Understanding and using SAT and SMT solvers

Erika Ábrahám RWTH Aachen University, Germany

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Eve is eager to make scientific visits.

- She has 100 travel wishes A_1, \ldots, A_{100} .
- She is allowed to make only 5 travels.
- She wants to be physically at A_1 .
- To coordinate a project, she needs to visit either A_2 or A_3 .
- Travel A_i costs C_i EUR.
- \blacksquare Eve can spend up to C EUR.
- \blacksquare Travel A_i takes T_i days.
- \blacksquare Eve wants to travel at least T days.

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$$\left(\bigwedge_{i=1}^{100} \left((a_i = 0 \land c_i = 0 \land t_i = 0) \lor (a_i = 1 \land c_i = C_i \land t_i = T_i) \right) \right) \land \left(\sum_{i=1}^{100} a_i \le 5 \right) \land (a_1 = 1) \land (a_2 = 1 \lor a_3 = 1) \land \left(\sum_{i=1}^{100} c_i \le C \right) \land \left(\sum_{i=1}^{100} t_i \ge T \right) \right)$$

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Eve is eager to make scientific visits.

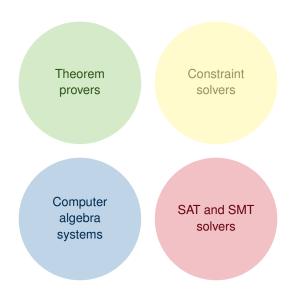
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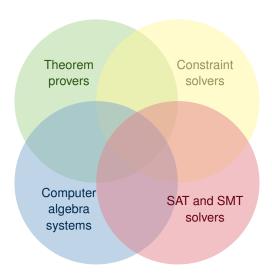
Logic: Linear real arithmetic.

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Some technologies for satisfiability checking

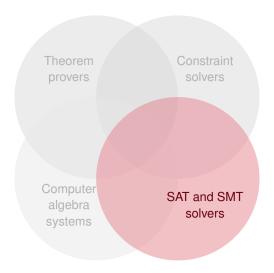


Some technologies for satisfiability checking

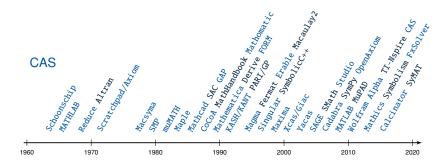




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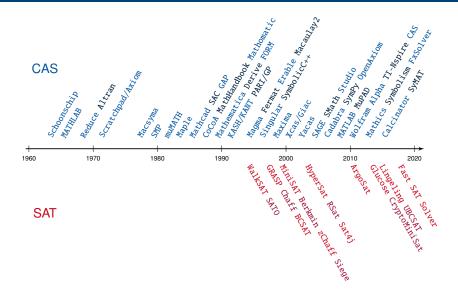


Tool development



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Tool development



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Satisfiability checking for propositional logic

Success story: SAT-solving

- Practical problems with millions of variables are solvable.
- A wide range of applications, e.g., verification, synthesis, combinatorial optimisation, etc.

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Satisfiability checking for propositional logic

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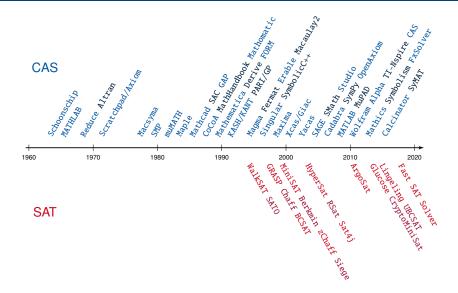
- Practical problems with millions of variables are solvable.
- A wide range of applications, e.g., verification, synthesis, combinatorial optimisation, etc.

Community support:

- Standard input language.
- Large benchmark library.
- Competitions since 2002.
- SAT Live! forum as community platform, dedicated conferences, journals, etc.

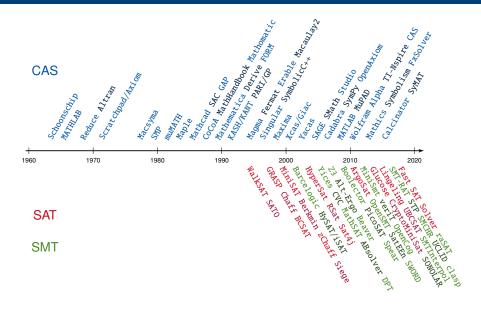
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Tool development



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Tool development



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Satisfiability modulo theories (SMT) solving

Satisfiability modulo theories (SMT) solving:

- Propositional logic is sometimes too weak for modelling.
- Increase expressiveness: quantifier-free (QF) fragments of first-order logic over various theories.

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Satisfiability modulo theories (SMT) solving

Satisfiability modulo theories (SMT) solving:

- Propositional logic is sometimes too weak for modelling.
- Increase expressiveness: quantifier-free (QF) fragments of first-order logic over various theories.

Community support:

- SMT-LIB: standard input language since 2004.
- Large (~ 250.000) benchmark library.
- Competitions since 2005.

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- SAT solving
 - Exploration (also called enumeration)
 - Boolean constraint propagation (BCP)
 - Conflict resolution and backtracking
 - Exploration revisited
- SMT solving
 - Approaches
 - SMT-RAT
 - SMT-LIB
 - SMT solvers as integrated engines
 - Future challenges
- Hands-on material
 - SAT solving
 - SMT solving

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Syntax of propositional logic

Abstract syntax of well-formed propositional formulae:

$$\varphi := a \mid (\neg \varphi) \mid (\varphi \land \varphi)$$

where AP is a set of (atomic) propositions (Boolean variables) and $a \in AP$.

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Syntax of propositional logic

Abstract syntax of well-formed propositional formulae:

$$\varphi := a \mid (\neg \varphi) \mid (\varphi \wedge \varphi)$$

where AP is a set of (atomic) propositions (Boolean variables) and $a \in AP$. Syntactic sugar:

```
\begin{array}{cccc}
 & \bot & := (a \land \neg a) \\
 & \top & := (a \lor \neg a) \\
 & ( \varphi_1 & \lor & \varphi_2 & ) := \neg((\neg \varphi_1) \land (\neg \varphi_2)) \\
 & ( \varphi_1 & \to & \varphi_2 & ) := ((\neg \varphi_1) \lor \varphi_2) \\
 & ( \varphi_1 & \leftrightarrow & \varphi_2 & ) := ((\varphi_1 \to \varphi_2) \land (\varphi_2 \to \varphi_1)) \\
 & ( \varphi_1 & \oplus & \varphi_2 & ) := (\varphi_1 \leftrightarrow (\neg \varphi_2))
\end{array}
```

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Truth tables define the semantics (=meaning) of the operators.
They can be used to define the semantics of formulae inductively over their structure.

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- Convention: 0= false, 1= true

p	q	$\neg p$	$p \wedge q$	$p \lor q$	$p \rightarrow q$	$p \leftrightarrow q$	$p \oplus q$
0	0	1	0	0	1	1	0
0	1	1	0	1	1	0	1
1	0	0	0	1	0	0	1
1	1	0	1	1	1	1	0

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1	0	0	0	1	0	0	1
1	1	0	1	1	1	1	0

Each possible assignment is covered by a line of the truth table.

 α satisfies φ iff in the line for α and the column for φ the entry is 1.

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Conjunctive normal form

- A literal is either a variable or the negation of a variable.
- A clause is a disjunction of literals.
- A formula in Conjunctive Normal Form (CNF) is a conjunction of clauses.

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Conjunctive normal form

- A literal is either a variable or the negation of a variable.
- A clause is a disjunction of literals.
- A formula in Conjunctive Normal Form (CNF) is a conjunction of clauses.
- Every propositional logic formula can be converted to an equi-satisfiable CNF in linear time and space on the cost of (linearly many) new variables.

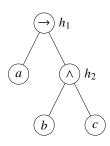
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Tseitin's CNF encoding

Consider the formula $\varphi = (a \rightarrow (b \land c))$.

Tseitin's encoding:

$$(h_1 \leftrightarrow (a \rightarrow h_2)) \land (h_2 \leftrightarrow (b \land c)) \land (h_1)$$

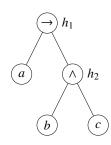


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■ Each node's encoding has a CNF representation with 3 or 4 clauses.

$$h_1 \leftrightarrow (a \rightarrow h_2)$$
 in CNF: $(h_1 \lor a) \land (h_1 \lor \neg h_2) \land (\neg h_1 \lor \neg a \lor h_2)$
 $h_2 \leftrightarrow (b \land c)$ in CNF: $(\neg h_2 \lor b) \land (\neg h_2 \lor c) \land (h_2 \lor \neg b \lor \neg c)$

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Satisfiability problem

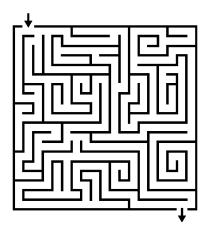
Given:

■ Propositional logic formula φ in CNF.

Question:

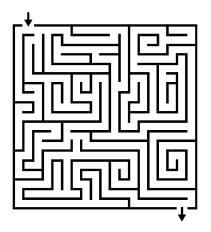
■ Is φ satisfiable? (Is there a model for φ ?)

