

# Foundations of Certified Programming Language and Compiler Design

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# Outline



Lecture	Logic	Formalisms	PL
1	Propositional and first-order logic		
2			Functional programming
3		Syntax and Semantics	
4			The untyped lambda calculus
5		Types	
6			The typed lambda calculus
7			Polymorphism
8		Curry-Howard	
9			Higher-order types
10			Dependent types

# Goals



Let's understand the foundation of programming languages

- as a mathematical system
- that allows for proving theorems.

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- a simple programming language *in which* computations can be described and
- a mathematical object *about which* rigorous statements can be proved.



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- `if 0=0 then 1 else 0 * factorial (0-1)`
- to compute the result `1`.

# The Untyped Lambda Calculus

## Syntax



- The lambda calculus captures exactly this essence of programming in its purest form:

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|  $x$   
|  $\lambda x. t$   
|  $t t$

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- A term with no free variables is called a *closed term* or *combinator*.

`id =  $\lambda x. x$`

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- *rewrites* an application with an abstraction on the left-hand side by
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- where  $[x \mapsto t_2] t_{12}$  means the term obtained by “replacing all **free** occurrences of  $x$  in  $t_{12}$  by  $t_2$ .”

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- Consider this term with 3 redexes:

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- The strategy is mostly irrelevant for the typed lambda calculus. (Let’s stick with call by value.)



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## Evaluation Rules

$$\boxed{t \longrightarrow t'}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1\ t_2 \longrightarrow t'_1\ t_2} \text{ E-APP1}$$

$$\frac{t_2 \longrightarrow t'_2}{v_1\ t_2 \longrightarrow v_1\ t'_2} \text{ E-APP2}$$

$$\frac{}{(\lambda x.t_{12})\ v_2 \longrightarrow [x \mapsto v_2]t_{12}} \text{ E-APPABS}$$



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 [x \mapsto s](\lambda y. t_1) &= \begin{cases} \lambda y. t_1 & \text{if } y = x \\ \lambda y. [x \mapsto s]t_1 & \text{if } y \neq x \end{cases} \\
 [x \mapsto s](t_1 t_2) &= ([x \mapsto s]t_1) ([x \mapsto s]t_2)
 \end{aligned}$$

- But what about  $[x \mapsto z](\lambda z. x) = \lambda z. z$  ?!
- This problem is called *variable capture*.

## Substitution Formally



- Let's define a function  $[x \mapsto s]$  inductively over terms  $t$ .
- *Capture-avoiding substitution:*

$$\begin{aligned} [x \mapsto s]x &= s \\ [x \mapsto s]y &= y && \text{if } y \neq x \\ [x \mapsto s](\lambda y. t_1) &= \begin{cases} \lambda y. t_1 & \text{if } y = x \\ \lambda y. [x \mapsto s]t_1 & \text{if } y \neq x \text{ and } y \notin FV(s) \end{cases} \\ [x \mapsto s](t_1 \ t_2) &= ([x \mapsto s]t_1) ([x \mapsto s]t_2) \end{aligned}$$

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- This function is only partial! Consider  $[x \mapsto y z](\lambda y. x y)$





- Let's define a function  $[x \mapsto s]$  inductively over terms  $t$ .
- Common fix: working with terms up to renaming of bound variables (Church: alpha conversion).

### Convention

*Terms that differ only in the names of bound variables are interchangeable in all contexts.*



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## Convention

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- Example:  $[x \mapsto y z](\lambda y. x y) \xrightarrow{\alpha} [x \mapsto y z](\lambda w. x w) = \lambda w. y z w$

## Definition (Substitution)

$$\begin{array}{lll} [x \mapsto s]x & = & s \\ [x \mapsto s]y & = & y \quad \text{if } y \neq x \\ [x \mapsto s](\lambda y. t_1) & = & \lambda y. [x \mapsto s]t_1 \quad \text{if } y \neq x \text{ and } y \notin FV(s) \\ [x \mapsto s](t_1 t_2) & = & ([x \mapsto s]t_1) ([x \mapsto s]t_2) \end{array}$$



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  - or shorter  $\text{and} = \lambda b. \lambda c. b \ c \ \text{fls}$
- Pairs based on booleans:  $\text{pair} = \lambda f. \lambda s. \lambda b. b \ f \ s$  where  $\text{fst} = \lambda p. p \ \text{tru}$  and  $\text{snd} = \lambda p. p \ \text{fls}$

## Recursion



- Not all terms reduce to a normal form!

