## Label Embedding with Partial Heterogeneous Contexts

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## Outline

- Introduction
- 2 Partial Heterogeneous Context Label Embedding (PHCLE)
- 3 Model Comparison and Generalization
- 4 Experiments
- Conclusion

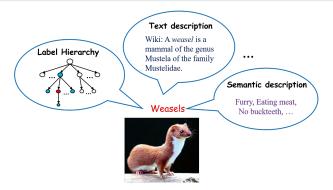
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## Introduction

## Background: Label Embedding with Multiple Contexts

#### Background

- Label embedding plays a key role in many real-world applications.
- Multiple contexts were adopted to learn label embeddings.

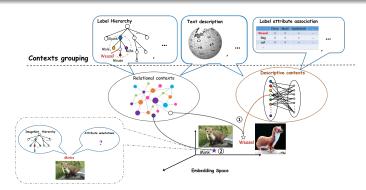


To learn label embeddings that capture the label relatedness in multiple aspects.

## Motivation:Partial heterogeneous Contexts Label Embedding

#### Challenges:

- The incorporated contexts are heterogeneous.
- Some contexts are partially observed in practical tasks.



How to exploit the label relatedness conveyed in partial heterogeneous contexts?

## Motivation:Partial heterogeneous Contexts Label Embedding

#### Key problems:

- How to effectively exploit the label relatedness in each context?
- How to align the label relatedness conveyed in different contexts?
- How to overcome the partial context problem?

#### Related works:

Table: Comparison between existing methods.

Methods	Problem1	Problem2	Problem3
CNC [1]	Х	X	✓
CCA [2]	×	✓	X
SNE [3]	Х	✓	X
TADW [4]	✓	✓	X
PHCLE	✓	✓	✓

#### Technique1: Tailor-make formulas for heterogeneous contexts.

- Relational context: D
  - Property: Convey direct information on label relatedness;
  - Formulation: SGNS as Explicit Matrix Factorization (EMF) [5];  $\min_{C,W} EMF(\begin{subarray}{c}D\\ \hline \end{subarray}, C^TW)$

$$= -tr(\mathbf{D}^T C^T W) + \sum_{w \in V_W} \log(\sum_{d'_w \in \mathcal{S}_w} e^{d'_w^T C^T w}),$$

- Specification: Label hierarchy, which conveys the intrinsic structure of the labels, are exploited to construct *D*.
- Descriptive context: A
  - Property: Convey the label-description associations;
  - Formulation: Traditional matrix factorization;

$$\| \boldsymbol{A} - \boldsymbol{W}^T \boldsymbol{U} \|_F^2$$

## Technique2: Align partially observed contexts via shared embedding.

#### Formulation

$$\min_{C,W,U} EMF(D, C^T \mathbf{W}) + \frac{\lambda_1}{2} \| \mathbf{I} \odot (A - \mathbf{W}^T U) \|_F^2$$

where W is the shared label embedding matrix, C denotes the context embedding and U is the description embedding.

- Remark:
  - Context alignment: the shared label embedding variable W;
  - Partial contexts: the indicator matrix *I* for the missing entries;

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#### Technique3: Enhance alignment via discriminative contexts selection.

Formulation

$$\min_{C,W,U} EMF(D, C^T W) + \frac{\lambda_1}{2} || I \odot (A - W^T U)||_F^2 + \frac{\lambda_2 ||U||_1}{2} + \frac{\lambda_3}{2} (||W||_F^2 + ||U||_F^2)$$

PHCLE addresses the aforementioned key problems by:

- Tailor-making formulas for these contexts.
- Aligning different contexts via the shared embedding.
- Enhancing the alignment via discriminative contexts selection.

