

Foundations of Certified Programming Language and Compiler Design

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Outline



Lecture	Logic	Formalisms	PL
1	Propositional and first-order logic		
2			Functional programming
3		Syntax and Semantics	
4			The untyped lambda calculus
5		Types	
6			The typed lambda calculus
7			Polymorphism
8		Curry-Howard	
9			Higher-order types
10			Dependent types



- Terms in STLC:
 - $\text{idNat} = \lambda x : \text{Nat}. x, \quad \text{idBool} = \lambda x : \text{Bool}. x, \quad \dots$
- Let's
 - increase re-usability by
 - enabling *polymorphic abstractions*.

From Base Types to Type Variables



- So far, we define the notion of a (*uninterpreted*) *base type* without any specific functionality.
- Intuitively, base types are just placeholders for some type (that we do not care about).
- From now on, we treat base types as *type variables* that can be *substituted* and *instantiated*.

Type Variables formally



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$$\begin{aligned}\sigma(X) &= \begin{cases} T & \text{if } (X \mapsto T) \in \sigma \\ X & \text{if } X \notin \text{dom}(\sigma) \end{cases} \\ \sigma(\text{Nat}) &= \text{Nat} \\ \sigma(T_1 \rightarrow T_2) &= \sigma T_1 \rightarrow \sigma T_2 \end{aligned}$$



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- When $\sigma = [X \mapsto U]$ then we also write $[X \mapsto U]T$.

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- Also replacing Y with $X \rightarrow X$ gives well-typed term $\lambda f : X \rightarrow X. \lambda a : X. f (f a)$.
- Considered the most general instance.
- Looking for valid instantiations leads to *type reconstruction/type inference*.

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Representatives LiquidHaskell's subset types, Coq's sigma types, (Inheritance in object-oriented programming)



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- Most important property: type inference is decidable.
- Disclaimer: we restrict the presentation here to universal quantification.



t	$::=$		T	$::=$	
	x	terms:		X	monotypes:
	$\lambda x.t$	variable		$T \rightarrow T$	type variable
	$t t$	abstraction			type of functions
	$\text{let } x = t \text{ in } t$	application	P	$::=$	polytypes:
				T	monotype
v	$::=$	values:		$\forall X.P$	type scheme
	$\lambda x.t$	abstraction value	Γ	$::=$	contexts:
				\emptyset	empty context
				$\Gamma, x : P$	term variable binding



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- `map' : $\forall X. \forall Y. (\forall Z. Z \rightarrow Y) \rightarrow \text{List } X \rightarrow \text{List } Y$` is not supported by the grammar. (We will support this when talking about higher-order types.)

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Hindley-Milner Type system



Typing

$$\frac{x : P \in \Gamma \quad P \sqsubseteq T}{\Gamma \vdash x : T} \text{ T-VAR}$$

$$\boxed{\Gamma \vdash t : P}$$

$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x. t_2 : T_1 \rightarrow T_2} \text{ T-ABS}$$

$$\frac{\Gamma, t_1 : T_{11} \rightarrow T_{12} \quad t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}} \text{ T-APP}$$

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 $FV(\forall X_1 \dots X_n. T) = FV(T) \setminus \{X_1, \dots, X_n\}$



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$$\frac{\Gamma, x : \forall_\Gamma T_1 \vdash t_2 : T_2}{\Gamma \vdash \text{let } x = t_1 \text{ in } t_2 : T_2} \text{ T-LET}$$

where

- $P_1 \sqsubseteq P_2$ states that P_1 is more general than P_2 or P_2 specializes P_1

$$\begin{aligned} \forall X. X \rightarrow X &\sqsubseteq \forall Y. (Y \rightarrow Y) \rightarrow (Y \rightarrow Y) \\ &\sqsubseteq \text{Bool} \rightarrow \text{Bool} \end{aligned}$$

- $\forall_\Gamma T = \forall X_1 \dots X_n. T$ with $FV(T) \setminus FV(\Gamma) = X_1, \dots, X_n$ is called the *generalization of T*.

$$FV(\forall X_1 \dots X_n. T) = FV(T) \setminus \{X_1, \dots, X_n\}$$

$$FV(\Gamma) = \bigcup_{i=1}^n FV(P_i) \text{ for a context } \Gamma = x : P_1, \dots, x : P_n$$

Algorithm W



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- We will see HM type inference in Haskell in action at the end of the lecture. (See code.)

Type schemes with explicit types



- Let's take the concept of a type scheme and
- construct a type system with explicit types (again).
- (For conciseness, we drop the `let` form.)

Universal quantification

Syntax



Syntax:

t	$::=$		terms:	T	$::=$	monotypes:
		x	variable		X	type variable
		$\lambda x : T.t$	abstraction		$T \rightarrow T$	type of functions
		$t t$	application	P	$::=$	polytypes:
		$\lambda X.t$	type abstraction		T	monotype
		$t [T]$	type application		$\forall X.P$	type scheme
v	$::=$		values:	Γ	$::=$	contexts:
		$\lambda x : T.t$	abstraction value		\emptyset	empty context
		$\lambda X.t$	type abstraction value		$\Gamma, x : T$	term variable binding
					Γ, X	type variable binding

Universal quantification

Examples



- $\text{id} = \lambda X. \lambda x : X. x$ has type $\text{id} : \forall X. X \rightarrow X$

Universal quantification

Examples



- `id = $\lambda X. \lambda x : X. x$` has type `id : $\forall X. X \rightarrow X$`
- `double = $\lambda X. \lambda f : X \rightarrow X. \lambda a : X. f (f a)$` has type `double : $\forall X. (X \rightarrow X) \rightarrow X \rightarrow X$`

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- `doubleNat = double [Nat]`

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- `doubleNat = double [Nat]`
- `doubleBool = double [Bool]`