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Proof system



Exploration



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Exploration

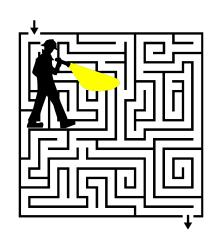
Look-ahead



Exploration

Look-ahead

Proof system



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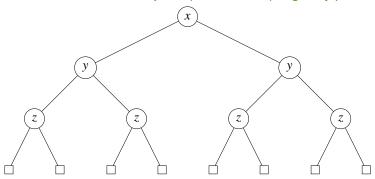
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$$\underbrace{(\neg x \lor y \lor z)}_{c_1} \land \underbrace{(y \lor \neg z)}_{c_2} \land \underbrace{(\neg x \lor \neg y)}_{c_3}$$

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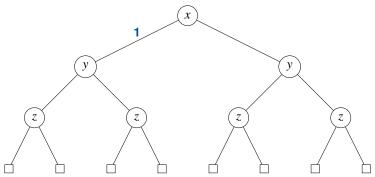
Static variable order x < y < z (smallest first), sign: try positive first



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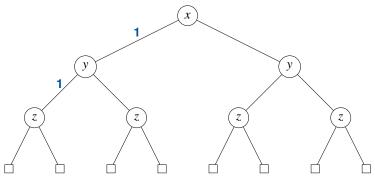
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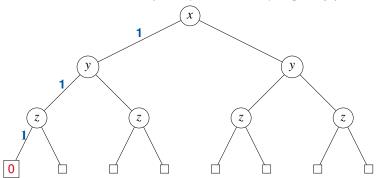
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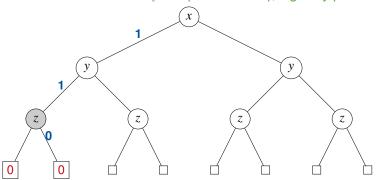
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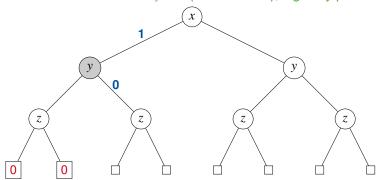
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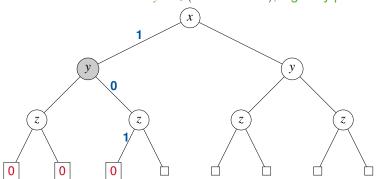
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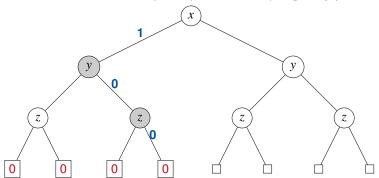
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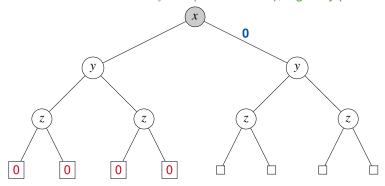
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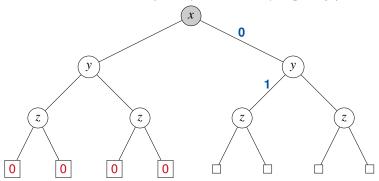
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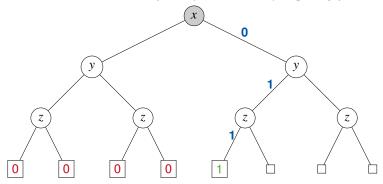
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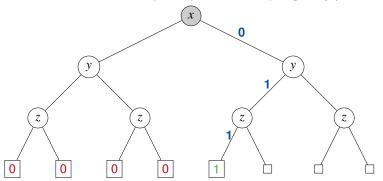
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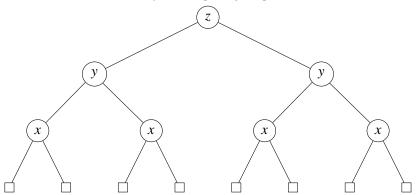
For unsatisfiable problems, all assignments need to be checked. For satisfiable problems, variable and sign ordering might strongly influence the running time.

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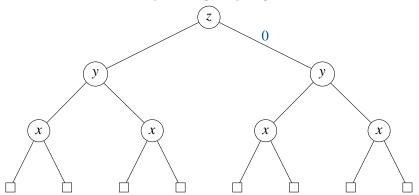
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Static variable order z < y < x, sign: try negative first



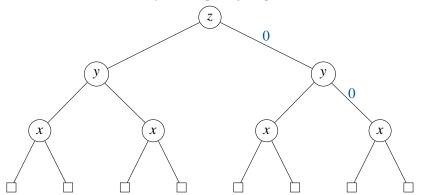
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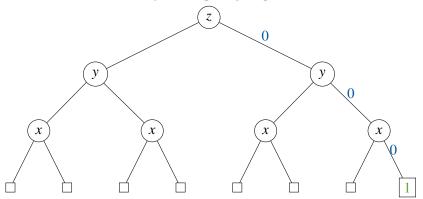
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Status of a clause

■ Assume in the following: all literals in a clause have different variables

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Status of a clause

Assume in the following: all literals in a clause have different variables

Given a (partial) assignment, a clause can be

satisfied: at least one literal is satisfied

unsatisfied: all literals are assigned but none are statisfied

unit: all but one literals are assigned but none are satisfied

unresolved: all other cases

Example:

x_1	x_2	x_3	$c = (x_1 \lor x_2 \lor x_3)$
1	0		satisfied
0	0	0	unsatisfied
0	0		unit
	0		unresolved

BCP: Unit clauses are used to imply consequences of decisions.

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Some notations:

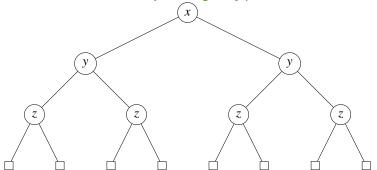
Decision Level (DL) is a counter for decisions

Antecedent(ℓ): unit clause implying the value of literal ℓ (nil if decision)

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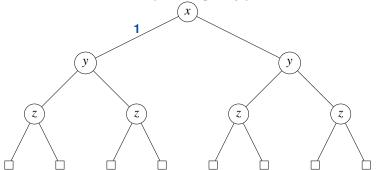
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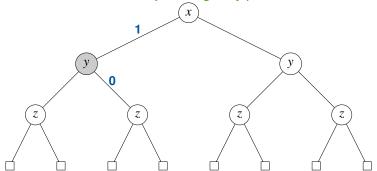
Static variable order x < y < z, sign: try positive first



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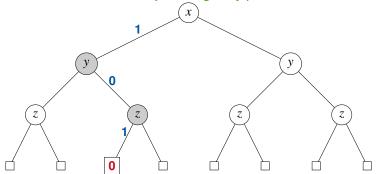
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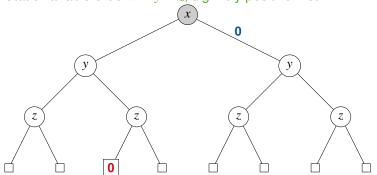


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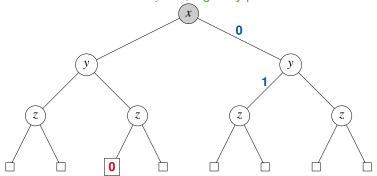


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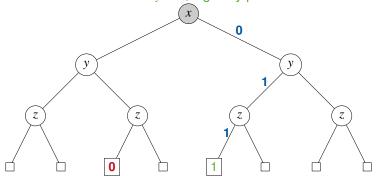
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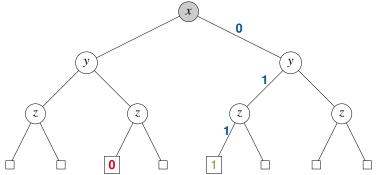
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Efficient propagation with the watched literal scheme.

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 - SMT solvers as integrated engines
 - Future challenges
- Hands-on material
 - SAT solving
 - SMT solving

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$$\underbrace{(\neg x \lor y \lor z)}_{c_1} \land \underbrace{(y \lor \neg z)}_{c_2} \land \underbrace{(\neg x \lor \neg y)}_{c_3}$$

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$$x = 1@1$$

$$\underbrace{(\neg x \lor y \lor z)}_{c_1} \land \underbrace{(y \lor \neg z)}_{c_2} \land \underbrace{(\neg x \lor \neg y)}_{c_3}$$

$$x = 1@1 \qquad y = 0@1$$

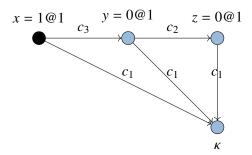
$$\underbrace{(\neg x \lor y \lor z)}_{c_1} \land \underbrace{(y \lor \neg z)}_{c_2} \land \underbrace{(\neg x \lor \neg y)}_{c_3}$$

Static variable order x < y < z, sign: try positive first

$$x = 1@1$$
 $y = 0@1$ $z = 0@1$

$$\underbrace{(\neg x \lor y \lor z)}_{c_1} \land \underbrace{(y \lor \neg z)}_{c_2} \land \underbrace{(\neg x \lor \neg y)}_{c_3}$$

Static variable order x < y < z, sign: try positive first



Decisions: {

}

$$c_{1} = (\neg x_{1} \lor x_{2})$$

$$c_{2} = (\neg x_{1} \lor x_{3} \lor x_{7})$$

$$c_{3} = (\neg x_{2} \lor \neg x_{3} \lor x_{4})$$

$$c_{4} = (\neg x_{4} \lor x_{5} \lor x_{8})$$

$$c_{5} = (\neg x_{4} \lor x_{6} \lor x_{9})$$

$$c_{6} = (\neg x_{5} \lor \neg x_{6})$$

Decisions:
$$\{x_7 = 0@1$$

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Decisions:
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$$x_8 = 0@2$$

$$x_7 = 0@1$$

Decisions:
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$$x_7 = 0@1$$

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Decisions:
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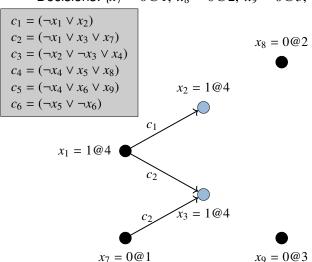
$$x_{1} = 1@4$$

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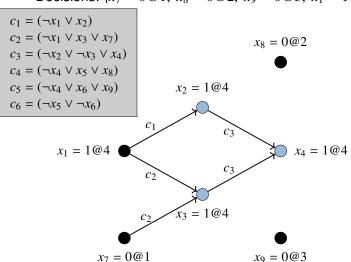
 $x_7 = 0@1$

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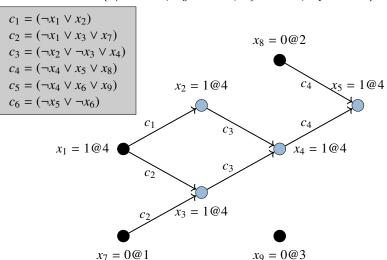
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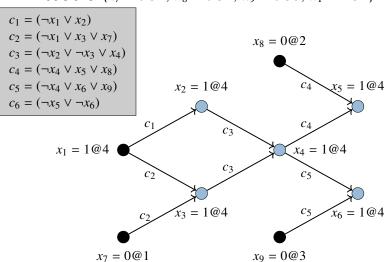
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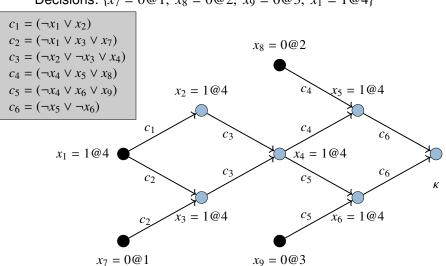
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Decisions: $\{x_7 = 0@1, x_8 = 0@2, x_9 = 0@3, x_1 = 1@4\}$



Implication graph cuts

Assume an implication graph G = (V, E, L) with $\kappa \in V$.

