Lab: Z3 Theorem Prover

(Week 4)

Yulei Sui

School of Computer Science and Engineering University of New South Wales, Australia

Quiz-1 and Exercise-1 Marks Released

Marks are out and let us go through Quiz-1 questions!

Quiz-2 and Exercise-2

Remember to git pull or docker pull!

You **MUST** update your code template to the latest version for Lab-Exercise-2. Otherwise, you will not receive marks because Lab-2 and Assignment-2 have been changed to differentiate from previous years.

Quiz-2 and Exercise-2

- Quiz-2 with 25 questions (5 points), due date: 23:59 Tuesday, Week 7
 - Logical formula and predicate logic
 - Z3's knowledge and translation rules
- Lab-Exercise-2 (5 points), due date: 23:59 Tuesday, Week 7
 - **Goal:** Manually translate code into z3 formulas/constraints and verify the assertions embedded in the code.
 - Specification: https://github.com/SVF-tools/ Software-Security-Analysis/wiki/Lab-Exercise-2
 - SVF Z3 APIs: https:

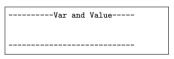
//github.com/SVF-tools/Software-Security-Analysis/wiki/SVF-Z3-API

- Assignment-2 (25 points) will start from Week 5 and due date: 23:59
 Tuesday, Week 8
 - Goal: automatically perform assertion-based verification for code using static symbolic execution.
 - Specification: https:

//github.com/SVF-tools/Software-Security-Analysis/wiki/Assignment-2

```
1 int* p;
2 int q;
3 int* r;
4 int x;
5 p = malloc(...);
6 q = 5;
7 *p = q;
8 x = *p;
9 assert(x==10);
```

```
1 expr p = getZ3Expr("p");
2 expr q = getZ3Expr("q");
3 expr r = getZ3Expr("r");
4 expr x = getZ3Expr("x");
5 printExprValues();
```



nothing printed because expressions have no value

Source code

Translation code using Z3Mgr

```
1 int* p;
2 int q;
3 int* r;
4 int x;
5 p = malloc(...);
6 q = 5;
7 *p = q;
8 x = *p;
9 assert(x==10);
```

```
1 expr p = getZ3Expr("p");
2 expr q = getZ3Expr("q");
3 expr r = getZ3Expr("r");
4 expr x = getZ3Expr("x");
5 expr malloc1 = getMemObjAddress("malloc1");
6 addToSolver(p == malloc1);
7 printExprValues();
```

```
Var5 (malloc1) Value: 0x7f000005
Var1 (p) Value: 0x7f000005
```

0x7f000005 (or 2130706437 in decimal) represents the virtual memory address of this object

Each ObjVar starts with Ox7f + its ID.

Source code

Translation code using Z3Mgr

```
expr p = getZ3Expr("p"):
 int* p;
                          expr q = getZ3Expr("q");
 int q;
                          expr r = getZ3Expr("r");
 int* r;
                          expr x = getZ3Expr("x");
4 int x;
                          expr malloc1 = getMemObjAddress("malloc1");
 p = malloc(...);
                          addToSolver(p == malloc1):
 q = 5:
                          addToSolver(q == getZ3Expr(5));
 *p = q:
                          storeValue(p, q);
 x = *p:
                          addToSolver(x == loadValue(p));
 assert(x==10);
                          printExprValues();
```

```
------Var and Value----
Var5 (malloc1) Value: 0x7f000005
Var1 (p) Value: 0x7f000005
Var2 (q) Value: 5
Var4 (x) Value: 5
```

store value of ${\bf q}$ to address 0x7f000005 load the value from 0x7f000005 to ${\bf x}$

