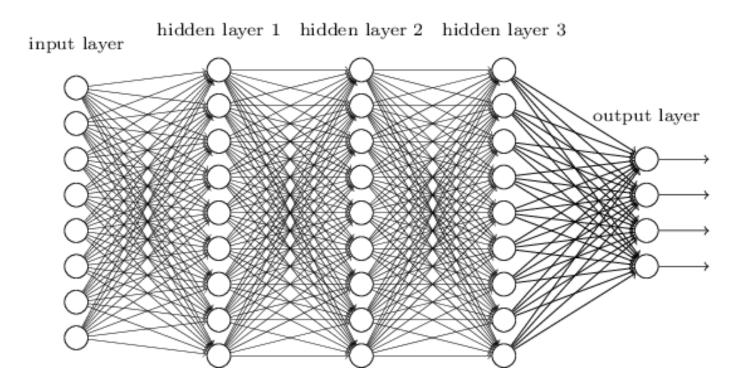
#### Smaller Network: CNN

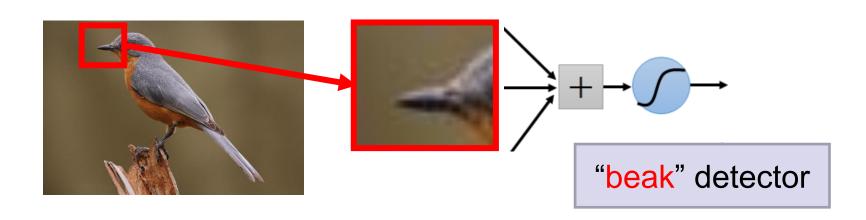
- We know it is good to learn a small model.
- From this fully connected model, do we really need all the edges?
- Can some of these be shared?



# Consider learning an image:

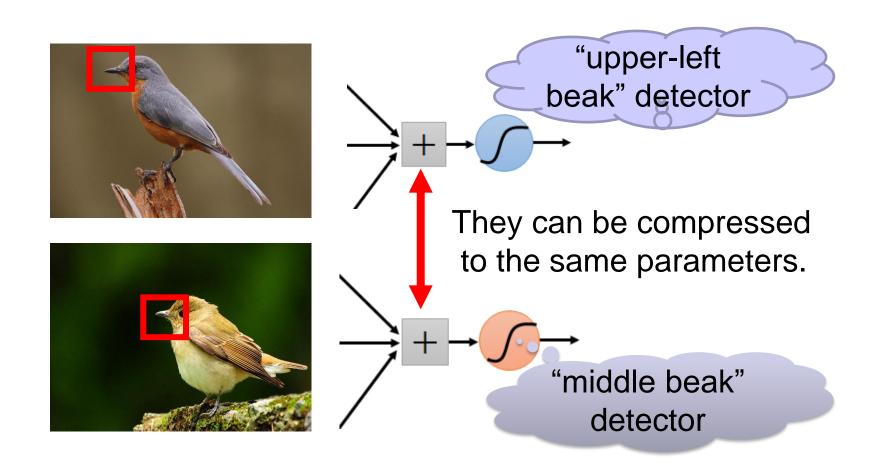
 Some patterns are much smaller than the whole image

Can represent a small region with fewer parameters



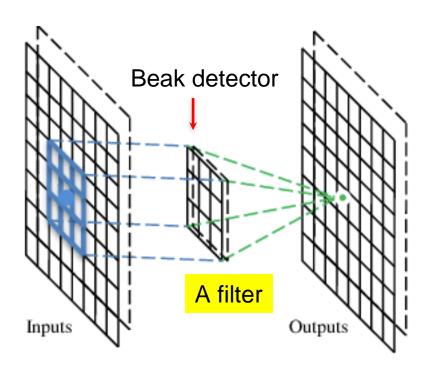
Same pattern appears in different places: They can be compressed!

What about training a lot of such "small" detectors and each detector must "move around".