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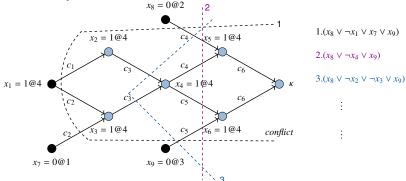
A node set $C \subseteq V$ is a cut in G iff there exists $V' \subseteq V$ such that

- \blacksquare each predecessor of κ is reachable from V' in G.
- $\kappa \notin V'$.
- $lue{C}$ consists of those nodes in V' that are sources of an edge with target not in V':

$$C = \{ s \in V' \mid \exists t \in V \setminus V'. (s, t) \in E \} .$$

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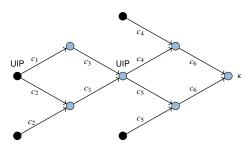
- Assume an implication graph G = (V, E, L) with $\kappa \in V$.
- \blacksquare Let C be a cut in G.
- $(\vee_{n \in C} \neg literal(n))$ is called a conflict clause: it is false under the current assignment but its satisfaction is necessary for the satisfaction of the formula.



■ Which conflict clauses should we consider?

- Which conflict clauses should we consider?
- An asserting clause is a conflict clause with a single literal from the current decision level.
 - Backtracking (to the right level) makes it a unit clause.
- Modern solvers consider only asserting clauses.

- Assume an implication graph G with a conflict node κ . A unique implication point (UIP) for κ in G is a node $n \neq \kappa$ in G such that all paths from the last decision to κ go through n.
- The first UIP is the UIP closest to the conflict node.
- If a cut *C* contains a UIP then the clause $(\vee_{n \in C} \neg literal(n))$ is asserting.



Usually, the asserting conflict clause is learnt by adding it to the clause set. However, this is not necessary for completeness.

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- Propagate all new assignments.

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- A: Backtrack to DL0.
- Q: What happens if the conflict appears at decision level 0?
- A: The formula is unsatisfiable.

```
if (!BCP()) return UNSAT;
while (true)
{
     if (!decide()) return SAT;
     while (!BCP())
         if (!resolve_conflict()) return UNSAT;
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                                                and value.
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Boolean constraint propagation.
Return false if reached a conflict.
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                                         Conflict resolution and
Boolean constraint propagation.
                                         backtracking. Return false
Return false if reached a conflict.
                                         if impossible.
```

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■ The (binary) resolution is a sound (and complete) inference rule:

$$\frac{(\beta \vee a_1 \vee ... \vee a_n) \qquad (\neg \beta \vee b_1 \vee ... \vee b_m)}{(a_1 \vee ... \vee a_n \vee b_1 \vee ... \vee b_m)}$$
(Binary Resolution)

■ Example:

$$\frac{(x_1 \lor x_2) \qquad (\neg x_1 \lor x_3 \lor x_4)}{(x_2 \lor x_3 \lor x_4)}$$

Tika Ábrahám - 31 / 108

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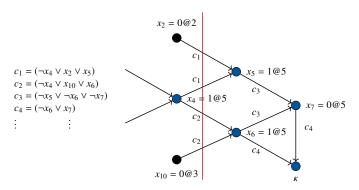
■ Example:

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What is the relation of binary resolution and conflict clauses?

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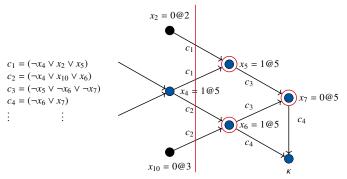
Consider the following example:



■ Asserting conflict clause: $c_5 : (x_2 \lor \neg x_4 \lor x_{10})$

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■ Assigment order: x_4, x_5, x_6, x_7 Conflict clause: $c_5 : (x_2 \lor \neg x_4 \lor x_{10})$



- Starting with the conflicting clause, apply resolution with the antecedent of the last assigned literal, until we get an asserting clause:
 - T1 = Res $(c_4, c_3, x_7) = (\neg x_5 \lor \neg x_6)$
 - T2 = Res(T1, c_2 , x_6) = (¬ x_4 ∨ ¬ x_5 ∨ x_{10})
 - T3 = Res(T2, c_1 , x_5) = ($x_2 \lor \neg x_4 \lor x_{10}$)

Finding the asserting conflict clause

```
bool resolve_conflict() {
    if (current_decision_level = 0) then { return false; }
    cl := current_conflicting_clause;
    while (cl is not asserting) do {
        lit := last_assigned_literal(cl);
        var := variable_of_literal(lit);
        ante := antecedent(var);
        cl := resolve(cl, ante, var):
    add_clause_to_database(cl);
    return true:
```

Applied to our example:

name	cl	lit	var	ante
<i>C</i> 4	$(\neg x_6 \lor x_7)$	<i>x</i> ₇	<i>X</i> 7	<i>c</i> ₃
	$(\neg x_5 \lor \neg x_6)$	$\neg x_6$	x_6	c_2
	$(\neg x_4 \lor x_{10} \lor \neg x_5)$	$\neg x_5$	x_5	c_1
c_5	$(\neg x_4 \lor x_2 \lor x_{10})$			

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Unsatisfiable core

Definition

An unsatisfiable core of an unsatisfiable CNF formula is an unsatisfiable subset of the original set of clauses.

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- The set of all original clauses is an unsatisfiable core.
- The set of those original clauses that were used for resolution in conflict analysis during SAT-solving (inclusively the last conflict at decision level 0) gives us an unsatisfiable core which is in general much smaller.

Trika Ábrahám - 35 / 108

Unsatisfiable core

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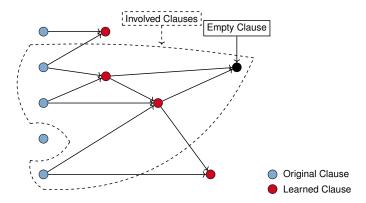
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- The set of those original clauses that were used for resolution in conflict analysis during SAT-solving (inclusively the last conflict at decision level 0) gives us an unsatisfiable core which is in general much smaller.
- However, this unsatifiable core is still not always minimal (i.e., we can remove clauses from it still having an unsatisfiable core).

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The resolution graph

A resolution graph gives us more information to get a minimal unsatisfiable core.



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Termination

Theorem

It is never the case that the solver enters decision level dl again with the same partial assignment.

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Termination

Theorem

It is never the case that the solver enters decision level dl again with the same partial assignment.

Proof.

Define a partial order on partial assignments: $\alpha < \beta$ iff either α is an extension of β or α has more assignments at the smallest decision level at that α and β do not agree.

BCP decreases the order, conflict-driven backtracking also. Since the order always decreases during the search, the theorem holds.

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Decision heuristics: VSIDS

- VSIDS (variable state independent decaying sum)
- Gives priority to variables involved in recent conflicts.
- "Involved" can have different definitions. We take those variables that occur in clauses used for conflict resolution.

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Decision heuristics: VSIDS

- VSIDS (variable state independent decaying sum)
- Gives priority to variables involved in recent conflicts.
- "Involved" can have different definitions. We take those variables that occur in clauses used for conflict resolution.
- 1 Each variable has a counter initialized to 0.
- 2 We define an increment value (e.g., 1).
- When a conflict occurs, we increase the counter of each variable, that occurs in at least one clause used for conflict resolution, by the increment value.
 - Afterwards we increase the increment value (e.g., by 1).
- 4 For decisions, the unassigned variable with the highest counter is chosen.
- 5 Periodically, all the counters and the increment value are divided by a constant.

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Decision heuristics: VSIDS

VSIDS is a 'quasi-static' strategy:

- static because it doesn't depend on current assignment
- dynamic because it gradually changes. Variables that appear in recent conflicts have higher priority.

This strategy is a conflict-driven decision strategy.

"...employing this strategy dramatically (i.e., an order of magnitude) improved performance..."

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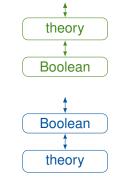
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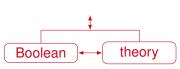
Three SMT solving approaches

Eager SMT solving

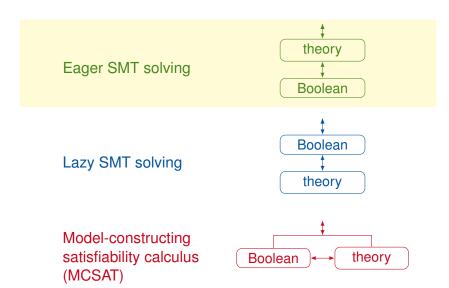
Lazy SMT solving

Model-constructing satisfiability calculus (MCSAT)





Three SMT solving approaches



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Eager example [Bryant and Velev, 2000]

$$\varphi^E = x_1 = x_2 \land x_2 = x_3 \land x_1 \neq x_3$$

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$$\varphi^{prop} :=$$

 φ^E is satisfiable iff φ^{prop} is satisfiable

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Eager example [Bryant and Velev, 2000]

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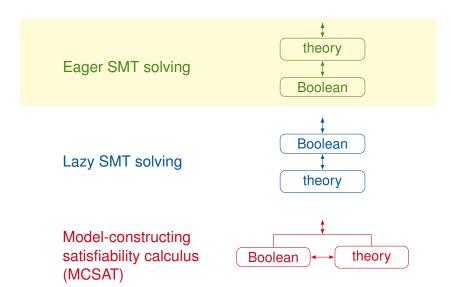
$$\varphi^{\text{prop}} \ := \ \ \underbrace{\begin{array}{c} e_1 \quad \wedge \quad e_2 \quad \wedge \quad \neg e_3 \\ \text{Boolean abstraction} \end{array}} \ \ \wedge \ \ \underbrace{\begin{array}{c} ((e_1 \wedge e_2) \rightarrow e_3) \\ \text{transitivity constraint} \end{array}$$

$$\varphi^E$$
 is satisfiable iff φ^{prop} is satisfiable

Similar approaches are available for uninterpreted functions, bit-vector arithmetic ("bit-blasting"), floating-point arithmetic and others.

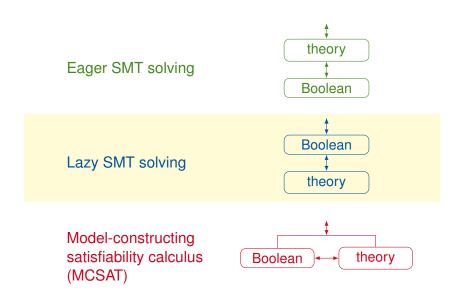
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Three SMT solving approaches



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Three SMT solving approaches



Historia Abrahám - 45 / 108

There are three types of Xmas presents Santa Claus can make.

