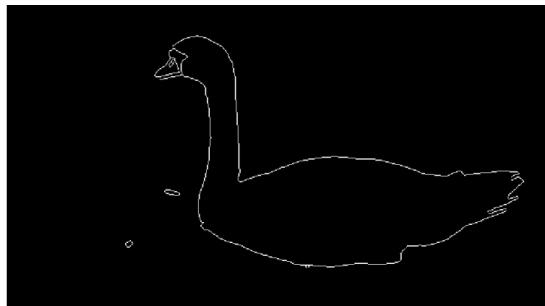


# **Active Contours/Snakes**

Erkut Erdem

**Acknowledgement:** The slides are adapted from the slides prepared by K. Grauman of University of Texas at Austin

# Fitting: Edges vs. boundaries



**Edges** useful signal to indicate occluding boundaries, shape.

local entity

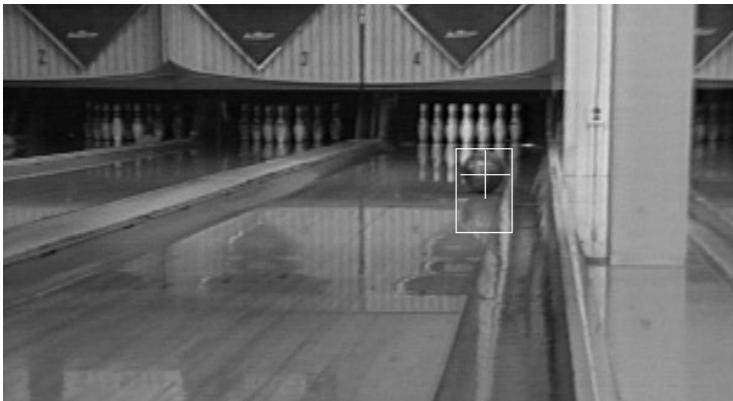
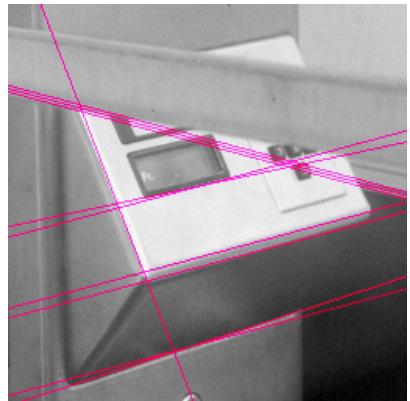
Here the raw edge output is not so bad...



...but quite often boundaries of interest are fragmented, and we have extra “clutter” edge points.

Images from D. Jacobs

# Fitting: Edges vs. boundaries



Detecting boundaries requires  
**grouping**

Given a model of interest, we  
can overcome some of the  
missing and noisy edges using  
**fitting** techniques.



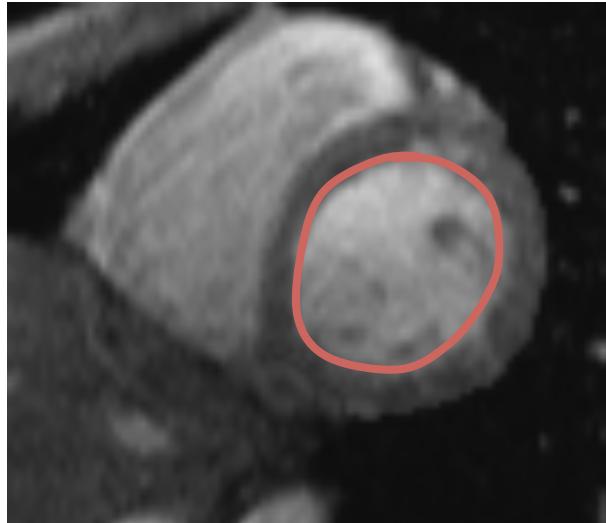
With voting methods like the  
**Hough transform**,  
detected points vote on  
possible model parameters.

# Deformable contours

a.k.a. active contours, snakes

**Given:** initial contour (model) near desired object

**Goal:** evolve the contour to fit exact object boundary



**Main idea:** elastic band is iteratively adjusted so as to

- be near image positions with high gradients, **and**
- satisfy shape “preferences” or contour priors