Universal quantification

Semantics and Typing



Evaluation

$$\boxed{t \longrightarrow t'}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 t_2 \longrightarrow t'_1 t_2} \text{E-APP1}$$

$$\frac{t_2 \longrightarrow t'_2}{v_1 t_2 \longrightarrow v_1 t'_2} \text{E-APP2}$$

$$\frac{}{(\lambda x : T. t_{12}) v_2 \longrightarrow [x \mapsto v_2] t_{12}} \text{E-APPABS}$$

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Typing

$$\boxed{\Gamma \vdash t : P}$$

$$\frac{x : P \in \Gamma \quad P \sqsubseteq T}{\Gamma \vdash x : T} \text{T-VAR}$$

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$$\frac{\Gamma, X \vdash t_2 : T_2}{\Gamma \vdash \lambda X. t_2 : \forall X. T_2} \text{T-TABS}$$

$$\frac{\Gamma \vdash t_1 : \forall X. T_{12}}{\Gamma \vdash t_1 [T_2] : [X \mapsto T_2] T_{12}} \text{T-TAPP}$$

Existential Types

Syntax



New Syntactic Forms:

t	$::=$	\dots	terms:
		$\{ *T, t \} \text{ as } T$	packing
		$\text{let } \{ X, x \} = t \text{ in } t$	unpacking
v	$::=$	\dots	values:
		$\{ *T, v \} \text{ as } T$	packaged value
T	$::=$	\dots	types:
		$\{ \exists X, T \}$	existential type

Existential Types

Intuition



- (Operational) intuition:

Existential Types

Intuition



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Universal quantifiers An element of $\forall X.T$ is a function that maps a type S to a specialized term $[X \mapsto S]T$.



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- Concrete intuition: An existential value $\{*S, t\}$ of type $\{\exists X, T\}$ is a package or module with
 - a *hidden* type component, the *witness type of the package* and
 - a term component.
- Existentials have applications in module system and abstract data types.

Existential Types

Examples



- $p = \{*\text{Nat}, \{a = 5, f = \lambda x : \text{Nat}. \text{succ}(x)\}\}$ with type $\{\exists X, \{a : \text{Nat}, f : X \rightarrow X\}\}$

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- $\{*\text{Nat}, 0\}$ as $\{\exists X, X\}$
- $\{*\text{Bool}, \text{true}\}$ as $\{\exists X, X\}$

Existential Types

Semantics and Typing



- New *Evaluation Rules*

Existential Types

Semantics and Typing



- New Evaluation Rules

$$\boxed{t \longrightarrow t'}$$

$$\frac{}{\text{let } \{X, x\} = (\{T_{11}, v_{12}\} \text{ as } T_1) \text{ in } t_2 \longrightarrow [X \mapsto T_{11}][x \mapsto v_{12}]t_2} \text{E-UNPACKPACK}$$

$$\frac{t_{12} \longrightarrow t'_{12}}{\{*T_{11}, t_{12}\} \text{ as } T_1 \longrightarrow \{*T_{11}, t'_{12}\} \text{ as } T_1} \text{E-PACK}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{let } \{X, x\} = t_1 \text{ in } t_2 \longrightarrow \text{let } \{X, x\} = t'_1 \text{ in } t_2} \text{E-UNPACK}$$



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$$\boxed{t \longrightarrow t'}$$

$$\frac{}{\text{let } \{X, x\} = (\{T_{11}, v_{12}\} \text{ as } T_1) \text{ in } t_2 \longrightarrow [X \mapsto T_{11}][x \mapsto v_{12}]t_2} \text{E-UNPACKPACK}$$

$$\frac{t_{12} \longrightarrow t'_{12}}{\{*T_{11}, t_{12}\} \text{ as } T_1 \longrightarrow \{*T_{11}, t'_{12}\} \text{ as } T_1} \text{E-PACK}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{let } \{X, x\} = t_1 \text{ in } t_2 \longrightarrow \text{let } \{X, x\} = t'_1 \text{ in } t_2} \text{E-UNPACK}$$

- New Typing Rules



- New Evaluation Rules

$$\boxed{t \longrightarrow t'}$$

$$\frac{}{\text{let } \{X, x\} = (\{T_{11}, v_{12}\} \text{ as } T_1) \text{ in } t_2 \longrightarrow [X \mapsto T_{11}][x \mapsto v_{12}]t_2} \text{E-UNPACKPACK}$$

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- New Typing Rules

$$\boxed{\Gamma \vdash t : P}$$

$$\frac{\Gamma \vdash t_2 : [X \mapsto U]T_2}{\Gamma \vdash \{*U, t_2\} \text{ as } \{\exists X, T_2\} : \{\exists X, T_2\}} \text{T-PACK}$$

$$\frac{\Gamma \vdash t_1 : \{\exists X, T_{12}\} \quad \Gamma, X, x : T_{12} \vdash t_2 : T_2}{\Gamma \vdash \text{let } \{X, x\} = t_1 \text{ in } t_2 : T_2} \text{T-UNPACK}$$



- New Evaluation Rules

$$\boxed{t \longrightarrow t'}$$

$$\frac{}{\text{let } \{X, x\} = (\{T_{11}, v_{12}\} \text{ as } T_1) \text{ in } t_2 \longrightarrow [X \mapsto T_{11}][x \mapsto v_{12}]t_2} \text{E-UNPACKPACK}$$

$$\frac{t_{12} \longrightarrow t'_{12}}{\{*T_{11}, t_{12}\} \text{ as } T_1 \longrightarrow \{*T_{11}, t'_{12}\} \text{ as } T_1} \text{E-PACK}$$

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- Note that the existential package does not expose the concrete type U .

What we have learned



We have extended our type systems:

- We introduced (untyped) type level computation, i.e., variables, abstraction and application.