## A convolutional layer

A CNN is a neural network with some convolutional layers (and some other layers). A convolutional layer has a number of filters that does convolutional operation.



| 1 | 0 | 0 | 0 | 0 | 1 |
|---|---|---|---|---|---|
| 0 | ~ | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

6 x 6 image

# These are the network parameters to be learned.

| 1  | -1 | -1       |
|----|----|----------|
| Υ_ | ~  | <b>-</b> |
| Υ_ | 1  | 1        |

Filter 1

| -1 | 1 | -1 |
|----|---|----|
| -1 | 1 | -1 |
| -1 | 1 | -1 |

Filter 2

: :

Each filter detects a small pattern (3 x 3).

| 1  | -1 | -1 |
|----|----|----|
| -1 | 1  | -1 |
| -1 | -1 | 1  |

Filter 1

| stride= |   |   |   |   |   |
|---------|---|---|---|---|---|
| 1       | 0 | 0 | 0 | 0 | 1 |
| 0       | 1 | 0 | 0 | 1 | 0 |
| 0       | 0 | 1 | 1 | 0 | 0 |
| 1       | 0 | 0 | 0 | 1 | 0 |
| 0       | 1 | 0 | 0 | 1 | 0 |
| 0       | 0 | 1 | 0 | 1 | 0 |

Dot product

3

-1

6 x 6 image

| 1  | -1 | -1 |
|----|----|----|
| -1 | 1  | -1 |
| -1 | -1 | 1  |

Filter 1

stride=2
1 0 0 0 0 1
0 1 0 0 1 0
0 0 1 1 0 0
1 0 0 1 0

6 x 6 image

0

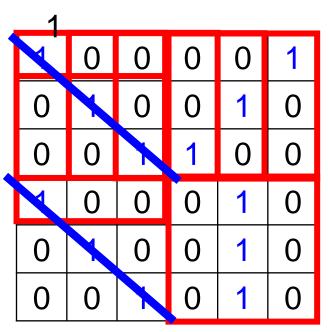
0

3

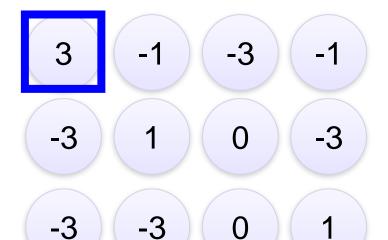
-3

Filter 1

#### stride=



6 x 6 image



-2

-1

-2

| Υ_ | 1 | -1 |
|----|---|----|
| Υ_ | ~ | -1 |
| -1 | 1 | -1 |

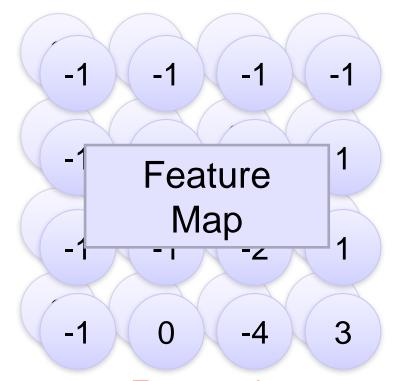
Filter 2

#### stride=

| 1 |   |   |   |   |   |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | 0 | 1 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1 | 0 |
| 0 | 1 | 0 | 0 | 1 | 0 |
| 0 | 0 | 1 | 0 | 1 | 0 |

