

Search for gauge-mediated supersymmetry in events with photons and a Z boson decaying to charged leptons at CMS

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Chapter 1

Introduction

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Hier kommt am Schluss ein Intro hin.

1.1. System of units

For simplicity, the unit system commonly used in particle physics is the natural unit system [1]. In natural units, the reduced Planck constant \hbar and the speed of light c are set to unity:

$$\hbar = c = 1 \quad (1.1)$$

The observables used most frequently in particle physics are the energy, momentum, and mass. They are given in GeV in the natural unit system. For other variables, such as length and time, the metric unit system is used. Cross sections are given in barn ($1 \text{ b} = 10^{-28} \text{ m}^2$). Integrated luminosities are therefore given in b^{-1} .

1.2. The standard model of particle physics

The standard model of particle physics (SM) is a gauge theory describing three of the four fundamental forces, namely the electromagnetic, weak, and strong interaction [2]. The gravitational force is described by general relativity [3].

All fundamental particles can be divided into two sub classes: Particles of integer spin, called bosons, and particles of half-integer spin, called fermions.

The SM is based on the symmetry group $SU(3) \otimes SU(2) \otimes U(1)$. The interactions are described via the exchange of spin-1 gauge fields, namely being the bosons. In the case of the strong force these are 8 massless gluons, which couple to the color charge. The mediator of the electromagnetic interaction is the massless photon, coupling to the electric charge of particles. In case of the weak interaction the mediator particles are the three massive bosons W^\pm and Z , which couple to weak charge.

While the bosons describe the mediation of the fundamental forces, the matter content is given by the fermions. Fermions are divided into two subgroups, called quarks and leptons. Leptons take part only in the electroweak interaction, while quarks carry also a color charge and therefore interact via the strong force. There are three generations of fermions, which include each two lepton and two quark flavors. The quark flavors are namely the down, up, strange, charm, bottom, and top quarks, while the lepton flavors are made up of three electrically charged particles, the electron (e), the muon (μ), and the tau lepton (τ), and three electric neutral leptons, called neutrinos (ν_e, ν_μ, ν_τ). The latter are assigned the names of the charged leptons of the same generation. Of the quarks, there are up-type quarks carrying an electric charge of $+\frac{2}{3}e$, and down-type quarks carrying an electric charge of $-\frac{1}{3}e$.

An illustration of the total SM particle content with its properties is shown in Figure 1.1. For each particle, a corresponding anti-particle exists with same mass and inversed quantum numbers. Throughout this thesis particles and antiparticles will be treated the same way, and both will be labeled with the name of the particle.

The strong interaction between quarks and gluons is described by the quantum field theory of quantum chromodynamics (QCD). The corresponding mediators of the non-abelian gauge group $SU(3)_C$ are the eight gluons, which carry each the color-charge C of an anti-color and color, giving rise to the self coupling of gluons. Due to the confinement of quarks [5], quark-antiquark pairs will be produced out of the vacuum, if particles with color charge are being separated, since the potential energy density of the strong force includes constant terms, and the potential energy rises with increasing distance. The same principle is responsible for the existence of only color-neutral bound states of two (mesons), or three (baryons) quarks, called hadrons.

This principle gives rise to the signature of color charged particles observed in multi-purpose detectors. In the hadronization process, gluons and quarks, which are not allowed to exist freely, lead to the generation of large aggregations of color charged particles while transversing the detector material. These clusters are called jets.

The electromagnetic and weak force can be unified in the electroweak theory to obtain the electroweak interaction [6–9], represented by the gauge group $SU(2)_L \otimes U(1)_Y$. The indices L and Y indicate, that the weak isospin T couples only to lefthanded $SU(2)_L$ doublets of fermions, while the righthanded $SU(2)_L$ singlets carry no isospin, and Y is the hypercharge. The three mediators of the $SU(2)_L$ group are the W^1, W^2 , and W^3 bosons, and the gauge boson of the $U(1)_Y$ group is the B^0 boson. Due to the spontaneous symmetry breaking in the electroweak

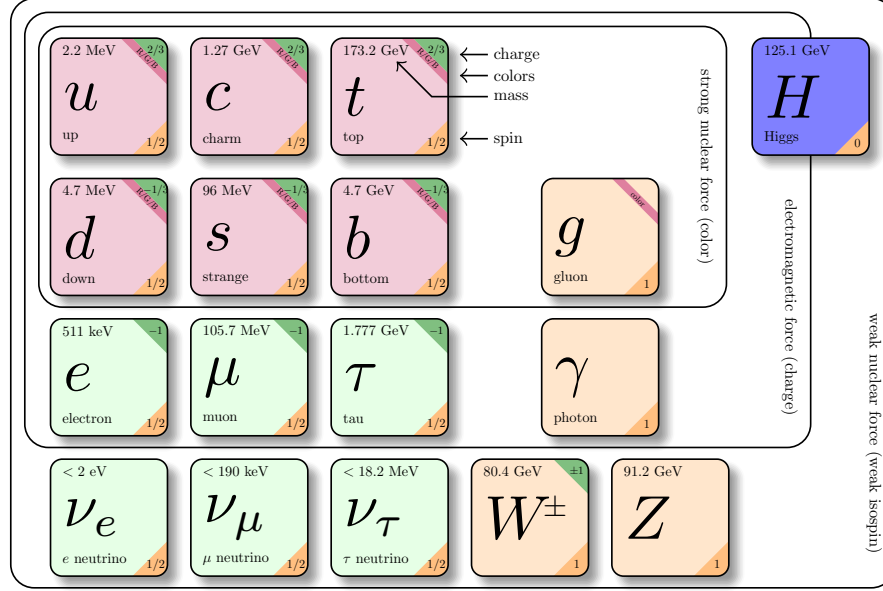


Figure 1.1.: Total particle content of the standard model. For each particle important properties such as mass, spin, and charges are given. The values are taken from [4].

unification, these four bosons mix to the observed W^\pm and Z boson and the photon γ :

$$\begin{pmatrix} \gamma \\ Z \end{pmatrix} = \begin{pmatrix} \cos(\theta_W) & \sin(\theta_W) \\ -\sin(\theta_W) & \cos(\theta_W) \end{pmatrix} \cdot \begin{pmatrix} B \\ W^3 \end{pmatrix}, \quad (1.2)$$

$$W^\pm = \frac{1}{\sqrt{2}} (W^1 \mp iW^2). \quad (1.3)$$

The resulting weak interaction is parity violating. The W^\pm bosons only couple to lefthanded fermions, while the neutral Z boson couples to both lefthanded and righthanded particles, but with different strength.

Because in this theory the gauge bosons are not allowed to have masses, the Higgs mechanism is introduced [10–12]. It predicts a complex scalar doublet Higgs field, which is symmetric, but has a non zero vacuum expectation value and is therefore responsible for the spontaneous symmetry breaking of the $SU(2)_L \otimes U(1)_Y$ gauge group. Since it has four degrees of freedom, but only three are used to give masses to the W^\pm and the Z bosons, a fourth spin-0 boson, the Higgs boson, is postulated. Leptons acquire also masses in the SM via Yukawa couplings with the Higgs field. Such a spin-0 neutral boson has been observed in proton-proton collisions at the LHC in 2012 [13, 14], and its mass has been determined to be 125.09 ± 0.24 GeV. This theory earned validation in good agreement with SM predictions [15], and recently also couplings to the top quark [16], and decays to bottom quarks and tau leptons have been observed [17, 18], strengthening the presumption, that the found boson is the postulated Higgs boson.

1.2.1. Indications for physics beyond the standard model

Although the SM describes all phenomena observed at high energy particle colliders successfully, different observations indicate that there must exist physics beyond the standard model (BSM). Precise measurements of the cosmic microwave background and theoretical interpretations suggest, that only 4.9% of the universe consists of ordinary matter, while the remainder is composed of dark energy and dark matter [19]. The existence of dark matter is also observed in gravitational lensing effects [20], and in rotation curves of spiral galaxies [21]. But inside the SM there exists no particle, that could explain the total amount of dark matter in the universe. It is assumed, that in the early age of the universe there was the same amount of matter and antimatter. But, today we observe the existence of much more matter than antimatter [22, 23] in the universe. In order to explain this discrepancy, different conditions, such as \mathcal{CP} -violation and baryon number violation, should be fulfilled [24]. However, there are no known sources of violation effects large enough to give rise to such big differences.

In the SM, neutrinos are assumed to be massless particles. But, the observation of neutrino oscillations are only explicable if neutrinos are massive particles [4, 25].

The observation of the Higgs boson in 2012 on the one hand marks the great success of the SM, but on the other hand directly leads to a big problem concerning the Higgs mass, what is known as the "Hierarchy Problem". The Higgs boson couples to all massive particles, and the coupling strength is proportional to their masses. But unlike for all other particles, the mass term for the Higgs boson is quadratically divergent, caused by virtual loop corrections from the fermion couplings. The cut-off scale for these corrections can be as large as the validity of the SM. Thus, the Higgs boson mass can be pushed to the order of the Planck scale (10^{19} GeV). Since its mass was measured at the LHC to be ≈ 125 GeV, and the difference between the electroweak scale (10^2 GeV) and the Planck scale is that huge, these corrections terms need to cancel per coincidence. This is considered as "unnatural", leading to the expectation that new physics is hiding in the energy ranges up to the Planck scale.

Also, driven by the electroweak unification, the unification of all forces in a grand unified theory (GUT) is well motivated. Because the couplings of the forces in the SM do not lead to a unification at very high energies [4], a possible extension of the SM with additional new particles could explain such a unification of the electroweak and strong interaction. One of those theories is supersymmetry [26].

1.3. Supersymmetry

Supersymmetry (SUSY) [26, 27] is one of the most popular BSM models and was developed already in the 1970s. It is well motivated within theory, because it is the only possible extension of space time symmetry. Since then, many different SUSY models have been established, all based on the same principle: SUSY connects fermions with bosons and the other way around by introducing supersymmetric partners for each SM particle. These superpartners differ only in spin by $\pm 1/2$, all other quantum numbers are kept equal. With the help of generators Q_i , bosonic and fermionic states can be switched:

$$Q|fermion\rangle = |boson\rangle, \quad +Q|boson\rangle = |fermion\rangle. \quad (1.4)$$

Some of the many advantages of SUSY are, that on the one hand multiple models directly provide candidates for dark matter particles, and on the other hand solve the unification of forces and the Hierarchy Problem without any "fine tuning".

The simplest form of SUSY is the minimal supersymmetric standard model (MSSM), where only exactly one pair of Q, Q^\dagger exists. So within the MSSM, for each fermion in the SM exactly a supersymmetric scalar boson is introduced. To differentiate between these two, the names of supersymmetric partners are those of the SM particles prepended with an "s-" (standing for scalar). So the partners of fermions are called sfermions, and e.g. the partner of the electron is the selectron. The names of superpartners of the bosons are created by appending the SM name with an "-ino", making them bosinos, and the partner of the gluon for example is called gluino. In general, the superpartners are called sparticles, and are labeled the same as their SM counterparts, but with a tilde ($\mu \rightarrow \tilde{\mu}$).

To give masses in the spontaneous symmetry breaking (SSB) to all particles and sparticles, the SM higgs sector needs to be extended to two complex scalar doublets:

$$H_u = \begin{bmatrix} H_u^+ \\ H_u^0 \end{bmatrix}, \quad H_d = \begin{bmatrix} H_d^0 \\ H_d^- \end{bmatrix}. \quad (1.5)$$

The H_d gives masses to the down-type quarks and charged leptons, while the H_u is responsible for the masses of up-type quarks. Consistently four higgsinos as superpartners are introduced in the MSSM. In the SSB, there are eight degrees of freedom instead of four, coming from the two Doublets, and giving rise to an expanded Higgs sector consisting of five particles: the two neutral scalars h^0 and H^0 , the two charged scalars H^\pm , and the neutral pseudoscalar A^0 . The observed Higgs boson at the LHC can be identified as one of the two neutral scalars, where the lighter h^0 is chosen by convention.

The gauginos and higgsinos mix, similar to the mixing in the electroweak sector, to six mass eigenstates, which are the four neutral neutralinos $\tilde{\chi}_1^0, \tilde{\chi}_2^0, \tilde{\chi}_3^0$, and $\tilde{\chi}_4^0$, and the two charged charginos $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^\pm$.

The total particle content of the MSSM is shown in Figure 1.2. As an extension and to include gravity, the SM is extended by the graviton G , and the SUSY sector by its superpartner, the gravitino \tilde{G} .

Because in an unbroken symmetry the particles and their corresponding sparticles should possess the same masses, and those SUSY particles should have been found easily in the past (considering e.g. an electron/selectron mass of ≈ 511 keV), SUSY must be a broken symmetry. There have been many different theories developed over time to explain different breaking scenarios.

SUSY can provide Dark Matter candidates, if the lightest supersymmetric particle (LSP), is stable, electrically neutral, and uncolored. But, it is not fundamentally necessary, that the LSP is stable. In so-called R-Parity violating scenarios, decays of all SUSY particles into SM particles are allowed. Hence, the conservation of the Baryon number B , and the lepton number L is violated. The R-parity

$$R = (-1)^{3B+L+S} \quad (1.6)$$

is therefore introduced as a new quantum number, where S is the spin. The R-parity is -1 for sparticles, and $+1$ for particles respectively. R-parity conserving scenarios are motivated by many precision measurements, such as the life time measurement of the proton [28]. In this

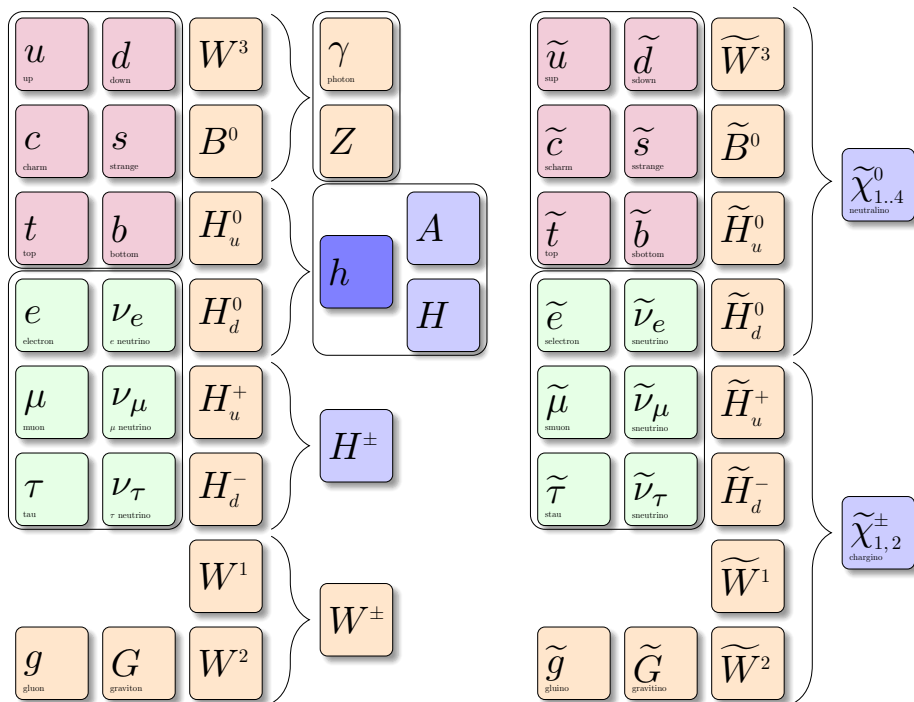


Figure 1.2.: The particle content of the MSSM extended with the graviton and gravitino. Mixings to mass eigenstates are indicated with the brackets. TODO October 24, 2018: Fehler in Bild.

thesis, only R-parity conserving scenarios are considered.

1.3.1. General gauge mediation

The phenomenology of SUSY is very rich. While in most of the popular models gravity is responsible for the SUSY breaking, a different approach, motivating this search, is general gauge mediation (GGM) [29]. In these gauge mediated supersymmetry breaking (GMSB) models, an additional "hidden sector" is introduced, which is responsible for the breaking. This sector is mainly decoupled, and the possible interactions between the visible and the hidden sector are only achieved by messenger fields mediated by gauge interactions. In GMSB, the LSP is typically the gravitino \tilde{G} , and this particle is assumed to be very light ($\ll 1$ GeV). Therefore, the next-to-lightest supersymmetric particle (NLSP), which basically can be any sparticle, decays promptly. Since the gravitino is stable because of R-parity conservation, electrically and color neutral, it will leave any detector undetected, causing an imbalance in the measured total transverse momentum in proton-proton collisions of the LHC.

In all models considered throughout this thesis, the NLSP is assumed to be the lightest neutralino ($\tilde{\chi}_1^0$). The mixing of the NLSP can include bino, wino, and higgsino components, each enabling different decay channels.

1.3.2. Signal scenarios

Given the theoretical background, the signal scenarios considered in this thesis are discussed in the following. All couplings of the SUSY particles are the same as of their SM partners. Hence, very different production channels, such as electroweak and strong production, are possible. In case of the LHC proton-proton collisions, SUSY particles are typically produced directly in the hard process, leading to cascade like decay structures down to the decays of the NLSP to the gravitino and a SM boson. The branching fractions of the lightest neutralino to different SM bosons depends on its mixing

$$\tilde{\chi}_1^0 = \sum_{i=1}^N N_i \tilde{\psi}_i^0, \quad (1.7)$$

where $\tilde{\psi}_i^0 = (\tilde{B}, \tilde{W}, \tilde{H}_d^0, \tilde{H}_u^0)$ [30]. The mass eigenstate vectors N_i are defined by four parameters, the bino mass M_1 , the wino mass M_2 at the messenger scale, the supersymmetric mass term for Higgs μ , and $\tan\beta$, the ratio between the two vacuum expectation values of the up- and down type Higgs bosons. In general, a neutralino NLSP has three possible decay branches, all involving the \tilde{G} :

$$\Gamma(\tilde{\chi}_1^0 \rightarrow \tilde{G} + \gamma) = |N_{11}c_W + N_{12}s_W|^2 \mathcal{A} \quad (1.8)$$

$$\Gamma(\tilde{\chi}_1^0 \rightarrow \tilde{G} + Z) = \left(|N_{12}c_W - N_{11}s_W|^2 + \frac{1}{2}|N_{13}c_\beta - N_{14}s_\beta|^2 \right) \left(1 - \frac{m_Z^2}{m_{\tilde{\chi}_1^0}^2} \right)^4 \mathcal{A} \quad (1.9)$$

$$\Gamma(\tilde{\chi}_1^0 \rightarrow \tilde{G} + h) = \frac{1}{2}|N_{13}c_\beta + N_{14}s_\beta|^2 \left(1 - \frac{m_h^2}{m_{\tilde{\chi}_1^0}^2} \right)^4 \mathcal{A} \quad (1.10)$$

Here, c_W , s_W , c_β , and s_β are abbreviations for $\cos(\theta_{Weinberg})$, $\sin(\theta_{Weinberg})$, $\cos(\beta)$, and $\sin(\beta)$. The formulae hold in cases of onshell Z and h production. \mathcal{A} is a parameter responsible for the NLSP lifetime [31, 32]

$$\mathcal{A} = \frac{m_{\tilde{\chi}_1^0}^5}{16\pi F_0^2} \approx \left(\frac{m_{\tilde{\chi}_1^0}}{100 \text{ GeV}} \right)^5 \left(\frac{100 \text{ TeV}}{\sqrt{F_0}} \right)^4 \frac{1}{0.1 \text{ mm}}, \quad (1.11)$$

where F_0 is the scale of SUSY breaking, its range is given by $10 \text{ TeV} \lesssim \sqrt{F_0} \lesssim 10^6 \text{ TeV}$, and it is related to the gravitino mass via $m_{\tilde{G}} = \frac{F_0}{\sqrt{3}M_{Plank}}$.

Branching fractions for pure bino, wino and higgsino like NLSPs are shown in Figure 1.3. Since the final state investigated in this analysis consists of a Z boson and a photon, the search is sensitive in particular to bino and wino like NLSP scenarios.

One scenario used in the development of this search is a full GGM model, where the NLSP is the $\tilde{\chi}_1^0$, and it is assumed to be 100% bino like. The heavier neutralino $\tilde{\chi}_2^0$, and the lightest chargino $\tilde{\chi}_1^\pm$, are assumed to be 100% wino like. Therefore, the bino mass equals the mass of the lightest neutralino, while the $\tilde{\chi}_1^\pm$ and the $\tilde{\chi}_2^0$ are mass degenerate and their mass equals the wino mass. For simplification reasons higgsinos are decoupled, i.e. set to very high masses. Squarks and gluinos are also decoupled in this scenario, allowing only electroweak production modes. For the most dominant process a diagram is shown in Figure 1.4. The signal cross section depends only on the wino mass, since $\tilde{\chi}_1^0 \tilde{\chi}_1^+$ and $\tilde{\chi}_1^+ \tilde{\chi}_1^+$ pair production are by far the most dominant production scenarios. The branching fractions of the gauginos are given by the gaugino masses and their gauge eigenstates, and behave exactly like shown in Figure 1.3. The mass of the neutralinos and the lightest chargino directly influence the transverse momenta in the final state. As can be seen in Figure 1.4, larger mass differences between the NLSP mass and the wino mass lead to higher momenta of the produced bosons in the cascades. The mass of the NLSP directly is responsible for the momenta of the final SM bosons and the gravitino, and therefore directly the missing transverse momentum in an event.

A very different approach besides analyzing full theoretical models, is analyzing simplified models (SMS)[33]. Here, only a limited particle content is assumed with simplified assumptions on the mixings and decay channels, providing a more model independent result via probing specifically distinct final states. These results can therefore be reinterpreted in various different general models, because fixed production channels and fixed branching fractions are used [34]. In this thesis two simplified models are considered, one with electroweak production, and the other one with a strong production channel.

The electroweak model is the TChiZG SMS, in which only neutralino-chargino and chargino-chargino pair production is assumed. The lightest chargino and lightest neutralino are set to have nearly the same mass, leading to soft emissions of offshell W bosons in the decays of the charginos to the NLSP. The branching fractions of the lightest neutralino to a gravitino and a photon or a Z boson are fixed to 50% each ($\mathcal{BR}(\tilde{\chi}_1^0 \rightarrow \gamma) = \mathcal{BR}(\tilde{\chi}_1^0 \rightarrow Z) = 0.5$). A diagram for the process can be found in Figure 1.4. The squarks and gluinos are decoupled.