Source code

Translation code using Z3Mgr

```
expr p = getZ3Expr("p"):
                          expr q = getZ3Expr("q");
                          expr r = getZ3Expr("r");
  int* p;
                          expr x = getZ3Expr("x");
  int q;
                          expr malloc1 = getMemObjAddress("malloc1");
 int* r:
                          addToSolver(p == malloc1);
 int x:
                          addToSolver(q == getZ3Expr(5));
 p = malloc(...):
                          storeValue(p, q);
 q = 5;
                          addToSolver(x == loadValue(p));
 *p = q;
                       10 printExprValues():
g = x = 8
                       11
9 assert(x==10):
                          // use checkNegateAssert to unsat of x!=10
                       13 // could check sat of x==10 due to
                          // closed-world program
```

```
------Var and Value----
Var5 (malloc1) Value: 0x7f000005
Var1 (p) Value: 0x7f000005
Var2 (q) Value: 5
Var4 (x) Value: 5
Assertion failed: (false &&
"The assertion is unsatisfiable");
```

Contradictory Z3 constraints!

 $x \equiv 5$ contradicts $x \equiv 10$

Source code

Translation code using Z3Mgr

```
expr p = getZ3Expr("p"):
                          expr q = getZ3Expr("q");
                          expr r = getZ3Expr("r");
  int* p;
                          expr x = getZ3Expr("x");
  int q;
                          expr malloc1 = getMemObjAddress("malloc1");
 int* r:
                          addToSolver(p == malloc1);
 int x:
                          addToSolver(q == getZ3Expr(5));
 p = malloc(...):
                          storeValue(p, q);
 q = 5;
                          addToSolver(x == loadValue(p));
 *p = q;
                       10 printExprValues():
g = x = 8
                       11
9 assert(x==10):
                       12 /// evaluation code as below
                       13 std::cout<< getEvalExpr(x == getZ3Expr(10))
                       14 << std::endl:
```

```
------Var and Value----
Var5 (malloc1) Value: 0x7f000005
Var1 (p) Value: 0x7f000005
Var2 (q) Value: 5
Var4 (x) Value: 5
false
```

There is no model available (unsat) when evaluating x == getZ3Expr(10)

Source code

Translation code using Z3Mgr

Interprocedural Example (Call and Return)

```
expr p = getZ3Expr("p");
  int bar(int a){
                           expr q = getZ3Expr("q");
      int r = a:
                           solver.push();
      return r:
                           expr a = getZ3Expr("a");
                           addToSolver(a == getZ3Expr(2));
  void main(){
                           solver.check():
      int p, q;
                           expr r = getEvalExpr(a);
      p = bar(2):
                           printExprValues();
      q = bar(3):
                           solver.pop();
      assert(p==2)
                           addToSolver(p == r):
10 }
```

Handle first callsite p=bar(2)

-----Var and Value----Var2 (a) Value: 2

(1) push the z3 constraints when calling bar and pop when returning from bar (2) Expression r is the return value evaluated from a after returning from callee bar

Source code

Translation code using Z3Mgr

Interprocedural Example (Call and Return)

```
expr p = getZ3Expr("p");
   int bar(int a){
                           expr q = getZ3Expr("q");
      int r = a:
                           solver.push();
      return r:
                           expr a = getZ3Expr("a");
                           addToSolver(a == getZ3Expr(2));
  void main(){
                           solver.check():
      int p, q;
                           expr r = getEvalExpr(a);
      p = bar(2):
                           solver.pop();
      q = bar(3);
                           addToSolver(p == r);
      assert(p==2)
                        10 printExprValues():
10 }
```

Handle first callsite p=bar(2)

```
-----Var and Value----
Var1 (p) Value: 2
```

Now we only have p's value and a is not in the current stack since constraint a == getZ3Expr(2) has been popped

Source code

Translation code using Z3Mgr

Interprocedural Example (Call and Return)

```
expr p = getZ3Expr("p");
                           expr q = getZ3Expr("q");
                           solver.push():
  int bar(int a){
                           expr a = getZ3Expr("a");
      int r = a:
                           addToSolver(a == getZ3Expr(2));
      return r:
                         6 expr r = getEvalExpr(a);
                           solver.pop():
  void main(){
                           addToSolver(p == r);
      int p, q;
                           solver.push();
      p = bar(2);
                        10 addToSolver(a == getZ3Expr(3)):
      q = bar(3):
                        11 r = getEvalExpr(a);
      assert(p==2)
                           solver.pop();
10 }
                           addToSolver(q == r);
                        14 printExprValues():
```

```
-----Var and Value----
Var1 (p) Value: 2
Var2 (q) Value: 3
```