# Deformable contours: intuition

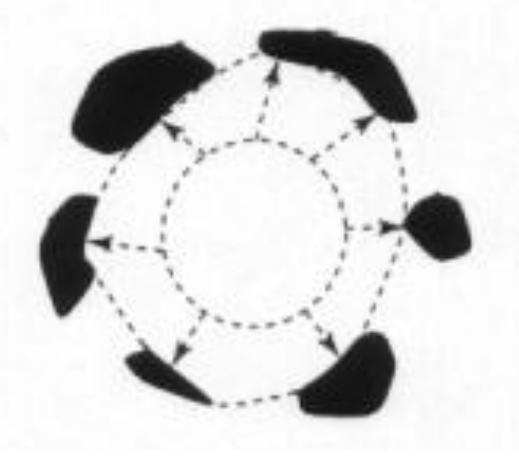
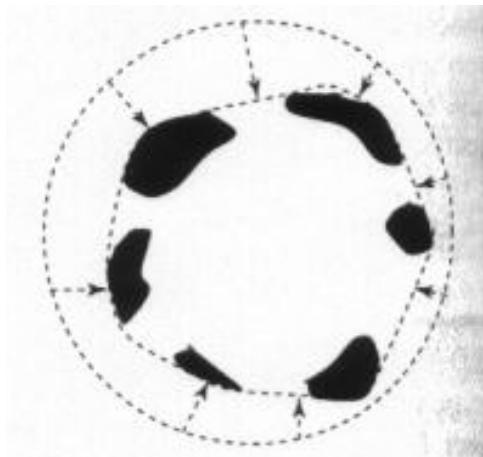
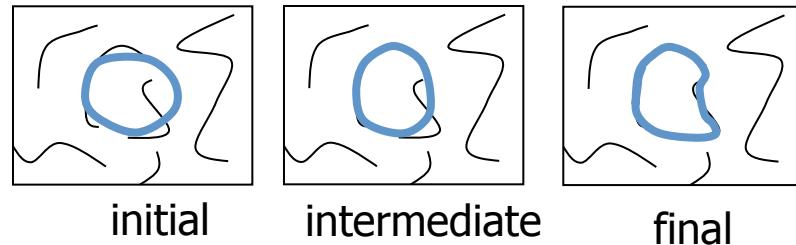
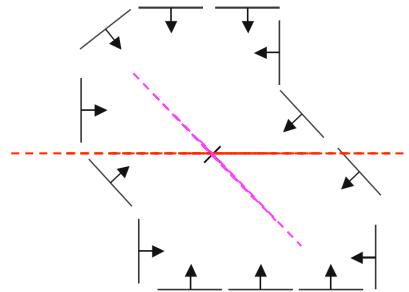


Image from [http://www.healthline.com/blogs/exercise\\_fitness/uploaded\\_images/HandBand2-795868.JPG](http://www.healthline.com/blogs/exercise_fitness/uploaded_images/HandBand2-795868.JPG)

# Deformable contours vs. Hough

Like generalized Hough transform, useful for shape fitting; but



## Hough

Rigid model shape

Single voting pass can  
detect multiple instances

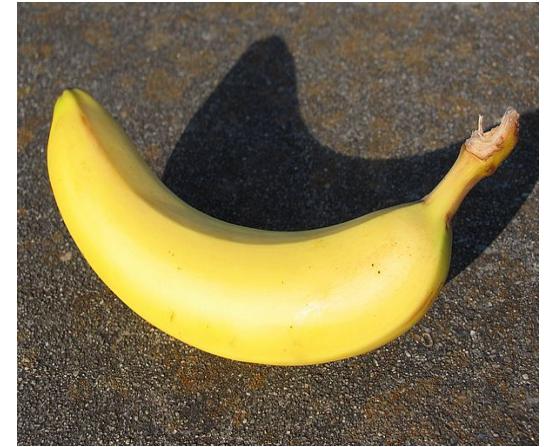
## Deformable contours

Prior on shape types, but shape  
iteratively adjusted (*deforms*)

Requires initialization nearby

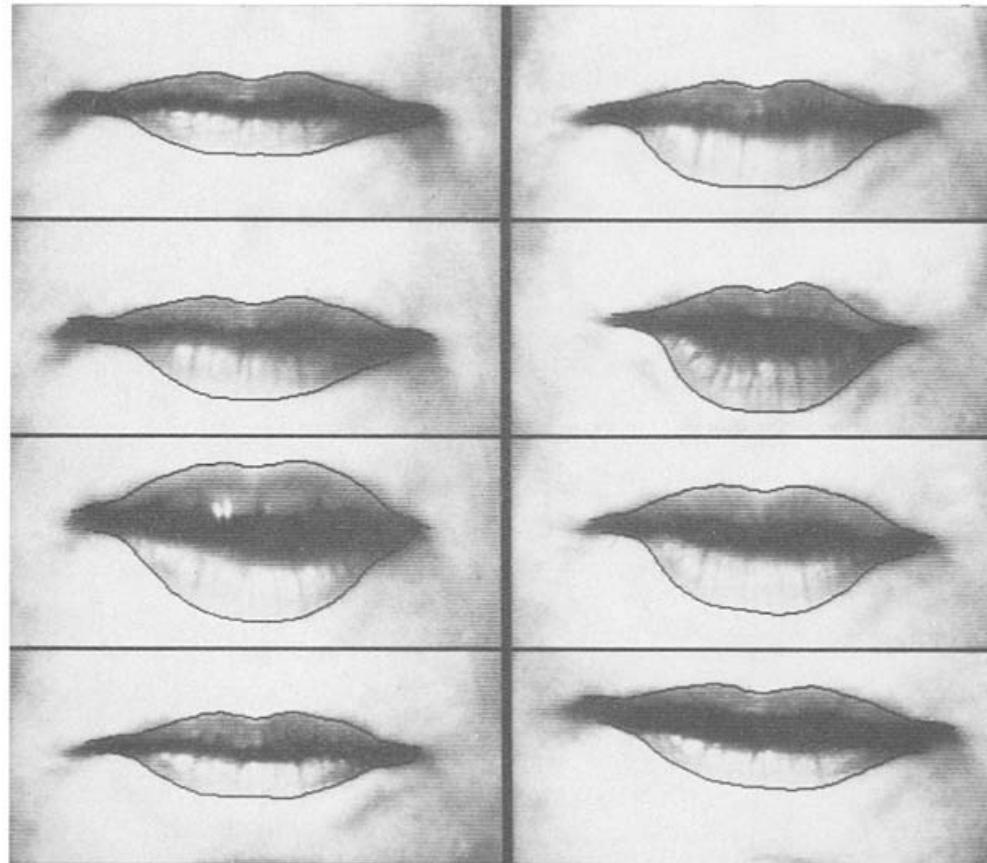
One optimization “pass” to fit a  
single contour

