

Foundations of Certified Programming Language and Compiler Design

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Outline



Lecture	Logic	Formalisms	PL
1	Propositional and first-order logic		
2			Functional programming
3		Syntax and Semantics	
4			The untyped lambda calculus
5		Types	
6			The typed lambda calculus
7			Polymorphism
8		Curry-Howard	
9			Higher-order types
10			Dependent types

Main Goals



Remember evaluation may get stuck, i.e., fail at runtime! (`succ true`)

Desirable goal The compiler *verifies* statically (i.e, at compile-time,) that my program does not get stuck.



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Let's enter the world of types and

- learn how to define a type system based upon which
- we can prove that evaluation of typed terms cannot get stuck.

Syntax of Arithmetic Expression with Booleans



Syntax

t	$::=$		terms:
		true	constant true
		false	constant false
		if t then t else t	conditional

v	$::=$		values:
		true	true value
		false	false value

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Additional Syntax

t	$::=$...	terms:
		0	constant zero
		succ t	successor
		pred t	predecessor
		iszero t	check

v	$::=$...	values:
		nv	numeric value

nv	$::=$		numeric values
		0	zero value
		succ nv	successor value

Examples



Terms `if iszero 0 then succ 0 else 0, pred (succ 0), true, 0, ...`

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"Other terms" `if 0 then succ 0 else 0, succ true,`

Boolean values `true, false`

Numeric Values `0, succ 0, succ succ 0, ...`

Semantics



$$t \longrightarrow t'$$



$$\frac{t_1 \longrightarrow t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3} \text{ E-If}$$

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$$\frac{}{\text{if true then } t_2 \text{ else } t_3 \longrightarrow t_2} \text{E-IfTrue}$$

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$$\frac{t \longrightarrow t'}{\text{succ } t \longrightarrow \text{succ } t'} \text{ E-Succ}$$

$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ E-Pred}$$



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$$\frac{t \longrightarrow t'}{\text{pred } t \longrightarrow \text{pred } t'} \text{ E-PRED}$$

$$\frac{}{\text{pred } (\text{succ } nv) \longrightarrow nv} \text{ E-PREDSUCC}$$

$$\frac{}{\text{pred } 0 \longrightarrow 0} \text{ E-PREDZERO}$$



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$$\frac{}{\text{iszero } 0 \longrightarrow \text{true}} \text{ E-ISZERO2}$$



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Examples

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Stuck terms `if 0 then succ 0 else 0, succ true,`

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- To refer to types in general, we use metavariables S, T, U .
- We say “a term t has type T ” and mean that t evaluates to a value of type T .

The Typing Relation



New syntactic forms:

The Typing Relation



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$T ::=$ types:
 | Bool type of booleans

$T ::= \dots$ types:
 | Nat type of natural numbers

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$\frac{}{0 : \text{Nat}}$	T-ZERO	$\frac{t : \text{Nat}}{\text{succ } t : \text{Nat}}$	T-SUCC
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$\frac{}{\text{true} : \text{Bool}} \text{ T-TRUE}$	$\frac{}{\text{false} : \text{Bool}} \text{ T-FALSE}$	$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$	



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	$\frac{t : \text{Nat}}{\text{iszero } t : \text{Bool}} \text{ T-ISZERO}$		

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Typed terms `if iszero 0 then succ 0 else 0 : Nat, pred (succ 0) : Nat, true : Bool, 0 : Nat ...`



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Formally, the *typing relation* (for our example language) is the smallest binary relation between terms and types satisfying all instances of the associated rules.



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Definition (Well-typedness)

A term t is *typable* (or *well-typed*) if there is some T such that $t : T$.



- Recursive definition to calculate the type of a term for each syntactic form:

Lemma (Inversion of the typing relation)

1. *If $0 : R$ then $R = \text{Nat}$.*



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4. If $\text{true} : R$ then $R = \text{Bool}$.
5. If $\text{false} : R$ then $R = \text{Bool}$.
6. If $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$ then $t_1 : \text{Bool}, t_2 : R$ and $t_3 : R$.



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4. If $\text{true} : R$ then $R = \text{Bool}$.
5. If $\text{false} : R$ then $R = \text{Bool}$.
6. If $\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$ then $t_1 : \text{Bool}, t_2 : R$ and $t_3 : R$.
7. If $\text{iszero } t : R$ then $t : \text{Nat}$ and $R = \text{Bool}$.



Proof.

Immediate from the typing relation.