The strong model considered here is the T5bbbbZG SMS. A diagram can be found in Figure 1.5. In this model, gluino pairs are produced in the hard interaction, leading to decays to the NLSP under the emission of pairs of bottom quark pairs. The branching fractions for the $\tilde{\chi}_1^0$ to photons and Z bosons are again set to 50% each.

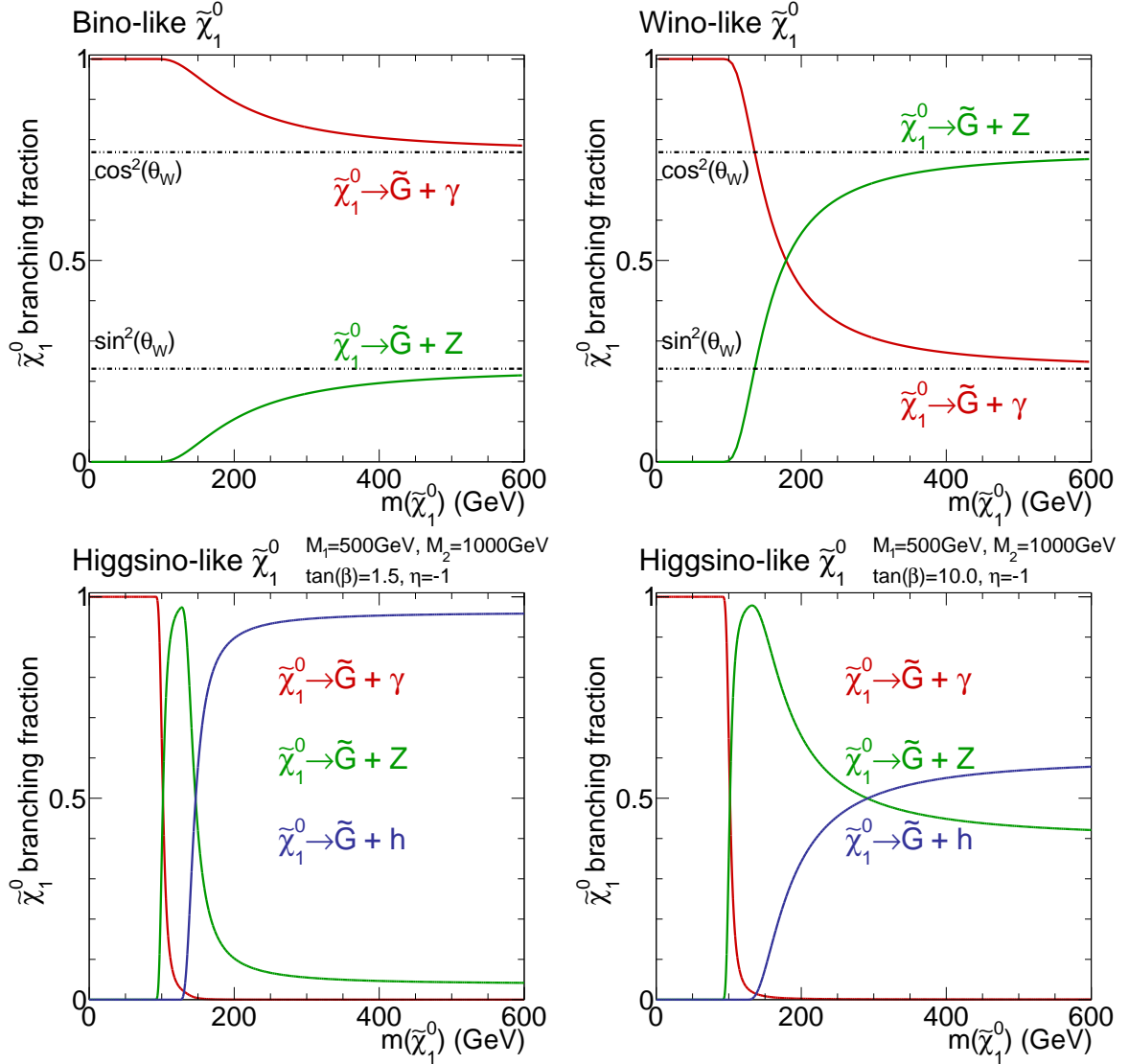


Figure 1.3.: Branching fractions for pure bino (top left), wino (top right), and two higgsino like (bottom) NLPSs with different parameters. The parameter η is defined as $\mu = \text{sgn}(\mu)$.

1.3.3. Status of SUSY searches at the Large Hadron Collider

Searches for SUSY have been performed since many years at the LEP experiment [35], the Tevatron collider [36], and in the LHC RunI data [37]. Although some promising excesses have been observed for example in the opposite-sign dilepton channel [38], no clear evidences for SUSY or other BSM theories have been found. Currently SUSY is also constrained by precision measurements of the Higgs boson properties as mentioned above, and by the observation of the $B_S^0 \rightarrow \mu^- \mu^+$ decay by the CMS and LHCb collaborations [39].

Direct searches for SUSY in terms of SMS interpretations excluded gluino pair production up to gluino masses of 2 TeV [40], squark pair production up to squark masses of 1500 GeV and sbottom

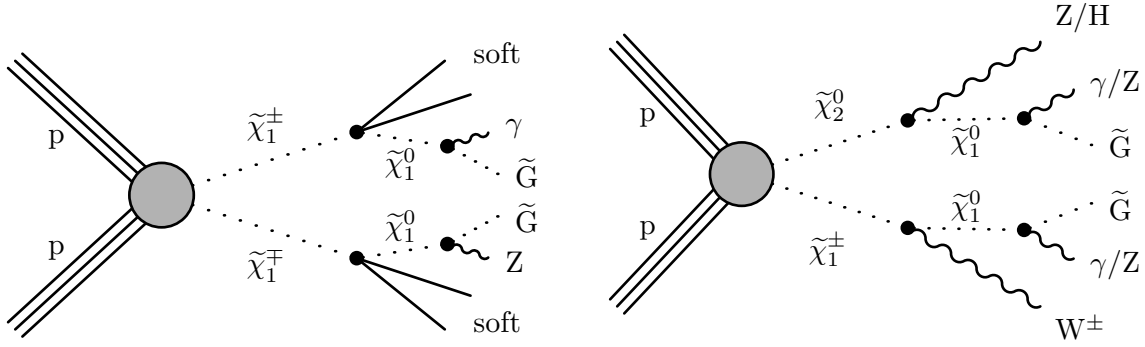


Figure 1.4.: Diagram of the TChiZG scenario with chargino pair production, where the charginos decay to neutralinos under soft emission of offshell W bosons, (left). Also, the chargino-neutralino production is possible. The most dominant production process with a wino-like $\tilde{\chi}_1^+$ and $\tilde{\chi}_2^0$ and a bino-like $\tilde{\chi}_1^0$ of the full GMSB model, (right).

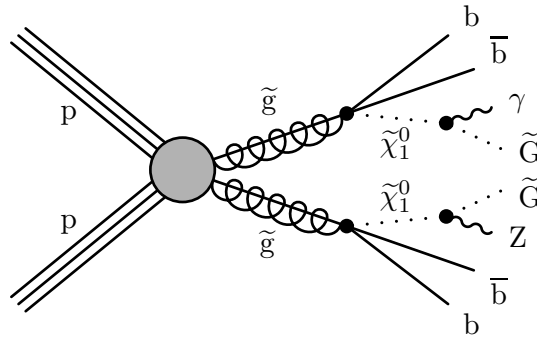
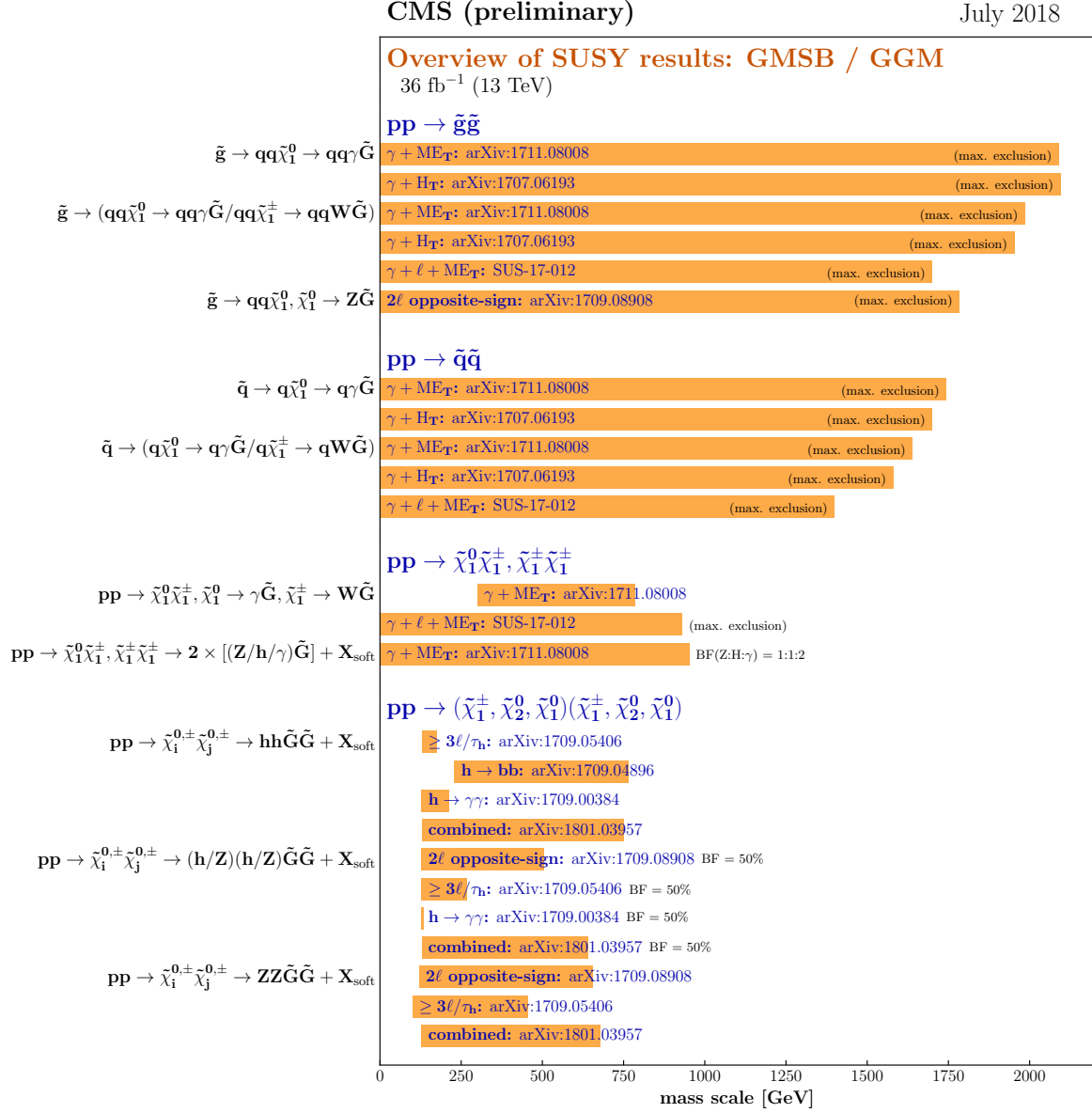


Figure 1.5.: The Feynman diagram for the T5bbbbZG scenario with pair production of gluinos in the hard process, leading to decays to neutralinos under the emission of b quarks.

(stop) masses of 1500 GeV [41] (1200 GeV [42]) respectively. The production of electroweakinos is excluded for chargino/neutralino masses up to ≈ 1.1 TeV [43]. Regarding GMSB scenarios, the currently most stringent exclusion limits obtained by the CMS collaboration [44] are shown in Figure 1.6. The presented results are based on the proton-proton collision data recorded with the CMS detector in 2016, corresponding to an integrated luminosity of 36 fb^{-1} with a center-of-mass energy of $\sqrt{s} = 13 \text{ TeV}$. Searches similar to the one presented in this thesis, exclude electroweakino production scenarios up to $\approx 900 \text{ GeV}$, if final states tagged with a high energetic photon and large missing transverse momentum are analyzed [46]. Searches targeting the single lepton plus photon final state [47] have set lower limits. Strong exclusions for gluino and squark pair production scenarios are set by searches targeting events with large hadronic activity and photons [48] and searches for photons together with high b-jet multiplicity [49]. They set limits up to $\approx 2.2 \text{ TeV}$ for gluino masses and 1.8 TeV for squark masses.

Despite the high exclusion limits set by CMS and ATLAS analyzes [50–52] in comparable ways, large regions of phasespace remain unexplored. But since supersymmetry is not one specific model, and the phenomenology of SUSY is very rich, including scenarios with R-parity violation, compressed mass spectra, long-lived particles and displaced vertices, all describable in different breaking scenarios, the search for SUSY stays interesting. Nevertheless, although the sparticle masses are not predicted by theory, natural SUSY scenarios without great finetuning should lead to sparticle masses in the order of $\mathcal{O}(\text{TeV})$, which are accessible at the LHC [53].



Selection of observed limits at 95% C.L. (theory uncertainties are not included). Probe **up to** the quoted mass limit for light LSPs unless stated otherwise. The quantities ΔM and x represent the absolute mass difference between the primary sparticle and the LSP, and the difference between the intermediate sparticle and the LSP relative to ΔM , respectively, unless indicated otherwise.

Figure 1.6.: Mass exclusion limits for simplified models in the context of GMSB [45].

Chapter 2

The Experiment

In this chapter, the relevant experimental setup is explained. Starting with the description of the Large Hadron Collider (LHC), which is responsible for the acceleration of the proton beams, after that the Compact Muon Solenoid (CMS) experiment and detector with all important subdetector components is explained.

2.1. The large hadron collider

The Large Hadron Collider [54, 55], located at the European Organization of Nuclear Research (CERN) near Geneva in Switzerland, is the worlds largest hadron collider. The design center-of-mass energy for the proton-proton collisions is $\sqrt{s} = 14$ TeV, while the LHC started operating in 2010 with an energy of 7 TeV. After running also in 2011 at 7 TeV, the energy was increased for the 2012 run period to 8 TeV. After the end of RunI, and after the first long shutdown, the LHC started running again in 2015 with an increased center-of-mass energy of 13 TeV. This setup was maintained trough the whole RunII until the end of 2018. In the following, the LHC will be upgraded again in the long shutdown II, so that with the beginning of 2021 it is planned to start operating with the design energy of 14 TeV. In addition, the LHC is capable of accelerating lead ions with an energy of 2.76 TeV per nucleon.

The LHC is a synchrotron collider built in a tunnel with a circumference of 27 km, which was already used for the Large Electron Positron collider (LEP) [56] in the past. The proton beams are accelerated using various preaccelerators, such as the Booster, Proton Synchrotron (PS), and the Super Proton Synchrotron (SPS), delivering an proton energy of 450 GeV before entering the main storage ring. Four main experiments are located at the LHC, each built around one of the four collisions points. These namely are: CMS (Compact Muon Solenoid) [44], ATLAS (A Toroidal LHC Apparatus) [57], ALICE (A Large Ion Collider Experiment) [58], and LHCb (LHC Beauty) [59]. CMS and ATLAS were designed to be independent experiments looking both for BSM physics, measure precisely properties of the SM, and improve the knowledge

on the Higgs sector. Besides those tasks, they also analyze lead ion collisions to gain a deeper understanding of the strong interaction. The tasks of ALICE include studies on the quark-gluon-plasma, where the confinement is abrogated, leading to asymptotically free quarks and gluons. LHCb investigates mainly mesons that include charm and bottom quarks, to perform precision measurements of the SM and indirectly look for \mathcal{CP} -violation and hints for new physics. The asymmetric detector design of LHCb favors such studies, since the forward region with particles flying close to the beam axis, is enriched with that kind of events. A schematic sketch of the LHC apparatus including the four big experiment locations and interaction points, and the preaccelerators, is shown in Figure 2.1.

As the protons are accelerated to an energy of 450 GeV, they are injected as bunches of

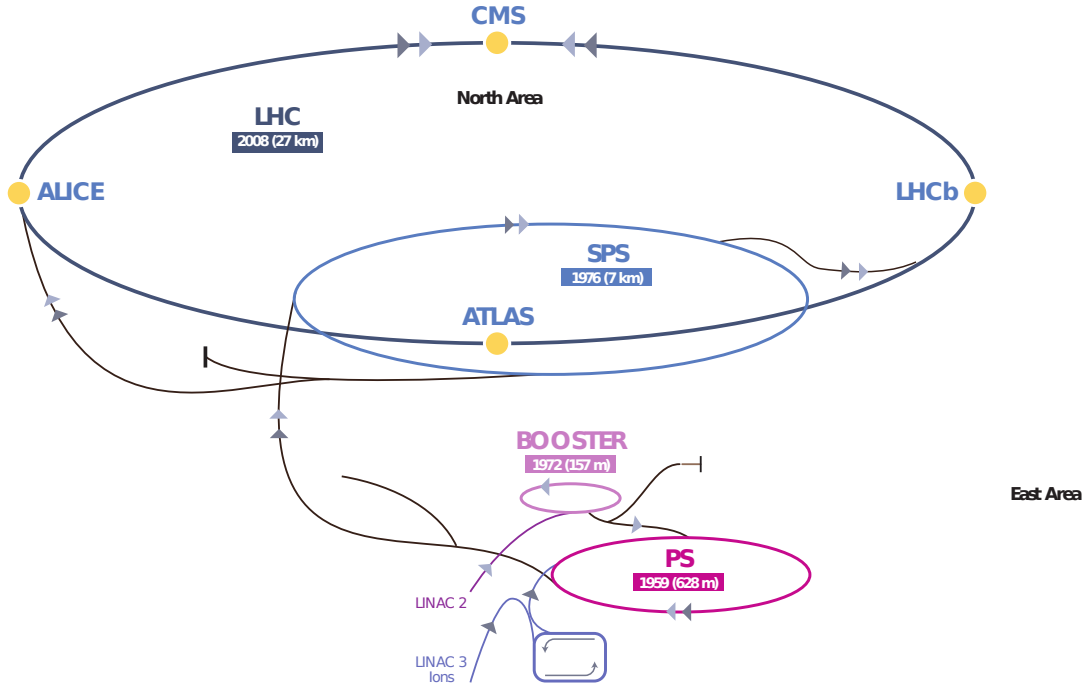


Figure 2.1.: A sketch of the total LHC accelerator complex [60]. The four main experiments are marked as yellow dots, and the preaccelerators are also shown.

approximately $N = 1.1 \cdot 10^{10}$ particles into the two beam pipes counter rotating in intervals of 25 ns. To achieve a center-of-mass energy of 13 TeV, each beam has to reach an energy of 6.5 TeV. Therefore, superconducting cavities operating at 400 MHz accelerate the protons, and dipole magnets force the beams on their orbital path. Higher order multipoles are needed to focus the beam and correct for different beam and magnetic effects.

One important quantity to characterize a collider is the instantaneous luminosity L , because the rate of a distinct scattering process is proportional to L . It can be defined as

$$L = \frac{N_b^2 n_b f_{rev} \gamma}{4\pi \epsilon \beta^*} F, \quad (2.1)$$

where N_b is the number of particles per bunch, n_b the number of bunches, f_{rev} the revolution frequency, γ the relativistic Lorentz factor, ϵ the normalized transverse emittance of the beam,

β^* the beta function at the interaction point, and F a geometrical factor accounting for the cross section angles of the beams. The integrated Luminosity \mathcal{L} is related to L via

$$\mathcal{L} = \int L dt. \quad (2.2)$$

And the number of events N for a given process with cross section σ is given by

$$N = \mathcal{L} \cdot \sigma. \quad (2.3)$$

In 2016 the LHC provided a total integrated luminosity of 40.82 pb^{-1} , while the CMS detector recorded 37.76 pb^{-1} , and 35.92 pb^{-1} were validated to be used for physics analysis [61].

2.2. The compact muon solenoid detector

The data used in this thesis was recorded by the CMS detector [44, 62] in 2016. The CMS detector is a multi-purpose detector housing different subdetector components. From inside to outside these are the tracker system including a pixel and the silicon strip detector, the electromagnetic calorimeter, the hadronic calorimeter, followed by the solenoid and the muon system. A cross section picture of the, CMS detector is shown in Figure 2.2. In the following, each subdetector and the trigger system will be briefly explained.

The coordinate system used to describe the detector is originated at the interaction point, and the z-axis points in the direction of the beam axis. The y-axis points upwards, while the x-axis points to the center of the LHC ring. To exploit the underlying symmetry of the detector, a transformation to an angular coordinate system is chosen. The azimuthal angle ϕ is measured in the x-y plane, while $\phi = 0$ equals the direction of the x-axis, and ϕ ranges from $-\pi$ to π . The polar angle θ is measured from the positive z-axis, and the pseudorapidity η can be introduced

$$\eta = -\ln \left(\tan \left(\frac{\theta}{2} \right) \right). \quad (2.4)$$

The advantage in using η instead of θ is, that differences in θ are invariant under Lorentz-boosts along the beam axis.

In proton proton collisions, the initial total momentum of the colliding partons is unknown, since they carry only a fraction of the proton energy. But the transverse momentum in the initial state is negligible, and therefore, the transverse momentum

$$p_T = |\vec{p}_T| = |\vec{p} \cdot \sin(\theta)| \quad (2.5)$$

is a widely used quantity. Most of the subdetectors are divided in a low η part (barrel), and two high η parts (endcaps). Each of the subdetectors is designed to measure special properties of different particle types, to ensure both a good particle distinction and identification, and precise measurements of energy, momenta, and trajectories.