# Why do we want to fit deformable shapes?



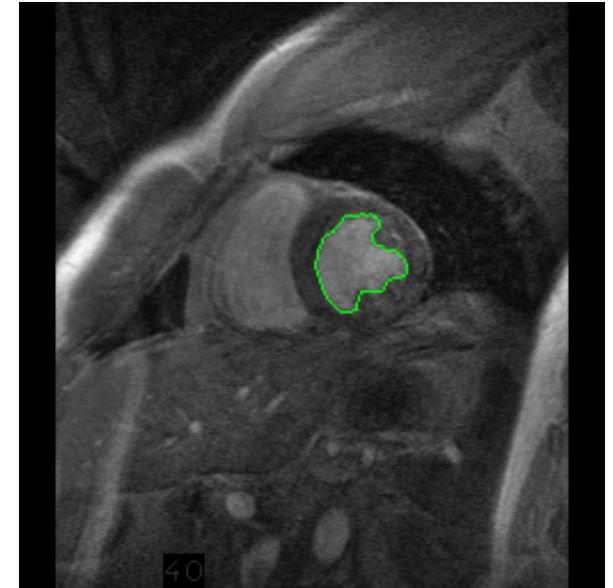
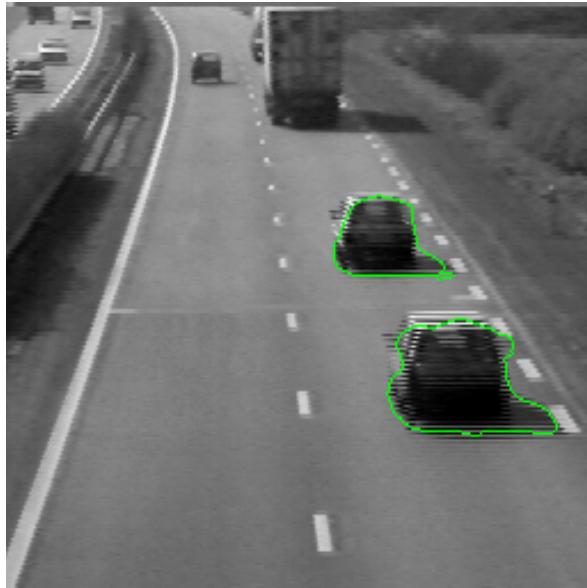
- Some objects have similar basic form.
- but some variety in the contour shape.

# Why do we want to fit deformable shapes?



Non-rigid, deformable objects can change their shape over time, e.g. lips, hands...

# Why do we want to fit deformable shapes?



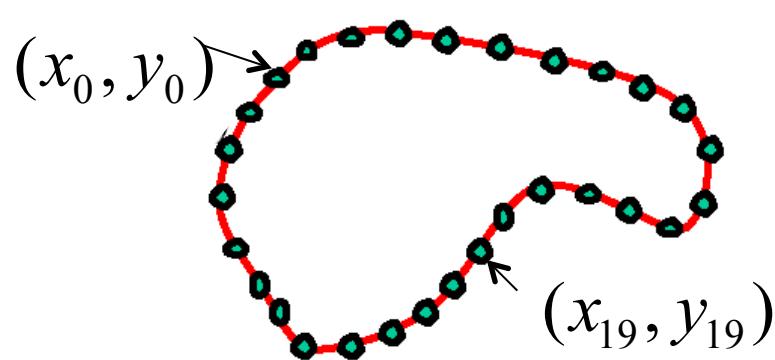
Non-rigid, deformable objects can change their shape over time.

# **Aspects we need to consider**

- Representation of the contours
- Defining the energy functions
  - External
  - Internal
- Minimizing the energy function
- Extensions:
  - Tracking
  - Interactive segmentation

# Representation

- We'll consider a discrete representation of the contour, consisting of a list of 2d point positions ("vertices").

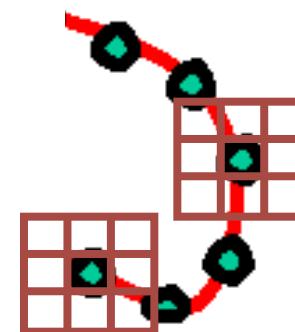


$$\mathcal{V}_i = (x_i, y_i),$$

for  $i = 0, 1, \dots, n - 1$

**explicit, parametric representation**

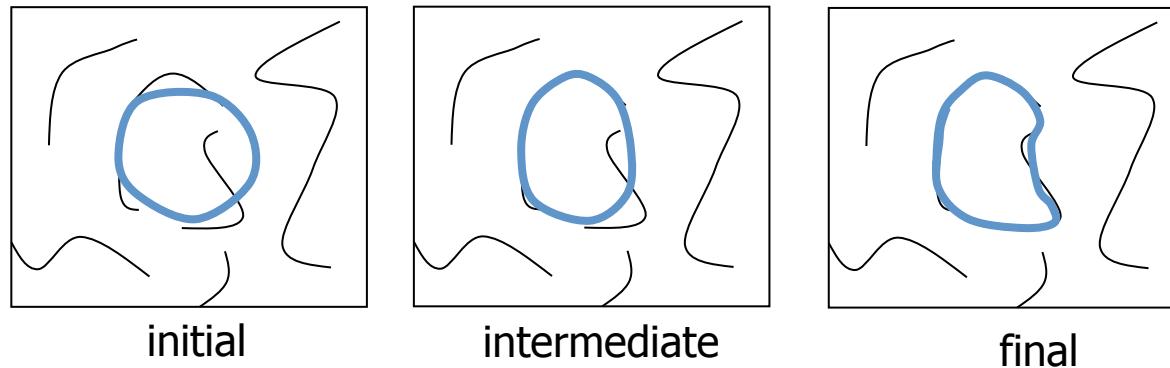
- At each iteration, we'll have the option to move each vertex to another nearby location ("state").



