

# Math 4580: Abstract Algebra I

Lecturer: **Professor Michael Lipnowski**

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## 1 January 6, 2025

We didn't have any, but Dr. Lipnowski did post a module on `carmen` about the syllabus and the course. This semester we will be covering the first few chapters of the book *Abstract Algebra: Theory and Applications* by Thomas Judson.

### Definition 1

**Set:** A collection of distinct objects, considered as an object in its own right.

**Axioms:** A collection of objects  $S$  with assumed structural rules is defined by axioms.

**Statement:** In logic or mathematics, an assertion that is either true or false.

**Hypothesis and Conclusion:** In the statement "If  $P$ , then  $Q$ ",  $P$  is the hypothesis and  $Q$  is the conclusion.

**Mathematical Proof:** A logical argument that verifies the truth of a statement.

**Proposition:** A statement that can be proven true.

**Theorem:** A proposition of significant importance.

**Lemma:** A supporting proposition used to prove a theorem or another proposition.

**Corollary:** A proposition that follows directly from a theorem or proposition with minimal additional proof.

## 2 January 8, 2025

Professor Lipnowski discussed Sam Lloyd's 15 puzzle. Each lecture will include a mystery digit, contributing up to 5% bonus to the final grade based on correct guesses.

Certain course expectations:

- All assignments (one every two weeks) and exams (one midterm and one final exam) will be take-home.
- All the problems from the course textbook.
- Collaboration is encouraged, but the work should be your own.
- For the exams, we are not supposed to talk to other friends.

## 2.1 Functions

### Definition 2

Let  $A$  and  $B$  be sets. A function  $f : A \rightarrow B$  assigns exactly one output  $f(a) \in B$  to every input  $a \in A$ .

- The set  $A$  is called the **domain** of  $f$ .
- The set  $B$  is called the **codomain** of  $f$ .

### Fact 3

The domain  $A$ , codomain  $B$ , and the assignment of outputs  $f(a)$  to every input  $a \in A$  are all part of the data defining a function. Just writing a formula like  $f(x) = e^x$  does not determine a function, as the domain and codomain are not specified.

For example:

- $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = e^x$ .
- $f : \mathbb{Q} \rightarrow \mathbb{Q}, f(x) = e^x$ .

Although these functions use the same formula, their meanings are completely different because their domains and codomains differ.

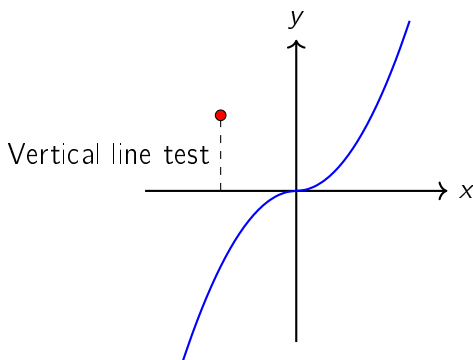
## 2.2 Graphs

A function  $f : A \rightarrow B$  is often identified with its **graph** in  $A \times B$ :

$$\text{graph}(f) = \{(a, b) \in A \times B : b = f(a)\}.$$

### Lemma 4

Let  $f : A \rightarrow B$  be a function. Its graph,  $\text{graph}(f)$ , passes the **vertical line test**: For every  $a \in A$ ,  $V_a := \{(a, b) \in A \times B : b \in B\}$  intersects  $\text{graph}(f)$  in exactly one element.



### Proposition 5

Let  $G \subseteq A \times B$  be any subset passing the vertical line test, i.e., for all  $a \in A$ ,  $V_a \cap G$  consists of exactly one element. Then  $G = \text{graph}(f)$  for a unique function  $f : A \rightarrow B$ .

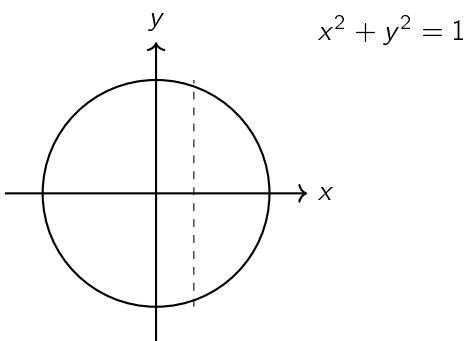
*Proof.* If  $G = \{(a, b) \mid b \in B\}$  satisfies the vertical line test, define  $f : A \rightarrow B$  by  $f(a) = b$ . Then  $G = \text{graph}(f)$ .  $\square$

### Definition 6

A subset  $R \subseteq A \times B$  is called a **relation**. The vertical line test distinguishes graphs of functions from more general relations.

## 2.3 Examples

- Let  $S = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$  (the unit circle). This is a relation but not the graph of a function because it fails the vertical line test: The vertical line  $x = 0$  intersects the circle at two points.
- Visual depiction of a unit circle:



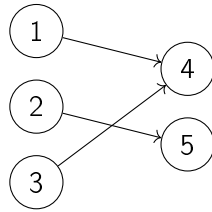
- Let  $A = \{1, 2, 3\}$ ,  $B = \{4, 5\}$ . The number of functions from  $A$  to  $B$  is  $2^3 = 8$ , corresponding to the 8 associated graphs in  $A \times B$ .
- The number of relations from  $A$  to  $B$  is  $2^{|A| \cdot |B|} = 2^{3 \cdot 2} = 64$ , containing the 8 graphs of functions from  $A$  to  $B$ .

### Fact 7

The notion of relation is much more permissive than the notion of functions.

## 2.4 Visualizing Functions as Directed Edges

A function  $f : A \rightarrow B$  can be visualized as a collection of directed edges  $(a, f(a)) \in A \times B$ . Each element of  $A$  has exactly one outgoing edge in the graph.



## 3 January 10, 2025

### 3.1 Injection and Surjection

Let  $f : A \rightarrow B$  be a function.

**Definition 8** (Injectivity (One-to-One))

$f$  is injective (one-to-one) if:

$$\forall x, y \in A, f(x) = f(y) \implies x = y$$

Equivalently:

$$x \neq y \implies f(x) \neq f(y)$$

**Fact 9**

Distinct inputs have distinct outputs.

**Definition 10** (Surjectivity (Onto))

$f$  is surjective (onto) if:

$$\forall b \in B, \exists a \in A \text{ such that } f(a) = b.$$

**Fact 11**

Every  $b \in B$  is an output of something through  $f$ ."

### Example 12

Here are a few examples of injectivity and surjectivity:

- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5\}$  and  $f : A \rightarrow B$  with  $f(1), f(2), f(3)$  as elements of  $B$ . If  $B$  has only two elements, at least two of  $f(1), f(2), f(3)$  must coincide (e.g.,  $f(1) = f(2)$ ). Thus,  $f$  is not injective.

- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and  $f : A \rightarrow B$  where:

$$f(1) = 4, f(2) = 7, f(3) = 5.$$

Distinct inputs have distinct outputs, so  $f$  is injective.

- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and  $f : A \rightarrow B$  where:

$$f(1) = 4, f(2) = 4, f(3) = 6.$$

Here,  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and  $f(1) = f(2)$  but  $1 \neq 2$ , so  $f$  is not injective.

- Let  $f : A \rightarrow B$  where  $B$  has size 4 and  $f(1), f(2), f(3)$  are distinct elements of  $B$ . If  $B \setminus \{f(1), f(2), f(3)\}$  is non-empty, then  $b \neq f(a)$  for all  $a \in A$ , implying  $f$  is non surjective.
- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5\}$  and  $f : A \rightarrow B$  with  $f(1) = 4, f(2) = 5, f(3) = 4$ .  $f$  is surjective.
- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5\}$  and  $f : A \rightarrow B$  with  $f(1) = 4, f(2) = 4, f(3) = 4$ .  $f$  is not surjective.