- Santa Claus wants to reduce the overhead by making only two types.
- He needs at least 100 presents.
- He needs at least 5 of either type 1 or type 2.
- He needs at least 10 of the third type.
- Each present of type 1, 2, and 3 need 1, 2, resp. 5 minutes to make.
- Santa Claus is late, and he has only 3 hours left.
- Each present of type 1, 2, and 3 costs 3, 2, resp. 1 EUR.
- He has 300 EUR for presents in total.

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$$(p_1 = 0 \lor p_2 = 0 \lor p_3 = 0) \land p_1 + p_2 + p_3 \ge 100 \land$$

$$(p_1 \ge 5 \lor p_2 \ge 5) \land p_3 \ge 10 \land p_1 + 2p_2 + 5p_3 \le 180 \land$$

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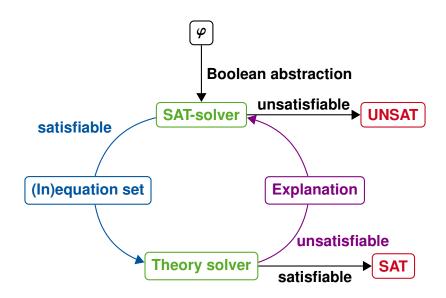
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$$3p_1 + 2p_2 + p_3 \le 300$$

Logic: First-order logic over the integers with addition.

Full lazy SMT solving



William Erika Ábrahám - 47 / 108

Boolean abstraction

$$\underbrace{(p_1 = 0 \lor p_2 = 0 \lor p_3 = 0)}_{a_1} \land \underbrace{p_1 + p_2 + p_3 \ge 100}_{a_2} \land \underbrace{(p_1 \ge 5 \lor p_2 \ge 5)}_{a_6} \land \underbrace{p_3 \ge 10}_{a_7} \land \underbrace{p_1 + 2p_2 + 5p_3 \le 180}_{a_8} \land \underbrace{3p_1 + 2p_2 + p_3 \le 300}_{a_0}$$

48 / 108 Erika Ábrahám - 48 / 108

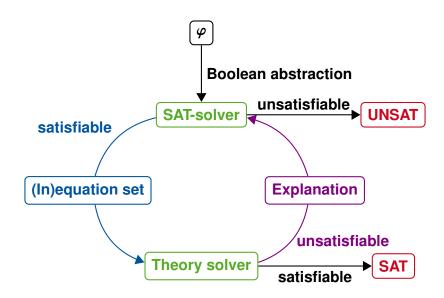
Boolean abstraction

$$\underbrace{(p_1 = 0 \lor p_2 = 0 \lor p_3 = 0)}_{a_1} \land \underbrace{p_1 + p_2 + p_3 \ge 100}_{a_2} \land \underbrace{(p_1 \ge 5 \lor p_2 \ge 5)}_{a_6} \land \underbrace{p_3 \ge 10}_{a_7} \land \underbrace{p_1 + 2p_2 + 5p_3 \le 180}_{a_8} \land \underbrace{3p_1 + 2p_2 + p_3 \le 300}_{a_9}$$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Erika Ábrahám -48 / 108

Full lazy SMT solving



Historian Erika Ábrahám - 49 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

DL0:

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$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1$

50 / 108 Erika Ábrahám - 50 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

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Assume a fixed variable order: a_1,\ldots,a_9

Assignment to decision variables: false

$$DL0: a_4: 1, a_7: 1$$

Erika Ábrahám -

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

$$DL0: a_4: 1, a_7: 1, a_8: 1$$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ DL1:

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

 $DL1:a_1:0$

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$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1
```

 $DL1:a_1:0$

DL2:

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$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

 $DL1: a_1: 0$ $DL2: a_2: 0$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

 $DL1:a_1:0$

 $DL2: a_2: 0, a_3: 1$

STUMBEN Erika Ábrahám - 50 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1
DL1: a_1: 0
```

 $DL1: a_1: 0$ $DL2: a_2: 0: a_2: 0$

 $DL2: a_2: 0, a_3: 1$

DL3:

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$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

 $DL1:a_1:0$

 $DL2: a_2: 0, a_3: 1$

 $DL3:a_5:0$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

 $DL1:a_1:0$

 $DL2: a_2: 0, a_3: 1$

 $DL3: a_5: 0, a_6: 1$

STUMBEN Erika Ábrahám - 50 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9$$

Assume a fixed variable order: a_1, \ldots, a_9

Assignment to decision variables: false

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

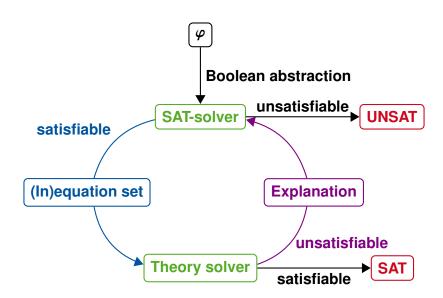
 $DL1:a_1:0$

 $DL2: a_2: 0, a_3: 1$

 $DL3: a_5: 0, a_6: 1$

Solution found for the Boolean abstraction.

Full lazy SMT solving



William Erika Ábrahám - 51 / 108

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1 DL1: a_1: 0
```

 $DL2: a_2: 0, a_3: 1$ $DL3: a_5: 0, a_6: 1$

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1 DL1: a_1: 0 DL2: a_2: 0, a_3: 1 DL3: a_5: 0, a_6: 1
```

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_3 , a_6

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ $DL1: a_1: 0$ $DL2: a_2: 0, a_3: 1$ $DL3: a_5: 0, a_6: 1$

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_3 , a_6

$$(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0) \land p_{1} + p_{2} + p_{3} \ge 100 \land (p_{1} \ge 5 \lor p_{2} \ge 5) \land p_{3} \ge 10 \land p_{1} + 2p_{2} + 5p_{3} \le 180 \land (p_{1} \ge 5 \lor p_{2} \ge 5) \land p_{3} \ge 10 \land p_{1} + 2p_{2} + 5p_{3} \le 180 \land (p_{1} \ge 5 \lor p_{2} \ge 5) \land (p_{3} \ge 10 \lor p_{3} \ge 10) \land (p_{1} \ge 5 \lor p_{2} \ge 5) \land (p_{3} \ge 10 \lor p_{3} \ge 10) \land (p_{1} \ge 5 \lor p_{3} \ge 10)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$ $DL1: a_1: 0$ $DL2: a_2: 0, a_3: 1$ $DL3: a_5: 0, a_6: 1$

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_3 , a_6

$$\underbrace{(p_1 = 0 \lor p_2 = 0 \lor p_3 = 0)}_{a_1} \land \underbrace{p_1 + p_2 + p_3 \ge 100}_{a_4} \land \underbrace{(p_1 \ge 5 \lor p_2 \ge 5)}_{a_6} \land \underbrace{p_3 \ge 10}_{a_7} \land \underbrace{p_1 + 2p_2 + 5p_3 \le 180}_{a_8} \land \underbrace{3p_1 + 2p_2 + p_3 \le 300}_{a_9}$$

Encoding:

$$a_4: p_1 + p_2 + p_3 \ge 100$$
 $a_7: p_3 \ge 10$ $a_8: p_1 + 2p_2 + 5p_3 \le 180$ $a_9: 3p_1 + 2p_2 + p_3 \le 300$ $a_3: p_3 = 0$ $a_6: p_2 \ge 5$

52 / 108 Erika Ábrahám -

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9: 3p_1 + 2p_2 + p_3 \le 300$$

$$a_3:p_3=0$$

$$a_6: p_2 \ge 5$$

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9:3p_1+2p_2+p_3\leq 300$$

$$a_3:p_3=0$$

$$a_6: p_2 \ge 5$$

No.

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9:3p_1+2p_2+p_3 \le 300$$

$$a_3:p_3=0$$

$$a_6: p_2 \ge 5$$

No.

Reason:

Frika Ábrahám - 53 / 108

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9:3p_1+2p_2+p_3 \le 300$$

$$a_3:p_3=0$$

$$a_6: p_2 \ge 5$$

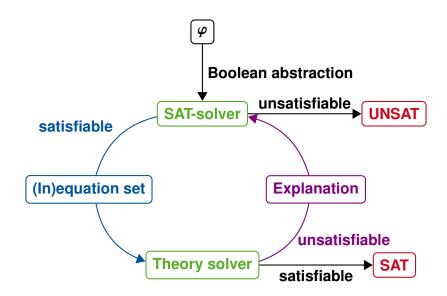
No.

Reason: $p_3 = 0 \land p_3 \ge 10$ are conflicting. a_3

Erika Ábrahám -

53 / 108

Full lazy SMT solving



Frika Ábrahám - 54 / 108

```
Add clause (\neg a_3 \lor \neg a_7).

(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)

DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1

DL1: a_1: 0

DL2: a_2: 0, a_3: 1

DL3: a_5: 0, a_6: 1
```

Times Erika Ábrahám - 55 / 108

Add clause $(\neg a_3 \lor \neg a_7)$.

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

 $DL1:a_1:0$

 $DL2: a_2: 0, a_3: 1$

 $DL3: a_5: 0, a_6: 1$

Conflict resolution is simple, since the new clause is already an asserting one.

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$

$$DL1:$$

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$ $DL1: a_1: 0$

Erika Ábrahám - 56 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$

$$DL1: a_1: 0, a_2: 1$$

```
(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0
DL1: a_1: 0, a_2: 1
DL2:
```

```
(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)
```

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1
```

 $DL2: a_5: 0$

```
(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0
DL1: a_1: 0, a_2: 1
DL2: a_5: 0, a_6: 1
```

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7)$$

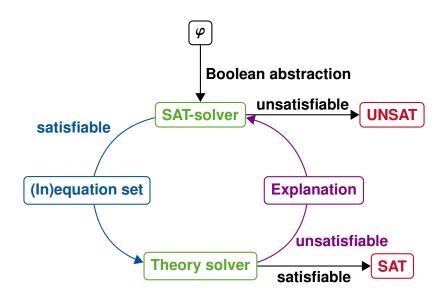
```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0

DL1: a_1: 0, a_2: 1

DL2: a_5: 0, a_6: 1
```

Solution found for the Boolean abstraction.

Full lazy SMT solving



```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0 DL1: a_1: 0, a_2: 1 DL2: a_5: 0, a_6: 1
```

S8 / 108 Érika Ábrahám - 58 / 108

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0 DL1: a_1: 0, a_2: 1 DL2: a_5: 0, a_6: 1
```

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_2 , a_6

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$ $DL1: a_1: 0, a_2: 1$ $DL2: a_5: 0, a_6: 1$