---

<sup>1</sup>Also known as the *call by value Y-combinator*. The call by name version is simpler:  $\text{fix} = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$

## Recursion



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- Not all terms reduce to a normal form!
- Consider this combinator:  $\text{omega} = (\lambda x.x\ x) (\lambda x.x\ x)$
- Its generalization gives rise to the *fix-point combinator*<sup>1</sup>:

$$\text{fix} = \lambda f.(\lambda x.f\ (\lambda y.x\ x\ y)) (\lambda x.f\ (\lambda y.x\ x\ y))$$

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- Not all terms reduce to a normal form!
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$$\text{fix} = \lambda f.(\lambda x.f (\lambda y.x x y)) (\lambda x.f (\lambda y.x x y))$$

- `fix` embodies recursion.
- Consider our factorial example (with Church numerals) again:

```
if realeq n c0 then c1
else times n (
  if realeq (prd n) c0 then c1
  else times (prd n) (
    if realeq (prd (prd n)) c0 then c1
    else times (prd (prd n)) (
      ...)))
```

```
g = λfct.λn.if realeq n c0 then c1
           else times n (fct (prd n))
factorial = fix g
```

---

<sup>1</sup>Also known as the *call by value Y-combinator*. The call by name version is simpler:  $\text{fix} = \lambda f.(\lambda x.f (x x)) (\lambda x.f (x x))$



# Encoding data



Coq

Church

Scott

---

<sup>0</sup> [Pieter Koopman, Rinus Plasmeijer, and Jan Martin Jansen](#). "Church encoding of data types considered harmful for implementations". In: *26th Symposium on Implementation and Application of Functional Languages (IFL)*. 2014

## Encoding data



Coq

Church

Scott

$c_0 = \lambda s. \lambda z. z$   
 $c_1 = \lambda s. \lambda z. s\ z$   
 $c_2 = \lambda s. \lambda z. s\ (s\ z)$   
 $c_3 = \lambda s. \lambda z. s\ (s\ (s\ z))$   
etc.

---

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## Encoding data



Coq

**Inductive**  $\mathbb{N}$  = 0  
| S:  $\mathbb{N} \rightarrow \mathbb{N}$

Church

$c_0 = \lambda s. \lambda z. z$   
 $c_1 = \lambda s. \lambda z. s \ z$   
 $c_2 = \lambda s. \lambda z. s \ (s \ z)$   
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etc.

**zero** =  $\lambda s \ z. z$   
**succ** =  $\lambda n \ s \ z. s \ (n \ s \ z)$

Scott

**zero** =  $\lambda z \ s. z$   
**succ** =  $\lambda n \ f \ g. g \ n$

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## Encoding data



Coq

```
Inductive N      = 0
  | S: N -> N
```

```
Inductive List a :=
  | nil: List a
  | cons: a -> List a -> List a
```

Church

```
c0 = λs.λz. z
c1 = λs.λz. s z
c2 = λs.λz. s (s z)
c3 = λs.λz. s (s (s z))
etc.
```

```
zero = λs z. z
succ = λn s z. s (n s z)
```

```
nil = λc x. x
cons = λh t c n. c h (t c n))
```

Scott

```
zero = λz s. z
succ = λn f g. g n
```

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nil = λc x. x
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Coq

```
Inductive N      = 0
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```
Inductive List a :=
  | nil: List a
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```

```
head : list a -> a
```

Church

```
c0 = λs.λz. z
c1 = λs.λz. s z
c2 = λs.λz. s (s z)
c3 = λs.λz. s (s (s z))
etc.
```

```
zero = λs z. z
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nil = λc x. x
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```
head = λl. l (λ x xs. x) undef
```

Scott

```
zero = λz s. z
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