We have two expressions and their values in main's scope

Handle second callsite q=bar(3)

Source code

Translation code using Z3Mgr

Bad Interprocedural Example Without push/pop

```
expr p = getZ3Expr("p");
  int bar(int a){
                           expr q = getZ3Expr("q");
      int r = a:
                           expr a = getZ3Expr("a");
      return r:
                           addToSolver(a == getZ3Expr(2)):
                           expr r = getEvalExpr(a);
  void main(){
                           addToSolver(p == r);
      int p, q;
                           addToSolver(a == getZ3Expr(3));
      p = bar(2):
                           r = getEvalExpr(a);
      q = bar(3);
                           addToSolver(q == r);
      assert(p==2)
                        10 printExprValues():
10 }
```

```
-----Var and Value----
Assertion failed: (res!=z3::unsat &&
"unsatisfied constraints! Check your
contradictory constraints added to
the solver")
------
```

both a == getZ3Expr(2) and a == getZ3Expr(3) are added into the solver in the same scope

Source code

Translation code using Z3Mgr

Bad Interprocedural Example Without Evaluating Return

```
expr p = getZ3Expr("p");
                           expr q = getZ3Expr("q"):
                           expr r = getZ3Expr("r");
                           expr a = getZ3Expr("a");
  int bar(int a){
                           solver.push();
      int r = a:
                           addToSolver(a == getZ3Expr(2));
      return r:
                           addToSolver(r == a); // invalid after pop
                         8 solver.pop():
  void main(){
                           addToSolver(p == r);
      int p, q;
                        10 printExprValues();
      p = bar(2):
                           solver.push():
      q = bar(3):
                        12 addToSolver(a == getZ3Expr(3));
      assert(p==2)
                           addToSolver(r == a); // invalid after pop
10 }
                        14 solver.pop();
                           addToSolver(q == r);
                        16 printExprValues():
```

```
------Var and Value----
Var1 (p) Value: random
Var2 (q) Value: random
Var3 (r) Value: random
```

the values of p,q,r are

the same random number

Source code

Translation code using Z3Mgr

Array and Struct Example

```
void main(){
                           expr a = getZ3Expr("a"):
                           expr x = getZ3Expr("x");
      int* a:
                         3 expr y = getZ3Expr("y");
      int* x:
      int v:
                           addToSolver(a == getMemObjAddress("malloc"));
                           addToSolver(x == getGepObjAddress(a,2));
      a = malloc(...)
                         6 storeValue(x, getZ3Expr(3));
      x = &a[2]:
                           addToSolver(v == loadValue(x)):
      *x = 3:
      v = *x:
      assert(v==3):
                           /// print expr values as below
10 }
                        10 printExprValues();
```

getGep0bjAddress returns the field address of the aggregate object a The virual address also in the form of 0x7f... + VarID

Source code

Translation code using Z3Mgr

Array and Struct Example

```
tooid main(){
    int* a;
    int* x;
    int y;
    a = malloc(...);
    // similar for struct
    // x=&(a->fld2)
    x = &a[2];
    *x = 3;
    y = *x;
    assert(y==3);
}
```

```
1 expr a = getZ3Expr("a");
2 expr x = getZ3Expr("x");
3 expr y = getZ3Expr("y");
4 addToSolver(a == getMemObjAddress("malloc"));
5 addToSolver(x == getGepObjAddress(a,2));
6 storeValue(x, getZ3Expr(3));
7 addToSolver(y == loadValue(x));
8 
9 /// print expr values as below
10 printExprValues();
```

```
------Var and Value----
Var1 (a) Value: 0x7f000004
Var4 (malloc) Value: 0x7f000004
Var2 (x) Value: 0x7f0001f7
Var3 (y) Value: 3
------
```

getEvalExpr retrieve the value from the expression

Source code

Translation code using Z3Mgr

Branch Example

```
expr argv = getZ3Expr("argv");
expr y = getZ3Expr("y");
// new variable y1 to mimic the phi to merge
// two definitions of y at control flow joint point
expr y1 = getZ3Expr("y1");
expr two = getZ3Expr(2);
addToSolver(y == two);
addToSolver(y1 == ite(argv > two, argv, y));
/// expr validation and evaluation as below
printExprValues();
std::cout<<getEvalExpr(y1 >= two)<<"\n";</pre>
```

