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Brain and Mind  
Research Institute

# Using Convolutional Neural Networks to Classify Brain States

Ottawa AI Alliance  
Second Annual Workshop  
November 28, 2019

Presented by Chadwick Boulay, MSc, PhD  
Ottawa Hospital Research Institute  
University of Ottawa

# Resources

- Wi-Fi
  - SSID: S77-3001-AP
  - Password: NRCwifiK1A0R6
- Need Kaggle API token (Kaggle.com)
- [github.com/SachsLab/  
IntracranialNeurophysDL](https://github.com/SachsLab/IntracranialNeurophysDL)
  - Slides
  - notebooks

Assistive communication device

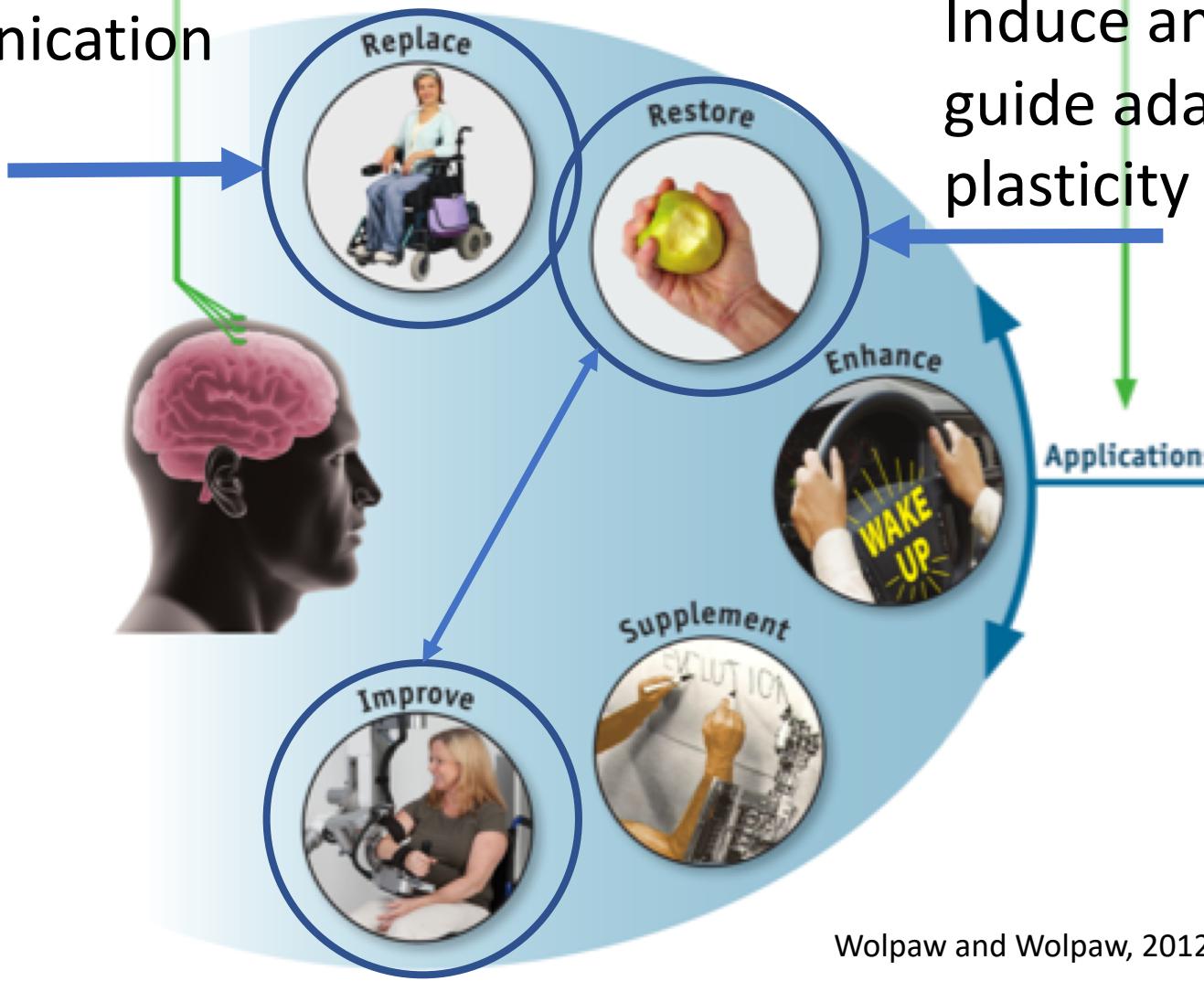
## Brain-Computer Interface

Signal Acquisition

Feature Extraction

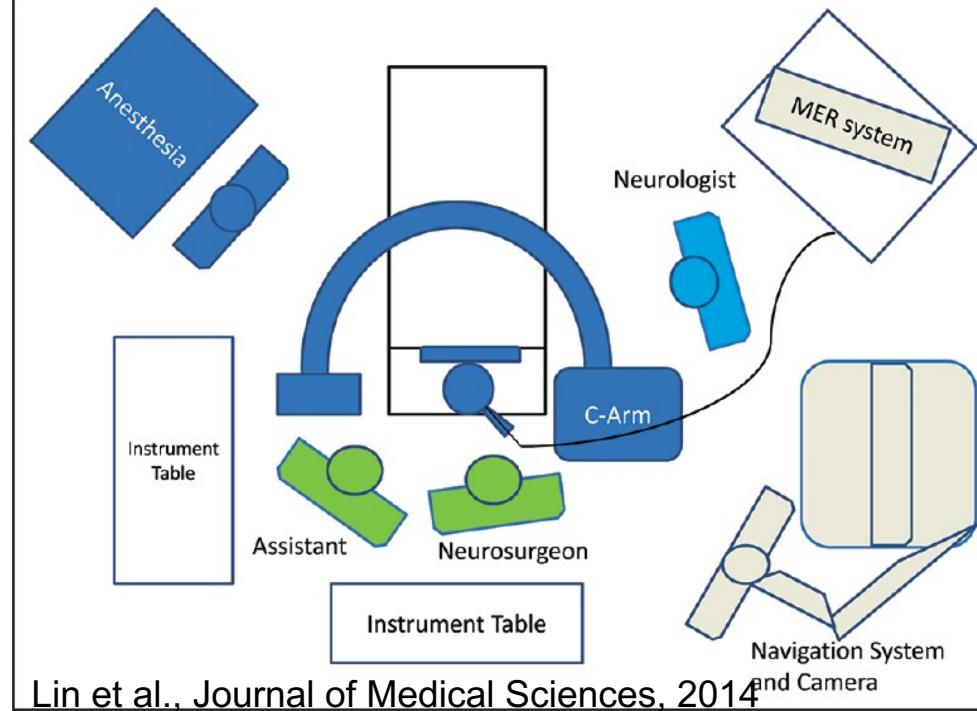
Feature Translation

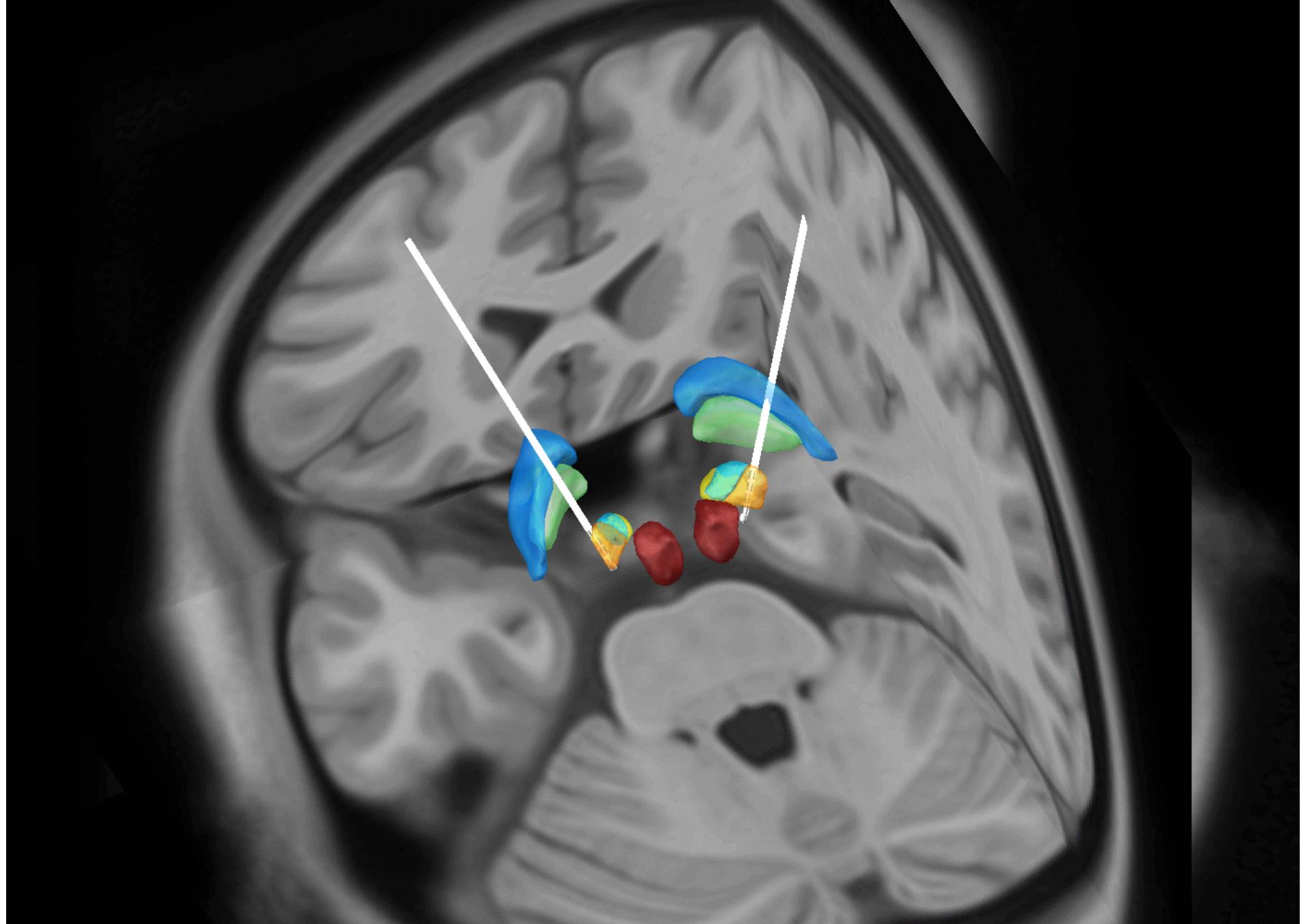
Commands



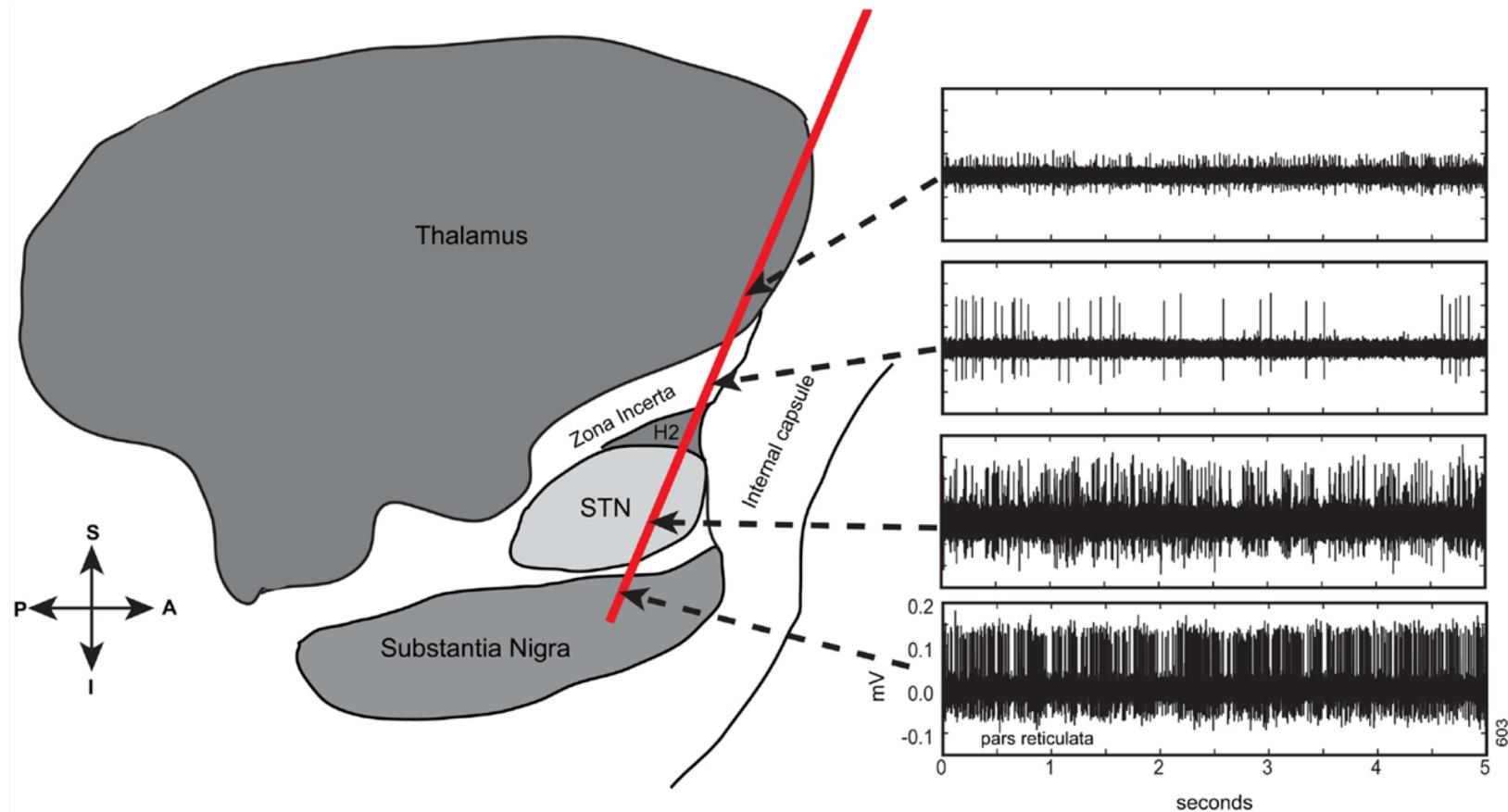
Wolpaw and Wolpaw, 2012

# Clinical & Research Setting 1





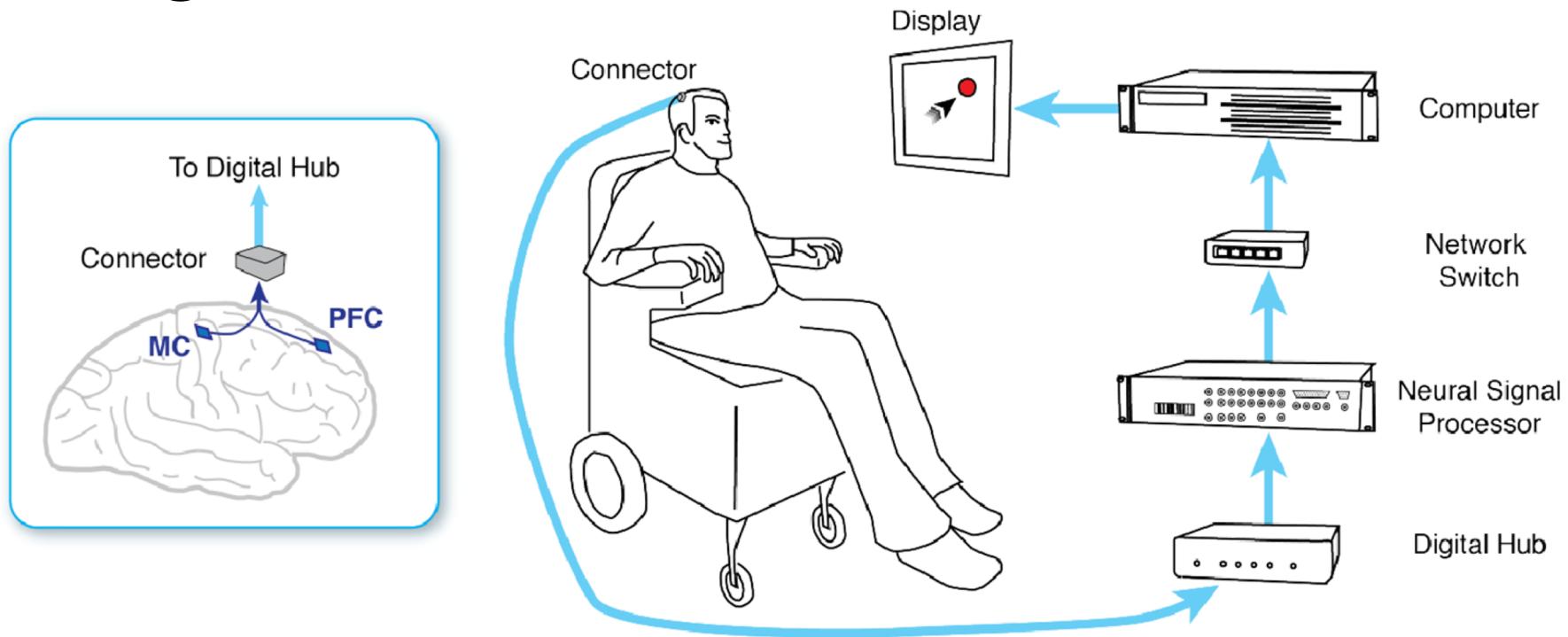
# Microelectrode Mapping



Camalier et al., Front. Neurol. 2014

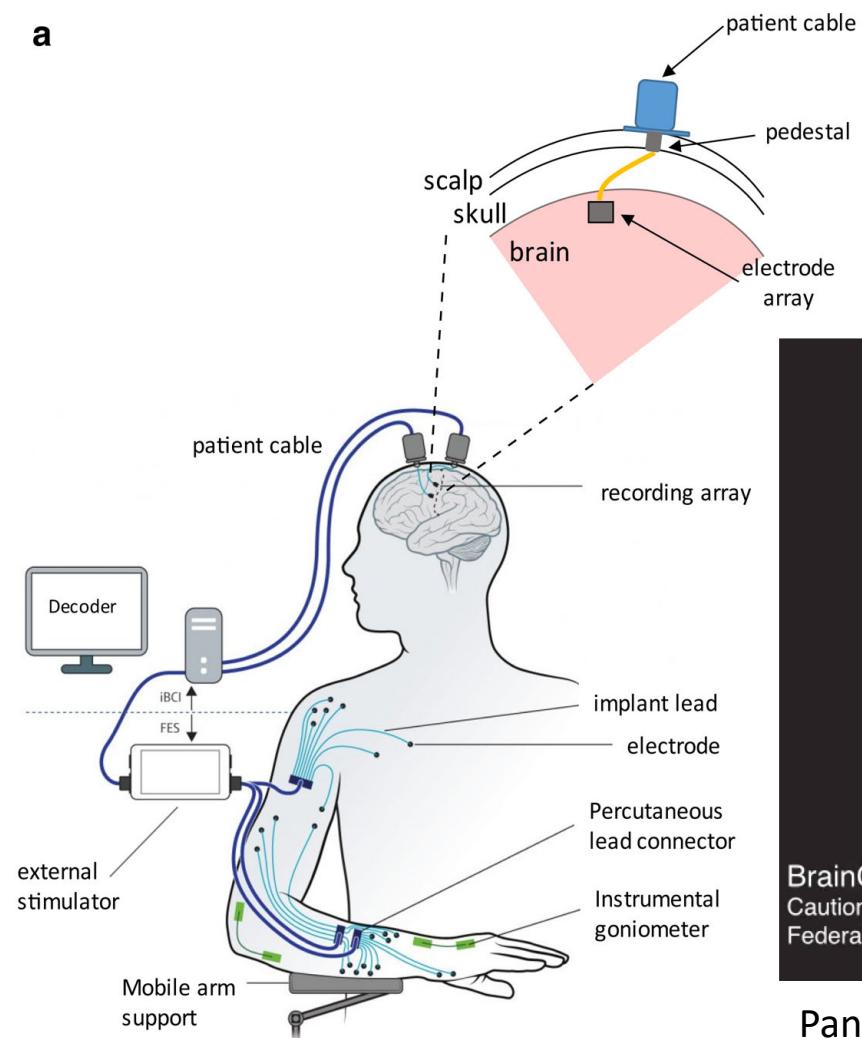
# Clinical & Research Setting 2

## Neuro Cognitive Communicator (NCC-1701)



ClinicalTrials.gov: NCT03100110  
**In active recruitment!**

a



## High performance communication by people with tetraplegia using an intracortical brain-computer interface

Pandarinath\*, Nuyujukian\*, Blabe, Sorice, Saab, Willett Hochberg, Shenoy\*\*, Henderson\*\*

Free-paced typing using the OPTI-II keyboard

“How did you encourage your sons to practice music?”

Participant T6 / Trial Day 621 - Block 17

BrainGate2 Pilot Clinical Trial  
Caution: Investigational Device. Limited by Federal Law to Investigational Use.



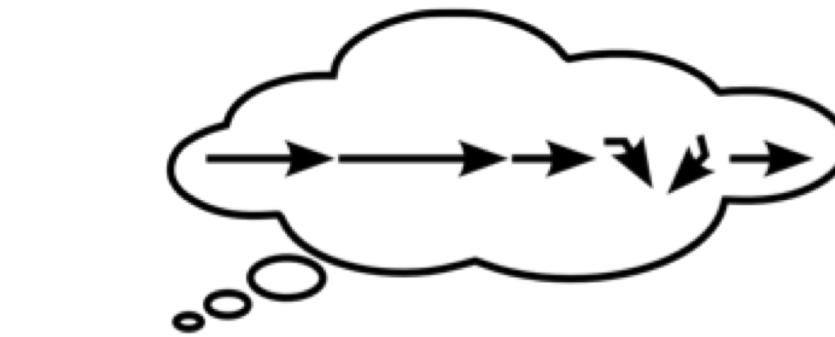
Pandarinath et al., Elife 2017

Bullard et al., Neuromodulation 2019

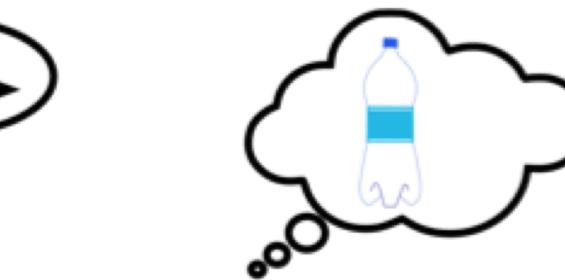
# Clinical & Research Setting 2

## Neuro Cognitive Communicator (NCC-1701)

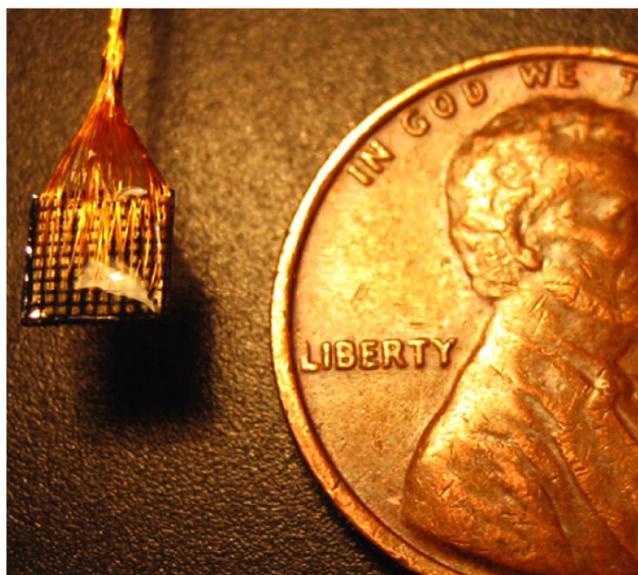
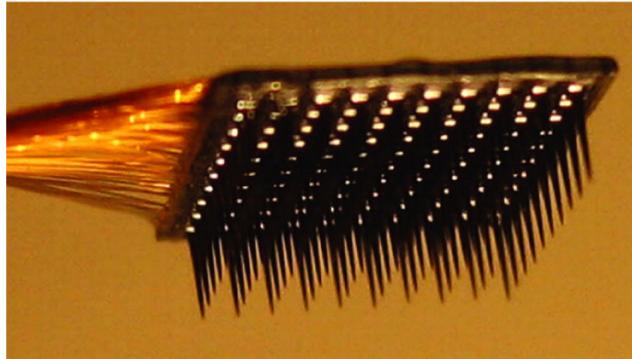
Motor Cortical Prosthetics



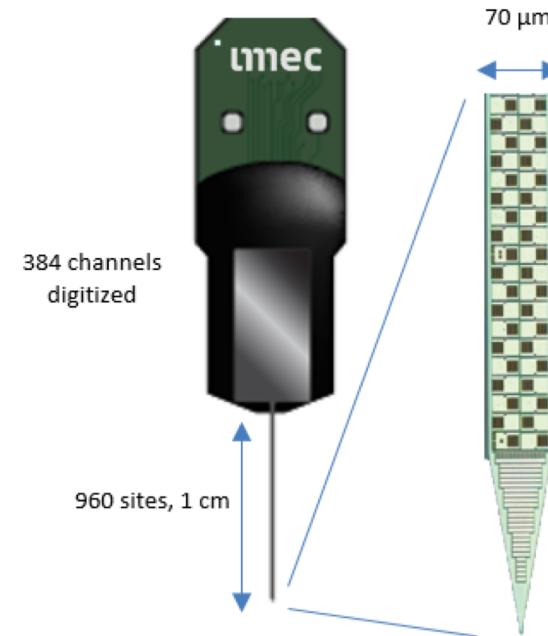
Cognitive Neuroprosthetics



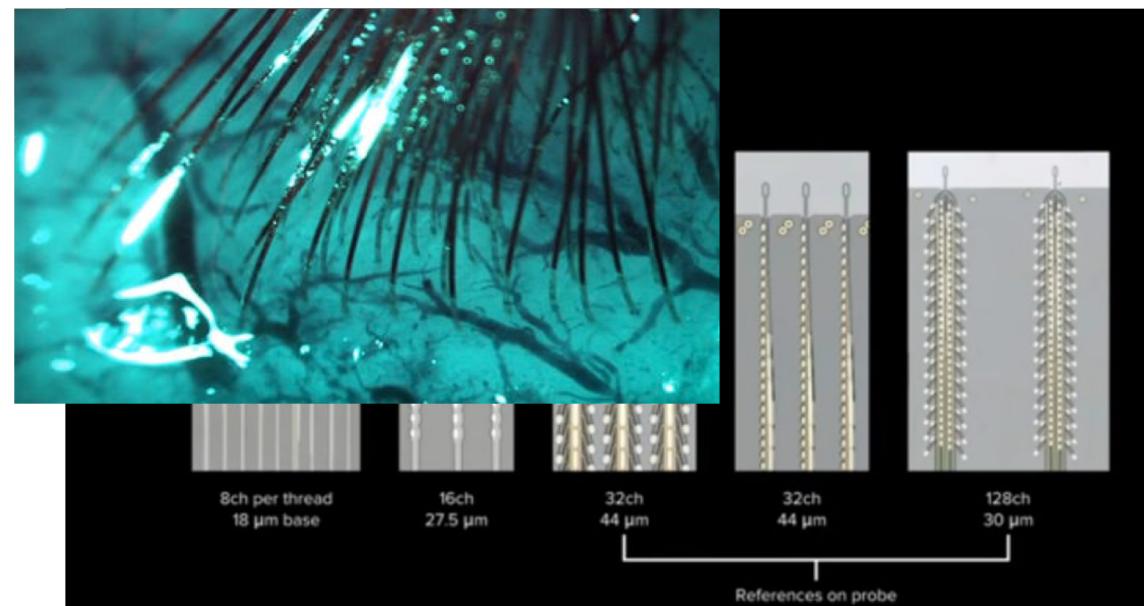
## Utah Array



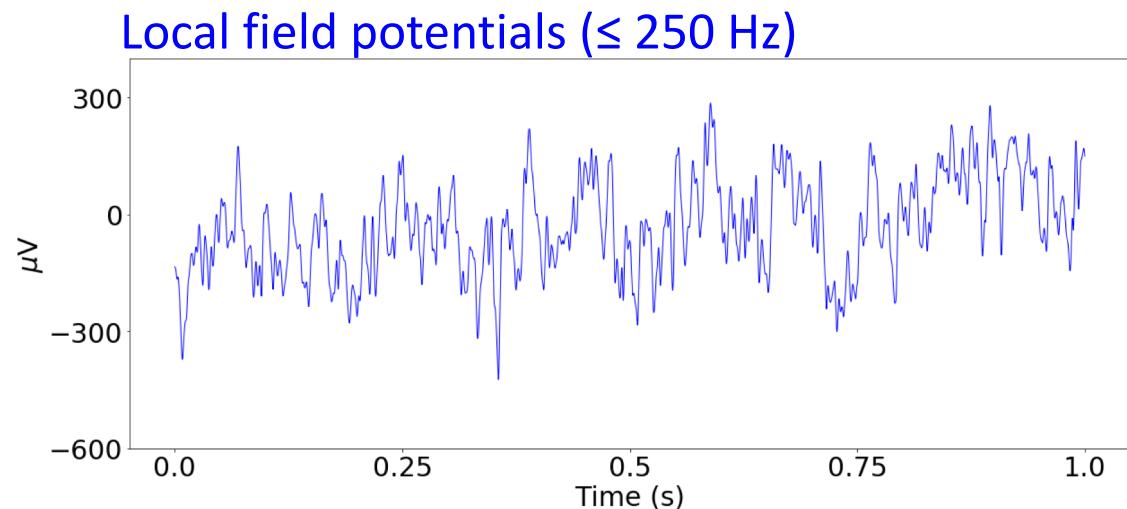
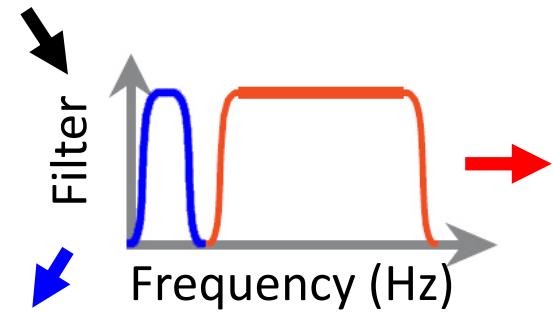
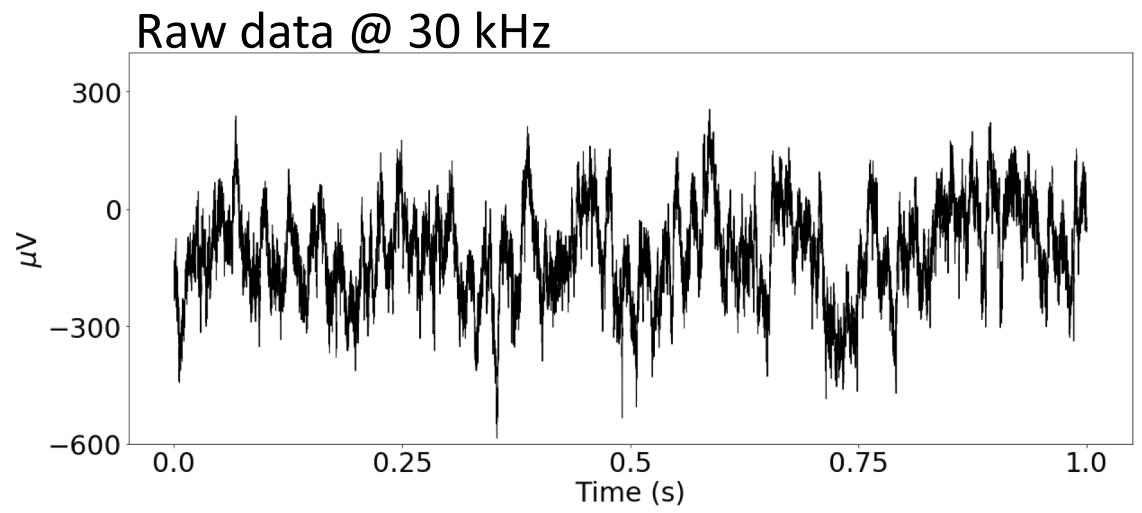
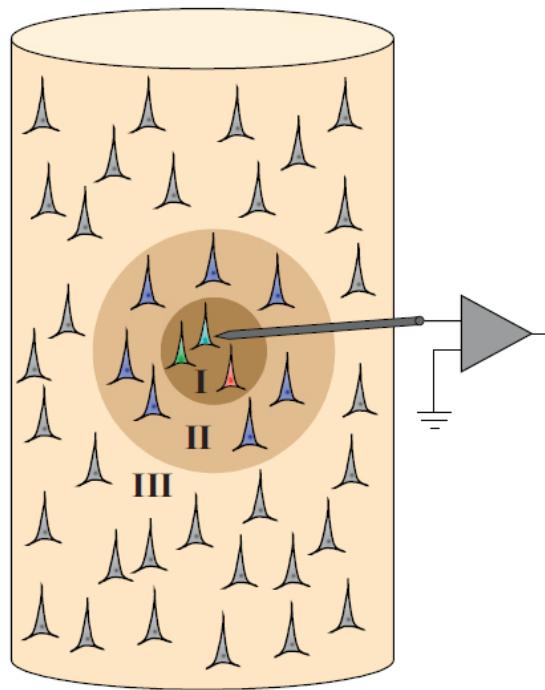
## NeuroPixel



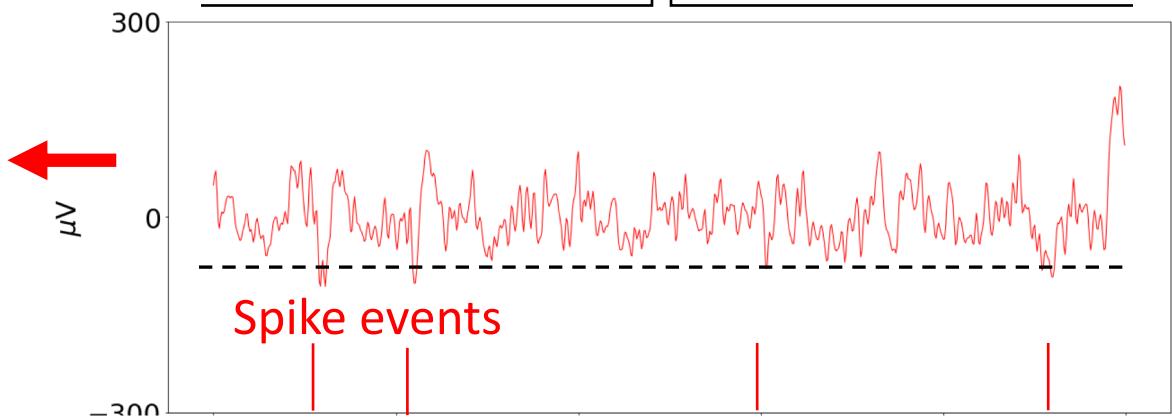
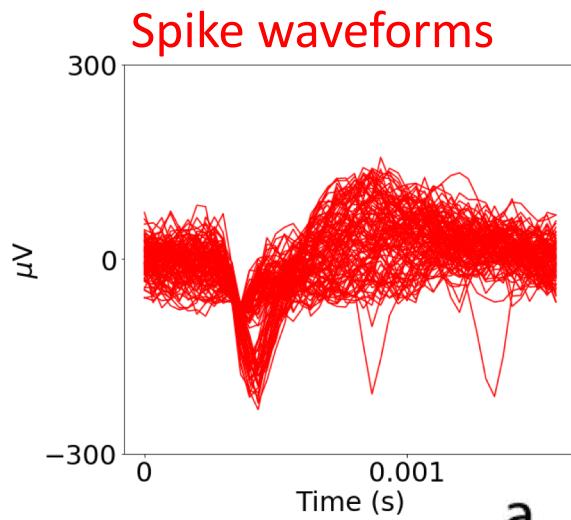
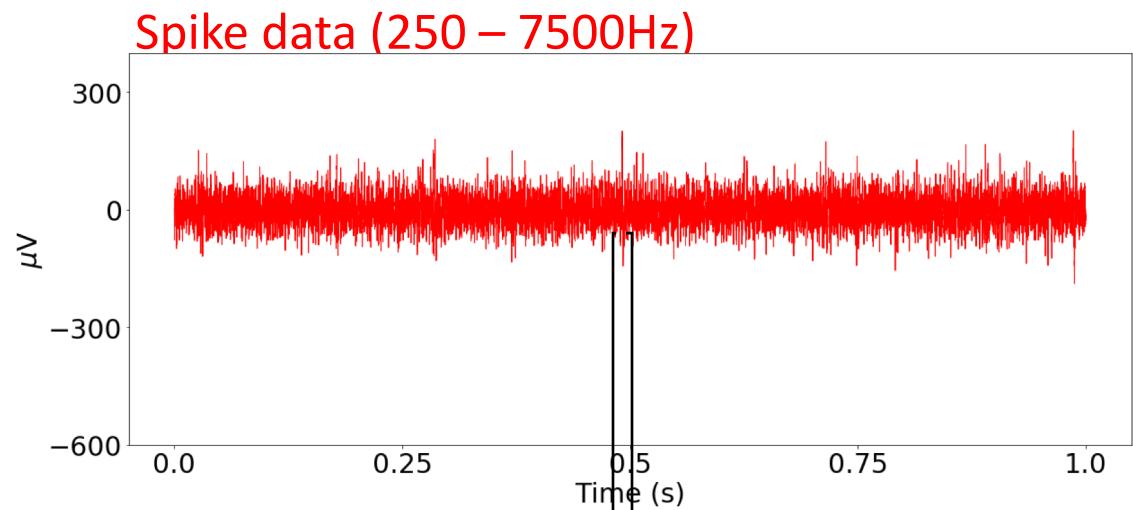
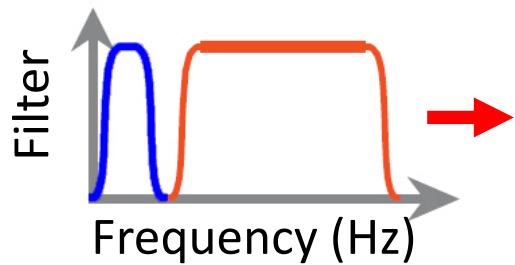
## Neuralink



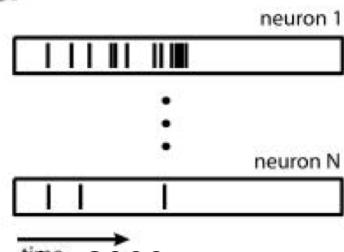
# Microelectrode Signal Processing



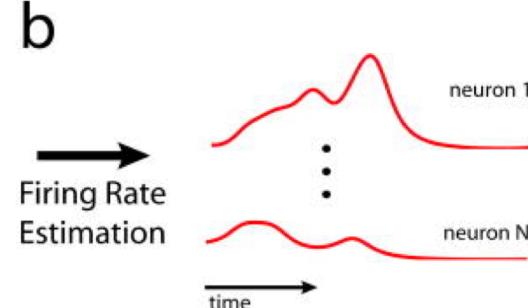
# Convolutions!



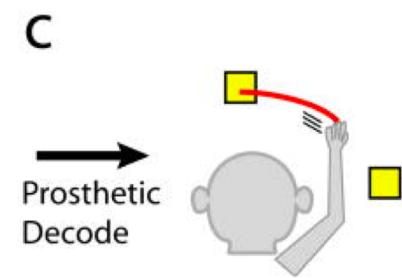
a



b



c

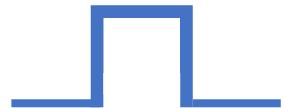


# Convolutions!

Kernel

Step Size  
(Stride)

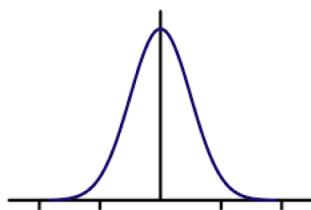
100 msec



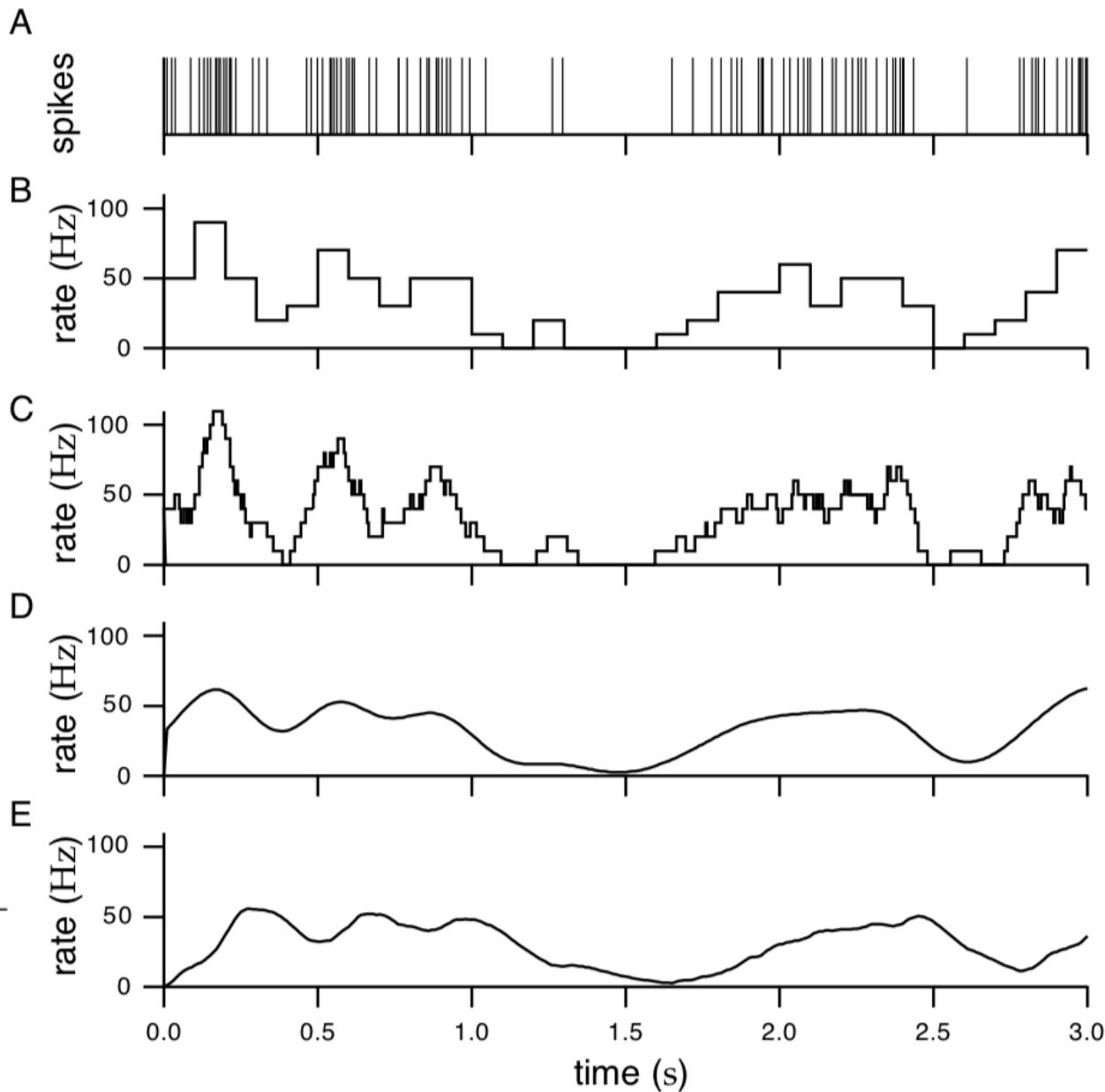
1 samp



1 samp

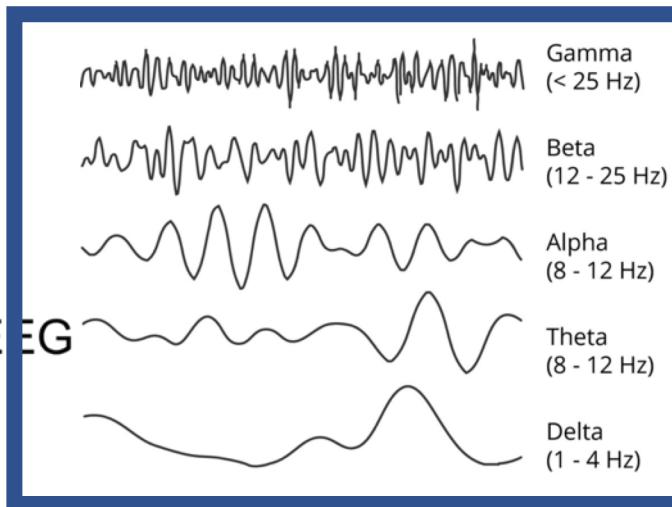
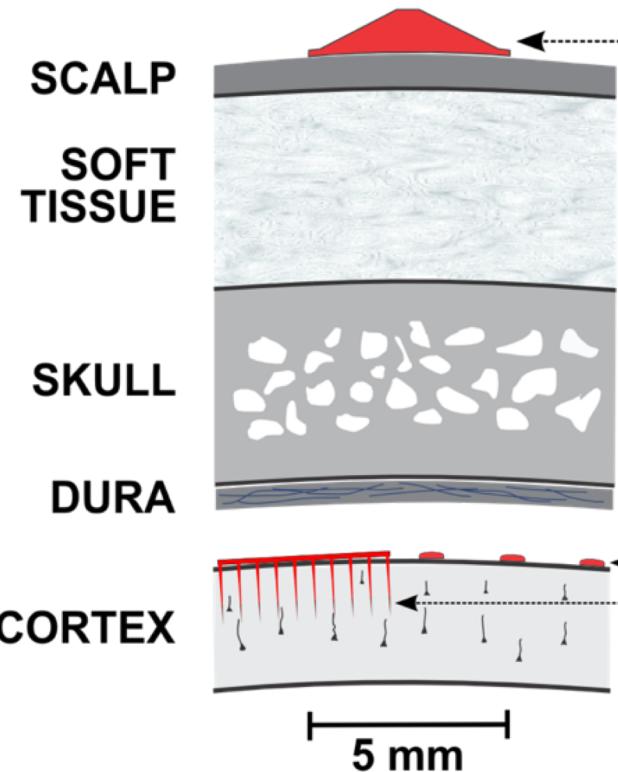


$$w(\tau) = [\alpha^2 \tau \exp(-\alpha \tau)]_+$$



# Filter Bank

## Convolutions!

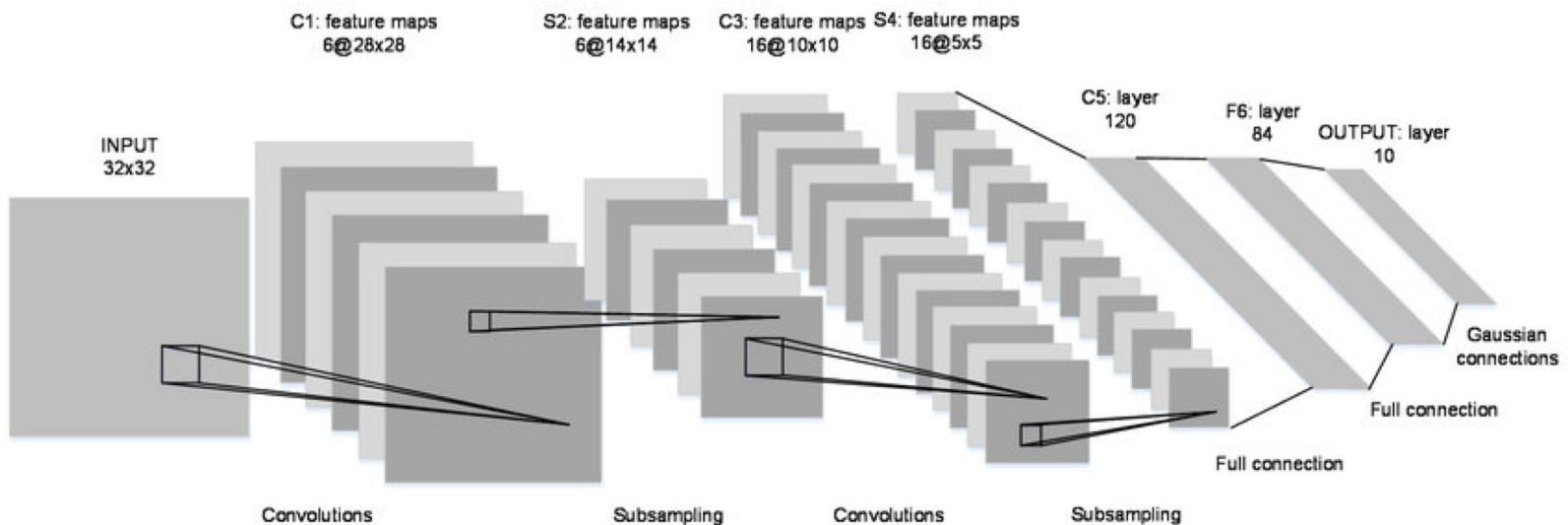


- **EEG**
  - $1 - 300 \mu\text{V}$
  - $10^6$  neurons
  - Synchronized synaptic activity
  - $< 50$  Hz
- **ECoG**
  - $1 - 5000 \mu\text{V}$
  - $10^2 - 10^4$  neurons
  - $< 250$  Hz
- **LFP**
  - $1 - 1000 \mu\text{V}$
  - $10^2$  synapses
  - $< 250$  Hz
- **Spikes**
  - $200 - 500 \mu\text{V}$
  - 1-4 neurons
  - $0.1 - 7 \text{ kHz}$

# Convolutions in Deep Learning

# ConvNets, CNNs

- Convolutional Neural Networks have exploded in popularity due to their utility in classifying images.



“LeNet” – Yann LeCun

[1-NIPS 1990](#)

# MNIST = “Hello World”

- And due to the availability of great image datasets.

label = 5



label = 0



label = 4



label = 1



label = 9



label = 2



label = 1



label = 3



label = 1



label = 4



label = 3



label = 5



label = 3



label = 6



label = 1



label = 7



label = 2



label = 8



label = 6

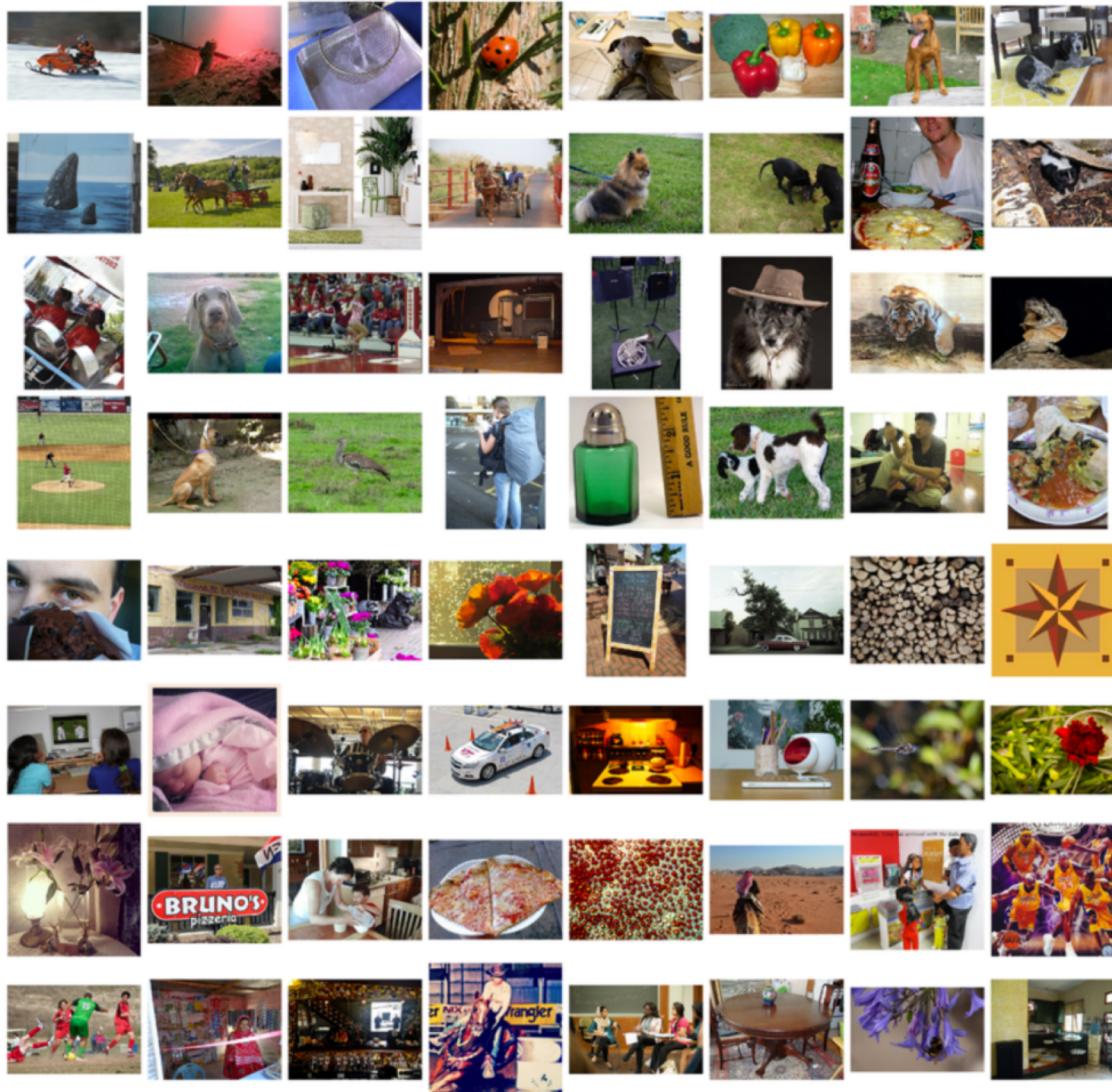


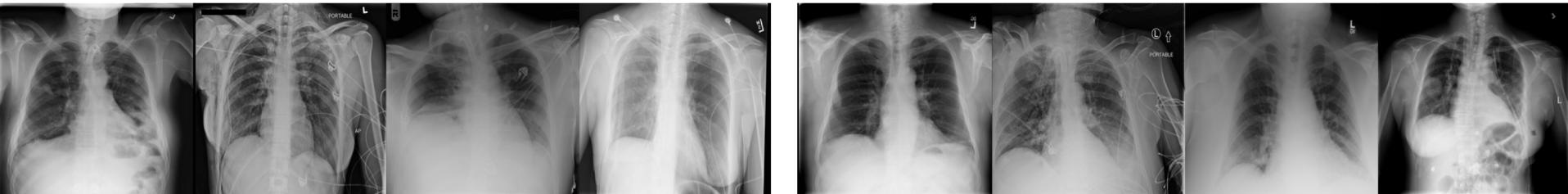
label = 9



# ImageNet 14M labeled images

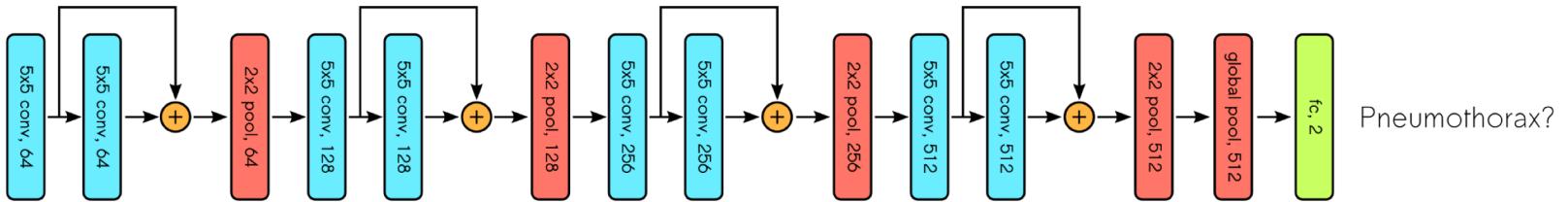
## ImageNet Large Scale Visual Recognition Challenge (ILSVRC)

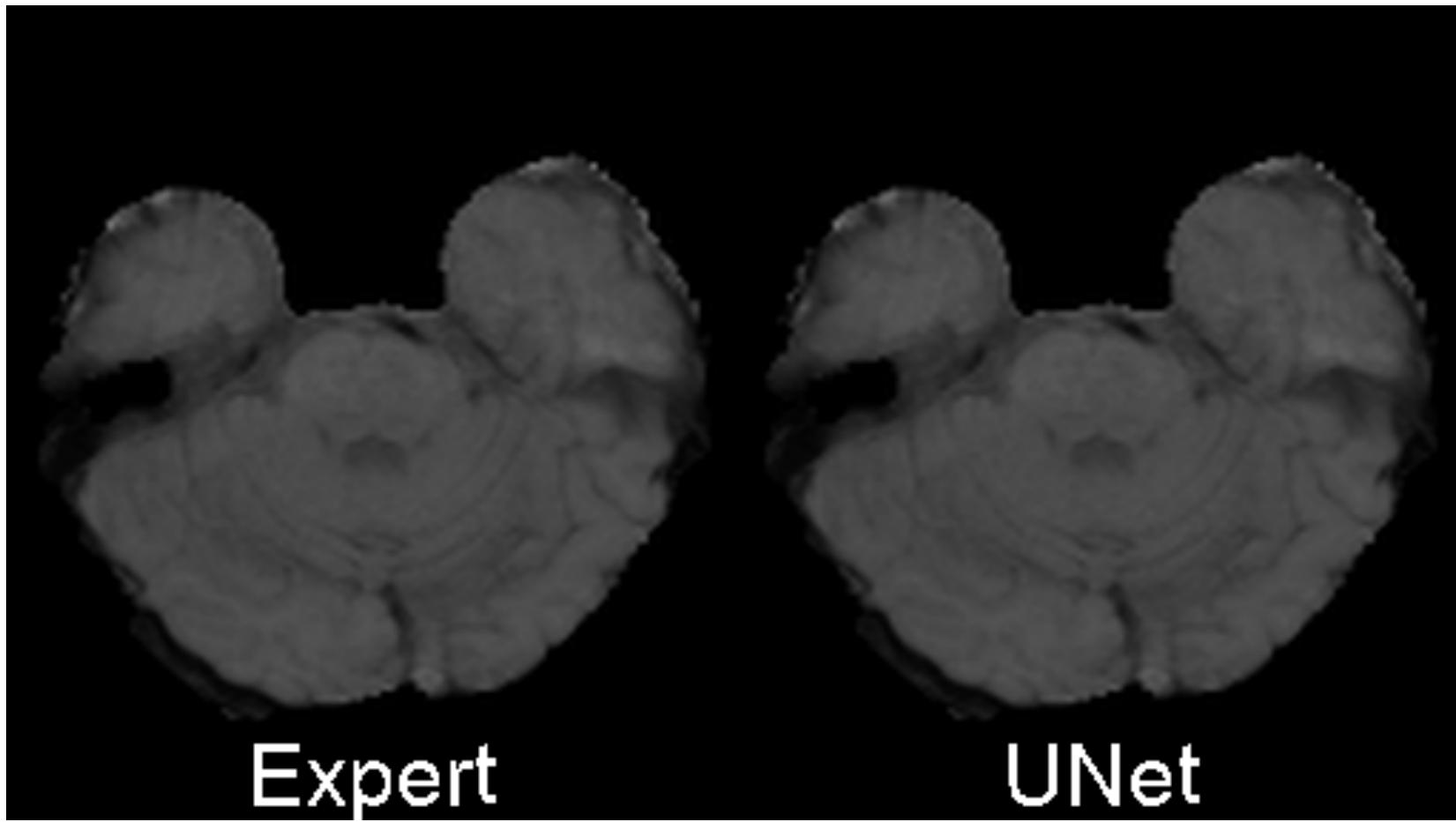




Dataset images without pneumothorax

Dataset images with pneumothorax





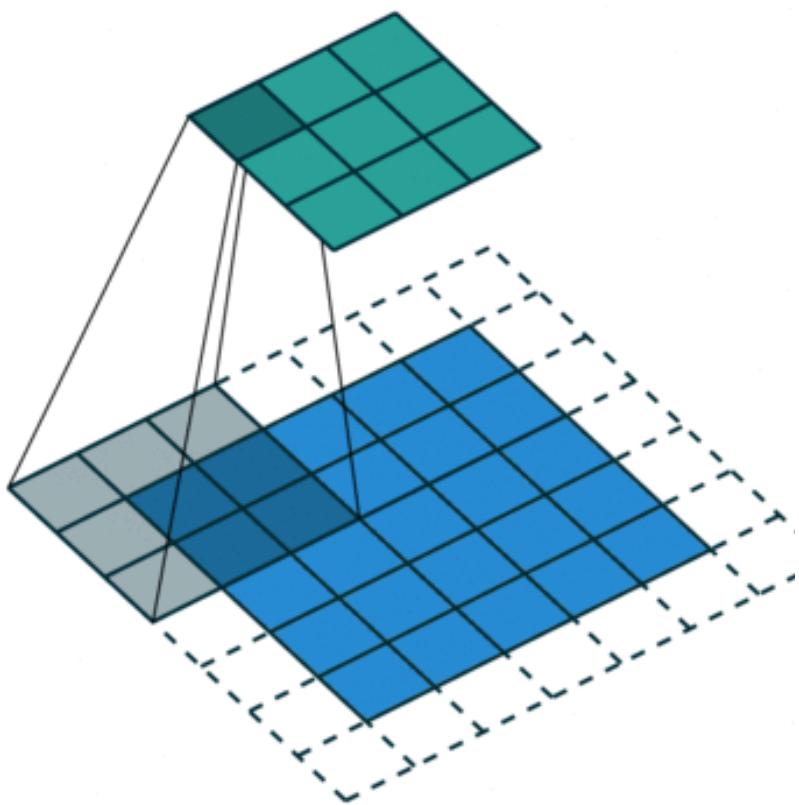
# Convolution Operation

1 <small>×1</small>	1 <small>×0</small>	1 <small>×1</small>	0	0
0 <small>×0</small>	1 <small>×1</small>	1 <small>×0</small>	1	0
0 <small>×1</small>	0 <small>×0</small>	1 <small>×1</small>	1	1
0	0	1	1	0
0	1	1	0	0

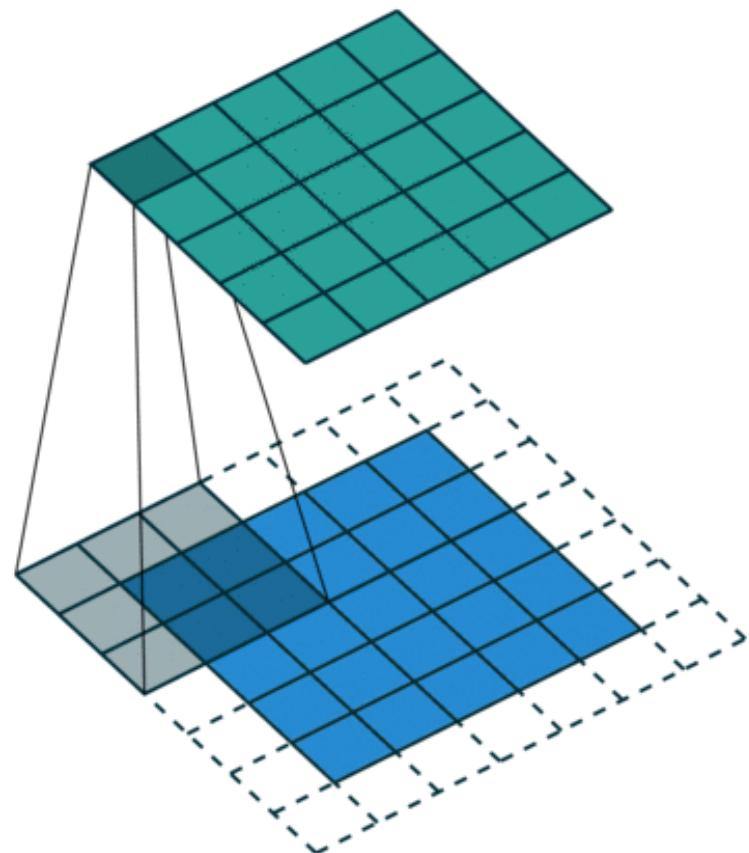
Image

4		

Convolved  
Feature



Strides = 2



Strides=1, Padding=1

Pooling: Dimensionality reduction, noise suppression, translation/rotation invariance

max pooling

12	20	30	0
8	12	2	0
34	70	37	4
112	100	25	12

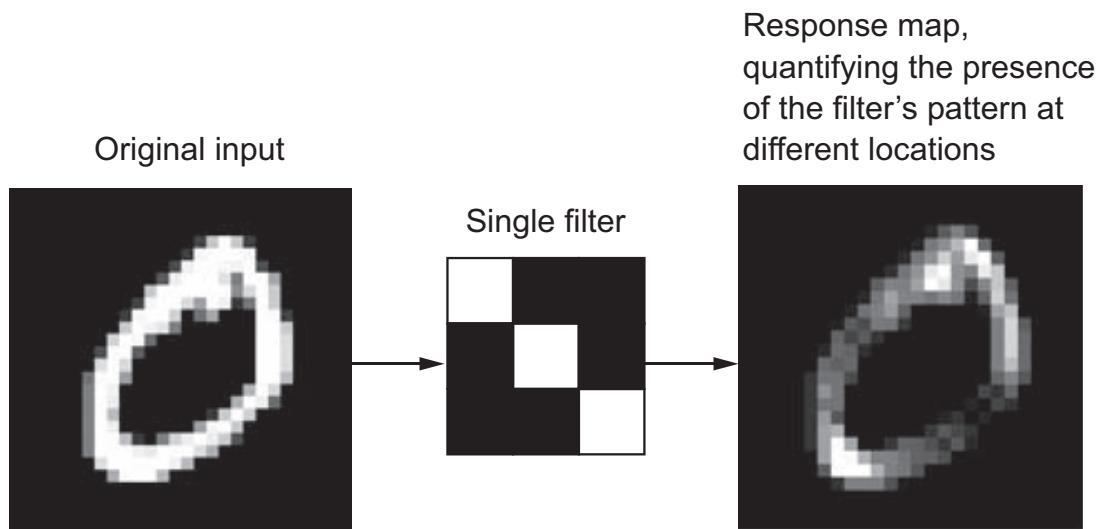
20	30
112	37

average pooling

13	8
79	20

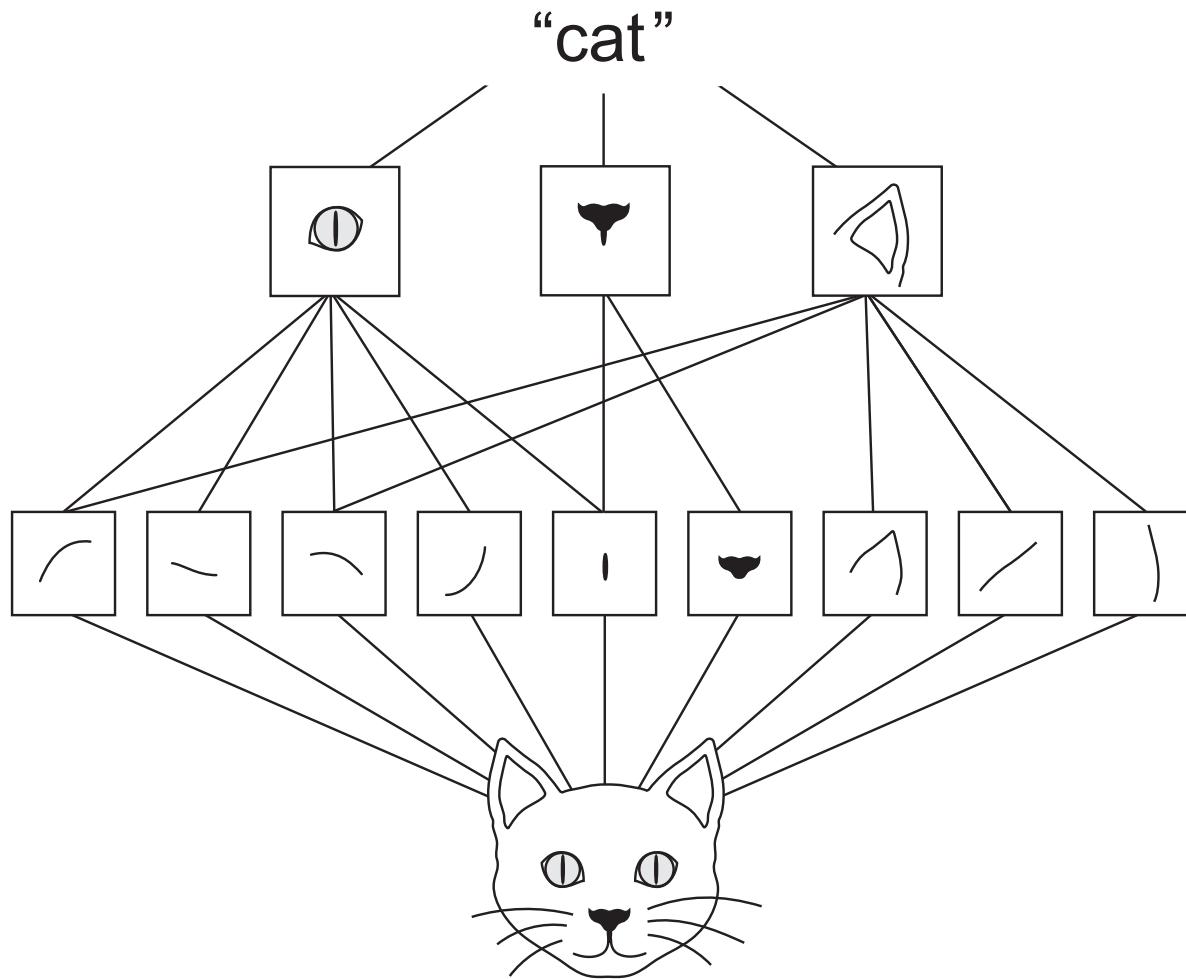
“The pooling operation used in convolutional neural networks is a big mistake and the fact that it works so well is a disaster.”

-Geoff Hinton



**Figure 5.3** The concept of a *response map*: a 2D map of the presence of a pattern at different locations in an input

Deep Learning with Python by Chollet

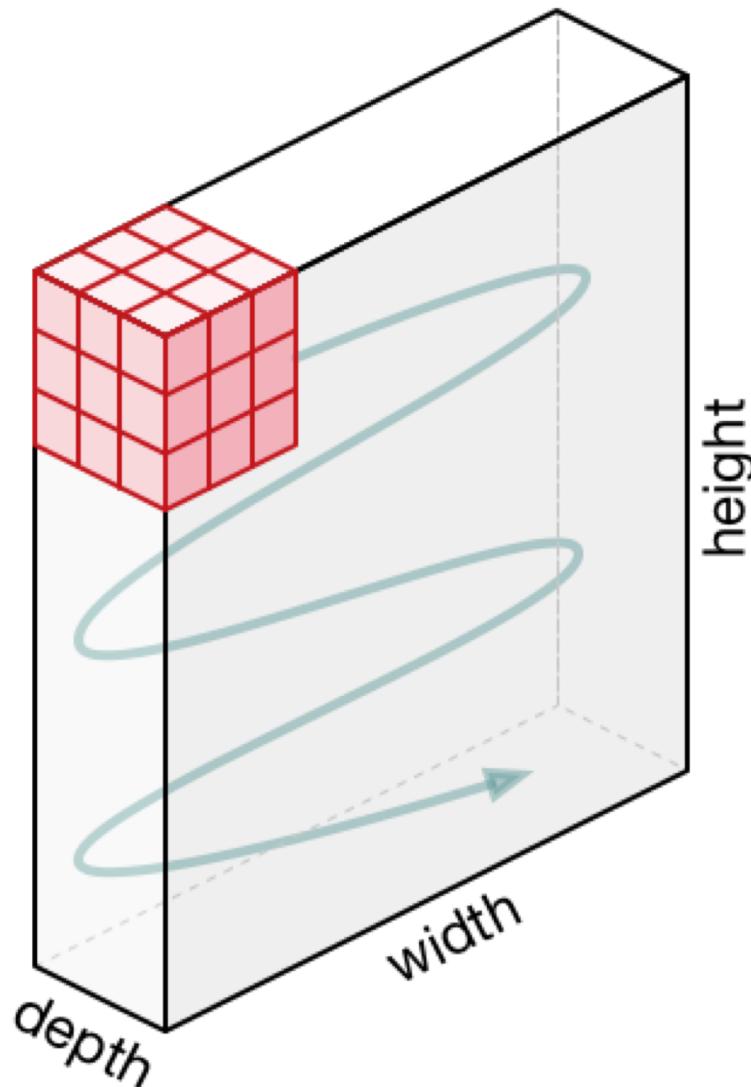


**Figure 5.2** The visual world forms a spatial hierarchy of visual modules: hyperlocal edges combine into local objects such as eyes or ears, which combine into high-level concepts such as “cat.”

# Kernel has depth

In images, depth is number of channels (RGB)

In neural data, depth could be number of channels (electrodes)



0	0	0	0	0	0	...
0	156	155	156	158	158	...
0	153	154	157	159	159	...
0	149	151	155	158	159	...
0	146	146	149	153	158	...
0	145	143	143	148	158	...
...	...	...	...	...	...	...

Input Channel #1 (Red)

0	0	0	0	0	0	...
0	167	166	167	169	169	...
0	164	165	168	170	170	...
0	160	162	166	169	170	...
0	156	156	159	163	168	...
0	155	153	153	158	168	...
...	...	...	...	...	...	...

Input Channel #2 (Green)

0	0	0	0	0	0	...
0	163	162	163	165	165	...
0	160	161	164	166	166	...
0	156	158	162	165	166	...
0	155	155	158	162	167	...
0	154	152	152	157	167	...
...	...	...	...	...	...	...

Input Channel #3 (Blue)

-1	-1	1
0	1	-1
0	1	1

Kernel Channel #1



308

+

1	0	0
1	-1	-1
1	0	-1

Kernel Channel #2



-498

0	1	1
0	1	0
1	-1	1

Kernel Channel #3



164

+

-25				...
				...
				...
				...
...	...	...	...	...

Output

Bias = 1

If output depth is 1 then the results of the kernel multiplications are summed into a flat image.  
This is rarely the case.

For each step...

Input is  $3 \times 3 \times 2$

Kernel is  $3 \times 3 \times 2$ , x3

Output is  $1 \times 1 \times 3$

Note the change in depth

2 input channels  $\rightarrow$  3 kernels

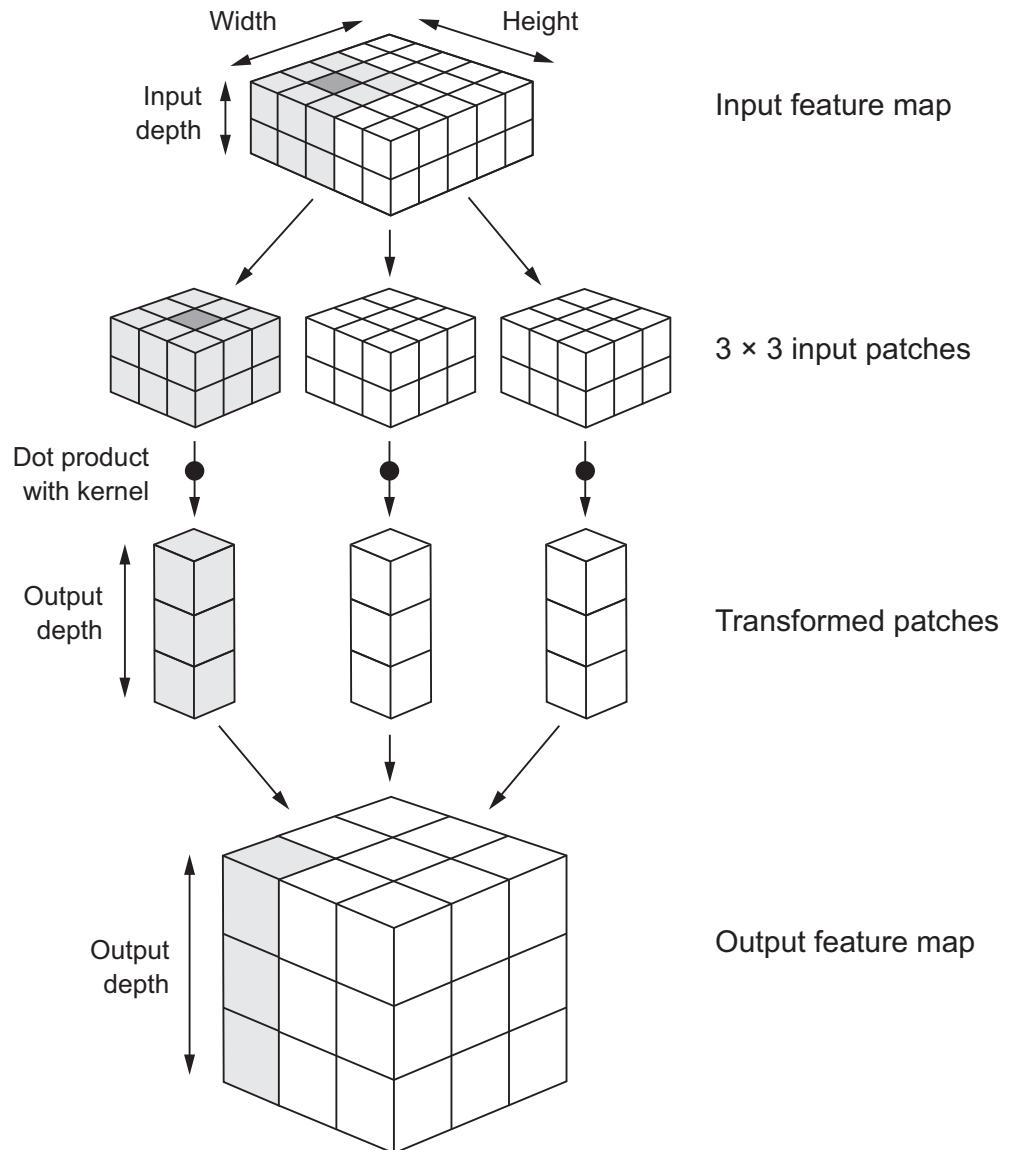
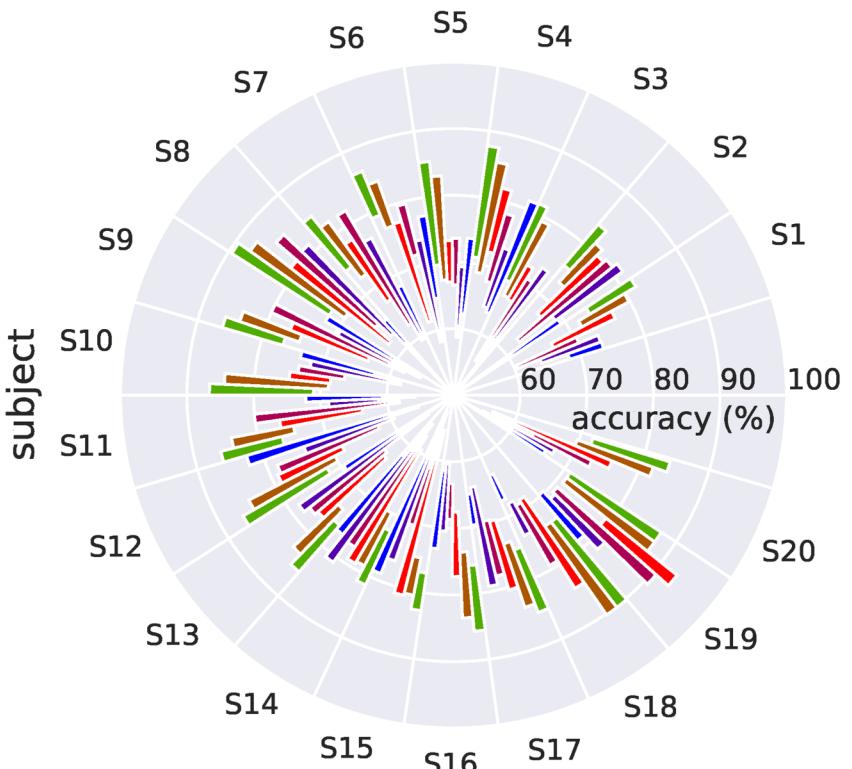


Figure 5.4 How convolution works

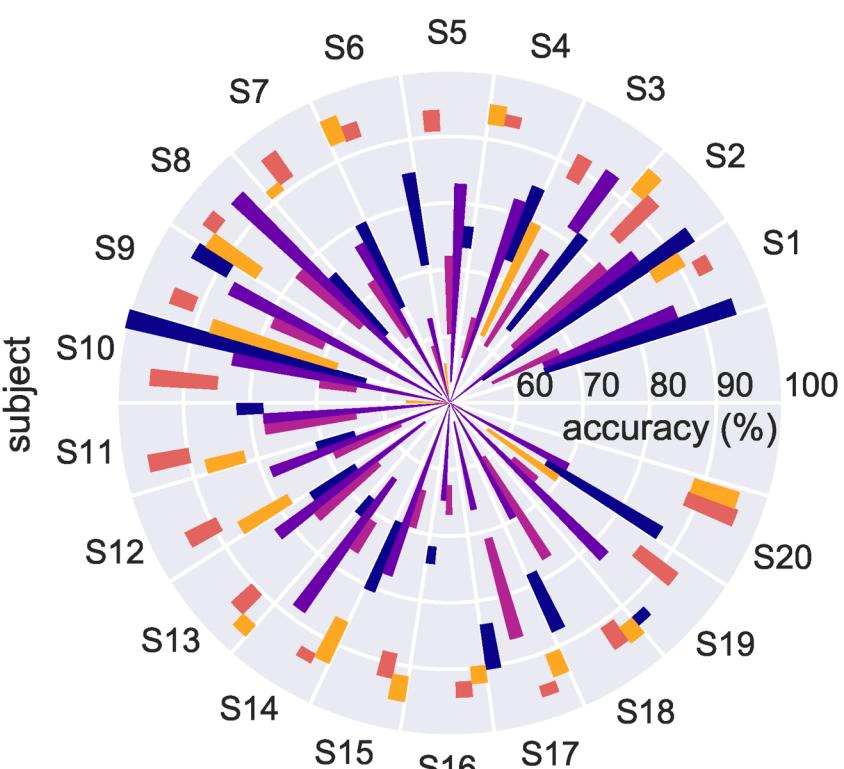
# Convolutions in 1D on timeseries

- Proceed to notebook

[03\\_01 Intro to CNNs.ipynb](#)



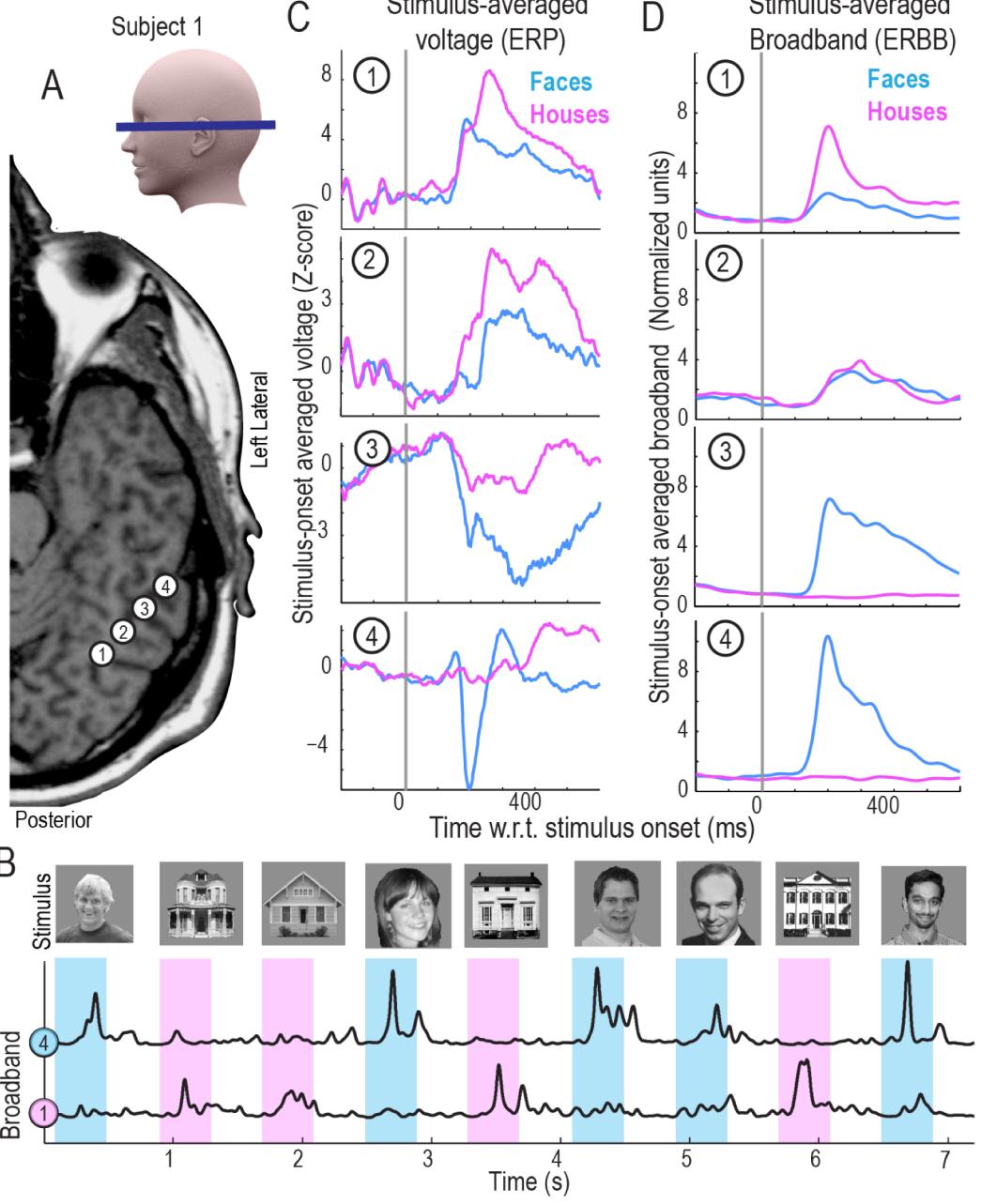
(a) classical



(b) neural

# ECoG Data Library

- Kai J Miller has made available a library of ECoG data he collected. [Link.](#)
- Today we will use one of the datasets from that library, found in the "faces\_basic" folder.
  - Miller, Kai J., Gerwin Schalk, Dora Hermes, Jeffrey G. Ojemann, and Rajesh PN Rao. "Spontaneous decoding of the timing and content of human object perception from cortical surface recordings reveals complementary information in the event-related potential and broadband spectral change." *PLoS computational biology* 12, no. 1 (2016): e1004660.
  - **Ethics statement:** All patients participated in a purely voluntary manner, after providing informed written consent, under experimental protocols approved by the Institutional Review Board of the University of Washington (#12193). All patient data was anonymized according to IRB protocol, in accordance with HIPAA mandate. These data originally appeared in the manuscript "Spontaneous Decoding of the Timing and Content of Human Object Perception from Cortical Surface Recordings Reveals Complementary Information in the Event-Related Potential and Broadband Spectral Change" published in *PLoS Computational Biology* in 2016



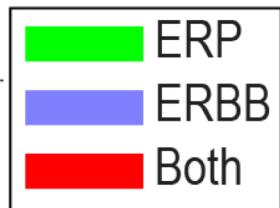
**Broadband: Power in 50-300 Hz**

**Event-Related Potential:**  
**Stimulus-locked time-domain signal**

**3 Conditions:**

- \* Inter-Stimulus Interval
- \* face
- \* house

### Decoding accuracy - when timing is known



Fraction correct

1

0.8

Bar graph with non-zero y-intercept. ☺

1

2

3

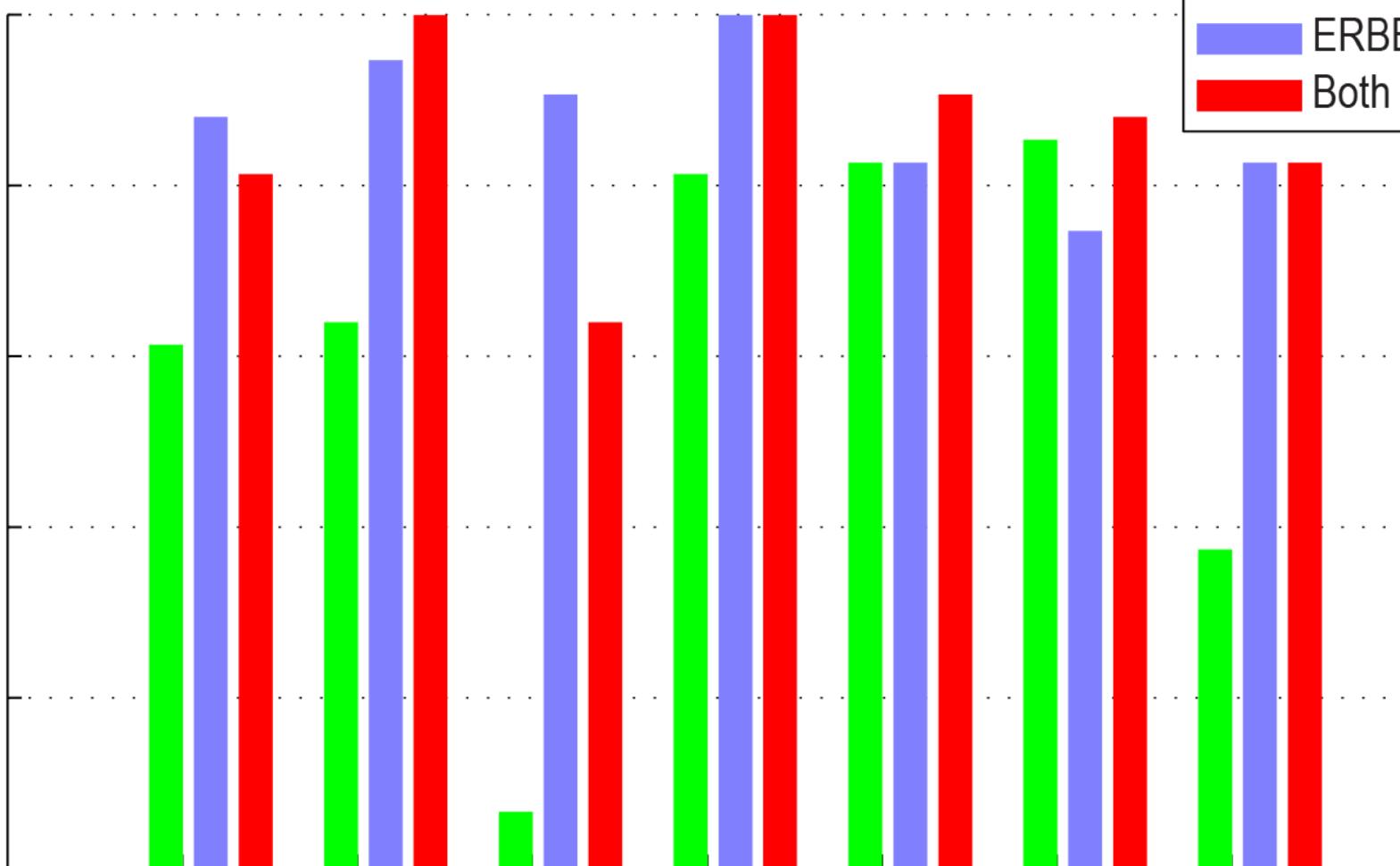
4

5

6

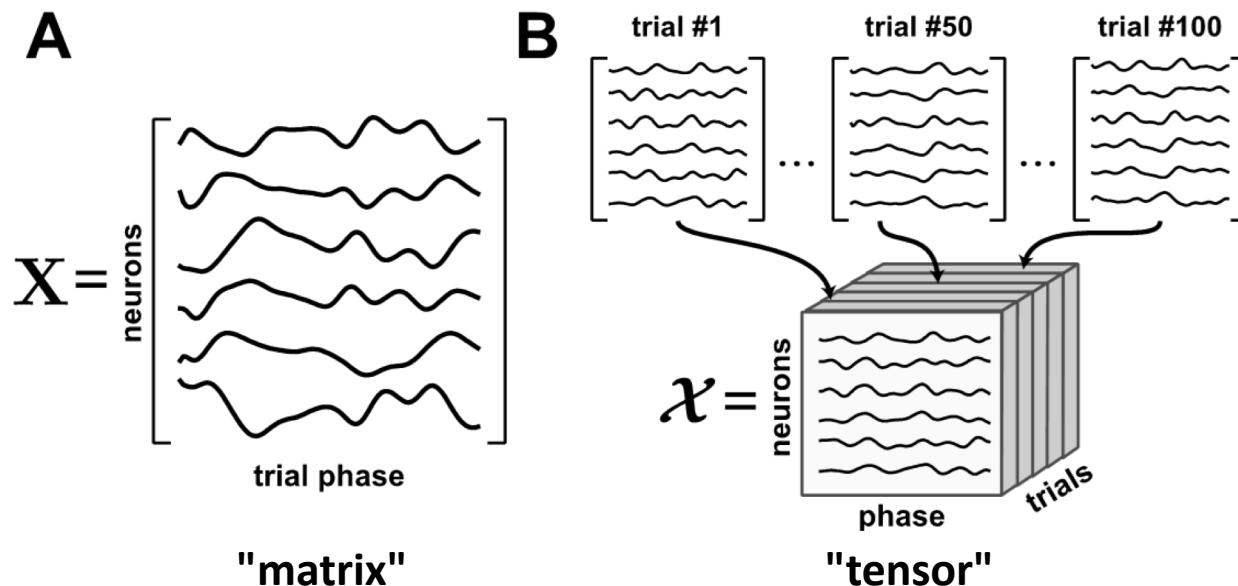
7

Subject number



# Data Structure

- The 'signals' chunk has data with shape (603, 17, 31).
  - (trials, samples, channels)



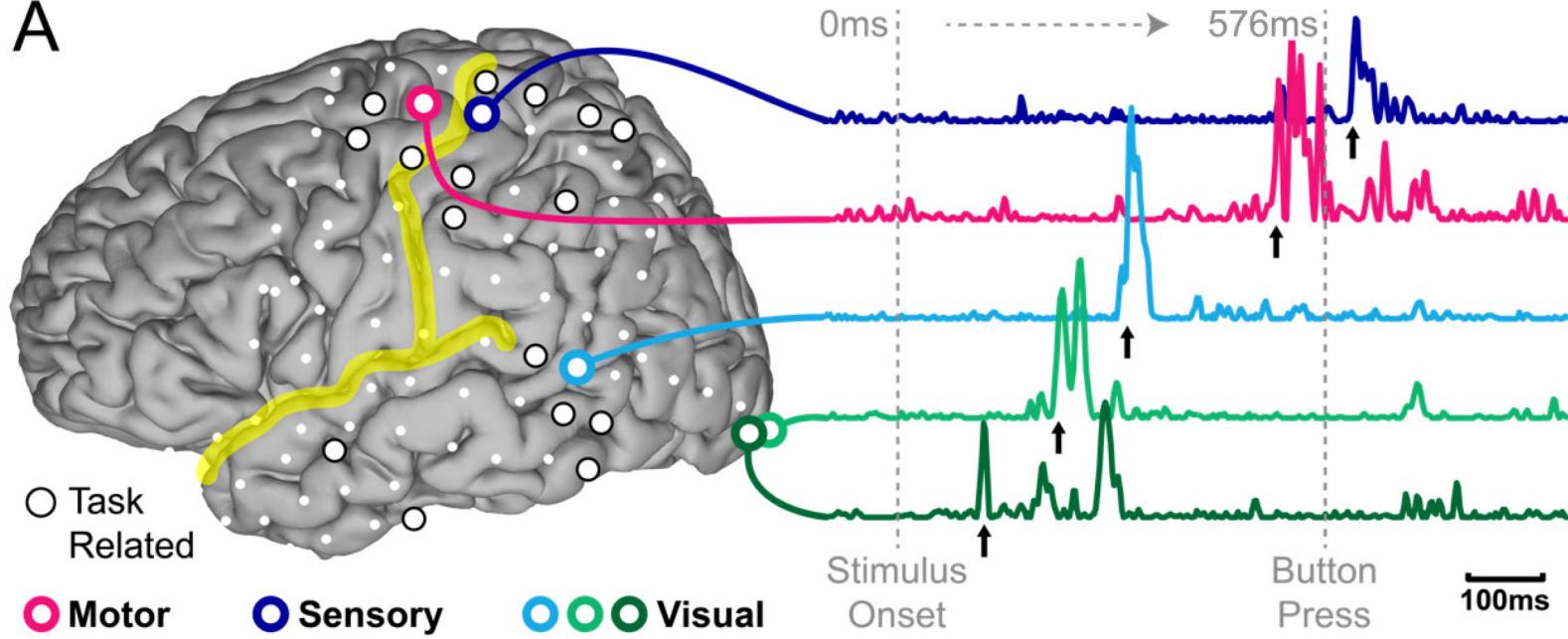
# Convolutions in 1D on ECoG data

- Mac Users:
  - (Cmd + Up Arrow) to go up a folder in finder
  - (Cmd + Shift + . ) to show hidden files/folders.
- Proceed to notebook

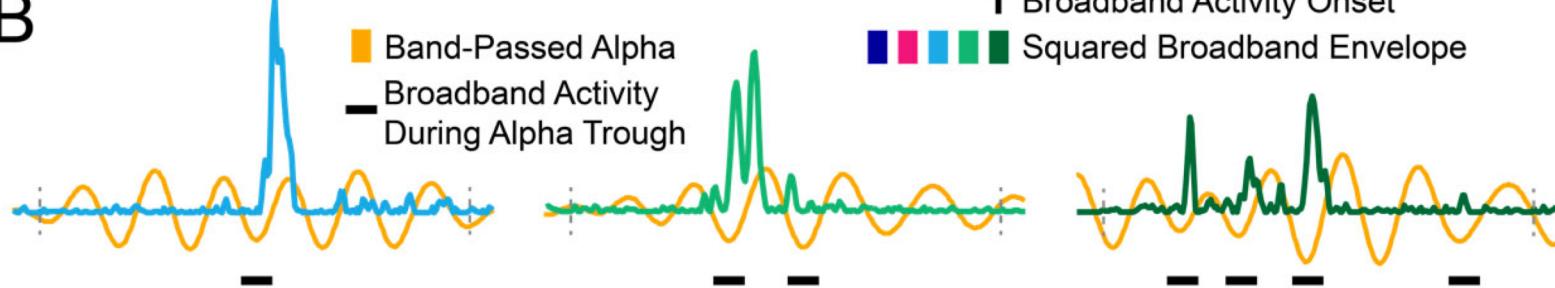
[03 02 CNN faces houses.ipynb](#)

# Translation Invariance – Isn't that a bad thing? Maybe not.

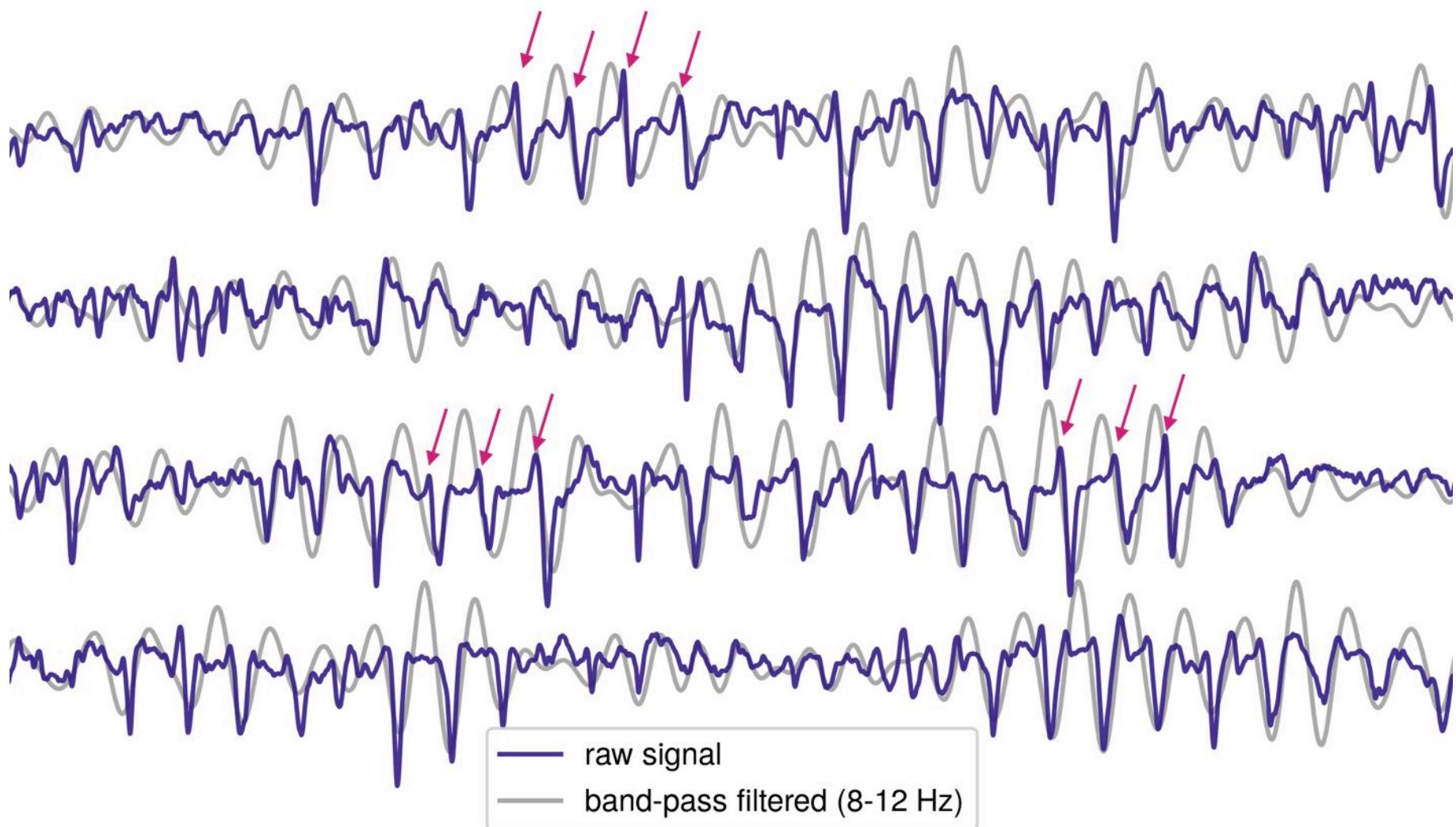
A



B



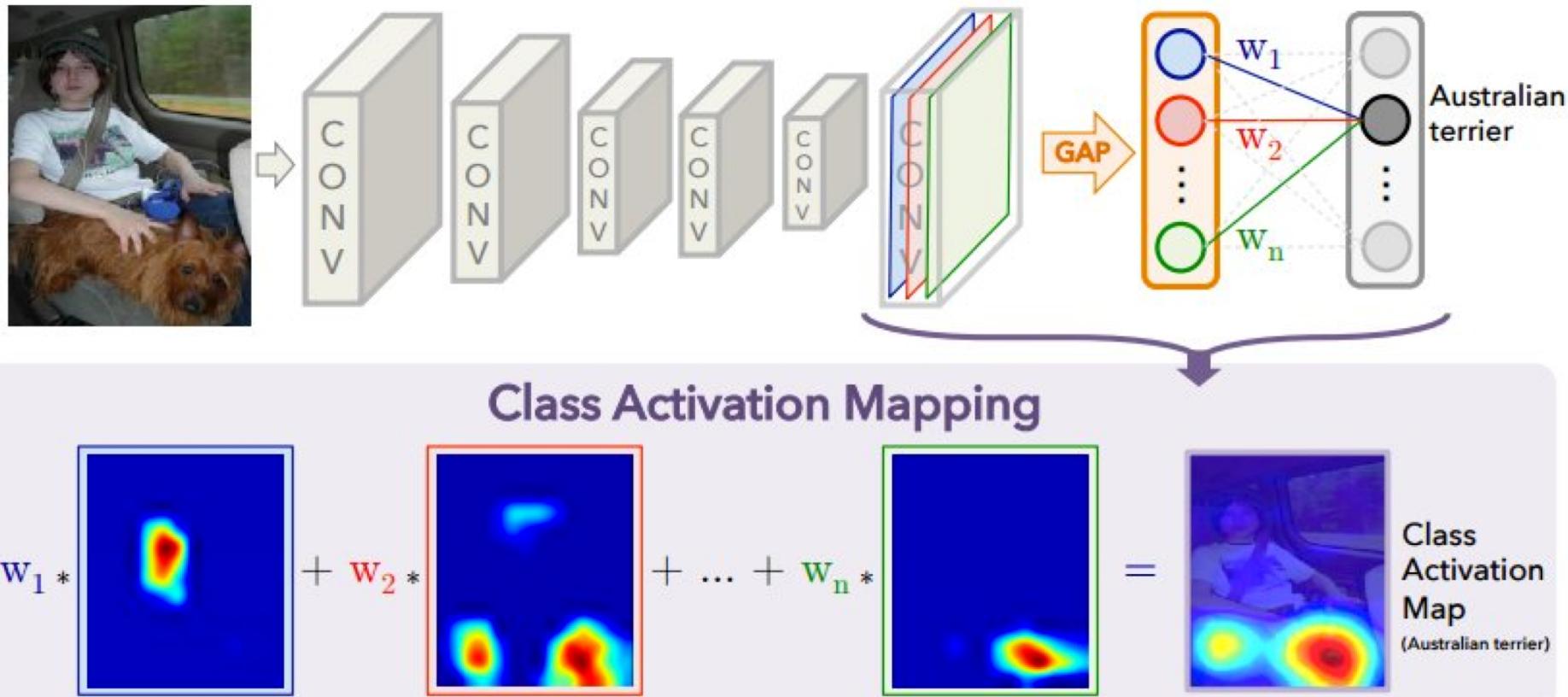
# Why train filter kernels?



# Inspecting CNNs

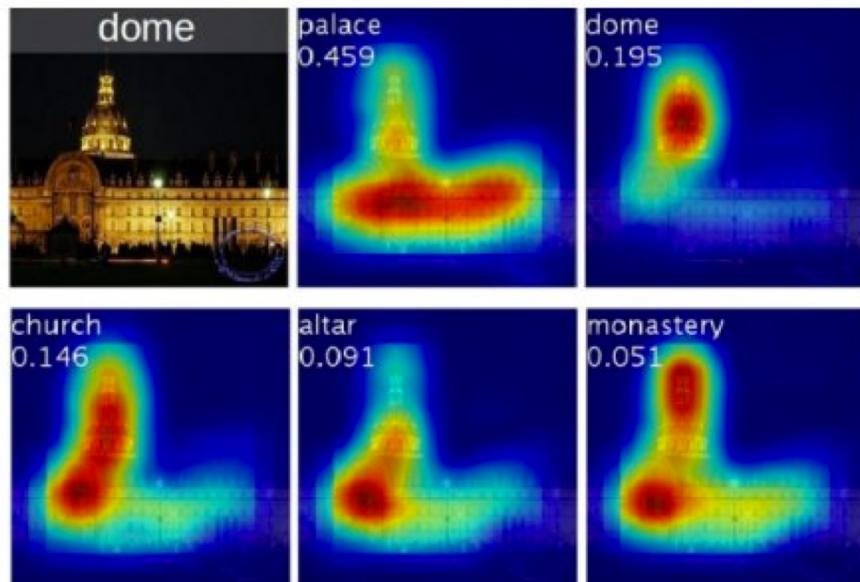
- CAM (slides only)
- Perturbations (slides only)
- Filter-maximizing inputs (notebook)
- Saliency Maps (notebook)

# Class Activation Maps



Zhou et al., 2015

# Class Activation Maps



Class activation maps of top 5 predictions

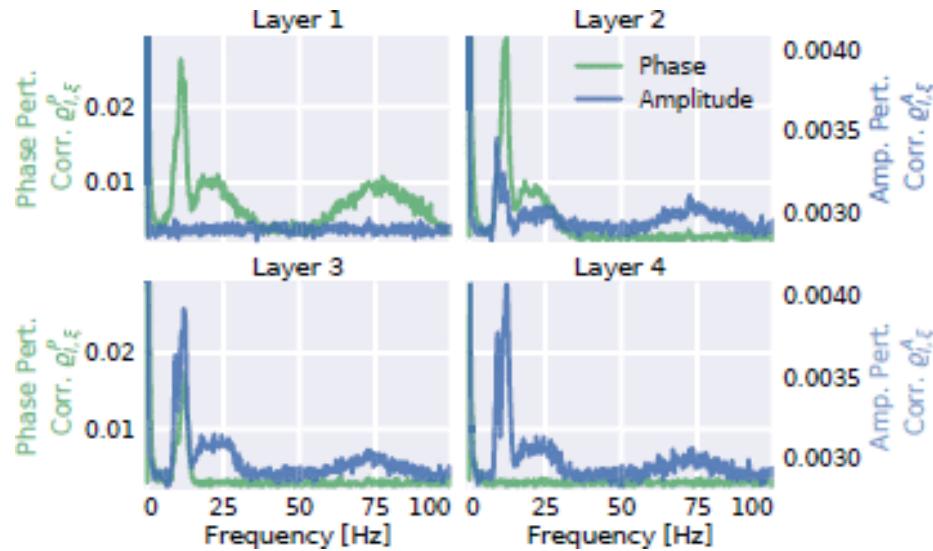


Class activation maps for one object class

# Perturbation Analysis

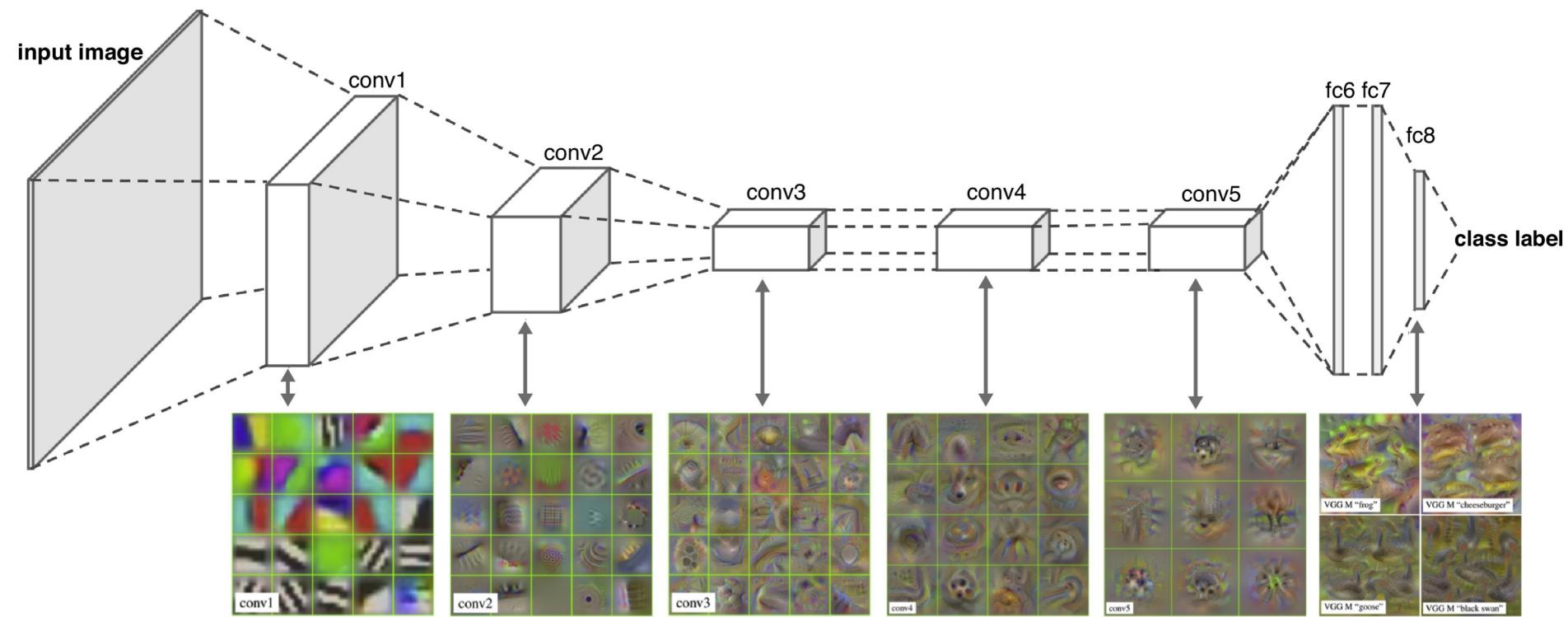
- "In case a filter extracts the amplitude of a certain frequency, a perturbation of the amplitude of that frequency should evoke a consistent change of activity in all units of that filter. An amplitude increase should evoke either an activation increase or an activation decrease in all units. The opposite should happen for an amplitude decrease."

$$\Delta \bar{y}_{f,i} = \frac{1}{N_j} \sum_j (y_{f,i,j} - y_{f,i,j}^A)$$
$$\rho_{p_{\xi,c}^A, \Delta \bar{y}_f} = \text{corr}(p_{\xi,c}^A, \Delta \bar{y}_f)$$



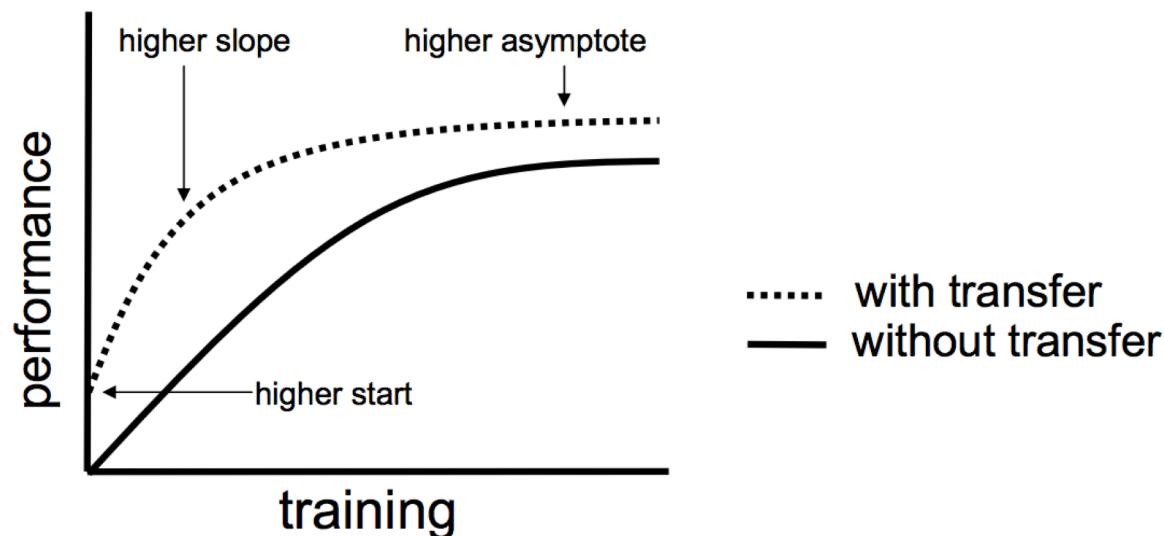
- Hartmann, Schirrmeyer, Ball 2018

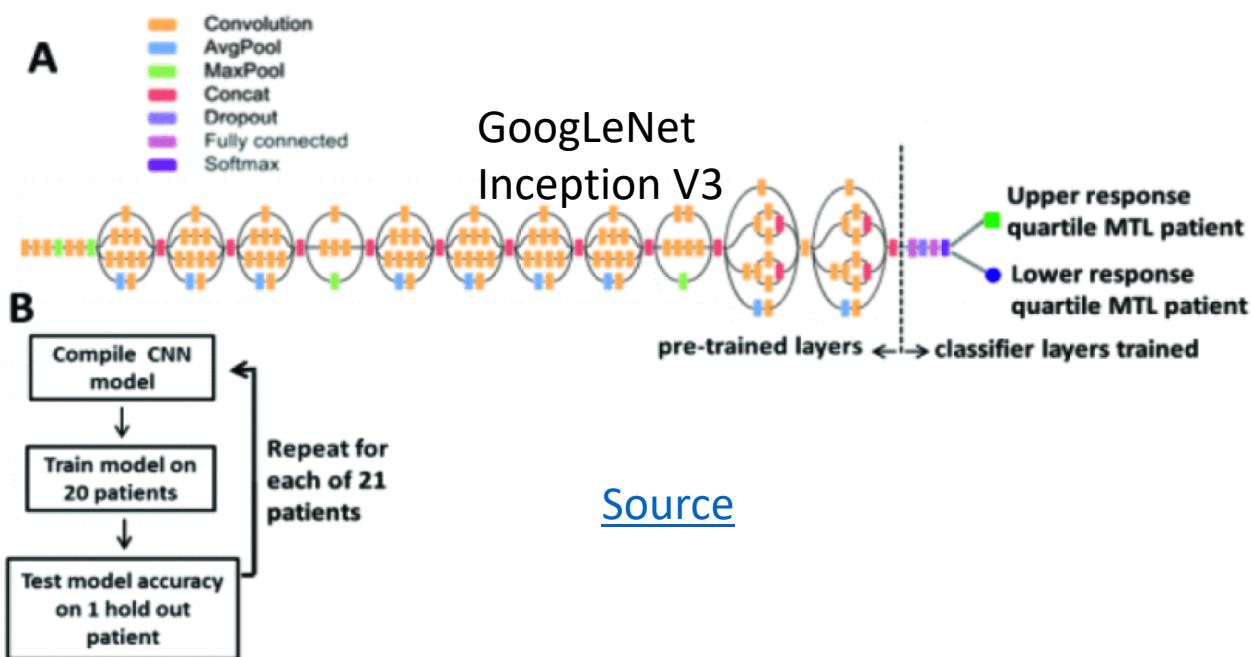
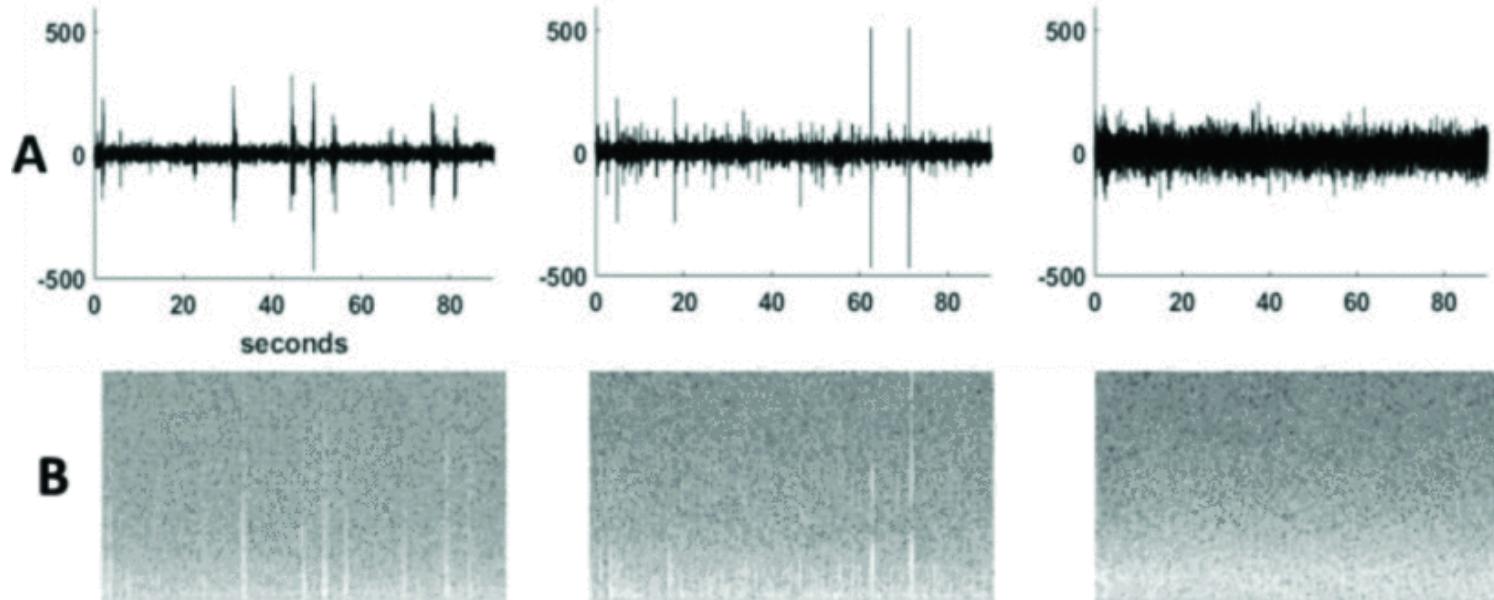
# Filter maximizations

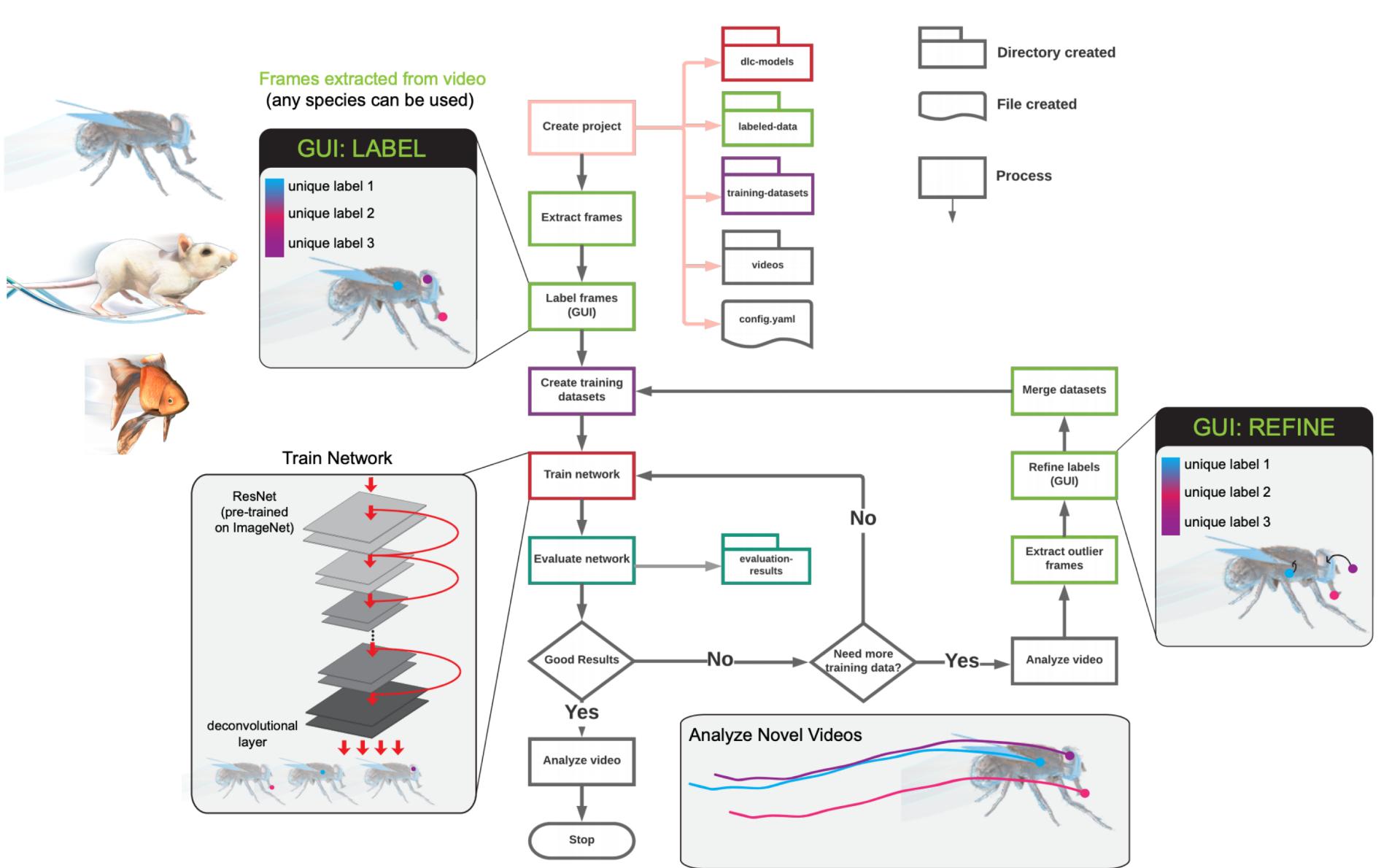


# Transfer Learning

- CNNs are often trained in 1 domain then used in another.
  - Train on ImageNet for object classification
  - Bottom layers are general feature extractors and can be reused.
  - Change top layers for task at hand: dogs vs cats; cancer vs not-cancer; spectrogram for task A vs spectrogram for task B



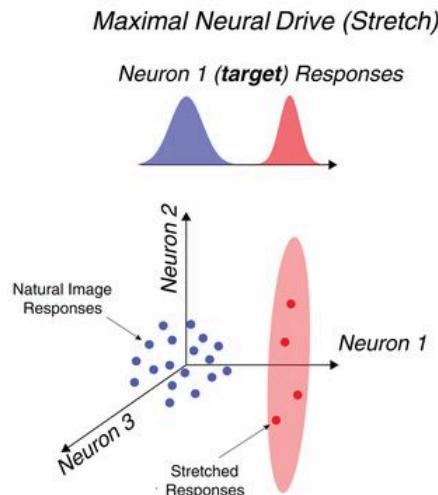




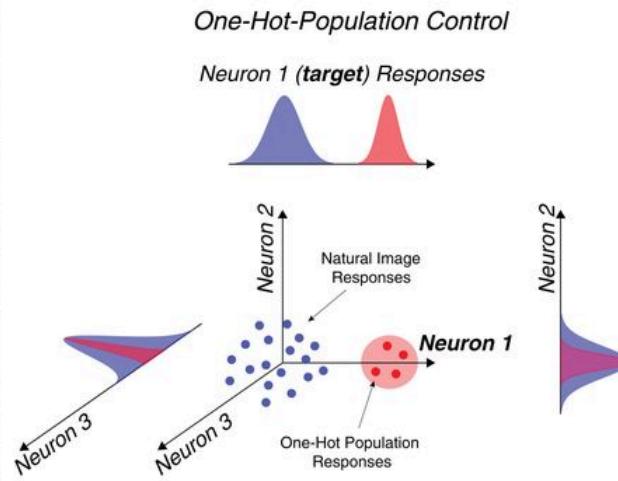
# Neural population control via deep image synthesis

- Bashivan, Kar, DiCarlo – Science 2019

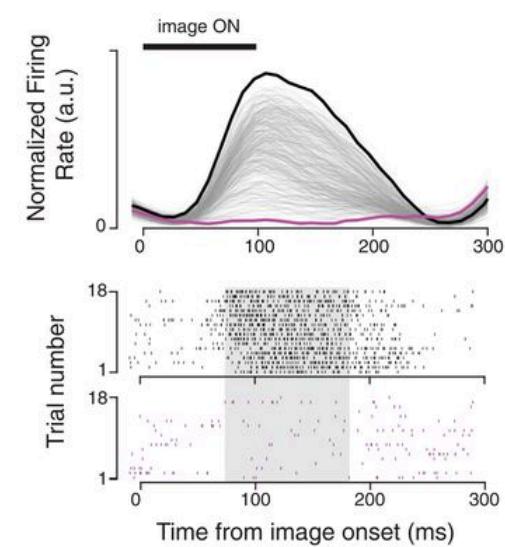
A



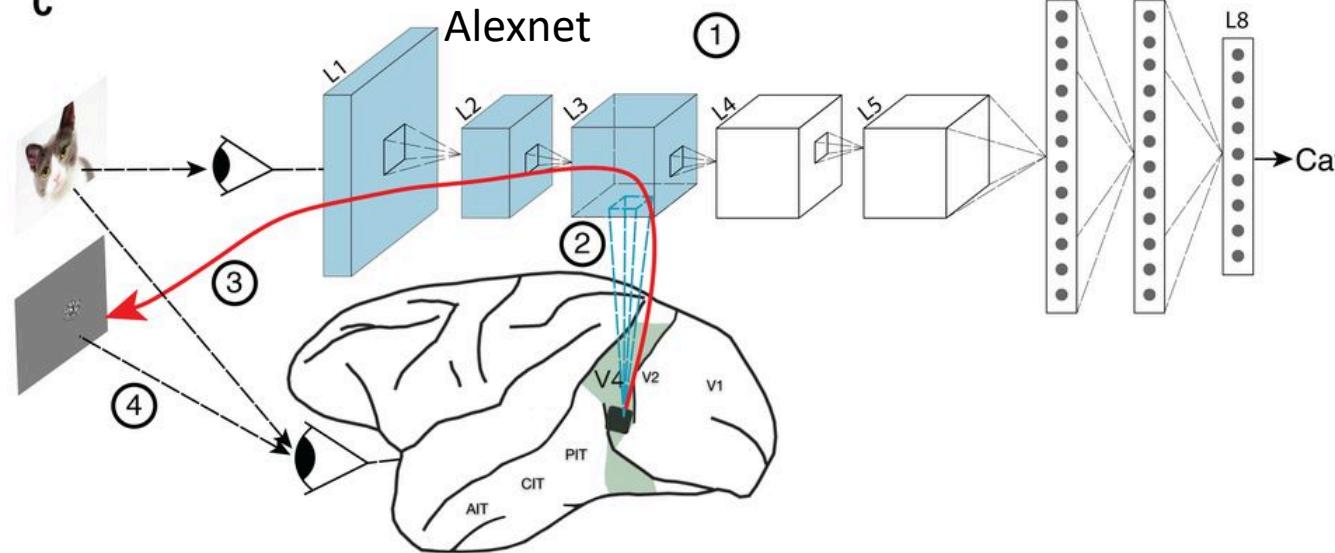
One-Hot-Population Control



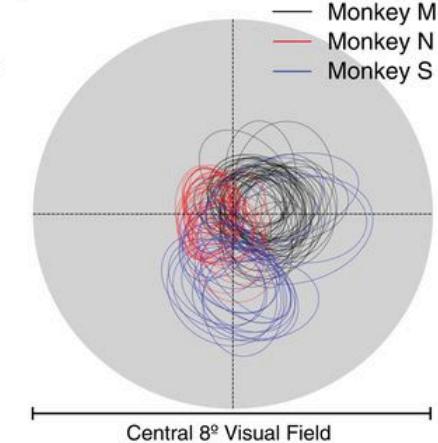
B

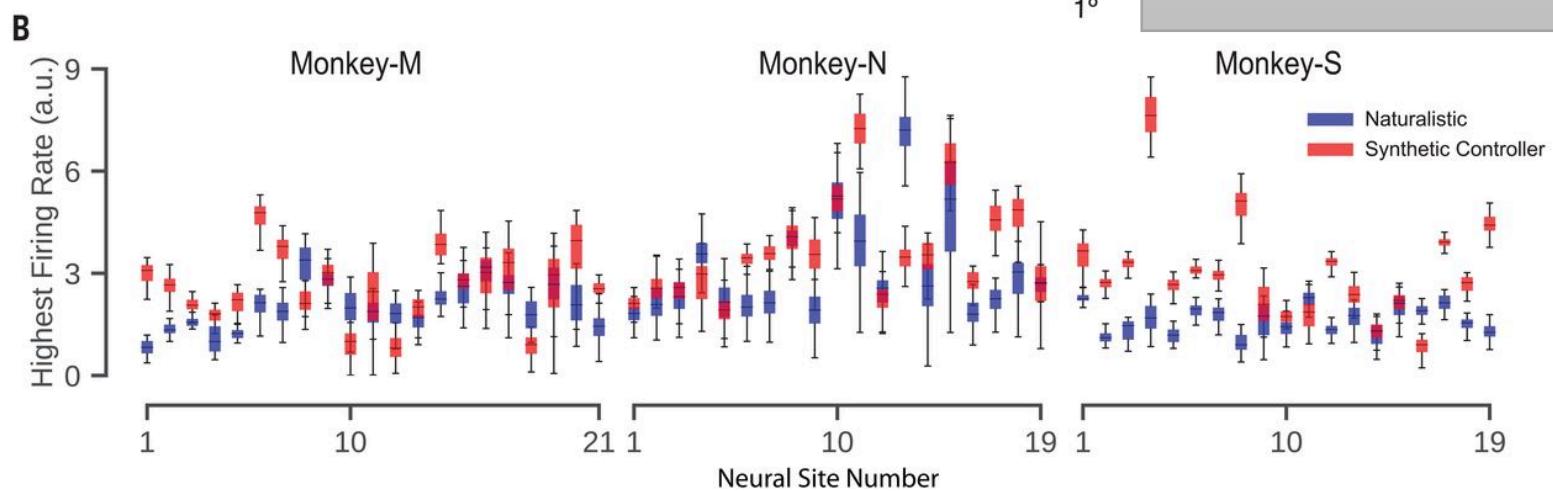
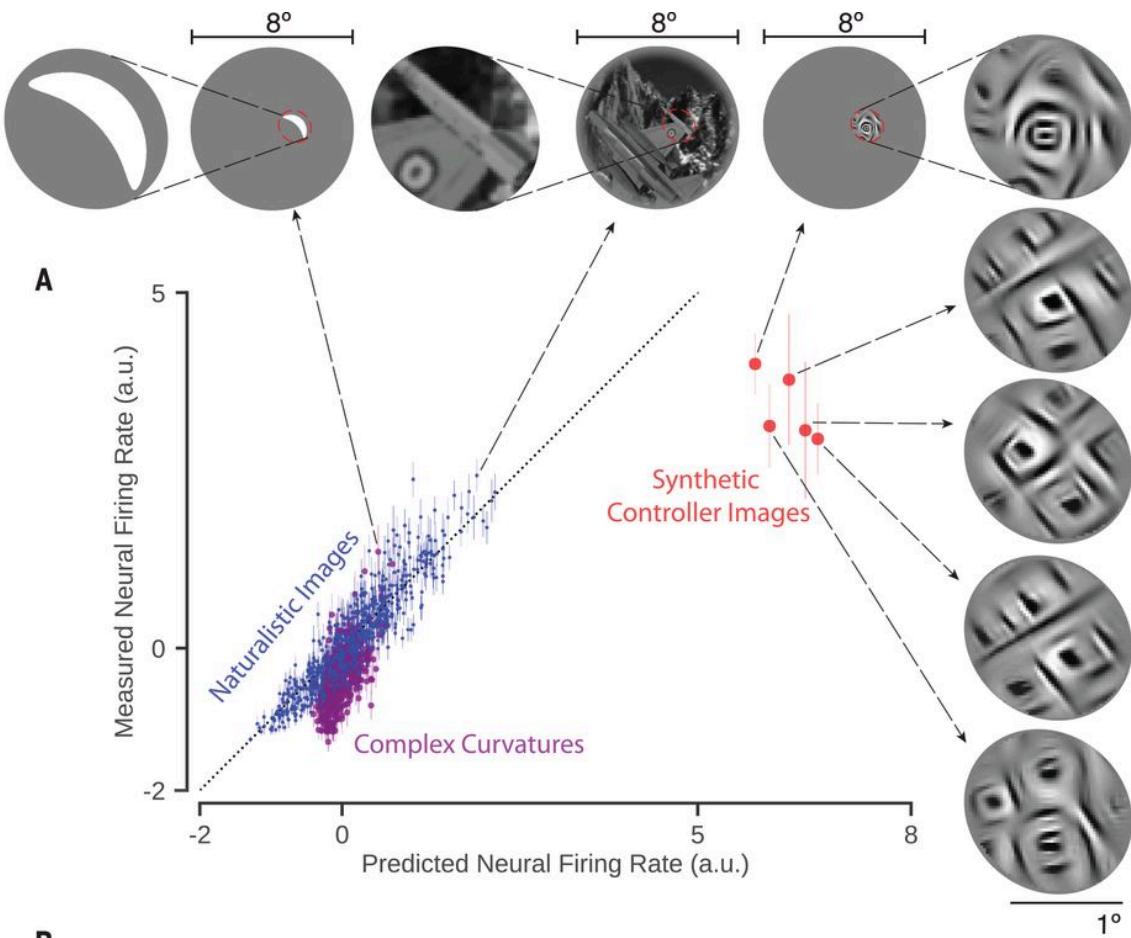


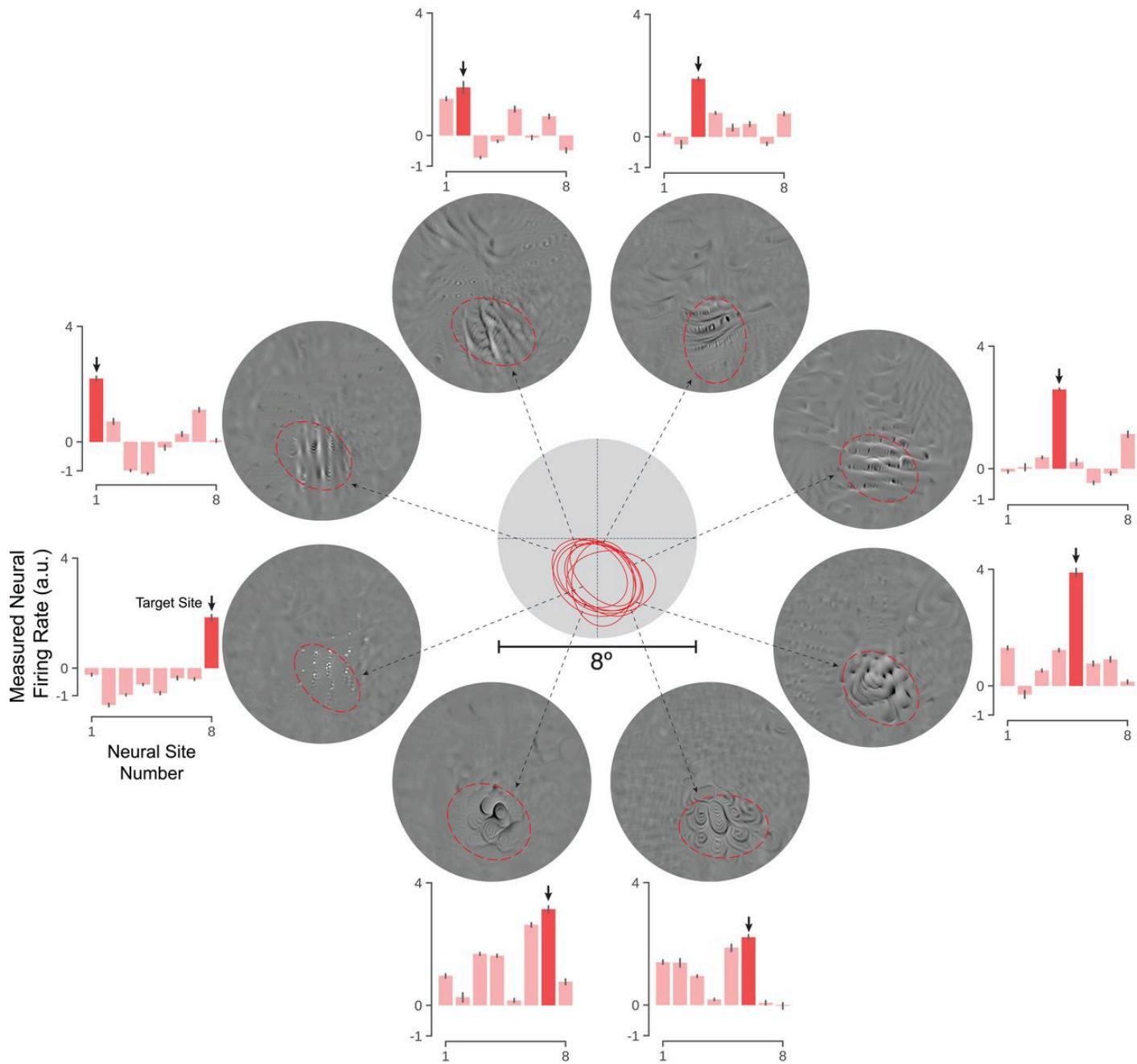
C



D

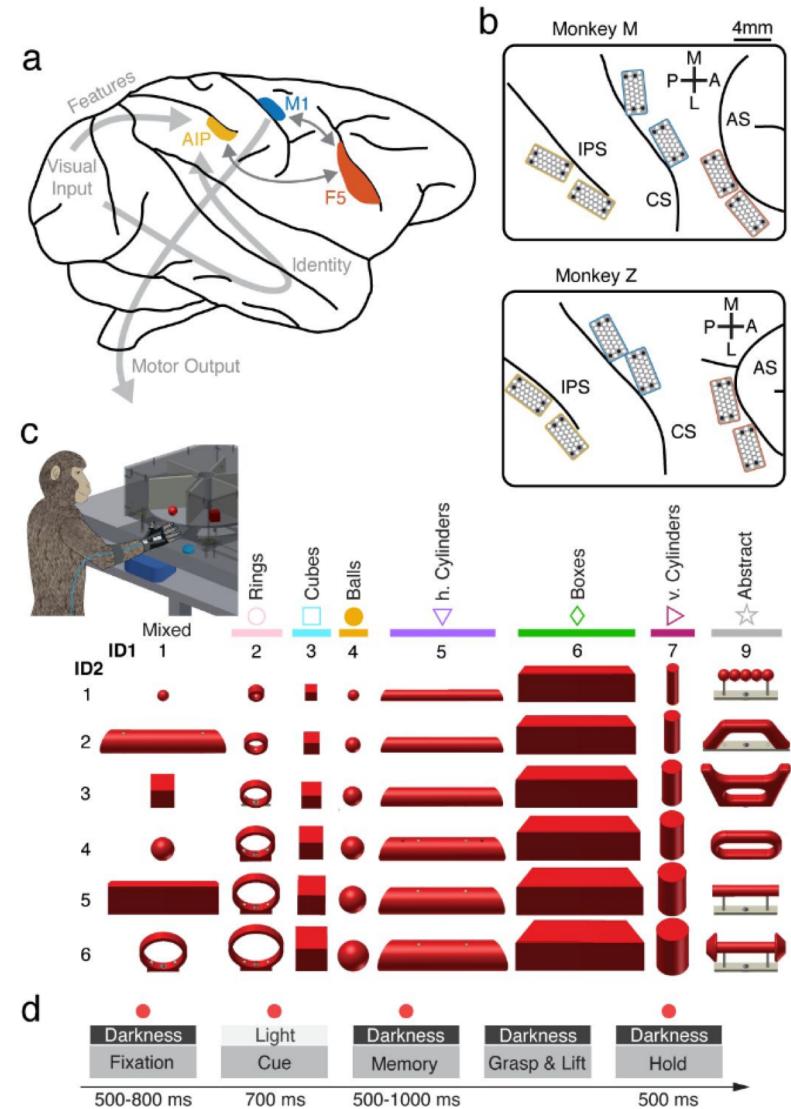


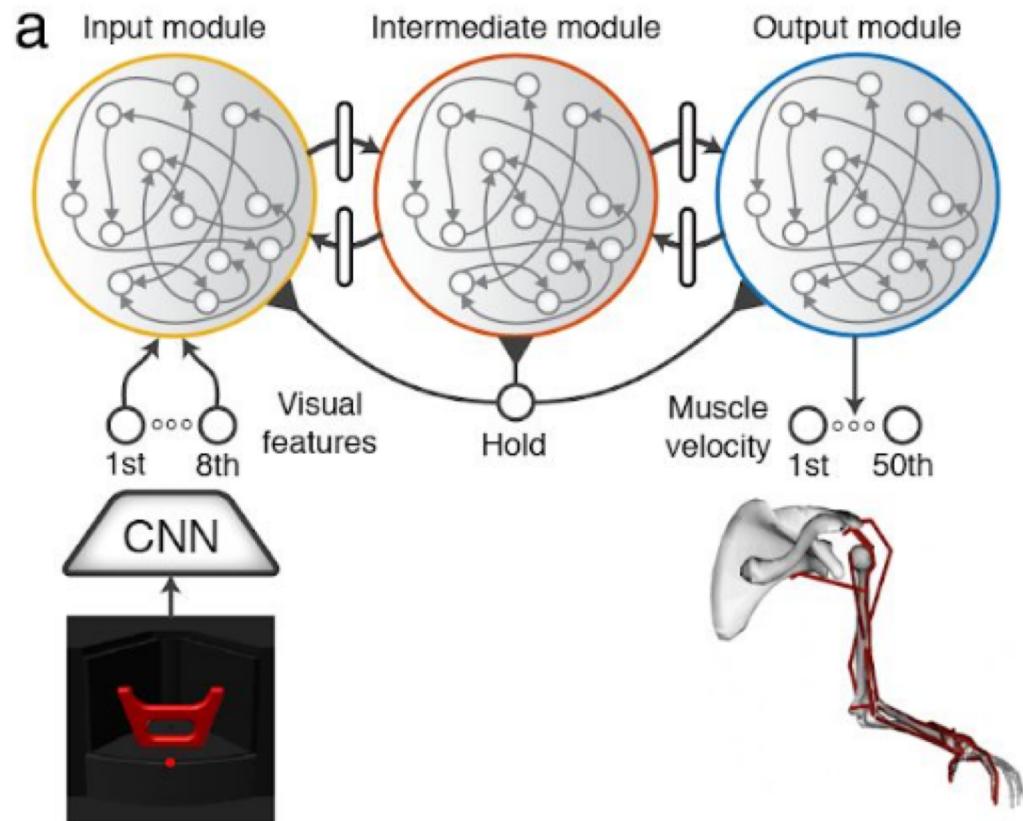
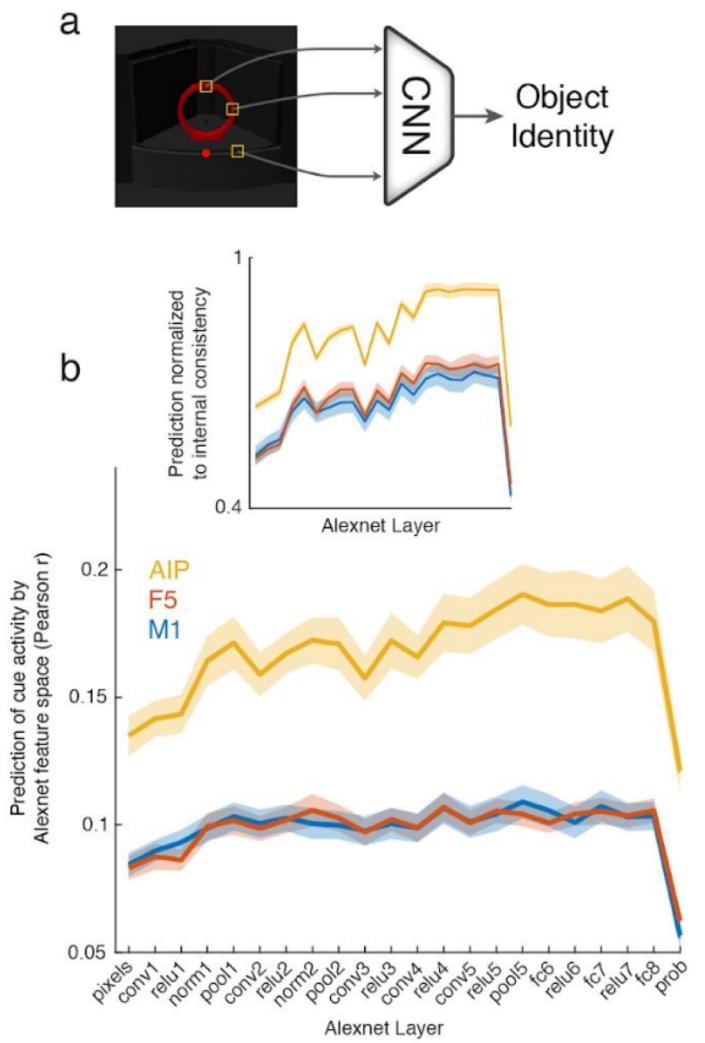




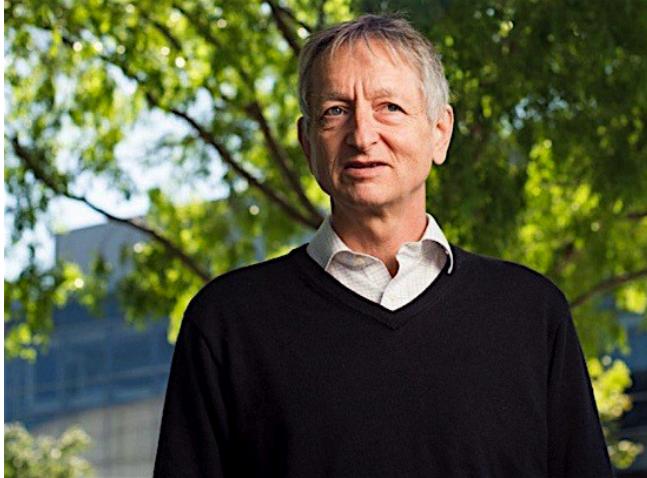
# A neural network model of flexible grasp movement generation

- Michaels et al., 2019



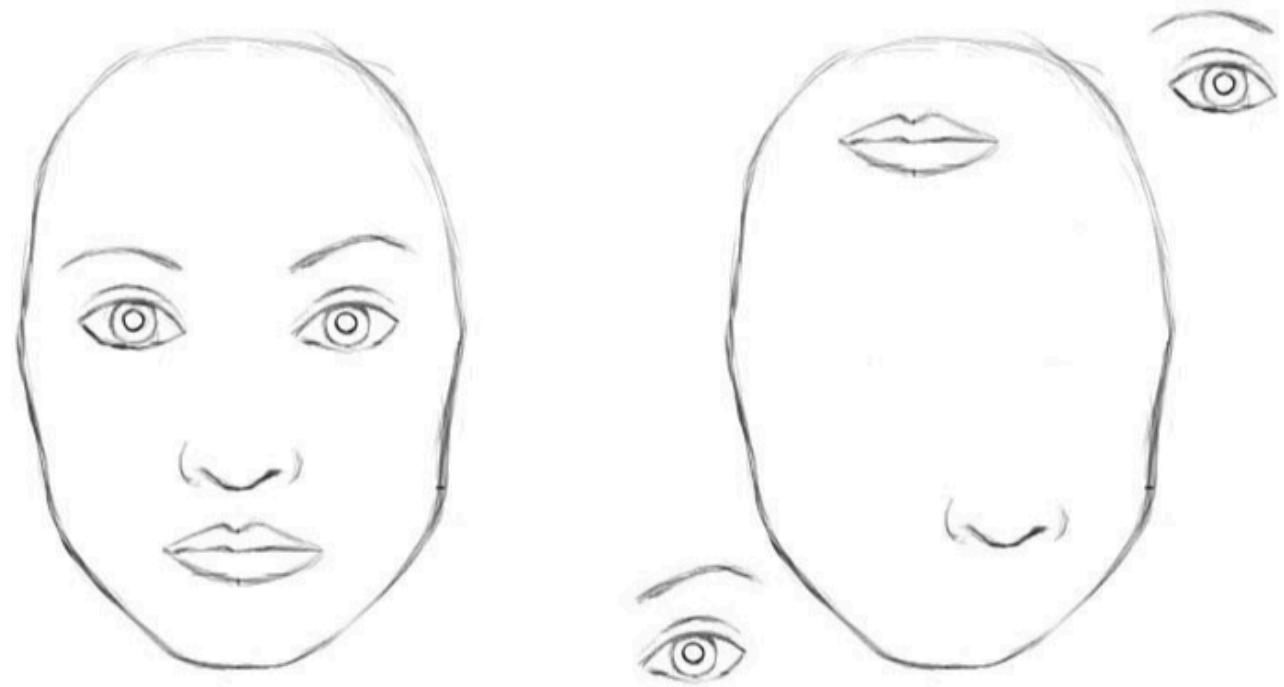


# Capsule Networks



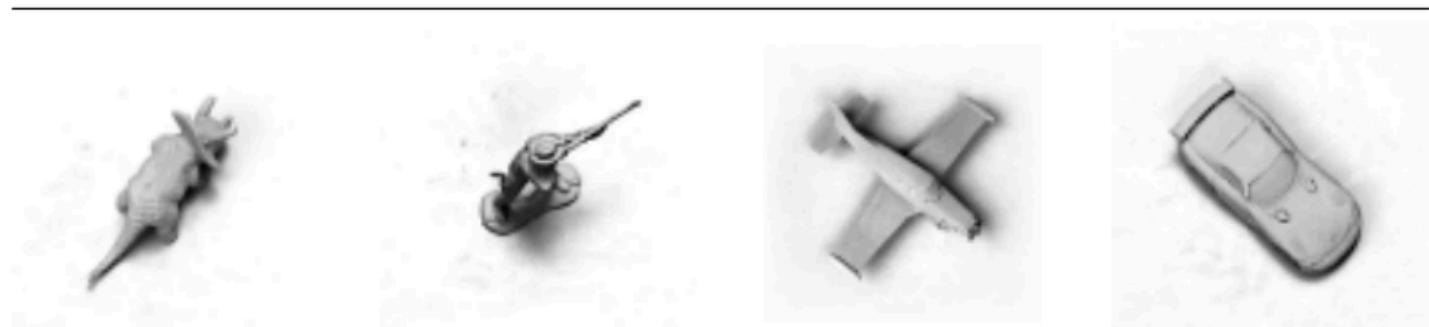
Hinton: “**The pooling operation used in convolutional neural networks is a big mistake and the fact that it works so well is a disaster.**”

CNNs don't care about poses (position + orientation), only whether or not the objects are present.



# Capsule Networks

- Computer graphics: From state of objects to render image
- Brain inverse graphics: From image to state of objects



A capsule is a group of neurons whose activity vector represents the instantiation parameters of a specific type of entity such as an object or an object part. We use the length of the activity vector to represent the probability that the entity exists and its orientation to represent the instantiation parameters. - [Source](#)