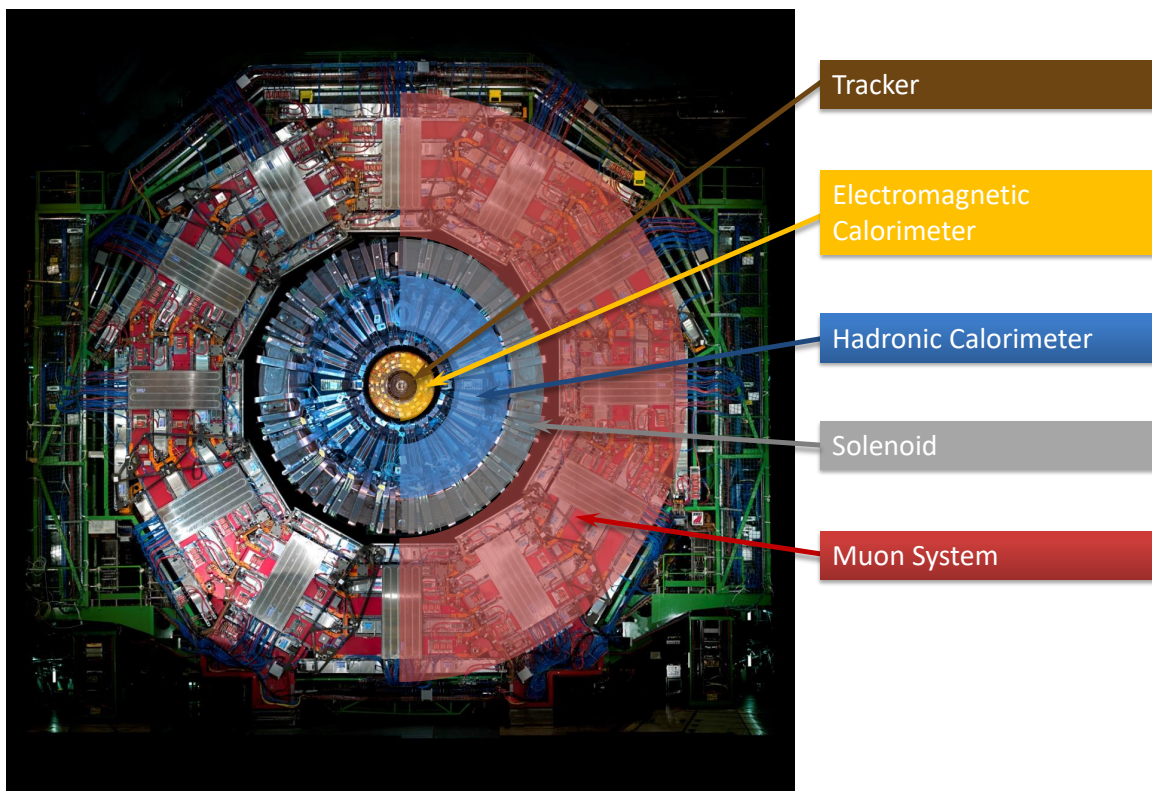


Figure 2.2.: A cross section picture of the open CMS detector [63]. The important parts are labeled. **TODO October 24, 2018: Fixen oder Bild ersetzen!**

2.2.1. Tracker system

The most inner part of the CMS detector is the inner tracker, and it consists of two main components, a silicon pixel and a silicon strip detector¹. Both components enclose parts parallel to the beam pipe in the barrel, and parts orthogonal to the beam axis in the endcaps. They cover a length of 5.8 m and a diameter of 2.5 m. A sketch of the total inner tracker is shown in Figure 2.3. The tracker is designed to perform a precise measurement of particle trajectories and an identification of primary and secondary vertices. Therefore, a high granularity and fast response is needed. The silicon pixel subcomponent is built of three barrel layers (the closest at a radial distance of 4.4 cm to the center of the beam pipe) and two endcap disks, covering a total area size of around 1 m². Each of the ≈ 66 million silicon pixel cells has a size of $100 \times 150 \mu\text{m}^2$. This enables good resolution in all orientations independent of the track direction.

The silicon strip detector consists of four strip layers in the inner (TIB), and six layers in the outer part (TOB). In the direction of the endcaps, it is built of three inner disk layers (TID), and nine layers in the outer part (TEC).

The inner tracker in total covers a range of $|\eta| < 2.5$ and has a size of around 200 m². The performance of the tracker yields a momentum resolution for muons with a transverse momentum of ≈ 100 GeV of 1 – 2% in the pseudorapidity range of $|\eta| < 1.6$. At higher pseudorapidities the momentum resolution decreases due to a lower granularity.

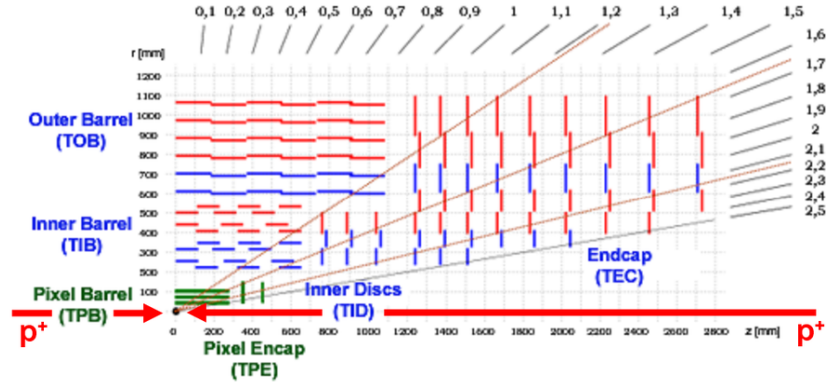


Figure 2.3.: A sketch of one quadrant of the CMS inner tracker [64].

2.2.2. Electromagnetic calorimeter

Around the tracker, the second subdetector of CMS is the electromagnetic calorimeter (ECAL). Its main purpose is to measure the energy of electrons and photons, which behave very similar in the detector. Together with the measurements of the tracker, a differentiation between electrons and photons can be performed. It is made homogeneously of lead-tungstate ($PbWO_4$) crystals, that emit light proportional to the deposited energy of trespassing particles in an electromagnetic shower. The emitted light, which is located in the visible spectrum, is measured by avalanche photomultipliers. The material of lead-tungstate was chosen due to its high density, short

¹The silicon pixel detector was replaced at the end of the 2016 run. Since in this thesis only 2016 data is used, only the former detector is described.

Molière radius of 2.2 cm characterizing the shower width, and short radiation length of 0.89 cm. Another big advantage of $PbWO_4$ is the short scintillation time. In a time window of 25 ns most of the visible light ($\approx 80\%$) is emitted, thus suiting very well the bunch spacing of 25 ns. The crystals have a length of 23 cm, matching 25.8 radiation lengths. So in total both a compact format and a high granularity could be maintained.

The ECAL is divided into a barrel (EB: $|\eta| < 1.479$) and an endcap part (EE: $1.479 < |\eta| < 3.0$), as can be seen in Figure 2.4. The total energy resolution of the ECAL is determined to be

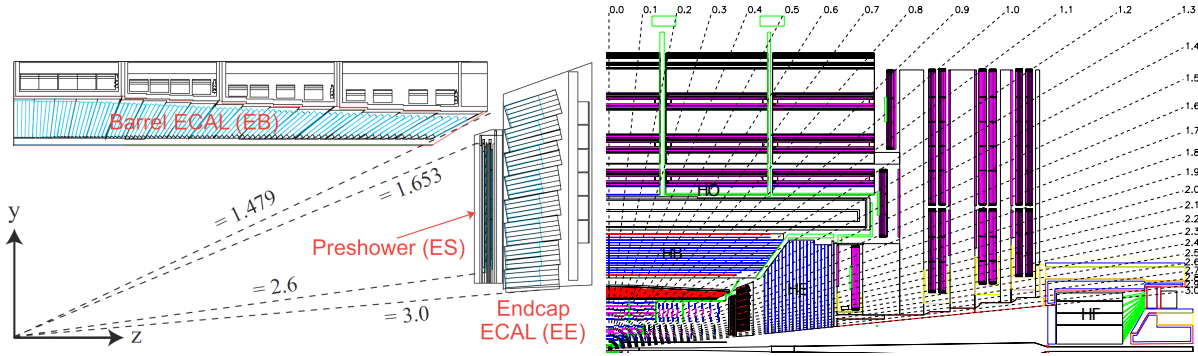


Figure 2.4.: A sketch of one quadrant of the CMS detector for the ECAL (left) [65], and HCAL (right) [44].

$$\left(\frac{\sigma_E}{E}\right)^2 = \left(\frac{2.8\%}{\sqrt{E[\text{GeV}]}}\right)^2 + \left(\frac{0.12}{E[\text{GeV}]}\right)^2 + (0.30\%)^2, \quad (2.6)$$

where the first term covers stochastic effects due to the Poissonian distributed number of created scintillation photons, and the second term combines noise effects both from the electronics and multiple collisions per bunch-crossing (pile-up). The third term covers constant effects, such as intercalibration effects between the crystals and energy leakage [66].

An additional preshower detector is installed in front of the ECAL endcap, to identify photons coming from meson decays.

2.2.3. Hadronic calorimeter

The hadronic calorimeter (HCAL), which is designed for the energy measurement of hadrons, consists also of a barrel (HB) and endcap parts (HE). It is placed between the ECAL at a radius of 1.77 m and the coil at a radius of 2.95 m. An additional outer barrel part with lower granularity (HO) extends the HCAL outside of the solenoid, making use of its stopping power, while the hadron forward (HF) is installed to cover high pseudorapidity ranges. The barrel part covers pseudorapidities in the range of $|\eta| < 1.4$, while the HCAL endcap together with the outer HCAL covers the range of $1.3 < |\eta| < 3$.

In contrast to the homogeneous ECAL, the HCAL barrel consists of alternating layers of brass and plastic scintillating material. The front and backplates are made of steel. Hence, the brass plates stop the incoming particles, and the energy deposit is measured in the form of hadronic showers creating scintillation light in the plastic layers. The outer HCAL makes use of the same

principle, but uses in addition the stopping power of the solenoid. The hadron forward, covering pseudorapidity ranges of $3 < |\eta| < 5.2$, needs to be more radiation hard in comparison to the rest of the HCAL, since the energy deposit in the forward region is much higher. It is therefore constructed as a Cherenkov detector made of quartz fibres. A total sketch of the HCAL can be seen in Figure 2.4.

2.2.4. The solenoid

To measure the momentum of the charged particles properly, it is crucial to have bended trajectories. The resolution of the momentum measurement at very high energies is directly proportional to the particles momentum. For a sophisticated measurement of the curvature of the trajectory, a strong magnetic field is needed. Hence, this is provided by a superconducting NbTi magnet, cooled down to 4.8 K, inducing a magnetic field of 3.8 T.

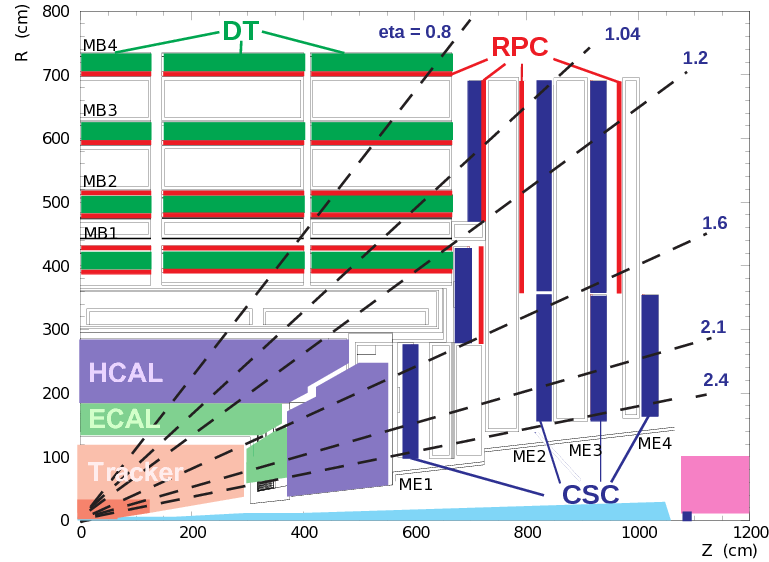


Figure 2.5.: A sketch of one quadrant of the CMS detector is shown with the main detector components [67].

2.2.5. Muon system

The most outside part of the CMS detector is the muon system. Because all other particles besides muons should be stopped in the inner layers, muons are the only particles trespassing and leaving the muon system. Three different types of detectors are used to measure and identify muons. The barrel part ($|\eta| < 1.2$) is covered by four stations of drift tubes (DT). Cathode strip chambers (CSC) are covering the endcap at pseudorapidity $0.9 < |\eta| < 2.4$, because in this region a higher muon rate is expected, and CSCs in contrast to DTs have a faster response time and are more radiation hard. In most of the parts the muon efficiency is above 95%, with the misidentification is less than 1%. The muon momentum resolution, dependent on the η region, varies between 1% to 6% for muons with a transverse momentum below 100 GeV, and is in the order of 10% for TeV muons [68].

Additional detector components, namely six layers of resistive plate chambers (RPC), are installed in the pseudorapidity range of $|\eta| < 1.6$. Because they show both good time resolution and fast response time, they are mainly used for triggering purposes. The structure of the muon system can be seen in Figure 2.5.

2.2.6. Trigger system

As collisions take place every 25 ns, and therefore at a rate of 40 MHz, it is not achievable to read out and store all event details. To keep only physically interesting events and reduce the rate of events to be saved, a trigger system consisting of two stages is implemented [69]. It is composed of the hardware based level 1 triggers (L1), and the software based high level triggers (HLT). Since the L1 trigger needs to deliver decisions in a very short time window, it uses only information provided by the calorimeters and the muon chambers. Usually first requirements on the event, such as a minimal deposited transverse energy, and the presence of first estimates for electron and muon candidates, are imposed. The L1 trigger reduces the event to a rate of ≈ 100 kHz.

A more complex decision taking is performed by the HLT trigger system. It has full access to the total readout of all subdetectors, and therefore performs selections close to the offline analysis. It reduces the event rate to $\mathcal{O}(1 \text{ kHz})$ [70].

Using the decisions of the HLT, events are categorized into different subsets, based on event kinematics and the trigger objects.

Chapter 3

Simulation, data processing, and event reconstruction

The data sets, based on the proton-proton (pp) collisions recorded with the CMS detector, are centrally provided in the MINIAOD format [71]. This format contains only parts of the original event and detector information relevant for most of the physics analyzes. An analysis looking for deviations from expectations from the SM is supposed not to be biased while development. Thus, SM and signal Monte-Carlo (MC) simulations are performed, and are centrally provided by the CMS collaboration. These are also provided in the MINIAOD format with extended generator information.

All available data sets, including measured data and simulation, are further processed with the help of the CMS software framework (CMSSW¹) [72], the CMS Remote Analysis Builder (CRAB3) [73], and the resources of the Worldwide LHC Computing Grid (WLCG) [74], to obtain files with significantly reduced size. The obtained reduced information is stored in the ROOT [75] file format, and can be used by analysts with self-developed software ².

In this chapter, at first the used data samples are introduced. Thereafter, an overview of MC simulation is given, while the simulated samples used in this analysis are reviewed. After that, the event reconstruction performed on all data sets is explained. A more detailed view is given on the reconstruction and identification of physics objects.

Protons are very complicated objects composed of many different quarks and gluons. The probability to find a distinct parton of a proton in a deep-inelastic-scattering is given by Parton distribution functions (PDFs) and the underlying parton density functions [76]. Hence, an event measured with the CMS detector is not clean, but shows different effects. The interaction between the colliding two partons is described in the hard interaction. The products and quantities of these interactions contain the most interesting physical information. But, due to the

¹Version 8.0.26

²Usually composed of parts developed in C/C++ and Python

structure of the proton, many different lower energetic particles can be produced in interactions between additional partons (multi-parton-interactions). These remnants of the scattering process, together with reconstructed remnants of the protons, are denoted as the underlying event. Interactions between protons in the same bunch crossing are referred to as pileup.

3.1. Data sets

This analysis uses different data sets based on the pp-collisions of the LHC with a center-of-mass energy of 13 TeV in 2016 and recorded with the CMS detector, corresponding to an integrated luminosity of 35.9 fb^{-1} . Each primary data set (PD) is a composition of events recorded with similar HLT trigger paths. The **DoubleMu**on and **DoubleEG** PDs are used in the central part of the analysis for the signal selection, since they contain events with two muons or electrons respectively. **MET** and **HT** PDs are used for trigger efficiency measurements, and the **MuonEG** PD is used to extract a selection relevant for the background prediction. For a list of used triggers see Section 3.7.

The different PDs are separated into several single samples for different run eras throughout 2016. The paths of the used samples, available via the CMS data set bookkeeping service (DBS) [77], with the 03Feb2017 version of reconstruction are listed in Table 3.1.

Table 3.1.: DBS paths for the datasets used in the analysis. Their path is similar, only the PD name varies. The dilepton data sets are used for the key analysis, whereas the H_T and p_T^{miss} datasets are used for the trigger efficiency measurement, see Section 3.7.

PDs	DoubleEG
	DoubleMu
	MuonEG
	HT
	MET
DBS path	/PD/Run2016B-03Feb2017_ver2-v2/MINIAOD
	/PD/Run2016(C-G)-03Feb2017-v1/MINIAOD
	/PD/Run2016H-03Feb2017_ver2-v1/MINIAOD
	/PD/Run2016H-03Feb2017_ver3-v1/MINIAOD

3.2. Simulation

The simulation process for SM background and SUSY signal samples can be divided in three major steps, which are very similar for both cases. At first, for a specific process events are simulated with an event generator. The event generators used for the generation of the samples considered in this analysis are MADGRAPH 5 in leading order (LO) configuration [78–80], MADGRAPH_MC@NLO in next-to-leading order (NLO) [78, 81], PYTHIA [82] for both cases of accuracy, or POWHEG [83, 84]. Matrix elements for the contributing diagrams are computed, and via convolving with PDFs, cross sections are calculated. These cross sections later can be used to rescale the MC simulation to a given integrated luminosity. Thus, the simulation can

be performed with very high statistics and can still be compared to measured data.

Depending on the choice of the chosen generator, a separate generator for the simulation of hadronic showers must be used. This is done with PYTHIA. Therewith partons generated in matrix element corresponding to the hard interaction, and partons generated with PYTHIA in the showering for e.g. initial state radiation (ISR), are not double counted, a matching with the MLM [79] (LO) or FFX [81] (NLO) matching schemes is performed. The hadronization of the partons (quarks and gluons) is simulated also with PYTHIA, based on the confinement of color charged particles. This, together with the underlying event and pileup, is all covered by the CUETP8M1 generator tune [85]. It is based on 7 TeV proton-proton CMS and proton-antiproton CDF measurements. The PDFs used for the generation of the MC samples are provided by the NNPDF 3.0 sets [86].

The big next step, which is the most time and resource consuming part, is the simulation of the detector response. A full model of the CMS detector was constructed with the GEANT4 [87] package. Hence, it allows a precise simulation of the detector response for the events generated with the procedure described above. In the GEANT4 package, material and particle properties, detector effects, and decay structures are considered in the simulation process. Since this procedure is very time consuming, but leads to a high accuracy, a different method is chosen for processes whose description is limited by different aspects. So for the generation of SUSY samples, where theoretical uncertainties dominate, the CMS FASTSIM packages is used [88]. It is based on a simplified geometry and parametrizations, yielding a reduction in runtime of the factor ≈ 100 . If the "FullSim" and "FastSim" performances are compared [89], a agreement within $\approx 10\%$ is observed.

All MC simulated processes used in this analysis are listed in Table 3.2 together with their corresponding cross sections used to rescale the samples later on. The DBS paths are given in the appendix in Table A.1.

The Drell-Yan (DY) Z/γ process, as well as the diboson $Z\gamma$ and $W\gamma$ processes, and triboson production of $WW\gamma$ and $WZ\gamma$ are generated with MADGRAPH_MC@NLO in NLO. Top pair production in association with a photon ($t\bar{t}\gamma$) and the production of a W boson in association with jets are also simulated using the MADGRAPH_MC@NLO generator in NLO. Top pair production with leptonic decays ($t\bar{t} \rightarrow 2\ell 2\nu 2b$), diboson production of WZ , ZZ , WW , and all singletop production processes are generated using POWHEG. The diboson WW and $W\gamma$ production, the production of $W + jets$, triboson $WW\gamma$ and $WZ\gamma$ production, and all singletop production channels are grouped together and denoted as "other" in the following. Next-to-leading order and next-to-next-to-leading order (NNLO) cross sections [90–98] are used for the normalization of the samples.

The generator used for the signal simulation production is MADGRAPH_MC@NLO for the simplified models, and PYTHIA 8 for the full GGM model. The corresponding DBS paths are also listed in the appendix in Table A.2. The cross sections used in the signal normalization are calculated at NLO accuracy for the full GGM scenario, and at NLO+next-to-leading logarithmic (NLL) accuracy for the two simplified models [99–107]. For the electroweak SMS, the applied cross section is calculated for $\tilde{\chi}_1^\pm \tilde{\chi}_1^0$ and $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ production with squarks and gluinos decoupled,

Table 3.2.: All SM model simulated samples used in the analysis with their cross sections. For their corresponding accuracy refer to the text. Additional k-factors to obtain NNLO cross sections for the ZZ samples are applied per event in dependence of the p_T of the diboson system. All samples are of the MINIAODSIM format.

process	σ [pb]	process	σ [pb]
ttbar		diboson	
$t\bar{t} \rightarrow \ell^+ \nu b + \ell^- \bar{\nu} \bar{b}$	87.31	$Z\gamma \rightarrow 2\ell\gamma$ ($p_T^\gamma < 130$ GeV)	124.936
ttbarGamma		$Z\gamma \rightarrow 2\ell\gamma$ ($p_T^\gamma > 130$ GeV)	0.1488
$t\bar{t}\gamma \rightarrow 2\ell 2\nu 2b\gamma$	1.679	WZ	4.9125
$t\bar{t}\gamma \rightarrow 4q 2b\gamma$	3.482	$ZZ \rightarrow 2\ell 2\nu$	$0.5644 \cdot k(p_T^{ZZ})$
$t\bar{t}\gamma \rightarrow \ell\nu 2b 2q\gamma$	2.509	$ZZ \rightarrow 2\ell 2\nu$	$0.5644 \cdot k(p_T^{ZZ})$
DrellYan		$WW \rightarrow 2\ell 2\nu$	12.178
$Z/\gamma^* \rightarrow 2\ell$	5765.4	$Wg \rightarrow \ell\nu\gamma$	489
single top		W jets	
$W^+ \rightarrow t\bar{b}$	3.36	$W + jets$	61526.7
$q\bar{b} \rightarrow q'\bar{t}$	80.95	triboson	
$qb \rightarrow q't$	136.02	$WW\gamma$	0.2147
$\bar{b} \rightarrow W^+\bar{t}$	11.7	$WZ\gamma$	0.04123
$b \rightarrow W^-t$	11.7		

and the sum of both is used. In the cross section calculation of gluino pair production for the other SMS, squark decoupling is assumed. The applied cross sections for the GGM scenario are obtained using a full model, thus being different from the ones used for the electroweak SMS. For the GGM model signal points are generated with wino masses ranging from 215 GeV to 1015 GeV, and bino masses from 205 GeV to $m(\tilde{W}) - 10$ GeV in intervals of 25 GeV. In case of the TChiZG SMS, points are generated with NLSP masses in the range of 300 – 1300 GeV in steps of 25 GeV. The grid of points generated for the T5bbbbZG strong production SMS includes gluino masses in the range of 800 GeV to 2500 GeV, while the NLSP mass range is scanned from 10 GeV to $m(\tilde{g}) - 10$ GeV in non equidistant steps.

