

# **MEMS mirror for LiDAR and Laser Display**

**By  
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**Supervisor: Prof. Yi-Kuen Lee**

**3 May 2020**

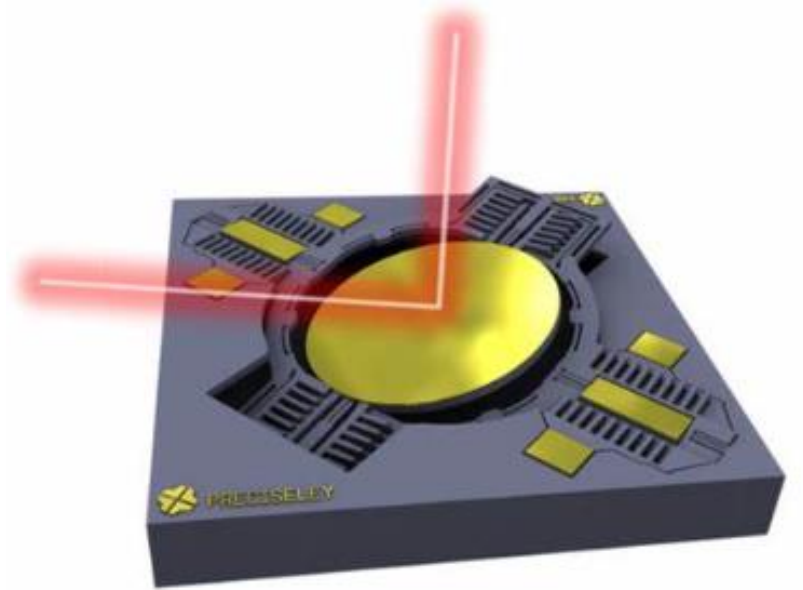
**Department of Mechanical and Aerospace Engineering  
The Hong Kong University of Science and Technology**

# MEMS Mirror

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## What is a MEMS Mirror?

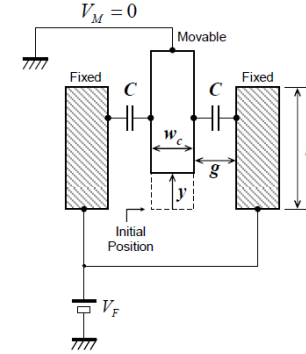
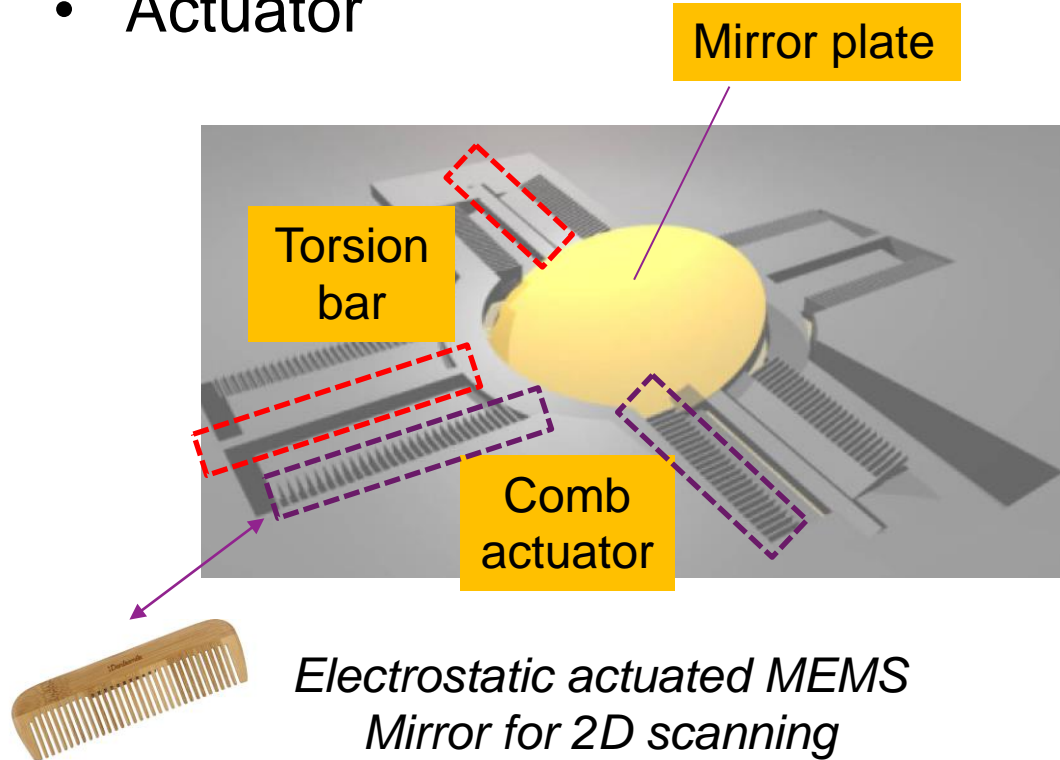
- Micro-Electro-Mechanical Systems (MEMS)
  - MEMS mirror is an **actuator** that steers optical beams.
- ✓ In recent years, MEMS mirror has gained popularity for use as micro scanners in commercial products like,
  - Laser projector
  - LiDAR camera
  - AR/VR glass



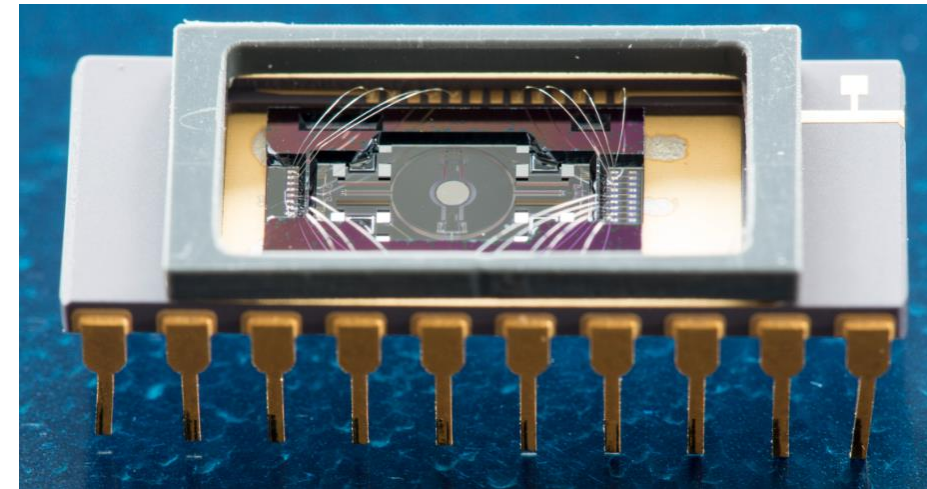
# MEMS Mirror

## Key components of MEMS Mirror

- Reflecting surface
- Torsion bar
- Actuator



➤ Electrostatic actuation:  
A capacitive force generated after applying voltage between two plates.

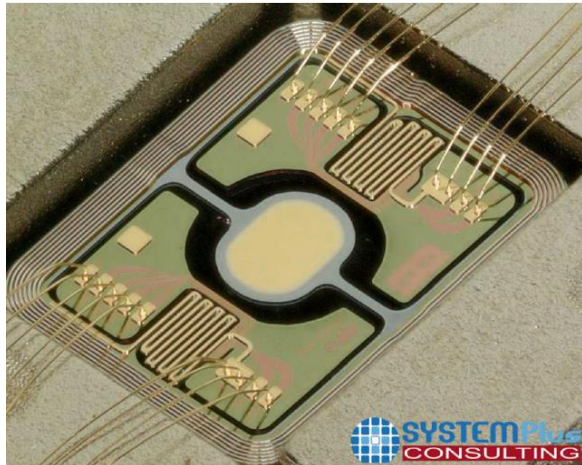


*Fabricated MEMS Mirror chip (~mm)*

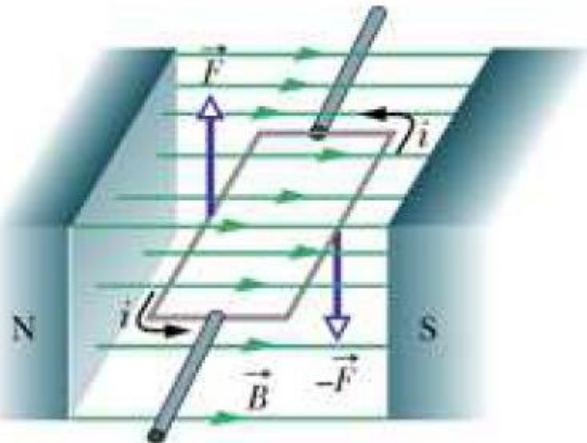
# Working Principle of MEMS Mirror

## Actuation Mechanism

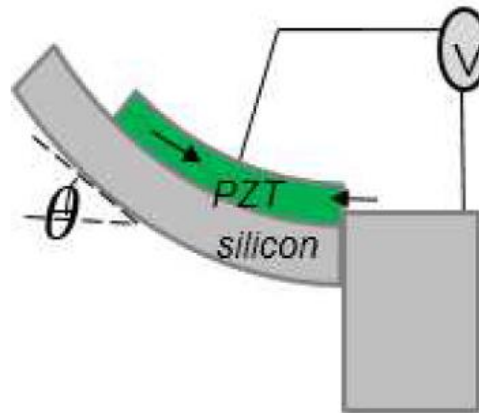
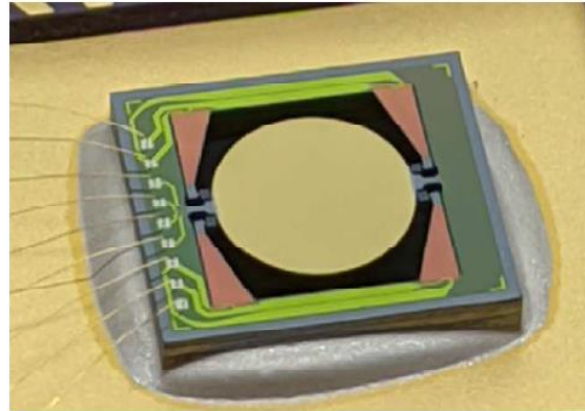
### □ electromagnetic