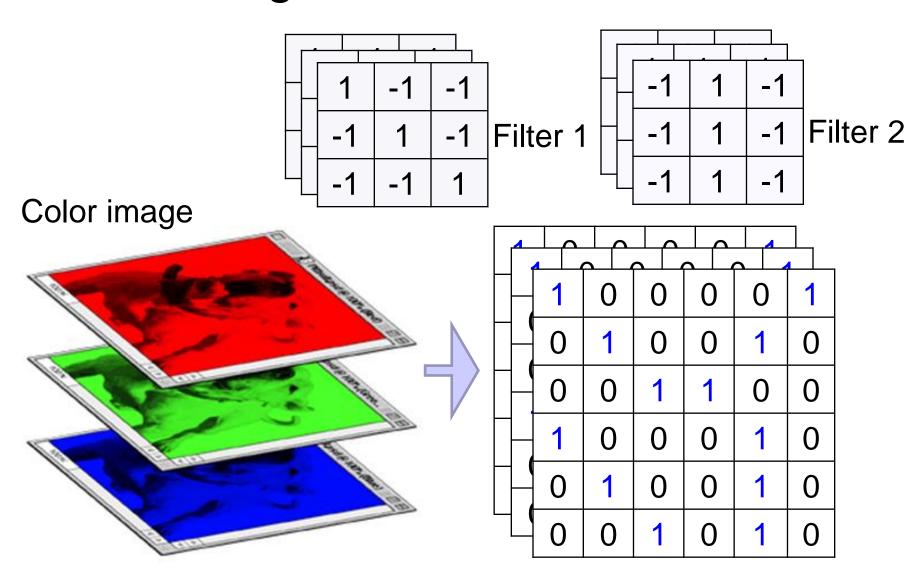
6 x 6 image

#### Repeat this for each filter



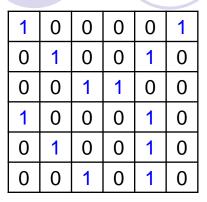
Two 4 x 4 images Forming 2 x 4 x 4 matrix