Source code

Translation code using Z3Mgr

Predicate Logic in Code Verification

(Week 4)

Yulei Sui

School of Computer Science and Engineering University of New South Wales, Australia

Propositional and Predicate Logic

The following will be the background to understand some terms when using a theorem prover (SAT/SMT solver).

If you have already learned basic discrete math and logic theory, you can skip this. :)

Discrete Math and Basic Logic Theory

Strongly suggest you revisit or pick up discrete math if you haven't. You can learn through the following learning materials or search google for more materials:

- Discrete mathematics wiki https://en.wikipedia.org/wiki/Discrete_mathematics
- Discrete math videos:
 https://www.youtube.com/hashtag/discretemathematicsbyneso
- Propositional logic https://en.wikipedia.org/wiki/Propositional_calculus
- Predicate logic (or first-order logic)
 https://en.wikipedia.org/wiki/First-order_logic
- Discrete mathematics book http://discrete.openmathbooks.org/dmoi3.html

Satisfiability Solving as Logic Inference Problem

Logic Inference Problem:

- Given:
 - A knowledge base KB (a set of constraints (logical formulas) extracted from code statements) and an assertion Q, For example,

Satisfiability Solving as Logic Inference Problem

Logic Inference Problem:

- Given:
 - A knowledge base KB (a set of constraints (logical formulas) extracted from code statements) and an assertion Q, For example,
 - KB: $((x > z) \land (y == x + 1)) \lor ((x \le z) \land (y == 10))$
 - $Q: y \ge x + 1$
- *KB* ⊢ *Q* ?
 - Does KB semantically entail Q?
 - If all constraints in KB are true, is the assertion true?
 - Is the specification Q satisfiable given constraints from code?
- Each element (**proposition** or **predicate**) in *KB* can be seen as a **premise** and *Q* is the **conclusion**.

Propositional Logic (Statement Logic) ¹

A **proposition** is a statement that is either true or false. Propositional logic studies the ways statements can interact with each other.

- **Propositional variables** (e.g., *S*) represent propositions or statements in the formal system.
- A propositional formula is logical formula with propositional variables and logical connectives like and (∧), or (∨), negation (¬), implication (⇒)
- Inference rules allow certain formulas to be derived. These derived formulas
 are called theorems (or true propositions). The derivation can be interpreted
 as proof of the proposition represented by the theorem.

Propositional Logic (Natural Language Example)

 A natural language inference example where both premises and conclusion are propositions in the form of natural language statements.

Premise 1: If you get 85 marks, then you get a high distinction.

Premise 2: You get 85 marks.

Conclusion: You get a high distinction.

Propositional Logic (Natural Language Example)

 A natural language inference example where both premises and conclusion are propositions in the form of natural language statements.

Premise 1: If you get 85 marks, then you get a high distinction.

Premise 2: You get 85 marks.

Conclusion: You get a high distinction.

 Propositional variable representation of the above inference rule (S is interpreted as "you get 85 marks" and Q is "you get a high distinction")

Premise 1 $S \rightarrow Q$

Premise 2 S

Conclusion Q

• The inference rule: for any S and Q, whenever $S \to Q$ and S are true, necessarily S is true, written in Modus ponens form: $\{S, S \to Q\} \vdash Q$

Propositional Logic (Code Example)

 A code example where both premises and conclusion are propositions in this inference rule.

```
Premise 1 : if(x > 10 && y < 5) z = 15;
Premise 2 : x > 10;
Premise 3 : y < 5;
Conclusion : z = 15;
```

Propositional Logic (Code Example)

