

DBMS Final Project

Team 9

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Implementations

To extend a relational database system to support storing and querying over vectors.

Adding Bench

我們首先使用了IVF-FLAT Indexing方法來對向量進行分群與計算等操作。

```
//bench/src/main/java/org/vanilladb/bench/server/param/ann/AnnTestbedLoaderProc.java
INDICES_DDL[0] = "CREATE INDEX items_idx ON items(i_emb) USING ivf_flat";

public String[] getIndexSchemas() {
    return INDICES_DDL;
}
```

```
//bench/src/main/java/org/vanilladb/bench/server/param/sift/SiftTestbedLoaderProc.java
public void prepareParameters(Object... pars) {
    numItems = (Integer) pars[0];
    TABLES_DDL[0] = "CREATE TABLE " + getTableName() + " (i_id INT, i_emb float[])";
    INDEXES_DDL[0] = "CREATE INDEX " + getIdxName() + " ON " + getTableName() + " (i_emb) USING ivf_flat";
}
```

我們在 `AnnTestbedLoaderProc.java`、`SiftTestbedLoaderProc.java` 文件中重新啟用了索引創建過程，確保在初始化模式時正確創建新的IVF-FLAT索引。

```
private void createSchemas() {
    // 跳過添加索引
}
```

```

if (logger.isLoggable(Level.INFO)) {
    logger.info("Creating indexes...");
}

// 創建索引
for (String sql : paramHelper.getIndexSchemas()) {
    StoredProcedureUtils.executeUpdate(sql, tx);
}

if (logger.isLoggable(Level.FINE)) {
    logger.info("Finish creating schemas.");
}
}

```

Adding Parse

新增ivf_flat的keyword到 `Lexer.java` 與 `Parser.java` 中，以及index type的判定到 `Index.java` 內。

Adding Ivf_flat

在 `Cluster.java` 中管理與建立centroid/cluster，並在 `VectorPair.java` 中實作向量數據的管理。

每一個cluster會有一個centroid，目前尚未實現真的centroid，是隨機取一個當作centroid，之後的insertion會比較和每一個cluster的centroid最近者，並插入其中。

```

//Cluster.java
// Create new cluster when inserting
public class Cluster {

    private int cid;
    private VectorPair centroid;
    private List<VectorPair> vecs;
    private static final String SCHEMA_RID_ID = "id";
    private static final String SCHEMA_RID_BLK = "blk";
    private static final String SCHEMA_RID_VEC = "i_emb";

```

```

private TableInfo ti;

// Create new cluster when inserting
public Cluster(RecordId rid, VectorConstant centroid, int cid) {
    this.cid = cid;
    this.centroid = new VectorPair(centroid, rid.id(), rid.blk);
    this.vecs = new ArrayList<>();
    this.vecs.add(this.centroid);

    String newClusterTable = "cluster_" + cid;
    VanillaDb.catalogMgr().createTable(newClusterTable, schema);
    ti = VanillaDb.catalogMgr().getTableInfo(newClusterTable);
    RecordFile rf = ti.open(tx, false);
    rf.insert();
    rf.setVal(SCHEMA_RID_ID, new IntegerConstant(rid.id()));
    rf.setVal(SCHEMA_RID_BLK, new BigIntConstant(rid.block()));
    rf.setVal(SCHEMA_RID_VEC, centroid);
    rf.close();
}
...
}

```

```

//VectorPair.java
public class VectorPair {
    public VectorConstant VectorConst;
    public Integer rid;
    public Long blk;

    public VectorPair(VectorConstant VectorConst, int rid, long blk) {
        this.VectorConst = VectorConst;
        this.rid = rid;
        this.blk = blk;
    }
}

```

```
}
...
```

在 `IVFFlatIndex.java` 實作Ivf_flat indexing，包含創建Indexing、Insert、search、delete、close等。

```
//創建ivf flat indexing
public class IVFFlatIndex extends Index {
    ...

    public IVFFlatIndex(IndexInfo ii, SearchKeyType keyType,
        super(ii, keyType, tx);
        this.ccMgr = tx.concurrencyMgr();
        this.ii = ii;
        // System.out.println("Init");

        // this.ti = getIndexUsedTableInfo(ii.indexName);
        rwLock.writeLock().lock();
        if (isBench && listCluster.isEmpty()) {
            System.out.println("Load cluster");
            for (int i = 0; i < NUM_CLUSTERS_MAX; i++) {
                Cluster cluster = new Cluster(i, tx);
                listCluster.add(cluster);
            }
            System.out.println("Load cluster complete");
        }
        rwLock.writeLock().unlock();

    }

    ...
}
```