# Color image: RGB 3 channels

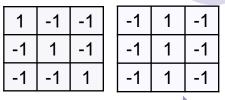


## Convolution v.s. Fully

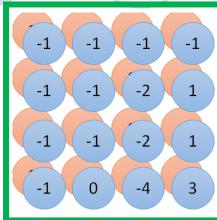
#### Connected



image

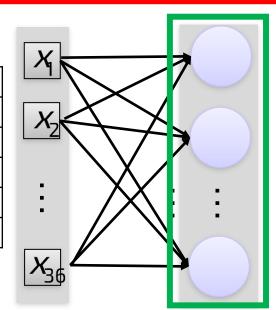


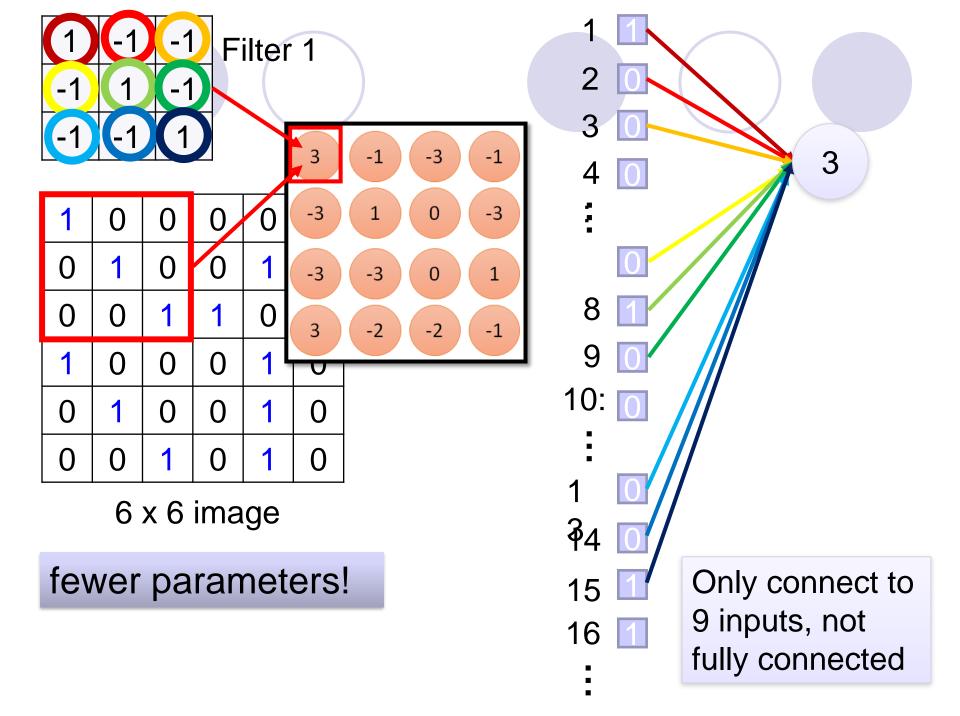
convolution

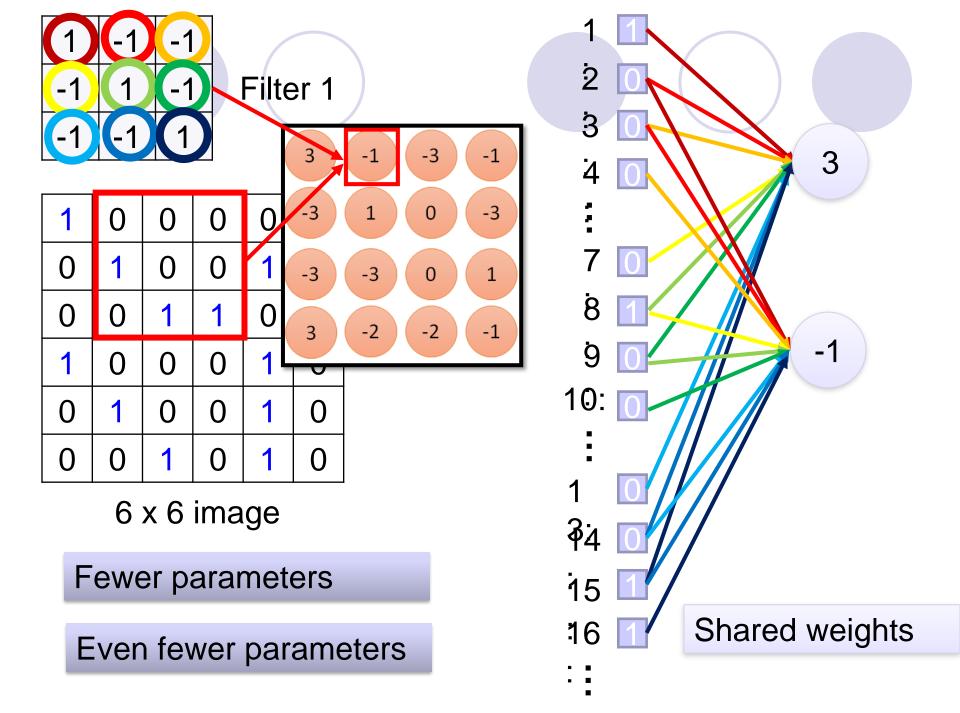


Fullyconnected

| 1 | 0 | 0 | 0 | 0 | 1  |
|---|---|---|---|---|----|
| 0 | ~ | 0 | 0 | 1 | 0  |
| 0 | 0 | 1 | 1 | 0 | 0  |
| 1 | 0 | 0 | 0 | 1 | 0. |
| 0 | 1 | 0 | 0 | 1 | 0: |
| 0 | 0 | 1 | 0 | 1 | 0  |
|   |   |   |   |   |    |

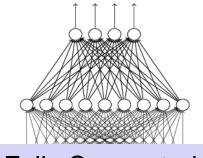




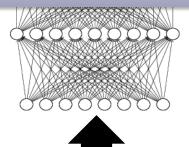


#### The whole CNN

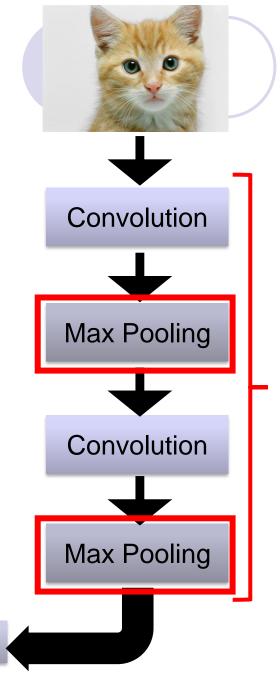
cat dog .....