As mentioned above, the true number of generated MC events N_{Gen} is very high. Thus, together with the measured integrated luminosity \mathcal{L} , and a given cross section σ , a global event weight of

$$w = \frac{\mathcal{L} \cdot \sigma}{N_{Gen}} \quad (3.1)$$

is obtained.

Additional event weights are applied on the simulation to account for differences in the pile up distribution. To improve on the MADGRAPH modeling of the multiplicity of additional jets arising from initial state radiation, SUSY SMS MC events are reweighted as a function of N_{Jet}^{ISR} or the transverse momentum of the ISR system, to improve the agreement with observations in data. The latter is based on studies of the transverse momentum of Z events. [108]. The differences to 1 for the reweighting factor is considered as a systematic uncertainty later on.

The POWHEG $t\bar{t}$ simulation is also reweighted as a function of the transverse momentum of the top system, based on studies in top pair production cross section measurements [109–112] to improve the agreement of the transverse momentum of the top quark with data.

Overlap Removal

3.3. sec:overlap

Because different samples are used in the case of top pair production and Drell-Yan for the nominal process and additional photon production in the hard interaction, there exists an overlap between those simulated samples. This is the case, since the photon production in the hard interaction is physically the same as photon radiation in the initial state. Hence, this overlap needs to be removed. Therefore, events that show a signature like the one simulated in the exclusive hard photon interaction samples, are removed on generator level from the nominal MC samples. So after applying the procedure, both samples can be added, and double counting of diagram contributions is rejected.

3.4. Event and particle reconstruction and identification

To maintain both, a precise and robust particle reconstruction, and flexibility in the physical object identification, particle reconstruction and identification is divided in two major parts. At first, particles and their properties, such as momentum, energy, and trajectory, are reconstructed with the Particle Flow (PF) algorithm [113] by combining information from all CMS subdetectors. After that, identification criteria determined by specialized CMS physics objects groups (POGs) are applied, dependent on the choice of the analyst regarding efficiency and misidentification rates.

In the following the PF algorithm is briefly introduced by explaining its main features, and more detailed definitions of the physical objects are given.

3.4.1. Particle Flow

Particles are reconstructed and categorized by PF in five classes, namely muons, electrons, photons, and both neutral and charged hadrons. The decisions are made based on two major reconstruction steps, finding and reconstructing tracks of charged particles, and separate clustering of ECAL and HCAL entries, to reconstruct the energy deposit of the particles' showers. The track finding algorithm based on Kalman filtering [114] iteratively generates seeds for the tracks, builds trajectories, and performs a final fit to determine the particle properties, such as direction and momentum based on information of the inner tracker. For particles such as muons and electrons, additional tracking algorithms are used to determine a more accurate description of the particle's properties. For muons, additional information of the muon system is used to directly classify three types of non exclusive muon tracks. Standalone muon tracks are reconstructed using only informations of the muon system. If inner tracker tracks can be matched to entries in the muon system, they are called tracker muon track. And in cases where muon system tracks are matched to inner tracks, these are called global muon tracks. For electrons additional fitting procedures combining tracker and ECAL informations are used to cope the

characteristics of the electromagnetic showers [115]. Therefore, superclusters are built within the ECAL by combining nearby clusters, and the seeds determined with the tracker based approach described above, together with this ECAL based reconstructed seeds, are used in a common track fit.

The clustering algorithm for the ECAL and HCAL also determines cluster seeds at first based on local energy maxima, and afterwards adds cluster entries fulfilling certain energy criteria to obtain topological clusters.

The following combination and linking of the determined information is motivated by the main characteristics of the particle interactions:

Photons are neutral particles, and therefore leave no track in the detector, but only interact electromagnetic. Thus, they are stopped in the ECAL by generating electromagnetic showers. They can also undergo electron-positron pair production, resulting also in electromagnetic showers in the ECAL.

Electrons are charged particles. Hence, their tracks are reconstructable in the tracker, and the energy deposit happens also via electromagnetic shower generation due to Bremsstrahlung in the ECAL. Accordingly, electrons and photons are very similar objects in the reconstruction procedure.

Muons are mainly identified by the informations of the muon system, since no other particles except for very high energetic jets punching through the solenoid and outer HCAL, are capable of reaching the muon chambers. By combining the inner tracks with the entries of the muon chambers, a clear and precise muon identification is maintained.

Charged and neutral hadrons are reconstructed by clusters in the HCAL, and can be differentiated by corresponding matching tracks in the tracker. Parts of non-prompt photons, e.g. coming from meson decays, are also reconstructed as neutral hadrons.

The order of particle reconstruction is based on the accuracy and precision of the available information. Thus, muons are identified first, followed by electrons and prompt photons. After that, neutral and charged hadrons, and non-prompt photons are identified.

All these informations obtained by the PF algorithm provide the basis for the final physical object identification applied by the analysts.

3.4.2. Primary vertex

The primary vertex is defined by the vertex with the largest sum of transverse momenta determined by vertex reconstruction algorithms [116], and needs to be reconstructed within a distance of 24 cm in z direction, and 2 cm in the x - y -plane.

3.4.3. Muons

Muons are required to have a transverse momentum larger than 15 GeV. And because the muon chambers extend only to a pseudorapidity range of $|\eta| < 2.4$, muons need to be reconstructed within this region. In addition, muons have to pass a some quality requirements obtained by the muon POG, yielding a $\approx 98\%$ efficiency [117].

The muon needs to be reconstructed as a PF muon, and either as a global muon or a tracker muon. If it is reconstructed as a global and a tracker muon, the segment compatibility, which is a measure for the comparability between the tracker tracks and an extrapolation to the muon

system, has to be larger than 0.303. In addition, more than 80% of the inner track layers need to be used within the track fit, the normalized goodness of fit ($\chi^2/ndof$) needs to be less than 3, the match between the standalone muon position and the tracker muon must have $\chi^2 < 12$, and the maximum χ^2 found by a kink finding algorithm, which tries to separate the track into two independent tracks, needs to be smaller than 20. If the muon is reconstructed exclusively as a tracker muon, only the segment compatibility needs to be greater than 0.451, and the other requirements on the track quality are removed.

Besides the identification requirements, conditions on the position of the track relative to the reconstructed primary vertex are imposed. In the transversal direction (d_{xy}), muon tracks need to be closer than 0.05 cm to the vertex, and in the longitudinal direction (d_z), the distance needs to be smaller than 0.1 cm. A cone dependent isolation is introduced, called "mini isolation", where the cone size in the $\phi - \eta$ plane is calculated relative to the transverse momentum of the particle as follows:

$$R = \max \left(0.05, \min \left(0.2, \frac{10 \text{ GeV}}{p_T} \right) \right). \quad (3.2)$$

Pile up corrections are also taken into account in the mini isolation calculation. The determined energy deposit in the cone around the muon must not exceed 10% of the transverse momentum of the particle.

3.4.4. Electrons

The list of requirements imposed on the electron selection is driven by a symmetric approach between the two lepton selections. The same conditions on the distance to the primary vertex, ($d_{xy} < 0.05$, $d_z < 0.1$), the maximum pseudorapidity ($|\eta| < 2.4$), and transverse momentum ($p_T > 15 \text{ GeV}$) need to be fulfilled. The mini isolation criterion is relaxed to 20%.

The identification requirements determined by the EGamma POG [118] separately for electrons reconstructed in the ECAL barrel (EB: $|\eta_{Supercluster}| < 1.479$) and endcap (EE: $|\eta_{Supercluster}| > 1.479$) region are listed in Table 3.3. Here, $\sigma_{i\eta i\eta}$ is a quantity characterizing the width of the

Table 3.3.: Identification criteria for electrons given separately for reconstructed electrons in the barrel and endcap.

Variable	Value	
	EB	EE
$\sigma_{i\eta i\eta}$	< 0.00988	< 0.0298
$\Delta\eta_{Seed}$	< 0.00311	< 0.00609
$\Delta\phi_{In}$	< 0.00311	< 0.00609
H/E	< 0.253	0.0878
relative combined PF isolation	< 0.0695	< 0.0821
$ \frac{1}{E} - \frac{1}{p} $	< 0.134	< 0.13
# missing inner hits	≤ 1	≤ 1
Photon conversion veto	true	true

electromagnetic shower shape in the ECAL in the η direction. It is calculated as a weighted

variance of energy deposits in the full 5×5 pixel ECAL supercluster. Since jets emerging from hadrons have a wider shower in the ECAL than electrons, a differentiation can be achieved. Consistency between the information of the reconstructed track at the vertex, and the supercluster is required, by setting conditions on $\Delta\eta$, $\Delta\phi$, and the supercluster energy E and track momentum p . To further separate electrons from hadrons, more energy should be deposited in the ECAL, rather than in the HCAL (H/E). An isolation requirement based on the PF determined isolation, assures that prompt electrons are separated from electrons coming from e.g. jets. Contributions from photons faking electron signatures are suppressed by imposing a requirement on the number of missing inner hits in the tracker, and an additional veto for photon conversions to electron-positron pairs determined in an χ^2 fit.

3.4.5. Photons

Photons need to have a transverse momentum larger than 20 GeV. Because photons are produced more centrally due to the high masses and short lifetimes in the considered SUSY signal scenarios, only photons reconstructed in the ECAL barrel are considered ($|\eta| < 1.4442$). Additional identification criteria determined by the EGamma POG [119] are listed in Table 3.4. Similar to the requirements on $\sigma_{i\eta i\eta}$ and H/E for the electron selection, these conditions in the

Table 3.4.: Identification criteria for photons reconstructed in the ECAL barrel.

Variable	Value
$\sigma_{i\eta i\eta}$	< 0.01031
H/E	< 0.0597
PF charged hadron isolation	< 1.295
PF neutral hadron isolation	$< 10.910 + 0.0148 \cdot p_T + 0.000017 \cdot (p_T)^2$
PF photon isolation	$< 3.630 + 0.0047 \cdot p_T$

photon identification ensure the suppression of selecting hadrons faking the photon signature. Additional different isolation criteria lower the amount of selected photons originating from neutral and charged hadrons, and photons coming from light meson decays.

Requirements on the distance in the $\eta - \phi$ plane between the photon and selected lepton candidates ($\Delta R(\gamma, \ell) > 0.3$) significantly reduce contributions from final state radiation (FSR) photons. By requiring that no pixel seed can be assigned to a trajectory between ECAL supercluster and interaction point, a clear differentiation between electrons and photons is achieved.

3.4.6. Jets

Jets, see Section 1.2, are very complicated objects due to the complexity of the hadronization and fragmentation processes. Therefore, a sophisticated clustering algorithm is needed to measure the energy of jets properly. Jets are clustered with the anti- k_T algorithm [120] included in the FASTJET package [121, 122] with a distance parameter of $R = 0.4$. The jet momentum is defined as the total sum of the momenta of the constituents of the jet. Charged hadrons not emerging from the primary vertex are not considered in the clustering. The jet energy is corrected for effects originating from pile up and the detector response, tuned with the help of different data control selections and simulation [123]. Reconstructed jets need to pass a loose ID proposed by

the Jet-Missing Transverse Energy POG [124], need to have a transverse momentum larger than 30 GeV, and the pseudorapidity must not exceed $|\eta| = 3$. In addition, jets overlapping with photon and lepton candidates in a cone with radius $R = 0.4$ in the $\eta - \phi$ -plane, are removed from the selection to forbid double counting of objects.

3.4.7. Missing transverse momentum

The missing transverse momentum p_T^{miss} is one of the most important observables in searches for BSM physics. In typical SUSY models, see Section 1.3, a significant amount of p_T^{miss} is expected in the events due to the LSP. In SM processes only neutrinos and mismeasurements of e.g. the jet energies lead to missing transverse momentum, because before a collision the transverse momentum of the colliding protons is nearly negligible. Hence, the missing transverse momentum quantifies the imbalance of all reconstructed particles in an event and is defined as

$$p_T^{\text{miss}} = |\vec{p}_T^{\text{miss}}| = \left| - \sum_{P\text{Fobjects}} p_{T,i} \right|. \quad (3.3)$$

Jet energy corrections are propagated to the missing transverse momentum vector to reduce a strong bias in events with large hadronic activity.

3.5. Definition of observables

Throughout this thesis different observables are used to define different phasespace regions, in particular the signal region and control and validation regions important for the background prediction. All these high level variables are defined based on low level quantities such as the p_T of different objects, or are calculated by complex algorithms combining them.

Total hadronic activity H_T

The hadronic activity H_T is defined as the scalar sum of all jets transverse momenta

$$H_T = \sum_{\text{Jets}} |\vec{p}_{Ti}|. \quad (3.4)$$

The transverse mass m_T

The transverse mass of two objects with transverse momentum \vec{p}_T^A and \vec{p}_T^B yields the transverse mass of the mother particle if both particle emerge from the same decay. In cases of invisible decay products, the transverse mass is an estimate of the mother mass in the transverse plane. Therefore it is a good estimate for the total mother particle mass, because the missing momentum can only be determined in the transverse plane. It is defined as

$$m_T(\vec{p}_T^A, \vec{p}_T^B) = \sqrt{2|\vec{p}_T^A||\vec{p}_T^B|(1 - \cos(\Delta\phi))}, \quad (3.5)$$

where $\Delta\phi$ is the angle between \vec{p}_T^A and \vec{p}_T^B in the transverse plane.

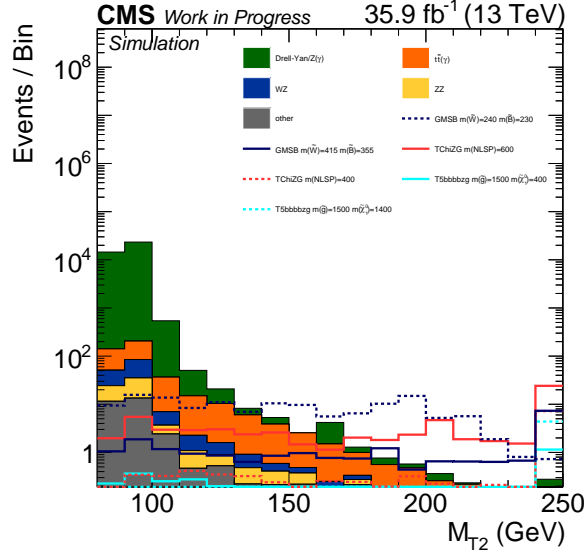


Figure 3.1.: Stacked background and different signal points against the transverse mass M_{T2} . Both are obtained from simulation. For each signal model two different signal points are shown. For their masses refer to the legend in the plot.

The transverse mass M_{T2}

In case of two identical particles, decaying both to one invisible and one visible particle, the transverse mass M_{T2} [125, 126] is a useful generalization of m_T . In this analysis it is calculated to estimate the mass of the NLSP, which decays to an invisible object, the gravitino, and one visible particle, a Z boson or a photon. It is defined as

$$M_{T2} = \min_{\vec{p}_T^{\nu_1} + \vec{p}_T^{\nu_2} = \vec{p}_T^{\text{miss}}} \left(\max \left[m_T(\vec{p}_T^Z, \vec{p}_T^{\nu_1}), m_T(\vec{p}_T^{\gamma}, \vec{p}_T^{\nu_2}) \right] \right), \quad (3.6)$$

where the final value is determined via minimization as indicated by \min in the equation. Descriptively, the algorithm tries to estimate the transverse mass of the mother particle under the assumption, that the \vec{p}_T^{miss} is composited of exactly two identical particles each from one decay branch.

For decays of the NLSP in all signal models, the M_{T2} distribution has a cut-off at the mass of the lightest neutralino, while for all SM backgrounds M_{T2} yields much lower values in comparison to the high SUSY masses. See Figure 3.1 for a visualization.

3.6. Lepton pair selection and quality requirements

In the analysis, different datasets triggered with different dilepton triggers are combined, see Section 3.1. Therefore it is possible, that an event is contained in more than one data set. Hence, it is assured with the following procedure, that no event is double counted. At first, all leptons per event are sorted by their transverse momenta. Thus, the leading and subleading lepton³, are identified. Their flavor combination then determines the classification of the event

³It is called trailing from now on.

as "dielectron", "dimuon", or "electron-muon". For instance, an dielectron event is classified as such, if there are at least two electrons in the event, the event originates from the `DoubleEG` sample, both the leading and the trailing lepton are electrons, and it is triggered by at least one of the dielectron triggers. This set of conditions ensures the exclusivity of the three selections. In addition, there are some requirements imposed on the dilepton system. The trailing and leading lepton need to be separated at least by a distance of $\Delta R = 0.1$ in the transverse plane, and the invariant dilepton mass $m_{\ell\ell}$ should be larger than 50 GeV. The first requirement provides a cleaning between the lepton collections and ensures, that no lepton is counted twice, while the latter threshold is introduced, because the simulation of the Drell-Yan simulation does not include events with lower dilepton masses ⁴.

Hereafter, the dielectron and dimuon selections are combined to a dilepton selection to increase the statistics in the validation, and especially in the signal region.

Additional leptons need to pass the same selection of the trailing lepton.

Also, several event quality filters are applied to reject contaminated events. The list of applied filters is recommended by the POGs [127]. It reads:

- HBHE noise filter
- HBHE noise iso filter
- ECAL TP filter
- eeBadSCFilter
- bad PF muon filter
- bad charged hadron filter
- bad muon filter
- beam halo filter

The rejected events consist mainly of events with faulty detector signals, cosmic muons, or muons produced in scattering processes with the beam halo.

3.7. Used triggers and trigger efficiency measurement

TODO October 24, 2018: Erwähnen, dass leading leg auch gemessen wird. Vll plot zeigen. Siehe marcs frage. As already pointed out in Section 3.1, the events are recorded with various dilepton triggers. For each dilepton combination ($ee, \mu\mu, e\mu$) multiple triggers are used because of the changing instantaneous luminosity over the 2016 run period, and different triggers were active at different times. The main trigger paths are isolated dilepton triggers, while non-isolated trigger paths are added to increase the efficiency for boosted topologies of the dilepton system. A list of all used HLT trigger paths is given in the appendix in Table A.3. While the same flavor dilepton triggers ($ee, \mu\mu$) are used as signal triggers, the different flavor triggers ($e\mu$) are used to select events needed for the background prediction of the top pair production ($t\bar{t}(\gamma)$) background. This will be explained in more in detail in Section 4.2.1. The dilepton triggers altogether have

⁴Events with lower invariant dilepton masses are not of interest for this analysis, since in the following only leptons originating from a Z boson decay are selected, resulting in an invariant dilepton mass around the Z boson mass of ≈ 91 GeV.

transverse momentum thresholds for the dileptons of around 17 – 33 GeV for the leading lepton, and 8 – 33 GeV for the trailing one. Additional triggers with hadronic activity (H_T) or p_T^{miss} thresholds are relevant for the trigger efficiency measurement, which will be presented in the following.

3.7.1. Trigger efficiency measurement

A trigger efficiency curve is characterized by an inefficient part, a more or less sharp transition ("Turn On") to the efficient part ideally located at the nominal threshold of the trigger path, and a flat efficient part called plateau.

Hence, measuring the trigger efficiency is essential to obtain appropriate transverse momentum requirements for the dilepton system. Furthermore, in the signal MC simulation using the FASTSIM package no trigger simulation is performed, like it is done for the SM background samples. Therefore, the efficiency needs to be measured also on simulation, such that the signal simulation can be scaled accordingly. In addition, the SM simulation samples will be weighted with a further factor, correcting for differences in the efficiency between data and simulation.

As indicated, not a single signal trigger or a single trigger for the control region selection is used, but a logical OR combination of all dilepton triggers with the same flavor requirements is used. Thus, for an event only one of the used triggers has to be fired to be selected for the key analysis. Because the total number of produced events including triggered and non triggered events in CMS is not available apparently for data⁵, the combined trigger efficiency ε is measured using a baseline trigger. Thus, it is defined as the number of events passing baseline and signal trigger, divided by the number of events passing only the baseline trigger:

$$\varepsilon = \frac{\#(\text{baseline} \wedge \text{signal})}{\text{baseline}}. \quad (3.7)$$

As a consequence, the contribution of the baseline trigger cancels, and the pure signal trigger efficiency is maintained. As baseline triggers a combination of various triggers with H_T thresholds is used. These thresholds range from 200 – 800 GeV. Therefore, the measurement is not performed on the dilepton data streams, but on the H_T datasets. The event selection consists basically of the lepton pair selection introduced in Section 3.6 with an additional $H_T > 200$ GeV requirement to ensure, that the baseline triggers are fully efficient. An additional matching between the selected leptons and the trigger objects responsible for the firing of the trigger is performed. Resulting efficiency curves for all three dilepton combinations measured both on data and simulation are shown in Figure 3.2 against the p_T of the trailing lepton. The statistical uncertainties of the individual bins are calculated using 95% confidence level (CL) Clopper-Pearson intervals [128]. The measurements suffer mainly from statistics on data, while the statistics of the simulation is very high per definition. The electron-muon channel is affected the most by this effect. However, the efficiency curves show the structure of multiple Turn Ons, as it is expected from a combination of triggers with very different ranges of thresholds. As indicated by the dotted lines in the plots, the lepton p_T cut was determined to be 20 GeV for the trailing lepton, and 25 GeV for the leading one. Although the dielectron and electron-muon

⁵For simulation these informations are available. This is used for further checks to validate the procedure of efficiency measurement.

triggers are not yet that fully efficient at this threshold as it is the case for the dimuon trigger, this requirement is imposed also on the other to selections in order to obtain a symmetric lepton selection. The mean plateau efficiencies are shown as a gray band with its statistical uncertainty in the plots. The mean efficiency is also measured on simulation, and all values are given in Table 3.5.