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_2 , a_6

$$\underbrace{(p_1 = 0 \lor p_2 = 0 \lor p_3 = 0)}_{a_1} \land \underbrace{p_1 + p_2 + p_3 \ge 100}_{a_4} \land \underbrace{(p_1 \ge 5 \lor p_2 \ge 5)}_{a_5} \land \underbrace{p_3 \ge 10}_{a_7} \land \underbrace{p_1 + 2p_2 + 5p_3 \le 180}_{a_8} \land \underbrace{3p_1 + 2p_2 + p_3 \le 300}_{a_7} \land (\neg a_3 \lor \neg a_7)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$ $DL1: a_1: 0, a_2: 1$ $DL2: a_5: 0, a_6: 1$

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_2 , a_6

$$\underbrace{(p_1 = 0 \lor p_2 = 0 \lor p_3 = 0)}_{a_1} \land \underbrace{p_1 + p_2 + p_3 \ge 100}_{a_2} \land \underbrace{(p_1 \ge 5 \lor p_2 \ge 5)}_{a_6} \land \underbrace{p_3 \ge 10}_{a_7} \land \underbrace{p_1 + 2p_2 + 5p_3 \le 180}_{a_8} \land \underbrace{3p_1 + 2p_2 + p_3 \le 300}_{a_9} \land (\neg a_3 \lor \neg a_7)$$

Encoding:

$$a_4: p_1 + p_2 + p_3 \ge 100$$
 $a_7: p_3 \ge 10$ $a_8: p_1 + 2p_2 + 5p_3 \le 180$
 $a_9: 3p_1 + 2p_2 + p_3 \le 300$ $a_2: p_2 = 0$ $a_6: p_2 \ge 5$

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9:3p_1+2p_2+p_3\leq 300$$

$$a_2:p_2=0$$

$$a_6:p_2 \ge 5$$

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9: 3p_1 + 2p_2 + p_3 \le 300$$

$$a_2:p_2=0$$

$$a_6: p_2 \ge 5$$

No.

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9: 3p_1 + 2p_2 + p_3 \le 300$$

$$a_2:p_2=0$$

$$a_6: p_2 \ge 5$$

No.

Reason:

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9: 3p_1 + 2p_2 + p_3 \le 300$$

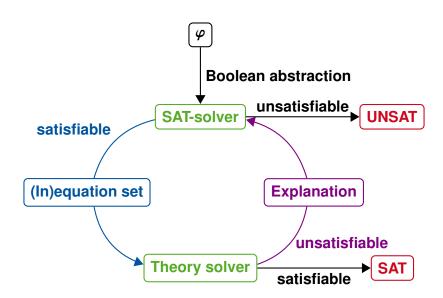
$$a_2:p_2=0$$

$$a_6: p_2 \ge 5$$

No.

Reason:
$$\underline{p_2 = 0} \land \underline{p_2 \ge 5}$$
 are conflicting.

Full lazy SMT solving



William Erika Ábrahám - 60 / 108

```
Add clause (\neg a_2 \lor \neg a_6).
```

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)$$

```
DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0
```

 $DL1: a_1: 0, a_2: 1$ $DL2: a_5: 0, a_6: 1$

Add clause $(\neg a_2 \lor \neg a_6)$.

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$

 $DL1: a_1: 0, a_2: 1$ $DL2: a_5: 0, a_6: 1$

Conflict resolution is simple, since the new clause is already an asserting one.

Erika Ábrahám - 61 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$ $DL1: a_1: 0, a_2: 1$

Erika Ábrahám - 62 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$

 $DL1: a_1: 0, a_2: 1, a_6: 0$

CTU MASS Erika Ábrahám - 62 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)$$

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$ $DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$

62 / 108 Erika Ábrahám - 62 / 108

$$(a_1 \lor a_2 \lor a_3) \land a_4 \land (a_5 \lor a_6) \land a_7 \land a_8 \land a_9 \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)$$

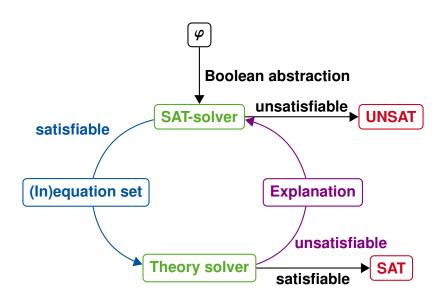
$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$

 $DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$

Solution found for the Boolean abstraction.

Erika Ábrahám - 62 / 108

Full lazy SMT solving



William Erika Ábrahám - 63 / 108

 $DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$ $DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$

Erika Ábrahám - 64 / 108

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$
 $DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_2 , a_5

Erika Ábrahám - 64 / 108

$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$
 $DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$

True theory constraints: $a_4, a_7, a_8, a_9, a_2, a_5$

$$\underbrace{(p_1 = 0 \lor p_2 = 0 \lor p_3 = 0)}_{a_1} \land \underbrace{p_1 + p_2 + p_3 \ge 100}_{a_2} \land \underbrace{(p_1 \ge 5 \lor p_2 \ge 5)}_{a_6} \land \underbrace{p_3 \ge 10}_{a_7} \land \underbrace{p_1 + 2p_2 + 5p_3 \le 180}_{a_8} \land \underbrace{3p_1 + 2p_2 + p_3 \le 300}_{a_9} \land (\neg a_3 \lor \neg a_7) \land (\neg a_2 \lor \neg a_6)$$

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$$DL0: a_4: 1, a_7: 1, a_8: 1, a_9: 1, a_3: 0$$
 $DL1: a_1: 0, a_2: 1, a_6: 0, a_5: 1$

True theory constraints: a_4 , a_7 , a_8 , a_9 , a_2 , a_5

$$(p_{1} = 0 \lor p_{2} = 0 \lor p_{3} = 0) \land p_{1} + p_{2} + p_{3} \ge 100 \land (p_{1} \ge 5 \lor p_{2} \ge 5) \land p_{3} \ge 10 \land p_{1} + 2p_{2} + 5p_{3} \le 180 \land (p_{1} \ge 5) \land (p_{2} \ge 5) \land (p_{3} \ge 10) \land (p_{1} + 2p_{2} + 5p_{3} \le 180) \land (p_{1} + 2p_{2} + p_{3} \le 300) \land (p_{2} \lor p_{3}) \land (p_{3} \lor p_{4}) \land (p_{4} \lor p_{2}) \land (p_{4} \lor p_{3}) \land (p_{4} \lor p_{4}) \land (p_{4} \lor p_{4$$

Encoding:

$$a_4: p_1 + p_2 + p_3 \ge 100$$
 $a_7: p_3 \ge 10$ $a_8: p_1 + 2p_2 + 5p_3 \le 180$ $a_9: 3p_1 + 2p_2 + p_3 \le 300$ $a_2: p_2 = 0$ $a_5: p_1 \ge 5$

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Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9:3p_1+2p_2+p_3 \le 300$$

$$a_2:p_2=0$$

$$a_5: p_1 \ge 5$$

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Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

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$$a_9:3p_1+2p_2+p_3 \le 300$$

$$a_2:p_2=0$$

$$a_5: p_1 \ge 5$$

Yes.

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9:3p_1+2p_2+p_3 \le 300$$

$$a_2:p_2=0$$

$$a_5: p_1 \ge 5$$

Yes. E.g.,

Is the conjunction of the following constraints satisfiable?

$$a_4: p_1 + p_2 + p_3 \ge 100$$

$$a_7: p_3 \ge 10$$

$$a_8: p_1 + 2p_2 + 5p_3 \le 180$$

$$a_9:3p_1+2p_2+p_3 \le 300$$

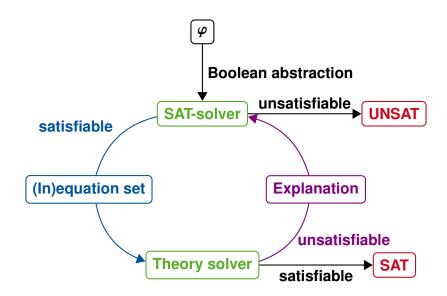
$$a_2:p_2=0$$

$$a_5: p_1 \ge 5$$

Yes. E.g., $p_1 = 90$, $p_2 = 0$, $p_3 = 10$ is a solution.

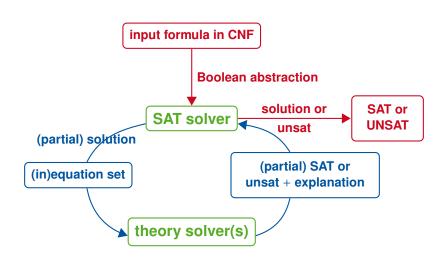
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Full lazy SMT solving



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Less lazy SMT solving



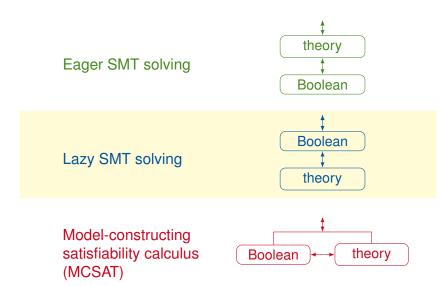
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Requirements on the theory solver

- Incrementality: In less lazy solving we extend the set of constraints. The solver should make use of the previous satisfiability check for the check of the extended set.
- (Preferably minimal) infeasible subsets: Compute a reason for unsatisfaction
- **Backtracking:** The theory solver should be able to remove constraints in inverse chronological order.