SYSTEMPAC CONSULTING

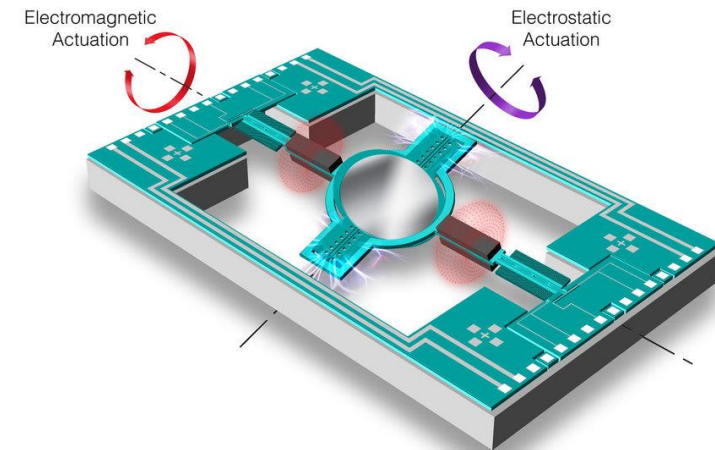


### □ piezoelectric



### ➤ Comparison between different actuation

Category	Electrostatic	Electromagnetic	Piezoelectric
Preferred Type	Comb drive	Moving coil	PZT film
Simple Fabrication	✓✓✓	✓✓✓	✓✓
Large Displacement	✓✓	✓✓✓	✓
High Force	✓	✓✓	✓✓✓
Low Power	✓✓	✓	✓✓✓
Low Voltage	✓	✓✓✓	✓✓
Compactness	✓✓✓	✓	✓✓✓
Linearity	✓	✓✓✓	✓✓



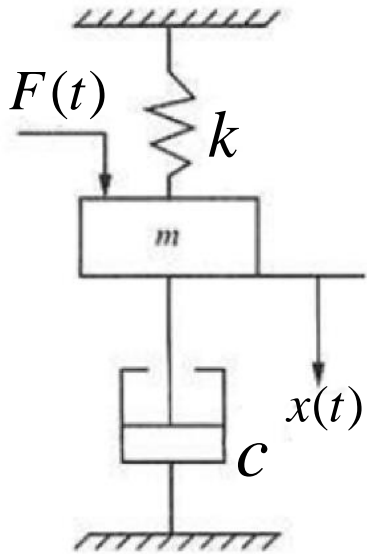
Combination of different actuation

# MCK Model for Vibration Analysis

## ➤ a mass-spring-damping system

$m$ : mass  
 $k$ : spring  
 $c$ : damping

Input: mechanical force  
Output: displacement



- Static equation

$$kx = F$$

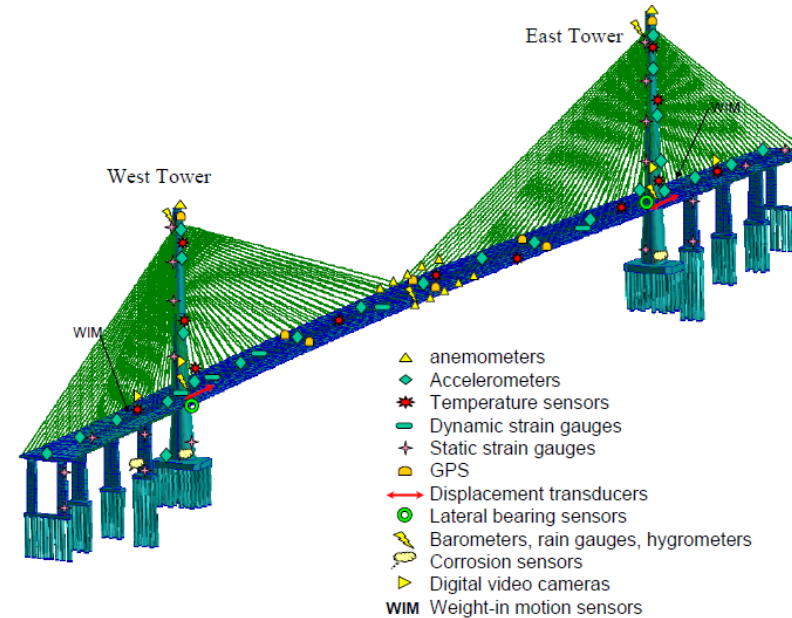
- dynamic equation

$$m \frac{d^2 x}{dt^2} + c \frac{dx}{dt} + kx = F(t)$$

MCK model is widely used in vibration engineering!!!



The Tacoma Bridge collapsed due to high winds on November 7, 1940.



Structural Health Monitoring System for Hong Kong's Tsing-Ma Bridge

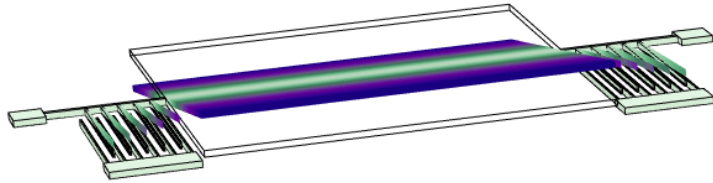


# Modelling of MEMS Mirror

## ➤ MCK model for the motion of MEMS Mirror

Input: electrical force

Output: rotation angle

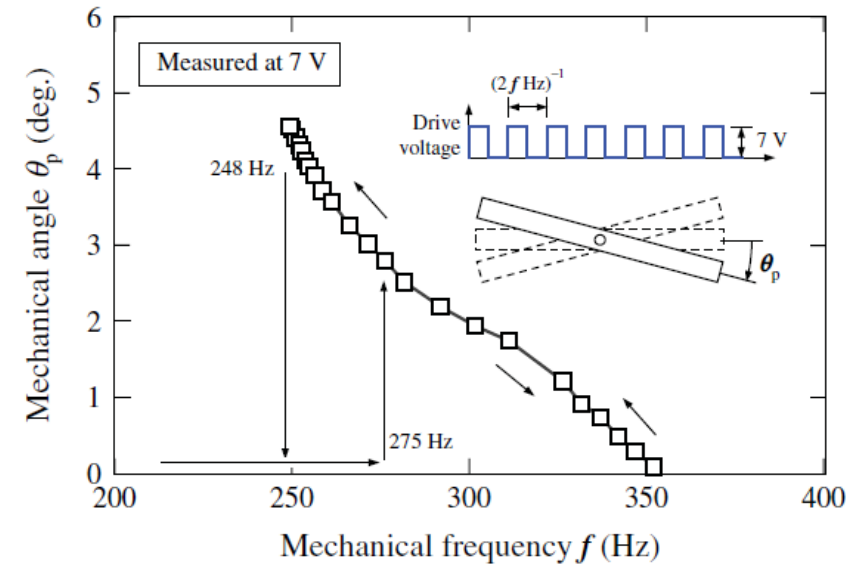
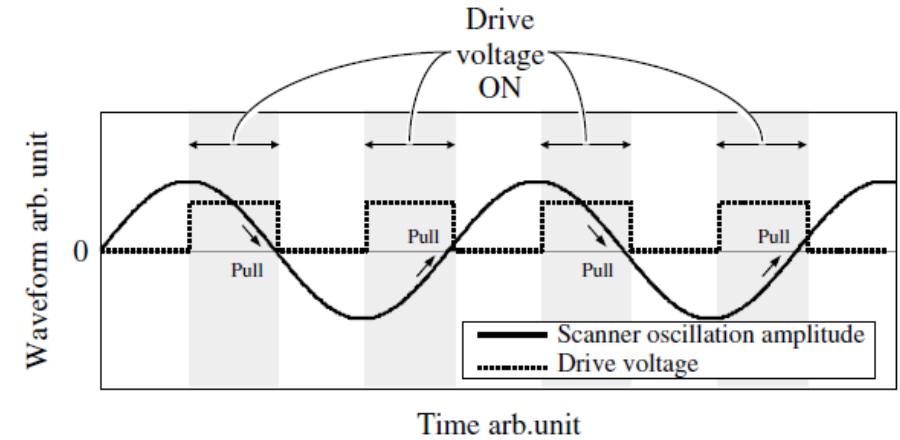


