

*Algorithms in the Time of COVID19*  
*Midterm+DP<sup>1</sup> - Recitation<sup>2</sup> 8*

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<sup>2</sup>Hand-written notes available at: <https://www.dropbox.com/sh/x1z104c22d51pox/AACiJdDSKe2SDZw3qNljNApka?dl=0>

# Midterm

**Problem 1.** Given  $f$  and  $g$ , indicate whether  $f = O(g)$ , or  $f = \Omega(g)$ , or both (in which case  $f = \Theta(g)$ ). Briefly explain your answer.

1.  $f(n) = \log(n^4 - 1), g(n) = \log(n^2 + 3n)$ .

2.  $f(n) = \log(2^{n^2} + n^2), g(n) = \log(n^{472})$ .

## Midterm

**Problem 2.** Consider the recurrence

$$T(n) = T(n/4) + T(n/2) + n \text{ with } T(1) = 1.$$

1. Using a recursion tree, determine a tight asymptotic upper bound on  $T(n)$ .
2. Prove your upper bound using induction.

## Midterm

**Problem 3.** Suppose you have some procedure FASTERMERGE that given two sorted lists of length  $m$  each, merges them into one sorted list using  $m^c$  steps for some constant  $c > 0$ . Write a recursive algorithm using FASTERMERGE to sort a list of length  $n$  and also calculate the run-time of this algorithm as a function of  $c$ . For what values of  $c$  does the algorithms perform better than  $O(n \log n)$ ?

## Midterm

**Problem 4.** Let  $A$  be an array with  $n$  *distinct* integer elements in sorted order. Consider the following algorithm

$\text{IdFind}(A, j, k)$  that finds an  $i \in \{j, \dots, k\}$  such that  $A[i] = i$ , or returns FALSE if no such element  $i$  exists.

$\text{IdFind}(A, j, k)$

- ▶ if  $j > k$  return FALSE
- ▶ Set  $i := \dots$
- ▶ if  $A[i] = \dots$  return  $\dots$
- ▶ if  $A[i] < \dots$  return  $\text{IdFind}(A, \dots, \dots)$
- ▶ return  $\text{IdFind}(A, \dots, \dots)$

1. Fill in the blanks (denoted  $\dots$ ) to complete the above algorithm.
2. Prove correctness and analyze the running time of the algorithm.

## Midterm

**Problem 5.** Given symbols  $A, B, C, D, E, F$  with frequencies  $f_A = 0.1$ ,  $f_B = 0.1$ ,  $f_C = 0.2$ ,  $f_D = 0.3$ ,  $f_E = 0.15$ ,  $f_F = 0.15$ .

1. Draw the decoding tree of the optimal prefix code for this set of symbols.
2. What is the average encoding length of this set of symbols?
3. **Bonus [5 marks]:** What is the optimal ternary tree and corresponding encoding length for this set of symbols? (In a ternary tree, each node has *at most* 3 children. A ternary tree corresponds to a prefix code over  $\{0, 1, 2\}$ . For a given set of symbols, the optimal ternary tree gives the minimal average encoding length of all symbols.)
4. **Bonus [5 marks]:** Describe an algorithm for finding the optimal ternary tree when given a set  $S$  of  $n$  symbols with frequencies  $f_1, \dots, f_n$ .

## *Exercises*

**Problem 6.** Prove the correctness of the following algorithm that finds the optimal ternary tree.

## *Exercises - P4.4 in the problem set*

We say that an array  $A$  is *c-nice* for a *constant*  $c$  if for all  $1 \leq i < j \leq n$  such that  $j - i \geq c$ , we have that  $A[i] \leq A[j]$ . For example, a 1-nice array is completely sorted (in ascending order). In this problem we will sort such *c-nice* arrays  $A$  using INSERTIONSORT and QUICKSORT and compare the results.



*Exercises - P4.4 in the problem set*

**Problem 7.** What is the worst case running time of insertion sort on a  $c$ -nice array?

## Exercises - P4.4 in the problem set

Consider now a run of Quicksort on a  $c$ -nice array (where the pivot element is chosen (deterministically) as the last element of the array).

**Problem 8.** (a) Derive a lower bound on the rank  $q$  of the pivot.<sup>3</sup> (b) Argue that after partitioning, the two subarrays  $A[1..q-1]$  and  $A[q+1..n]$  to the left and to the right of the pivot, respectively, are both  $c$ -nice. (c) Let  $T(n)$  be the best-case running time of quicksort on a  $c$ -nice array with  $n$  elements. Derive a recurrence for  $T(n)$  and solve it.

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<sup>3</sup>Among  $n$  elements, the  $i$ -th smallest element has rank  $i$ .

# *Dynamic programming*

## *Dynamic programming - weighted interval scheduling*

- ▶ Input:  $n$  intervals  $[s_1, f_1], \dots, [s_n, f_n]$  with weights  $w_1, \dots, w_n$ .
- ▶ Output: a set  $S$  of disjoint intervals that maximize  $\sum_{i \in S} w_i$

## Dynamic programming - weighted interval scheduling

$\text{next}[i]$ : first non-overlapping interval on the right of  $i$   
( $:=n+1$  if not exist)

$\text{Opt}(i)$ : maximum income that we can earn using intervals from  $i$  to  $n$

what we want

choose 1

not choose 1

$$\text{Opt}(1) = \max \{ \text{Opt}(\text{next}[1]) + w_1, \text{Opt}(2) \}$$

# Dynamic programming - weighted interval scheduling

Algorithm (top-down weighted interval scheduling)

- sort the intervals by non-decreasing starting time, i.e.  $s_1 \leq s_2 \leq \dots \leq s_n$ .

- compute  $\text{next}[i]$  for  $1 \leq i \leq n$ .

$O(n \log n)$

- compute  $\text{opt}(1)$ .

$O(n)$

$\text{opt}(i)$  // recursive function

if  $i = n+1$ , return 0.

$\text{opt}(i) = \max \{ w_i + \text{opt}(\text{next}[i]), \text{opt}(i+1) \}$ . // choose  $i$ , or not choose  $i$

**Question.** How to compute  $\text{next}[i]$  in  $O(n \log n)$  time?

## *Dynamic programming - Knapsack*

- ▶ Input:  $n$  items, each with weight  $w_i$  and value  $v_i$ , and a positive integer  $C$ .
- ▶ Output: a set  $S$  with  $\sum_{i \in S} w_i \leq C$  maximizes  $\sum_{i \in S} v_i$ .

## *Exercises*

**Problem 9.** Assume that there are  $n$  objects, and the  $i$ -th object weights  $w_i > 0$  kilograms and has value  $v_i$ . Here  $n$  and  $v_i$  are both positive integers with  $n > v_i$ . With a knapsack of capacity  $W > 0$  kilograms, design an algorithm to find the maximum total value of the objects with which we can fill the knapsack.