Table 3.5.: Trigger efficiencies determined both on data and simulation for both baseline trigger configurations for the ee , $e\mu$ and $\mu\mu$ channels.

baseline trigger	Data		Simulation	
	H_T	p_T^{miss}	H_T	p_T^{miss}
ee	$96.0^{+0.2\%}_{-0.2\%}$	$93.7^{+0.3\%}_{-0.3\%}$	$96.60^{+0.01\%}_{-0.01\%}$	$96.79^{+0.02\%}_{-0.02\%}$
$e\mu$	$86.6^{+0.5\%}_{-0.5\%}$	$86.7^{+0.3\%}_{-0.3\%}$	$91.85^{+0.01\%}_{-0.01\%}$	$91.34^{+0.05\%}_{-0.05\%}$
$\mu\mu$	$92.5^{+0.3\%}_{-0.3\%}$	$93.9^{+0.3\%}_{-0.3\%}$	$91.85^{+0.01\%}_{-0.01\%}$	$97.62^{+0.02\%}_{-0.02\%}$

In addition the agreement between rescaled simulation by the factor $\varepsilon' = \varepsilon_{\text{Data}}/\varepsilon_{\text{MC}}$ and data is shown in the ratio plots beneath the efficiency curves. It can be concluded, that the efficiency measurement works fine for both data and simulation, and the efficiencies agree within the systematic uncertainties, which is determined to be 3% to account for the differences between simulation and data. This is indicated with the red uncertainty band in the plots.

As an additional independent check, the whole trigger efficiency measurement was performed on p_T^{miss} datasets with p_T^{miss} baseline triggers with thresholds of 110 – 600 GeV. This selected phasespace region should be more or less orthogonal the H_T selection. And in addition it is much closer to the final signal region, since the signal region selection will contain a p_T^{miss} threshold, see Section 4.1.2. As can be read from Table 3.5, the values obtained from this method are also in agreement with the efficiencies measured using the H_T selection.

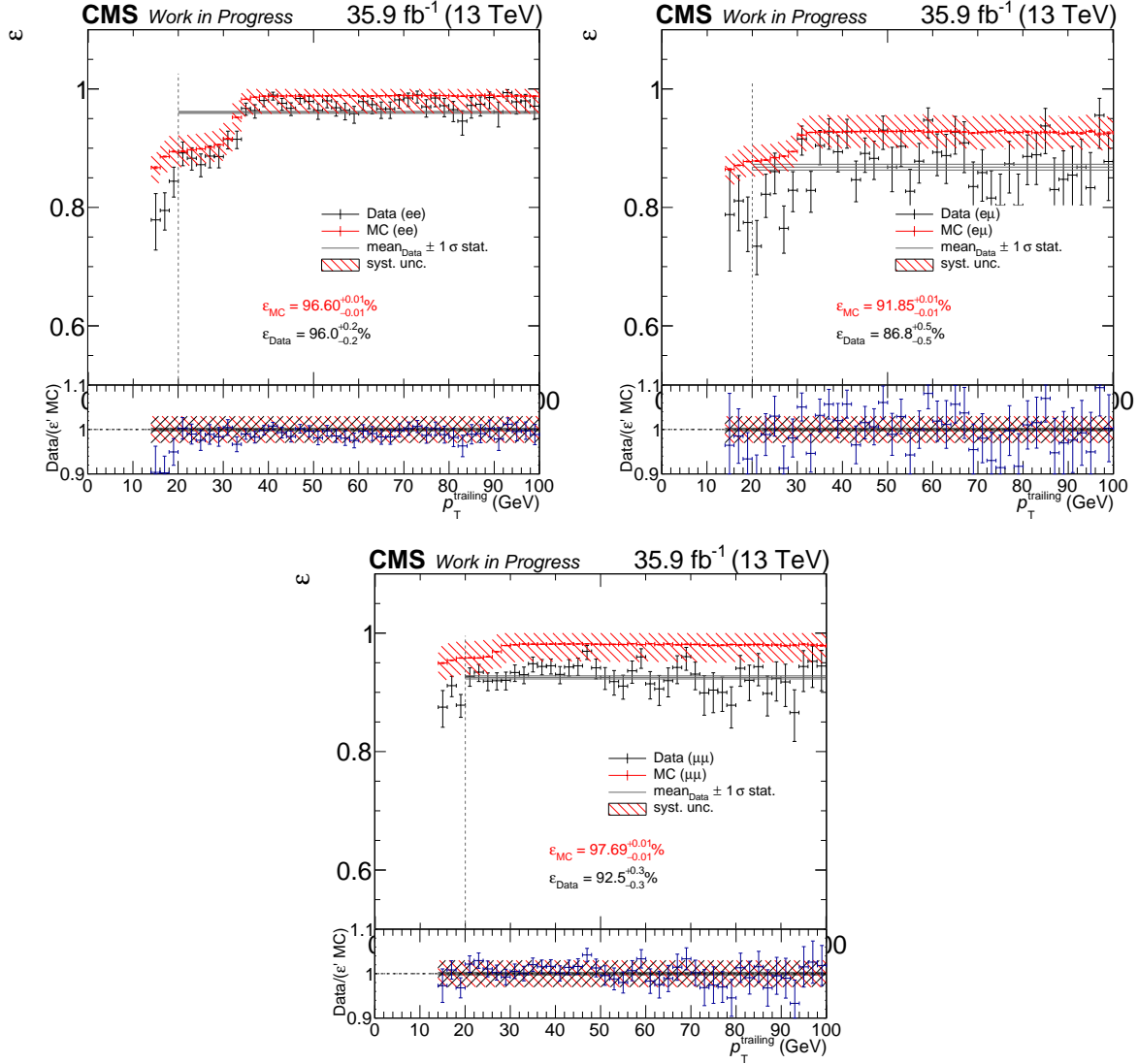


Figure 3.2.: Measurement of the combined efficiency for all dilepton trigger combinations on data(black) and simulation(red) for the ee (top left), $e\mu$ (top right), and $\mu\mu$ (bottom) channels. The measurement is performed using various H_T baseline triggers, while the selection consists of the lepton pair preselection, and a $H_T > 200$ GeV requirement. The mean of the data efficiency with its statistical uncertainty (gray band), and the 3% systematic uncertainty on the measurement (red band) are also shown.

Chapter 4

Analysis strategy and background estimation

Processes producing SUSY particles have significantly smaller cross sections than most of the SM processes. Therefore, a sophisticated understanding of the relevant production and decay scenarios is needed, in order to separate the potential interesting signal events from the huge amount of SM background. Since this search is targeting SUSY scenarios with bino and wino like NLSPs, final states with photons and Z bosons are expected. Because the dileptonic decay of the Z boson is investigated, there are not so many SM background processes leading to this final state. Especially the requirement of a photon and missing transverse momentum p_T^{miss} reduces most of the background, so that e.g. the QCD background is negligible. Leftover are processes producing two same-flavor opposite charge (SFOC) leptons, one photon and missing transverse momentum. The most important ones are $t\bar{t}(\gamma)$ production, Drell-Yan/ $Z(\gamma)$, ZZ , and WZ diboson production. More detail can be found in the corresponding sections in Section 4.2. The key strategy of this analysis is, to impose as few requirements as necessary, to obtain an inclusive event selection, so that many model scenarios can be investigated. Hence, only the existence of all the expected final state particles is required, where the Z boson is reconstructed from the two leptons. Therefore, the event selection can be rather loose, including loose requirements on the lepton and photon energies. Not many additional requirements are needed in the following, to obtain a sensitive signal region selection.

4.1. Event Selection

In this first section, the event selection will be explained, including the definitions important for the background prediction, which is based on MC simulation, where the simulation will be handled in dedicated control regions enriched for each group of backgrounds, a validation region to examine the background prediction, and finally a signal region, where two bin counting experiment will be performed. But first, the preselection will be introduced.

4.1.1. Preselection

The preselection acts as a first rough definition of the phase space that is of interest, and rejects inefficient parts of the used triggers. The preselection imposed on the dilepton triggered events is defined as follows:

- exactly one SFOC lepton pair (ee or $\mu\mu$),
- at least one photon,
- $\Delta R(\ell_1, \gamma) > 0.3$ and $\Delta R(\ell_2, \gamma) > 0.3$,
- $81 < m_{\ell\ell} < 101$ GeV.

The first two conditions imply the existence of the final state particles, including the definition of the physics objects and lepton pair selection explained in Section 3.4. The third requirement reduces contributions of final state radiation photons, that are radiated off by the leptons in the showering process. The last invariant dilepton mass requirement ensures that both leptons originate from a onshell Z boson, and reduces different contributions of SM backgrounds that produce dileptons with an invariant mass not in agreement with a Z boson.

4.1.2. Signal region

The signal region (SR) selection is optimized to maintain high sensitivity for various SUSY scenarios both with electroweak and strong production. For the models considered here, see Section 1.3.2, the NLSP ($\tilde{\chi}_1^0$) can decay to a Z boson or a photon in im combination with gravitinos \tilde{G} , that are undetectable, leading to missing transverse momentum. Therefore, the requirement of a missing transverse momentum p_T^{miss} largen than most of the SM background processes are capable to produce, enables a good separation between SM background and SUSY signal. The p_T^{miss} threshold is not supposed to be to high, to maintain sensitivity to low neutralino masses as well. Additional high separation power has the stransverse mass M_{T2} . Because it yields a good estimate of the NLSP mass, and there is no known SM particle which can decay to photons or a Z boson together with neutrinos creating an momentum imbalance in the detector, M_{T2} on average is much larger for SUSY processes than for SM ones. Both the M_{T2} and p_T^{miss} distribution of events fulfilling the preselection for the total background and signal points of each model are shown in Figure 4.1. Hence, the SR is defined trough the following criteria:

- $p_T^{\text{miss}} > 150$ GeV,
- $M_{T2} > 100$ GeV.

The final SR is binned in two search bin in the p_T^{miss} distribution, one ranging from 150 to 200 GeV, and the second from 200 to infinity, see Section ??

TODO October 24, 2018: More information to each background, explain here everything.

4.1.3. Control regions

Different control regions (CRs) are defined for the four main background contributions in order to study and develop a background prediction for the associated background. These CRs are built such, that they are fully orthogonal to the signal region and among each other. The main

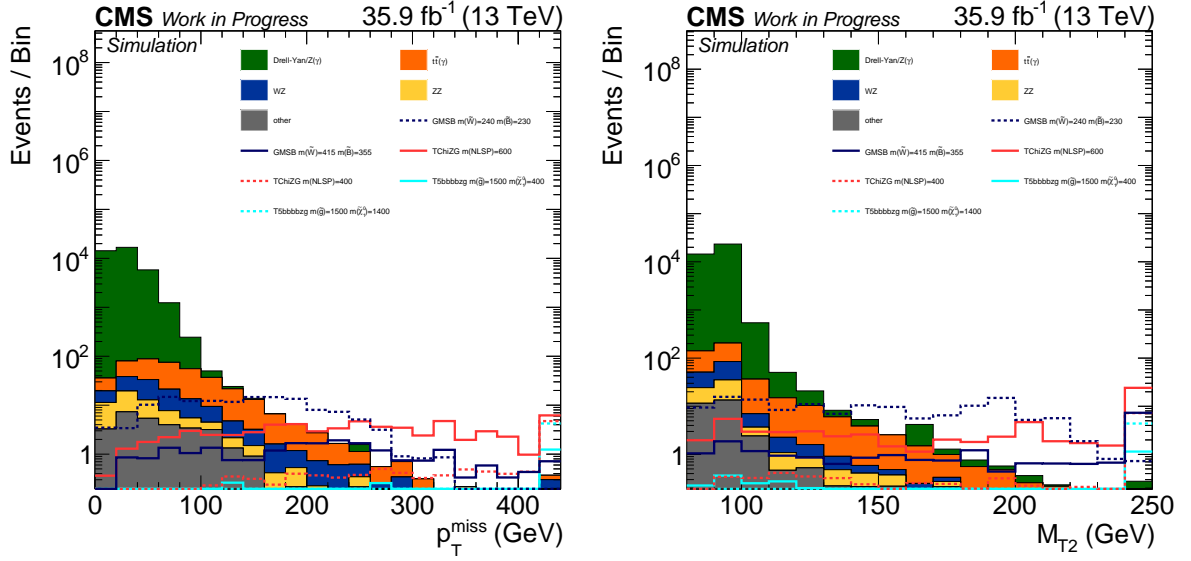


Figure 4.1.: ToDo

groups of backgrounds are $t\bar{t}(\gamma)$, $DY/Z(\gamma)$, WZ , and ZZ production.

$t\bar{t}(\gamma)$ - control region

To obtain a CR for the $t\bar{t}(\gamma)$ background with high statistics, the flavor symmetry of the process is exploited. The two top quarks can decay independently with the same probability to an electron or a muon, resulting in an equal number of same flavor and different flavor final states in case of $t\bar{t}(\gamma)$ production. Here, the different flavor triggers are needed to guarantee a basis data set with a sufficient amount of events. In addition, the background can be studied in the same high p_T^{miss} and M_{T2} regions where the SR is defined due to the underlying symmetry. The preselection criteria need to be adjusted, resulting in a CR definition of

- exactly one different flavor - opposite charge (DFOC) lepton pair ($e\mu$),
- at least one photon,
- $\Delta R(\ell_1, \gamma) > 0.3$ and $\Delta R(\ell_2, \gamma) > 0.3$,

Of course, the invariant dilepton mass window is removed, since the reconstructed dilepton mass is not in coincidence with the Z boson mass, but has continuous distribution different from the top quark mass, because the neutrinos originating from the leptonic top decays are not reconstructed individually and are therefore not considered in the calculation. The requirement being responsible for the orthogonality to the SR is the different dilepton composition requirement.

Drell-Yan/ $Z(\gamma)$ - control region

The Drell-Yan/ $Z(\gamma)$ background has nearly the same phenomenological topology as the SUSY signal, except for lower missing transverse momentum, since it is mainly due to mismeasurements of jets. Therefore, the p_T^{miss} distribution of the Drell-Yan/ $Z(\gamma)$ background is defined by the p_T^{miss} resolution of the detector and reconstruction. The final control region definition on top of

the preselection reads only:

- $p_T^{\text{miss}} \geq 100 \text{ GeV}$.

So this region is orthogonal to the SR per definition of the inverted p_T^{miss} requirement.

WZ - control region

In order to obtain a high purity WZ control region, the SFOC dilepton criteria is adjusted such, that the fully leptonic decay of the diboson system is studied. So, as in the preselection, a SFOC lepton pair is required, but the additional lepton veto is removed. Hence, the existence of third lepton, which can be either an electron or a muon, is demanded. This ensures exclusivity between the SR and this CR. Since the W boson decays to a lepton and the corresponding neutrino for the selected events, with an additional p_T^{miss} and m_T requirement, which is calculated using the third lepton, assumed to come from the W boson, and \vec{p}_T^{miss} , and is therefore an estimate for the W boson mass, a further selection designated for WZ diboson production is achieved. In the determination of the lepton pair belonging to the decayed Z boson, all combinations fulfilling flavor and charge requirements are tested, and in the case of multiple valid combinations, the combination with the invariant dilepton mass closest to the Z boson mass is chosen. To ensure a selection with a suitable amount of data, because the cross section for diboson production is rather low, the existence of at least photon from the preselection is removed. The final region selection reads:

- exactly one SFOC lepton pair (ee or $\mu\mu$),
- exactly one additional third lepton (e or μ),
- $\Delta R(\ell_1, \gamma) > 0.3$ and $\Delta R(\ell_2, \gamma) > 0.3$,
- $81 < m_{\ell\ell} < 101 \text{ GeV}$,
- $p_T^{\text{miss}} > 70 \text{ GeV}$,
- $m_T(\vec{p}_T^{\text{miss}}, p_{\ell_3}) > 50 \text{ GeV}$.

ZZ - control region

The strategy to achieve a pure ZZ diboson selection that is not overlapping with the SR selection is very similar to the WZ CR selection described above. If events are selected, where both Z bosons decay leptonically to charged leptons (ee or $\mu\mu$), per definition an exclusive control region is obtained. As in the WZ CR selection, flavor and charge requirements are considered to construct Z boson candidates from the four selected leptons. In cases, where multiple possibilities to reconstruct two Z bosons exist, the possibility with the first Z boson candidate mass closest to the nominal Z boson mass is chosen. The second Z boson candidate has to fulfill a looser mass agreement. Also, the existence of a photon in the event is not required. In total the selection criteria read:

- exactly two SFOC lepton pairs (ee or $\mu\mu$),
- $\Delta R(\ell_1, \gamma) > 0.3$ and $\Delta R(\ell_2, \gamma) > 0.3$ for the first pair,
- $76 < m_{\ell\ell} < 106 \text{ GeV}$ for the mass of the first Z boson candidate,

- $50 < m_{\ell\ell} < 130$ GeV for the mass of the second Z boson candidate.

4.1.3.1. Validation region

An additional validation region (VR) is determined, where the developed background predictions are verified by performing data - prediction comparisons. Therefore, it is convenient to choose the VR in phase space close to the SR. This is achieved by applying the same selection requirements as for the SR, but either the p_T^{miss} requirement, or the M_{T2} requirement needs to fail. Hence, the VR is a sideband to the SR. The VR may also not overlap with the DY/Z(γ) CR, thus a minimal p_T^{miss} requirement needs to be imposed. The selection requirements for the VR in addition to the preselection are the following:

- $p_T^{\text{miss}} > 100$ GeV,
- either $p_T^{\text{miss}} < 150$ GeV or $M_{T2} > 100$ GeV.

A visualization of the signal, validation, and DY/Z(γ) control region definitions in the M_{T2} - p_T^{miss} plane can be found in Figure 4.2. Two dimensional histograms for the number of expected events motivating the region definitions are shown in Figure 4.3 for the all background processes, and each one benchmark point for all three considered signal models. These distributions show also

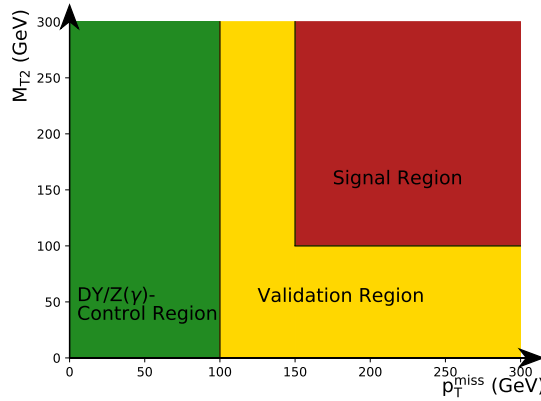


Figure 4.2.: Visualization of the definition of the signal, validation and DY/Z(γ) control region in the M_{T2} - p_T^{miss} plane.

specific features of the considered signal models regarding the important kinematic observables. Because the TChiZG model is a one parameter scan, and the only free parameter is the NLSP mass, which directly determines the maximum amount of transverse momentum for the decay products, and thus directly the boson p_T and the p_T^{miss} due to the gravitinos. Thus, p_T^{miss} and M_{T2} calculated from the bosons and p_T^{miss} are strongly correlated per definition. Also, the endpoints of the p_T^{miss} and M_{T2} distributions are of the same order, and coincide with the NLSP mass. In case of the strong SMS, this is not directly the case. Since this is a two dimensional parameter scan, the event kinematics depends on the mass difference between the gluino and the NLSP mass, as discussed in Section ???. In cases where the mass difference is small, the kinematics evolve similar as for the EWK SMS, while for larger mass differences, as shown in Figure 4.3,

the correlation between M_{T2} and p_T^{miss} is much weaker and the distributions are much broader. The distributions of the GMSB model behave like a mixture of the two SMSs, depending on the wino and bino masses.

And most importantly, the signal events mainly populate the SR, with small contributions in the CRs and the VR, while the majority of the background events contribute to the DY/ $Z(\gamma)$ CR and a minor part to the VR. Only a minority contributes to the SR, as it is desired. So in total a good separation between background and signal is achieved.