- Static equation (under DC voltage)

$$k\theta = F_e$$

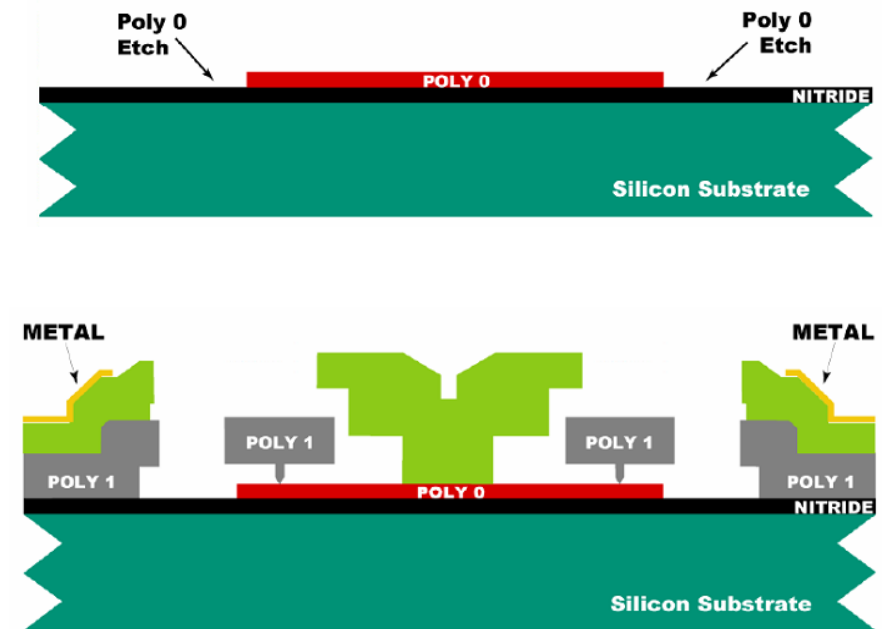
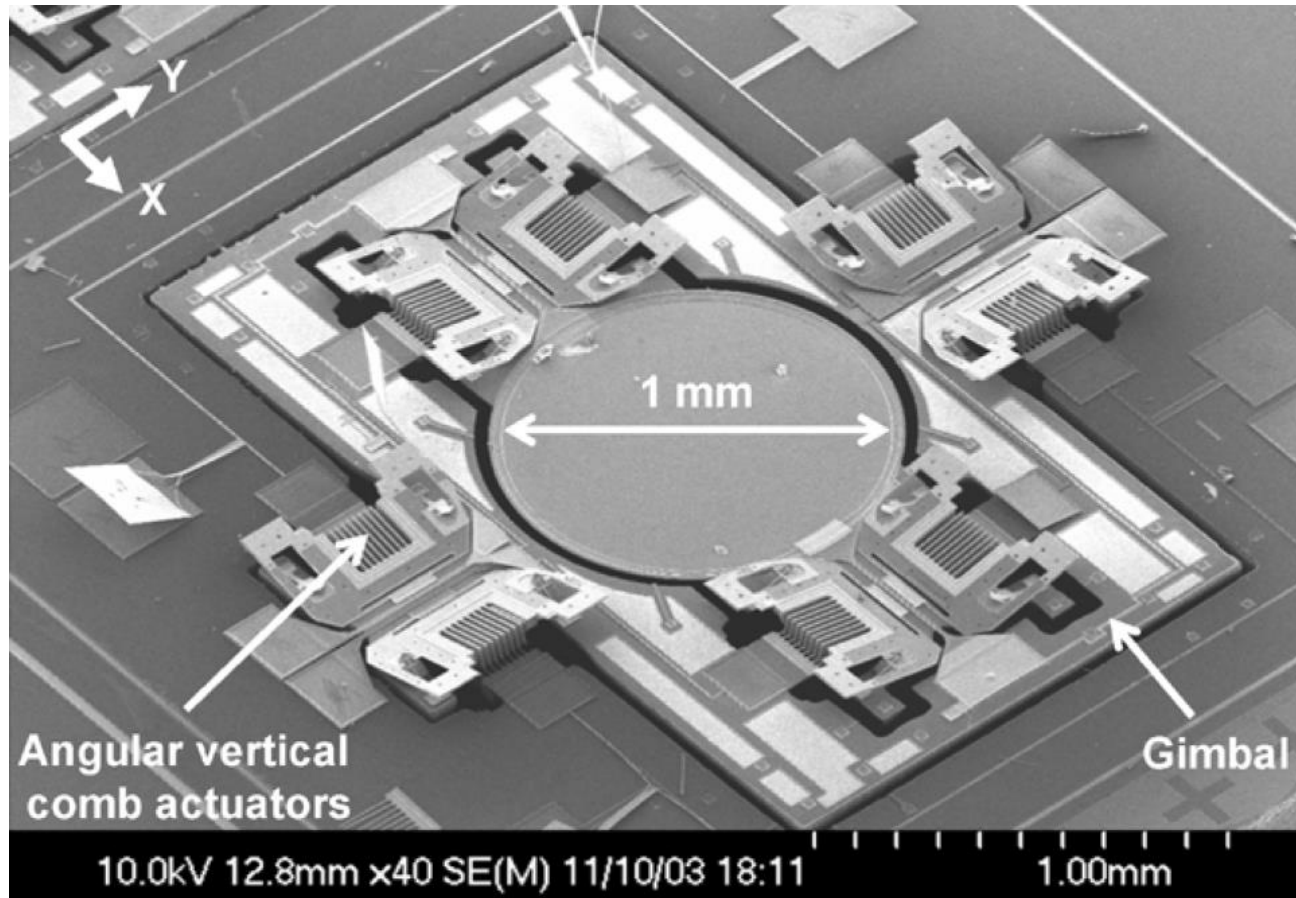
- Dynamic equation (under AC voltage)

$$m \frac{d^2\theta}{dt^2} + c \frac{d\theta}{dt} + k\theta = F_e(t)$$



# Fabrication of MEMS Mirror

surface-/bulk- micromachining process

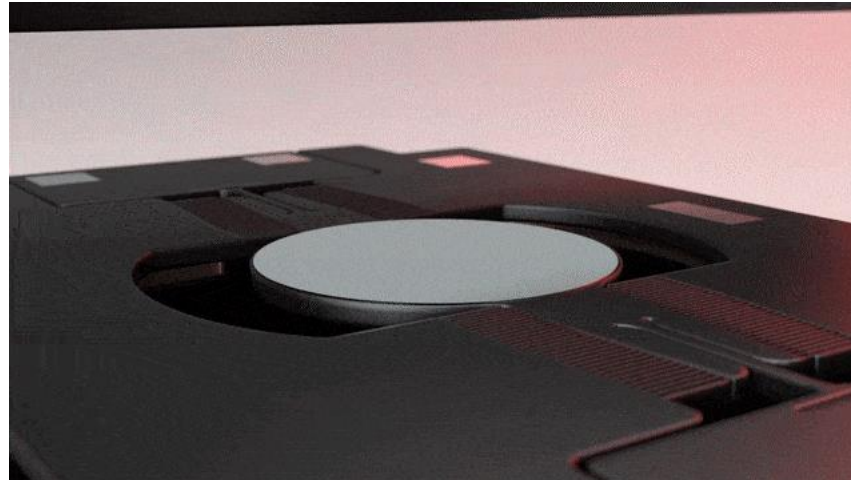


from *PolyMUMPs, Inc*

# Common MEMS Mirror Criteria

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- Performance
  - **Field of View (FoV)**
  - Scanning frequency
  - **Resolution**
  - Bandwidth
  - Figure of Merit
  - Lifespan
  - Optical aperture
  - Power consumption
- Specifications
  - **Cost**
  - Mirror surface flatness
  - Shock survivability
  - Size and Weight



MEMS Mirror based Laser Beam Scanning Technology



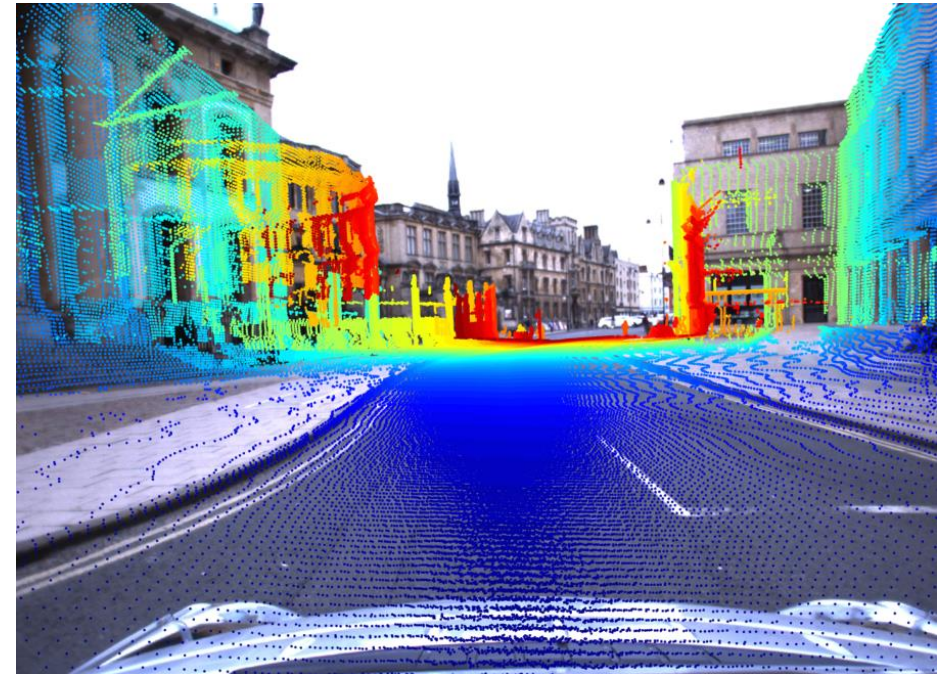
# LiDAR

## What's LiDAR?

- Light Detection and Ranging (LiDAR) is a **3D depth** sensor which can provide high-density point clouds image with accurate 3D information.
- ✓ LiDAR is widely used in
  - Consumer vehicles
  - Logistics
  - Industrial Drones