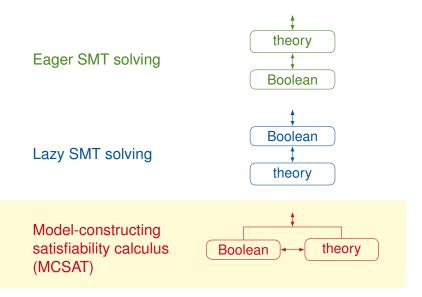
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Three SMT solving approaches



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Three SMT solving approaches



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Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

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Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

В-propagate

Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

В-propagate -

 \mathbb{B} -decision a = false

Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

B-propagate -

 \mathbb{B} -decision a = false

B-propagate -

Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

B-propagate -

 \mathbb{B} -decision a = false

B-propagate -

 \mathbb{B} -decision b = false

Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

B-propagate -

 \mathbb{B} -decision a = false

B-propagate -

 \mathbb{B} -decision b = false

 \mathbb{B} -propagate c = true

Exploration: B-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

B-propagate -

 \mathbb{B} -decision a = false

B-propagate -

 \mathbb{B} -decision b = false

 \mathbb{B} -propagate c = true

 \mathbb{B} -conflict resolution $(a \lor b)$

Exploration: B-decision T-decision

Look-ahead: B-propagation T-propagation

Proof system: B-conflict resolution T-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

B-propagate -

 \mathbb{B} -decision a = false

B-propagate -

 \mathbb{B} -decision b = false

 \mathbb{B} -propagate c = true

 \mathbb{B} -conflict resolution $(a \lor b)$

Exploration: **B**-decision

Look-ahead: B-propagation

Proof system: B-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c)$$

B-propagate

B-decision a = false

B-propagate

B-decision b = false

c = true 2 B-propagate

 $(a \vee b)$ B-conflict resolution

T-decision

T-propagation

T-conflict resolution

$$\dots x \cdot y^2 < 0 \dots$$

Exploration: B-decision T-decision

Proof system: B-conflict resolution T-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c) \qquad \dots x \cdot y^2 < 0 \dots$$

 ${\mathbb B}$ -propagate - ${\mathbb B}$ -propagate

 \mathbb{B} -decision a = false

 \mathbb{B} -propagate -

 \mathbb{B} -decision b = false

 \mathbb{B} -propagate c = true

 \mathbb{B} -conflict resolution $(a \lor b)$

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Exploration: \mathbb{B} -decision \mathbb{T} -decision

Proof system: B-conflict resolution T-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c) \qquad \dots x \cdot y^2 < 0 \dots$$

 \mathbb{B} -propagate - \mathbb{B} -propagate -

 \mathbb{B} -decision a = false \mathbb{B} -decision $x \cdot y^2 < 0$

 \mathbb{B} -decision b = false

 \mathbb{B} -propagate c = true

 \mathbb{B} -conflict resolution $(a \lor b)$

Frika Ábrahám - 70 / 108

Exploration: B-decision T-decision

Look-ahead: \mathbb{B} -propagation \mathbb{T} -propagation

Proof system: B-conflict resolution T-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c) \qquad \dots x \cdot y^2 < 0 \dots$$

 ${\mathbb B}$ -propagate - ${\mathbb B}$ -propagate -

 \mathbb{B} -decision a = false \mathbb{B} -decision $x \cdot y^2 < 0$

 \mathbb{B} -propagate - \mathbb{T} -propagate $x \in (-\infty, \infty)$

 \mathbb{B} -decision b = false

 \mathbb{B} -propagate c = true

 \mathbb{B} -conflict resolution $(a \lor b)$

70 / 108 Erika Ábrahám - 70 / 108

Exploration: \mathbb{B} -decision \mathbb{T} -decision

Look-ahead: B-propagation T-propagation

Proof system: B-conflict resolution T-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c) \qquad \dots x \cdot y^2 < 0 \dots$$

B-propagate − B-propagate

 \mathbb{B} -decision a = false \mathbb{B} -decision $x \cdot y^2 < 0$

 \mathbb{B} -propagate - \mathbb{T} -propagate $x \in (-\infty, \infty)$

 \mathbb{B} -decision b = false \mathbb{T} -decision x = 1

 \mathbb{B} -propagate c = true

 \mathbb{B} -conflict resolution $(a \lor b)$

Till Frika Ábrahám - 70 / 108

Exploration: \mathbb{B} -decision \mathbb{T} -decision

Look-ahead: B-propagation T-propagation

Proof system: B-conflict resolution T-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c) \qquad \dots x \cdot y^2 < 0 \dots$$

B-propagate - B-propagate -

 \mathbb{B} -decision a = false \mathbb{B} -decision $x \cdot y^2 < 0$

 \mathbb{B} -propagate - \mathbb{T} -propagate $x \in (-\infty, \infty)$

 \mathbb{B} -decision b = false \mathbb{T} -decision x = 1

 \mathbb{B} -propagate c = true f \mathbb{T} -propagate $y \in \emptyset$ f

 \mathbb{B} -conflict resolution $(a \lor b)$

Frika Ábrahám - 70 / 108

The MCSAT idea [de Moura, Jovanović, VMCAl'13]

Exploration: \mathbb{B} -decision \mathbb{T} -decision

Look-ahead: B-propagation T-propagation

Proof system: B-conflict resolution T-conflict resolution

$$(a \lor b \lor c) \land (a \lor b \lor \neg c) \qquad \dots x \cdot y^2 < 0 \dots$$

B-propagate - B-propagate - □

 \mathbb{B} -decision a = false \mathbb{B} -decision $x \cdot y^2 < 0$

 $\mathbb{B}\text{-propagate} \qquad \qquad - \qquad \qquad \mathbb{T}\text{-propagate} \qquad \qquad x \in (-\infty, \infty)$

 $egin{array}{ll} {\mathbb B} ext{-decision} & b = \textit{false} & {\mathbb T} ext{-decision} & x=1 \end{array}$

 \mathbb{B} -propagate c = true f \mathbb{T} -propagate $y \in \emptyset$ f

 \mathbb{B} -conflict resolution $(a \lor b)$ \mathbb{T} -conflict resolution $(x \cdot y^2 < 0 \rightarrow x < 0)$

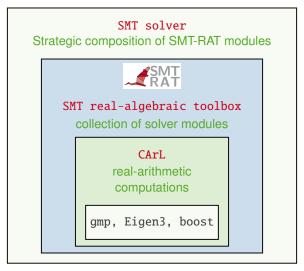
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 - Approaches
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- Hands-on material
 - SAT solving
 - SMT solving

Til Bail Erika Ábrahám - 71 / 108

Our SMT-RAT library [SAT'12, SAT'15]



- MIT licensed source code: github.com/smtrat/smtrat
- Documentation: smtrat.github.io

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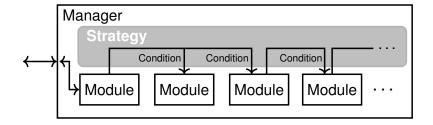
Solver modules in SMT-RAT [SAT'12, SAT'15]

CArL library: basic arithmetic datatypes and computations [Sapientia'18, NFM'11, CAl'11]

Basic modules SAT solver CNF converter Preprocessing/simplifying modules
Non-algebraic decision procedures [Figure Pit Pit
Equalities and uninterpreted functions Bit-vectors Bit-blasting
Interval constraint propagation Pseudo-Boolean formulas
Algebraic decision procedures Gauß+Fourier-Motzkin, FMplex [GandALF'23]
Gröbner bases [CAl'13] MCSAT (FM,VS,CAD) [2xSC ² '19] Simplex [ISSAC'21]
Cylindrical algebraic decomposition [SC ² '21, CADE-24, JSC'19, SC ² '17, 3 PhDs]
Cylindrical algebraic covering [SMT'23, JLAMP'21, SYNASC'21, PhD Kremer]
Virtual substitution [FCT'11, SC2'17, 1 PhD] Subtropical satisfiability [NFM'23]
Generalized branch-and-bound [CASC'16] Cube tests Linearization

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Strategic composition of solver modules in SMT-RAT



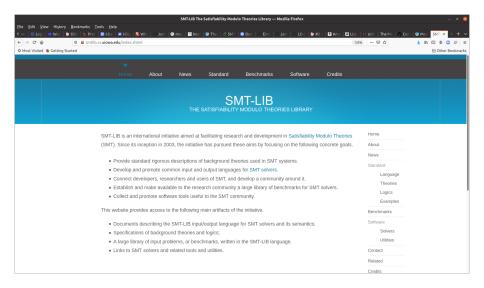
Till Hash Erika Ábrahám - 74 / 108

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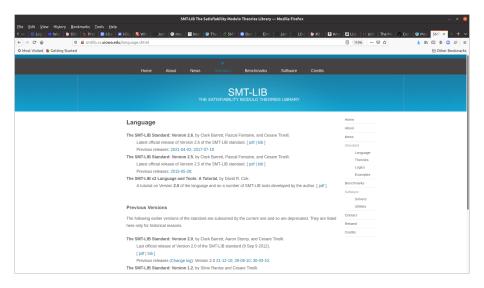
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The Satisfiability Modulo Theories Library

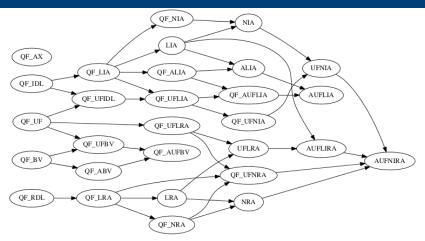


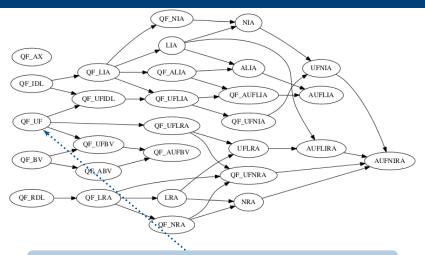
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The Satisfiability Modulo Theories Library

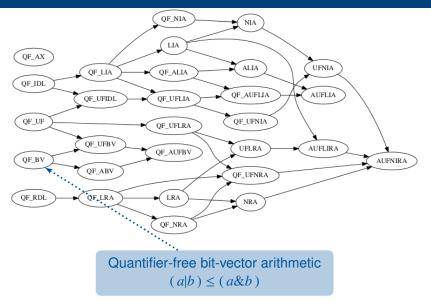


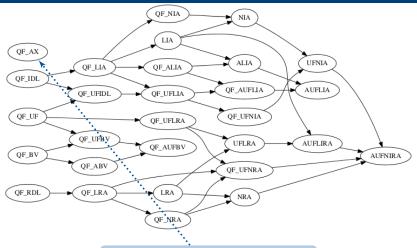
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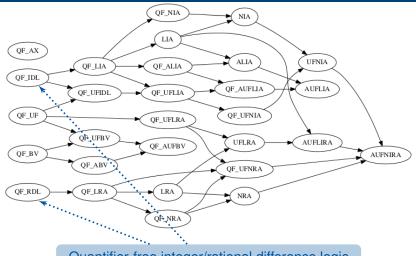


Quantifier-free equality logic with uninterpreted functions $(a = c \land b = d) \rightarrow f(a, b) = f(c, d)$



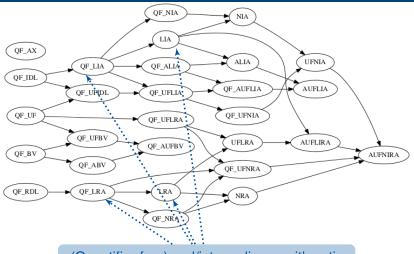


Quantifier-free array theory $i = j \rightarrow read(write(a, i, v), j) = v$

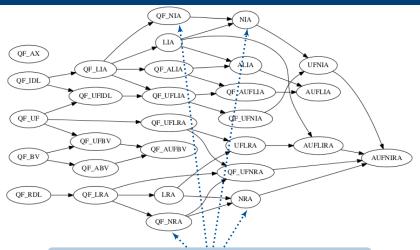


Quantifier-free integer/rational difference logic

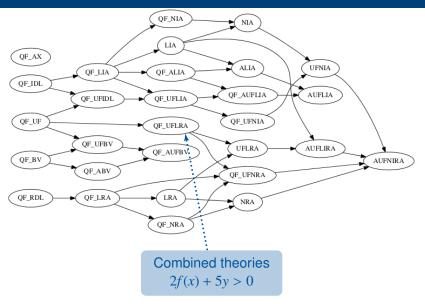
$$x - y \sim 0, \sim \in \{<, \le, =, \ge, >\}$$