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10/24

## Model Comparison and Generalization

## Model Comparison

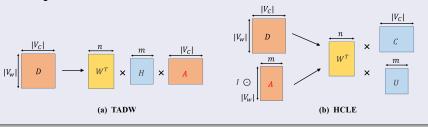
#### Attributed graph embedding methods

#### TADW

$$\min_{W,H} \| \frac{\mathbf{D}}{\mathbf{D}} - W^T H \mathbf{A} \|_F^2 + \frac{\lambda}{2} (\| W \|_F^2 + \| H \|_F^2)$$

The label embedding is obtained with [W, HA].

#### Comparison



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#### Generalized PHCLE

- Reason: Additive property of the matrix factorization formulation.
- Formulation

$$\begin{aligned} \min_{C^{(i)}, W, U^{(j)}} & \sum_{i=1}^{n} \boldsymbol{\alpha}^{(i)} EMF(D^{(i)}, C^{(i)T}W) \\ & + \sum_{j=1}^{m} \boldsymbol{\beta}^{(j)} ||A^{(j)} - W^{T}U^{(j)}||_{F}^{2} + \Omega(W, C^{(i)}, U^{(j)}) \\ s.t. & \quad \boldsymbol{\alpha}^{(i)} \geq 0, \boldsymbol{\alpha}^{T} \mathbf{1}_{m} = 1, \boldsymbol{\beta}^{T} \mathbf{1}_{m} = 1 \end{aligned}$$

where  $\alpha = [\alpha^{(1)}, \alpha^{(2)}, ..., \alpha^{(i)}]$  controls the weight for relational contexts,  $\beta = [\beta^{(1)}, \beta^{(2)}, ..., \beta^{(j)}]$  controls the weight for descriptive contexts,  $\Omega(W, C^{(i)}, U^{(j)})$  represents the regularizers..

#### Algorithms

- Gradient descent based alternating minimization.
  - Due to the sparsity constraint, *U* is updated via FISTA.

#### Algorithm 1: Alternating Minimization for PHCLE

```
 \begin{array}{ll} \textbf{Input:} \ \ \text{co-occurrence matrix} \ D, \ \text{label-attribute association} \\ \ \ \text{matrix} \ A, \ \text{step-size} \ \eta, \ \text{iterations number} \ K, \ \text{trade-off} \\ \ \ \ \text{factors} \ \lambda_1, \lambda_2, \lambda_3 \ \text{and} \ \lambda_4 \end{array}
```

Output:  $C_K, W_K, U_K$ 

**Initialize**  $C_0, W_0, V_0$  to the matrix with all elements equal to 1:

while i < K do

repeat 
$$C_i = C_{i-1} - \eta \frac{\partial L}{\partial C}$$
 (See Eq. (7))  
repeat  $W_i = W_{i-1} - \eta \frac{\partial L}{\partial W}$  (See Eq. (8))  
Update  $U$  using FISTA (Algorithm 2)  
 $i = i + 1$ :

end

#### **Algorithm 2:** FISTA with fixed step size for updating U

**Input:** A: the label-description association matrix;  $I \odot W_{i-1}$ : label embedding obtained in last iteration;

 $I \odot W_{i-1}$ : label embedding obtained in last iteration;  $U_{i-1}$ : description embedding obtained in last iteration; L: the Lipschitz constant of  $\nabla f$ ;

MaxIter: maximum iteration of the algorithm

Output: The optimal solution of  $\hat{U}$ 

Initialize  $\hat{U}_0 = U_{i-1}, Z_1 = \hat{U}_0, t_1 = 1;$ while i < MaxIter do

$$\hat{U}_j = p_\tau(Z_j);$$
 $1+\sqrt{1+4}$ 

$$t_{j+1} = \frac{1+\sqrt{1+4t_j^2}}{2};$$
  

$$Z_{j+1} = \hat{U}_j + (\frac{t_j-1}{t_{j+1}})(\hat{U}_j - \hat{U}_{j-1});$$
  

$$j = j+1;$$

if 
$$\frac{F(\hat{U}) - F(\hat{U})}{F(\hat{U})} < \epsilon$$
 break;

en

## Experiments

## **Experimental Setups**

## Setup for PHCLE - partial heterogeneous contexts

- Labels: the 1000 labels of ImageNet 2012 dataset;
- **Relational contexts:** the label hierarchy in WordNet;
- **Descriptive contexts:** available attributes in AWA and aPY datasets.