# Fitting deformable contours

How should we adjust the current contour to form the new contour at each iteration?

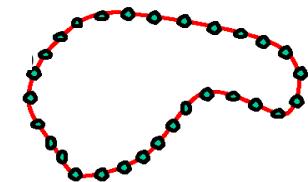
- Define a cost function (“energy” function) that says how good a candidate configuration is.
- Seek next configuration that minimizes that cost function.



# Energy function

The total energy (cost) of the current snake is defined as:

$$E_{total} = E_{internal} + E_{external}$$



**Internal** energy: encourage prior shape preferences: e.g., smoothness, elasticity, particular known shape.

**External** energy (“image” energy): encourage contour to fit on places where image structures exist, e.g., edges.

A good fit between the current deformable contour and the target shape in the image will yield a **low** value for this cost function.

# **External energy: intuition**

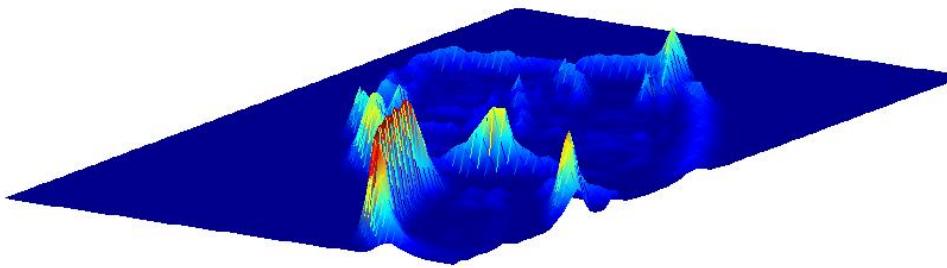
- Measure how well the curve matches the image data
- “Attract” the curve toward different image features
  - Edges, lines, texture gradient, etc.

# External image energy



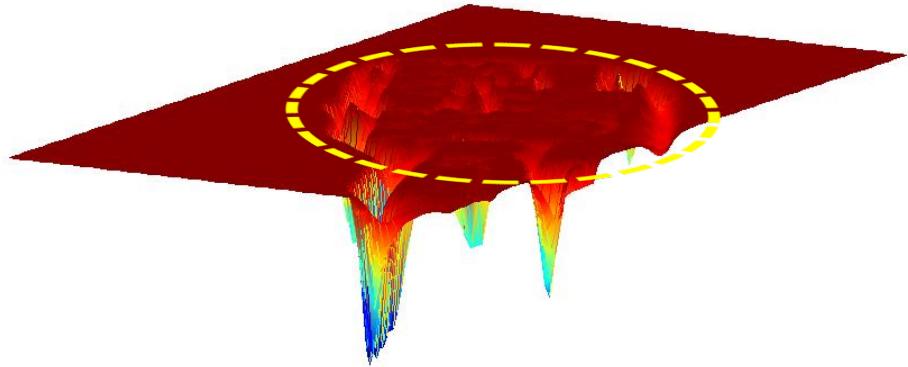
How do edges affect “snap” of rubber band?

Think of external energy from image as gravitational pull towards areas of high contrast



Magnitude of gradient

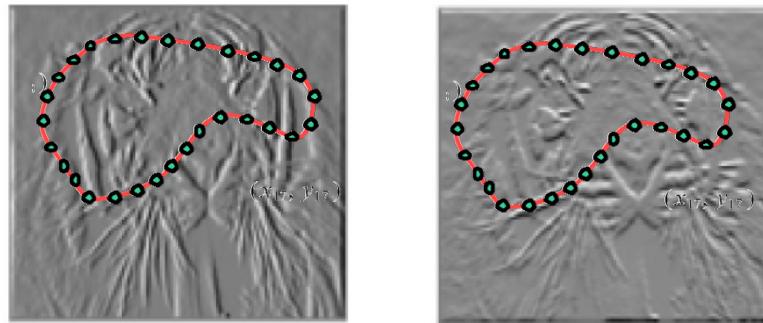
$$G_x(I)^2 + G_y(I)^2$$



$$\begin{aligned} & -(\text{Magnitude of gradient}) \\ & - \left( G_x(I)^2 + G_y(I)^2 \right) \end{aligned}$$

# External image energy

- Gradient images  $G_x(x, y)$  and  $G_y(x, y)$



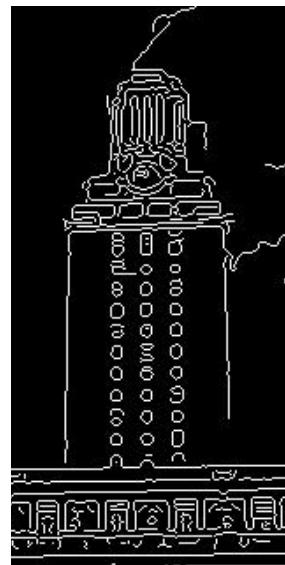
- External energy at a point on the curve is:

$$E_{external}(\nu) = -(|G_x(\nu)|^2 + |G_y(\nu)|^2)$$

- External energy for the whole curve:

$$E_{external} = - \sum_{i=0}^{n-1} |G_x(x_i, y_i)|^2 + |G_y(x_i, y_i)|^2$$

# Internal energy: intuition



What are the underlying boundaries  
in this fragmented edge image?      And in this one?

