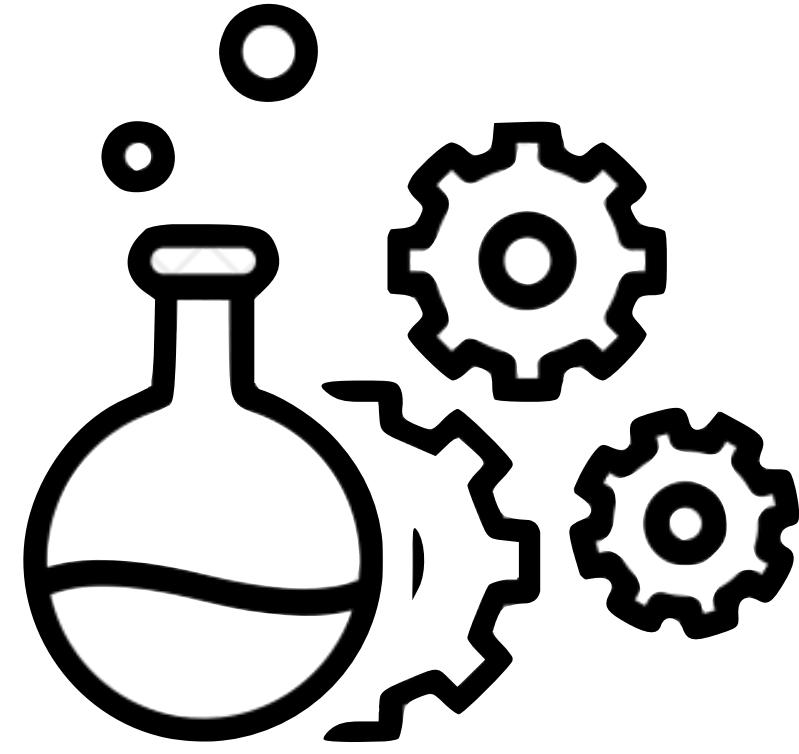
skip-list outperforms for w/ls with range queries



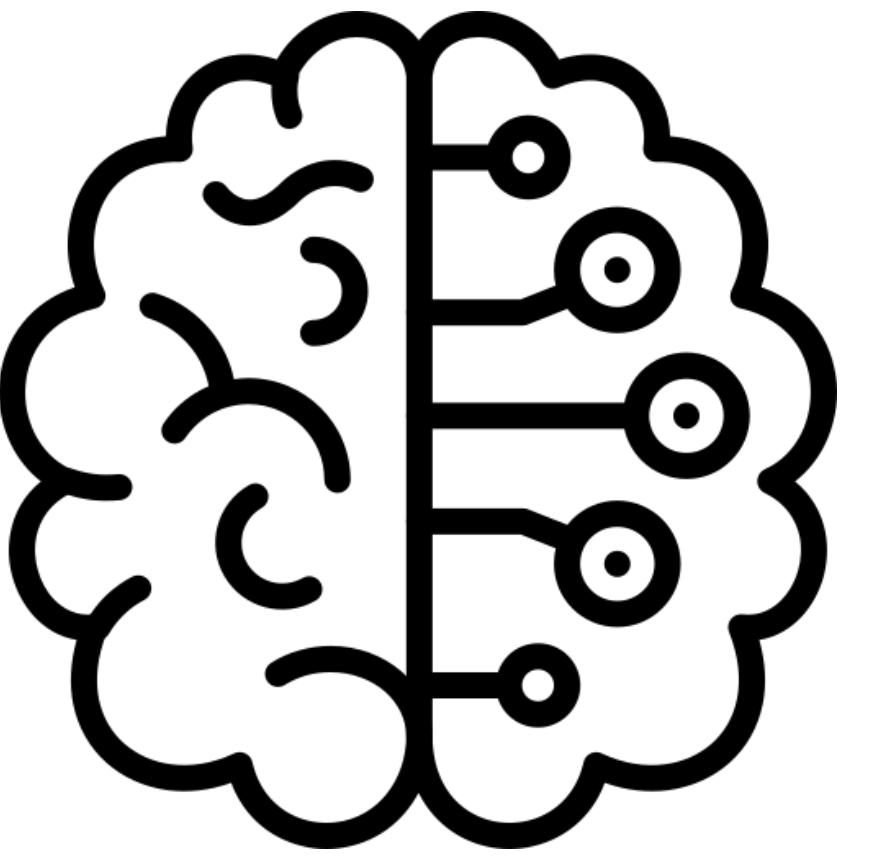
vector perform 12% less flushes;



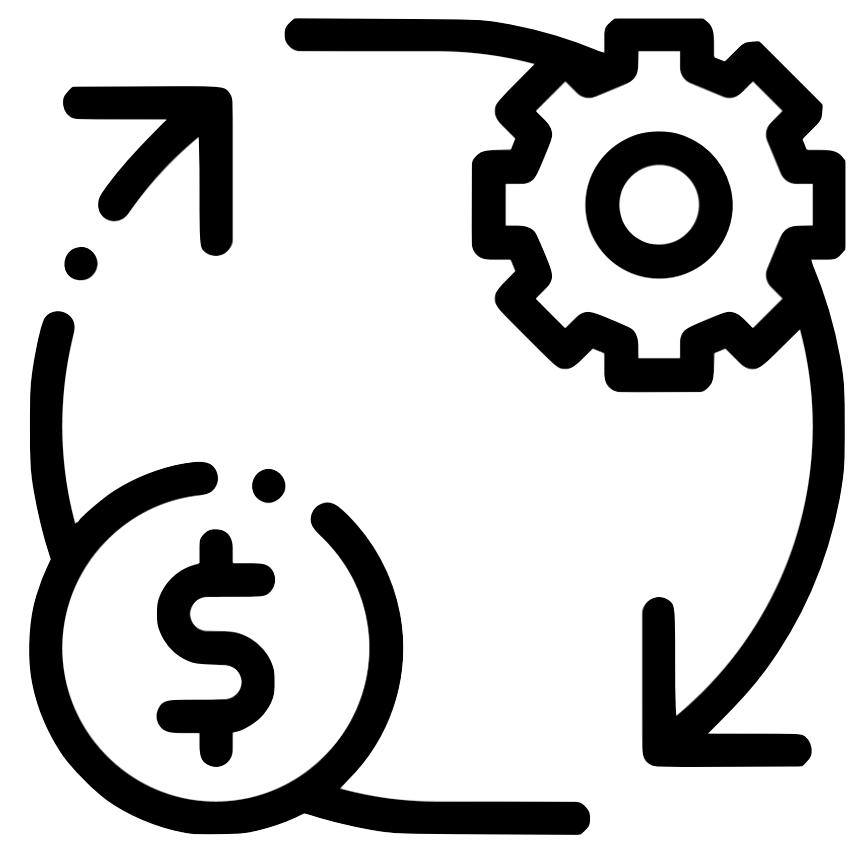
Ongoing Work



explore other
implementations
tree, trie, heap



finding best
buffer
using machine learning



switching
buffer
on the fly when w/l changes

Anatomy of LSM Memory Buffer

Thank You!

Questions?



Brandeis
UNIVERSITY