Fully Connected Feedforward network



Flattened

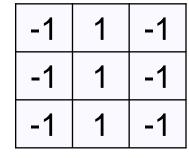


Can repeat many times

# Max Pooling

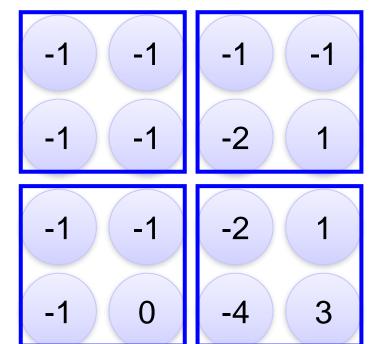
| 1  | -1 | -1 |
|----|----|----|
| -1 | 1  | -1 |
| -1 | -1 | 1  |

Filter 1



Filter 2

| 3 -1 -3 1 | -3 -1<br>0 -3 |
|-----------|---------------|
|           |               |





Subsampling pixels will not change the object bird

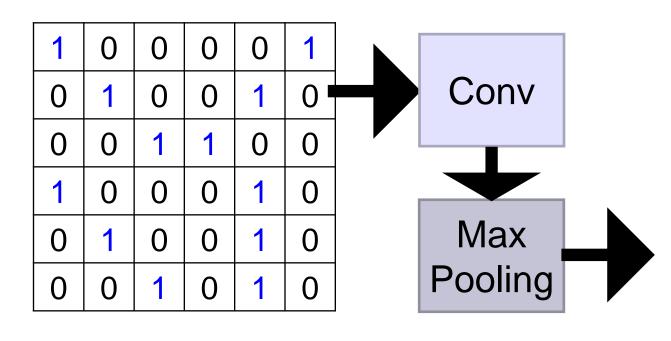


We can subsample the pixels to make image small fewer parameters to characterize the image

# A CNN compresses a fully connected network in two ways:

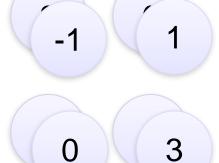
- Reducing number of connections
- Shared weights on the edges
- Max pooling further reduces the complexity





6 x 6 image

# New image but smaller



2 x 2 image

Each filter is a channel

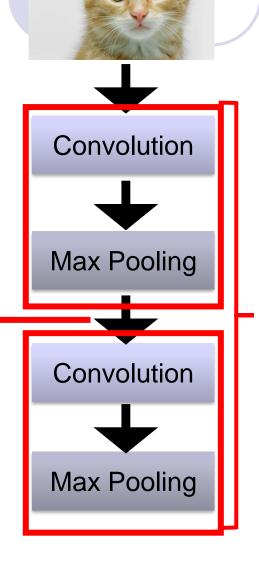
#### The whole CNN

0 3

A new image

Smaller than the original image

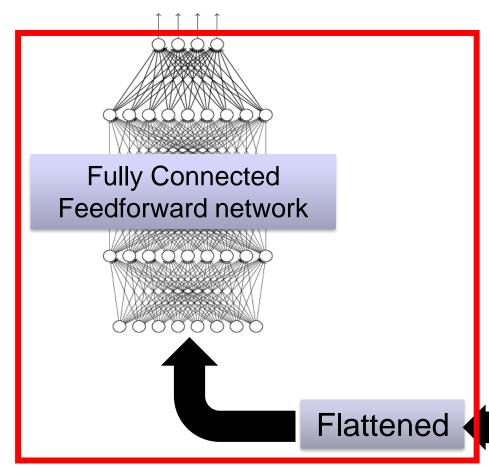
The number of channels is the number of filters