## 3.2 Bijection and Range

### Definition 13 (Bijection)

$f$  is bijective if  $f$  is both injective and surjective.

### Definition 14

Let  $f : A \rightarrow B$  be a function. The *range* of  $f$  is the subset of  $B$  defined as:

$$\text{range}(f) := \{b \in B \mid b = f(a) \text{ for some } a \in A\}.$$

Thus,  $f : A \rightarrow B$  is surjective  $\iff \text{range}(f) = B$ .

- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6\}$  and  $f : A \rightarrow B$  where:

$$f(1) = 6, f(2) = 5, f(3) = 4.$$

$f$  is a bijection.

- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and  $f : A \rightarrow B$  where:

$$f(1) = 4, f(2) = 4, f(3) = 56$$

$f$  is neither injective nor surjective.

**Question.** Let  $A$  and  $B$  be finite sets of the same size. Prove that the following are equivalent:

1.  $f : A \rightarrow B$  is injective.
2.  $f : A \rightarrow B$  is bijective.
3.  $f : A \rightarrow B$  is surjective.

Demonstrate that (1), (2), and (3) are not necessarily equivalent if  $A = B = \mathbb{N}$ .

### Example 15

Let  $f : \mathbb{N} \rightarrow \mathbb{Z}$  be defined as:

$$f(n) = \begin{cases} n/2 & \text{if } n \text{ is even,} \\ -\frac{(n+1)}{2} & \text{if } n \text{ is odd.} \end{cases}$$

is a bijection from  $\mathbb{N}$  to  $\mathbb{Z}$ .

*Proof.* We will prove injectivity first. Suppose  $f(n_1) = f(n_2)$ . Then: If  $f(n_1) = f(n_2) > 0$ , then  $n_1$  and  $n_2$  must be even, and

$$\frac{n_1}{2} = f(n_1) = f(n_2) = \frac{n_2}{2} \implies n_1 = n_2.$$

If  $f(n_1) = f(n_2) < 0$ , then  $n_1$  and  $n_2$  must be odd, and

$$-\frac{n_1 + 1}{2} = f(n_1) = f(n_2) = -\frac{n_2 + 1}{2} \implies n_1 = n_2.$$

In all cases,  $n_1 = n_2$ . It follows that  $f$  is injective.

Now let's prove surjectivity. Let  $n \in \mathbb{Z}$ . If  $n > 0$ , then

$$n = f(2n).$$

If  $n < 0$ , then

$$n = f(-2n - 1).$$

Therefore,  $f$  is surjective. □

### Theorem 16 (Taylor's Theorem)

Let  $f$  be a function that is  $n$ -times differentiable at  $a$ . Then for each  $x$  in the interval containing  $a$ , there exists a  $\xi$  between  $a$  and  $x$  such that

$$f(x) = f(a) + f'(a)(x - a) + \frac{f''(a)}{2!}(x - a)^2 + \cdots + \frac{f^{(n)}(a)}{n!}(x - a)^n + \frac{f^{(n+1)}(\xi)}{(n+1)!}(x - a)^{n+1}.$$

*Proof.* By the mean value theorem, for each  $x$  in the interval containing  $a$ , there exists a  $\xi$  between  $a$  and  $x$  such that

$$f(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2!}(x-a)^2 + \cdots + \frac{f^{(n)}(a)}{n!}(x-a)^n + R_{n+1}(x),$$

where  $R_{n+1}(x)$  is the remainder term. The remainder term can be expressed as

$$R_{n+1}(x) = \frac{f^{(n+1)}(\xi)}{(n+1)!}(x-a)^{n+1}.$$

Therefore, we have

$$f(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2!}(x-a)^2 + \cdots + \frac{f^{(n)}(a)}{n!}(x-a)^n + \frac{f^{(n+1)}(\xi)}{(n+1)!}(x-a)^{n+1}.$$

□

## 4 January 15, 2025

### 4.1 Equivalence Relations and Equivalence Classes

#### Definition 17

Let  $\sim$  be an equivalence relation on a set  $X$ . Let  $x \in X$ . The equivalence class of  $x$  is

$$[x] := \{y \in X : y \sim x\} \subset X$$

An equivalence class in  $X$  is a subset of  $X$  of the form  $[x]$  for some  $x \in X$ .

#### Fact 18

The equivalence classes of  $X$  partition  $X$  into disjoint subsets. This partition completely encapsulates the equivalence relation.

#### Proposition 19

Let  $a, b \in X$ . Either:

- $[a]$  and  $[b]$  are disjoint
- $[a] = [b]$

*Proof.* Suppose  $[a]$  and  $[b]$  are not disjoint. Let  $t \in [a] \cap [b]$ . Then  $t \sim a$  and  $t \sim b$ .

$$\Rightarrow a \sim t \text{ and } t \sim b \quad (\text{by symmetry})$$

$$\Rightarrow a \sim b \quad (\text{by transitivity})$$

This implies that  $[a] = [b]$ :

If  $y \sim a$ , by  $(a \sim b)$  and transitivity,  $y \sim b$  too.

If  $y \sim b$ , by  $(b \sim a)$  and symmetry,  $y \sim a$ .

It follows that

$$[a] = \{y \in X : y \sim a\} = \{y \in X : y \sim b\} = [b]$$

The latter proposition shows that equivalence classes on  $X$  partition  $X$ :

$$X = \bigsqcup_{i \in I} A_i$$

□

### Definition 20

Let  $X = \bigsqcup_{i \in I} A_i$  be the partition of  $X$  into equivalence classes for  $\sim$ . We call any subset  $S \subset X$  a complete set of equivalence class representatives if it contains exactly one element  $x_i \in A_i$  for every  $i \in I$ , i.e., "exactly one element per equivalence class".

In practice, understanding an equivalence relation amounts to understanding its associated equivalence classes and complete sets of equivalence class representatives.

## 4.2 Examples of Equivalence Classes

1. Let  $X = \mathbb{R}$  and define the equivalence relation  $\sim$  by  $x \sim y$  if and only if  $x - y \in 2\pi \cdot \mathbb{Z}$ .

The equivalence class of  $x$  is:

$$[x] = \{x + 2\pi k : k \in \mathbb{Z}\} \subset \mathbb{R}$$

Every  $z \in \mathbb{R}$  lies in an equivalence class, namely  $[z]$ . If  $[x]$  and  $[y]$  contain a common element  $t$ , then there exist  $k, l \in \mathbb{Z}$  such that:

$$x + 2\pi k = t = y + 2\pi l \implies x - y = 2\pi(l - k) \implies x \sim y$$

This implies  $[x] = [y]$ . Therefore, we have:

$$\mathbb{R} = \bigsqcup_{[z]} [z]$$

The interval  $[0, 2\pi)$  is a complete set of equivalence class representatives.

2. Let  $X$  be the set of all  $2 \times 2$  matrices, and define the equivalence relation  $\sim$  by  $x \sim y$  if there exists a continuous path  $p : [0, 1] \rightarrow X$  with  $p(0) = x$  and  $p(1) = y$ .