Intel® RealSense™ D405



Point cloud image for autonomous driving

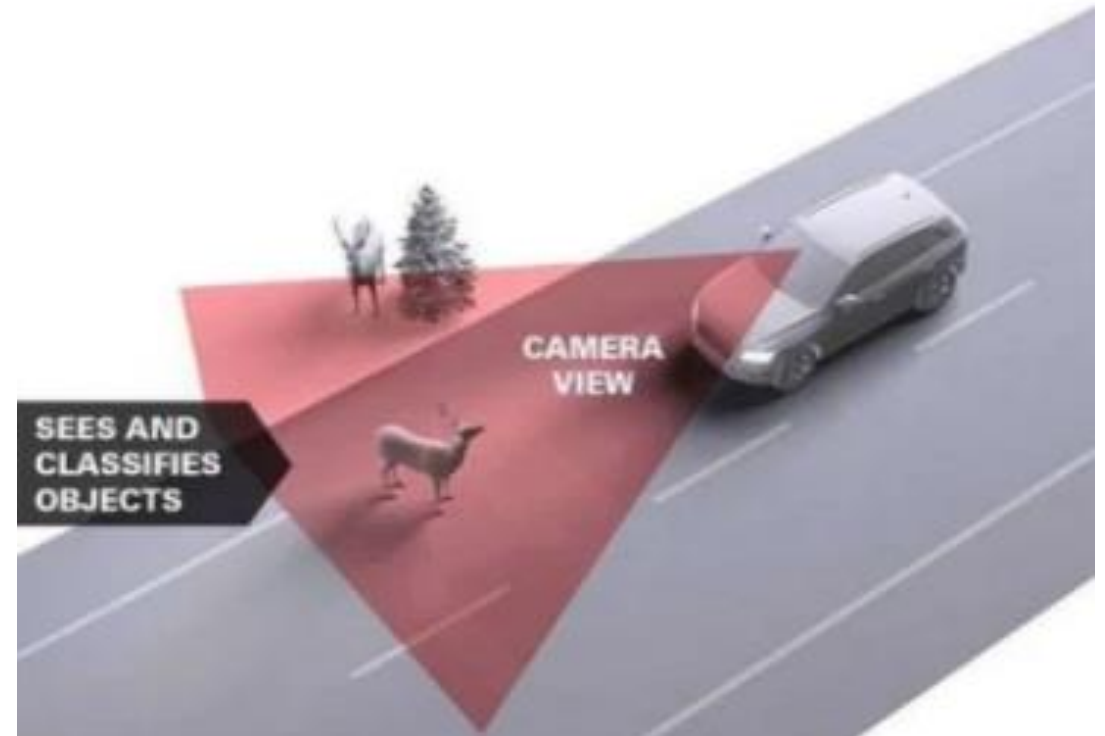
# Exterior Sensor Technologies for Vehicles

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## ➤ Difference between Camera & Radar & LiDAR

**Camera** ● Camera records video interpreted by computer vision algorithms.

- **Pros:** Can distinguish and classify objects, such as signs, lane markings, traffic lights. May also be able to classify more complex objects such as animals and pedestrians
- **Cons:** Can only see what camera can see, challenges in low light or bright sun light

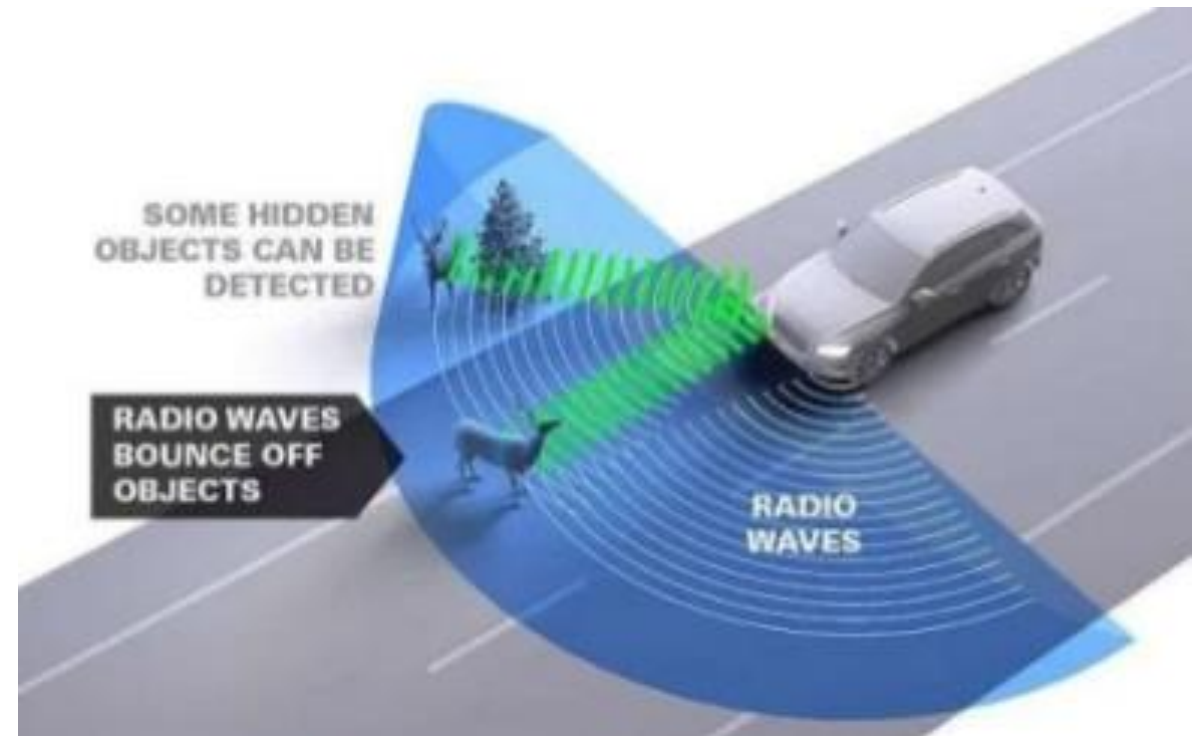


# Exterior Sensor Technologies for Vehicles

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**Radar** ● Car transmits radio waves and interprets the back reflection from objects.

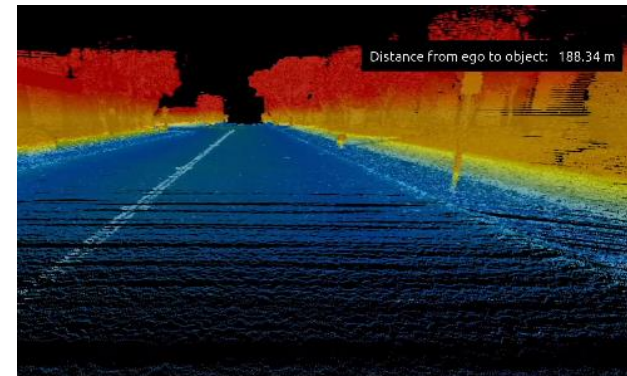
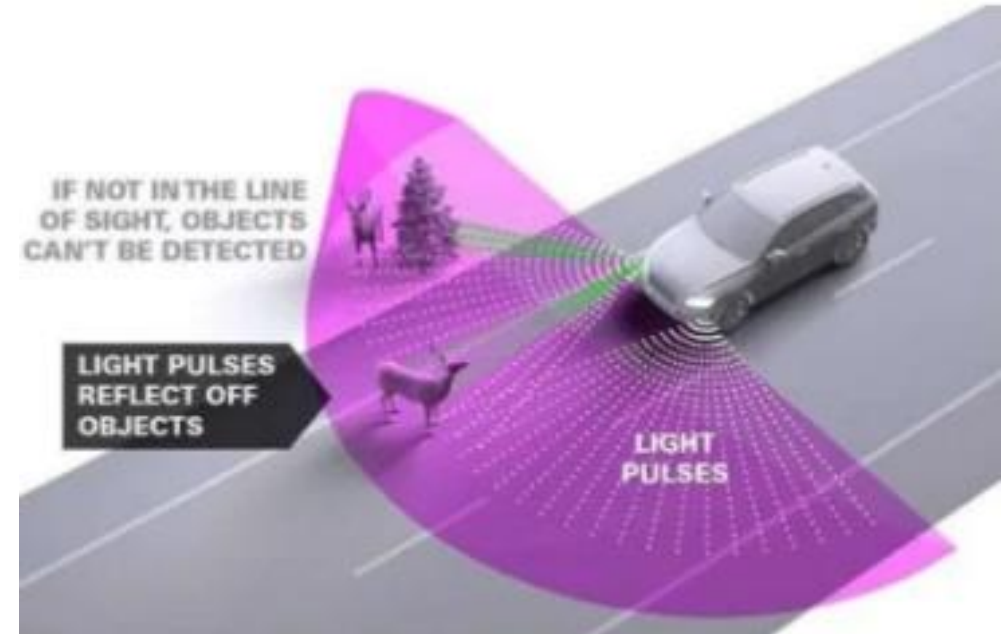
- **Pros:** Can detect large objects and can easily calculate speed and distance. Works in all weather and lighting conditions, day or light.
- **Cons:** Cannot distinguish color or differentiate between objects. All same size objects look the same.