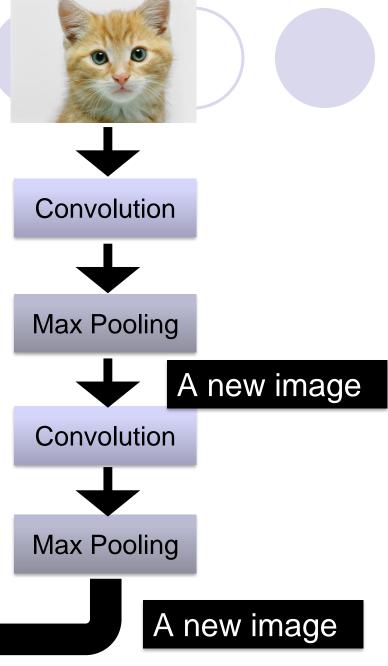


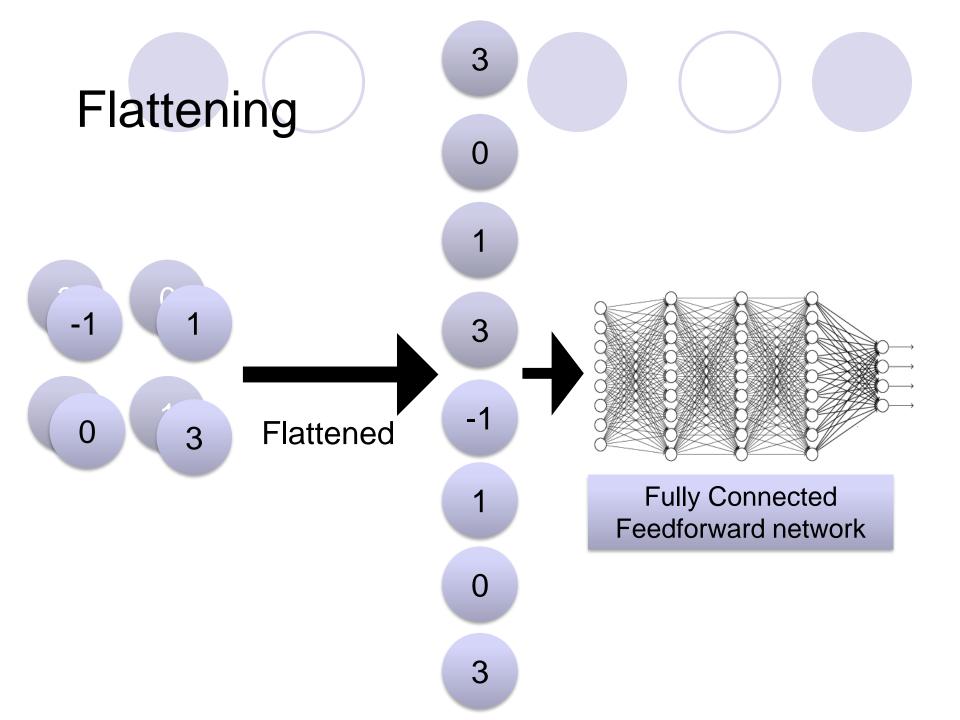
Can repeat many times

#### The whole CNN

cat dog .....