Typing Derivations



Typing Derivations



$$\frac{\frac{}{\text{true} : \text{Bool}} \text{ T-TRUE} \quad \frac{}{0 : \text{Nat}} \text{ T-ZERO} \quad \frac{\frac{}{0 : \text{Nat}} \text{ T-ZERO}}{\text{succ } 0 : \text{Nat}} \text{ T-SUCC}}{\text{if true then } 0 \text{ else succ } 0 : \text{Nat}} \text{ T-IF}$$

Typing statements are formal assertions about the typing of the program.



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Typing derivations are deductions based on typing rules.

Theorem (Uniqueness of Types)

Each term t has at most one type. That is, if t is typable, then its type is unique and there is only one derivation of this typing from the inference rules.



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Proof.

Structural induction on t with applications of the inversion lemma and the induction hypotheses. □

Safety



- Well-typed terms do not “go wrong”.

¹In most systems, the resulting term has the same type.

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Definition (Progress)

A well-typed term is not stuck (either it is a value or it can take a step according to the evaluation rules.)

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Definition (Progress)

A well-typed term is not stuck (either it is a value or it can take a step according to the evaluation rules.)

Definition (Preservation)

If a well-typed term takes a step of evaluation, then the resulting term is also well-typed.¹

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Canonical Forms

Lemma



When proving progress, it is helpful to identify the well-typed terms that are values for the types in our language.



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When proving progress, it is helpful to identify the well-typed terms that are values for the types in our language.

Lemma (Canonical Forms)

1. If $v : \text{Bool}$ is a value (of type Bool) then $v = \text{true} \vee v = \text{false}$.
2. If $v : \text{Nat}$ is a value (of type Nat) then $v = 0 \vee v = \text{succ } v_1$ where $v_1 : \text{Nat}$ is a value.



Examples

Terms in \rightarrow `if iszero 0 then succ 0 else 0, pred (succ 0), true, 0, ...`

Stuck terms `if 0 then succ 0 else 0, succ true,`

Boolean values `true, false`

Numeric Values `0, succ 0, succ succ 0, ...`

Typed terms `if iszero 0 then succ 0 else 0 : Nat, pred (succ 0) : Nat, true : Bool, 0 : Nat ...`

Canonical forms `true : Bool, false : Bool, 0 : Nat, succ 0 : Nat, succ succ 0 : Nat, ...`

Canonical Forms

Proof



- Remember what we do: We connect terms that are defined as values to types.
- Mind the difference between the value definition `succ nv` and the term definition `succ t!`



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Proof.

- Values/(valued terms): `true`, `false`, `0`, `succ n` where n is a numeric value.
- Applying the inversion lemma to connect values(/terms) to types, we get:
 1. the only boolean-typed terms that are values are: `true : Bool`, `false : Bool`
 2. the only numeric-typed terms that are values are: `0`, `succ n` where n is a value of type `Nat`





Theorem (Progress)

If $t : T$ then either t is a value or there exists a t' such that $t \longrightarrow t'$.



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Case	Rule with $t:T$	Proof
T-TRUE	$\frac{}{\text{true} : \text{Bool}} \text{ T-TRUE}$	Immediate.
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T-ZERO	$\frac{}{0 : \text{Nat}} \text{ T-ZERO}$	Immediate.

Progress

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T-IF

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1) If t_1 is a value then



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By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = \text{true}$ or $t_1 = \text{false}$.



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By E-IfTrue or E-IfFalse we have $t \longrightarrow t'$ where $t' = t_2$ or $t' = t_3$.



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Case	Rule with $t:T$
T-IF	$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$

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By E-IFTRUE or E-IFFALSE we have $t \longrightarrow t'$ where $t' = t_2$ or $t' = t_3$.

2) If $t_1 \longrightarrow t'_1$ then

by E-IF $t \longrightarrow t'$ where $t' = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$.

Progress

Proof



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Case

Rule with $t:T$

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Case	Rule with $t:T$	Proof
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$	By induction hypothesis:



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$	By induction hypothesis: 1) If t_1 is a value then



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$

Proof

By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = 0$ or $t_1 = \text{succ } nv$.



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$

Proof

By induction hypothesis:

1) If t_1 is a value then

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By the syntax definition of values ($nv ::=$) we have that $t = \text{succ } t_1$ is a value.



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$

Proof

By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = 0$ or $t_1 = \text{succ } nv$.

By the syntax definition of values ($nv ::=$) we have that $t = \text{succ } t_1$ is a value.