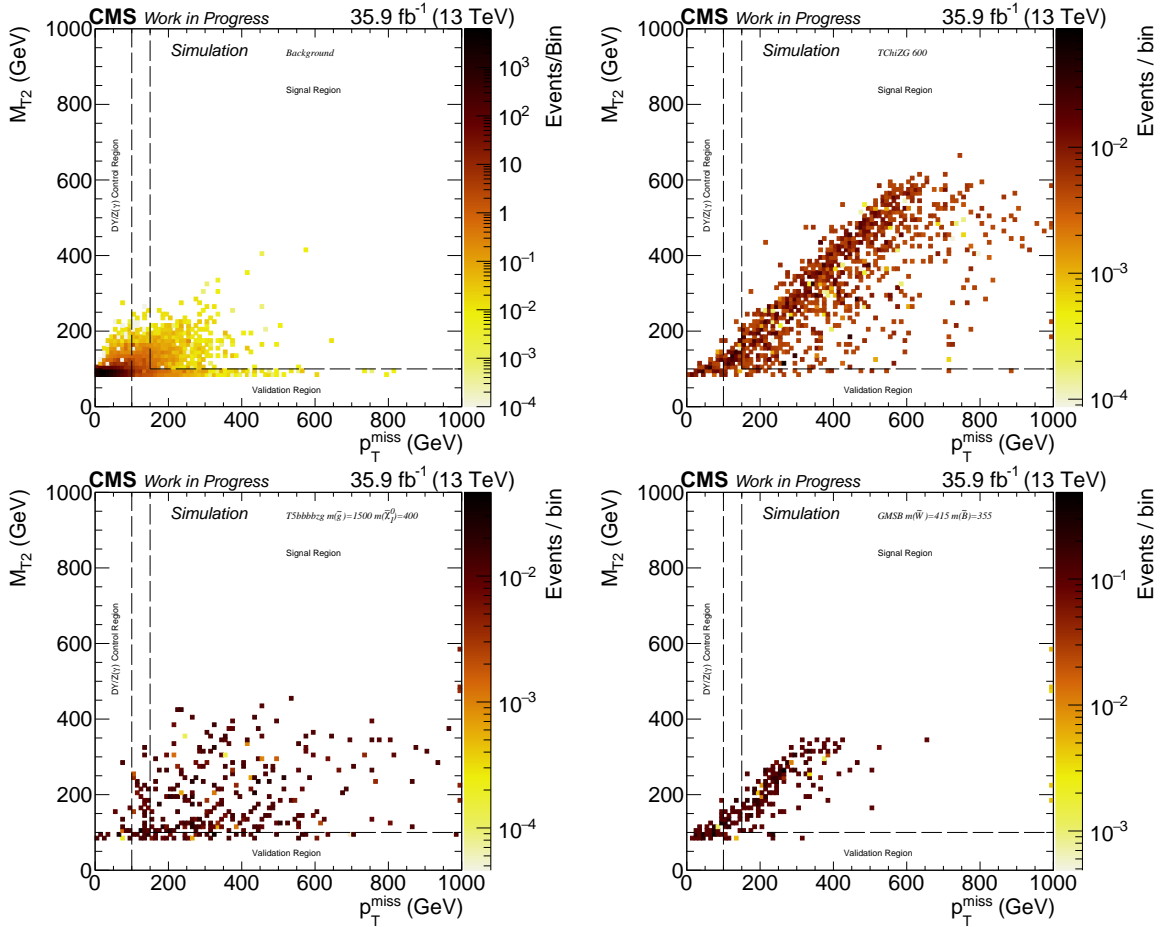


Figure 4.3.: Distribution of the total number of expected background events in the M_{T2} - p_T^{miss} plane (upper left). Distributions for the number of expected signal events for the TChiZG SMS with an NLSP mass of 600 GeV (upper right), the T5bbbbZG SMS with a gluino mass of 1.5 TeV and a neutralino mass of 400 GeV (bottom left), and the GMSB model with $m(\tilde{W}) = 455$ GeV and $m(\tilde{B}) = 355$ GeV (bottom right).

4.2. Background Estimation

In this section the used background estimation methods are introduced, including the study of systematic effects. The total background is composited of $t\bar{t}(\gamma)$ production, Drell-Yan and $Z(\gamma)$

events, WZ and ZZ diboson production, and a remaining group of backgrounds, composited of e.g. singletop and triboson production (See Section ?? and Section 4.1.3). The relative amount of backgrounds contributing to the two SR bins are depicted in Figure 4.4. The most dominating background is $t\bar{t}(\gamma)$, followed by WZ , ZZ , and Drell-Yan/ $Z(\gamma)$.

The leptonic decays of the top pairs generated in association with a photon significantly contribute to the SR, because the final state signatures appear very similar to those of the SUSY models and the cross section is very high. If both W bosons decay leptonically, a SFOC lepton pair in connection with neutrinos are produced, that generate a large amount of p_T^{miss} in the detector. Also real photons can be produced in the hard interaction, or can be radiated off in the initial or the final state by the charged participating particles. Since the total mass of the top pair cannot be reconstructed due to the momentum imbalance, there is a sufficient probability to measure an invariant dilepton mass close to the Z boson mass.

In the Drell-Yan process, in context with FSR or ISR, and in $Z\gamma$ production, directly an on-shell Z boson is produced, thus the $m_{\ell\ell}$ requirement for the SR is fulfilled. Although a photon is also produced, this background does not contribute significantly to the SR, because only nongenuine p_T^{miss} is generated in the process due to mismeasurements and therefore the p_T^{miss} distribution drops steeply.

WZ production is also an important background, since the W boson can decay leptonically and therefore can generate a sufficient amount of genuine p_T^{miss} to pass the SR requirements. While a photon can be generated in all possible radiation processes, an additional electron or jet originating from the W boson can fake a photon signature in the detector. In cases of real photons, the additional lepton can also be lost in the reconstruction.

Lastly, the ZZ event signature can be very similar to the one expected from various SUSY signals. One boson can decay to a pair of charged leptons, while the other decays to neutrinos leading to a large amount of p_T^{miss} in the event. Together with FSR or ISR of a photon, it mimics the signal topology in many ways.

If the different background processes are compared, the diboson processes may have a more similar event kinematic than the $t\bar{t}(\gamma)$ process, but due to the lower diboson cross sections, and the small branching fraction for a Z or W boson to decay to charged leptons, the $t\bar{t}(\gamma)$ background dominates the other contributions.

The strategy to perform a sophisticated background prediction is based on the MC simulation for all backgrounds. As mentioned in Section ??, different CRs are developed, which are enriched with corresponding background events. In those CRs, scale factors (SF) α_i are calculated by scaling the total simulation to the measured data yield. Contributions of other backgrounds in the distinct CR are considered in the calculation by fixing them, thus only one background is scaled. The SFs are calculated via the following formula:

$$1 = \frac{\#Data}{FixedBackground + \alpha_i \cdot \#BackgroundToScale} \quad (4.1)$$

$$\Rightarrow \alpha_i = \frac{\#Data - \#FixedBackground}{\#BackgroundToScale}. \quad (4.2)$$

Due to the used method, which is performed similar in every CR, possible uncertainties in the normalization cancel, only uncertainties in the shape need to be considered, see Section 4.3. The

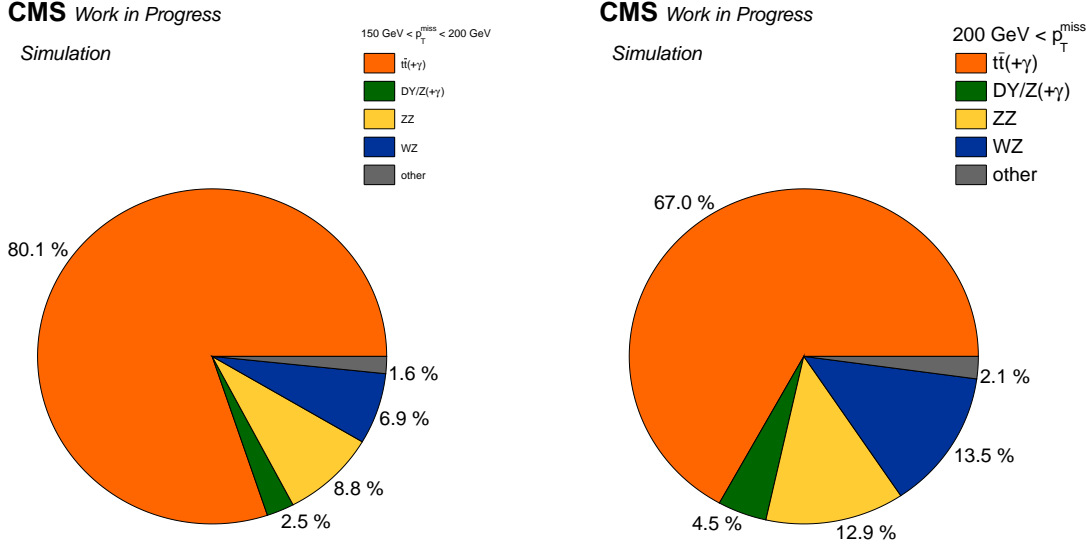


Figure 4.4.: Relative amounts for all group of considered backgrounds for the two search bins in the SR.

uncertainty arising from the SF calculation is purely of statistical origin, and is calculated via error propagation

$$\sigma_{\alpha_i}^2 = \sigma_D^2 \cdot \left(\frac{D}{B}\right)^2 + \sigma_F^2 \cdot \left(\frac{F}{B}\right)^2 + \sigma_B^2 \cdot \left(\frac{D-F}{B^2}\right)^2, \quad (4.3)$$

where $B = \#BackgroundToScale$, $F = \#FixedBackground$, $D = \#Data$, and σ_i the corresponding statistical uncertainties. These are assumed to be uncorellated Poissonian uncertainties. Since the event yields are high enough, the uncertainties can be calculated via $\sigma_i = \sqrt{N_i}$, where N is the associated total integral in the selection. In the case of MC simulation, for the calculation of the SF the normalized event yield is used, whereas in the error calculation the pure MC event count is considered to reflect the underlying precision of the simulation. These method is referred to as "integral method" in the following.

After determining all SFs, the background prediction will be tested in the VR. Therefore, the simulated backgrounds in the VR will be scaled accordingly, and the result will be investigated, see Section 4.2.6.

4.2.1. Top pair production in association with a photon

As shown in Figure 4.4, the main background contribution is $t\bar{t}(\gamma)$, which is composited of standard top pair production with and without photon radiation, and top-pair production in association with a photon, while the latter dominates. Both compositions will be determined together by rescaling the simulation such, that the integral of events of the MC simulation in the CR matches the integral of events in data as explained in Section 4.2. The raw and rescaled yields of the simulation, together with the observation in the CR are quoted in Table 4.1. The overall purity of the selection is of the order of 84.62%. For the scaled event yields, only weights to account for the trigger efficiency correction and a global weight as mentioned in Section ??

are applied. With the integral method applied, the SF given in Equation (4.4) is obtained.

Table 4.1.: My caption

process	raw simulation	simulation	data
$t\bar{t}$	12077	416.93	
$t\bar{t}\gamma$	128396	1420.19	
sum	140473	1837.12	1750
other	14631	269.21	

$$\alpha_{t\bar{t}(\gamma)} = 0.806 \pm 0.324(stat.) [\simeq 4.02\%]. \quad (4.4)$$

After application of $\alpha_{t\bar{t}(\gamma)}$, the number of events in data and total simulation match per definition.

Since the analyzed final state in this CR is very sensitive to higher order effects, such as jet and photon radiation, and complex matrix elements to describe the hard interaction, and these are very hard to model in the simulation, a SF away from one is not unexpected. Because the generation of MC simulation in general is time and ressource consuming, simplifications are made on e.g. radiation effects, and not all aspects of a specific process are calculated and generated at NLO, although was used for the generation of the $t\bar{t}\gamma$ sample. NNLO electroweak effects can cause negative corrections for example due to destructive terms in higher order corrections. The uncertainty of the order of 4% indicates a sufficient amount of events in the corresponding CR. The resulting prediction shows a good agreement with data, as can be seen from the p_T^{miss} and M_{T2} distributions in the CR after rescaling in Figure 4.5. The uncertainty of the fit method is of statistical origin, and is treated as a systematic in the following. The agreement for additional important observables, such as the transverse momentum of the photon and both leptons, is also investigated, and the plots can be found in the appendix in Figure ??**TODO October 24, 2018: ref.** To strengthen the confidence in the outcome, Kolmogorov-Smirnov [129] tests are performed to study the shape agreement. No significant disagreement was found, and the KS-values from the tests results are given written on the plot for each individual distribution. KS-values much larger than < 0.1 indicate agreement between the input distributions.

Furthermore, in order to study a possible bias of observables not well modeled within the simulation, additional cross checks are performed. Similar to the SF calculation in the integral method, SFs are determined via ² minimization in the same CRs. Albeit this method is somewhat correlated to the method explained above, it enables the possibility to study influences of different distributions in different binnings. Therefore, $\alpha_{t\bar{t}(\gamma)}$ was varied in a range in small steps of 0.01 for each binning and distribution, and for every iteration the simulation was scaled and the χ^2 was calculated, which is defined as:

$$\chi^2 = \sum_i^{N_{bins}} \frac{(N_{i,bin}^{obs} - N_{i,bin}^{predicted})^2}{N_{i,bin}^{predicted}}. \quad (4.5)$$

The best fit value is determined to be at the minimum of the χ^2 distribution, which is obtained by fitting a polynomial of fourth grade to the measured points. The uncertainty of the χ^2 -fit

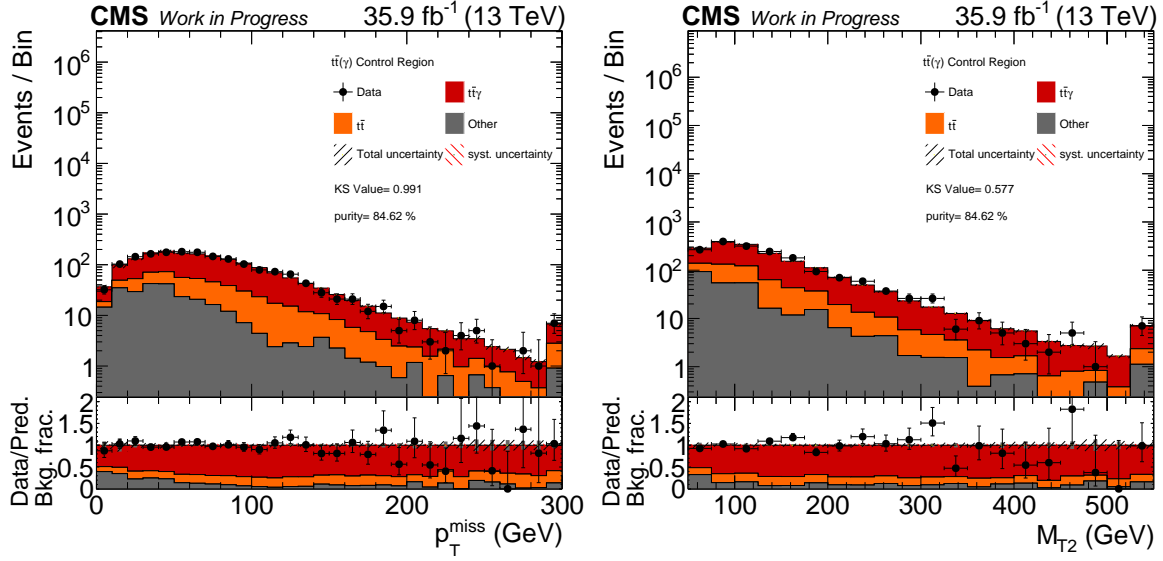


Figure 4.5.: ToDo

method, that is also of statistical origin, is calculated by taking the difference between the best fit value and the values, where $\chi^2 = \chi_{BestFit}^2 + 1$. An example fit in the p_T^{miss} distribution is shown in Figure 4.6 left. The number of degrees of freedom (ndf) is given by the number of bins used to obtain the χ^2 distribution reduced by the number of parameters that are being optimized. The only free parameter is $\alpha_{t\bar{t}(\gamma)}$, so ndf equals the bin number subtracted by one. The resulting curves are smooth, indicating a proper performance of the fit. A comparison of all obtained SFs for the different setups and the SF obtained by the integral method is shown in Figure 4.6 right. No significant deviation can be observed, and all SFs are in agreement within each other. Hence, the scaling of the $t\bar{t}(\gamma)$ background seems stable and well described in the CR.

Further studies

Since the $t\bar{t}(\gamma)$ background is the most dominating one, further studies are realized, in which the underlying MC simulation samples and their combination are examined. Hence, different overlap removal procedures, see Section ??, and different available cross sections are used, while the fit procedure itself is varied. In addition, available corrections to improve the modeling of jet radiation in the initial state, and corrections to enhance the the description of the top quark p_T distribution, that both are used to correct the LO samples and the samples that are generated with POWHEG (see Section ??), are applied in different combinations. The used fit methods include a χ^2 -template fit, where the ratio between the fractions of the $t\bar{t}$ and $t\bar{t}\gamma$ simulation is left free as an additional parameter, and a fit where only the simulation is made used. Eventually, no substantial differences are observed, and the best performance and stability is provided by the initial approach explained above in detail.

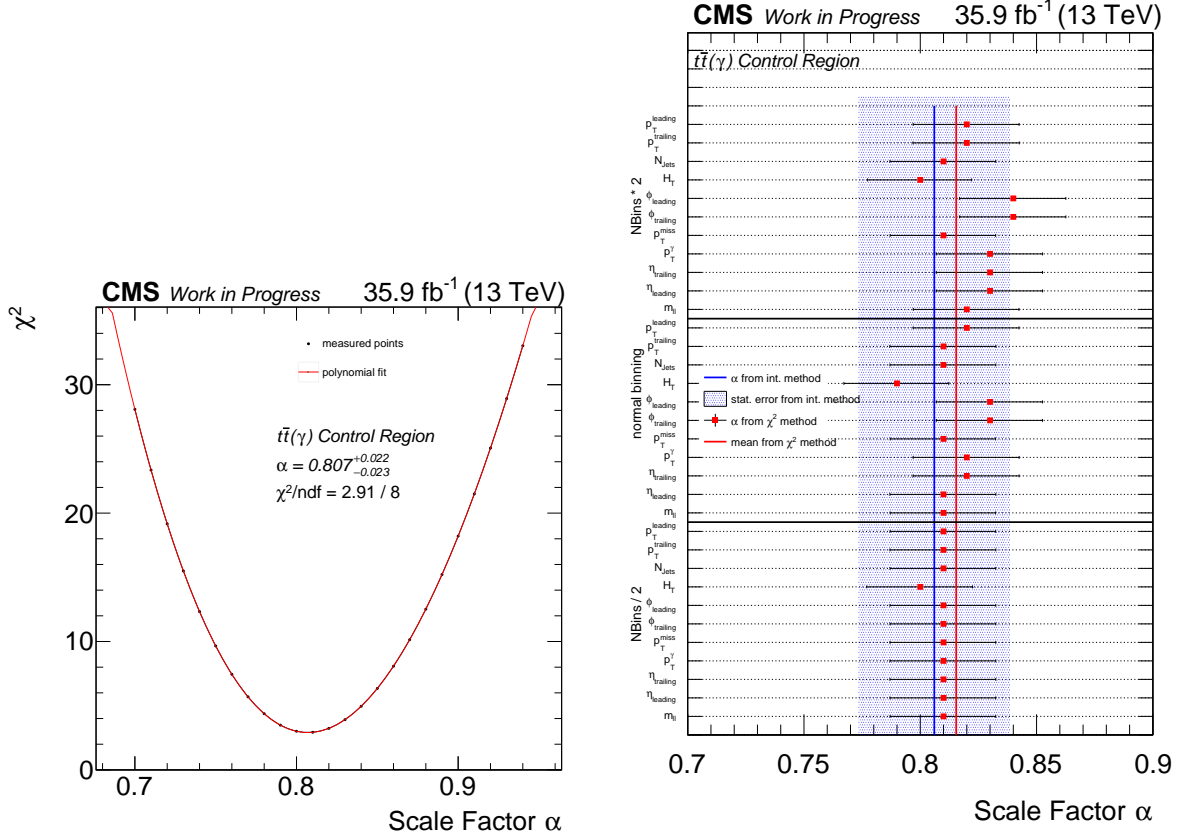


Figure 4.6.: ToDo

4.2.2. Drell-Yan and $Z\gamma$ diboson production

The Drell-Yan and dibosonic $Z\gamma$ background is admittedly small in the two SR bins, but its contribution is the fourth largest, and its needs to be assured, that the background is modeled well. As in the case of the $t\bar{t}(\gamma)$ background, its composited of two major parts, the Drell-Yan process, where quarks annihilate to offshell photons or Z bosons and generate leptons in their decays, and the diboson production of $Z\gamma$ pairs. The same integral method explained above, is used to determine the SF $\alpha_{DY/Z(\gamma)}$ in the dedicated CR, as introduced in Section 4.1.3. The relevant event yields are quoted in Table 4.2, and the resulting SF is stated in Equation (4.6). With a purity of about 99%, and a total statistics that large, a precise SF determination is feasible, as can be concluded also from the small statistical uncertainty of $\approx 1\%$. The agreement between simulation and data is very good, also before application of $\alpha_{DY/Z(\gamma)}$, since it is nearly one. The post-scaling distributions of p_T^{miss} and M_{T2} are shown in Figure 4.7. They show overall a good agreement, only in the high M_{T2} region there are some fluctuations due to the limited statistics being present both in data and simulation. Further distributions are looked at, and they can be found in the appendix in Figure ???. The same cross checks as for the $t\bar{t}(\gamma)$ background are made, including the Kolmogorov-Smirnov tests, and the additional χ^2 -fits with variable bin size in different observables. All in all the KS-values indicate a very good matching

between predicted and observed shape, albeit the Kolmogorov-Smirnov test provides a very small KS value for the consistency between the p_T^{miss} distributions. But, this is mainly due to the high statistics in data and therefore a higher absolute discrepancy, contradicting with the lower statistics in simulation, leading to larger fluctuations. This discrepancy is not visible in the ratio shown under the plot.

Table 4.2.: My caption

process	raw simulation	simulation	data
Drell-Yan	11710	13008.83	
$Z\gamma$	170161	22692.88	
sum	181871	35701.41	38419
other	87337	374.00	

$$\alpha_{DY/Z(\gamma)} = 1.066 \pm 0.001(\text{stat.}) [\triangleq 0.87\%]. \quad (4.6)$$

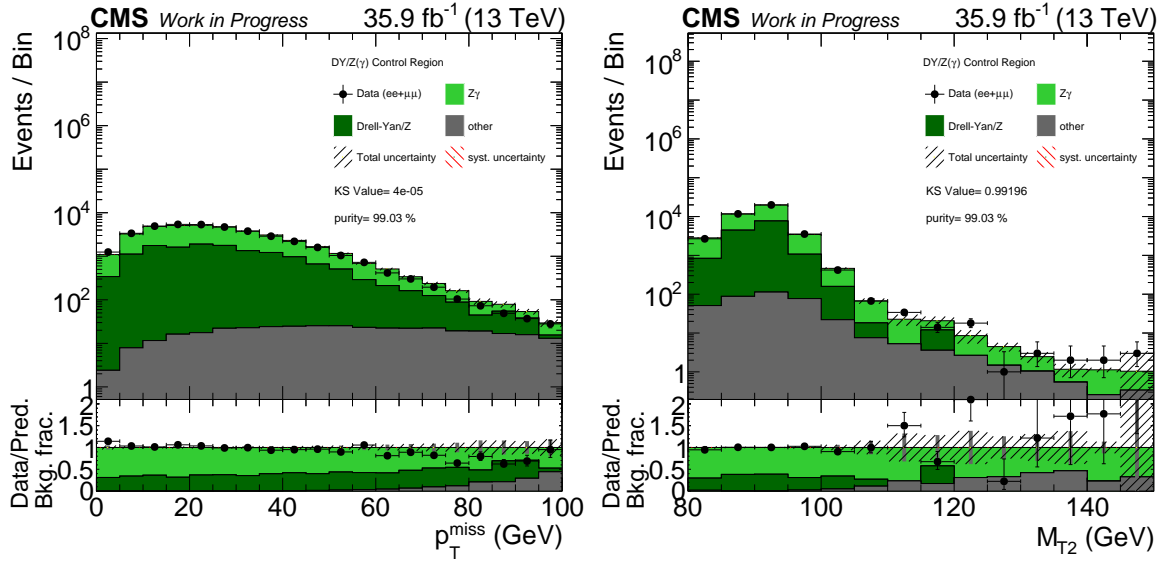


Figure 4.7.: ToDo

The χ^2 -fit studies, shown in Figure 4.8 together with an example fit in the p_T^{miss} distribution, show excellent agreement over all variations. Hence, the background prediction seems to work fine also in the $DY/Z(\gamma)$ CR.