 A code example where both premises and conclusion are propositions in this inference rule.

```
Premise 1: if(x > 10 && y < 5) z = 15;
Premise 2: x > 10;
Premise 3: y < 5;
Conclusion: z = 15;
```

• Propositional variable representation of the above inference rule (S_1 is interpreted as "x > 10", S_2 is "y < 5" and Q is "z = 15")

```
Premise 1 : (S_1 \land S_2) \rightarrow Q

Premise 2 : S_1

Premise 3 : S_2

Conclusion : Q
```

• Succinctly written as: $\{S_1, S_2, (S_1 \land S_2) \rightarrow Q\} \vdash Q$

Limitations of Propositional Logic (Natural Language Example)

The following valid argument **can** be inferred using propositional logic, i.e., $\{S, S \rightarrow Q\} \vdash Q$

- $S \rightarrow Q$: If you get 85 marks, then you get a high distinction.
- S: You get 85 marks.
- Q: You get a high distinction.

The following valid argument **can not** be inferred using propositional logic, i.e., $\{S', S \to Q\} \not\vdash Q'$ since propositions S' and S are not interpreted as the same.

- $S \rightarrow Q$: If you get 85 marks, then you get a high distinction.
- S': Jack gets 85 marks.
- Q': Jack gets a high distinction.

Propositional Logic is **less expressive** and has **weak generalization power**. It does not allow us to conclude the truth of ALL or SOME statements. It is not possible to mention properties of objects in the statement, or relationships between properties.

Limitations of Propositional Logic (Code Example)

The following valid argument **can** be inferred using propositional logic, i.e., $\{S_1, S_2, (S_1 \land S_2) \rightarrow Q\} \vdash Q$

- S_1 : x > 10;
- S_2 : y < x;
- $(S_1 \land S_2) \rightarrow Q$: if(x > 10 && y < x) z = 15;
- Q: z = 15;

The following valid argument can not be inferred using propositional logic, i.e.,

$$\{\textit{S}_{1}',\,\textit{S}_{2}',\,(\textit{S}_{1}\,\land\,\textit{S}_{2})\rightarrow\textit{Q}\,\}\not\vdash\textit{Q}$$

- S_1' : x = 11;
- S_2' : y = 10;
- $(S_1 \land S_2) \rightarrow Q$: if(x > 10 && y < x) z = 15;
- Q: z = 15:

Predicate Logic (First-Order Logic) ²

First-order logic is propositional logic with predicates and quantification.

- Propositional logic: boolean logic which represents statements without reflecting their structures and relations
- Predicate logic: is more expressive and further analyzes proposition(s) by representing their entities' properties and relations and to group entities, i.e., additionally covers predicates and quantification.

Predicate Logic (First-Order Logic) ²

First-order logic is propositional logic with predicates and quantification.

- Propositional logic: boolean logic which represents statements without reflecting their structures and relations
- Predicate logic: is more expressive and further analyzes proposition(s) by representing their entities' properties and relations and to group entities, i.e., additionally covers predicates and quantification.
- A predicate P takes one or more variables/entities as input and outputs a proposition and has a truth value (either true or false).
 - A statement whose truth value is dependent on variables.
 - For example, in P(x): x > 5, "x" is the variable and "> 5" is the predicate. After assigning x with the value 6, P(x) becomes a proposition 6 > 5.
- A quantifier is applied to a set of entities
 - Universal quantifier ∀, meaning all, every
 - Existential quantifier ∃, meaning some, there exists

Predicate Logic (Natural Language Example)

Consider the two statements

- "Jack got a high distinction"
- "Peter got a high distinction"

In propositional logic, these statements are viewed as being unrelated and the sub-statements/words/entities are not further analyzed.

- **Predicate logic** allows us to define a **predicate** *P* representing "got a high distinction" which occurs in both sentences.
- P(x) is the predicate logic statement (formula) which accepts a name x and output as "x got a high distinction".

- S_1 : x > 10;
- S_2 : y < x;
- $(S_1 \land S_2) \rightarrow Q$: if(x > 10 && y < x) z = 15;
- Q: z = 15;

In propositional logic, each code statement (including its variables) is viewed as one proposition. Its variables and their relations are not further analyzed.