# Exterior Sensor Technologies for Vehicles

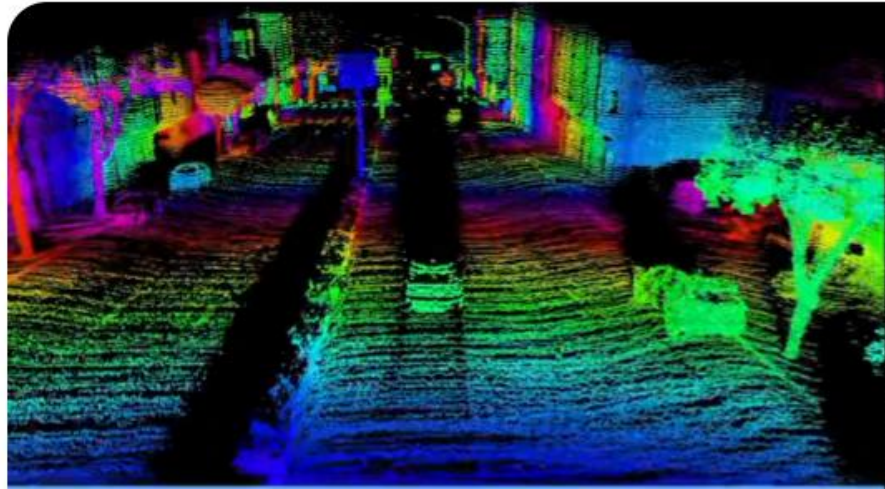
**LiDAR** ● Car transmits light pulses and interprets the back reflection from objects

- **Pros:** Can detect specific objects and calculate distance. Can detect lines and edges of the road. Works during day and in the dark at night
- **Cons:** In inclement weather, the light can reflect from rain, snow, or fog, reducing the effectiveness and detection range.