(Quantifier-free) real/integer linear arithmetic 3x + 7y = 8



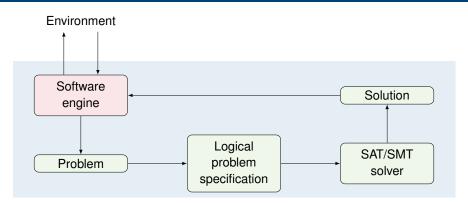
(Quantifier-free) real/integer non-linear arithmetic $x^2 + 2xy + y^2 \ge 0$



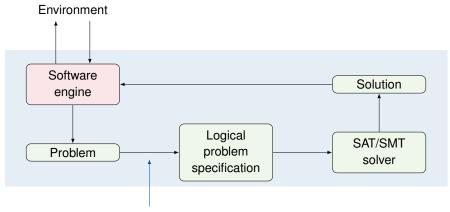
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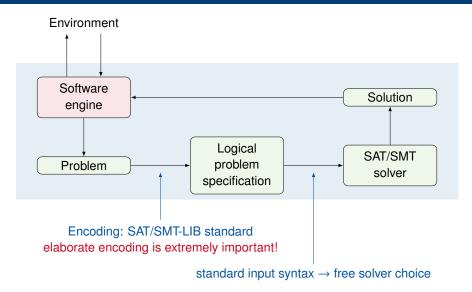


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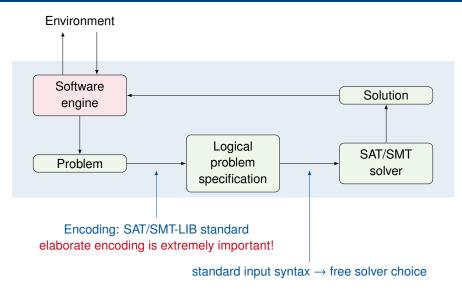


Encoding: SAT/SMT-LIB standard elaborate encoding is extremely important!

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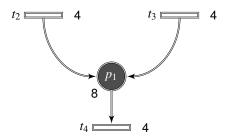


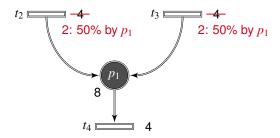
William Erika Ábrahám - 80 / 108



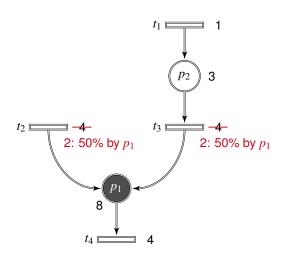
Next: some applications of SMT solvers

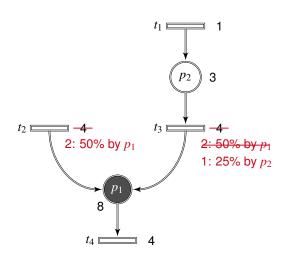
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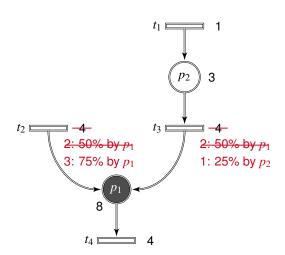




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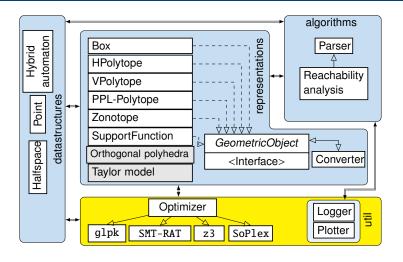


1. SMT encoding of rate adaption fixedpoint

```
(1) \left[ \bigwedge_{p \in P} 0 \le \text{factor}_p \le 1 \right] \land \left[ \bigwedge_{t \in T} 0 \le \text{factor}_t \le 1 \right] \land
(2) \quad \Big[ \bigwedge_{t \in T} ((\mathsf{owner}_t = source(t) \land \mathsf{owner}_t \in P_{empty}) \lor (\mathsf{owner}_t = target(t) \land \mathsf{owner}_t \in P_{full})) \Big] \land \\
(3) \left[ \bigwedge_{n \in P} \mathbf{in}_p = \left( \sum_{t \in In(p) \cap T_a} \mathbf{factor}_t \cdot nominal\_rate(t) \right) + \left( \sum_{t \in In(p) \cap T_{na}} nominal\_rate(t) \right) \wedge \right]
                     \mathbf{out}_p = (\sum_{t \in Out(p) \cap T_a} \mathbf{factor}_t \cdot nominal\_rate(t)) + (\sum_{t \in Out(p) \cap T_{na}} nominal\_rate(t)) \Big] \land
(4)  \left[ \bigwedge_{p \in P_{emply}} \left( (\mathbf{factor}_p = 1 \lor \bigvee_{t \in Out(p)} \mathbf{owner}_t = p) \land \right. \right.  \left( \bigwedge_{t \in Out(p)} (\mathbf{owner}_t = p \to \mathbf{factor}_t = \mathbf{factor}_p) \land \right. 
                                                  (owner_t \neq p \rightarrow factor_t < factor_p) )\land
                                in_p \ge out_p \land (factor_p < 1 \rightarrow in_p = out_p)
(5) \left[ \bigwedge_{p \in P_{fi,il}} \left( (\mathbf{factor}_p = 1 \lor \bigvee_{t \in In(p)} \mathbf{owner}_t = p) \land \right] \right]
                            ( \land (owner_t = p \rightarrow factor_t = factor_p) \land
                                           (owner_t \neq p \rightarrow factor_t \leq factor_p) ) \land
                            \operatorname{in}_p \leq \operatorname{out}_p \wedge (\operatorname{factor}_p < 1 \rightarrow \operatorname{in}_p = \operatorname{out}_p)
```

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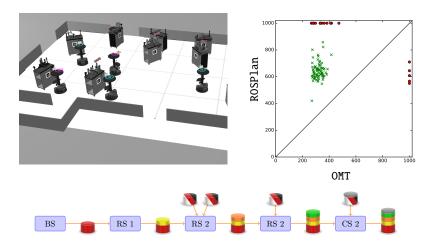
2. Reachability analysis for hybrid systems with HyPro



Source: E. Ábrahám, X. Chen, S. Sankaranarayanan, S. Schupp. PhD Chen, PhD Schupp, Information and Computation'22, IRI'18, SEFM'18, TACAS'18, NFM'17, QAPL'17, ARCH'15, CyPhy'15, NFM'15, FMCAD'14, CAV'13, FTSCS'13, NOLCOS'13, RTSS'12, EUROCAST'11, RP'11.

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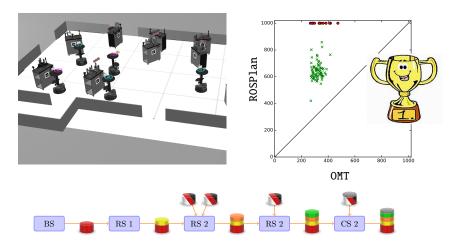
3. Planning with Optimization Modulo Theories



Source: E. Ábrahám, G. Lakemeyer, F. Leofante, T. D. Niemüller, A. Tacchella. PhD Leofante, IJCAl'20, Information Systems Frontiers 2019, ECMS'19, AAAl'18, iFM'18, ICAPS'17, PlanRob'17, IRI'17.

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3. Planning with Optimization Modulo Theories

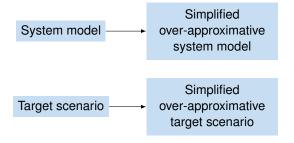


Source: E. Ábrahám, G. Lakemeyer, F. Leofante, T. D. Niemüller, A. Tacchella. PhD Leofante, IJCAl'20, Information Systems Frontiers 2019, ECMS'19, AAAI'18, iFM'18, ICAPS'17, PlanRob'17, IRI'17.

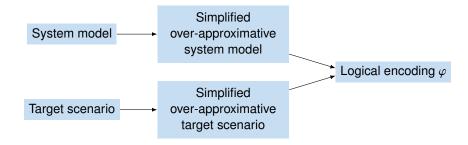
Mish Erika Ábrahám - 84 / 108

System model

Target scenario

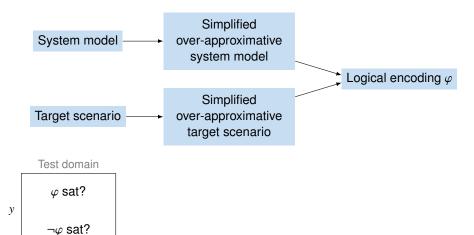


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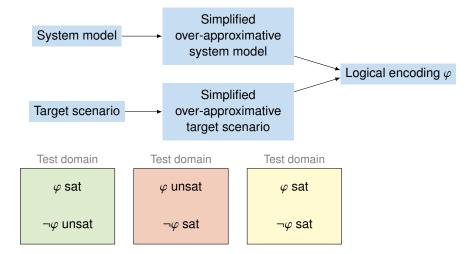


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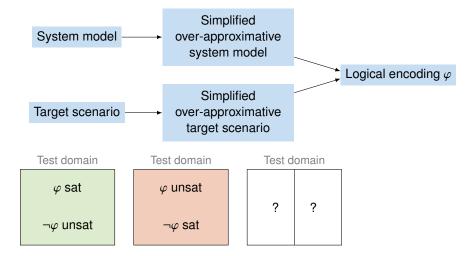
x



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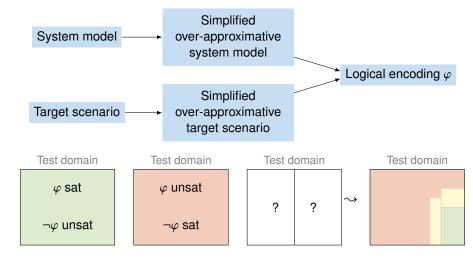


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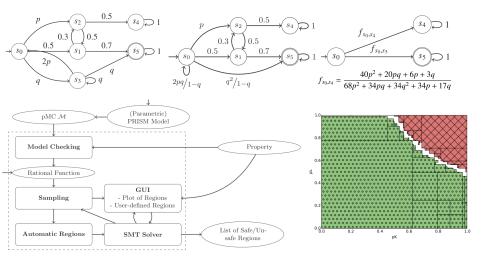
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4. Relevant domains for testing (Siemens)



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5. Parameter synthesis for probabilistic systems



Source: C. Dehnert, S. Junges, N. Jansen, F. Corzilius, M. Volk, H. Bruintjes, J.-P. Katoen, E. Ábrahám.