4.2.3. WZ diboson production

The diboson production of WZ pairs is the second important background contribution for this analysis. It is also tuned to agree with the measurement in the WZ CR, while the SF α_{WZ} is calculated with the integral method. The expected purity of selected WZ events in this CR is about 84%, and the number of events given in Table 4.3 point out, that the number of events

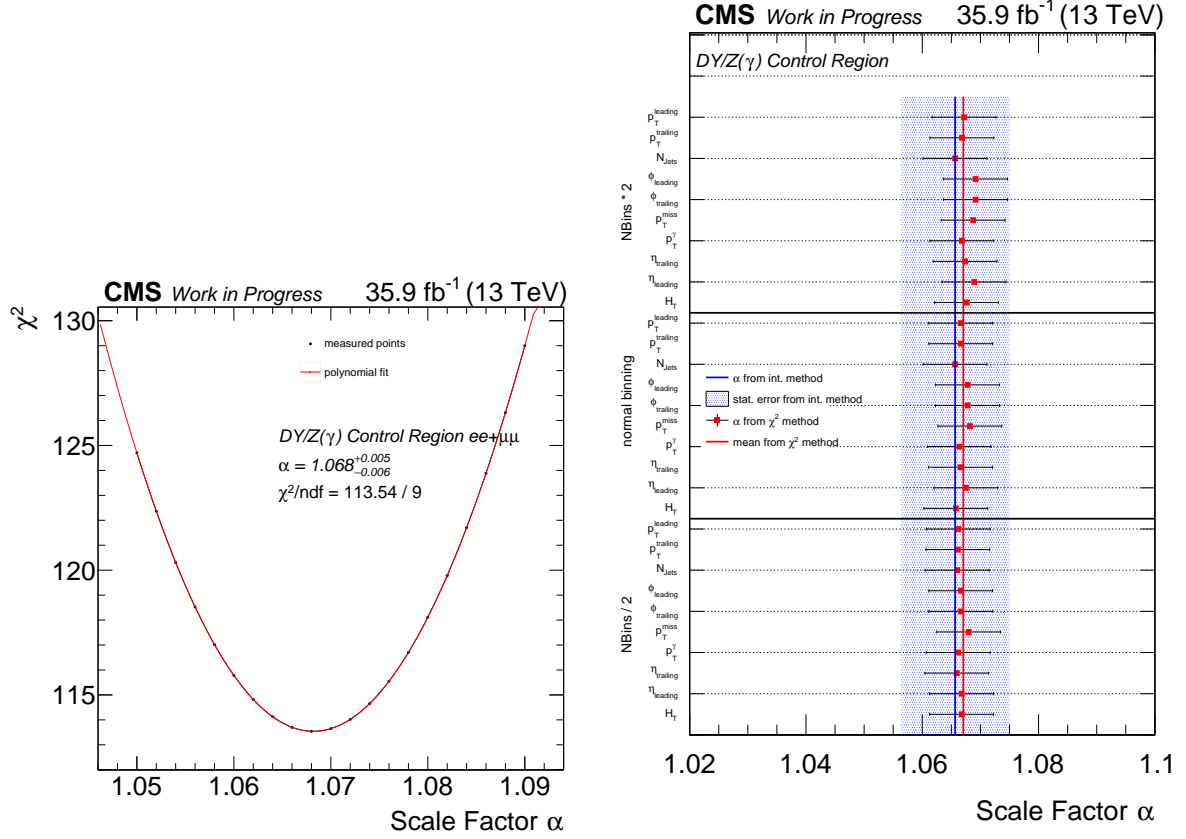


Figure 4.8.: ToDo

Table 4.3.: My caption

process	raw simulation	simulation	data
WZ	113744	895.74	
sum	113744	895.74	1193
other	93914	186.94	

is sufficient to determine a SF with adequate precision. The agreement beforehand is also very good, although this background is a higher order process and is therefore simulated at NLO, see Section ??, which includes several complicated effects the event generator needs to handle properly. the SF $\alpha_{DY/Z(\gamma)}$ is determined to be

$$\alpha_{WZ} = 1.123 \pm 0.037(\text{stat.}) [\simeq 3.29\%], \quad (4.7)$$

which indicates that usage of NNLO cross sections for the WZ samples is nearly sufficient enough to describe the background. Of course higher order effects can lead to higher cross sections, thus it is not unexpected to obtain a SF varying $\approx 12\%$ from unity. Resulting distributions of the description of the p_T^{miss} and M_{T2} variables are shown in Figure 4.9. As can be seen, the predicted shape is in consistency over the whole range with the observed data, also in the very high p_T^{miss}

and M_{T2} regime. This is further confirmed by the additional cross checks, that are also realized in the other two CRs described above. The KS-values close to one strengthen the trust in the background estimation method. The comparison plots for other distributions can be found in the appendix in Figure ???. The χ^2 -fit studies lead to the same outcome, the uncertainties seem

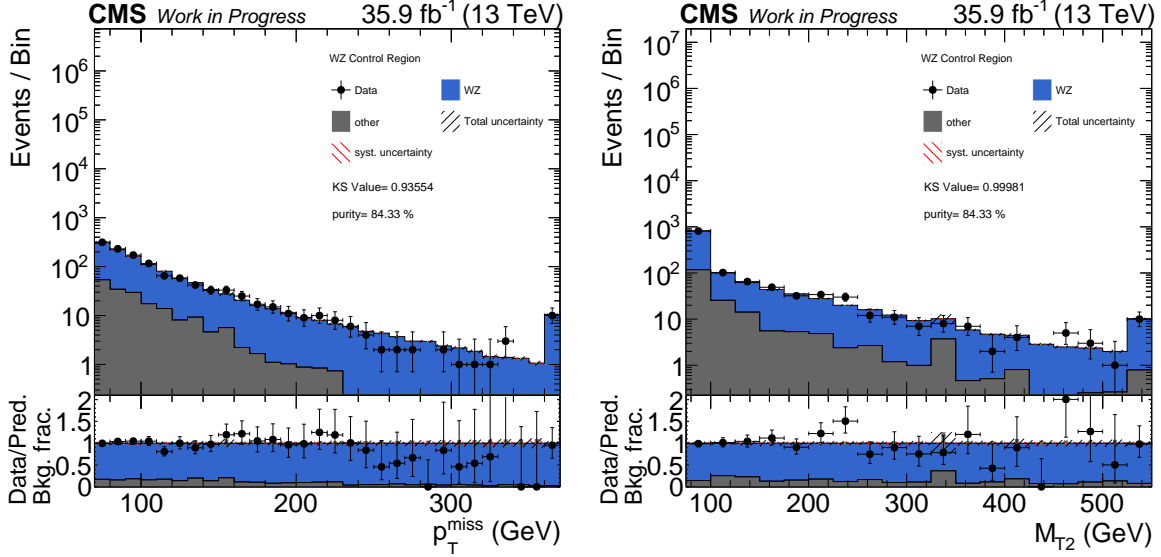


Figure 4.9.: ToDo

to be well estimated and of statistical origin, since no large shape deviation can be observed in the performed fits, as can be seen in Figure 4.10 right. Each individual χ^2 -fit seems to behave properly, see Figure 4.10 left for an example in the p_T^{miss} distribution.

4.2.4. ZZ diboson production

The last important and fourth biggest contribution is ZZ diboson production, where both Z bosons decay leptonically, one to charged leptons such as electrons or muons, and the other one to neutrinos. To simplify the construction of a dedicated CR, as explained in Section 4.1.3, the assumption is made, that there should be no difference for the generator to generate neutral leptons or charged ones. Only the detector response should differ. Therefore, ZZ can be well estimated in a four lepton CR. So a pure selection of ZZ can be established, although the statistics in data is quite low due to small cross section and the low branching fraction for the $Z \rightarrow \ell\ell$ decay. Event counts are quoted in Table ??, with a selection purity of nearly 100%. With the integral method applied, a SF α_{ZZ} stated in Equation (4.8) is determined, being relatively close to one.

$$\alpha_{ZZ} = 1.109 \pm 0.064(\text{stat.}) [\simeq 5.74\%]. \quad (4.8)$$

Post-fit distributions to show the good agreement in the p_T^{miss} and M_{T2} distribution can be found in Figure 4.11. As mentioned, the precision is limited by the low statistics of the selected sample, but nevertheless is sufficient enough to establish a working background prediction. The shapes agree very well, as it is additionally indicated by the KS-values printed on the plots, also in the high M_{T2} region. The properties of the $ZZ \rightarrow 4\ell$ process does not allow to study the

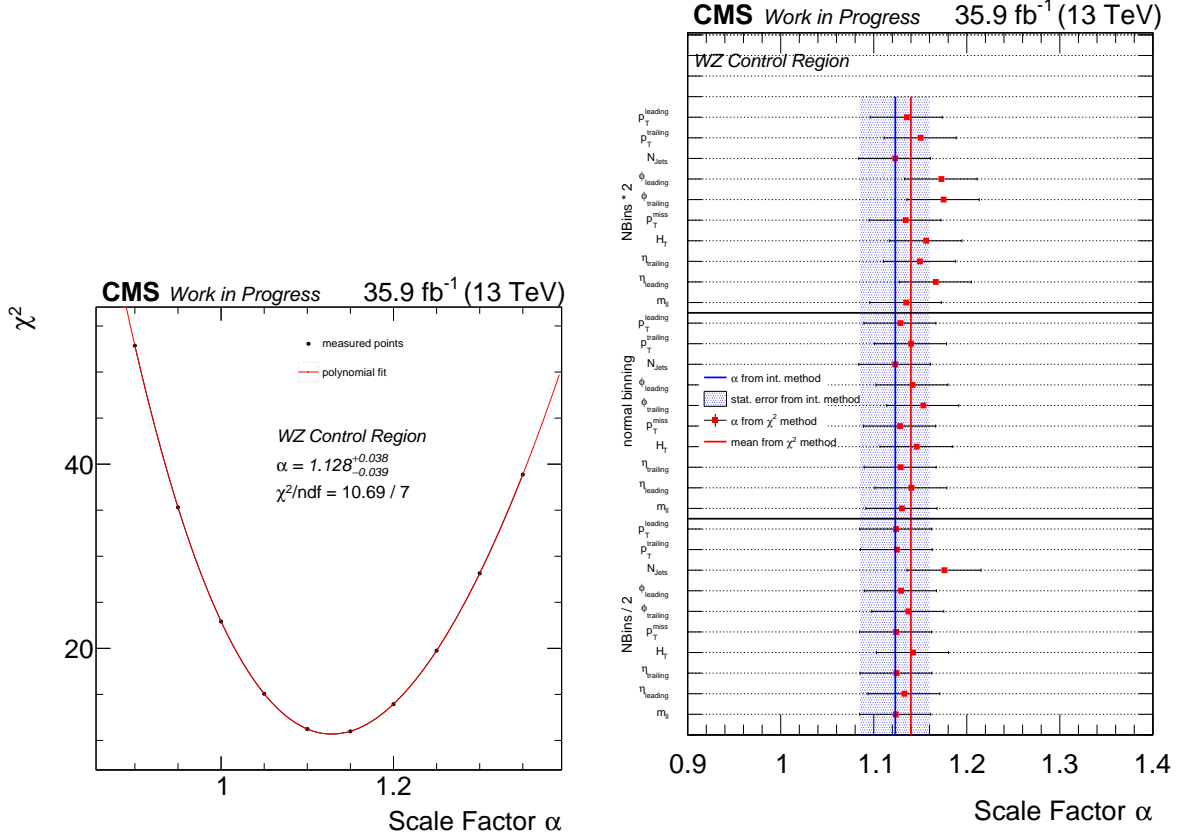


Figure 4.10.: ToDo

Table 4.4.: My caption

process	raw simulation	simulation	data
$ZZ(\rightarrow 2\ell 2\nu)$	5	0.0005	
$ZZ(\rightarrow 4\ell)$	459221	226.94	
sum	459226	226.94	251
other	79	0.07	

prediction in the high p_T^{miss} regime, since only nongenuine p_T^{miss} is produced, but agreement of the p_T distributions of the leptons, that are listed in Figure ?? in the appendix, can be translated into the agreement of the p_T^{miss} in the $ZZ \rightarrow 2\ell 2\nu$ background, that is generated with the same event generator. The same χ^2 -fit studies, see Figure 4.12, are performed as for the other three background estimations shown above, and yield the same conclusion, although showing more fluctuations in the choice of the variable or binning due to the lower total event counts in the observed data. But, all in all also this background estimation method behaves properly.

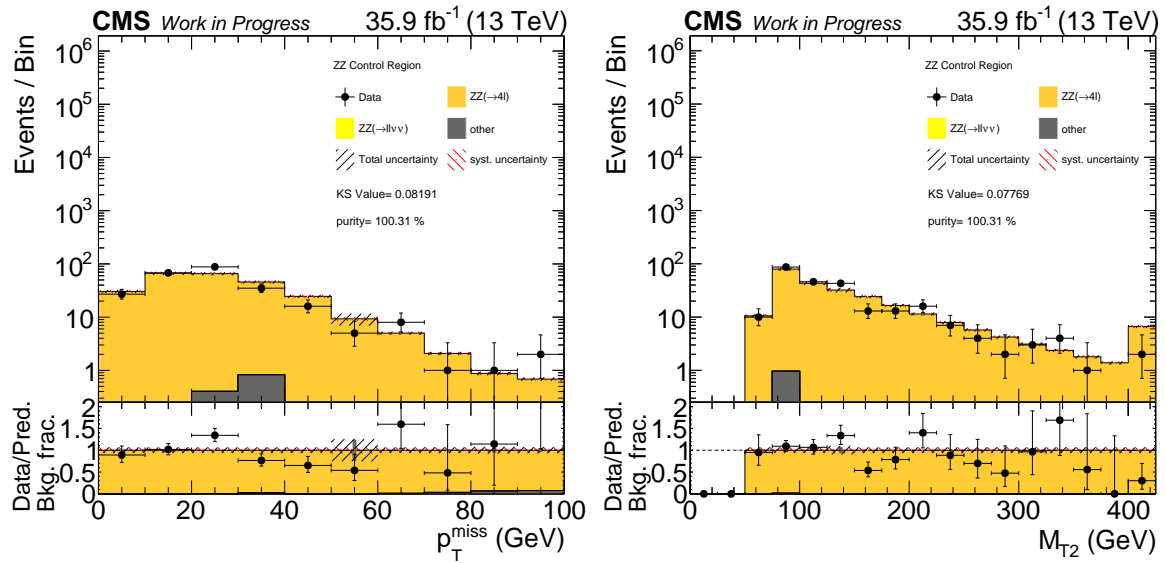


Figure 4.11.: ToDo

4.2.5. Other standard model backgrounds

Additional minor backgrounds, such as triboson processes as $WZ\gamma$, $WW\gamma$, diboson WW and $W\gamma$, $W + jets$ production, and single top processes as listed in Table 3.2, are taken from plain simulation after reweighting them as described in Section ?? to the measured luminosity and NLO and NNLO cross sections.

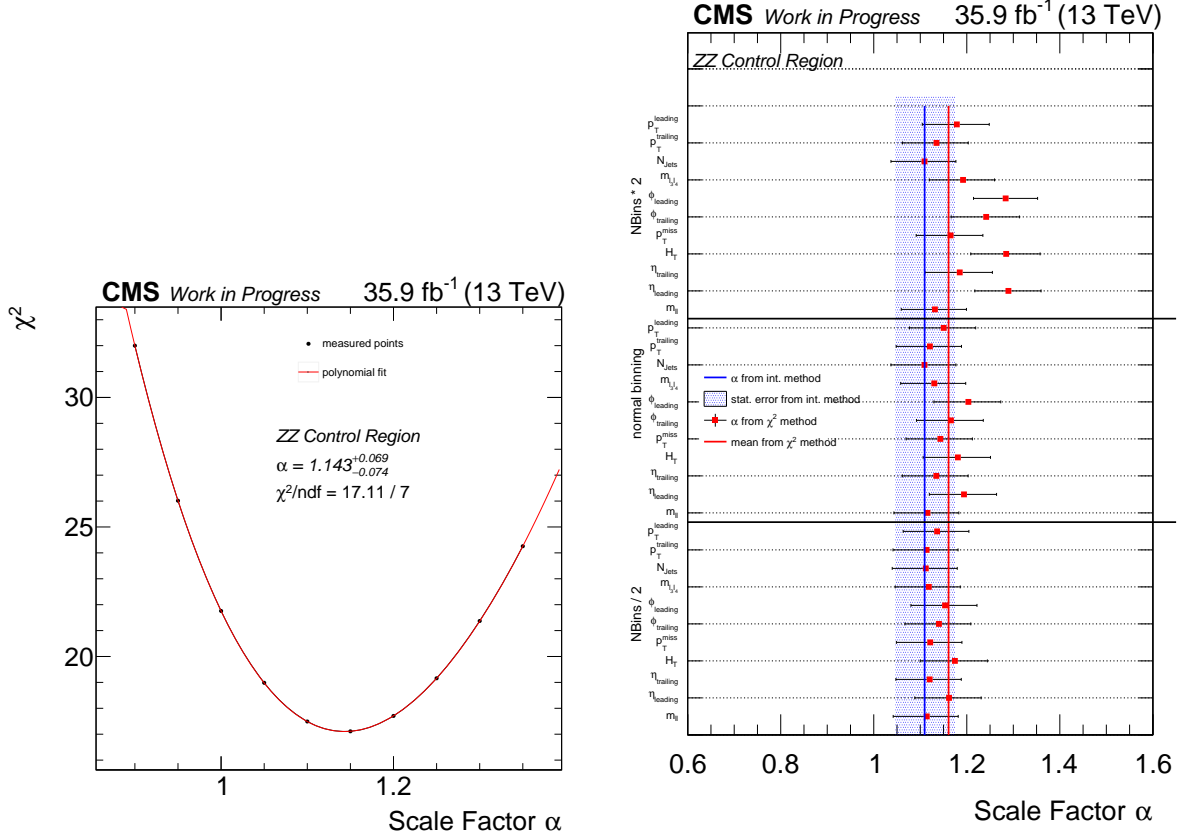


Figure 4.12.: ToDo

4.2.6. Validation of the background estimation

After all main backgrounds are tuned in various corresponding CRs and SFs are determined, and the rest is taken from simulation, the background prediction is validated in the VR defined in Section ?? . It is very close to the SR where the background prediction shall work sufficiently well, and therefore is ideal to study the obtained background prediction. The VR orthogonal VR selection is achieved by requiring nearly the same requirements as for the SR, but only one or both of the $p_T^{\text{miss}} > 150 \text{ GeV}$ and $M_{T2} > 100 \text{ GeV}$ needs to fail.

Resulting comparisons between prediction and observed data in different distributions are shown in Figure ?? for the most important variables, such as p_T^{miss} and M_{T2} , together with p_T distributions of the leading and trailing lepton. Comparisons for the photon p_T and the invariant dilepton mass $m_{\ell\ell}$ are shown in Figure 4.14.

Overall the agreement is very good in all distributions, although being limited by the lower statistics in some kinematic regions. The same Kolmogorov-Smirnov tests as for the different CRs are performed to gain more trust in the working of the background prediction. As can be read from the resulting KS-values, each quoted on the corresponding plot, the shape agreement considering statistical uncertainties and the systematic uncertainties originating from the statistical ones from the integral method, is very good. Therefore, the background prediction is

assumed to work fine in the SR due to the similar kinematics in the VR based on the design as a kinematic sideband in p_T^{miss} and M_{T2} .