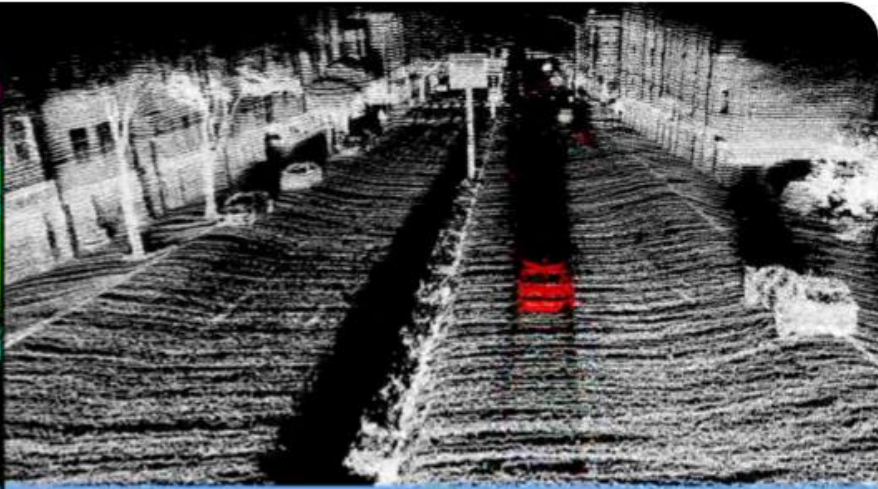




# Exterior Sensor Technologies for Vehicles



DISTANCE



INSTANT VELOCITY



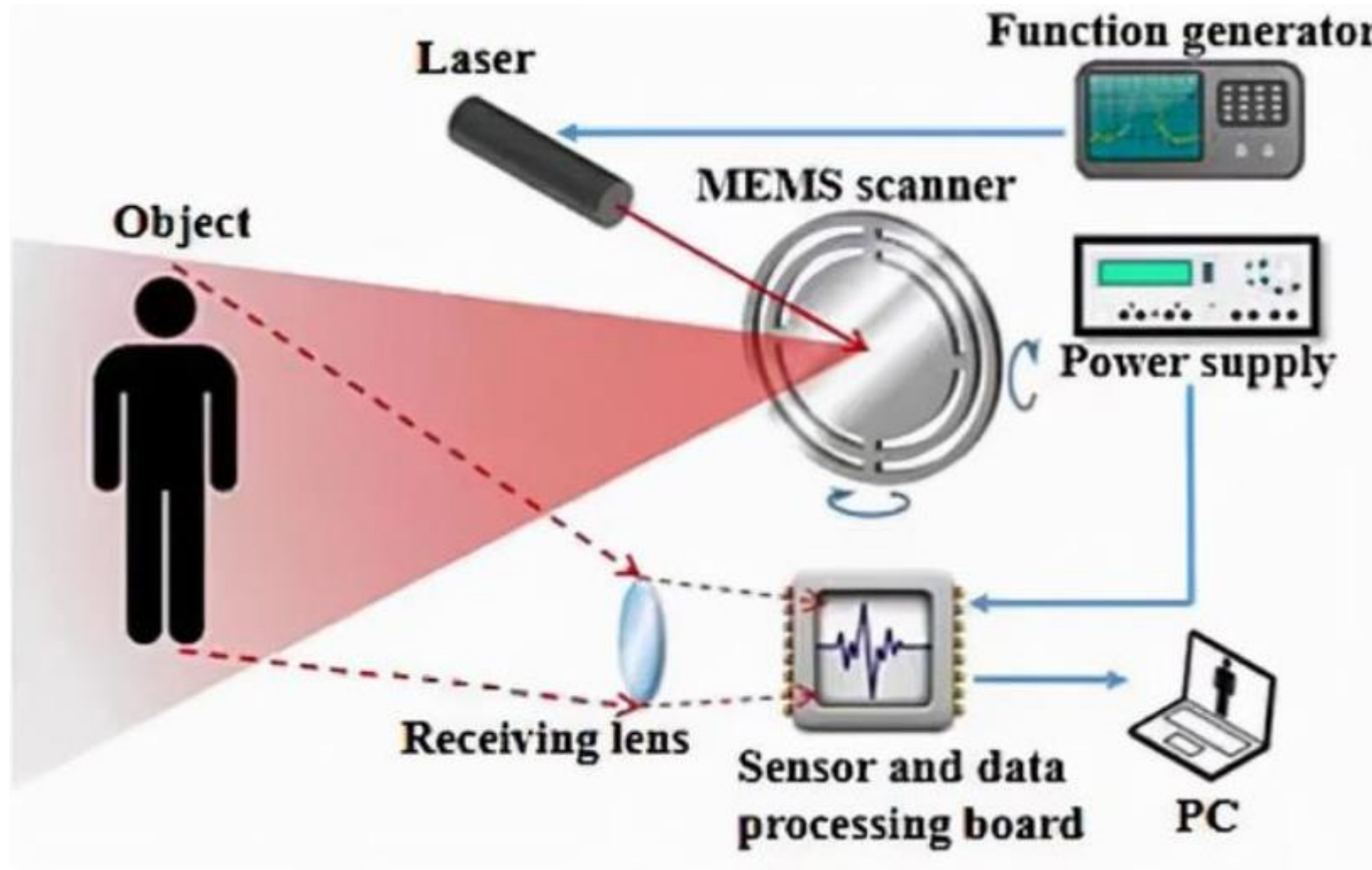
REFLECTIVITY



CAMERA



# Working principle of LiDAR

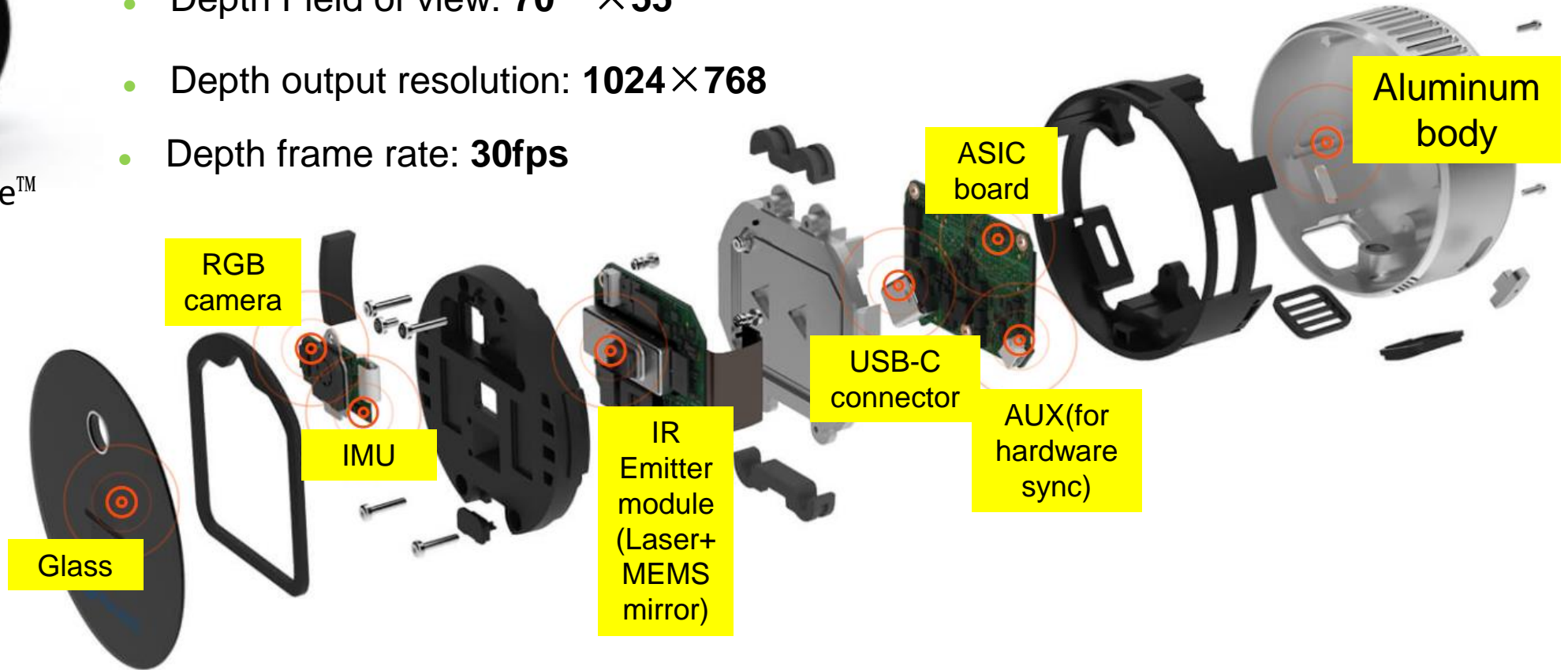


# Working principle of LiDAR



Intel® RealSense™  
LiDAR L515

- Min-Z at max resolution: **~25cm**
- Depth Field of view: **70° × 55°**
- Depth output resolution: **1024 × 768**
- Depth frame rate: **30fps**
- Depth Accuracy:  
**~5mm to ~14mm for 9m<sup>2</sup>**



# Benefits of MEMS Mirror based LiDAR

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## **Small Size**

(Thin)

*Enables new class  
of integration*

## **Cost Effective**

(Re-uses mature LBS  
technology)

*Enables use of  
multiple and  
redundant sensors*

## **High Resolution**

(~5.5M-16.5M  
points/sec)

*Ability to resolve  
small features*

## **Dynamic**

(Programmable  
Resolution and  
Frame Rate)

*Adapt latency and  
fidelity to the  
application or  
driving situation*

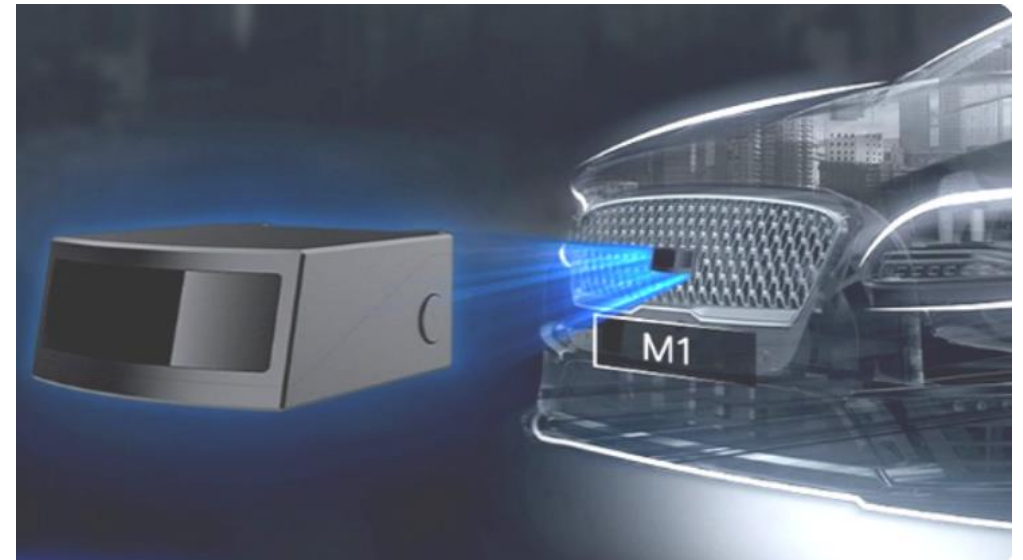
# Today's LiDARs: Autonomous Vehicle Prototypes

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- Single long-range LiDAR
- Typically mounted on the front of the car
- Environmental mapping and modelling

## Today's Representative LiDAR Specification

- Range: 100 - 150m
- FOV:  $360^\circ \times 30^\circ$
- Data rate: 300k - 2.2M points/sec
- Frame rate: 5 - 20Hz
- Horizontal Resolution: 900 - 3600
- Vertical Resolution: 16 - 64
- Price: \$5K - \$80K



# Future Opportunity: Mid-Range Exterior LiDAR

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- Multiple low-cost mid-range LiDARs for performing different functions
- High resolution
- Multiple sensors for redundancy and safety

## Mid-Range LiDAR Target Specification

- Range: 10 - 15m
- FOV:  $90^{\circ} \times 30^{\circ}$
- Data rate: 5.5M points/sec
- Frame rate: 30Hz
- Horizontal Resolution: 512
- Vertical Resolution: 360





# Future Opportunity: Short-Range Interior LiDAR

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- High resolution point cloud for gesture recognition and driver monitoring

## Short-range LiDAR Target Specification

- Range: 0.2-2m
- FOV:  $90^{\circ} \times 50^{\circ}$
- Data rate: 16.5M points/sec
- Frame rate: 30Hz
- Horizontal Resolution: 768
- Vertical Resolution: 720



# Future Opportunity: Short-Range Interior LiDAR

Automotive Gesture Recognition Application  
utilizing interior 3D depth sensors

- **Touchless controls**

- Infotainment
- Navigation
- Interior lighting
- Climate control
- Windows



- **Driver monitoring**

- Driver identification
- Facial Recognition
- Gaze detection

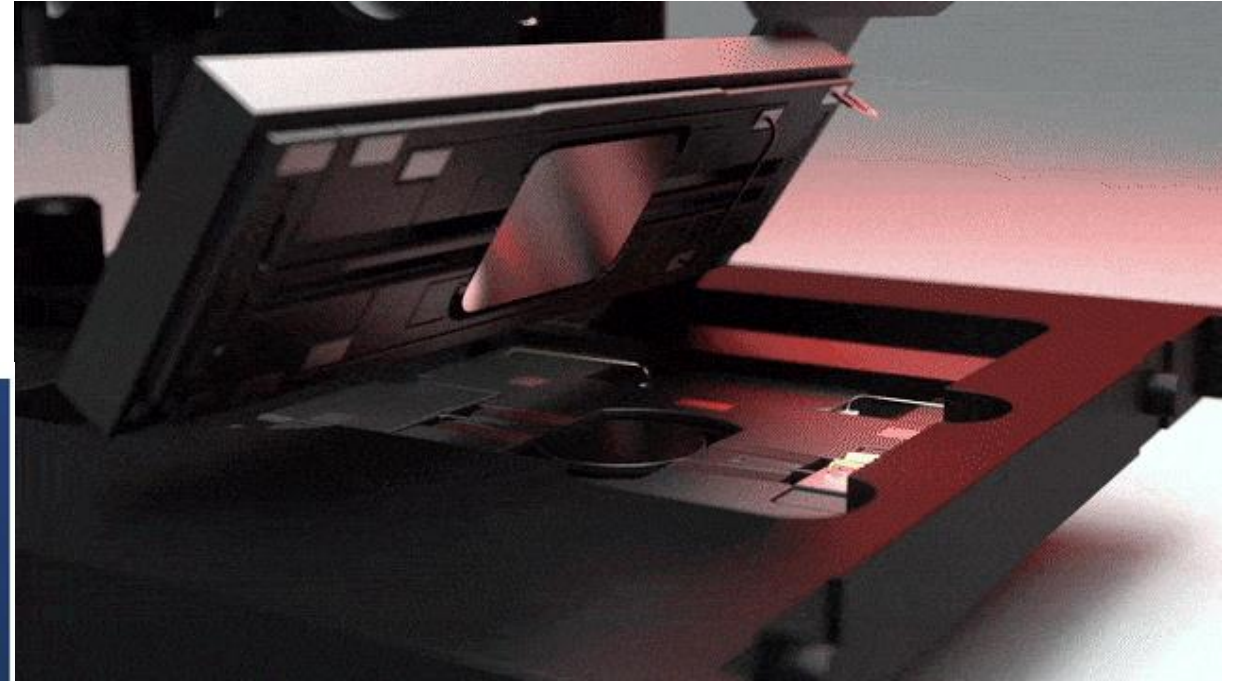
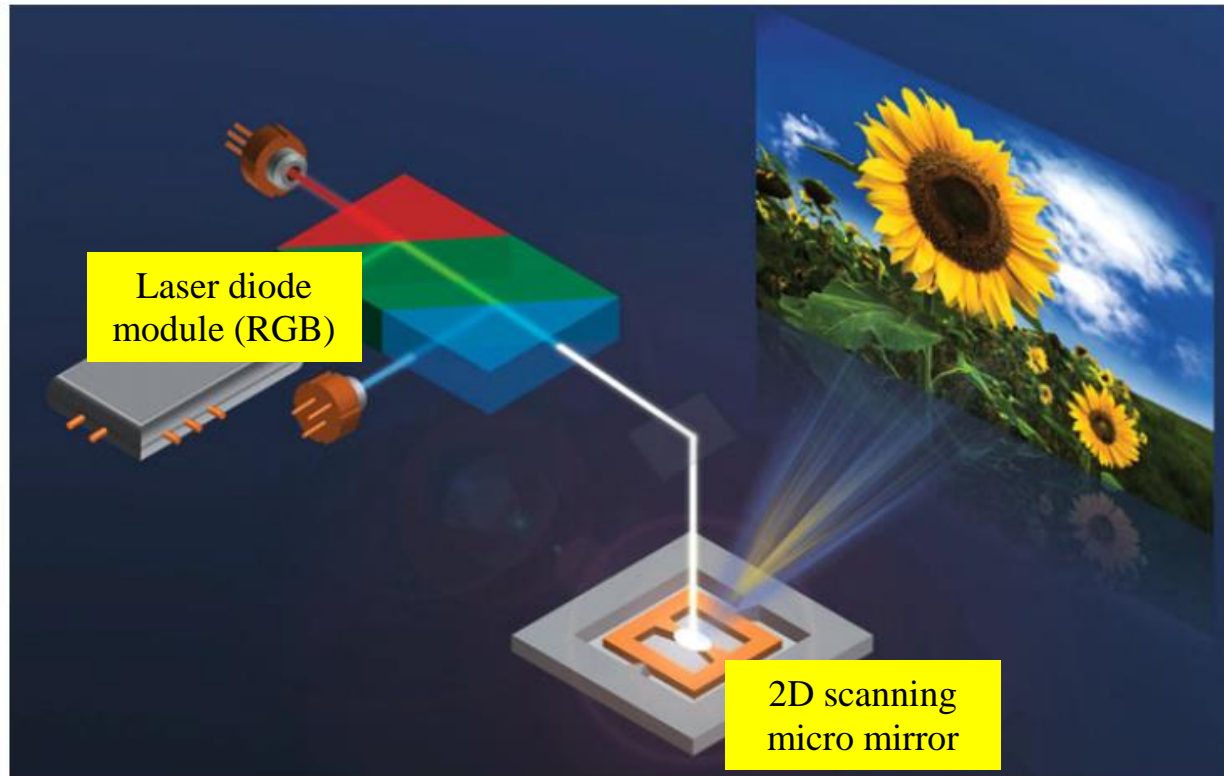
- **Driver awareness**