PROPhESY: A probabilistic parameter synthesis tool.

In Proc. of CAV'15.

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Contents

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 - Exploration revisited
- SMT solving
 - Approaches
 - SMT-RAT
 - SMT-LIB
 - SMT solvers as integrated engines
 - Future challenges
- Hands-on material
 - SAT solving
 - SMT solving

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Usage of SMT solvers

- Standard input language, benchmarks
- Online usage, command-line, programming interfaces
- Black-box usage possible, but specific knowledge is advantageous
 - for efficient usage and
 - selection of the best fitting tool (e.g. fast vs complete).

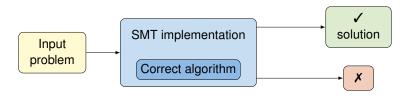
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■ Theoretical basics: algorithms with correctness proofs.

Correct algorithm

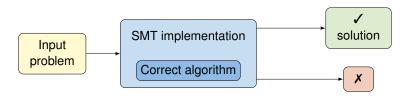
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- Theoretical basics: algorithms with correctness proofs.
- Reliable tools: in QF_NRA for SMT-COMP'21, no bugs discovered on large benchmark sets.



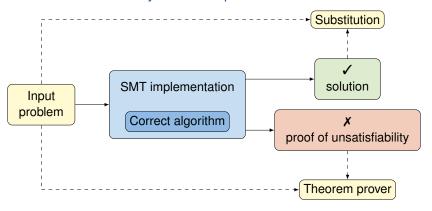
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- Theoretical basics: algorithms with correctness proofs.
- Reliable tools: in QF_NRA for SMT-COMP'21, no bugs discovered on large benchmark sets.
- But still: bugs can remain undetected for a long time.



By / 108

- Theoretical basics: algorithms with correctness proofs.
- Reliable tools: in QF_NRA for SMT-COMP'21, no bugs discovered on large benchmark sets.
- But still: bugs can remain undetected for a long time.
- Solution: automatically checkable proof certificates.



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Further functionalities

- Model generation
- Explanations of unsatisfiability (unsat cores, interpolants)
- Optimization
- Satisfiability for quantified formulas
- Quantifier elimination (get all solutions symbolically)
- Scalability
 - Preprocessing
 - Heuristics, especially variable ordering
 - Machine learning
 - Closer integration of decision procedures
 - Parallelization

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You need to have installed...

- Python
- Z3

https://github.com/exercism/z3/blob/main/docs/INSTALLATION.md

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SAT encodings

Suppose we can solve the satisfiability problem... how can this help us?

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SAT encodings

- Suppose we can solve the satisfiability problem... how can this help us?
- There are numerous problems in the industry that are solved via the satisfiability problem of propositional logic
 - Logistics
 - Planning
 - Electronic Design Automation industry
 - Cryptography
 - **...**

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Example 1: Placement of wedding guests

- Three chairs in a row: 1, 2, 3
- We need to place Aunt, Sister and Father.
- Constraints:
 - Aunt doesn't want to sit near Father
 - Aunt doesn't want to sit in the left chair
 - Sister doesn't want to sit to the right of Father

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Example 1: Placement of wedding guests

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- We need to place Aunt, Sister and Father.
- Constraints:
 - Aunt doesn't want to sit near Father
 - Aunt doesn't want to sit in the left chair
 - Sister doesn't want to sit to the right of Father

Q: Can we satisfy these constraints?

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■ Notation:

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Notation: Aunt = 1, Sister = 2, Father = 3 Left chair = 1, Middle chair = 2, Right chair = 3 Introduce a propositional variable for each pair (person, chair): $x_{p,c}$ = "person p is sited in chair c" for $1 \le p, c \le 3$



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Constraints:

Aunt doesn't want to sit near Father:

$$((x_{1,1} \lor x_{1,3}) \to \neg x_{3,2}) \land (x_{1,2} \to (\neg x_{3,1} \land \neg x_{3,3}))$$

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Sister doesn't want to sit to the right of Father:

$$(x_{3,1} \to \neg x_{2,2}) \land (x_{3,2} \to \neg x_{2,3})$$

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Each person is placed:

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$$(x_{1,1} \lor x_{1,2} \lor x_{1,3}) \land (x_{2,1} \lor x_{2,2} \lor x_{2,3}) \land (x_{3,1} \lor x_{3,2} \lor x_{3,3})$$

$$\bigwedge_{p=1}^{3} \bigvee_{c=1}^{3} x_{p,c}$$

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At most one person per chair:

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At most one person per chair:

$$\bigwedge_{p1=1}^{3} \bigwedge_{p2=p1+1}^{3} \bigwedge_{c=1}^{3} (\neg x_{p1,c} \lor \neg x_{p2,c})$$

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Example 2: Assignment of frequencies

- n radio stations
- For each station assign one of k transmission frequencies, k < n.
- *E* − set of pairs of stations, that are too close to have the same frequency.

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Example 2: Assignment of frequencies

- n radio stations
- For each station assign one of k transmission frequencies, k < n.
- *E* set of pairs of stations, that are too close to have the same frequency.

Q: Can we assign to each station a frequency, such that no station pairs from E have the same frequency?

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■ Notation:

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■ Notation:

 $x_{s,f}$ = "station s is assigned frequency f" for $1 \le s \le n, 1 \le f \le k$

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Every station is assigned at least one frequency:

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Every station is assigned at most one frequency:

$$\bigwedge_{s=1}^{n} \bigwedge_{f1=1}^{k-1} \bigwedge_{f2=f1+1}^{k} \left(\neg x_{s,f1} \vee \neg x_{s,f2} \right)$$

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Close stations are not assigned the same frequency:

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Notation:

 $x_{s,f}$ = "station s is assigned frequency f" for $1 \le s \le n$, $1 \le f \le k$

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Every station is assigned at least one frequency:

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Every station is assigned at most one frequency:

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Close stations are not assigned the same frequency: For each $(s1, s2) \in E$,

$$\bigwedge_{f=1}^{k} \left(\neg x_{s1,f} \lor \neg x_{s2,f} \right)$$

Example 3: Seminar topic assignment

- n participants
- n topics
- Set of preferences $E \subseteq \{1, \ldots, n\} \times \{1, \ldots, n\}$ $(p,t) \in E$ means: participant p would take topic t

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Example 3: Seminar topic assignment

- n participants
- n topics
- Set of preferences $E \subseteq \{1, \ldots, n\} \times \{1, \ldots, n\}$ $(p,t) \in E$ means: participant p would take topic t

Q: Can we assign to each participant a topic which he/she is willing to take?

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Notation:

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■ Notation: $x_{p,t}$ = "participant p is assigned topic t"

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Each participant is willing to take his/her assigned topic:

- Notation: $x_{p,t}$ = "participant p is assigned topic t"
- Constraints:

Each participant is assigned at least one topic:

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Each participant is willing to take his/her assigned topic:

$$\bigwedge_{p=1}^{n} \bigwedge_{(p,t) \notin E} \neg x_{p,t}$$

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Each topic is assigned to at most one participant:

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Each topic is assigned to at most one participant:

$$\bigwedge_{t=1}^{n} \bigwedge_{p_{1}=1}^{n} \bigwedge_{p_{2}=p_{1}+1}^{n} \left(\neg x_{p_{1},t} \lor \neg x_{p_{2},t} \right)$$

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DIMACS input syntax for SAT solvers

The DIMACS format for SAT solvers has three types of lines:

- header: "p cnf n m" in which
 - n denotes the highest variable index and
 - \blacksquare m the number of clauses.
- clauses: a sequence of integers ending with "0"
- comments: any line starting with "c "

Example:

		c example		
		c example p cnf 2 4		
$(a \lor b)$	\wedge	1	2	0
$(\neg a \lor b)$	\wedge	-1	2	0
$(a \lor \neg b)$	\wedge	1	-2	0
$(\neg a \lor \neg b)$	\wedge	-1	-2	0



Solving propositional logic with SMT solvers

- SMT-LIB format: https://microsoft.github.io/z3guide/docs/logic/propositional-logic
- Python interface: https://ericpony.github.io/z3py-tutorial/guide-examples.htm
- Both: https://cvc5.github.io/tutorials/beginners/

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SMT-LIB theories

Syntax of core theory

```
:sorts ((Bool 0))
:funs (
   (true Bool)
   (false Bool)
   (not Bool Bool)
   (and Bool Bool Bool :left-assoc)
   ...
   (par (A) (= A A Bool :chainable))
   (par (A) (ite Bool A A A))
   ...
```

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SMT-LIB theories

Syntax of real theory

- Lisp-like script language
- Supported by essentially all SMT solvers
- Easy to parse and extend

Boolean example

```
(set-logic QF_UF)
(declare-const p Bool)
(assert (and p (not p)))
(check-sat)
```

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- Lisp-like script language
- Supported by essentially all SMT solvers
- Easy to parse and extend

Linear integer example

```
(set-logic QF_LIA)
(declare-const x Int)
(declare-const y Int)
(assert (= (- x y) (+ x (- y) 1)))
(check-sat)
```

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- Lisp-like script language
- Supported by essentially all SMT solvers
- Easy to parse and extend

Unsatisfiable cores

```
(set-logic QF_UF)
(set-option :produce-unsat-cores true)
(declare-const p Bool)
(declare-const q Bool)
(declare-const r Bool)
(assert (! (=> p q) :named a))
(assert (! (=> q r) :named b))
(assert (! (not (=> p r)) :named c))
(assert ...)
(check-sat)
(get-unsat-core)
```

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- Lisp-like script language
- Supported by essentially all SMT solvers
- Easy to parse and extend

Optimization

```
(set-logic QF_LIA)
(declare-const x Int)
(declare-const y Int)
(assert (and (< y 5) (< x 2)))
(assert (< (- y x) 1))
(maximize (+ x y))
(check-sat)
(get-objectives)</pre>
```

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Solving theory formulas with SMT solvers

- https://cvc5.github.io/tutorials/beginners
- SMT-LIB input: https://microsoft.github.io/z3guide/docs/logic/intro/ https://smt-lib.org/examples.shtml
- Z3/cvc5 Python interface: https://ericpony.github.io/z3py-tutorial/guide-examples.htm

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