# Internal energy: intuition

A *priori*, we want to favor **smooth** shapes, contours with **low curvature**, contours similar to a **known shape**, etc. to balance what is actually observed (i.e., in the gradient image).



# Internal energy

For a *continuous curve*, a common internal energy term is the “bending energy”.

At some point  $v(s)$  on the curve, this is:

$$E_{internal}(v(s)) = \alpha \left| \frac{dv}{ds} \right|^2 + \beta \left| \frac{d^2v}{d^2s} \right|^2$$

Tension,  
Elasticity      Stiffness,  
Curvature

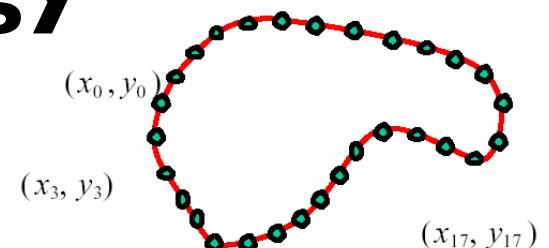


# Internal energy

- For our discrete representation,

$$\nu_i = (x_i, y_i) \quad i = 0 \dots n - 1$$

$$\frac{d\nu}{ds} \approx \nu_{i+1} - \nu_i \quad \frac{d^2\nu}{ds^2} \approx (\nu_{i+1} - \nu_i) - (\nu_i - \nu_{i-1}) = \nu_{i+1} - 2\nu_i + \nu_{i-1}$$



*Note these are derivatives relative to **position**---not spatial image gradients.*

- Internal energy for the whole curve:

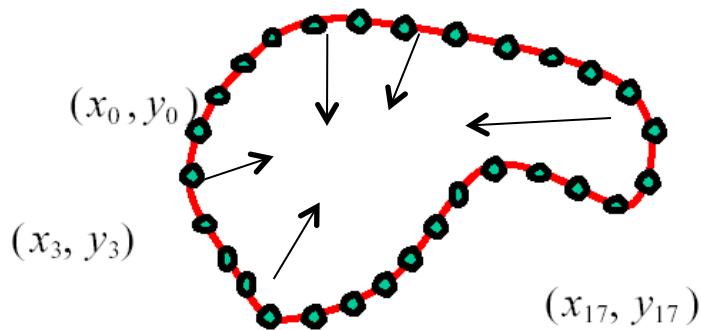
$$E_{internal} = \sum_{i=0}^{n-1} \alpha \|\nu_{i+1} - \nu_i\|^2 + \beta \|\nu_{i+1} - 2\nu_i + \nu_{i-1}\|^2$$

*Why do these reflect **tension** and **curvature**?*

# Penalizing elasticity

- Current elastic energy definition uses a discrete estimate of the derivative:

$$\begin{aligned} E_{elastic} &= \sum_{i=0}^{n-1} \alpha \|\nu_{i+1} - \nu_i\|^2 \\ &= \alpha \cdot \sum_{i=0}^{n-1} (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2 \end{aligned}$$



*What is the possible problem with this definition?*

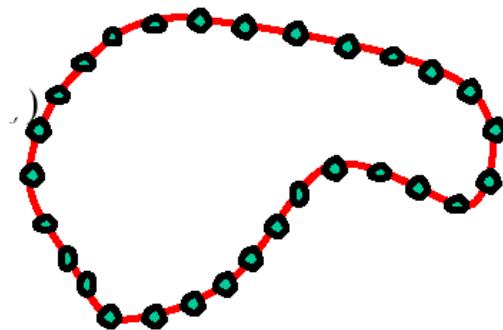
# Penalizing elasticity

- Current elastic energy definition uses a discrete estimate of the derivative:

$$E_{elastic} = \sum_{i=0}^{n-1} \alpha \|\nu_{i+1} - \nu_i\|^2$$

Instead:

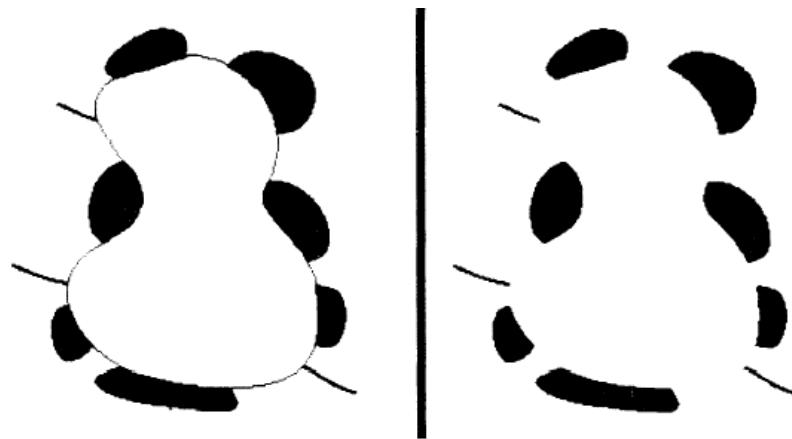
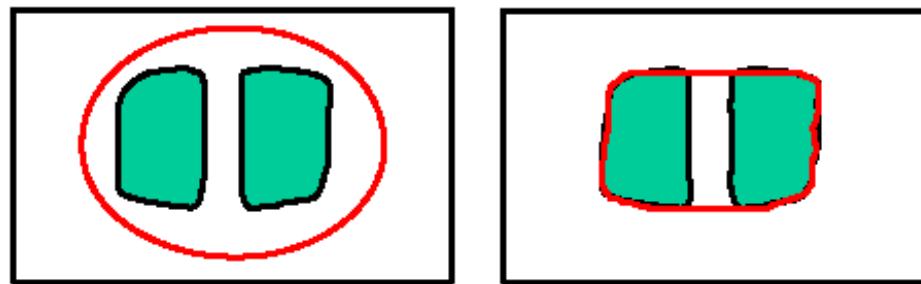
$$= \alpha \cdot \sum_{i=0}^{n-1} \left( (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2 - \bar{d} \right)^2$$



where  $d$  is the average distance between pairs of points – updated at each iteration.