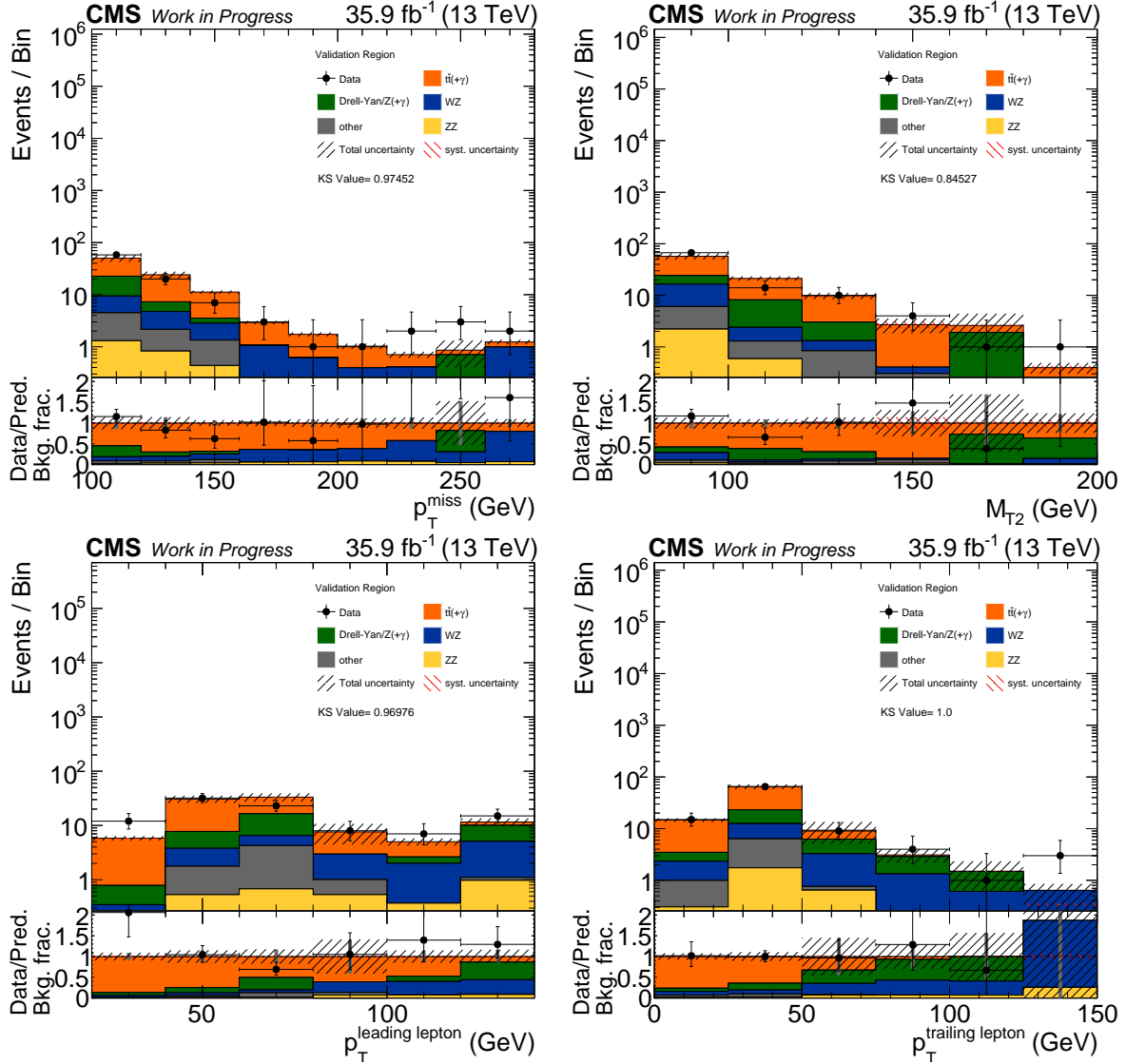


Figure 4.13.: ToDo

4.2.7. Signal contamination

If SUSY would exist, it would of course not only produce events being observable in the SR, but also some of them may appear in the CRs, that are used to determine a proper background prediction, under the assumption that there is no influence of possible BSM signals. So to account for this effect, the so-called signal contamination of the background CRs is not considered in the background estimation itself, but is rather translated into a reduction of the predicted signal yield in the SR. Hence, at first the signal contamination needs to be measured for each signal

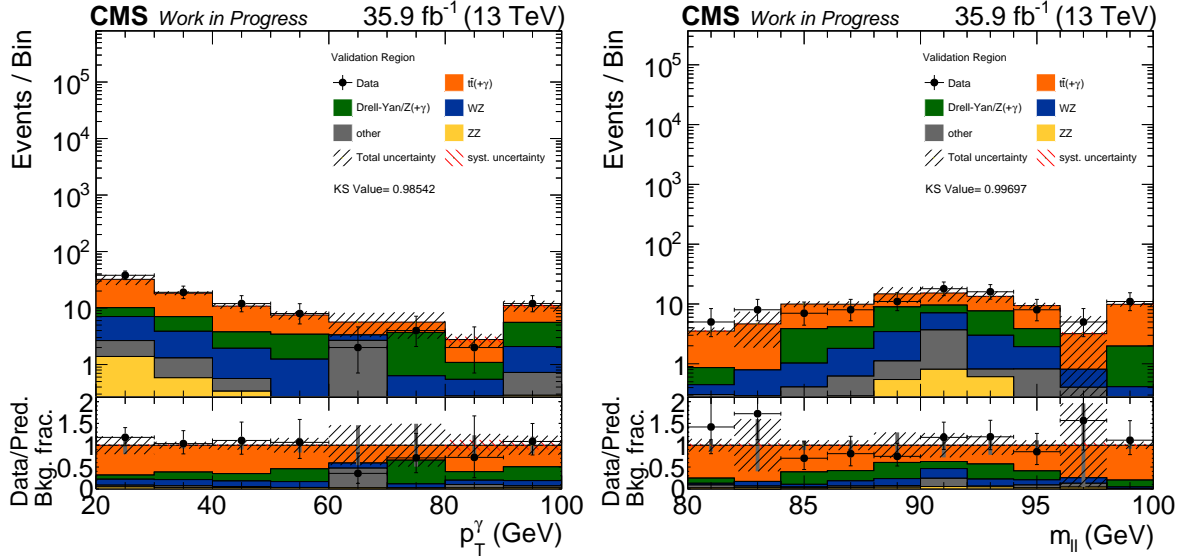


Figure 4.14.: ToDo

point of all samples individually in all CRs.

Therefore the fraction of expected signal events relative to the total amount of background events in each CR is measured. Examples for the contamination of the TChiZG SMS in the DY/Z(γ) CR and the GMSB model in the WZ CR are shown in Figure 4.15, because they are the largest ones observed. As can be seen, the contributions of signal events are mainly negligible, although the signal contamination reaches $\approx 3\%$ for very low bino and wino masses in the GMSB model. To account for those effects, these relative fractions are used to lower the signal expectation in each SR bin individually. This can be accomplished on a simple way, since the background prediction method is based on simulation which is rescaled with constant SFs. So if ρ is the fraction of signal events in the CR, in each SR bin the absolute signal event yield reduced with the following formula

$$\#signal_{after} = \#signal_{before} - \rho \cdot \#background, \quad (4.9)$$

where $\#signal_{before}$ and $\#signal_{after}$ are the total number of signal events per bin before and after the correction, and $\#background$ is the number of predicted background events in this bin.

So in total, the influence of signal contamination to the final result is rather negligible, because most of the signal fractions in all CRs are very low. And if they exceed the level of some percent, their influence will not matter in the final interpretation, since for those low SUSY mass signal points, the expectation for the signal yield in the SR is sufficiently high enough.

4.3. Study of systematic uncertainties

In addition to the statistical uncertainties coming from the background prediction method based on the SF calculation, various systematic effects and their impact on the final prediction need to be evaluated.

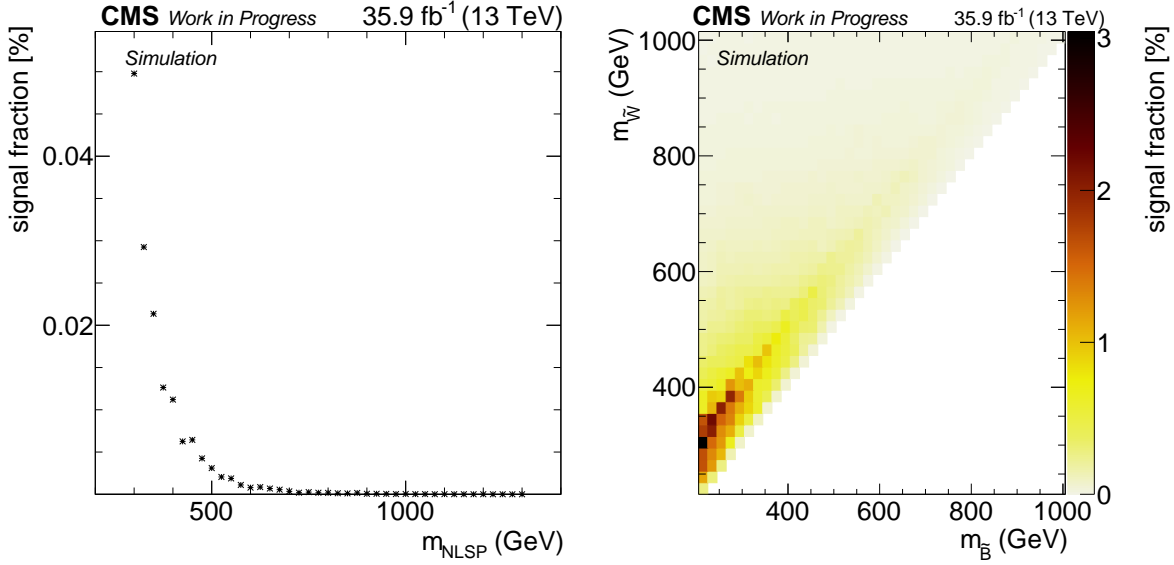


Figure 4.15.: todo

4.3.1. Background uncertainties

Sources of systematic uncertainties arise from the measured pileup distribution, which is needed to rescale the simulated samples as explained in Section ??, the measurement of the total integrated luminosity, the measurement of the jet energy scale (JES) and the jet energy resolution, that are important for the $p_{\text{T}}^{\text{miss}}$ description, the measured trigger efficiencies as discussed in Section ??, corrections for different photon and lepton reconstruction between simulation and data, the PDF used in the MC simulation process, and factorization and renormalization scale. These measurements and underlying effects all provide systematic uncertainties on the final background prediction. Cross section uncertainties cancel for the four main background contributions due to the applied method, but are relevant for the other minor contributions as a relative uncertainty. They are assumed to be 50%, to account for the biggest differences between the measurement and theoretical and prediction, and consider the circumstance, that only a special phasespace is used in the analysis.

The uncertainty on the luminosity measurement with 2.5% [130] and the uncertainty on the trigger efficiency, that is estimated to be 3% (see Section ??), propagate directly as relative uncertainties to the final prediction.

All other uncertainties are uncertainties in the shape, and need to be determined by another way. In order to estimate the impacts of the JEC and JER, the pileup reweighting, and the lepton and photon identification and reconstruction corrections, the whole background prediction is performed again with the underlying quantities shifted one standard deviation up and down. Hence, two additional predictions for each SR bin are obtained, and half of the difference between those to relative to the nominal prediction is taken as the systematic uncertainty.

To estimate the effect on the choice of the renormalization μ_R and factorization scale μ_F , these two are varied in combinations of the factors 0.5, 1, and 2, and nine new background predictions are determined. The half of the difference between the two outliers, relative to the nominal

prediction, is treated as the systematic uncertainty.

The determination of the systematic uncertainty arising from the used PDFs, one hundred different PDF variations are studied, and for each the background prediction method is performed again, thus one hundred different predictions for the SR are obtained. From this distribution of predicted yields per bin, the standard deviation and the mean can be calculated. The standard deviation normalized to the mean is considered as the systematic uncertainty.

Table 4.5 lists ranges for all systematic uncertainties for all backgrounds individually. Besides

Table 4.5.: Systematic uncertainty ranges for all background predictions in the signal region.

	$tt(\gamma)$	DY/Z(γ)	WZ	ZZ	Other
PDF	0.8 – 1.5%	2.6 – 3.3%	0.5 – 0.7%	2.2 – 2.4%	1.1 – 1.2%
Renorm. and fact. scale	3.4 – 7.1%	18.2 – 22.5%	4 – 6%	3.7 – 4.1%	2.1 – 9.3%
JES	4.6 – 6.5%	4.4 – 5%	2.8 – 4.8%	1 – 1.9%	0 – 50.7%
JER	1.6 – 3.1%	0.7 – 16.1%	1 – 1.8%	0.2 – 1.4%	0 – 50.7%
Lepton ID and reconstruction	2.8 – 2.9%	2.2 – 3.4%	3.3%	1.8%	4.2%
Photon ID and reconstruction	0.7 – 0.8%	1.4 – 1.5%	1 – 1.1%	0.9%	0.7 – 1.9%
Luminosity			2.6%		
Pileup	1.2 – 2%	1 – 5%	0.2 – 2.5%	1.5 – 1.7%	0.1 – 10%
Trigger efficiency			3%		
Scale factor α_i	4%	0.9%	3.3%	5.7%	-
Cross section			-		50%

some the uncertainties for backgrounds, such as DY/Z(γ) and the other composed backgrounds, exceed the level of 10%, due to the lower statistics in the simulation, where statistical fluctuations based on changes of different quantities play an important role, all other systematic uncertainties are of the order of some percent. This reflects the stability and robustness, that was observed in the scale factor determination itself.

4.3.2. Signal uncertainties

Whereas all derivations of systematic uncertainties on the final event yields are covered, further signal uncertainties need to be studied in order to interpret the results statistically in each SUSY model. Most of the signal uncertainty determination methods behave the same as explained above for the backgrounds, but some additional effects need to be considered, and some calculations change, since detector response in the signal MC simulation was performed using . For the pileup uncertainty, a different method was applied. The pileup distribution is split into a low PU (< 20), and a high PU (> 20) region, the difference in the total signal acceptance was determined. Half of this difference is treated as the systematic uncertainty.

Because the modeling of the p_T^{miss} distribution is very complicated and in the package only a simplified detector response is parametrized, the difference between generated p_T^{miss} and reconstructed p_T^{miss} is investigated. Thus, the whole analysis is reperformed for the signal, where the reconstructed p_T^{miss} is replaced with the generated one for each event, and the difference in the SR is examined. Half of the difference in the acceptance is taken as the systematic uncertainty. For the signal simulation, no PDF uncertainties are available. In addition, the initial state radiation dependent reweightings mentioned in Section ?? introduce systematic effects on the signal

yield expectation. Therefore the same method as mentioned above for the ID and reconstruction uncertainties on the background prediction is performed.

Ranges for all signal uncertainties including the statistical one are given in Table 4.6 altogether for all signal models considered in the analysis. Large ranges are observable, due to the lim-

Table 4.6.: Ranges for all the systematic uncertainties for all signal models.

Uncertainty	Range [%]
Statistical	3.2 – 85
Luminosity	2.6
PileUp	< 0.1 – 143
JES	< 0.1 – 62
JER	< 0.1 – 63
Trigger Efficiency	3
Lepton Scale Factor	< 0.1 – 5.6
Photon Scale Factor	1.4 – 1.5
MET/GEN MET	< 0.1 – 293
ISR Reweighting	< 0.1 – 10.5
Scale	0.1 – 10.9

ited statistics that is available for each signal point. So mainly all other systematic effects are correlated with the statistical uncertainty. This is due time and ressource saving, since a huge amount of different signal points is being generated. In addition, the already low amount of generated signal events gets much lower, as only leptonic decay branches of the Z boson are considered and this branching fraction is very low. Nevertheless, in most of the relevant signal points the uncertainties do not exceed the level of a few percent.

Chapter 5

Results and interpretation

5.1. Results

Signal region binning

Possible influence of signal to the validation region

5.1.1. Event yields

5.2. Statistical interpretation

5.2.1. Limit calculation

5.2.2. Exclusion limits

Limits on electroweak production of charginos and neutralinos

Limits on strong production of gluinos

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Appendix A _____

_____ Appendix

Table A.1.: All SM MC samples used in the analysis with their cross section. In the case k-factors are applied, they are given separately. {...} stands for RunIISummer16MiniAODv2-PUMoriond17_80X_mcRun2_asymptotic_2016_TrancheIV_v6 in abbreviation. All samples are of the MINIAODSIM format.

process	data set	$\sigma \cdot k [\text{pb}]$
ttbar		
$t\bar{t} \rightarrow \ell^+ \nu_b + \ell^- \bar{\nu}_b$	/TTTo2L2Nu_Tune*_ttHtranche3_13TeV-powheg-pythia8/{...}-v1	87.31
ttbarGamma		
$t\bar{t}\gamma$	/TTGamma_Dilept_Tune*_13TeV-amcatnlo-pythia8/{...}-v2	1.679
	/TTGamma_Hadronic_Tune*_13TeV-amcatnlo-pythia8/{...}-v2	3.482
	/TTGamma_SingleLeptFromT_Tune*_13TeV-amcatnlo-pythia8/{...}-v2	2.509
	/TTGamma_SingleLeptFromTbar_Tune*_13TeV-amcatnlo-pythia8/{...}-v2	2.509
DrellYan		
$Z/\gamma^* \rightarrow 2\ell$	/DYJetsToLL_M-50_TuneCUETP8M1_13TeV-amcatnloFXFX-pythia8/{...}_ext2-v1	5765.4
diboson		
$Z\gamma \rightarrow 2\ell\gamma$	/ZGTo2LG_Tune*_13TeV-amcatnloFXFX-pythia8/{...}_ext1-v1	117.864 · 1.06
	/ZGTo2LG_Tune*_13TeV-amcatnloFXFX-pythia8/{...}-v1	117.864 · 1.06
	/ZGTo2LG_PtG-130_Tune*_13TeV-amcatnloFXFX-pythia8/{...}-v1	0.1404 · 1.06
WZ	/WZTo3LNU_Tune*_13TeV-powheg-pythia8/{...}-v1	4.42965 · 1.109
	/WZTo3LNU_Tune*_13TeV-powheg-pythia8/{...}_ext1-v3	4.42965 · 1.109
$ZZ \rightarrow 2\ell 2\nu$	/ZZTo2L2Nu_13TeV_powheg_pythia8_ext1/{...}-v1	0.5644 · k
	/ZZTo2L2Nu_13TeV_powheg_pythia8/{...}-v1	0.5644 · k
$ZZ \rightarrow 4\ell$	/ZZTo4L_13TeV_powheg_pythia8/{...}-v1	1.212 · k
	/ZZTo4L_13TeV_powheg_pythia8_ext1/{...}-v1	1.212 · k
$WW \rightarrow 2\ell 2\nu$	/WWTo2L2Nu_13TeV-powheg/{...}-v1	12.178
$Wg \rightarrow \ell\nu g$	/WGToLNUg_Tune*_13TeV-amcatnloFXFX-pythia8/{...}_ext3-v1	489
W jets		
$W + jets$	/WJetsToLNU_Tune*_13TeV-amcatnloFXFX-pythia8/{...}_ext2-v2	61526.7
	/WJetsToLNU_Tune*_13TeV-amcatnloFXFX-pythia8/{...}-v1	61526.7
triboson		
WWg	/WWG_Tune*_13TeV-amcatnlo-pythia8/{...}_ext1-v1	0.2147
WZg	/WZG_Tune*_13TeV-amcatnlo-pythia8/{...}-v1	0.04123
single top		
$W^+ \rightarrow t\bar{b}$	/ST_s-channel_4f_leptonDecays_13TeV-amcatnlo-pythia8_*/{...}-v1	3.36
$q\bar{b} \rightarrow q'\bar{t}$	/ST_t-channel_antitop_4f_incl*Decays_13TeV-powhegV2-*pythia8_*/{...}-v1	80.95
$qb \rightarrow q't$	/ST_t-channel_top_4f_incl*Decays_13TeV-powhegV2-*pythia8_*/{...}-v1	136.02
$\bar{b} \rightarrow W^+ \bar{t}$	/ST_tW_antitop_5f_NoFullyHadronicDecays_13TeV-powheg_*/{...}_ext1-v1	11.7
$b \rightarrow W^- t$	/ST_tW_top_5f_NoFullyHadronicDecays_13TeV-powheg_*/{...}_ext1-v1	11.7

Table A.2.: All SUSY MC samples used in the analysis. {...} stands for 80X_mcRun2_asymptotic_2016 in abbreviation. All samples are of the MINIAODSIM format.

signal	data set
electroweak	
TChiNG	/SMS-TChiNG_BF50N50G_*/RunIISpring16MiniAODv2-PUSpring16Fast_{...}_miniAODv2_v0-v1
GMSB model	/GMSB_GravitinoLSP_Nidecays_*/RunIISummer16MiniAODv2-PUSummer16Fast_{...}_TrancheIV_v6-v1
strong production	
T5bbbbZG	/SMS-T5bbbbZg_*/RunIISummer16MiniAODv2-PUSummer16Fast_{...}_TrancheIV_v6-v2

Table A.3.: Trigger paths used in the analysis.

trigger path
dielectron trigger
HLT_Ele17_Ele12_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_Ele23_Ele12_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_DoubleEle33_CaloIdL_GsfTrkIdVL_v*
HLT_DoubleEle33_CaloIdL_GsfTrkIdVL_MW_v*
dimuon trigger
HLT_Mu17_TrkIsoVVL_Mu8_TrkIsoVVL_v*
HLT_Mu17_TrkIsoVVL_TkMu8_TrkIsoVVL_v*
HLT_Mu17_TrkIsoVVL_Mu8_TrkIsoVVL_DZ_v*
HLT_Mu17_TrkIsoVVL_TkMu8_TrkIsoVVL_DZ_v*
HLT_TkMu17_TrkIsoVVL_TkMu8_TrkIsoVVL_DZ_v*
HLT_Mu27_TkMu8_v*
HLT_Mu30_TkMu11_v*
electron-muon trigger
HLT_Mu17_TrkIsoVVL_Ele12_CaloIdL_TrackIdL_IsoVL_v*
HLT_Mu23_TrkIsoVVL_Ele8_CaloIdL_TrackIdL_IsoVL_v*
HLT_Mu23_TrkIsoVVL_Ele8_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_Mu23_TrkIsoVVL_Ele12_CaloIdL_TrackIdL_IsoVL_v*
HLT_Mu23_TrkIsoVVL_Ele12_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_Mu8_TrkIsoVVL_Ele17_CaloIdL_TrackIdL_IsoVL_v*
HLT_Mu8_TrkIsoVVL_Ele23_CaloIdL_TrackIdL_IsoVL_v*
HLT_Mu8_TrkIsoVVL_Ele23_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_Mu12_TrkIsoVVL_Ele23_CaloIdL_TrackIdL_IsoVL_v*
HLT_Mu12_TrkIsoVVL_Ele23_CaloIdL_TrackIdL_IsoVL_DZ_v*
HLT_Mu30_Ele30_CaloIdL_GsfTrkIdVL_v*
HLT_Mu33_Ele33_CaloIdL_GsfTrkIdVL_v*
HT trigger
HLT_PFHT200_v*
HLT_PFHT250_v*
HLT_PFHT300_v*
HLT_PFHT350_v*
HLT_PFHT400_v*
HLT_PFHT475_v*
HLT_PFHT600_v*
HLT_PFHT650_v*
HLT_PFHT800_v*
MET trigger
HLT_PFMET110_PFMHT110_IDTight_v*
HLT_PFMET120_PFMHT120_IDTight_v*
HLT_PFMET170_NoiseCleaned_v *
HLT_PFMET170_HBHECleaned_v*
HLT_PFMET170_JetIdCleaned_v*
HLT_PFMET170_NotCleaned_v*
HLT_PFMET300_v*
HLT_PFMET400_v*
HLT_PFMET500_v*