

# **Chapter 19:** Relative risk, odds ratio and number needed to treat

TXCL7565/PHSC7565

# What This Lecture Covers

- Relative risk
- Odds ratio
- Number needed to treat
- Comparison of RR and OR
- Confidence Intervals

RELATIVE RISK

# Risk

$$\textit{Risk} = \frac{\text{Number where event occurred}}{\text{Total subjects observed}}$$

	Control design	Test design
Not expelled	1732	1778
Expelled	268	222
Total	2000	2000
Risk	268/2000 0.134	222/2000 0.111

# Relative Risk

$$\text{Relative Risk (RR)} = \frac{\text{Risk in group receiving new or active treatment}}{\text{Risk in group receiving old or control treatment}}$$

- ‘Risk’ doesn’t always mean something negative. For example, it could be risk of cure.
- Traditionally, the ‘new’ treatment is on top.

# Relative Risk - IUD example

$$\begin{aligned} RR &= \frac{\text{Risk of expulsion in group given test IUD design}}{\text{Risk of expulsion in group given control IUD design}} \\ &= \frac{0.111}{0.134} \\ &= 0.828 \end{aligned}$$

**The relative risk of expulsion for the test design compared to the control design is 0.83.**

# Interpreting RR

- **RR = 1** indicates the risk does not differ between groups
- **RR > 1** indicates that the risk is higher in the 'top' group compared to the 'bottom' group
- **RR < 1** indicates that the risk is lower in the 'top' group compared to the 'bottom' group

# Example RR

	No tablets	Tablets supplied
No diarrhea	312	830
Diarrhea	798	345
Total	1110	1175



# When is RR inappropriate?

- Relative risk is only interpretable in the context of an experimental study or a prospective study.
- In a case/control retrospective study, your risk of being a 'case' is artificially high because how patients were recruited. You don't know the 'risk' of disease in the entire population.

ODDS RATIO

# Odds

$$Odds = \frac{\text{Number where event did occur}}{\text{Number where event did not occur}}$$

What are the odds of a randomly chosen day of the week is Sunday?

# Odds

$$Odds = \frac{\text{Number where event did occur}}{\text{Number where event did not occur}}$$

	Control design	Test design
Not expelled	1732	1778
Expelled	268	222
Total	2000	2000
Odds	268/1732 0.1547	222/1778 0.1249

# Odds Ratio

$$\text{Odds Ratio (OR)} = \frac{\text{Odds of event in active group}}{\text{Odds of event in control group}}$$

- Although the interpretation of an OR is not as intuitive as a RR, RR is not applicable for case/control studies and with respect to logistic regression results (next chapter).

# Odds Ratio - IUD example

$$\begin{aligned} OR &= \frac{\text{Odds of expulsion in group given test IUD design}}{\text{Odds of expulsion in group given control IUD design}} \\ &= \frac{0.1249}{0.1549} \\ &= 0.807 \end{aligned}$$

**The odd ratio of expulsion for the test design compared to the control design is 0.81.**

# Example OR

	No tablets	Tablets supplied
No diarrhea	312	830
Diarrhea	798	345
Total	1110	1175

NUMBER NEEDED TO  
TREAT



# Purpose of Number Needed to Treat (NNT)

**Definition:** The number of individuals who would need to be transferred from one treatment to the other to prevent one harmful event or produce one additional beneficial outcome.

# Calculation of NNT

1. Calculate the Absolute Risk Difference (ARD)

$$\begin{aligned}ARD &= Risk_{Test} - Risk_{Control} \\&= 0.134 - 0.111 \\&= 0.023\end{aligned}$$

2. Calculate the NNT

$$\begin{aligned}NNT &= 1/ARD \\&= 1/0.023 \\&= 43.48 \\&= 44 \text{ women}\end{aligned}$$

# Example NNT

	No tablets	Tablets supplied
No diarrhea	312	830
Diarrhea	798	345
Total	1110	1175

# COMPARISON OF RR AND OR

# Comparison of Odds and Risk

$$Risk = \frac{\text{Number with the event}}{\text{Number of subjects observed}} \quad Odds = \frac{\text{Number with the event}}{\text{Number without the event}}$$

- The only difference is the denominator.
- When the event is rare, the number of subjects observed is close to the number without the event.
- When the event is rare, the OR is approximately equal to the RR.