# Dealing with missing data

- The preferences for low-curvature, smoothness help deal with missing data:



Illusory contours found!

[Figure from Kass et al. 1987]

# Extending the internal energy: capture shape prior

- If object is some smooth variation on a known shape, we can use a term that will penalize deviation from that shape:

$$E_{internal} + = \alpha \cdot \sum_{i=0}^{n-1} (\nu_i - \hat{\nu}_i)^2$$

where  $\{\hat{\nu}_i\}$  are the points of the known shape.

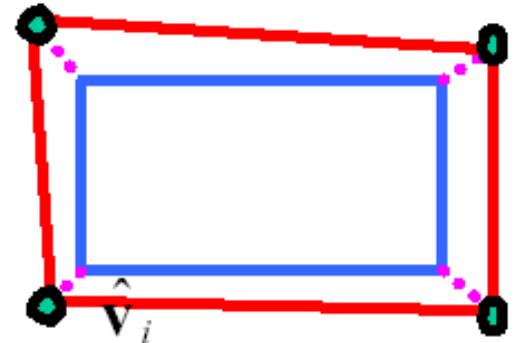


Fig from Y. Boykov

# Total energy: function of the weights

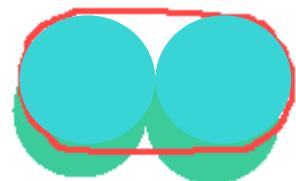
$$E_{total} = E_{internal} + \gamma E_{external}$$

$$E_{external} = - \sum_{i=0}^{n-1} |G_x(x_i, y_i)|^2 + |G_y(x_i, y_i)|^2$$

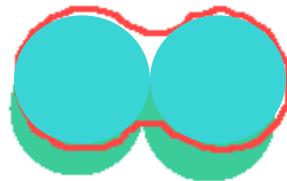
$$E_{internal} = \sum_{i=0}^{n-1} \alpha (\bar{d} - \|\nu_{i+1} - \nu_i\|)^2 + \beta \|\nu_{i+1} - 2\nu_i + \nu_{i-1}\|^2$$

# Total energy: function of the weights

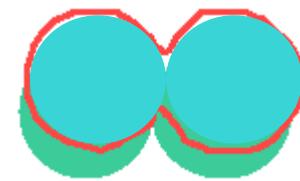
- e.g.,  $\alpha$  weight controls the penalty for internal elasticity



large  $\alpha$



medium  $\alpha$

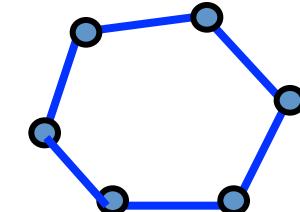


small  $\alpha$

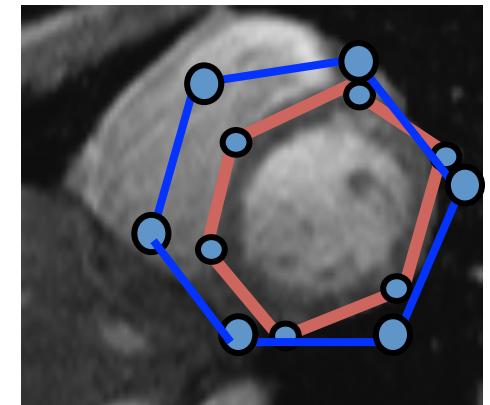
Fig from Y. Boykov

# Recap: deformable contour

- A simple elastic snake is defined by:
  - A set of  $n$  points,
  - An internal energy term (tension, bending, plus optional shape prior)
  - An external energy term (gradient-based)



- To use to segment an object:
  - Initialize in the vicinity of the object
  - Modify the points to minimize the total energy

