

**Problem 1.** Let  $S$  be a set of  $n$  intervals  $\{[s_i, f_i] | 1 \leq i \leq n\}$ , satisfying  $f_1 \leq f_2 \leq \dots \leq f_n$ . Denote by  $S'$  the set of intervals in  $S$  that are disjoint with  $[s_1, f_1]$ . Prove: if  $T' \subseteq S'$  is an optimal solution to the activity selection problem on  $S'$ , then  $T' \cup \{[s_1, f_1]\}$  is an optimal solution to the activity selection problem on  $S$ .

**Proof.** Suppose the otherwise that  $T' \cup \{[s_1, f_1]\}$  is not an optimal solution to the activity selection problem on  $S$ . Then, we must have  $T \subseteq S$  being the optimal solution and  $|T| > |T' \cup [s_1, f_1]|$ . Since  $T$  must include  $[s_1, f_1]$  and the intervals in  $T \setminus \{[s_1, f_1]\}$  must be disjoint with  $[s_1, f_1]$ , we must have  $|T'| < |T \setminus \{[s_1, f_1]\}|$ , which is a contradiction as  $|T \setminus \{[s_1, f_1]\}|$  must now be the optimal solution on  $S'$ .  $\square$

**Problem 2.** Describe how to implement the activity selection algorithm discussed in the lecture in  $O(n \log n)$  time, where  $n$  is the number of input intervals.

**Solution.** Sort all the intervals by their finishing time in ascending order, using  $O(n \log n)$  time. Maintain a set  $S$  for the intervals selected. During the scan, we also maintain a variable,  $last$ , for recording the finishing time of the last interval in  $S$  (having the maximum finishing time). Initially  $S = \{[s_1, f_1]\}$  and  $last = f_1$ . Then, in  $O(1)$  time, we can check comparing  $last$  and the starting time  $s_i$  of the interval  $[s_i, f_i]$  ( $i \in [2, n]$ ) currently being scanned if the interval is overlapping with the activities in  $S$ . Add the interval to  $S$  if there is no conflict and update  $last$  accordingly. The scanning process can thus be done in  $O(n)$  time. Hence, the overall time complexity is  $O(n \log n)$  as required.

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procedure ACTIVITYSELECTION( $S$ )
     $T = \{[s_1, f_1]\}, last = f_1$ 
    for  $i \leftarrow 2$  to  $n$  do
        if  $last < s_i$  then
             $T \leftarrow T \cup \{[s_i, f_i]\}$ 
        end if
    end for
    return  $T$ 
end procedure
    
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**Problem 3.** Prof. Goofy proposes the following greedy algorithm to “solve” the activity selection problem. Let  $S$  be the input set of intervals. Initialize an empty  $T$ , and then repeat the following steps until  $S$  is empty:

- (Step 1) Add to  $T$  the interval  $I = [s, f]$  in  $S$  that has the smallest  $s$ -value.
- (Step 2) Remove from  $S$  all the intervals overlapping with  $I$  (including  $I$  itself).

Finally, return  $T$  as the answer.

Prove: the above algorithm does not guarantee an optimal solution.

**Proof.** Consider the set  $S = \{[1, 20], [2, 3], [4, 5]\}$ . The algorithm returns  $\{[1, 20]\}$  while the optimal solution should be  $\{[2, 3], [4, 5]\}$ .  $\square$

**Problem 4.** Prof. Goofy just won't give up! This time he proposes a more sophisticated greedy algorithm. Again, let  $S$  be the input set of intervals. Initialize an empty  $T$ , and then repeat the following steps until  $S$  is empty:

- (Step 1) Add to  $T$  the interval  $I$  in  $S$  that overlaps with the fewest other intervals in  $S$ .
- (Step 2) Remove from  $S$  the interval  $I$  as well as all the intervals that overlap with  $I$ .

Finally, return  $T$  as the answer.