- Predicate logic allows us to define the following three predicates
 - $P_1(x)$ representing x > 10 for the property of a single variable.
 - $P_2(y, x)$ representing y < x for the relation between two variables.
 - $P_3(z)$ representing z = 15 for the property of a single variable.

- S_1 : x > 10;
- S_2 : y < x;
- $(S_1 \land S_2) \rightarrow Q$: if(x > 10 && y < x) z = 15;
- Q: z = 15;

In propositional logic, each code statement (including its variables) is viewed as one proposition. Its variables and their relations are not further analyzed.

- Predicate logic allows us to define the following three predicates
 - $P_1(x)$ representing x > 10 for the property of a single variable.
 - $P_2(y, x)$ representing y < x for the relation between two variables.
 - $P_3(z)$ representing z = 15 for the property of a single variable.

Given a set of propositions/predicates as the knowledge base KB, let us take a look at how we do the inference $KB \vdash Q$ using propositional logic and predicate logic. Does KB semantically entail Q?

- S_1 : x > 10;
- S_2 : y < x;
- $(S_1 \land S_2) \rightarrow Q$: if(x > 10 && y < x) z = 15;
- Q: z = 15;

In propositional logic, each code statement (including its variables) is viewed as one proposition and its variables are not further analyzed.

- Predicate logic allows us to define the following three predicates
 - $P_1(x)$ representing x > 10 for relation/property of a single variable.
 - $P_2(y, x)$ representing y < x for relation between two variables.
 - $P_3(z)$ representing z = 15 for relation/property of a single variable.

- S_1 : x > 10;
- S_2 : y < x;
- $(S_1 \land S_2) \rightarrow Q$: if(x > 10 && y < x) z = 15;
- Q: z = 15;

In propositional logic, each code statement (including its variables) is viewed as one proposition and its variables are not further analyzed.

- Predicate logic allows us to define the following three predicates
 - $P_1(x)$ representing x > 10 for relation/property of a single variable.
 - $P_2(y, x)$ representing y < x for relation between two variables.
 - $P_3(z)$ representing z = 15 for relation/property of a single variable.

Propositional logical for the inference

•
$$\{S_1, S_2, (S_1 \land S_2) \to Q\} \vdash Q$$

Predicate logical for the inference

•
$$\{P_1(x), P_2(y, x), (P_1(x) \land P_2(y, x)) \rightarrow P_3(z)\} \vdash Q$$

- S_1 : x > 10;
- S_2 : y < x;
- $(S_1 \land S_2) \rightarrow Q$: if(x > 10 && y < x) z = 15;
- Q: z = 15;

In propositional logic, each code statement (including its variables) is viewed as one proposition and its variables are not further analyzed.

- Predicate logic allows us to define the following three predicates
 - $P_1(x)$ representing x > 10 for relation/property of a single variable.
 - $P_2(y, x)$ representing y < x for relation between two variables.
 - $P_3(z)$ representing z = 15 for relation/property of a single variable.

Propositional logical for the inference

•
$$\{S_1, S_2, (S_1 \land S_2) \to Q\} \vdash Q$$

Predicate logical for the inference

•
$$\{P_1(x), P_2(y, x), (P_1(x) \land P_2(y, x)) \rightarrow P_3(z)\} \vdash Q$$

•
$$\{x > 10, y < x, (x > 10 \land y < x) \rightarrow z = 15 \} \vdash z = 15$$

Verification as Solving Constrained Horn Clauses

A constrained horn clause has the following form:

- $\forall V (\varphi \land P_1(X_1) \land ... \land P_k(X_k)) \rightarrow Q(X)$
 - τ is a **background theory** (e.g., Linear Arithmetic, Arrays, BitVectors, or combinations of the above)
 - V are variables, and X_i is a set of terms over V
 - ullet arphi is a constraint in the background theory au
 - $P_1, ... P_k$ and Q are multi-ary **predicates**.
 - $P_i(X_i)$ is an application of predicate P_i to **first-order terms**.

Verification as solving constrained horn clauses.