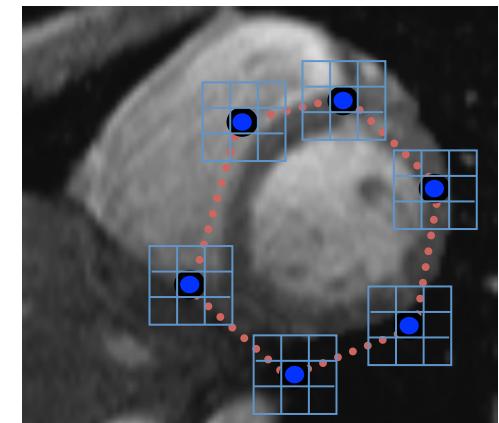


# Energy minimization

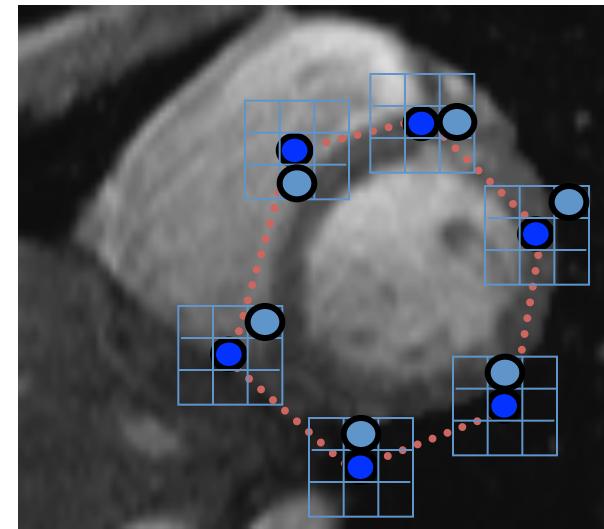
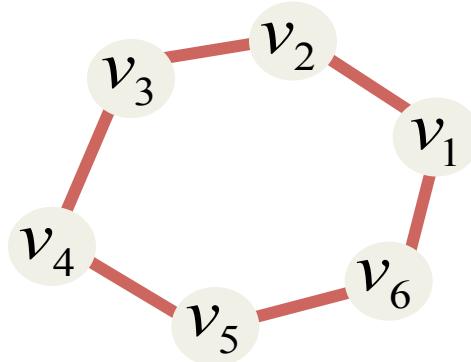
- Several algorithms have been proposed to fit deformable contours:
  - Greedy search
  - Dynamic programming (for 2d snakes)
  - ...

# Energy minimization: greedy

- For each point, search window around it and move to where energy function is minimal
  - Typical window size, e.g.,  $5 \times 5$  pixels
- Stop when predefined number of points have not changed in last iteration, or after max number of iterations
- Note:
  - Convergence not guaranteed
  - Need decent initialization



# Energy minimization: dynamic programming



With this form of the energy function, we can minimize using dynamic programming, with the *Viterbi* algorithm.

Iterate until optimal position for each point is the center of the box, i.e., the snake is optimal in the local search space constrained by boxes.

Fig from Y. Boykov  
[Amini, Weymouth, Jain, 1990]

# Energy minimization: dynamic programming

- Possible because snake energy can be rewritten as a sum of pair-wise interaction potentials:

$$E_{total}(\nu_1, \dots, \nu_n) = \sum_{i=1}^{n-1} E_i(\nu_i, \nu_{i+1})$$

- Or sum of triple-interaction potentials.

$$E_{total}(\nu_1, \dots, \nu_n) = \sum_{i=1}^{n-1} E_i(\nu_{i-1}, \nu_i, \nu_{i+1})$$

# Snake energy: pair-wise interactions

$$\begin{aligned} E_{total}(x_1, \dots, x_n, y_1, \dots, y_n) &= - \sum_{i=1}^{n-1} |G_x(x_i, y_i)|^2 + |G_y(x_i, y_i)|^2 \\ &\quad + \alpha \cdot \sum_{i=1}^{n-1} (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2 \end{aligned}$$

Re-writing the above with  $\nu_i = (x_i, y_i)$  :

$$E_{total}(\nu_1, \dots, \nu_n) = - \sum_{i=1}^{n-1} \|G(\nu_i)\|^2 + \alpha \cdot \sum_{i=1}^{n-1} \|\nu_{i+1} - \nu_i\|^2$$

$$E_{total}(\nu_1, \dots, \nu_n) = E_1(\nu_1, \nu_2) + E_2(\nu_2, \nu_3) + \dots + E_{n-1}(\nu_{n-1}, \nu_n)$$

$$\text{where } E_i(\nu_i, \nu_{i+1}) = -\|G(\nu_i)\|^2 + \alpha \|\nu_{i+1} - \nu_i\|^2$$

# **Aspects we need to consider**

- Representation of the contours
- Defining the energy functions
  - External
  - Internal
- Minimizing the energy function
- Extensions:
  - Tracking
  - Interactive segmentation
  - ...

# Tracking via deformable contours

1. Use final contour/model extracted at frame  $t$  as an initial solution for frame  $t+1$
2. Evolve initial contour to fit exact object boundary at frame  $t+1$
3. Repeat, initializing with most recent frame.



Tracking Heart Ventriles  
(multiple frames)

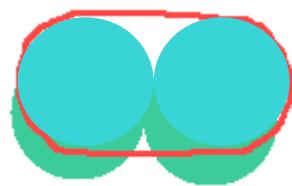
# 3D active contours



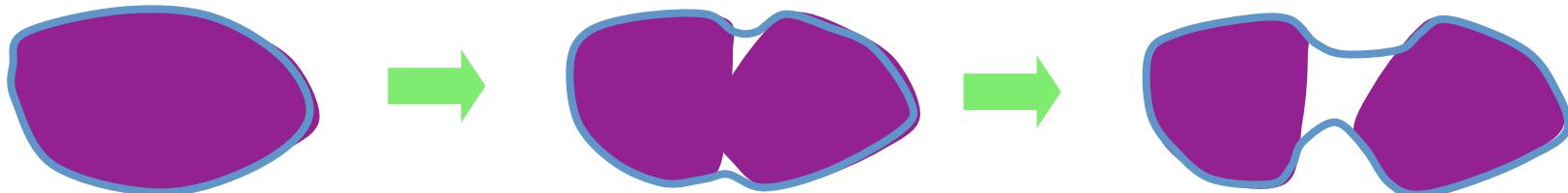
- Jörgen Ahlberg
- <http://www.cvl.isy.liu.se/ScOut/Masters/Papers/Ex1708.pdf>

# Limitations

- May over-smooth the boundary



- Cannot follow topological changes of objects



# Limitations

- External energy: snake does not really “see” object boundaries in the image unless it gets very close to it.