#### Baselines

- Single context embeddings: ALE, WLE, HLE;
- Multi-contexts embeddings: CNC, CCA, TADW;
- PHCLE variants: PHCLE\_FC, PHCLE\_NoSp;

#### Tasks

- Zero-shot image classification;
- Label similarity and interpretability analysis;
- Novel image understanding.

## Zero-shot image classification

Table: The results of classification accuracy on AWA and aPY.

	Datasets					
Methods	AWA			aPY		
	ESZSL	ConSE	SJE	ESZSL	ConSE	SJE
ALE	63.66	50.83	61.48	52.60	52.87	49.75
WLE	56.98	45.92	38.63	45.53	39.13	38.04
HLE	53.76	58.08	54.18	49.79	43.35	52.18
CNC	63.66	57.97	74.27	48.71	50.78	33.34
CCA	47.05	35.46	47.05	41.94	34.34	39.45
PHCLE	69.11	58.43	77.47	54.61	52.87	50.91

Table: Result comparison for label embeddings with full contexts.

	Datasets					
Methods	AWA			aPY		
	ESZSL	ConSE	SJE	ESZSL	ConSE	SJE
TADW	50.18	56.62	40.84	28.13	25.21	23.48
PHCLE_FC	69.08	56.77	53.33	50.56	53.04	42.52

## Label similarity and interpretability analysis

#### Label retrieval

Table: Label retrieval results. The labels are listed in descending order. Highly relevant labels are highlighted in bold, weakly relevant labels are in normal font, and irrelevant labels are in italics.

Query label	PHCLE	WLE	HLE
	teapot	chiffonier	cauldron
	cauldron	fire screen	teapot
coffeepot	beaker	washbasin	barrel
	vase	chocolate sauce	bathtub
	coffee mug	window shade	bucket

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## Label similarity and interpretability analysis

## Clustering visualization

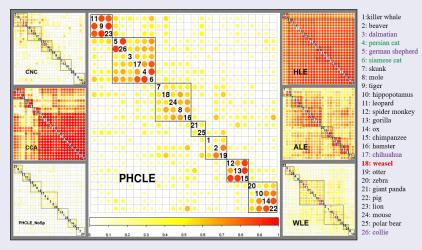


Figure: Cluster visualization of the correlation matrix of the label embeddings.

## Novel image understanding

- Task: Describe an image with relative labels and specific descriptions.
- **Reason:** Multi-contexts aligned via the shared embedding.

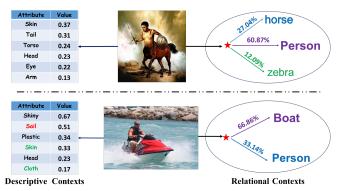


Figure: For relational contexts, the digits represent the images' similarity with the related labels. For descriptive contexts, attributes marked in green are creatively exploited, while those marked in red are wrongly predicted.

## Conclusion

#### Conclusion

- **Problem:** Label embedding with Partial Heterogeneous Contexts
- Model: Partial Heterogeneous Context Label Embedding (PHCLE)
  - Tailor-make formula for the heterogeneous contexts;
  - Align partial heterogeneous contexts via shared label embedding;
  - Enhance alignment with discriminative contexts selection.

#### Model Speciality

- Can handle partial context with an matrix to indicate the missing entries;
- Can be easily generalized to incorporate more contexts.

#### Empirical results

- Superb performance in image classification task;
- Exhibits good human interpretability;
  - label similarity analysis;
  - novel image understanding;

- [1] Z. Akata, S. Reed, D. Walter, H. Lee, and B. Schiele. Evaluation of output embeddings for fine-grained image classification. In *CVPR*, pages 2927–2936, 2015.
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# Thank you! Q & A