- Head drooping
- Eyes closing



# Laser Scanning Projection

**MEMS Mirrors are typically used in laser beam scanning systems (LBS) to project visible images or infrared patterns.**

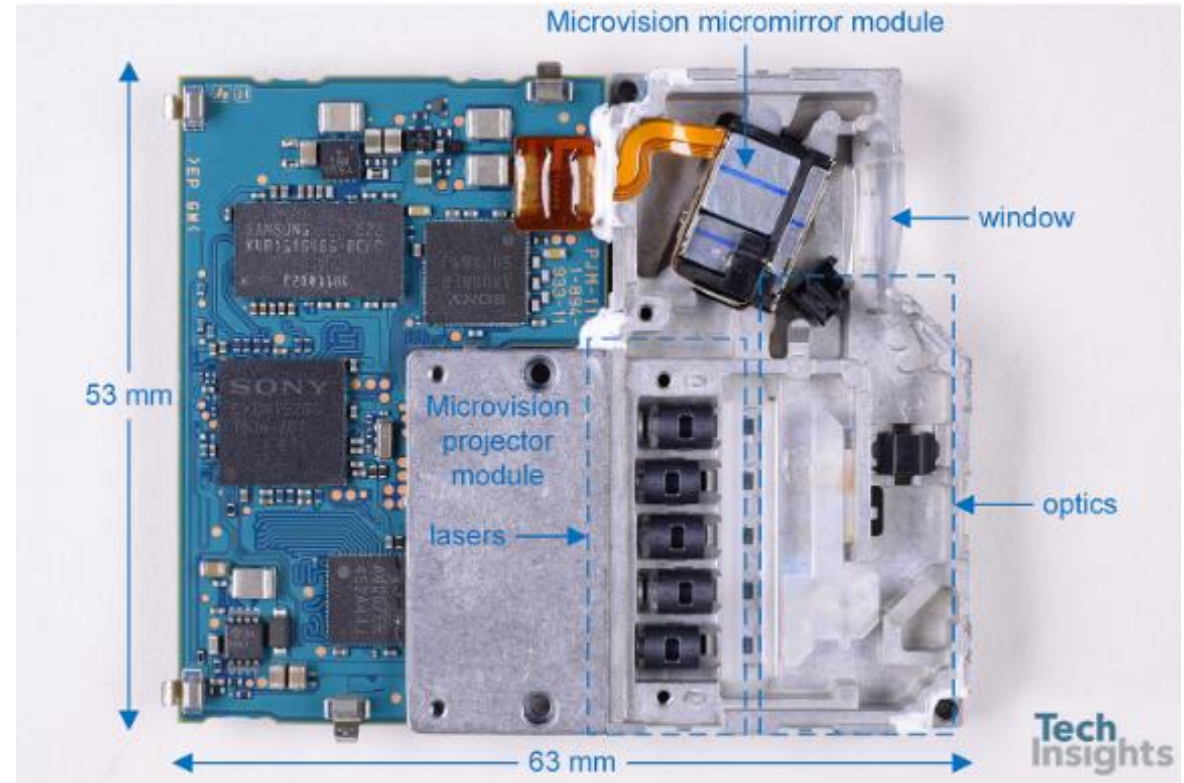


## ➤ Merits

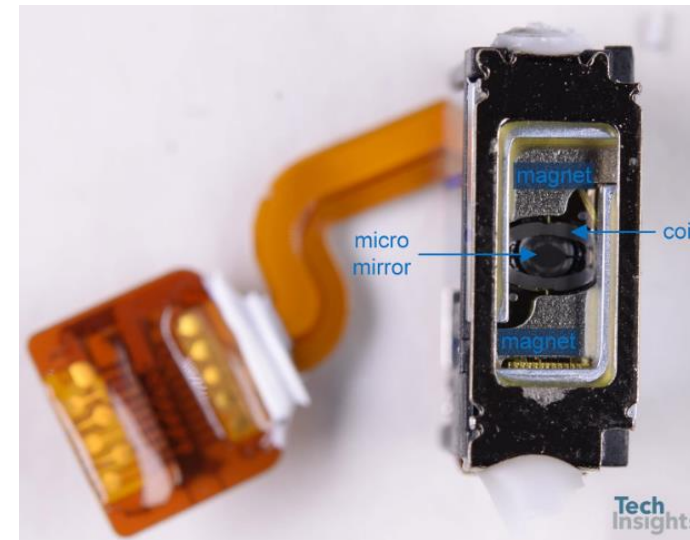
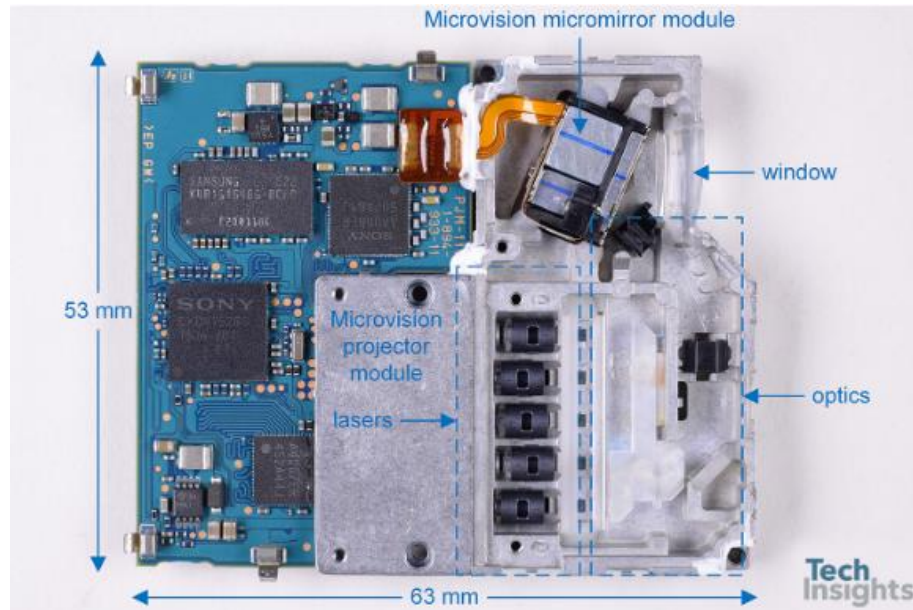
- Coherence and Compactness
- Low power consumption
- High brightness
- Long lifespan