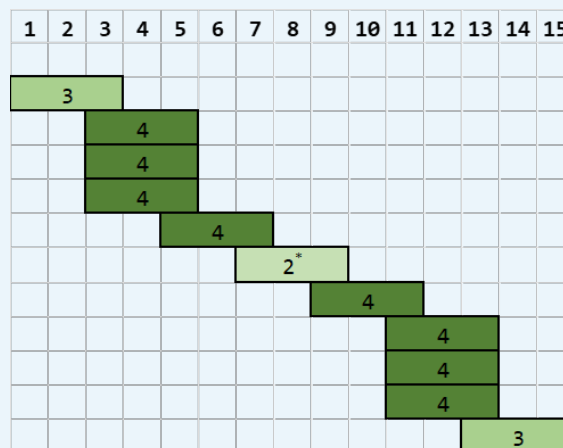
Prove: the above algorithm does not guarantee an optimal solution.

**Proof.** Let  $S = \{[1, 5], [2, 7], [3, 8], [4, 9], [6, 20], [19, 30], [29, 40], [30, 50], [31, 51], [32, 52], [41, 60]\}$ .

Interval  $[19, 30]$  must be selected since it is the only interval overlapping with 2 other intervals, which is the fewest. This then eliminates  $[6, 20]$  and  $[29, 40]$  since they are overlapping with  $[19, 30]$ . This then breaks  $S$  into two disjoint sets of intervals, and in the best case only one of each can be selected. This gives a solution of 3 intervals. Instead, the optimal answer should be a set of 4 intervals by selecting  $\{[1, 5], [6, 20], [29, 40], [41, 60]\}$ .

A clearer construction can be given if we allow a multiset of intervals:

$$S = \{[1, 3], [3, 5], [3, 5], [3, 5], [5, 7], [7, 9], [9, 11], [11, 13], [11, 13], [11, 13], [13, 15]\}.$$



□

**Problem 5. (Fractional Knapsack).** Let  $(w_1, v_1), (w_2, v_2), \dots, (w_n, v_n)$  be  $n$  pairs of positive real values. Given a real value  $W \leq \sum_{i=1}^n w_i$ , design an algorithm to find  $x_1, x_2, \dots, x_n$  to maximize the objective function

$$\sum_{i=1}^n \frac{x_i}{w_i} \cdot v_i$$

subject to:

- $0 \leq x_i \leq w_i$  for every  $i \in [1, n]$ ;
- $\sum_{i=1}^n x_i \leq W$

Remark: You can imagine, for each  $i \in [1, n]$  that the value  $w_i$  is the ‘weight’ of a certain item, and  $v_i$  is the item’s ‘value’. The goal is to maximize the total value of the items we collect, subject to the constraint that all the items must weight no more than  $W$  in total. For each item, we are allowed to take only a fraction of it, which reduces its weight and value by proportion.

**Solution.**

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procedure FRACTIONALKNAPSACK( $S$ )
  Sort the  $n$  items  $(w_i, v_i)$  by descending value-cost rate  $v_i/w_i$ 
  for  $i \leftarrow 1$  to  $n$  do
     $x_i \leftarrow \min\{W, w_i\}, W \leftarrow W - x_i$ 
  end for
  return  $x_1, x_2, \dots, x_n$ 
end procedure

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We prove the correctness of this algorithm.

Observe that we must have  $\sum_{i=1}^n x_i^* = W$ , otherwise we may add a fraction of any remaining item(s) with weight equal to  $W - \sum_{i=1}^n x_i^*$  to our knapsack which increases the objective function, as the values are all positive.

Without loss of generality, assume that  $v_1/w_1 \geq v_2/w_2 \geq \dots \geq v_n/w_n$ . Consider an arbitrary optimal solution  $x_1^*, x_2^*, \dots, x_n^*$ .

We may constructively find a better objective value (or no worse than the value given by  $x_1, x_2, \dots, x_n$ ) and reach a contradiction. Let  $t$  be the smallest integer with  $x_t^* \neq x_t$ . We must have  $x_t^* < x_t$  justified by our algorithm, so we let  $\Delta = x_t - x_t^*$ , and hence  $\sum_{i=t+1}^n x_i^* = \sum_{i=t+1}^n x_i + \Delta$ . Thus, we may increase  $x_t^*$  to  $x_t$ , and reduce a total amount of  $\Delta$  arbitrary from  $x_{t+1}^*, x_{t+2}^*, \dots, x_n^*$ . Noting that  $v_i/w_i \geq v_j/w_j$  for any  $i > j$ , we have

$$\frac{x_t^* + \Delta}{w_t} \cdot v_t + \sum_{i=t+1}^n \frac{x_i^* - \Delta_i}{w_i} \cdot v_i \geq \sum_{i=t}^n \frac{x_i^*}{w_i} \cdot v_i + \Delta \frac{v_t}{w_t} - \Delta \frac{v_{t+1}}{w_{t+1}} \geq \sum_{i=t}^n \frac{x_i^*}{w_i} \cdot v_i.$$

By repeating the above argument, we may adjust the optimal solution to match our algorithm’s solution without worsening the objective value. This shows the optimality of our algorithm.