Outline



Lecture	Logic	Formalisms	PL
1	Propositional and first-order logic		
2			Functional programming
3		Syntax and Semantics	
4			The untyped lambda calculus
5		Types	
6			The typed lambda calculus
7			Polymorphism
8		Curry-Howard	
9			Higher-order types
10			Dependent types

Goals



Let's connect

- propositional logic (in NJ) with
- the simply typed lambda calculus.



Typing relation of the STLC¹

*Implicational fragment of propositional logic
in the NJ system*

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T} \text{T-VAR} \quad \equiv \quad \frac{}{\Gamma, x : T, \Gamma' \vdash x : T} \text{T-VAR, ax}$$

¹*Disclaimer:* I greatly omitted the discourse on the subtleties of contexts (as lists vs. sets) in this lecture.



Typing relation of the STLC¹

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$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \rightarrow T_2} \text{ T-ABS, } \rightarrow_I$$

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*Implicational fragment of propositional logic
in the NJ system*

$$\frac{}{\Gamma, T, \Gamma' \vdash T} \text{ax}$$

$$\frac{\Gamma, T_1 \vdash T_2}{\Gamma \vdash T_1 \Rightarrow T_2} \Rightarrow_I$$

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$$\frac{\Gamma, t_1 : T_{11} \rightarrow T_{12} \quad t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}} \text{ T-APP, } \rightarrow_E$$

Implicational fragment of propositional logic in the NJ system

$$\frac{}{\Gamma, T, \Gamma' \vdash T} \text{ ax}$$

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The Curry-Howard Correspondence

Theorem



This correspondence is the foundation for proof assistants such as Coq and Lean and dependently-typed languages such as Agda.

Theorem (Curry-Howard Correspondence)

Given a context Γ and a type T , the term erasing procedure gives a one-to-one correspondence between

- *λ -terms of type T in context Γ , i.e., $\Gamma \vdash t : T$, and*
- *proofs in the implicational fragment of NJ of $\Gamma \vdash T$.*

The Curry-Howard Correspondence

History¹



1934 **Haskell Curry** – mathematician

- Correspondence between the implicational fragment of λ and the simply typed lambda calculus (STLC).
- Curry and Feys: correspondence not only between propositions and types but also between proofs and terms.

¹Philip Wadler. "Propositions as Types". In: *Commun. ACM* (2015).



Proof of surjectivity from proofs to terms.

Given a proof of the form:

the corresponding typing derivation is:

Case *ax*: $\frac{}{\Gamma, T, \Gamma' \vdash T} \text{ ax}$

Case *intro*:

Case *elim*:





Proof of surjectivity from proofs to terms.

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the corresponding typing derivation is:

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$\frac{}{\Gamma, x : T, \Gamma' \vdash x : T} \text{T-VAR, ax}$

Case *intro*:

Case *elim*:



The Curry-Howard Correspondence

Proof



Proof of surjectivity from proofs to terms.

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Case *intro*:
$$\frac{\frac{\pi}{\Gamma, T_1 \vdash T_2}}{\Gamma \vdash T_1 \Rightarrow T_2} \Rightarrow_I$$

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The Curry-Howard Correspondence

Proof



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Case *elim*:



The Curry-Howard Correspondence

Proof



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Case *intro*:
$$\frac{\frac{\pi}{\Gamma, T_1 \vdash T_2}}{\Gamma \vdash T_1 \Rightarrow T_2} \Rightarrow_I$$

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Case *elim*:
$$\frac{\frac{\pi}{\Gamma, T_{11} \rightarrow T_{12}} \quad \frac{\pi'}{\Gamma \vdash T_{11}}}{\Gamma \vdash T_{12}} \Rightarrow_E$$



The Curry-Howard Correspondence

Proof



Proof of surjectivity from proofs to terms.

Given a proof of the form:

the corresponding typing derivation is:

Case *ax*:
$$\frac{}{\Gamma, T, \Gamma' \vdash T} \text{ax}$$

$$\frac{}{\Gamma, x : T, \Gamma' \vdash x : T} \text{T-VAR, ax}$$

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$$\frac{\frac{\pi}{\Gamma, T_1 \vdash T_2}}{\Gamma \vdash T_1 \Rightarrow T_2} \Rightarrow_I$$

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Case *elim*:
$$\frac{\frac{\pi}{\Gamma, T_{11} \rightarrow T_{12}} \quad \frac{\pi'}{\Gamma \vdash T_{11}}}{\Gamma \vdash T_{12}} \Rightarrow_E$$

$$\frac{\frac{\vdots}{\Gamma, t_1 : T_{11} \rightarrow T_{12}} \quad \frac{\vdots}{\Gamma \vdash t_2 : T_{11}}}{\Gamma \vdash t_1 t_2 : T_{12}} \text{T-APP, } \rightarrow_E$$



The Curry-Howard Correspondence

Proof



Proof of injectivity from typed terms to proofs.



The Curry-Howard Correspondence

Proof



Proof of injectivity from typed terms to proofs.

1. The *uniqueness of types* property assures that there is exactly one typing derivation for a typed term.



The Curry-Howard Correspondence

Proof



Proof of injectivity from typed terms to proofs.

1. The *uniqueness of types* property assures that there is exactly one typing derivation for a typed term.
2. Using the term erasure gives a proof $\Gamma \vdash T$ for every $\Gamma \vdash t : T$.





Proof of injectivity from typed terms to proofs.

1. The *uniqueness of types* property assures that there is exactly one typing derivation for a typed term.
2. Using the term erasure gives a proof $\Gamma \vdash T$ for every $\Gamma \vdash t : T$.



Typable λ -terms are proof *witnesses*.

The Curry-Howard Correspondence

History¹



1934 **Haskell Curry** – mathematician

- Correspondence between the implicational fragment of NJ and the simply typed lambda calculus (STLC).
- Curry and Feys: correspondence not only between propositions and types but also between proofs and terms.

1969 **William A. Howard** – logician

- Correspondence extends to the other propositional connectives of NJ and the STLC with product, sum and unit types.
- Proof simplification corresponds to term evaluation!