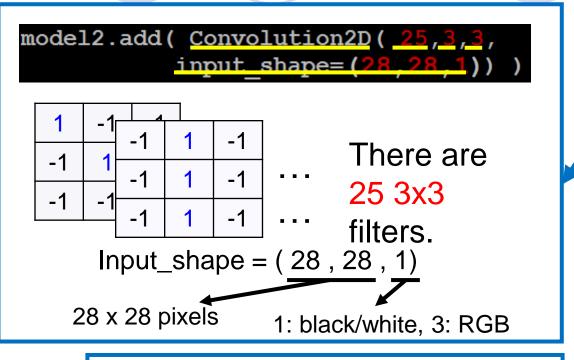


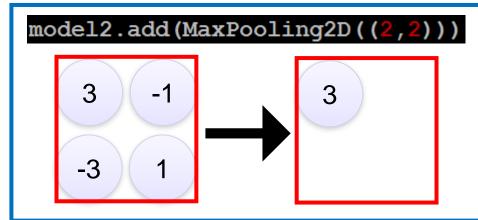


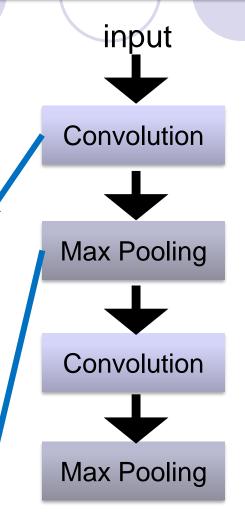


#### <u>CNN in</u> Keras

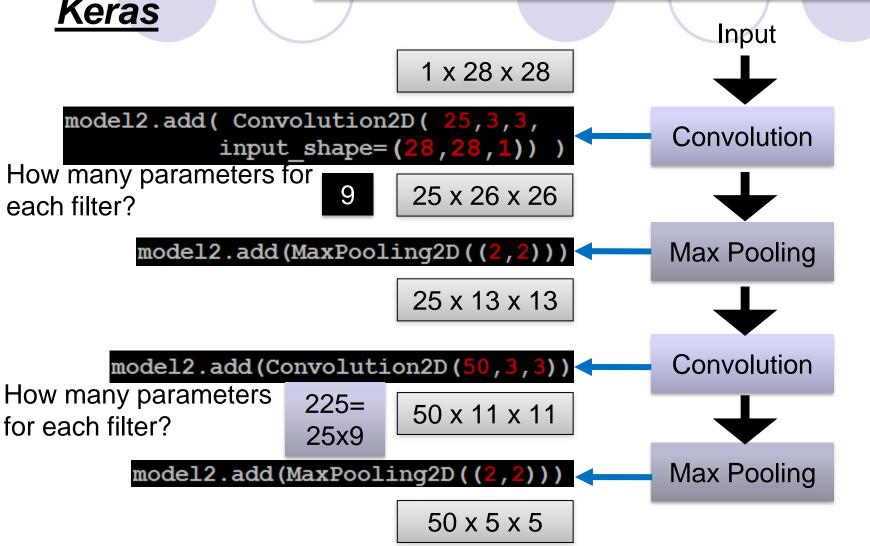
# Only modified the *network structure* and *input format (vector -> 3-D tensor)*





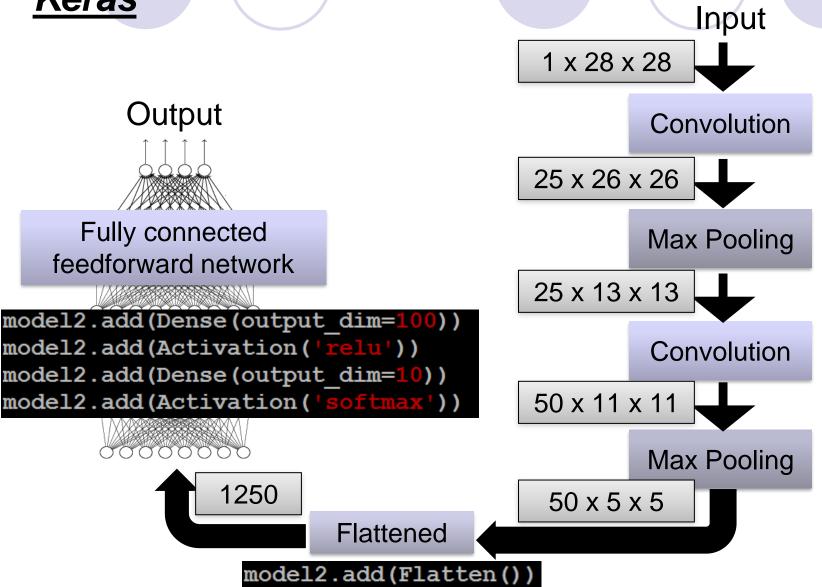


<u>CNN in</u> <u>Keras</u> Only modified the *network structure* and *input format (vector -> 3-D array)* 