**Problem 6.** If we run the activity-selection algorithm taught in the class on the following input:

$$S = \{[1, 10], [2, 22], [3, 23], [20, 30], [25, 45], [40, 50], [47, 62], [48, 63], [60, 70]\},$$

then what is the set of intervals returned?

**Solution.**  $S$  is already sorted by ascending finishing time. Therefore, the set of intervals returned is  $\{[1, 10], [20, 30], [40, 50], [60, 70]\}$ .

**Problem 7.** The following is another greedy algorithm for the activity selection problem. Initialize an empty  $T$ , and then repeat the following steps until  $S$  is empty:

- (Step 1) Add to  $T$  the interval  $I$  with the shortest length.
- (Step 2) Remove from  $S$  the interval  $I$ , and all the intervals overlapping with  $I$ .

Finally, return  $T$  as the answer.

Prove: the above algorithm does not always return an optimal solution.

**Proof.** Consider  $S = \{[1, 3], [3, 4], [4, 6]\}$ . While the algorithm returns  $\{[3, 4]\}$ , the optimal solution should be  $\{[1, 3], [4, 6]\}$ .  $\square$

**Problem 8.** Let  $(w_1, v_1), (w_2, v_2), \dots, (w_n, v_n)$  be  $n$  pairs of positive real values. Given a real value  $W \leq \sum_{i=1}^n w_i$ , we want to find  $x_1, x_2, \dots, x_n$  to maximize the objective function

$$\sum_{i=1}^n \frac{x_i}{w_i} \cdot v_i$$

subject to:

- $0 \leq x_i \leq w_i$  for every  $i \in [1, n]$ ;
- $\sum_{i=1}^n x_i \leq W$

Without loss of generality, assume that  $v_1 \geq v_2 \geq \dots \geq v_n$ . Consider the algorithm that works as follows:

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for  $i \leftarrow 1$  to  $n$  do
   $x_i \leftarrow \min\{W, w_i\}$ 
   $W \leftarrow W - x_i$ 
end for
```

Prove: the above algorithm does not always return an optimal solution.

**Proof.** Consider the  $n = 3$  pairs  $(1, 101), (1, 101), (2, 201)$  and  $W = 2$ . While the algorithm returns  $(x_1, x_2, x_3) = (0, 0, 2)$ , but the optimal solution should be  $(x_1, x_2, x_3) = (1, 1, 0)$  instead.  $\square$

**Problem 9.** Suppose that there are  $n$  gold bricks, where the  $i$ -th piece weighs  $p_i$  pounds and is worth  $d_i$  dollars. Given a positive integer  $W$ , our goal is to find a set  $S$  of gold bricks such that

- the total weight of the bricks in  $S$  is at most  $W$ ;
- the total value of the bricks in  $S$  is maximized (among all the sets  $S$  satisfying the first condition).

Assuming  $d_1 \geq d_2 \geq \dots \geq d_n$ , let us consider the following greedy algorithm:

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 $S = \emptyset$ 
for  $i \leftarrow 1$  to  $n$  do
  if  $p_i \leq W$  then
     $S \leftarrow S \cup \{p_i\}$ 
     $W \leftarrow W - p_i$ 
  end if
end for
```

Prove: the above algorithm does not guarantee finding the desired set  $S$ .

**Proof.** Suppose we have four items represented in weight-value pairs  $(8, 12)$ ,  $(3, 11)$ ,  $(3, 11)$ ,  $(3, 11)$  and  $W = 9$ . Then, the algorithm returns  $\{(8, 12)\}$  with total value 12 while the optimal solution is  $\{(3, 11), (3, 11), (3, 11)\}$  with total value 33.  $\square$