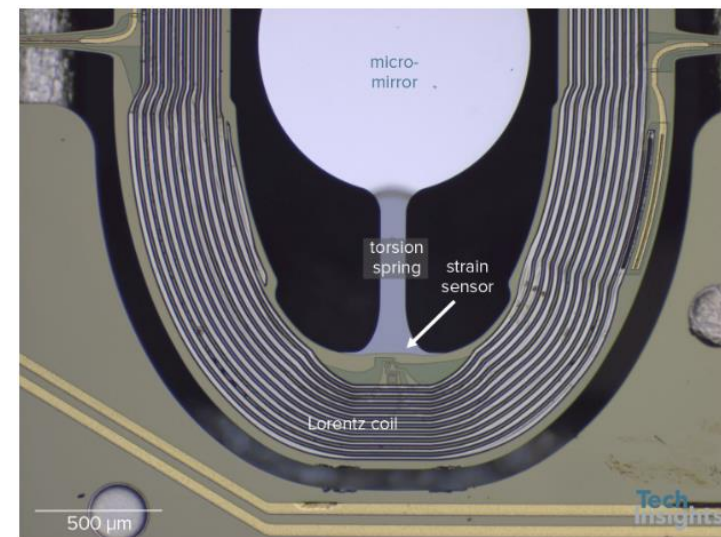
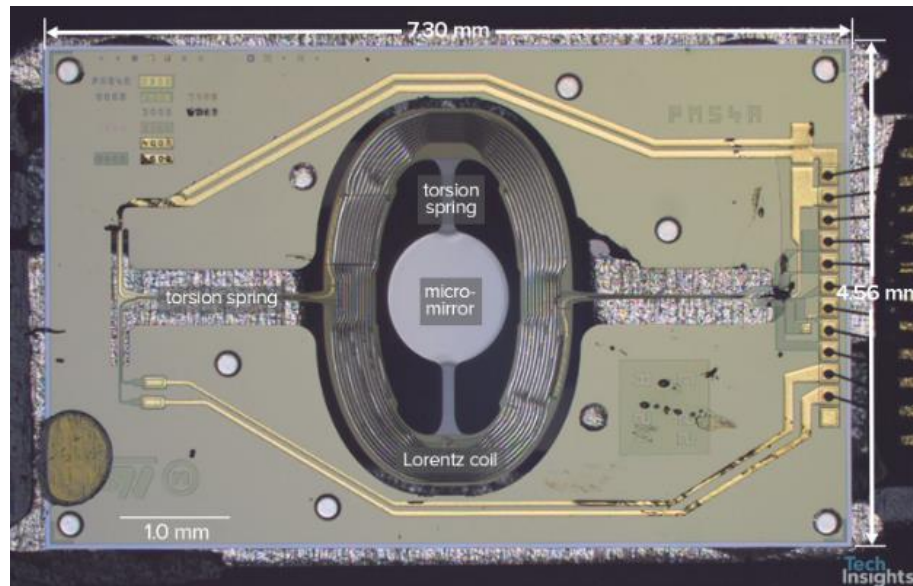
# A Look Inside Laser Scanning Projector



# A Look Inside Laser Scanning Projector



Actuation Mechanism:  
electromagnetic



- Strain sensor as a feedback control for real-time projection

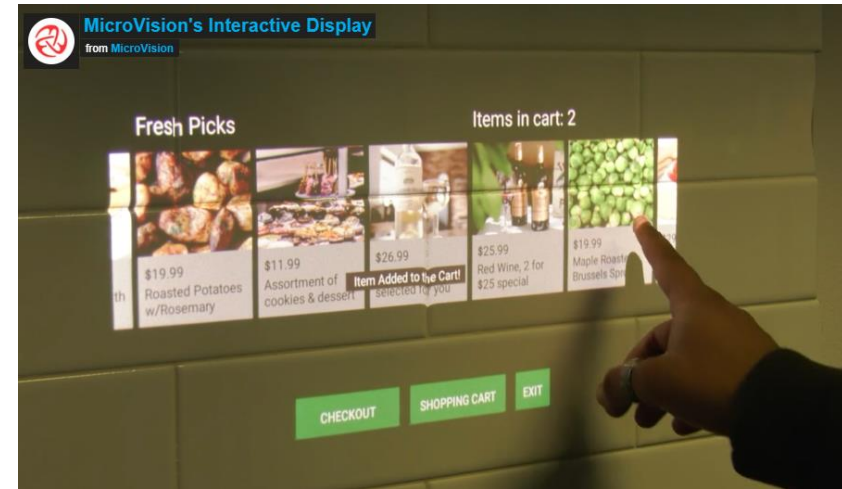


# Applications of Laser Display

## Ultra-short Laser TV



## Interactive Display



## Head-up Display



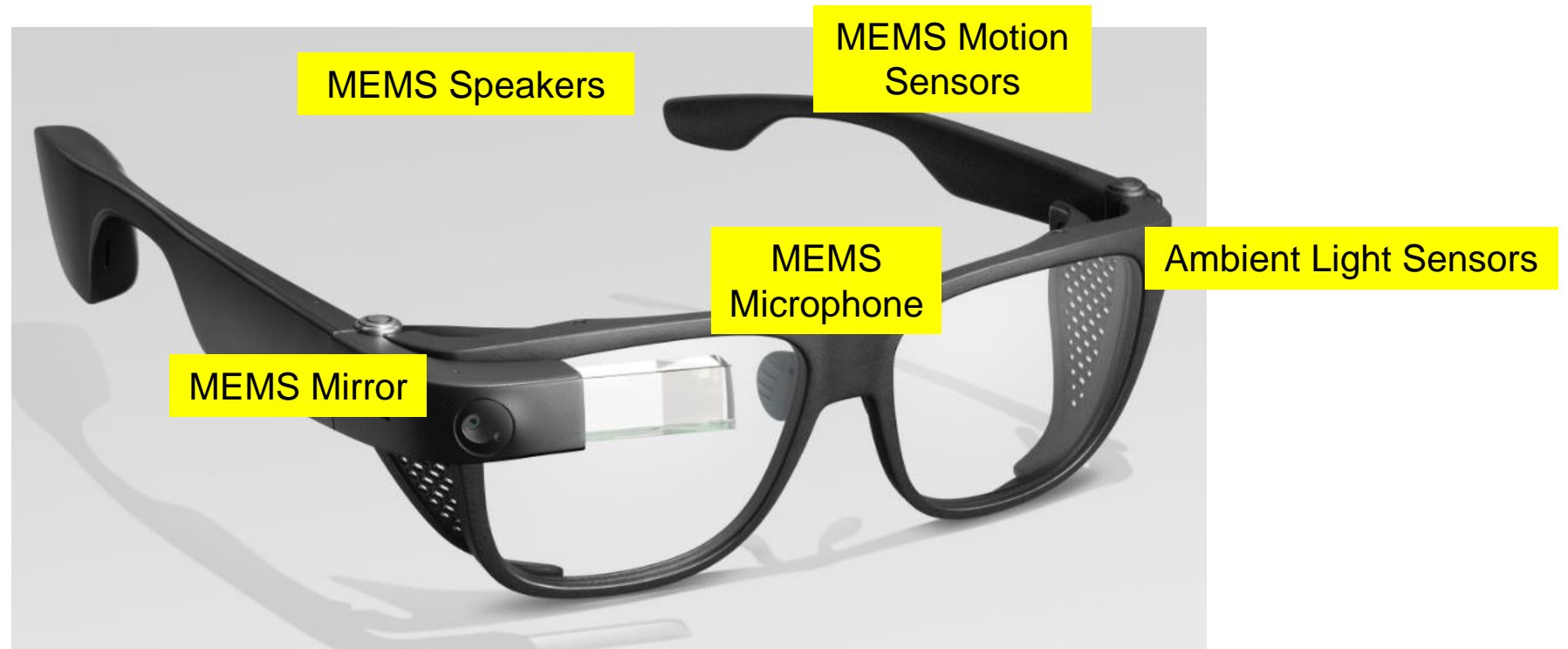
## Laser Display for AR glass



# Future Opportunities in Smart(AR) Glass

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**MEMS actuators and sensors can be widely used in next-generation smart glasses**



*google glass*

# The LaSAR Alliance

Accelerating the adoption of laser beam scanning in smart glasses and AR headsets



# UROP project in HKUST

## What's UROP in HKUST?



- The UROP project is designed for UG students to engage in academic research with stipend paid by HKUST.
- For details: <https://urop.ust.hk>

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MAE Supervisor Keywords SEARCH RESET

ALL SCHOOL OF BUSINESS & MANAGEMENT SCHOOL OF ENGINEERING SCHOOL OF HUMANITIES & SOCIAL SCIENCE SCHOOL OF SCIENCE INTERDISCIPLINARY PROGRAMS OFFICE SUSTAINABLE SMART CAMPUS AS A LIVING LAB COLLABORATION FUND PROJECTS OTHERS

Department	Supervisor	Project Title	Opening Period
MAE	CHEN Qing	Electrochemical organic synthesis	2021-22 Spring - 2021-22 Summer
MAE	CHEN Sherry	Design cross scale optical microscopy	2018-19 Summer - Ongoing
MAE	CHEN Sherry	growing single crystals by floating zone method	2018-19 Fall - Ongoing
MAE	CIUCCI Francesco	Analyzing battery and fuel cell impedance data using gaussian process regression	2021-22 Spring - 2022-23 Summer

**UROP Faculty Research Award of HK\$20,000**

**UROP Collaboration Fund of HK\$100,000**

**UROP project about MEMS Mirror is to be released**

# UROP project about MEMS Mirror

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## Design, Fabrication and Testing of MEMS Mirror for Laser Scanning Projection (to be uploaded)

**Project description:** MEMS Mirrors are key components of laser beam scanning (LBS) devices, which can be used in automotive/entertainment industry. This project is to design a MEMS mirror and the mechanical packaging using commercial foundry fabrication process to achieve excellent performance for LBS.

**Quota:**4

**Supervisor:** LEE Yi-Kuen

**Complexity of the project:** Challenging

### **Applicant's role:**

1. Design a micro mirror using Matlab and COMSOL;
2. Design the mechanical packaging for micro mirror;
3. Testing of the fabricated MEMS micro mirror;

### **Applicant's learning objectives:**

1. Understand the fundamental of MEMS fabrication technologies;
2. Understand the actuation mechanism for micro mirror.
3. Understand the basic principle of different types of micro mirror;
4. Able to design a micro mirror with the required performance.