2) If $t_1 \longrightarrow t'_1$ then



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}}$	T-Succ

Proof

By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = 0$ or $t_1 = \text{succ } nv$.

By the syntax definition of values ($nv ::=$) we have that $t = \text{succ } t_1$ is a value.

2) If $t_1 \longrightarrow t'_1$ then

by E-Succ we have $t \longrightarrow t'$ where $t' = \text{succ } t'_1$.



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-PRED	$\frac{t_1 : \text{Nat}}{\text{pred } t_1 : \text{Nat}} \text{ T-PRED}$	By induction hypothesis:



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-PRED	$\frac{t_1 : \text{Nat}}{\text{pred } t_1 : \text{Nat}} \text{ T-PRED}$	By induction hypothesis: 1) If t_1 is a value then



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	
T-PRED	$\frac{t_1 : \text{Nat}}{\text{pred } t_1 : \text{Nat}}$	T-PRED

Proof

By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = 0$ or $t_1 = \text{succ } nv$ (because $t_1 : \text{Nat}$) such that



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	
T-PRED	$\frac{t_1 : \text{Nat}}{\text{pred } t_1 : \text{Nat}} \text{ T-PRED}$	

Proof

By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = 0$ or $t_1 = \text{succ } nv$ (because $t_1 : \text{Nat}$) such that

either E-PREDZERO or E-PREDSUCC applies.



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	
T-PRED	$\frac{t_1 : \text{Nat}}{\text{pred } t_1 : \text{Nat}}$	T-PRED

Proof

By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = 0$ or $t_1 = \text{succ } nv$ (because $t_1 : \text{Nat}$) such that

either E-PREDZERO or E-PREDSUCC applies.

2) If $t_1 \longrightarrow t'_1$ then



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	
T-PRED	$\frac{t_1 : \text{Nat}}{\text{pred } t_1 : \text{Nat}}$	T-PRED

Proof

By induction hypothesis:

1) If t_1 is a value then

by the Canonical Forms lemma: $t_1 = 0$ or $t_1 = \text{succ } nv$ (because $t_1 : \text{Nat}$) such that

either E-PREDZERO or E-PREDSUCC applies.

2) If $t_1 \longrightarrow t'_1$ then

by E-PRED $t \longrightarrow \text{pred } t'_1$.



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	
T-IsZERO	$\frac{t_1 : \text{Nat}}{\text{iszero } t_1 : \text{Bool}}$	T-IsZERO

Proof

By induction hypothesis: ... homework.





Theorem (Preservation)

If $t : T$ and $t \longrightarrow t'$ then $t' : T$.

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof



Preservation

Proof

- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-TRUE	$\frac{}{\text{true} : \text{Bool}} \text{ T-TRUE}$	t is a value such that there is no t' and the theorem holds.



Preservation

Proof

- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-TRUE	$\frac{}{\text{true} : \text{Bool}} \text{ T-TRUE}$	t is a value such that there is no t' and the theorem holds.
T-FALSE	$\frac{}{\text{false} : \text{Bool}} \text{ T-FALSE}$	t is a value such that there is no t' and the theorem holds.



Preservation

Proof

- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-TRUE	$\frac{}{\text{true} : \text{Bool}} \text{T-TRUE}$	t is a value such that there is no t' and the theorem holds.
T-FALSE	$\frac{}{\text{false} : \text{Bool}} \text{T-FALSE}$	t is a value such that there is no t' and the theorem holds.
T-ZERO	$\frac{}{0 : \text{Nat}} \text{T-ZERO}$	t is a value such that there is no t' and the theorem holds.

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof

T-IF $\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$

We assume subderivations for t_1, t_2, t_3 . 3 possible evaluation rules for t :

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof

T-IF
$$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$$

We assume subderivations for t_1, t_2, t_3 . 3 possible evaluation rules for t :

1) By E-IFT_{TRUE} we know that $t_1 = \text{true}$ such that $t \longrightarrow t_2$. By T-IF we have that $t_2 : T$.

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof

T-IF

$$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$$

We assume subderivations for t_1, t_2, t_3 . 3 possible evaluation rules for t :

1) By E-IFTRUE we know that $t_1 = \text{true}$ such that $t \longrightarrow t_2$. By T-IF we have that $t_2 : T$.

2) By E-IFFALSE we know that $t_1 = \text{false}$ such that $t \longrightarrow t_3$. By T-IF we have that $t_3 : T$.