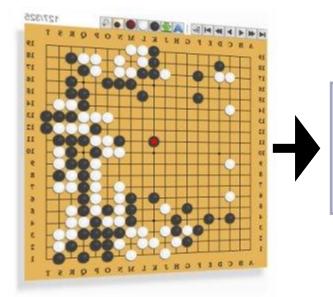


#### <u>CNN in</u> <u>Keras</u>

# Only modified the *network structure* and *input format (vector -> 3-D array)*



# AlphaGo



Neural Network +

Next move (19 x 19 positions)

19 x 19 matrix

Black: 1

white: -1

none: 0

Fully-connected feedforward network can be used

But CNN performs much better

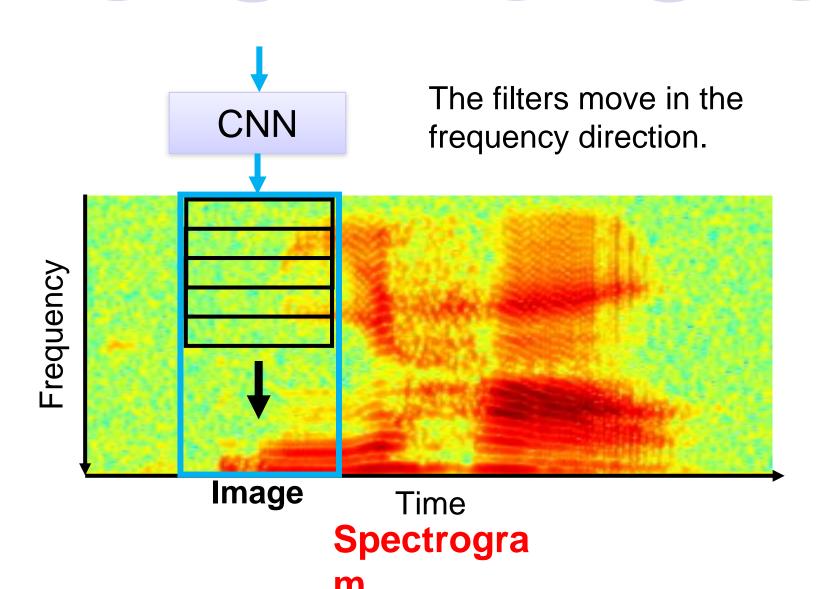
# AlphaGo's policy network

The following is quotation from their Nature article:

Note: AlphaGo does not use Max Pooling.

**Neural network architecture.** The input to the policy network is a  $19 \times 19 \times 48$ image stack consisting of 48 feature planes. The first hidden layer zero pads the input into a 23  $\times$  23 image, then convolves k filters of kernel size  $5 \times 5$  with stride 1 with the input image and applies a rectifier nonlinearity. Each of the subsequent hidden layers 2 to 12 zero pads the respective previous hidden layer into a  $21 \times 21$ image, then convolves k filters of kernel size  $3 \times 3$  with stride 1, again followed by a rectifier nonlinearity. The final layer convolves 1 filter of kernel size  $1 \times 1$ with stride 1, with a different bias for each position, and applies a softmax function. The match version of AlphaGo used k = 192 filters; Fig. 2b and Extended Data Table 3 additionally show the results of training with k = 128, 256 and 384 filters.

# CNN in speech recognition



#### CNN in text classification