新增 `ivfFlatIndexPlan.java` 和 `ivfFlatIndexScan.java` 來針對ivfFlatIndex創建和管理搜尋計畫與進行Index搜尋結果。

```
public class IvfFlatIndexPlan implements Plan {
    private TablePlan tp;
```

```

private IndexInfo ii;
private DistanceFn distFn;
private Transaction tx;
private Histogram hist;

public IvfFlatIndexPlan(TablePlan tp, IndexInfo ii, DistanceFn distFn, Transaction tx) {
    this.tp = tp;
    this.ii = ii;
    this.distFn = distFn;
    this.tx = tx;
    HashMap<String, ConstantRange> searchRange = new HashMap<>();
    searchRange.put("i_emb", new VectorConstantRange(distFn));
    hist = SelectPlan.constantRangeHistogram(tp.histogram(), searchRange);
}
...
}

```

```

public class IvfFlatIndexScan implements UpdateScan {
    private IVFFlatIndex idx;
    private TableScan ts;
    private DistanceFn distFn;

    public static final int NUM_CLUSTERS_MAX = CoreProperties.getInt("ivf_flat_index.num_clusters_max",
        IVFFlatIndex.class.getName() + ".NUM_CLUSTERS_MAX", 1000);

    public IvfFlatIndexScan(Index idx, DistanceFn distFn, TableScan ts) {
        this.idx = (IVFFlatIndex) idx;
        this.distFn = distFn;
        this.ts = ts;
    }
    ...
}

```

同時也在 `TablePlanner.java` 確保能呼叫到 `Ivf_flat` indexing。

```

...
public Plan makeSelectPlan() {
    Plan p = makeIndexSelectPlan();
    if (p == null)
        p = tp;
    p = addSelectPredicate(p);
    if (embField == null)
        return p;

    List<IndexInfo> iis = VanillaDb.catalogMgr().getIndexInfo(tl
    IndexInfo ii = null;
    for (IndexInfo iii : iis) {
        if (iii.fieldNames().contains(embField.fieldName()))
            ii = iii;
    }
    if (ii == null)
        throw new RuntimeException("Can't find index for vector
    p = new IvfFlatIndexPlan(tp, ii, embField, tx);
    p = new NearestNeighborPlan(p, embField, tx);
    return p;
}
...

```

Future work

本次僅實現較簡單的KNN算法，雖然運行快速，但並未真的實現近似KNN，所以recall表現不佳。未來在改進成效時，可在centroid的設定上多著墨。

SIMD implementation

We haven't implemented this part.

Experiments

Environment

Intel Core i7-12700 CPU
48 GB RAM
1TB SSD
Debian GNU/Linux 12

Experiment

of txns (including aborted) during benchmark period: 0

ANN - committed: 0, aborted: 0, avg latency: 0 ms
INSERT - committed: 0, aborted: 0, avg latency: 0 ms
Recall: NaN%
TOTAL - committed: 0, aborted: 0, avg latency: 0 ms
original:

of txns (including aborted) during benchmark period: 0

ANN - committed: 0, aborted: 0, avg latency: 0 ms
INSERT - committed: 0, aborted: 0, avg latency: 0 ms
Recall: NaN%
TOTAL - committed: 0, aborted: 0, avg latency: 0 ms
ANN - committed: 0, aborted: 0, avg latency: 0 ms
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Recall: NaN%
TOTAL - committed: 0, aborted: 0, avg latency: 0 ms
#of txns (including aborted) during benchmark period: 0
ANN - committed: 0, aborted: 0, avg latency: 0 ms
INSERT - committed: 0, aborted: 0, avg latency: 0 ms

Recall: NaN%

TOTAL - committed: 0, aborted: 0, avg latency: 0 ms

IVF-Flat:

#of txns (including aborted) during benchmark period: 3196

ANN - committed: 2851, aborted: 0, avg latency: 201 ms

INSERT - committed: 345, aborted: 0, avg latency: 70 ms

Recall: 7.33%

TOTAL - committed: 3196, aborted: 0, avg latency: 188 ms