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof

T-IF
$$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$$

We assume subderivations for t_1, t_2, t_3 . 3 possible evaluation rules for t :

1) By E-IFTRUE we know that $t_1 = \text{true}$ such that $t \longrightarrow t_2$. By T-IF we have that $t_2 : T$.

2) By E-IFFALSE we know that $t_1 = \text{false}$ such that $t \longrightarrow t_3$. By T-IF we have that $t_3 : T$.

3) By E-IF we know that $t_1 \longrightarrow t'_1$ such that $t \longrightarrow t'$ where $t' = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$.



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$
T-IF	$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$

Proof

We assume subderivations for t_1, t_2, t_3 . 3 possible evaluation rules for t :

- 1) By E-IFTRUE we know that $t_1 = \text{true}$ such that $t \longrightarrow t_2$. By T-IF we have that $t_2 : T$.
- 2) By E-IFFALSE we know that $t_1 = \text{false}$ such that $t \longrightarrow t_3$. By T-IF we have that $t_3 : T$.
- 3) By E-IF we know that $t_1 \longrightarrow t'_1$ such that $t \longrightarrow t'$ where $t' = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$.

By T-IF we have that $t_2 : T, t_3 : T$.



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$
T-IF	$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$

Proof

We assume subderivations for t_1, t_2, t_3 . 3 possible evaluation rules for t :

- 1) By E-IFTRUE we know that $t_1 = \text{true}$ such that $t \longrightarrow t_2$. By T-IF we have that $t_2 : T$.
- 2) By E-IFFALSE we know that $t_1 = \text{false}$ such that $t \longrightarrow t_3$. By T-IF we have that $t_3 : T$.
- 3) By E-IF we know that $t_1 \longrightarrow t'_1$ such that $t \longrightarrow t'$ where $t' = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$.

By T-IF we have that $t_2 : T, t_3 : T$.

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case

Rule with $t:T$

Proof

T-IF
$$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \text{ T-IF}$$

We assume subderivations for t_1, t_2, t_3 . 3 possible evaluation rules for t :

1) By E-IFTRUE we know that $t_1 = \text{true}$ such that $t \longrightarrow t_2$. By T-IF we have that $t_2 : T$.

2) By E-IFFALSE we know that $t_1 = \text{false}$ such that $t \longrightarrow t_3$. By T-IF we have that $t_3 : T$.

3) By E-IF we know that $t_1 \longrightarrow t'_1$ such that $t \longrightarrow t'$ where $t' = \text{if } t'_1 \text{ then } t_2 \text{ else } t_3$.

By T-IF we have that $t_2 : T, t_3 : T$.

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

<i>Case</i>	<i>Rule with $t:T$</i>	<i>Proof</i>
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Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$	We assume a subderivation of t_1 . One possible rule exists:

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$	<p>We assume a subderivation of t_1. One possible rule exists:</p> <p>By E-Succ we know that $t \longrightarrow t'$ where $t' = \text{succ } t'_1$.</p>

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$	<p>We assume a subderivation of t_1. One possible rule exists:</p> <p>By E-Succ we know that $t \longrightarrow t'$ where $t' = \text{succ } t'_1$.</p> <p>By induction hypothesis, we have that $t_1 \longrightarrow t'_1$ with $t'_1 : \text{Nat}$.</p>

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-Succ	$\frac{t_1 : \text{Nat}}{\text{succ } t_1 : \text{Nat}} \text{ T-Succ}$	<p>We assume a subderivation of t_1. One possible rule exists:</p> <p>By E-Succ we know that $t \longrightarrow t'$ where $t' = \text{succ } t'_1$.</p> <p>By induction hypothesis, we have that $t_1 \longrightarrow t'_1$ with $t'_1 : \text{Nat}$.</p> <p>By T-Succ on $\text{succ } t'_1$, we have that $t' : \text{Nat}$, i.e., $t' : T$.</p>

Preservation

Proof



- The proof is by induction on the typing derivation for $t : T$.
- Cases:

Case	Rule with $t:T$	Proof
T-PRED	$\frac{t_1 : \text{Nat}}{\text{pred } t_1 : \text{Nat}} \text{ T-PRED}$	Homework.
T-ISZERO	$\frac{t_1 : \text{Nat}}{\text{iszero } t_1 : \text{Bool}} \text{ T-ISZERO}$	Homework.



What we have learned



We have entered the world of types, i.e., compile-time verification:

- We know what a typing relation is: it prevents runtime errors, i.e., stuck evaluation.
- We know how to connect terms and types.
- We understand how to prove the first vital property of a typed language at compile-time: safety.