The equivalence classes are the connected components of  $X$ . For example, if  $X$  consists of three disjoint disks  $\mathbb{D}_1, \mathbb{D}_2, \mathbb{D}_3$ , then:

$$X = \mathbb{D}_1 \sqcup \mathbb{D}_2 \sqcup \mathbb{D}_3$$

A complete set of equivalence class representatives is  $\{\pi_1, \pi_2, \pi_3\}$ , where  $\pi_i \in \mathbb{D}_i$  for  $i = 1, 2, 3$ .

3. Let  $X = \mathbb{R}^2$  and define the equivalence relation  $\sim$  by  $(a, b) \sim (c, d)$  if and only if  $a^2 + b^2 = c^2 + d^2$ .

The equivalence class of  $(a, b)$  is the set of all points in  $\mathbb{R}^2$  that lie on the circle centered at the origin with radius  $\sqrt{a^2 + b^2}$ .

### Problem 21

Verify that the above defines an equivalence relation.

Equivalence classes:

$$[(a, b)] = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = a^2 + b^2\}$$

$$\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = a^2 + b^2\}$$

is the collection of points in  $\mathbb{R}^2$  having the same distance from  $(0, 0)$  as  $(a, b)$ , i.e., it is the circle in  $\mathbb{R}^2$  centered at  $(0, 0)$  passing through  $(a, b)$ .

Equivalence classes for  $\sim$  on  $\mathbb{R}^2$ : circles centered at  $(0, 0)$ .

$$\mathbb{R}^2 = \bigsqcup_{a \in \mathbb{R}_{\geq 0}} [(a, 0)]$$

and  $\{(a, 0) : a \in \mathbb{R}_{>0}\}$  is a complete set of equivalence class representatives.

## 5 January 17, 2025

### 5.1 Mathematical Induction

#### Definition 22

Let  $\{P(n)\}_{n \in \mathbb{N}}$  be statements indexed by  $n \in \mathbb{N} = \{0, 1, 2, \dots\}$ . Suppose

(a)  $P(0)$  is true

(b)  $P(m)$  true  $\Rightarrow P(m+1)$  true for all  $m \in \mathbb{N}$ .

Then  $P(n)$  is true for all  $n \in \mathbb{N}$ .

**Fact 23**

The following are true for a mathematical induction:

- (a) is the base case of the induction
- (b) is the inductive step
- Assuming  $P(m)$  is true (in order to prove that  $P(m+1)$  is true) is the inductive hypothesis.

**5.1.1 Visualizing Induction**

Picture the statements  $P(0), P(1), P(2), \dots$  as dominoes  $0, 1, 2, \dots$  lined up in some way. Our goal is to prove that all  $P(n), n \in \mathbb{N}$  are true, amounting to toppling over every domino.

0	→	1	→	2	→	3	→	4	→	5
0+1		1+1		2+1		3+1		4+1		5+1

Base case  $\Leftrightarrow$  we push over domino 0.

Inductive step  $\Leftrightarrow$  if domino  $m$  topples, then domino  $m+1$  topples too.

Inductive hypothesis  $\Leftrightarrow$

**Remark 24.** *The inductive step is usually the hardest part of an inductive argument. However, as the above analogy shows, the base case is essential too: if no domino is pushed over, none will topple!*

**5.2 Examples**

1. Prove that

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}$$

*Proof.* Let  $P(n) := 1 + \dots + n = \frac{n(n+1)}{2}$ .

**Base case:** When  $n = 0$ , the LHS = 0 (since the sum is empty) and the RHS = 0 too. So  $P(0)$  is true.

**Inductive Step:** Suppose  $P(m)$  is true, i.e.,

$$1 + \dots + m = \frac{m(m+1)}{2}$$

Then

$$\begin{aligned}
1 + \cdots + m + (m+1) &= (1 + \cdots + m) + (m+1) \\
&= \frac{m(m+1)}{2} + (m+1) \quad (\text{by our inductive hypothesis}) \\
&= (m+1) \left( \frac{m}{2} + 1 \right) \\
&= (m+1) \left( \frac{m+2}{2} \right) \\
&= \frac{(m+1)(m+2)}{2}
\end{aligned}$$

So  $P(m+1)$  is true too.

It follows, by induction, that  $P(n)$  is true for all  $n \in \mathbb{N}$ , i.e.,

$$1 + 2 + \cdots + n = \frac{n(n+1)}{2}$$

□

2. Let  $f_n = n^{\text{th}}$  Fibonacci number, defined as the  $n^{\text{th}}$  term of the sequence defined recursively by:

$$\begin{cases} f_0 = 0 \\ f_1 = 1 \\ f_n = f_{n-1} + f_{n-2} \text{ if } n \geq 2 \end{cases}$$

$n$	0	1	2	3	4	5	6	7	8
$f_n$	0	1	1	2	3	5	8	13	21

Now that

$$f_n = \frac{1}{\sqrt{5}} \left[ \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right]$$

Note:  $T_{\pm} := \frac{1 \pm \sqrt{5}}{2}$  are the two roots of the quadratic equation  $x^2 = x + 1$ .  $T_+$  is known as the golden ratio.

*Proof.* Let  $P(n)$  denote the statement

$$f_n = \frac{1}{\sqrt{5}} (T_+^n - T_-^n)$$

We prove that  $P(n)$  is true for all  $n \in \mathbb{N}$  by induction:

**Base case:**  $n = 0$ :

$$\begin{aligned} f_0 &= 0 = \frac{1}{\sqrt{5}} (T_+^0 - T_-^0) \\ f_1 &= 1 = \frac{1}{\sqrt{5}} \left( \left( \frac{1+\sqrt{5}}{2} \right)^1 - \left( \frac{1-\sqrt{5}}{2} \right)^1 \right) \\ &= \frac{1}{\sqrt{5}} (T_+^1 - T_-^1) \end{aligned}$$

**Inductive step:** Suppose  $P(k)$  is true for all  $k < m$ . We will prove that  $P(m)$  is true too:

If  $m = 0$  or  $m = 1$ , we verified that  $P(m)$  is true in our base case. Suppose  $m \geq 2$ .

$$\begin{aligned} f_m &= f_{m-1} + f_{m-2} \quad (\text{defining recursion for } f_m) \\ &= \frac{1}{\sqrt{5}} (T_+^{m-1} - T_-^{m-1}) \quad (\text{since } P(m-1) \text{ is true, by hypothesis}) \\ &\quad + \frac{1}{\sqrt{5}} (T_+^{m-2} - T_-^{m-2}) \quad (\text{since } P(m-2) \text{ is true, by hypothesis}) \\ &= \frac{1}{\sqrt{5}} (T_+^{m-1} + T_+^{m-2}) - \frac{1}{\sqrt{5}} (T_-^{m-1} + T_-^{m-2}) \\ &= \frac{1}{\sqrt{5}} (T_+^{m-2}(T_+ + 1)) - \frac{1}{\sqrt{5}} (T_-^{m-2}(T_- + 1)) \\ &= \frac{1}{\sqrt{5}} (T_+^{m-2} \cdot T_+^2) - \frac{1}{\sqrt{5}} (T_-^{m-2} \cdot T_-^2) \\ &= \frac{1}{\sqrt{5}} (T_+^m - T_-^m) \end{aligned}$$

Thus,  $P(m)$  is true too. It follows that  $P(n)$  is true for all  $n \in \mathbb{N}$ , i.e.,

$$f_n = \frac{1}{\sqrt{5}} (T_+^n - T_-^n) \quad \text{for all } n \in \mathbb{N}$$

□

The above proof uses the strong form of mathematical induction.

**Theorem 25 (Principle of Mathematical Induction (strong form))**

Let  $\{P(n)\}_{n \in \mathbb{N}}$  be statements indexed by  $n \in \mathbb{N} = \{0, 1, 2, \dots\}$ . Suppose

- (a)  $P(0)$  is true
- (b)  $P(0), P(1), \dots, P(m) \Rightarrow P(m+1)$  true for all  $m \in \mathbb{N}$ .

Then  $P(n)$  is true for all  $n \in \mathbb{N}$ .

*Proof.* Let  $Q(n)$  be the statement that

$$P(0), P(1), \dots, P(n) \text{ are all true.}$$

$Q(0)$  is true  $P(0)$  is true. Suppose  $Q(m)$  is true, i.e.,

$$P(0), \dots, P(m) \text{ are all true.}$$

By (b) (the strong inductive step),  $P(m+1)$  is true.

Thus,  $P(0), \dots, P(m), P(m+1)$  are all true by (b). It follows that  $Q(m+1)$  is true too. By induction,  $Q(n)$  is true for all  $n \in \mathbb{N}$ , implying that  $P(n)$  is true for all  $n \in \mathbb{N}$ .  $\square$

## 6 January 22, 2025

### 6.1 Well-Ordering Principle

#### Theorem 26 (Well-ordering principle)

Let  $S \subset \mathbb{N}$  be non-empty. Then  $S$  contains a least element  $t$ , i.e.,

- $t \in S$
- $t \leq s$  for all  $s \in S$

*Proof.* Let  $t \in S$ . Consider the subset  $S' = \{s \in S : s \leq t\} = S \cap \{0, \dots, t\}$ . Since  $S'$  is a non-empty subset of  $\{0, \dots, t\}$ , it is finite. Therefore,  $S'$  has a least element, say  $t'$ . By construction,  $t' \in S'$  and  $t' \leq s$  for all  $s \in S'$ . Since  $S' \subset S$ , it follows that  $t' \in S$  and  $t' \leq s$  for all  $s \in S$ . Thus,  $t'$  is the least element of  $S$ .  $\square$

#### Corollary 27

$t' \in S$  is a minimal element of  $S$ .

*Proof.* By construction,  $t' \in S$  and  $t' \leq t$ . For any  $s \in S$ , if  $s \leq t$ , then  $s \in S'$ . By the definition of  $t'$ , we have  $t' \leq s$ . If  $s \notin S'$ , then  $s > t$ , and since  $t \geq t'$ , it follows that  $s > t'$ . Therefore,  $t' \leq s$  for all  $s \in S$ .

This shows that  $t'$  is the least element of  $S$ .

To prove that every finite subset of  $\mathbb{N}$  contains a least element, we use mathematical induction. We will show that the well-ordering principle implies the strong form of induction.  $\square$

### 6.2 Connection between the Well-Ordering Principle and Induction

### Theorem 28

Assume the well-ordering principle holds. Then the strong form of induction holds too: Suppose  $\{P(n)\}_{n \in \mathbb{N}}$  are statements for which:

- (a)  $P(0)$  is true
- (b)  $P(0), \dots, P(m-1)$  true  $\Rightarrow P(m)$  true for all  $m \in \mathbb{N}_{>0}$ .

Then  $P(n)$  is true for all  $n \in \mathbb{N}$ .

*Proof.* Let  $S = \{n \in \mathbb{N} : P(n) \text{ is false}\}$ . We want to prove that  $S$  is empty.

Suppose  $S$  is non-empty. Let  $t \in S$  be a least element. Since  $P(0)$  is true,  $0 \notin S$ . Therefore,  $t \neq 0$ , i.e.,  $t \geq 1$ . Since  $0, 1, \dots, t-1 < t$ , it follows that  $0 \notin S, 1 \notin S, \dots, t-1 \notin S$ , i.e.,  $P(0), P(1), \dots, P(t-1)$  are all true. By assumption (b), it follows that  $P(t)$  is true, i.e.,  $t \notin S$ . This contradicts  $t \in S$ .

It follows that  $S$  is empty, i.e.,  $P(n)$  is true for all  $n \in \mathbb{N}$ .  $\square$

The well-ordering principle perspective often reveals what you should take as the base case for an inductive argument.

## 6.3 Examples

1.

$$\begin{cases} F_0 = 0 \\ F_1 = 1 \\ F_n = F_{n-1} + F_{n-2} \end{cases} \quad \text{for } n \geq 2.$$

Prove that

$$F_n = \frac{1}{\sqrt{5}} (T_+^n - T_-^n) \text{ for all } n \in \mathbb{N}.$$

$$T_{\pm} = \frac{1 \pm \sqrt{5}}{2}, \text{ the roots of } x^2 = x + 1$$

*Proof.* Let  $S = \{n \in \mathbb{N} : F_n \neq \frac{1}{\sqrt{5}} (T_+^n - T_-^n)\}$ . We want to prove that  $S$  is empty.

Suppose  $S$  is non-empty. Let  $t$  be the least element of  $S$ .

• Suppose  $t \geq 2$ . Then

- (a)  $F_{t-1} = \frac{1}{\sqrt{5}} (T_+^{t-1} - T_-^{t-1})$  since  $t-1 \in \mathbb{N} \setminus S$
- (b)  $F_{t-2} = \frac{1}{\sqrt{5}} (T_+^{t-2} - T_-^{t-2})$  since  $t-2 \in \mathbb{N} \setminus S$

- Note: We assume  $t \geq 2$  here. Otherwise,  $t - 1$  and  $t - 2$  are not both natural numbers.

$$\begin{aligned}
F_t &= F_{t-1} + F_{t-2} \quad (\text{by the recursive definition of Fibonacci numbers}) \\
&= \frac{1}{\sqrt{5}} (T_+^{t-1} + T_+^{t-2}) - \frac{1}{\sqrt{5}} (T_-^{t-1} + T_-^{t-2}) \\
&= \frac{1}{\sqrt{5}} (T_+^{t-2}(T_+ + 1)) - \frac{1}{\sqrt{5}} (T_-^{t-2}(T_- + 1)) \\
&= \frac{1}{\sqrt{5}} (T_+^{t-2} \cdot T_+^2) - \frac{1}{\sqrt{5}} (T_-^{t-2} \cdot T_-^2) \\
&= \frac{1}{\sqrt{5}} (T_+^t - T_-^t)
\end{aligned}$$

Thus,  $F_t = \frac{1}{\sqrt{5}} (T_+^t - T_-^t)$ , implying  $t \notin S$ . This contradicts  $t \in S$ . It follows that  $t = 0$  or  $t = 1$ .

□

**Remark 29.** Three "leftover cases" form our base case, since our main argument above did not address either of these edge cases.

- If  $t = 0$ ,

$$F_0 = 0 = \frac{1}{\sqrt{5}} (T_+^0 - T_-^0), \text{ so } 0 \notin S$$

- If  $t = 1$ ,

$$F_1 = 1 = \frac{1}{\sqrt{5}} (T_+^1 - T_-^1), \text{ so } 1 \notin S$$

We've shown:

- If  $t \geq 2$ , then  $t$  cannot be a least element of  $S$ .
- If  $t = 0$  or  $t = 1$ , then  $t \notin S$ .

Thus,  $S$  contains no least element. This contradicts  $S$  being non-empty (by the well-ordering principle).

It follows that  $S$  is empty, i.e.,

$$F_n = \frac{1}{\sqrt{5}} (T_+^n - T_-^n) \text{ for all } n \in \mathbb{N}$$

This perspective is also helpful for rooting out false statements you might try to prove by induction.

2. Let  $P(n)$  be the statement:

$$P(n) : \text{All collections of } n \text{ boxes are the same color.}$$

We know, from life experience, this statement is false.

Let's see why:

Let  $S = \{n \in \mathbb{N} : P(n) \text{ is false}\}$ .

Suppose  $S$  is non-empty. Let  $t$  be the least element of  $S$ . Suppose  $t \geq 3$ . Then  $P(1)$  and  $P(2)$  are true (since  $1, 2 \notin S$  by minimality of  $t$ ). Let  $\{1, \dots, t\}$  be any collection of  $t$  boxes. Divide them into two sets

$$A = \{1, \dots, t-1\} \text{ and } B = \{2, \dots, t\}$$

Since  $t$  is minimal,  $P(t-1)$  is true. So all boxes in  $A$  are some common color, call it  $a$ . Likewise, all boxes in  $B$  are some common color, call it  $b$ . Since  $t \geq 3$ , the sets  $A$  and  $B$  overlap. Thus  $a = b$ . It follows that  $\{1, 2, \dots, t\}$  are all the same color, i.e.,  $P(t)$  is true. Thus  $t \notin S$ , contradicting  $t \in S$ . Thus, if  $t \geq 3$ ,  $t$  cannot be a minimal element of  $S$ .

For  $t = 1$ ,  $P(1)$  is clearly true. So  $1 \notin S$ . For  $t = 2$ ,  $P(2)$  is not necessarily true. So at this very last step, our argument breaks down!

## 7 January 24, 2025

### 7.1 Arithmetic of $\mathbb{Z}$

We turn from counting properties of  $\mathbb{Z}$  and  $\mathbb{N}$  these feature prominently in induction:

$$0 \xrightarrow{\text{next}} 1 \xrightarrow{\text{next}} 2 \xrightarrow{\text{next}} 3$$

to the basic arithmetic operations in  $\mathbb{Z}$ :  $+$ ,  $-$ ,  $\times$ . What about division??

#### Definition 30

Let  $a, b \in \mathbb{Z}$ . We say that  $b$  divides  $a$  /  $a$  is a multiple of  $b$  /  $a$  is divisible by  $b$  if  $a = bk$  for some  $k \in \mathbb{Z}$ . We write that as following

$$b \mid a$$

#### Example 31

The following could be an example:

- Every integer  $b$  divides 0.
- Every integer is divisible by 1.

#### Fact 32

If  $b \neq 0$ , then  $b$  divides  $a$  iff the rational number  $\frac{a}{b}$  is actually an integer.

#### Example 33

$$\frac{50}{7} = 7.14 \quad (\text{not an integer. So 7 does not divide 50.})$$



## 7.2 The Division Algorithm

### Theorem 34 (Division Algorithm)

Let  $a, b \in \mathbb{Z}$ ,  $b \neq 0$ . Then there exist

- $k \in \mathbb{Z}$
- $r \in \mathbb{Z}$  with  $|r| < |b|$

satisfying:

$$a = bk + r$$

*Proof.* Let  $\frac{a}{b} = k + \alpha$  for some  $k \in \mathbb{Z}$  and  $\alpha \in \mathbb{Q}$  where  $0 \leq \alpha < 1$ . Multiplying both sides by  $b$ , we get:

$$a = kb + \alpha b$$

Define  $r = \alpha b$ . Then:

$$a = kb + r$$

Since  $0 \leq \alpha < 1$ , it follows that  $0 \leq r < |b|$ . Therefore,  $r$  is an integer satisfying  $0 \leq r < |b|$ .

Thus, we have:

$$a = kb + r$$

where  $k \in \mathbb{Z}$  and  $r \in \mathbb{Z}$  with  $0 \leq r < |b|$ .

□

The result follows.

**Remark 35.** In the above proof, we could take  $-\frac{1}{2} \leq \alpha \leq \frac{1}{2}$  (as opposed to  $0 \leq \alpha < 1$ ). For  $r = a - kb = b\alpha$ ,

$$\begin{aligned} |r| &= |\alpha b| \\ &\leq \frac{|b|}{2} \end{aligned}$$

## 7.3 Common Divisors

### Definition 36

Let  $a, b \in \mathbb{Z}$ . A common divisor  $d$  of  $a$  and  $b$  is an integer  $d \in \mathbb{Z}$  for which:

- $d \mid a$
- $d \mid b$

### Example 37

Let's consider the following examples:

- $a = \text{anything}, b = 0$

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } b = 0 \end{array} \right\} = \{\text{divisors of } a\}$$

- $a = 26 = 2 \cdot 13$

$$b = 65 = 5 \cdot 13$$

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } 26 \text{ and } 65 \end{array} \right\} = \{\pm 1, \pm 13\}$$

- $a = 91, b = 15$

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } 91 \text{ and } 15 \end{array} \right\} = \{\pm 1\}$$

- $a = 32 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2$

$$b = 16 = 2 \cdot 2 \cdot 2 \cdot 2$$

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } 32 \text{ and } 16 \end{array} \right\} = \{\pm 1, \pm 2, \pm 4, \pm 8, \pm 16\}$$

In all of these examples, observe that there is a common divisor  $d$  of  $a$  and  $b$  divisible by all other common divisors.

### Definition 38

$d \in \mathbb{Z}$  is a greatest common divisor of  $a, b \in \mathbb{Z}$  if:

1.  $d$  is a common divisor of  $a$  and  $b$
2. if  $e \in \mathbb{Z}$  is a common divisor of  $a$  and  $b$ , then  $e \mid d$ .

### Lemma 39

Let  $a, b \in \mathbb{Z}$ . Let  $e, d$  be greatest common divisors of  $a$  and  $b$ . Then  $d = \pm e$ .

*Proof.* If  $a$  and  $b$  both equal 0, then 0 is a greatest common divisor of  $a$  and  $b$  and is the only one. If not both  $a$  and  $b$  equal 0, then  $e$  and  $d$  are necessarily non-zero (since 0 does not divide any non-zero integer).

Since  $d$  is a greatest common divisor of  $a$  and  $b$ , it follows that  $d \mid e$ . Therefore, there exists some integer  $k \in \mathbb{Z}$  such that:

$$e = kd$$

Similarly, since  $e$  is also a greatest common divisor of  $a$  and  $b$ , it follows that  $e \mid d$ . Therefore, there exists some integer  $j \in \mathbb{Z}$  such that:

$$d = je$$

Combining these two equations, we get:

$$d = je = j(kd) = d \cdot jk$$

This implies:

$$d(1 - jk) = 0$$

Since  $d \neq 0$ , it follows that:

$$1 - jk = 0$$

Hence:

$$jk = 1$$

This means that  $j$  and  $k$  must be  $\pm 1$ . Therefore:

$$d = je = \pm e$$

Thus,  $d$  and  $e$  are equal up to a sign. □

## 7.4 Euclidean Algorithm

### Fact 40

Let  $a, b \in \mathbb{Z}$ . Then

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} = \left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a - b \text{ and } b \end{array} \right\}$$

*Proof.* • Suppose  $d$  is a common divisor of  $a$  and  $b$ . Then  $a = jd$  and  $b = kd$  for some  $j, k \in \mathbb{Z}$ .

$$\begin{aligned} a - b &= jd - kd \\ &= (j - k)d \\ &\Rightarrow d \text{ divides } a - b \end{aligned}$$

and

$$b = kd \Rightarrow d \text{ divides } b.$$

Thus,  $d$  is a common divisor of  $a - b$  and  $b$ . It follows that

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} \subset \left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a - b \text{ and } b \end{array} \right\}$$

Suppose  $d$  divides  $a - b$  and  $b$ . Then  $a - b = jd$  and  $b = kd$  for some  $j, k \in \mathbb{Z}$ .

$$\begin{aligned} a &= (a - b) + b \\ &= jd + kd \\ &\Rightarrow d \text{ divides } a \end{aligned}$$

and

$$b = kd \Rightarrow d \text{ divides } b.$$

- Thus,  $d$  is a common divisor of  $a$  and  $b$ .

□

It follows that

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a - b \text{ and } b \end{array} \right\} \subset \left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\}$$

Combining the latter two containments:

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} = \left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a - b \text{ and } b \end{array} \right\}$$

More generally, the exact same proof technique may be used to prove:

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} = \left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a - kb \text{ and } b \end{array} \right\}$$

for every integer  $k$ .

#### 7.4.1 Euclidean Algorithm:

Let  $CD(a, b)$  denote the set of common divisors of  $a, b \in \mathbb{Z}$ .

**Input:**  $(a, b)$ ,  $a, b \in \mathbb{Z}$  with  $b \neq 0$  and  $|b| \leq |a|$ .

**Output:** A pair  $(d, 0)$  with

$$CD(a, b) = CD(d, 0)$$

**Note:**

- Since  $d \in CD(d, 0) = CD(a, b)$ ,  $d$  is a common divisor of  $a$  and  $b$ .
- If  $e \in CD(a, b) = CD(d, 0)$ , then  $e$  divides  $d$  and  $e$  divides  $0$ .
- Thus,  $d$  is a greatest common divisor of  $a$  and  $b$ .

**The Algorithm:**

1. If  $b = 0$ , return  $(a, 0)$ .
2. Otherwise, find  $A \in \mathbb{Z}$  for which

$$r = a - Ab \text{ satisfies } |r| < |b|.$$

(By the division algorithm, this is always possible)

3. Replace  $(a, b)$  by  $(a^*, b^*) := (b, r)$ .

- Go to (1) if  $b^* = 0$

- Go to the start of step (2) if  $b^* \neq 0$

### Proposition 41

The Euclidean algorithm terminates.

*Proof.* Let  $(a_n, b_n)$  be the  $n^{\text{th}}$  pair calculated in the process of running the Euclidean algorithm. The pair

$$(a_0, b_0), (a_1, b_1), (a_2, b_2), \dots (a, b)$$

satisfy:

- $|a_m| \geq |b_m|$
- $(a_{m+1}, b_{m+1}) = (a_m^*, b_m^*)$

By construction,

$$|b_m^*| < |b_m|.$$

So  $|b_0| > |b_1| > \dots$  is a strictly decreasing sequence of natural numbers. Therefore, the sequence must terminate at by going to step (1) and outputting  $(a_n, b_n) = (a_n, 0)$  for some (finite)  $n \in \mathbb{N}$ . This proves the algorithm terminates.  $\square$

**Remark 42.** Given  $x, y \in \mathbb{Z}$ , we've seen that we can find  $A \in \mathbb{Z}$  for which  $r = x - Ay$  satisfies  $|r| \leq |y|/2$ . Applying this choice of  $r$  consistently throughout the running of the Euclidean algorithm,  $\text{Euclidean\_Algorithm}(a, b)$  runs in time  $O(\log_2 |b|)$ .

## 7.5 Examples

1. Let's find the gcd of 576 and 243.

$$\begin{aligned} (576, 243) &= (243, 576 - 2 \cdot 243) \\ &= (243, 90) \\ &= (90, 243 - 2 \cdot 90) \\ &= (90, 63) \\ &= (63, 90 - 1 \cdot 63) \\ &= (63, 27) \\ &= (27, 63 - 2 \cdot 27) \\ &= (27, 9) \\ &= (9, 27 - 3 \cdot 9) \\ &= (9, 0) \end{aligned}$$

Therefore,

$$\text{gcd}(576, 243) = 9$$

2. Let's find the gcd of 101 and 66.

$$\begin{aligned}(101, 66) &= (66, 101 - 1 \cdot 66) \\ &= (66, 35) \\ &= (35, 66 - 1 \cdot 35) \\ &= (35, 31) \\ &= (31, 35 - 1 \cdot 31) \\ &= (31, 4) \\ &= (4, 31 - 7 \cdot 4) \\ &= (4, 3) \\ &= (3, 4 - 1 \cdot 3) \\ &= (3, 1) \\ &= (1, 3 - 3 \cdot 1) \\ &= (1, 0)\end{aligned}$$

Therefore,

$$\gcd(101, 66) = 1$$

3. Let's find the gcd of 104 and 80.

$$\begin{aligned}(104, 80) &= (80, 104 - 1 \cdot 80) \\ &= (80, 24) \\ &= (24, 80 - 3 \cdot 24) \\ &= (24, 8) \\ &= (8, 24 - 3 \cdot 8) \\ &= (8, 0)\end{aligned}$$

Therefore,

$$\gcd(104, 80) = 8$$

We describe an enhanced version of the Euclidean algorithm that allows us to solve the equation

$$xa + yb = d \quad \text{for} \quad x, y \in \mathbb{Z}, \quad d = \gcd(a, b)$$

**Proposition 43**

Let  $a, b \in \mathbb{Z}$ . Suppose there are integers  $x, y \in \mathbb{Z}$  for which

$$x \cdot a + y \cdot b = d$$

for some common divisor  $d$  of  $a$  and  $b$ . Then  $d$  is a greatest common divisor of  $a$  and  $b$ .

*Proof.* By assumption,  $d$  is a common divisor of  $a$  and  $b$ .

- Suppose  $e \mid a$  and  $e \mid b$ . Then

$$e \mid xa \quad \text{and} \quad e \mid yb \implies e \mid (xa + yb) = d.$$

It follows that  $d$  is a greatest common divisor of  $a$  and  $b$ .

□

## 7.6 The Algorithm

Let  $a, b \in \mathbb{Z}$  with  $|a| \geq |b|$ .

1. Form a 3-column table:

$d$	$x$	$y$

2. Initialize the first two rows as:

$e$	$x$	$y$
$a$	1	0
$b$	0	1

3. Note:  $xa + yb = e$  where  $(e, x, y)$  forms a row in this table.
4. Run the Euclidean algorithm in the left column of the table:

$e$	$x$	$y$
$e'$	$x'$	$y'$
$e''$	$x''$	$y''$

In particular,

$$\begin{aligned} e' &= x'a + y'b \\ e'' &= x''a + y''b \end{aligned}$$

By the division algorithm, we can find  $k \in \mathbb{Z}$  for which  $e''' := e' - ke''$  satisfies  $|e'''| \leq |e''|$ .

Add the new bottom row

$$R''' := R' - kR''$$

to our table:

$e$	$x$	$y$
$e'$	$x'$	$y'$
$e''$	$x''$	$y''$
$e'''$	$x'''$	$y'''$

Note that the relation  $x'''a + y'''b = e'''$  holds for the new bottom row of our table too, since it holds for the second-to-bottom and third-to-bottom rows too:

$$\begin{aligned}
 x'''a + y'''b &= (x' - kx'')a + (y' - ky'')b \\
 &= (x'a + y'b) - k(x''a + y''b) \quad (\text{regrouping terms}) \\
 &= e' - k \cdot e'' \\
 &= e'''
 \end{aligned}$$

5. Stop adding new rows once the bottom two rows become.

The output, i.e., the last two rows  $\Rightarrow$  By the theory of the Euclidean algorithm (which we've just run in the left (e) - column of our table),

$$d = \gcd(a, b)$$

Furthermore, since  $xa + yb = e$  for every row  $(e, x, y)$  from our table, it follows that

$$x_0 \cdot a + y_0 \cdot b = d$$

#### Problem 44

Consider the following problems:

- Prove that  $\gcd(x_1, y_1) = 1$ .
- (HARD) Prove that  $a = \pm d \cdot y_1$  and  $b = \mp d \cdot x_1$ .

## 7.7 Examples

1. Extended Euclidean algorithm for (596, 243):



$e$	$x$	$y$
596	1	0
243	0	1
90	1	-2
63	-2	5

2. Extended Euclidean algorithm for (3587, 1819):

$e$	$x$	$y$
3587	1	0
1819	0	1
-51	1	-2
34	35	-69
-17	36	-71
0	107	-211

We read off:

$$\begin{cases} -17 = 36 \times 3587 + (-71) \times 1819 & \text{(from the next to last row)} \\ 3587 = 17 \times 211 \\ 1819 = 17 \times 107 \end{cases}$$

## 8 January 31, 2025

We proved:

### Proposition 45

Let  $a, b \in \mathbb{Z}$ . Let  $d = \gcd(a, b)$ . There exist integers  $x, y \in \mathbb{Z}$  such that

$$xa + yb = d.$$

Not only did we prove this abstract existence statement, but we saw how to extract  $x, y$  from the output of the Extended Euclidean Algorithm.

### 8.1 Ideals in $\mathbb{Z}$

$I = \{xayb : x, y \in \mathbb{Z}\} \subset \mathbb{Z}$  is an ideal in the ring  $\mathbb{Z}$  if and only if:

- $I$  is closed under  $+$ ,  $-$ , and  $0 \in I$ .
- $r \cdot i \in I$  for all  $i \in I$  and  $r \in \mathbb{Z}$ .

The above proposition showed that every ideal in  $\mathbb{Z}$  consists of multiples of a single element. Thus,  $\mathbb{Z}$  is a so-called principal ideal domain. More on this later.

## 8.2 An important application of the above proposition:

### Lemma 46

Let  $a, b \in \mathbb{Z}, n \in \mathbb{Z}$  with  $n \neq 0$ . Suppose

- $n \mid ab$
- $\gcd(a, n) = 1$ .

Then  $n \mid b$ .

*Proof.* Since  $\gcd(a, n) = 1$ , we can find integers  $x, y$  such that

$$1 = x \cdot a + y \cdot n$$

Multiply both sides of (f) by  $b$ :

$$\begin{aligned} b &= (x \cdot a + y \cdot n) \cdot b \\ &= x \cdot (ab) + (yb) \cdot n \Rightarrow b \text{ is a multiple of } n \text{ by (i).} \end{aligned}$$

□

## 8.3 Application to primes and prime factorization

### Definition 47

Let  $p \in \mathbb{Z}, p \leq -1$ .  $p$  is prime if

$$\{\text{divisors of } p\} = \{\pm 1, \pm p\}.$$

**Example 48** • Prime: 2, 3, 5, 7, 11, 13, 17, 19, ...

- Not prime:  $4 = 2 \times 2, 6 = 2 \times 3, 9 = 3 \times 3, 91 = 7 \times 13$

### Fact 49

Non-prime integers are otherwise known as composite.

## 8.4 Sieve of Eratosthenes

(An algorithm to list all primes in  $\{2, 3, \dots, N\}$ )

1. Begin with  $L = \{2, 3, \dots, N\}, P = \emptyset$ .
2. Add the smallest element  $s$  of  $L$  to  $P$  and then remove  $s$  and all of its multiples from  $L$ .

3. Continue doing this until all elements are removed from  $L$ .

### Problem 50

The final  $P$  consists of all prime numbers in  $\{2, \dots, N\}$ .

## 8.5 Factorization into primes

### Proposition 51

Let  $n \in \mathbb{N}$  with  $n \neq 0$ . Then  $n$  factors as a product of primes.

*Proof.* We prove this by induction on  $n$ .

**Base case:**  $n = 1$ . Then  $n = 1$  is the empty product of primes.

**Inductive step:** Let  $m \geq 2$ . Suppose that for  $1 \leq k < m$ ,  $k$  can be expressed as a product of primes.

- If  $m$  is prime,  $m = m$  expresses  $m$  as a product of 1 prime.
- If  $m$  is not prime,  $m = ab$  for some  $1 < a, b < m$ .

Since  $1 \leq a = m/b < m$  and  $1 \leq b = m/a < m$ , we can express  $a$  and  $b$  as products of primes:

$$a = p_1 \dots p_j \quad p_1, \dots, p_j \text{ prime}$$

$$b = q_1 \dots q_t \quad q_1, \dots, q_t \text{ prime}$$

Then  $m = ab = (p_1 \dots p_j)(q_1 \dots q_t)$  expresses  $m$  as a product of primes, thus completing the inductive step.

It follows, by induction, that every integer  $n \geq 1$  can be expressed as a product of primes.  $\square$

As an application, we can prove the infinitude of primes:

### Theorem 52

There are infinitely many primes  $p \in \mathbb{Z}$ .

*Proof.* Let  $n \in \mathbb{Z}_{>1}$ .

Consider  $n! + 1$ , where  $n! = n \times (n-1) \times \dots \times 2 \times 1$ .

Since  $n!$  is a product of integers from 1 to  $n$ , any prime factor  $p$  of  $n! + 1$  must satisfy  $p \nmid n! + 1$ .

**Claim:**  $p > n$ .

Suppose for contradiction that  $p \leq n$ .

Since  $p \leq n$ ,  $p$  must divide  $n!$ . Therefore,  $p \mid n!$ .

But  $p \mid n! + 1$  and  $p \mid n!$  imply  $p \mid (n! + 1) - n! = 1$ , which is a contradiction since no prime number divides 1.

Hence,  $p > n$  as claimed.

Therefore, for every  $n \in \mathbb{Z}_{>1}$ , there exists a prime number  $p > n$ . This implies that there are infinitely many primes.  $\square$

## 8.6 An important characterization of primes

### Theorem 53

$p \in \mathbb{Z}$  is prime  $\Leftrightarrow$  for all  $a, b \in \mathbb{Z}$ ,  $p \mid ab$  implies  $p \mid a$  or  $p \mid b$ .

*Proof.* ( $\Leftarrow$ ) Suppose  $p$  is not prime. Then  $p = ab$  for some  $a, b \in \mathbb{Z}$  with  $a, b \neq \pm 1$ . Then  $p \mid p = ab$  but  $p \nmid a$  and  $p \nmid b$ .

( $\Rightarrow$ ) Suppose  $p$  is prime. Suppose  $p \mid ab$ . Note that

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } p \end{array} \right\} \subset \left\{ \begin{array}{l} \text{divisors of} \\ p \end{array} \right\} = \{\pm 1, \pm p\}$$

Since  $\pm p$  are not divisors of  $a$ ,

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } p \end{array} \right\} = \{\pm 1\}, \text{ i.e., } \gcd(a, p) = \pm 1$$

By our earlier key lemma, since  $p \mid ab$  and  $\gcd(a, p) = \pm 1$ , it follows that  $p \mid b$ . □

### Theorem 54

Let  $p \in \mathbb{Z}$  be prime. Let  $a_1, \dots, a_n \in \mathbb{Z}$  be integers for which  $p \mid a_1 \dots a_n$ . Then  $p \mid a_1$  or  $p \mid a_2 \dots a_n$ .

*Proof.* We prove this by induction on  $n$ .

**Base case:**  $n = 2$ . This is the previous case, which states that if  $p \mid a_1 a_2$ , then  $p \mid a_1$  or  $p \mid a_2$ .

**Inductive step:** Suppose the statement is true for some  $n \geq 2$ . That is, if  $p \mid a_1 \dots a_n$ , then  $p \mid a_1$  or  $p \mid a_2 \dots a_n$ .

We need to show that the statement is true for  $n + 1$ . Suppose  $p \mid a_1 a_2 \dots a_n a_{n+1}$ . By the inductive hypothesis, applied to the product  $a_1 a_2 \dots a_n$ , we have  $p \mid a_1$  or  $p \mid a_2 \dots a_n$ .

- If  $p \mid a_1$ , we are done.
- If  $p \mid a_2 \dots a_n$ , then by the base case applied to the product  $(a_2 \dots a_n) a_{n+1}$ , we have  $p \mid a_2 \dots a_n$  implies  $p \mid a_2$  or  $p \mid a_3 \dots a_n$ .

Continuing this process, we eventually conclude that  $p \mid a_1$  or  $p \mid a_2$  or  $\dots$  or  $p \mid a_{n+1}$ .

Therefore, by induction, the statement is true for all  $n \geq 2$ . □

We use the latter characterization of primes to prove uniqueness of prime factorization.

**Theorem 55**

Every integer  $n \neq 0$  can be written in a unique way as a product of primes.

More formally, if

$$n = p_1^{e_1} \cdots p_k^{e_k} \quad p_1, \dots, p_k \text{ distinct primes } e_1, \dots, e_k \in \mathbb{Z}_{\geq 1}$$

$$n = q_1^{f_1} \cdots q_l^{f_l} \quad q_1, \dots, q_l \text{ distinct primes } f_1, \dots, f_l \in \mathbb{Z}_{\geq 1}$$

Then  $k = l$  and  $(q_1, \dots, q_l)$  is a rearrangement of  $(p_1, \dots, p_k)$ , i.e.,  $q_i = p_{\sigma(i)}$  for some bijection  $\sigma : \{1, \dots, k\} \rightarrow \{1, \dots, k\}$  and  $f_j = e_{\sigma(j)}$ .

*Proof.* We prove this by induction on  $n$ .

**Base case:**  $n = 1$ .  $n = 1$  can only be factored as the empty product over primes. Thus, its factorization into primes is unique.

**Inductive step:** Let  $m \geq 2$ . Suppose every  $1 \leq k < m$  can be factored uniquely as a product of primes. Suppose

$$m = p_1^{e_1} \cdots p_k^{e_k} \quad p_1, \dots, p_k \text{ distinct primes } e_1, \dots, e_k \in \mathbb{Z}_{\geq 1}$$

$$m = q_1^{f_1} \cdots q_l^{f_l} \quad q_1, \dots, q_l \text{ distinct primes } f_1, \dots, f_l \in \mathbb{Z}_{\geq 1}$$

are two factorizations of  $m$ . Let  $p = p_1$ .

By (i),  $p \mid m$ . By (ii),  $p \mid m = q_1^{f_1} \cdots q_l^{f_l}$ . By our product characterization of primes, (i) implies  $p \mid q_1$  or  $\dots$  or  $p \mid q_l$ .

Since the  $q$ 's are prime,  $p \mid q_i$  is equivalent to  $p = q_i$ .

Thus,  $p = q_1$  or  $\dots$  or  $p = q_l$ .

Suppose WLOG that  $p_1 = p = q_1$ .

Then

$$m/p = p_1^{e_1-1} p_2^{e_2} \cdots p_k^{e_k} = q_1^{f_1-1} q_2^{f_2} \cdots q_l^{f_l}$$

Continuing by the same argument (and letting  $q_1$  play the role of  $p_1$  too), we can prove that

$$p_1 = p = q_1$$

$$e_1 = f_1$$

Consider

$$m/p^{e_1} = p_2^{e_2} \cdots p_k^{e_k}$$

$$m/q_1^{f_1} = q_2^{f_2} \cdots q_l^{f_l}$$

By inductive hypothesis (since  $1 \leq m/p^{e_1} < m$ ),

$$k - 1 = l - 1$$

$= (q_2, \dots, q_l)$  is a rearrangement of  $(p_2, \dots, p_k)$  via a bijection  $\sigma : \{2, \dots, k\} \rightarrow \{2, \dots, k\}$

$$q_j = p_{\sigma(j)} \text{ for } j = 2, \dots, l$$

$$f_j = e_{\sigma(j)} \text{ for } j = 2, \dots, k$$

The inductive step follows from this:

$$k - 1 = l - 1 \Rightarrow k = l$$

$= (q_2, \dots, q_l)$  a rearrangement of  $(p_2, \dots, p_k)$  via  $\sigma : \{2, \dots, k\} \rightarrow \{2, \dots, k\}$

$\Rightarrow (q_1, \dots, q_l)$  is a rearrangement of  $(p_1, \dots, p_k)$  via  $\tilde{\sigma} : \{1, 2, \dots, k\} \rightarrow \{1, 2, \dots, k\}$

$$\tilde{\sigma}(x) = \begin{cases} \sigma(x) & \text{if } x \neq 1 \\ 1 & \text{if } x = 1 \end{cases}$$

$$f_j = e_{\sigma(j)} \text{ for } j = 2, \dots, k$$

$$\Rightarrow f_j = e_{\sigma(j)} \text{ for } j = 1, \dots, k \quad (\text{since } \sigma(1) = 1).$$

By induction, unique factorization in  $\mathbb{Z}$  follows. □

## 9 February 3, 2025

We abstract the properties we need for arithmetic in  $\mathbb{Z}$ .

## 10 February 5, 2025

### 10.1 Examples of Rings

Last time we we defined abstract rings.

**Remark 56.**  $1 \in \mathbb{R}$  (ring with 1)

#### Fact 57

If you take the set of all integers, and you add and multiply them, you get a ring.

#### 10.1.1 Non-commutative Rings

1. Let  $V$  be a vector space over  $\mathbb{R}$ . The set  $S = \{\text{linear transformations } T : V \rightarrow V\}$  forms a ring with addition and composition of transformations. For  $T, T' \in S$ , the addition  $T + T'$  is defined by  $(T + T')(v) := T(v) + T'(v)$  for all  $v \in V$ .

2. The zero ring is a ring in which the product of any two elements is zero. It can be defined as  $R = \{0\}$  with the operations  $0 + 0 = 0$  and  $0 \cdot 0 = 0$ . This ring has only one element, which is both the additive and multiplicative identity.
3. If  $T, T'$  are both linear transformations from  $V \rightarrow V$ . Then  $T \cdot T' = T \cdot T' = ((T \cdot T')(v)) = T(T'(x))$ . That means that the composition of two linear transformations is also a linear transformation.

**Fact 58**

If we take two matrices  $T, T'$  and multiply them together  $T \cdot T'$  and  $T' \cdot T$  then they are not the same. For example

$$T = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$

and

$$T' = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

$$T \cdot T' = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

and

$$T' \cdot T = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

Therefore, we proved that composition of two linear transformations is not commutative. However, the distributive properties hold.