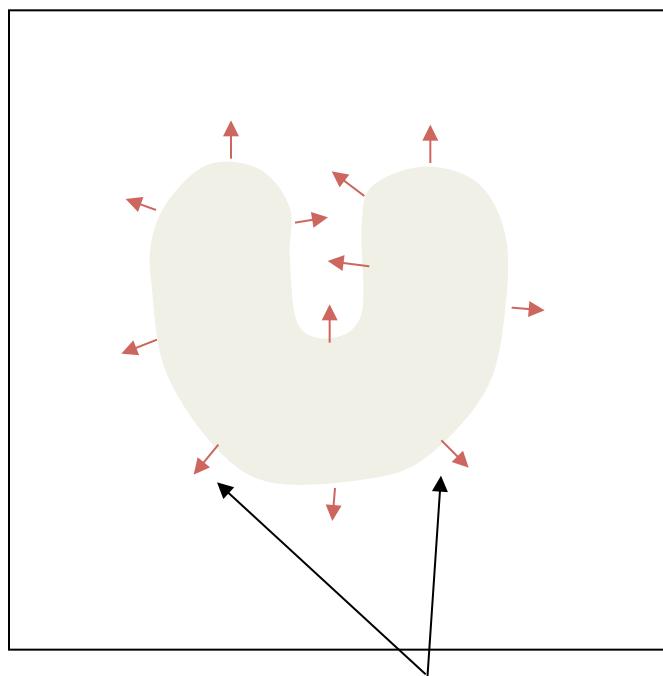
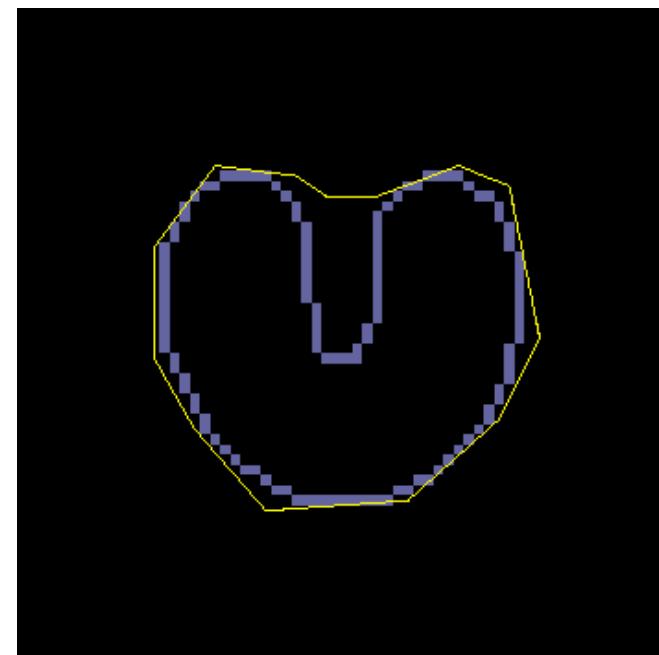


image gradients  $\nabla I$   
are large only directly on the boundary



# Distance transform

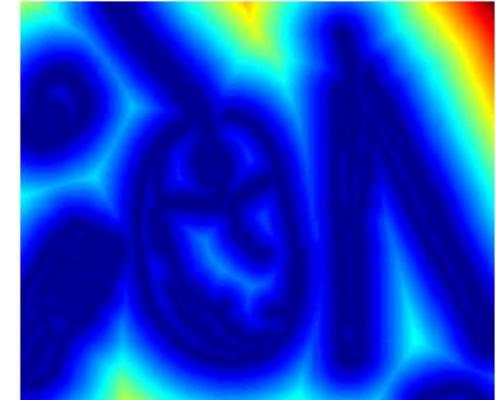
- External image can instead be taken from the **distance transform** of the edge image.



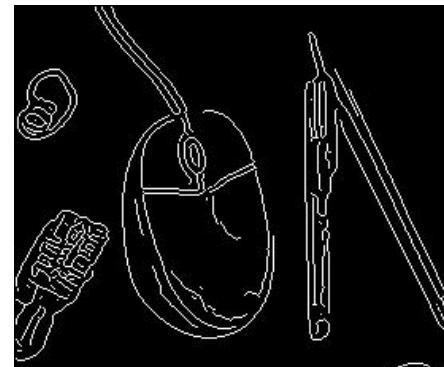
original



-gradient



distance transform



edges

Value at  $(x,y)$  tells how far that position is from the nearest edge point (or other binary mage structure)

# **Deformable contours: pros and cons**

## Pros:

- Useful to track and fit non-rigid shapes
- Contour remains connected
- Possible to fill in “subjective” contours
- Flexibility in how energy function is defined, weighted.

## Cons:

- Must have decent initialization near true boundary, may get stuck in local minimum
- Parameters of energy function must be set well based on prior information

# Summary

- Deformable shapes and active contours are useful for
  - Segmentation: fit or “snap” to boundary in image
  - Tracking: previous frame’s estimate serves to initialize the next
- Fitting active contours:
  - Define terms to encourage certain shapes, smoothness, low curvature, push/pulls, ...
  - Use weights to control relative influence of each component cost
  - Can optimize 2d snakes with Viterbi algorithm.
- Image structure (esp. gradients) can act as attraction force for *interactive segmentation* methods.