

## Week 2: Operators

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2023 年 2 月 20 日

### **1 Operators**

表 1: Operators

Which dimensions (positions) to modify on Single (the $j$ -th) dimension value function					all	per-bit crossover rate	random $m$ positions	$k$ -points		
					All the $d$ dimensions.	For each dimension (position), change by independent probability $p$ .	Randomly select $m$ positions.	Cut into $(k+1)$ segments, and alternatively select positions from even segments.		
Name	Parameters	#Input	#Output	Function						
exchange two values	–	2 $x_1^{(j)}, x_2^{(j)}$	2 $x^{(j)}, x^{n(j)}$	$\begin{cases} x^{(j)} = x_2^{(j)} \\ x^{n(j)} = x_1^{(j)} \end{cases}$		Uniform Crossover (for binary values) (p10) Global Discrete Recombination (for real values) (p12)		$k$ -point Crossover (for binary values) (p7-8) Multi-point discrete Recombination (for real values) (p11)		
weighted arithmetic average	–	2 $x_1^{(j)}, x_2^{(j)}$	2 $x^{(j)}, x^{n(j)}$	$\begin{cases} x^{(j)} = \alpha \cdot x_1^{(j)} + (1 - \alpha) \cdot x_2^{(j)} \\ x^{n(j)} = (1 - \alpha) \cdot x_1^{(j)} + \alpha \cdot x_2^{(j)} \end{cases}$ weight $\alpha \sim U(0, 1)$	Arithmetic Recombination (p14-15)		Single Arithmetic Recombination (for $m = 1$ ) (p18-19)	Simple Arithmetic Recombination (for $k = 2$ ) (p16-17)		
heuristic escape	–	2 $x_1^{(j)}, x_2^{(j)}$	1 $x^{(j)}$	$x^{(j)} = x_2^{(j)} + \alpha \cdot (x_2^{(j)} - x_1^{(j)})$ escape weight $\alpha \sim U(0, 1)$	Heuristic Recombination (p20)					
simplex	–	$n$ $x_i^{(j)} \forall i \in [1, n]$	1 $x^{(j)}$	$x^{(j)} = \frac{1}{n-1} (\sum_{i=1}^n x_i^{(j)} - x_n^{(j)}) + (x_1^{(j)} - x_n^{(j)})$	Simplex Recombination (p20)					
geometric average	–	2 $x_1^{(j)}, x_2^{(j)}$	1 $x^{(j)}$	$x^{(j)} = \sqrt{x_1^{(j)} \cdot x_2^{(j)}}$	Geometric Recombination (p21)					
quadratic	–	3 $x_1^{(j)}, x_2^{(j)}, x_3^{(j)}$	1 $x^{(j)}$	$x^{(j)} = \dots$	Quadratic Recombination (p21-22)					
bit flipping	–	1 $x_1^{(j)} \in \{0, 1\}$	1 $x^{(j)}$	$x^{(j)} = 1 - x_1^{(j)}$		Bitwise Mutation (usually $p = \frac{1}{d}$ ) (p27)	One-bit Flipping / One-bit Mutation (for $m = 1$ ) (p26) Multi-bit Flipping (for $m > 1$ ) (p26)			
another random integer	image $S$	1 $x_1^{(j)} \in S$	1 $x^{(j)}$	$x^{(j)} = X'$ $X' \in (S - \{x_1^{(j)}\})$		Random Mutation (p28)				
random real	lower bound $lb_j$ upper bound $ub_j$	1 $x_1^{(j)} \in \mathbb{R}$	1 $x^{(j)}$	$x^{(j)} = X'$ $X' \sim U(lb_j, ub_j)$		Uniform Mutation (p32)				
Gaussian	standard deviation $\sigma$ lower bound $lb_j$ upper bound $ub_j$	1 $x_1^{(j)}$	1 $x^{(j)}$	$x^{(j)} = \text{curtailing}(x_1^{(j)} + \Delta, lb_j, ub_j)$ mutation step-size $\Delta \sim \mathcal{N}(0, \sigma^2)$	Nonuniform Mutation using Gaussian (p33-35)					
Cauchy	scale $t$ lower bound $lb_j$ upper bound $ub_j$	1 $x_1^{(j)}$	1 $x^{(j)}$	$x^{(j)} = \text{curtailing}(x_1^{(j)} + \Delta, lb_j, ub_j)$ mutation step-size $\Delta \sim \mathcal{C}(0, t)$	Nonuniform Mutation using Cauchy (p33-35)					