¹Philip Wadler. "Propositions as Types". In: *Commun. ACM* (2015).



Proof substitution

*Substitution Lemma for typed terms
(Preservation of types under substitution)*

The Quest for the Shortest Proof



- Proofs sometimes perform "useless" work, i.e., they take a detour.
- Consider these examples:

$$\frac{\frac{\frac{\pi}{\Gamma \vdash A_1} \quad \frac{\pi'}{\Gamma \vdash A_2}}{\Gamma \vdash A_1 \wedge A_2} (\wedge_I)}{\Gamma \vdash A_1} (\wedge_E^l)$$

$$\frac{\frac{\frac{\pi}{\Gamma, A_1 \vdash A_2}}{\Gamma \vdash A_1 \Rightarrow A_2} (\Rightarrow_I) \quad \frac{\pi'}{\Gamma \vdash A_1}}{\Gamma \vdash A_2} (\Rightarrow_E)$$

- We are interested in defining a procedure that transforms a proof into a proof without detours.
- In some sense, such a procedure "executes" a proof.



- In general, a *cut* is the use of a **lemma** inside another proof.
- But, a **cut** in a proof is an elimination rule whose principal (leftmost) premise is proven via an introduction rule of the same connective.

$$\begin{array}{c}
 \frac{\frac{\pi}{\Gamma \vdash A_1} \quad \frac{\pi'}{\Gamma \vdash A_2}}{\Gamma \vdash A_1 \wedge A_2} (\wedge_I) \\
 \frac{\Gamma \vdash A_1 \wedge A_2}{\Gamma \vdash A_1} (\wedge_E)
 \end{array}
 \qquad
 \begin{array}{c}
 \frac{\pi}{\Gamma, A_1 \vdash A_2} (\Rightarrow_I) \quad \frac{\pi'}{\Gamma \vdash A_1} \\
 \frac{\Gamma \vdash A_1 \Rightarrow A_2 \quad \Gamma \vdash A_1}{\Gamma \vdash A_2} (\Rightarrow_E)
 \end{array}$$

- Lemmas provide proof modularity and foster reuse!
- But lemmas are often more general than what we are actually trying to prove.
- Hence, we are interested in a transformation that removes cuts.

Proof Substitution



Proof substitution: replacing axioms with proofs.

Example: Consider the following two proofs:

$$\begin{array}{ccc}
 \frac{\frac{\overline{\Gamma, A_1 \vdash A_1} \text{ (ax)}}{\Gamma, A_1, A_2 \vdash A_1} \text{ (wk)} \quad \frac{\overline{\Gamma, A_1 \vdash A_1} \text{ (ax)}}{\Gamma, A_1, A_2 \vdash A_1} \text{ (wk)}}{\Gamma, A_1, A_2 \vdash A_1 \wedge A_1} \text{ (\wedge_I)} & \xrightarrow{\text{substitute } \pi'} & \frac{\frac{\overline{\pi'} \text{ (wk)}}{\Gamma \vdash A_1} \quad \frac{\overline{\pi'} \text{ (wk)}}{\Gamma \vdash A_1}}{\Gamma, A_2 \vdash A_1 \wedge A_1} \text{ (\wedge_I)} \\
 \pi = \frac{\Gamma, A_1, A_2 \vdash A_1 \wedge A_1}{\Gamma, A_1 \vdash A_2 \Rightarrow A_1 \wedge A_1} \text{ (\Rightarrow_I)} & & \frac{\Gamma, A_2 \vdash A_1 \wedge A_1}{\Gamma \vdash A_2 \Rightarrow A_1 \wedge A_1} \text{ (\Rightarrow_I)} \\
 & & \vdots \\
 & & \pi' = \overline{\Gamma \vdash A_1}
 \end{array}$$



Proposition (Proof substitution)

Given provable sequents

$$\frac{\pi}{\Gamma, A_1, \Gamma' \vdash A_2} \quad \text{and} \quad \frac{\pi'}{\Gamma \vdash A_1}$$

the sequent $\Gamma, \Gamma' \vdash A_2$ is provable by

$$\frac{\pi[A_1 \mapsto \pi']}{\Gamma, \Gamma' \vdash A_2}$$

(The proof is by induction on π .)

$$\frac{\Gamma \vdash A_1 \quad \Gamma, A_1, \Gamma' \vdash A_2}{\Gamma, \Gamma' \vdash A_2} \text{ (cut)}$$



Definition (Cut Elimination Property)

A logic system has the *cut elimination property* if for every provable formula there exists a cut-free proof.

- Generally, we not only want to know whether there exists such a cut-free proof but we want a procedure that transforms any proof into a cut-free one.
- First introduced by Gentzen by the name *Hauptsatz*.

Cut Elimination Rules



$$\frac{\frac{\frac{\pi}{\Gamma, A_1 \vdash A_2}}{\Gamma \vdash A_1 \Rightarrow A_2} (\Rightarrow_I) \quad \frac{\pi'}{\Gamma \vdash A_1} (\Rightarrow_E)}{\Gamma \vdash A_2} \rightsquigarrow \frac{\pi[A_1 \mapsto \pi']}{\Gamma \vdash A_2}$$

Cut Elimination Rules



$$\frac{\frac{\frac{\pi}{\Gamma, A_1 \vdash A_2}}{\Gamma \vdash A_1 \Rightarrow A_2} (\Rightarrow_I) \quad \frac{\pi'}{\Gamma \vdash A_1} (\Rightarrow_E)}{\Gamma \vdash A_2} (\Rightarrow_E) \quad \rightsquigarrow \quad \frac{\pi[A_1 \mapsto \pi']}{\Gamma \vdash A_2}$$
$$\frac{\frac{\frac{\pi}{\Gamma \vdash A_1} \quad \frac{\pi'}{\Gamma \vdash A_2}}{\Gamma \vdash A_1 \wedge A_2} (\wedge_I)}{\Gamma \vdash A_1} (\wedge_E^l) \quad \rightsquigarrow \quad \frac{\pi}{\Gamma \vdash A_1}$$

Cut Elimination Rules



$$\frac{\frac{\pi}{\Gamma, A_1 \vdash A_2} \quad (\Rightarrow_I) \quad \frac{\pi'}{\Gamma \vdash A_1}}{\Gamma \vdash A_1 \Rightarrow A_2} \quad (\Rightarrow_E) \quad \rightsquigarrow \quad \frac{\pi[A_1 \mapsto \pi']}{\Gamma \vdash A_2}$$

$$\frac{\frac{\pi}{\Gamma \vdash A_1} \quad \frac{\pi'}{\Gamma \vdash A_2}}{\Gamma \vdash A_1 \wedge A_2} \quad (\wedge_I) \quad \rightsquigarrow \quad \frac{\pi}{\Gamma \vdash A_1}$$

$$\frac{\Gamma \vdash A_1 \wedge A_2}{\Gamma \vdash A_1} \quad (\wedge^l_E)$$

$$\frac{\frac{\pi}{\Gamma \vdash A_1} \quad \frac{\pi'}{\Gamma \vdash A_2}}{\Gamma \vdash A_1 \wedge A_2} \quad (\wedge_I) \quad \rightsquigarrow \quad \frac{\pi'}{\Gamma \vdash A_2}$$

$$\frac{\Gamma \vdash A_1 \wedge A_2}{\Gamma \vdash A_2} \quad (\wedge^r_E)$$

Cut Elimination Rules

Continued



$$\frac{\frac{\pi}{\Gamma \vdash A_1} \quad (\vee_I^l) \quad \frac{\pi'}{\Gamma, A_1 \vdash A_3} \quad \frac{\pi''}{\Gamma, A_2 \vdash A_3}}{\Gamma \vdash A_3} (\vee_E) \quad \rightsquigarrow \quad \frac{\pi'[A_1 \mapsto \pi]}{\Gamma \vdash A_3}$$

Cut Elimination Rules

Continued



$$\frac{\frac{\pi}{\Gamma \vdash A_1} \quad (\vee_I^l) \quad \frac{\pi'}{\Gamma, A_1 \vdash A_3} \quad \frac{\pi''}{\Gamma, A_2 \vdash A_3}}{\Gamma \vdash A_3} (\vee_E) \quad \rightsquigarrow \quad \frac{\pi'[A_1 \mapsto \pi]}{\Gamma \vdash A_3}$$
$$\frac{\frac{\pi}{\Gamma \vdash A_2} \quad (\vee_I^r) \quad \frac{\pi'}{\Gamma, A_1 \vdash A_3} \quad \frac{\pi''}{\Gamma, A_2 \vdash A_3}}{\Gamma \vdash A_3} (\vee_E) \quad \rightsquigarrow \quad \frac{\pi''[A_2 \mapsto \pi]}{\Gamma \vdash A_3}$$



Term Substitution and Proof Substitution

Proof substitution

Given provable sequents

$$\frac{\pi}{\Gamma, S, \Gamma' \vdash T} \quad \text{and} \quad \frac{\pi'}{\Gamma \vdash S},$$

the sequent $\Gamma, \Gamma' \vdash T$ is provable by

$$\frac{\pi[S \mapsto \pi']}{\Gamma, \Gamma' \vdash T}.$$

Substitution Lemma for typed terms (Preservation of types under substitution)

If $\Gamma, x : S \vdash t : T$ and $\Gamma \vdash s : S$
then $\Gamma \vdash [x \mapsto s]t : T$.

Assumption: Preservation of types (under substitution).

Preservation of Types under β -Reduction



Lemma (Preservation of Types under Substitution)

If $\Gamma, x : S \vdash t : T$ and $\Gamma \vdash s : S$, then $\Gamma \vdash [x \mapsto s]t : T$.

Preservation

Proof



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$	Proof
------	--	-------



Preservation

Proof

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$	Proof
------	--	-------

T-VAR	$\frac{}{\Gamma, x : S \vdash z : T} \text{ T-VAR}$	There are two cases to consider:
-------	---	----------------------------------



Preservation Proof

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case Rule with $\Gamma, x : S \vdash t : T$ Proof

T-VAR $\frac{}{\Gamma, x : S \vdash z : T}$ T-VAR

There are two cases to consider:

$z = x$ such that $[x \mapsto s]z = s$ and $\Gamma \vdash s : S$ is an assumption of the lemma.



Preservation

Proof

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$	Proof
------	--	-------

T-VAR	$\frac{}{\Gamma, x : S \vdash x : S} \text{ T-VAR}$	
-------	---	--

There are two cases to consider:

$z = x$ such that $[x \mapsto s]z = s$ and $\Gamma \vdash s : S$ is an assumption of the lemma.

$z \neq x$ such that $[x \mapsto s]z = z$ and $\Gamma \vdash z : T$ is immediate.

Preservation

Proof



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case

Rule with $\Gamma, x : S \vdash t : T$

Proof

Preservation

Proof



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case

Rule with $\Gamma, x : S \vdash t : T$

Proof

T-ABS

$$\frac{\Gamma, x : S, y : T_2 \vdash t_1 : T_1}{\Gamma, x : S \vdash \lambda y : T_2. t_1 : T_2 \rightarrow T_1} \text{T-ABS}$$

By alpha conversion, $x \neq y$ and $y \notin FV(s)$.

Preservation

Proof



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case

Rule with $\Gamma, x : S \vdash t : T$

Proof

T-ABS

$$\frac{\Gamma, x : S, y : T_2 \vdash t_1 : T_1}{\Gamma, x : S \vdash \lambda y : T_2. t_1 : T_2 \rightarrow T_1} \text{T-ABS}$$

By alpha conversion, $x \neq y$ and $y \notin FV(s)$.

Now we have: If $\Gamma, x : S, y : T_2 \vdash t_1 : T_1$ and $\Gamma \vdash s : S$, then ...



Preservation Proof

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$
T-ABS	$\frac{\Gamma, x : S, y : T_2 \vdash t_1 : T_1}{\Gamma, x : S \vdash \lambda y : T_2. t_1 : T_2 \rightarrow T_1} \text{ T-ABS}$

Proof

By alpha conversion, $x \neq y$ and $y \notin FV(s)$.

Now we have: If $\Gamma, x : S, y : T_2 \vdash t_1 : T_1$ and $\Gamma \vdash s : S$, then ...

But we need: If $\Gamma, x : S \vdash t_1 : T_1$ and $\Gamma \vdash s : S$, then ...



Preservation

Proof

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$
T-ABS	$\frac{\Gamma, x : S, y : T_2 \vdash t_1 : T_1}{\Gamma, x : S \vdash \lambda y : T_2. t_1 : T_2 \rightarrow T_1} \text{ T-Abs}$

Proof

By alpha conversion, $x \neq y$ and $y \notin FV(s)$.

Now we have: If $\Gamma, x : S, y : T_2 \vdash t_1 : T_1$ and $\Gamma \vdash s : S$, then ...

But we need: If $\Gamma, x : S \vdash t_1 : T_1$ and $\Gamma \vdash s : S$, then ...

By permutation, we get $\Gamma, y : T_2, x : S$.



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$
T-ABS	$\frac{\Gamma, x : S, y : T_2 \vdash t_1 : T_1}{\Gamma, x : S \vdash \lambda y : T_2. t_1 : T_2 \rightarrow T_1} \text{ T-ABS}$

Proof

By alpha conversion, $x \neq y$ and $y \notin FV(s)$.

Now we have: If $\Gamma, x : S, y : T_2 \vdash t_1 : T_1$ and $\Gamma \vdash s : S$, then ...

But we need: If $\Gamma, x : S \vdash t_1 : T_1$ and $\Gamma \vdash s : S$, then ...

By permutation, we get $\Gamma, y : T_2, x : S$.

By weakening, we get $\Gamma, y : T_2$.

Preservation Proof



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case

Rule with $\Gamma, x : S \vdash t : T$

Proof

Preservation

Proof



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
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Rule with $\Gamma, x : S \vdash t : T$

Proof

T-ABS

$$\frac{\Gamma, x : S, y : T_2 \vdash t_1 : T_1}{\Gamma, x : S \vdash \lambda y : T_2. t_1 : T_2 \rightarrow T_1} \text{T-ABS}$$

By definition of substitution:

$$[x \mapsto s](\lambda y : T_2. t_1) = \lambda y : T_2. [x \mapsto s]t_1$$

Preservation

Proof



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By induction hypothesis on T-ABS, we have that $\lambda y : T_2. [x \mapsto s]t_1$ is well-typed:

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Preservation

Proof



- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$	Proof
------	--	-------



Preservation

Proof

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- Cases:

Case	Rule with $\Gamma, x : S \vdash t : T$
T-APP	$\frac{\Gamma, x : S \vdash t_1 : T_2 \rightarrow T_1 \quad \Gamma, x : S \vdash t_2 : T_2}{\Gamma, x : S \vdash t_1 t_2 : T_1} \text{ T-APP}$

Proof

By definition of substitution:

$$[x \mapsto s](t_1 t_2) = [x \mapsto s]t_1 [x \mapsto s]t_2$$



Preservation

Proof

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
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By definition of T-APP, $[x \mapsto s]t_1$ and $[x \mapsto s]t_2$ are well-typed:



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$$\frac{\Gamma, x : S \vdash [x \mapsto s]t_1 : T_2 \rightarrow T_1 \quad \Gamma, x : S \vdash [x \mapsto s]t_2 : T_2}{\Gamma, x : S \vdash [x \mapsto s](t_1 t_2) : T_1} \text{ T-APP}$$

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□



Theorem (Preservation)

If $\Gamma \vdash t : T$ and $t \longrightarrow t'$, then $\Gamma \vdash t' : T$

- The proof is by induction on the typing derivation for $\Gamma, x : S \vdash t : T$.
- The most interesting case is this:

Case

Rule with $\Gamma, x : S \vdash t : T$

Proof



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Case	Rule with $\Gamma, x : S \vdash t : T$
T-APP	$\frac{\Gamma, t : T_{11} \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma, \vdash t_2 : T_{11}}{\Gamma \vdash t_1 \ t_2 : T_{12}} \text{ T-APP}$

Proof

By E-APPABS, we have:

$$\frac{}{(\lambda x : T_{11}. t_{12}) \ v \longrightarrow [x \mapsto v] t_{12}} \text{ E-APPABS}$$



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If $\Gamma \vdash t : T$ and $t \longrightarrow t'$, then $\Gamma \vdash t' : T$

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By E-APPABS, we have:

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By the substitution lemma, we know that $\Gamma \vdash [x \mapsto v] t_{12} : T_{12}$.



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- Assume types $T_{11} = S$ and $T_{12} = T$ with the respective terms $t_{11} = s$ and $t_{12} = t$.

β -Reduction and Cut Elimination



- Assume types $T_{11} = S$ and $T_{12} = T$ with the respective terms $t_{11} = s$ and $t_{12} = t$.
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$$\begin{array}{c}
 \vdots \\
 \hline
 \Gamma, x : S \vdash t : T \\
 \hline
 \Gamma \vdash (\lambda x : S. t) : S \rightarrow T
 \end{array}
 \xrightarrow{\text{T-Abs}, \rightarrow_I}
 \begin{array}{c}
 \vdots \\
 \hline
 \Gamma \vdash y : S
 \end{array}
 \xrightarrow{\text{T-App}, \rightarrow_E}
 \begin{array}{c}
 \vdots \\
 \hline
 \Gamma \vdash t y : T
 \end{array}
 \xrightarrow{\text{E-AppAbs}}
 \begin{array}{c}
 \vdots \\
 \hline
 \Gamma \vdash [x \mapsto y]t : T
 \end{array}$$

β -Reduction



- Assume types $T_{11} = S$ and $T_{12} = T$ with the respective terms $t_{11} = s$ and $t_{12} = t$.
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Cut Elimination

$$\frac{\frac{\frac{\pi}{\Gamma, S \vdash T}}{\Gamma \vdash S \Rightarrow T} (\Rightarrow_I) \quad \frac{\pi'}{\Gamma \vdash S} (\Rightarrow_E)}{\Gamma \vdash T} \rightsquigarrow \frac{\pi[S \mapsto \pi']}{\Gamma \vdash T}$$

β -Reduction

$$\frac{\frac{\frac{\vdots}{\Gamma, x:S \vdash t:T}}{\Gamma \vdash (\lambda x:S.t):S \rightarrow T} \text{T-Abs, } \rightarrow_I \quad \frac{\vdots}{\Gamma \vdash y:S}}{\Gamma \vdash t y:T} \text{T-App, } \rightarrow_E \xrightarrow{\text{E-APPABS}} \frac{}{\Gamma \vdash [x \mapsto y]t:T}$$



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- Notice the correspondence of **proofs** and **terms**.



Proof substitution

Given provable sequents

$$\frac{\pi}{\Gamma, S, \Gamma' \vdash T} \quad \text{and} \quad \frac{\pi'}{\Gamma \vdash S},$$

the sequent $\Gamma, \Gamma' \vdash T$ is provable by

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Substitution Lemma for typed terms (Preservation of types under substitution)

If $\Gamma, x : S \vdash t : T$ and $\Gamma \vdash s : S$
then $\Gamma \vdash [x \mapsto s]t : T$.



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Proof substitution

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$$\frac{\Gamma, S \vdash T \quad \Gamma \vdash S}{\Gamma \vdash T} \text{ (cut)}$$

Substitution Lemma for typed terms (Preservation of types under substitution)

If $\Gamma, x : S \vdash t : T$ and $\Gamma \vdash s : S$
then $\Gamma \vdash [x \mapsto s]t : T$.

$$\frac{\Gamma, x : S \vdash t : T \quad \Gamma \vdash s : S}{\Gamma \vdash [x \mapsto s]t : T}$$



The Curry-Howard Correspondence

History¹

1934 **Haskell Curry** – mathematician

- Correspondence between the implicational fragment of NJ and the simply typed lambda calculus (STLC).
- Curry and Feys: correspondence not only between propositions and types but also between proofs and terms.

1969 **William A. Howard** – logician

- Correspondence extends to the other propositional connectives of NJ and the STLC with product, sum and unit types.
- Proof simplification corresponds to term evaluation!
- The correspondence extends to first-order logic!

¹Philip Wadler. “Propositions as Types”. In: *Commun. ACM* (2015).

Polymorphism and First-Order Logic



Typing relation

$$\frac{\Gamma, t_1 : \forall X. T_{12}}{\Gamma \vdash t_1 [T_2] : [X \mapsto T_2] T_{12}} \text{ T-TAPP}$$

First-order logic

Polymorphism and First-Order Logic



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First-order logic

$$\frac{\Gamma \vdash \forall x. A}{\Gamma \vdash A[x \mapsto t]} (\forall_E) \quad \equiv \quad \frac{\Gamma \vdash \forall X. T_{12}}{\Gamma \vdash T_{12}[X \mapsto T_2]} (\forall_E)$$

Polymorphism and First-Order Logic



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$$\frac{\Gamma \vdash T_2}{\Gamma \vdash \forall X. T_2} (\forall_I)$$



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$$\frac{\Gamma \vdash t_1 : \{\exists X, T_{12}\} \quad \Gamma, X, x : T_{12} \vdash t_2 : T_2}{\Gamma \vdash \text{let } \{X, x\} = t_1 \text{ in } t_2 : T_2} \text{ T-UNPACK}$$

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Typing relation

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$$\frac{\Gamma \vdash t_2 : [X \mapsto U] T_2}{\Gamma \vdash \{ *U, t_2 \} \text{ as } \{ \exists X, T_2 \} : \{ \exists X, T_2 \}} \text{ T-PACK}$$

First-order logic

$$\frac{\Gamma \vdash \forall x. A}{\Gamma \vdash A[x \mapsto t]} (\forall_E) \quad \equiv \quad \frac{\Gamma \vdash \forall X. T_{12}}{\Gamma \vdash T_{12}[X \mapsto T_2]} (\forall_E)$$

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Term Substitution and Proof Substitution



- We extend the cut elimination procedure with the following cases:



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$$\frac{\frac{\pi}{\Gamma \vdash A(x)} \quad (\forall_I)}{\Gamma \vdash \forall x. A(x)} \quad (\forall_E) \quad \rightsquigarrow \quad \frac{\pi[x \mapsto t]}{\Gamma \vdash A(t)}$$



- We extend the cut elimination procedure with the following cases:

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$$\frac{\pi[x \mapsto t]}{\Gamma \vdash A(t)}$$

$$\frac{\frac{\frac{\pi}{\Gamma \vdash A_1(t)}}{\Gamma \vdash \exists x.A_1(x)} (\exists_I) \quad \frac{\pi'}{\Gamma, A_1(x) \vdash A_2}}{\Gamma \vdash A_2} (\exists_E) \quad \rightsquigarrow$$

$$\frac{\pi'[x \mapsto t][A_1 \mapsto \pi]}{\Gamma \vdash A_2}$$

β -reduction and Cut Elimination

Reduction



- Universal quantification:

β -reduction and Cut Elimination

Reduction



- Universal quantification:

Cut elimination:

$$\frac{\frac{\pi}{\Gamma \vdash t_{12} : T_{12}} \quad \Gamma \vdash (\lambda X. t_{12}) : \forall X. T_{12} \quad (\text{T-TABs}, \forall_I)}{\Gamma \vdash (\lambda X. t_{12}) [T_2] : [X \mapsto T_2] T_{12}} \quad (\text{T-TAPP}, \forall_E) \rightsquigarrow \frac{\pi[X \mapsto T_2]}{\Gamma \vdash [X \mapsto T_2] t_1 : [X \mapsto T_2] T_{12}}$$



β -reduction and Cut Elimination

Reduction

- Universal quantification:

Cut elimination:

$$\frac{\frac{\frac{\pi}{\Gamma \vdash t_{12} : T_{12}}}{\Gamma \vdash (\lambda X.t_{12}) : \forall X.T_{12}} \text{ (T-TABS, } \forall_I)}{\Gamma \vdash (\lambda X.t_{12}) [T_2] : [X \mapsto T_2]T_{12}} \text{ (T-TAPP, } \forall_E) \rightsquigarrow \frac{\pi[X \mapsto T_2]}{\Gamma \vdash [X \mapsto T_2]t_1 : [X \mapsto T_2]T_{12}}$$

β -Reduction:

$$(\lambda X.t_{12}) [T_2] \xrightarrow{\text{E-TAPPTABS}} [X \mapsto T_2]t_1$$

β -reduction and Cut Elimination

Reduction



- Universal quantification:

Cut elimination:

$$\frac{\frac{\pi}{\Gamma \vdash t_{12} : T_{12}} \quad \Gamma \vdash (\lambda X.t_{12}) : \forall X.T_{12} \quad (\text{T-TABS}, \forall_I)}{\Gamma \vdash (\lambda X.t_{12}) [T_2] : [X \mapsto T_2]T_{12}} \quad (\text{T-TAPP}, \forall_E) \rightsquigarrow \frac{\pi[X \mapsto T_2]}{\Gamma \vdash [X \mapsto T_2]t_1 : [X \mapsto T_2]T_{12}}$$

β -Reduction:

$$(\lambda X.t_{12}) [T_2] \xrightarrow{\text{E-TAPPTABS}} [X \mapsto T_2]t_1$$

- The existential case is analogous.

Overview



Logic

Programming Languages

Overview



Logic
propositions

Programming Languages
types

Overview



Logic

propositions

proposition $P \Rightarrow Q$

Programming Languages

types

type $P \rightarrow Q$

Overview



Logic

propositions

proposition $P \Rightarrow Q$

proof of proposition P

Programming Languages

types

type $P \rightarrow Q$

term t of type P

Overview



Logic

propositions

proposition $P \Rightarrow Q$

proof of proposition P

proposition P is provable

Programming Languages

types

type $P \rightarrow Q$

term t of type P

type P is inhabited (by some term)

Overview



Logic

propositions

proposition $P \Rightarrow Q$

proof of proposition P

proposition P is provable

cut elimination

Programming Languages

types

type $P \rightarrow Q$

term t of type P

type P is inhabited (by some term)

β -reduction



Logic

propositions

proposition $P \Rightarrow Q$

proof of proposition P

proposition P is provable

cut elimination

cut-free proof

Programming Languages

types

type $P \rightarrow Q$

term t of type P

type P is inhabited (by some term)

β -reduction

term in normal form



Logic

propositions

proposition $P \Rightarrow Q$

proof of proposition P

proposition P is provable

cut elimination

cut-free proof

proposition $P \wedge Q$

Programming Languages

types

type $P \rightarrow Q$

term t of type P

type P is inhabited (by some term)

β -reduction

term in normal form

type $P \times Q$



Logic

propositions

proposition $P \Rightarrow Q$

proof of proposition P

proposition P is provable

cut elimination

cut-free proof

proposition $P \wedge Q$

proposition $P \vee Q$

Programming Languages

types

type $P \rightarrow Q$

term t of type P

type P is inhabited (by some term)

β -reduction

term in normal form

type $P \times Q$

type $P + Q$



Logic

propositions

proposition $P \Rightarrow Q$

proof of proposition P

proposition P is provable

cut elimination

cut-free proof

proposition $P \wedge Q$

proposition $P \vee Q$

\top

Programming Languages

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This is also called an **uninhabited type**.



The Curry-Howard Correspondence

History¹

1934 **Haskell Curry** – mathematician

- Correspondence between the implicational fragment of NJ and the simply typed lambda calculus (STLC).
- Curry and Feys: correspondence not only between propositions and types but also between proofs and terms.

1969 **William A. Howard** – logician

- Correspondence extends to the other propositional connectives of NJ and the STLC with product, sum and unit types.
- Proof simplification corresponds to term evaluation!
- The correspondence extends even to **higher-order logic**!

¹Philip Wadler. “Propositions as Types”. In: *Commun. ACM* (2015).



Definition (Trusted Computing Base – TCB)

The *trusted computing base (TCB)* is the set of hardware and software components that a system(/platform) relies upon to perform correct (according to its specification – often secure and reliable) computations. A bug in the TCB can compromise the whole system.



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- Current approaches try to minimize the size of the TCB
 - to reduce the complexity of the TCB and therewith the probability of bugs and
 - to make the TCB amenable to formal verification.



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- Assume the TCB of a computing system is fully formally verified ... then there is a new TCB left: the "formal verification algorithm" in the proof assistant:
 - When propositions are types and proof are programs then this algorithm is called *the type checker*.
 - Type checking is a relatively small and straightforward:
 - Check the argument types for function applications.
 - Make sure `match` expressions are exhaustive.
 - Guarantee termination.
 - Type inference undecidable for the rich types in proof assistants. (Coq vs. Agda).