# Comparison of OR and RR

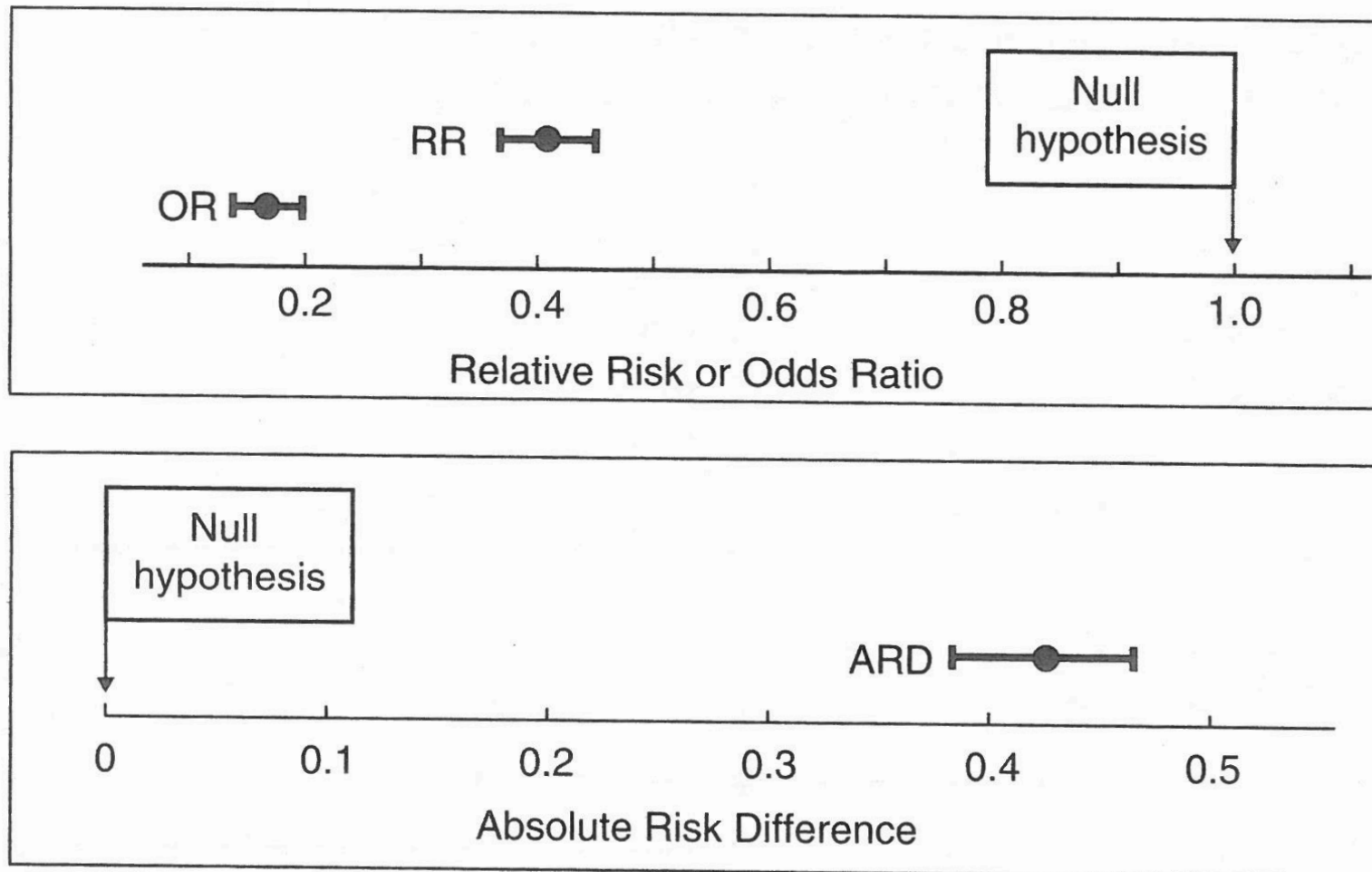
	IUD Study	Diarrhea Study
Risk of Event	0.134 and 0.111	0.719 and 0.294
Relative Risk	0.828	0.409
Odds Ratio	0.807	0.163

# CONFIDENCE INTERVALS

# Confidence intervals for the RR, OR, and NNT for clearly significant results

	Point Estimate	Lower limit	Upper limit
Relative Risk	0.409	0.371	0.450
Odds Ratio	0.163	0.136	0.195
Absolute Risk Difference	0.425	0.387	0.463
Number Needed to Treat	3 (or 2.35)	3 (or 2.16)	3 (or 2.58)





**Figure 19.1** 95% confidence intervals for the Relative Risk (RR), Odds Ratio OR), and Absolute Risk Difference (ARD) for childhood diarrhea (comparing families with and without access to water sterilizingg tablets) along with their null value.

# 95% CI vs. statistical significance

- Results will generally agree between 95% CI and p-value from contingency chi-square test.
- In marginally significant cases, the two may disagree.

# Confidence intervals for the RR, OR, and NNT for marginally significant or non-significant results

	Point Estimate	Lower limit	Upper limit
Relative Risk	0.828	0.701	0.979
Odds Ratio	0.807	0.667	0.976
Absolute Risk Difference	0.0230	0.0027	0.0433
Number Needed to Treat	44	24	372

# CI for NNT

- As the lower limit of the ARD moves to zero, the NNT moves to infinity.
- Small changes in a small ARD result in large changes in the NNT causing the estimate for the upper limit of the CI of NNT to be unstable.
- For non-significant results, the CI for NNT should technically include zero, but a negative number of patients is unrealistic.

RR, OR, ARD, NNT IN  
GRAPHPAD

1. Choose a  
'Contingency'  
table format

New table & graph

X-Y

Column

Grouped

Contingency

Survival

Parts of Whole

Existing file

Open a File

LabArchives

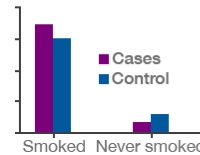
Clone a Graph

Graph Portfolio

Welcome to GraphPad Prism

Contingency tables: Each row defines a treatment or exposure, each column defines an outcome, and each value is an exact count of objects or events

Table format		A	B
Contingency		Cases	Control
		Y	Y
1	Smoked		
2	Never smoked		



2. Choose 'Start  
with an empty  
data table'

[Learn more](#)

Enter/import data: ☒ Start with an empty data table

- Use tutorial data:
- ☐ Chi-square test of prospective data (aspirin and MI)
  - ☐ Fishers exact test of retrospective data (smoking and cancer)
  - ☐ Sensitivity and specificity (HIV)
  - ☐ Chi-square test for trend

3. Click 'Create'

Prism Tips

Cancel

Create

The screenshot shows the IUDandTablets software interface. The main window displays a contingency table with the following data:

Table format: Contingency		Outcome A	Outcome B	Outcome C	Outcome D	Outcome E
		expelled	not expelled	Title	Title	Title
		Y	Y	Y	Y	Y
1	Test design	222	1778			
2	Control design	268	1732			
3	Title					
4	Title					
5	Title					
6	Title					
7	Title					
8	Title					
9	Title					
10	Title					
11	Title					
12	Title					
13	Title					
14	Title					
15	Title					
16	Title					

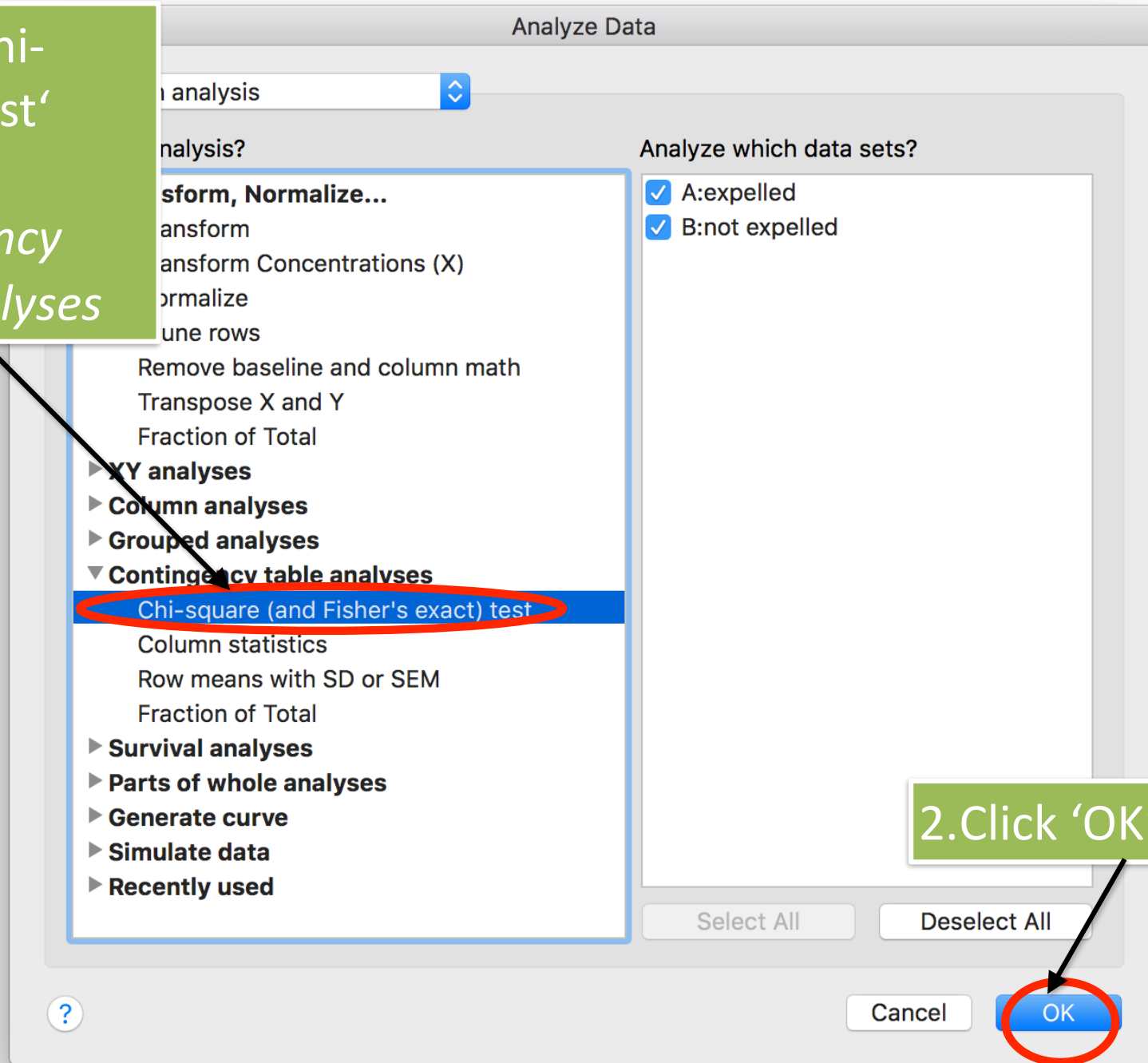
To get relative risk calculated the correct way, you need to follow the template below to get the relative risk of the event in the test group compared to the control group.

event      no event

test group

control group

1. Select 'Chi-square test' from  
*Contingency table analyses*



2. Click 'OK'



1. Select all the effect sizes that you are interested in.

Parameters: Chi-square (and Fisher's exact) test

Main calculations Options

**Effect sizes to report**

- ☒ Relative Risk  
Used for prospective and experimental studies
- ☒ Difference between proportions (attributable risk) and NNT  
Used for prospective and experimental studies
- ☒ Odds ratio  
Used for retrospective case-control studies
- ☐ Sensitivity, specificity and predictive values  
Used for diagnostic tests

**Method to compute the P value**

- ☐ Fisher's exact test
- ☐ Yates' continuity corrected chi-square test
- ☒ Chi-square test
- ☐ Chi-square test for trend

Looking for the z test to compare proportions? Choose the chi-square test (with or without the Yates' correction). The chi-square and z tests are equivalent.

? Cancel OK

2. Click 'Create'

I switched all the 'Method to calculate CI:' options to reflect older versions to get them to match the book. Just pick one and report the method.

Parameters: Chi-square (and Fisher's exact) test

Main calculations Options

**Calculations options**

P values: ☐ One-sided ☒ Two-sided

Confidence Interval: 95%

Method to calculate CI:

Relative risk:  
Method of Katz. Used by Prism 6 and earlier

Difference between proportions:  
Simple asymptotic (used by prior versions of Prism)

Odds ratio:  
Woolf logit. Used by Prism 6 and earlier

Sensitivity, specificity, etc.:  
Wilson/Brown (recommended)

**Output**

P value style:  
GP: 0.1234 (ns), 0.0332 (\*), 0.0021 (\*\*), 0...

Show 4 significant digits.

☐ Make these choices be the default for future analyses.

Cancel OK

2. Click 'OK'

Effect size	Value	95% CI
Relative Risk	0.8284	0.7012 to 0.9786
Reciprocal of relative risk	1.207	1.022 to 1.426
Attributable risk (P1 - P2)	0.023	0.002679 to 0.04332
NNT (reciprocal of attrib. risk)	43.48	23.08 to 373.2
Odds ratio	0.8069	0.6674 to 0.9756
Reciprocal of odds ratio	1.239	1.025 to 1.498

# What did we learn?

- RR, OR, ARD, and NNT are commonly used to describe the extent of change seen in the proportion of subjects experiencing a particular event.
- RR tends to be the easiest to understand but has its limitations (e.g., case/control studies)
- When the event is rare OR is approximately equal to the RR.
- 95% CI for RR, OR, and ARD are commonly accepted, but a 95% CI for NNT is only used when the results are strongly significant.