

# CSCI-SHU 220 - Algorithms

## Recitation 1

Hand-written notes during recitation will be available at:

<https://www.dropbox.com/sh/x1z104c22d51pox/AACiJdDSKe2SDZw3qNljNApka?dl=0>

### A. Asymptotics: a review

- *Why asymptotics?* In short:

to suppress  $\underbrace{\text{constant factors}}_{\text{machine dependent}}$  and  $\underbrace{\text{lower-order terms}}_{\text{irrelevant for large inputs}}$

*Historical point of view:* check the paper of Donald E. Knuth: <sup>1</sup>

“On the basis of the issues discussed here, I propose that members of SIGACT,<sup>2</sup> and editors of computer science and mathematics journals, adopt the  $O$ ,  $\Omega$ , and  $\Theta$  notations as defined above, unless a better alternative can be found reasonably soon”.

- *Big-O notation in English words:*

$T(n) = O(f(n))$  if and only if  $T(n)$  is *eventually bounded above* by a *constant* multiple of  $f(n)$ .

*Note:* by a *constant* multiple we mean some number independent of  $n$ .

*A set-theoretical view:*  $O(f(n))$  is the set of all functions which are eventually bounded above by  $f(n)$ . By  $T(n) = O(f(n))$  it means that  $T(n) \in O(f(n))$ .<sup>3</sup>

- *Big-O notation in mathematical words:*

$T(n) = O(f(n))$  if and only if there are positive constants  $c$  and  $n_0$  such that

$$T(n) \leq c \cdot f(n), \text{ for all } n \geq n_0. \quad (1)$$

*A game-theoretical view:* if you want to prove  $O(f(n))$ , then your task is to choose the constants  $c$  and  $n_0$  such that (1) holds whenever  $n \geq n_0$ . Think of it as a contest between you and an opponent. You go first, and have to commit to constants  $c$  and  $n_0$ . Your opponent goes second and can choose any integer  $n$  that is at least  $n_0$ . You win if (1) holds; your opponent wins if the opposite inequality  $T(n) > c \cdot f(n)$  holds.

### B. Exercises

1. Describe  $\Theta$  and  $\Omega$  notations in English words.
2. Suppose  $T(n) = a_k n^k + \dots + a_1 n + a_0$ , where  $k$  is a non-negative integer and the  $a_i$ 's are real numbers. Prove that  $T(n) = O(n^k)$ .
3. Let  $k \geq 1$  be a positive integer and let  $T(n) = n^k$ . Prove that  $T(n)$  is not  $O(n^{k-1})$ .
4. For  $T(n) = 2^{n+100}$ , prove or disprove:  $T(n) = O(2^n)$ .
5. For  $T(n) = 2^{100n}$ , prove or disprove:  $T(n) = O(2^n)$ .
6. Let  $f$  and  $g$  denote functions from the positive integers to the non-negative real numbers, and define  $T(n) = \max(f(n), g(n))$  for each  $n > 1$ . Prove that  $T(n) = \Theta(f(n) + g(n))$ .
7. Let  $f$  and  $g$  be non-decreasing real-valued functions defined on the positive integers, and  $f(1) \geq 1$  and  $g(1) \geq 1$ . Prove or disprove that  $f(n) = O(g(n)) \Rightarrow 2^{f(n)} = O(2^{g(n)})$ .

<sup>1</sup>Donald E. Knuth, “Big Omicron and Big Omega and Big Theta,” SIGACT News, Apr.-June 1976, page 23. Reprinted in *Selected Papers on Analysis of Algorithms* (Center for the study of Language and Information, 2000).

<sup>2</sup>SIGACT is the special interest group of the ACM (Association for Computing Machinery) that concerns theoretical computer science, and in particular the analysis of algorithms.

<sup>3</sup>Before the adoption of the big-O notation, Knuth used  $(\leq f(n))$  to denote the set of all functions which are eventually bounded above by  $f(n)$ , and you can guess what  $(\sim f(n))$  and  $(\geq f(n))$  are.

8. Arrange the following functions in order of increasing growth rate, with  $g(n)$  following  $f(n)$  in your list if and only if  $f(n) = O(g(n))$ .

- a)  $n^2 \log_2 n$
- b)  $2^n$
- c)  $2^{2^n}$
- d)  $n^{\log_2 n}$
- e)  $n^2$

9. Prove that

$$\log(n!) = \Theta(n \log n). \quad (2)$$

*Hint:* To show an upper bound, compare  $n!$  with  $n^n$ . To show a lower bound, compare it with  $(n/2)^{n/2}$ .

10. Prove that

$$\sum_{k=1}^{2^n} \frac{1}{k} = \Theta(n). \quad (3)$$

### C. The watermelon problem.

Let us go back to the watermelon problem in mathematical terms.

- (a) What is the maximum number  $L_n$  of 2D regions defined by  $n$  lines of  $\mathbb{R}^2$ ? *Examples:*  $L_1 = 2, L_2 = 4, L_3 = 7$ . *Hint:* find a relation between  $L_n$  and  $L_{n-1}$ .
- (b) What is the maximum number  $P_n$  of 3D regions defined by  $n$  parallel planes of  $\mathbb{R}^3$ ?
- (c) Next we will ask you for the maximum number  $P_n$  of 3D regions defined by  $n$  planes of  $\mathbb{R}^3$ . Think the moment when you have  $n - 1$  planes and you are going to add a new one to obtain the regions defined by those  $n$  planes. At most how many lines does the newly added plane contain as the intersections with other  $n - 1$  planes? What is the maximum number of 2D regions defined by those lines?
- (d) What is the maximum number  $P_n$  of 3D regions defined by  $n$  planes of  $\mathbb{R}^3$ ?

### D. L<sup>A</sup>T<sub>E</sub>X.

To start with, read this:

<https://tobi.oetiker.ch/lshort/lshort.pdf>

The following link is useful when you try to find some mathematical symbols.

[https://en.wikipedia.org/wiki/List\\_of\\_mathematical\\_symbols\\_by\\_subject](https://en.wikipedia.org/wiki/List_of_mathematical_symbols_by_subject)