FINA1303 Revision

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Chapter 1

Overview

1.1 Quick reminder on types of firms

When setting up a business, you have to decide which type of **legal structure** to use, such as sole proprietorship and listed limited liabilities corporations. The choices decend on the country of incorporation's **legal system**. Such as Hong King is using English law.

1.2 Some examples of corporate organization in the financial industry

- $1. \ \, {\rm Mutual\ funds (Mutual\ organization):\ the\ investors/customers\ are\ also\ the\ shareholders}$
- 2. Banks: