# Math 4580: Abstract Algebra I

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# 1 January 6, 2025

We didn't have any, but Dr. Lipnowski did post a module on carmen about the syllabus and the course. This semester we will be covering the first few chapters of the book *Abstract Algebra: Theory and Applications* by Thomas Judson.

#### Definition 1

Set: A collection of distinct objects, considered as an object in its own right.

Axioms: A collection of objects S with assumed structural rules is defined by axioms.

Statement: In logic or mathematics, an assertion that is either true or false.

**Hypothesis and Conclusion**: In the statement "If P, then Q", P is the hypothesis and Q is the conclusion.

Mathematical Proof: A logical argument that verifies the truth of a statement.

**Proposition**: A statement that can be proven true.

**Theorem**: A proposition of significant importance.

Lemma: A supporting proposition used to prove a theorem or another proposition.

Corollary: A proposition that follows directly from a theorem or proposition with minimal additional proof.

# 2 January 8, 2025

Professor Lipnowski discussed Sam Lloyd's 15 puzzle. Each lecture will include a mystery digit, contributing up to 5% bonus to the final grade based on correct guesses.

Certain course expectations:

- · All assignments (one every two weeks) and exams (one midterm and one final exam) will be take-home.
- · All the problems from the course textbook.
- Collaboration is encouraged, but the work should be your own.
- For the exams, we are not supposed to talk to other friends.

# 2.1 Functions

### **Definition 2**

Let A and B be sets. A function  $f: A \to B$  assigns exactly one output  $f(a) \in B$  to every input  $a \in A$ .

- The set A is called the **domain** of f.
- The set *B* is called the **codomain** of *f*.

#### Fact 3

The domain A, codomain B, and the assignment of outputs f(a) to every input  $a \in A$  are all part of the data defining a function. Just writing a formula like  $f(x) = e^x$  does not determine a function, as the domain and codomain are not specified.

For example:

- $f: \mathbb{R} \to \mathbb{R}, f(x) = e^x$ .
- $f: \mathbb{Q} \to \mathbb{Q}, f(x) = e^x$ .

Although these functions use the same formula, their meanings are completely different because their domains and codomains differ.

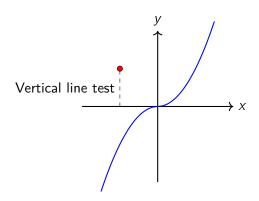
# 2.2 Graphs

A function  $f: A \rightarrow B$  is often identified with its **graph** in  $A \times B$ :

$$graph(f) = \{(a, b) \in A \times B : b = f(a)\}.$$

### Lemma 4

Let  $f: A \to B$  be a function. Its graph, graph(f), passes the **vertical line test**: For every  $a \in A$ ,  $V_a := \{(a, b) \in A \times B : b \in B\}$  intersects graph(f) in exactly one element.



### **Proposition 5**

Let  $G \subseteq A \times B$  be any subset passing the vertical line test, i.e., for all  $a \in A$ ,  $V_a \cap G$  consists of exactly one element. Then G = graph(f) for a unique function  $f : A \to B$ .

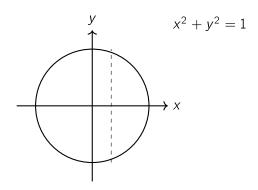
*Proof.* If  $G = \{(a, b) \mid b \in B\}$  satisfies the vertical line test, define  $f : A \to B$  by f(a) = b. Then G = graph(f).

#### **Definition 6**

A subset  $R \subseteq A \times B$  is called a **relation**. The vertical line test distinguishes graphs of functions from more general relations.

# 2.3 Examples

- Let  $S = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$  (the unit circle). This is a relation but not the graph of a function because it fails the vertical line test: The vertical line x = 0 intersects the circle at two points.
- Visual depiction of a unit circle:



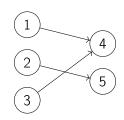
- Let  $A = \{1, 2, 3\}$ ,  $B = \{4, 5\}$ . The number of functions from A to B is  $2^3 = 8$ , corresponding to the 8 associated graphs in  $A \times B$ .
- The number of relations from A to B is  $2^{|a|\cdot|b|}=2^{3\cdot 2}=64$ , containing the 8 graphs of functions from A to B.

#### Fact 7

The notion of relation is much more permissive than the notion of functions.

# 2.4 Visualizing Functions as Directed Edges

A function  $f: A \to B$  can be visualized as a collection of directed edges  $(a, f(a)) \in A \times B$ . Each element of A has exactly one outgoing edge in the graph.



# 3 January 10, 2025

# 3.1 Injection and Surjection

Let  $f: A \to B$  be a function.

**Definition 8** (Injectivity (One-to-One))

f is injective (one-to-one) if:

$$\forall x, y \in A, f(x) = f(y) \implies x = y$$

Equivalently:

$$x \neq y \implies f(x) \neq f(y)$$

Fact 9

Distinct inputs have distinct outputs.

**Definition 10** (Surjectivity (Onto))

f is surjective (onto) if:

 $\forall b \in B, \exists a \in A \text{ such that } f(a) = b.$ 

Fact 11

Every  $b \in B$  is an output of something through f."

### Example 12

Here are a few examples of injectivity and surjectivity:

- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5\}$  and  $f : A \to B$  with f(1), f(2), f(3) as elements of B. If B has only two elements, at least two of f(1), f(2), f(3) must coincide (e.g., f(1) = f(2)). Thus, f is not injective.
- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and  $f : A \to B$  where:

$$f(1) = 4$$
,  $f(2) = 7$ ,  $f(3) = 5$ .

Distinct inputs have distinct outputs, so f is injective.

• Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and  $f : A \to B$  where:

$$f(1) = 4$$
,  $f(2) = 4$ ,  $f(3) = 6$ .

Here,  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and f(1) = f(2) but  $1 \neq 2$ , so f is not injective.

- Let  $f:A\to B$  where B has size 4 and f(1),f(2),f(3) are distinct elements of B. If  $B\setminus\{f(1),f(2),f(3)\}$  is non-empty, then  $b\neq f(a)$  for all  $a\in A$ , implying f is non surjective.
- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5\}$  and  $f : A \to B$  with f(1) = 4, f(2) = 5, f(3) = 4. f is surjective.
- Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5\}$  and  $f : A \to B$  with f(1) = 4, f(2) = 4, f(3) = 4. f is not surjective.

# 3.2 Bijection and Range

### **Definition 13** (Bijectivity)

f is bijective if f is both injective and surjective.

## **Definition 14**

Let  $f: A \to B$  be a function. The range of f is the subset of B defined as:

$$range(f) := \{ b \in B \mid b = f(a) \text{ for some } a \in A \}.$$

Thus,  $f: A \to B$  is surjective  $\iff$  range(f) = B.

• Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6\}$  and  $f : A \to B$  where:

$$f(1) = 6$$
,  $f(2) = 5$ ,  $f(3) = 4$ .

f is a bijection.

• Let  $A = \{1, 2, 3\}$  and  $B = \{4, 5, 6, 7\}$  and  $f : A \to B$  where:

$$f(1) = 4$$
,  $f(2) = 4$ ,  $f(3) = 56$ 

f is neither injective nor surjective.

**Question.** Let A and B be finite sets of the same size. Prove that the following are equivalent:

- 1.  $f: A \rightarrow B$  is injective.
- 2.  $f: A \rightarrow B$  is bijective.
- 3.  $f: A \rightarrow B$  is surjective.

Demonstrate that (1), (2), and (3) are not necessarily equivalent if  $A = B = \mathbb{N}$ .

## Example 15

Let  $f: \mathbb{N} \to \mathbb{Z}$  be defined as:

$$f(n) = \begin{cases} n/2 & \text{if } n \text{ is even,} \\ -\frac{(n+1)}{2} & \text{if } n \text{ is odd.} \end{cases}$$

is a bijection from  $\mathbb{N}$  to  $\mathbb{Z}$ .

*Proof.* We will prove injectivity first. Suppose  $f(n_1) = f(n_2)$ . Then: If  $f(n_1) = f(n_2) > 0$ , then  $n_1$  and  $n_2$  must be even, and

$$\frac{n_1}{2} = f(n_1) = f(n_2) = \frac{n_2}{2} \implies n_1 = n_2.$$

If  $f(n_1) = f(n_2) < 0$ , then  $n_1$  and  $n_2$  must be odd, and

$$-\frac{n_1+1}{2}=f(n_1)=f(n_2)=-\frac{n_2+1}{2} \implies n_1=n_2.$$

In all cases,  $n_1 = n_2$ . It follows that f is injective.

Now let's prove surjectivity. Let  $n \in \mathbb{Z}$ . If n > 0, then

$$n = f(2n)$$
.

If n < 0, then

$$n = f(-2n - 1).$$

Therefore, f is surjective.

## **Theorem 16** (Taylor's Theorem)

Let f be a function that is n-times differentiable at a. Then for each x in the interval containing a, there exists a  $\xi$  between a and x such that

$$f(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2!}(x-a)^2 + \dots + \frac{f^{(n)}(a)}{n!}(x-a)^n + \frac{f^{(n+1)}(\xi)}{(n+1)!}(x-a)^{n+1}.$$

*Proof.* By the mean value theorem, for each x in the interval containing a, there exists a  $\xi$  between a and x such that

$$f(x) = f(a) + f'(a)(x - a) + \frac{f''(a)}{2!}(x - a)^2 + \dots + \frac{f^{(n)}(a)}{n!}(x - a)^n + R_{n+1}(x),$$

where  $R_{n+1}(x)$  is the remainder term. The remainder term can be expressed as

$$R_{n+1}(x) = \frac{f^{(n+1)}(\xi)}{(n+1)!}(x-a)^{n+1}.$$

Therefore, we have

$$f(x) = f(a) + f'(a)(x-a) + \frac{f''(a)}{2!}(x-a)^2 + \dots + \frac{f^{(n)}(a)}{n!}(x-a)^n + \frac{f^{(n+1)}(\xi)}{(n+1)!}(x-a)^{n+1}.$$

# 4 January 15, 2025

# 4.1 Equivalence Relations and Equivalence Classes

#### **Definition 17**

Let  $\sim$  be an equivalence relation on a set X. Let  $x \in X$ . The equivalence class of x is

$$[x] := \{ y \in X : y \sim x \} \subset X$$

An equivalence class in X is a subset of X of the form [x] for some  $x \in X$ .

## Fact 18

The equivalence classes of X partition X into disjoint subsets. This partition completely encapsulates the equivalence relation.

#### **Proposition 19**

Let  $a, b \in X$ . Either:

- [a] and [b] are disjoint
- [a] = [b]

*Proof.* Suppose [a] and [b] are not disjoint. Let  $t \in [a] \cap [b]$ . Then  $t \sim a$  and  $t \sim b$ .

$$\Rightarrow a \sim t$$
 and  $t \sim b$  (by symmetry)

$$\Rightarrow a \sim b$$
 (by transitivity)

This implies that [a] = [b]:

If  $y \sim a$ , by  $(a \sim b)$  and transitivity,  $y \sim b$  too.

If  $y \sim b$ , by  $(b \sim a)$  and symmetry,  $y \sim a$ .

It follows that

$$[a] = \{ y \in X : y \sim a \} = \{ y \in X : y \sim b \} = [b]$$

The latter proposition shows that equivalence classes on X partition X:

$$X = \bigsqcup_{i \in I} A_i$$

**Definition 20** 

Let  $X = \bigsqcup_{i \in I} A_i$  be the partition of X into equivalence classes for  $\sim$ . We call any subset  $S \subset X$  a complete set of equivalence class representatives if it contains exactly one element  $x_i \in A_i$  for every  $i \in I$ , i.e., "exactly one element per equivalence class".

In practice, understanding an equivalence relation amounts to understanding its associated equivalence classes and complete sets of equivalence class representatives.

# 4.2 Examples of Equivalence Classes

1. Let  $X = \mathbb{R}$  and define the equivalence relation  $\sim$  by  $x \sim y$  if and only if  $x - y \in 2\pi \cdot \mathbb{Z}$ .

The equivalence class of x is:

$$[x] = \{x + 2\pi k : k \in \mathbb{Z}\} \subset \mathbb{R}$$

Every  $z \in \mathbb{R}$  lies in an equivalence class, namely [z]. If [x] and [y] contain a common element t, then there exist  $k, l \in \mathbb{Z}$  such that:

$$x + 2\pi k = t = y + 2\pi l \implies x - y = 2\pi (l - k) \implies x \sim y$$

This implies [x] = [y]. Therefore, we have:

$$\mathbb{R} = \bigsqcup_{[z]} [z]$$

The interval  $[0, 2\pi)$  is a complete set of equivalence class representatives.

2. Let X be the set of all  $2 \times 2$  matrices, and define the equivalence relation  $\sim$  by  $x \sim y$  if there exists a continuous path  $p:[0,1] \to X$  with p(0)=x and p(1)=y.

The equivalence classes are the connected components of X. For example, if X consists of three disjoint disks  $\mathbb{D}_1$ ,  $\mathbb{D}_2$ ,  $\mathbb{D}_3$ , then:

$$X = \mathbb{D}_1 \sqcup \mathbb{D}_2 \sqcup \mathbb{D}_3$$

A complete set of equivalence class representatives is  $\{\pi_1, \pi_2, \pi_3\}$ , where  $\pi_i \in \mathbb{D}_i$  for i = 1, 2, 3.

3. Let  $X = \mathbb{R}^2$  and define the equivalence relation  $\sim$  by  $(a, b) \sim (c, d)$  if and only if  $a^2 + b^2 = c^2 + d^2$ .

The equivalence class of (a, b) is the set of all points in  $\mathbb{R}^2$  that lie on the circle centered at the origin with radius  $\sqrt{a^2 + b^2}$ .

#### Problem 21

Verify that the above defines an equivalence relation.

Equivalence classes:

$$[(a,b)] = \{(x,y) \in \mathbb{R}^2 : x^2 + y^2 = a^2 + b^2\}$$

$$\{(x,y) \in \mathbb{R}^2 : x^2 + y^2 = a^2 + b^2\}$$

is the collection of points in  $\mathbb{R}^2$  having the same distance from (0,0) as (a,b), i.e., it is the circle in  $\mathbb{R}^2$  centered at (0,0) passing through (a,b).

Equivalence classes for  $\sim$  on  $\mathbb{R}^2$ : circles centered at (0,0).

$$\mathbb{R}^2 = \bigsqcup_{a \in \mathbb{R}_{\geq 0}} [(a, 0)]$$

and  $\{(a,0): a \in \mathbb{R}_{>0}\}$  is a complete set of equivalence class representatives.

# 5 January 17, 2025

## 5.1 Mathematical Induction

## **Definition 22**

Let  $\{P(n)\}_{n\in\mathbb{N}}$  be statements indexed by  $n\in\mathbb{N}=\{0,1,2,\ldots\}$ . Suppose

- (a) P(0) is true
- (b) P(m) true  $\Rightarrow P(m+1)$  true for all  $m \in \mathbb{N}$ .

Then P(n) is true for all  $n \in \mathbb{N}$ .

### Fact 23

The following are true for a mathematical induction:

- (a) is the base case of the induction
- (b) is the inductive step
- Assuming P(m) is true (in order to prove that P(m+1) is true) is the inductive hypothesis.

## 5.1.1 Visualizing Induction

Picture the statements  $P(0), P(1), P(2), \ldots$  as dominoes  $0, 1, 2, \ldots$  lined up in some way. Our goal is to prove that all  $P(n), n \in \mathbb{N}$  are true, amounting to toppling over every domino.

0 -	<del>→</del> 1 -	<del>)</del> 2 –	<del>)</del> 3 –	<del>)</del> 4 –	<del>&gt;</del> 5
0+1	1+1	2+1	3+1	4+1	5+1

Base case  $\Leftrightarrow$  we push over domino 0.

Inductive step  $\Leftrightarrow$  if domino m topples, then domino m+1 topples too.

Inductive hypothesis  $\Leftrightarrow$ 

**Remark 24.** The inductive step is usually the hardest part of an inductive argument. However, as the above analogy shows, the base case is essential too: if no domino is pushed over, none will topple!

# 5.2 Examples

1. Prove that

$$1 + 2 + \dots + n = \frac{n(n+1)}{2}$$

*Proof.* Let  $P(n) := 1 + \cdots + n = \frac{n(n+1)}{2}$ .

**Base case:** When n = 0, the LHS = 0 (since the sum is empty) and the RHS = 0 too. So P(0) is true. **Inductive Step:** Suppose P(m) is true, i.e.,

$$1+\cdots+m=\frac{m(m+1)}{2}$$

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Then

$$1 + \dots + m + (m+1) = (1 + \dots + m) + (m+1)$$

$$= \frac{m(m+1)}{2} + (m+1) \quad \text{(by our inductive hypothesis)}$$

$$= (m+1)\left(\frac{m}{2} + 1\right)$$

$$= (m+1)\left(\frac{m+2}{2}\right)$$

$$= \frac{(m+1)(m+2)}{2}$$

So P(m+1) is true too.

It follows, by induction, that P(n) is true for all  $n \in \mathbb{N}$ , i.e.,

$$1+2+\cdots+n=\frac{n(n+1)}{2}$$

2. Let  $f_n = n^{\text{th}}$  Fibonacci number, defined as the  $n^{\text{th}}$  term of the sequence defined recursively by:

$$\begin{cases} f_0 = 0 \\ f_1 = 1 \\ f_n = f_{n-1} + f_{n-2} \text{ if } n \ge 2 \end{cases}$$

Now that

$$f_n = \frac{1}{\sqrt{5}} \left[ \left( \frac{1 + \sqrt{5}}{2} \right)^n - \left( \frac{1 - \sqrt{5}}{2} \right)^n \right]$$

Note:  $T_{\pm} := \frac{1 \pm \sqrt{5}}{2}$  are the two roots of the quadratic equation  $x^2 = x + 1$ .  $T_+$  is known as the golden ratio.

*Proof.* Let P(n) denote the statement

$$f_n = \frac{1}{\sqrt{5}} \left( T_+^n - T_-^n \right)$$

We prove that P(n) is true for all  $n \in \mathbb{N}$  by induction:

Base case: n = 0:

$$f_0 = 0 = \frac{1}{\sqrt{5}} \left( T_+^0 - T_-^0 \right)$$

$$f_1 = 1 = \frac{1}{\sqrt{5}} \left( \left( \frac{1 + \sqrt{5}}{2} \right)^1 - \left( \frac{1 - \sqrt{5}}{2} \right)^1 \right)$$

$$= \frac{1}{\sqrt{5}} \left( T_+^1 - T_-^1 \right)$$

**Inductive step:** Suppose P(k) is true for all k < m. We will prove that P(m) is true too:

If m=0 or m=1, we verified that P(m) is true in our base case. Suppose  $m\geq 2$ .

$$\begin{split} f_m &= f_{m-1} + f_{m-2} \quad \text{(defining recursion for } f_m \text{)} \\ &= \frac{1}{\sqrt{5}} \left( T_+^{m-1} - T_-^{m-1} \right) \quad \text{(since } P(m-1) \text{ is true, by hypothesis)} \\ &+ \frac{1}{\sqrt{5}} \left( T_+^{m-2} - T_-^{m-2} \right) \quad \text{(since } P(m-2) \text{ is true, by hypothesis)} \\ &= \frac{1}{\sqrt{5}} \left( T_+^{m-1} + T_+^{m-2} \right) - \frac{1}{\sqrt{5}} \left( T_-^{m-1} + T_-^{m-2} \right) \\ &= \frac{1}{\sqrt{5}} \left( T_+^{m-2} (T_+ + 1) \right) - \frac{1}{\sqrt{5}} \left( T_-^{m-2} (T_- + 1) \right) \\ &= \frac{1}{\sqrt{5}} \left( T_+^{m-2} \cdot T_+^2 \right) - \frac{1}{\sqrt{5}} \left( T_-^{m-2} \cdot T_-^2 \right) \\ &= \frac{1}{\sqrt{5}} \left( T_+^{m} - T_-^{m} \right) \end{split}$$

Thus, P(m) is true too. It follows that P(n) is true for all  $n \in \mathbb{N}$ , i.e.,

$$f_n = \frac{1}{\sqrt{5}} \left( T_+^n - T_-^n \right) \text{ for all } n \in \mathbb{N}$$

The above proof uses the strong form of mathematical induction.

**Theorem 25** (Principle of Mathematical Induction (strong form))

Let  $\{P(n)\}_{n\in\mathbb{N}}$  be statements indexed by  $n\in\mathbb{N}=\{0,1,2,\ldots\}$ . Suppose

- (a) P(0) is true
- (b)  $P(0), P(1), \ldots, P(m) \Rightarrow P(m+1)$  true for all  $m \in \mathbb{N}$ .

Then P(n) is true for all  $n \in \mathbb{N}$ .

*Proof.* Let Q(n) be the statement that

$$P(0), P(1), \dots, P(n)$$
 are all true.

Q(0) is true P(0) is true. Suppose Q(m) is true, i.e.,

$$P(0), \ldots, P(m)$$
 are all true.

By (b) (the strong inductive step), P(m+1) is true.

Thus,  $P(0), \ldots, P(m), P(m+1)$  are all true by (b). It follows that Q(m+1) is true too. By induction, Q(n) is true for all  $n \in \mathbb{N}$ , implying that P(n) is true for all  $n \in \mathbb{N}$ .

# 6 January 22, 2025

# 6.1 Well-Ordering Principle

## Theorem 26 (Well-ordering principle)

Let  $S \subset \mathbb{N}$  be non-empty. Then S contains a least element t, i.e.,

- t ∈ S
- $t \le s$  for all  $s \in S$

*Proof.* Let  $t \in S$ . Consider the subset  $S' = \{s \in S : s \le t\} = S \cap \{0, ..., t\}$ . Since S' is a non-empty subset of  $\{0, ..., t\}$ , it is finite. Therefore, S' has a least element, say t'. By construction,  $t' \in S'$  and  $t' \le s$  for all  $s \in S'$ . Since  $S' \subset S$ , it follows that  $t' \in S$  and  $t' \le s$  for all  $s \in S$ . Thus, t' is the least element of S.  $\square$ 

### **Corollary 27**

 $t' \in S$  is a minimal element of S.

*Proof.* By construction,  $t' \in S$  and  $t' \leq t$ . For any  $s \in S$ , if  $s \leq t$ , then  $s \in S'$ . By the definition of t', we have  $t' \leq s$ . If  $s \notin S'$ , then s > t, and since  $t \geq t'$ , it follows that s > t'. Therefore,  $t' \leq s$  for all  $s \in S$ .

This shows that t' is the least element of S.

To prove that every finite subset of  $\mathbb N$  contains a least element, we use mathematical induction. We will show that the well-ordering principle implies the strong form of induction.

# 6.2 Connection between the Well-Ordering Principle and Induction

### Theorem 28

Assume the well-ordering principle holds. Then the strong form of induction holds too: Suppose  $\{P(n)\}_{n\in\mathbb{N}}$  are statements for which:

- (a) P(0) is true
- (b)  $P(0), \ldots, P(m-1)$  true  $\Rightarrow P(m)$  true for all  $m \in \mathbb{N}_{>0}$ .

Then P(n) is true for all  $n \in \mathbb{N}$ .

*Proof.* Let  $S = \{n \in \mathbb{N} : P(n) \text{ is false}\}$ . We want to prove that S is empty.

Suppose S is non-empty. Let  $t \in S$  be a least element. Since P(0) is true,  $0 \notin S$ . Therefore,  $t \neq 0$ , i.e.,  $t \geq 1$ . Since  $0, 1, \ldots, t-1 < t$ , it follows that  $0 \notin S, 1 \notin S, \ldots, t-1 \notin S$ , i.e.,  $P(0), P(1), \ldots, P(t-1)$  are all true. By assumption (b), it follows that P(t) is true, i.e.,  $t \notin S$ . This contradicts  $t \in S$ .

It follows that S is empty, i.e., P(n) is true for all  $n \in \mathbb{N}$ .

The well-ordering principle perspective often reveals what you should take as the base case for an inductive argument.

# 6.3 Examples

1.

$$\begin{cases} F_0 = 0 \\ F_1 = 1 & \text{for } n \ge 2. \\ F_n = F_{n-1} + F_{n-2} \end{cases}$$

Prove that

$$F_n = \frac{1}{\sqrt{5}} \left( T_+^n - T_-^n \right) \text{ for all } n \in \mathbb{N}.$$

$$T_{\pm} = \frac{1 \pm \sqrt{5}}{2}$$
, the roots of  $x^2 = x + 1$ 

*Proof.* Let  $S = \{n \in \mathbb{N} : F_n \neq \frac{1}{\sqrt{5}} (T_+^n - T_-^n)\}$ . We want to prove that S is empty. Suppose S is non-empty. Let t be the least element of S.

• Suppose t > 2. Then

- (a) 
$$F_{t-1} = \frac{1}{\sqrt{5}} \left( T_+^{t-1} - T_-^{t-1} \right)$$
 since  $t - 1 \in \mathbb{N} \setminus S$   
- (b)  $F_{t-2} = \frac{1}{\sqrt{5}} \left( T_+^{t-2} - T_-^{t-2} \right)$  since  $t - 2 \in \mathbb{N} \setminus S$ 

• Note: We assume  $t \ge 2$  here. Otherwise, t-1 and t-2 are not both natural numbers.

$$\begin{split} F_t &= F_{t-1} + F_{t-2} \quad \text{(by the recursive definition of Fibonacci numbers)} \\ &= \frac{1}{\sqrt{5}} \left( T_+^{t-1} + T_+^{t-2} \right) - \frac{1}{\sqrt{5}} \left( T_-^{t-1} + T_-^{t-2} \right) \\ &= \frac{1}{\sqrt{5}} \left( T_+^{t-2} (T_+ + 1) \right) - \frac{1}{\sqrt{5}} \left( T_-^{t-2} (T_- + 1) \right) \\ &= \frac{1}{\sqrt{5}} \left( T_+^{t-2} \cdot T_+^2 \right) - \frac{1}{\sqrt{5}} \left( T_-^{t-2} \cdot T_-^2 \right) \\ &= \frac{1}{\sqrt{5}} \left( T_+^t - T_-^t \right) \end{split}$$

Thus,  $F_t = \frac{1}{\sqrt{5}} \left( T_+^t - T_-^t \right)$ , implying  $t \notin S$ . This contradicts  $t \in S$ . It follows that t = 0 or t = 1.

**Remark 29.** Three "leftover cases" form our base case, since our main argument above did not address either of these edge cases.

• If 
$$t=0$$
, 
$$F_0=0=\frac{1}{\sqrt{5}}\left(T_+^0-T_-^0\right), \text{ so } 0\notin S$$

• If 
$$t=1$$
, 
$$F_1=1=\frac{1}{\sqrt{5}}\left(T_+^1-T_-^1\right), \text{ so } 1\notin S$$

We've shown:

- If  $t \ge 2$ , then t cannot be a least element of S.
- If t = 0 or t = 1, then  $t \notin S$ .

Thus, S contains no least element. This contradicts S being non-empty (by the well-ordering principle). It follows that S is empty, i.e.,

$$F_n = \frac{1}{\sqrt{5}} \left( T_+^n - T_-^n \right) \text{ for all } n \in \mathbb{N}$$

This perspective is also helpful for rooting out false statements you might try to prove by induction.

2. Let P(n) be the statement:

P(n): All collections of n boxes are the same color.

We know, from life experience, this statement is false.

Let's see why:

Let  $S = \{n \in \mathbb{N} : P(n) \text{ is false}\}.$ 

Suppose S is non-empty. Let t be the least element of S. Suppose  $t \ge 3$ . Then P(1) and P(2) are true (since  $1, 2 \notin S$  by minimality of t). Let  $\{1, \ldots, t\}$  be any collection of t boxes. Divide them into two sets

$$A = \{1, \dots, t - 1\}$$
 and  $B = \{2, \dots, t\}$ 

Since t is minimal, P(t-1) is true. So all boxes in A are some common color, call it a. Likewise, all boxes in B are some common color, call it b. Since  $t \geq 3$ , the sets A and B overlap. Thus a = b. It follows that  $\{1, 2, \ldots, t\}$  are all the same color, i.e., P(t) is true. Thus  $t \notin S$ , contradicting  $t \in S$ . Thus, if  $t \geq 3$ , t cannot be a minimal element of S.

For t = 1, P(1) is clearly true. So  $1 \notin S$ . For t = 2, P(2) is not necessarily true. So at this very last step, our argument breaks down!

# 7 January 24, 2025

# 7.1 Arithmetic of $\mathbb{Z}$

We turn from counting properties of  $\ensuremath{\mathbb{Z}}$  and  $\ensuremath{\mathbb{N}}\text{---these}$  feature prominently in induction:

$$0 \underset{\mathsf{next}}{\rightarrow} 1 \underset{\mathsf{next}}{\rightarrow} 2 \underset{\mathsf{next}}{\rightarrow} 3$$

to the basic arithmetic operations in  $\mathbb{Z}:+,-,\times$ . What about division??

### **Definition 30**

Let  $a, b \in \mathbb{Z}$ . We say that b divides  $a \mid a$  is a multiple of  $b \mid a$  is divisible by b if a = bk for some  $k \in \mathbb{Z}$ . We write that as following

## Example 31

The following could be an example:

- Every integer *b* divides 0.
- Every integer is divisible by 1.

## Fact 32

If  $b \neq 0$ , then b divides a iff the rational number  $\frac{a}{b}$  is actually an integer.

### Example 33

$$\frac{50}{7} = 7.14$$
 (not an integer. So 7 does not divide 50.)

# 7.2 The Division Algorithm

# Theorem 34 (Division Algorithm)

Let  $a, b \in \mathbb{Z}$ ,  $b \neq 0$ . Then there exist

- $k \in \mathbb{Z}$
- $r \in \mathbb{Z}$  with |r| < |b|

satisfying:

$$a = bk + r$$

*Proof.* Let  $\frac{a}{b} = k + \alpha$  for some  $k \in \mathbb{Z}$  and  $\alpha \in \mathbb{Q}$  where  $0 \le \alpha < 1$ . Multiplying both sides by b, we get:

$$a = kb + \alpha b$$

Define  $r = \alpha b$ . Then:

$$a = kb + r$$

Since  $0 \le \alpha < 1$ , it follows that  $0 \le r < |b|$ . Therefore, r is an integer satisfying  $0 \le r < |b|$ . Thus, we have:

$$a = kb + r$$

where  $k \in \mathbb{Z}$  and  $r \in \mathbb{Z}$  with  $0 \le r < |b|$ .

The result follows.

**Remark 35.** In the above proof, we could take  $-\frac{1}{2} \le \alpha \le \frac{1}{2}$  (as opposed to  $0 \le \alpha < 1$ ). For  $r = a - kb = b\alpha$ ,

$$|r| = |\alpha b|$$

$$\leq \frac{|b|}{2}$$

# 7.3 Common Divisors

### **Definition 36**

Let  $a, b \in \mathbb{Z}$ . A common divisor d of a and b is an integer  $d \in \mathbb{Z}$  for which:

- d | a
- d | b

### Example 37

Let's consider the following examples:

• 
$$a =$$
anything,  $b = 0$ 

$$\left\{\begin{array}{c} \text{common divisors} \\ \text{of } a \text{ and } b = 0 \end{array}\right\} = \left\{\text{divisors of } a\right\}$$

• 
$$a = 26 = 2 \cdot 13$$

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } 26 \text{ and } 65 \end{array} \right\} = \{\pm 1, \pm 13\}$$

• 
$$a = 91, b = 15$$

$$\left\{ \begin{array}{c} \text{common divisors} \\ \text{of } 91 \text{ and } 15 \end{array} \right\} = \{\pm 1\}$$

• 
$$a = 32 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2$$

$$b = 16 = 2 \cdot 2 \cdot 2 \cdot 2$$
 
$$\left\{ \begin{array}{c} \text{common divisors} \\ \text{of } 32 \text{ and } 16 \end{array} \right\} = \left\{ \pm 1, \pm 2, \pm 4, \pm 8, \pm 16 \right\}$$

In all of these examples, observe that there is a common divisor d of a and b divisible by all other common divisors.

### **Definition 38**

 $d \in \mathbb{Z}$  is a greatest common divisor of  $a, b \in \mathbb{Z}$  if:

- 1. d is a common divisor of a and b
- 2. if  $e \in \mathbb{Z}$  is a common divisor of a and b, then  $e \mid d$ .

#### Lemma 39

Let  $a, b \in \mathbb{Z}$ . Let e, d be greatest common divisors of a and b. Then  $d = \pm e$ .

*Proof.* If a and b both equal 0, then 0 is a greatest common divisor of a and b and is the only one. If not both a and b equal 0, then e and d are necessarily non-zero (since 0 does not divide any non-zero integer).

Since d is a greatest common divisor of a and b, it follows that  $d \mid e$ . Therefore, there exists some integer  $k \in \mathbb{Z}$  such that:

$$e = kd$$

Similarly, since e is also a greatest common divisor of a and b, it follows that  $e \mid d$ . Therefore, there exists some integer  $j \in \mathbb{Z}$  such that:

$$d = ie$$

Combining these two equations, we get:

$$d = ie = i(kd) = d \cdot ik$$

This implies:

$$d(1-jk)=0$$

Since  $d \neq 0$ , it follows that:

$$1 - jk = 0$$

Hence:

$$jk = 1$$

This means that j and k must be  $\pm 1$ . Therefore:

$$d = je = \pm e$$

Thus, d and e are equal up to a sign.

# 7.4 Euclidean Algorithm

Fact 40

Let  $a, b \in \mathbb{Z}$ . Then

$$\left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} = \left\{ \begin{array}{l} \text{common divisors} \\ \text{of } a - b \text{ and } b \end{array} \right\}$$

*Proof.* • Suppose d is a common divisor of a and b. Then a = jd and b = kd for some  $j, k \in \mathbb{Z}$ .

$$a - b = jd - kd$$
  
=  $(j - k)d$   
 $\Rightarrow d$  divides  $a - b$ 

and

$$b = kd \Rightarrow d$$
 divides  $b$ .

Thus, d is a common divisor of a - b and b. It follows that

$$\left\{ \begin{array}{c} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} \subset \left\{ \begin{array}{c} \text{common divisors} \\ \text{of } a - b \text{ and } b \end{array} \right\}$$

Suppose d divides a-b and b. Then a-b=jd and b=kd for some  $j,k\in\mathbb{Z}$ .

$$a = (a - b) + b$$
$$= jd + kd$$
$$\Rightarrow d \text{ divides } a$$

and

$$b = kd \Rightarrow d$$
 divides b.

• Thus, d is a common divisor of a and b.

It follows that

$$\left\{\begin{array}{c} \text{common divisors} \\ \text{of } a-b \text{ and } b \end{array}\right\} \subset \left\{\begin{array}{c} \text{common divisors} \\ \text{of } a \text{ and } b \end{array}\right\}$$

Combining the latter two containments:

$$\left\{ \begin{array}{c} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} = \left\{ \begin{array}{c} \text{common divisors} \\ \text{of } a - b \text{ and } b \end{array} \right\}$$

More generally, the exact same proof technique may be used to prove:

$$\left\{ \begin{array}{c} \text{common divisors} \\ \text{of } a \text{ and } b \end{array} \right\} = \left\{ \begin{array}{c} \text{common divisors} \\ \text{of } a - kb \text{ and } b \end{array} \right\}$$

for every integer k.

### 7.4.1 Euclidean Algorithm:

Let CD(a, b) denote the set of common divisors of  $a, b \in \mathbb{Z}$ .

**Input:**  $(a, b), a, b \in \mathbb{Z}$  with  $b \neq 0$  and  $|b| \leq |a|$ .

Output: A pair (d, 0) with

$$CD(a, b) = CD(d, 0)$$

#### Note:

- Since  $d \in CD(d, 0) = CD(a, b)$ , d is a common divisor of a and b.
- If  $e \in CD(a, b) = CD(d, 0)$ , then e divides d and e divides 0.
- Thus, d is a greatest common divisor of a and b.

### The Algorithm:

- 1. If b = 0, return (a, 0).
- 2. Otherwise, find  $A \in \mathbb{Z}$  for which

$$r = a - Ab$$
 satisfies  $|r| < |b|$ .

(By the division algorithm, this is always possible)

- 3. Replace (a, b) by  $(a^*, b^*) := (b, r)$ .
  - Go to (1) if  $b^* = 0$

• Go to the start of step (2) if  $b^* \neq 0$ 

### **Proposition 41**

The Euclidean algorithm terminates.

*Proof.* Let  $(a_n, b_n)$  be the  $n^{th}$  pair calculated in the process of running the Euclidean algorithm. The pair

$$(a_0, b_0), (a_1, b_1), (a_2, b_2), \dots (a, b)$$

satisfy:

- $|a_m| \geq |b_m|$
- $(a_{m+1}, b_{m+1}) = (a_m^*, b_m^*)$

By construction,

$$|b_m^*| < |b_m|.$$

So  $|b_0| > |b_1| > \dots$  is a strictly decreasing sequence of natural numbers. Therefore, the sequence must terminate at by going to step (1) and outputting  $(a_n, b_n) = (a_n, 0)$  for some (finite)  $n \in \mathbb{N}$ . This proves the algorithm terminates.

**Remark 42.** Given  $x, y \in \mathbb{Z}$ , we've seen that we can find  $A \in \mathbb{Z}$  for which r = x - Ay satisfies  $|r| \le |y|/2$ . Applying this choice of r consistently throughout the running of the Euclidean algorithm, Euclidean\_Algorithm(a, b) runs in time  $O(\log_2 |b|)$ .

# 7.5 Examples

1. Let's find the gcd of 576 and 243.

$$(576, 243) = (243, 576 - 2 \cdot 243)$$

$$= (243, 90)$$

$$= (90, 243 - 2 \cdot 90)$$

$$= (90, 63)$$

$$= (63, 90 - 1 \cdot 63)$$

$$= (63, 27)$$

$$= (27, 63 - 2 \cdot 27)$$

$$= (27, 9)$$

$$= (9, 27 - 3 \cdot 9)$$

$$= (9, 0)$$

Thereofore,

$$gcd(576, 243) = 9$$

2. Let's find the gcd of 101 and 66.

$$(101, 66) = (66, 101 - 1 \cdot 66)$$

$$= (66, 35)$$

$$= (35, 66 - 1 \cdot 35)$$

$$= (35, 31)$$

$$= (31, 35 - 1 \cdot 31)$$

$$= (31, 4)$$

$$= (4, 31 - 7 \cdot 4)$$

$$= (4, 3)$$

$$= (4, 3)$$

$$= (3, 4 - 1 \cdot 3)$$

$$= (3, 1)$$

$$= (1, 3 - 3 \cdot 1)$$

$$= (1, 0)$$

Thereofore,

$$gcd(101, 66) = 1$$

3. Let's find the gcd of 104 and 80.

$$(104,80) = (80,104 - 1 \cdot 80)$$

$$= (80,24)$$

$$= (24,80 - 3 \cdot 24)$$

$$= (24,8)$$

$$= (8,24 - 3 \cdot 8)$$

$$= (8,0)$$

Thereofore,

$$gcd(104,80) = 8$$

We describe an enhanced version of the Euclidean algorithm that allows us to solve the equation

$$xa + yb = d$$
 for  $x, y \in \mathbb{Z}$ ,  $d = \gcd(a, b)$ 

# **Proposition 43**

Let  $a, b \in \mathbb{Z}$ . Suppose there are integers  $x, y \in \mathbb{Z}$  for which

$$x \cdot a + y \cdot b = d$$

for some common divisor d of a and b. Then d is a greatest common divisor of a and b.

*Proof.* By assumption, d is a common divisor of a and b.

- Suppose  $e \mid a$  and  $e \mid b$ . Then

$$e \mid xa$$
 and  $e \mid yb \implies e \mid (xa + yb) = d$ .

It follows that d is a greatest common divisor of a and b.

# 7.6 The Algorithm

Let  $a, b \in \mathbb{Z}$  with  $|a| \ge |b|$ .

1. Form a 3-column table:

2. Initialize the first two rows as:

- 3. Note: xa + yb = e where (e, x, y) forms a row in this table.
- 4. Run the Euclidean algorithm in the left column of the table:

In particular,

$$e' = x'a + y'b$$
$$e'' = x''a + y''b$$

By the division algorithm, we can find  $k \in \mathbb{Z}$  for which e''' := e' - ke'' satisfies  $|e'''| \le |e''|$ .

Add the new bottom row

$$R''' := R' - kR''$$

to our table:

Note that the relation x'''a + y'''b = e''' holds for the new bottom row of our table too, since it holds for the second-to-bottom and third-to-bottom rows too:

$$x'''a + y'''b = (x' - kx'')a + (y' - ky'')b$$

$$= (x'a + y'b) - k(x''a + y''b) \quad \text{(regrouping terms)}$$

$$= e' - k \cdot e''$$

$$= e'''$$

5. Stop adding new rows once the bottom two rows become.

The output, i.e., the last two rows  $\Rightarrow$  By the theory of the Euclidean algorithm (which we've just run in the left (e) - column of our table),

$$d = \gcd(a, b)$$

Furthermore, since xa + yb = e for every row (e, x, y) from our table, it follows that

$$x_0 \cdot a + y_0 \cdot b = d$$

### **Problem 44**

Consider the following problems:

- Prove that  $gcd(x_1, y_1) = 1$ .
- (HARD) Prove that  $a = \pm d \cdot y_1$  and  $b = \mp d \cdot x_1$ .

# 7.7 Examples

1. Extended Euclidean algorithm for (596, 243):

2. Extended Euclidean algorithm for (3587, 1819):

e	X	У	
3587	1	0	
1819	0	1	
-51	1	-2	
34	35	-69	
-17	36	-71	
0	107	-211	

We read off:

$$\begin{cases} -17 = 36 \times 3587 + (-71) \times 1819 & \text{(from the next to last row)} \\ 3587 = 17 \times 211 \\ 1819 = 17 \times 107 \end{cases}$$

# 8 January 31, 2025

We proved:

# **Proposition 45**

Let  $a, b \in \mathbb{Z}$ . Let  $d = \gcd(a, b)$ . There exist integers  $x, y \in \mathbb{Z}$  such that

$$xa + yb = d$$
.

Not only did we prove this abstract existence statement, but we saw how to extract x, y from the output of the Extended Euclidean Algorithm.

## 8.1 Ideals in $\mathbb{Z}$

 $I = \{xayb : x, y \in \mathbb{Z}\} \subset \mathbb{Z}$  is an ideal in the ring  $\mathbb{Z}$  if and only if:

- I is closed under +, -, and  $0 \in I$ .
- $r \cdot i \in I$  for all  $i \in I$  and  $r \in \mathbb{Z}$ .

The above proposition showed that every ideal in  $\mathbb{Z}$  consists of multiples of a single element. Thus,  $\mathbb{Z}$  is a so-called principal ideal domain. More on this later.

# 8.2 An important application of the above proposition:

## Lemma 46

Let  $a, b \in \mathbb{Z}$ ,  $n \in \mathbb{Z}$  with  $n \neq 0$ . Suppose

- n | ab
- gcd(a, n) = 1.

Then  $n \mid b$ .

*Proof.* Since gcd(a, n) = 1, we can find integers x, y such that

$$1 = x \cdot a + y \cdot n$$

Multiply both sides of (f) by b:

$$b = (x \cdot a + y \cdot n) \cdot b$$
  
=  $x \cdot (ab) + (yb) \cdot n \Rightarrow b$  is a multiple of  $n$  by (i).

# 8.3 Application to primes and prime factorization

#### **Definition 47**

Let  $p \in \mathbb{Z}$ ,  $p \leq -1$ . p is prime if

$${divisors of } p = {\pm 1, \pm p}.$$

**Example 48** • Prime: 2, 3, 5, 7, 11, 13, 17, 19, . . .

• Not prime:  $4 = 2 \times 2$ ,  $6 = 2 \times 3$ ,  $9 = 3 \times 3$ ,  $91 = 13 \times 7$ 

### Fact 49

Non-prime integers are otherwise known as composite.

## 8.4 Sieve of Eratosthenes

(An algorithm to list all primes in  $\{2, 3, ..., N\}$ )

- 1. Begin with  $L = \{2, 3, ..., N\}, P = \phi$ .
- 2. Add the smallest element s of L to P and then remove s and all of its multiples from L.

3. Continue doing this until all elements are removed from L.

#### Problem 50

The final P consists of all prime numbers in  $\{2, \ldots, N\}$ .

# 8.5 Factorization into primes

### **Proposition 51**

Let  $n \in \mathbb{N}$  with  $n \neq 0$ . Then n factors as a product of primes.

*Proof.* We prove this by induction on n.

**Base case:** n = 1. Then n = 1 is the empty product of primes.

**Inductive step:** Let  $m \ge 2$ . Suppose that for  $1 \le k < m$ , k can be expressed as a product of primes.

- If m is prime, m = m expresses m as a product of 1 prime.
- If m is not prime, m = ab for some 1 < a, b < m.

Since  $1 \le a = m/b < m$  and  $1 \le b = m/a < m$ , we can express a and b as products of primes:

$$a = p_1 \dots p_j \quad p_1, \dots, p_j$$
 prime

$$b = q_1 \dots q_t \quad q_1, \dots, q_t$$
 prime

Then  $m=ab=(p_1\dots p_j)(q_1\dots q_t)$  expresses m as a product of primes, thus completing the inductive step.

It follows, by induction, that every integer  $n \geq 1$  can be expressed as a product of primes.

As an application, we can prove the infinitude of primes:

### Theorem 52

There are infinitely many primes  $p \in \mathbb{Z}$ .

*Proof.* Let  $n \in \mathbb{Z}_{>1}$ .

Consider n! + 1, where  $n! = n \times (n - 1) \times \cdots \times 2 \times 1$ .

Since n! is a product of integers from 1 to n, any prime factor p of n! + 1 must satisfy  $p \mid n! + 1$ .

Claim: p > n.

Suppose for contradiction that  $p \leq n$ .

Since  $p \le n$ , p must divide n!. Therefore,  $p \mid n!$ .

But  $p \mid n! + 1$  and  $p \mid n!$  imply  $p \mid (n! + 1) - n! = 1$ , which is a contradiction since no prime number divides 1.

Hence, p > n as claimed.

Therefore, for every  $n \in \mathbb{Z}_{>1}$ , there exists a prime number p > n. This implies that there are infinitely many primes.

# 8.6 An important characterization of primes

### Theorem 53

 $p \in \mathbb{Z}$  is prime  $\Leftrightarrow$  for all  $a, b \in \mathbb{Z}$ ,  $p \mid ab$  implies  $p \mid a$  or  $p \mid b$ .

*Proof.* ( $\Leftarrow$ ) Suppose p is not prime. Then p=ab for some  $a,b\in\mathbb{Z}$  with  $a,b\neq\pm1$ . Then  $p\mid p=ab$  but  $p\nmid a$  and  $p\nmid b$ .

 $(\Rightarrow)$  Suppose p is prime. Suppose  $p \mid ab$ . Note that

$$\left\{ \begin{array}{c} \text{common divisors} \\ \text{of } a \text{ and } p \end{array} \right\} \subset \left\{ \begin{array}{c} \text{divisors of} \\ p \end{array} \right\} = \{\pm 1, \pm p\}$$

Since  $\pm p$  are not divisors of a,

$$\left\{\begin{array}{l} \text{common divisors} \\ \text{of } a \text{ and } p \end{array}\right\} = \{\pm 1\} \text{ , i.e., } \gcd(a,p) = \pm 1$$

By our earlier key lemma, since  $p \mid ab$  and  $gcd(a, p) = \pm 1$ , it follows that  $p \mid b$ .

#### Theorem 54

Let  $p \in \mathbb{Z}$  be prime. Let  $a_1, \ldots, a_n \in \mathbb{Z}$  be integers for which  $p \mid a_1 \ldots a_n$ . Then  $p \mid a_1$  or  $p \mid a_2 \ldots a_n$ .

*Proof.* We prove this by induction on n.

**Base case:** n=2. This is the previous case, which states that if  $p\mid a_1a_2$ , then  $p\mid a_1$  or  $p\mid a_2$ .

**Inductive step:** Suppose the statement is true for some  $n \ge 2$ . That is, if  $p \mid a_1 \dots a_n$ , then  $p \mid a_1$  or  $p \mid a_2 \dots a_n$ .

We need to show that the statement is true for n+1. Suppose  $p \mid a_1 a_2 \dots a_n a_{n+1}$ . By the inductive hypothesis, applied to the product  $a_1 a_2 \dots a_n$ , we have  $p \mid a_1$  or  $p \mid a_2 \dots a_n$ .

- If  $p \mid a_1$ , we are done.
- If  $p \mid a_2 \dots a_n$ , then by the base case applied to the product  $(a_2 \dots a_n)a_{n+1}$ , we have  $p \mid a_2 \dots a_n$  implies  $p \mid a_2 \text{ or } p \mid a_3 \dots a_n$ .

Continuing this process, we eventually conclude that  $p \mid a_1$  or  $p \mid a_2$  or ... or  $p \mid a_{n+1}$ .

Therefore, by induction, the statement is true for all  $n \ge 2$ .

We use the latter characterization of primes to prove uniqueness of prime factorization.

### **Theorem 55**

Every integer  $n \neq 0$  can be written in a unique way as a product of primes.

More formally, if

$$n = p_1^{e_1} \cdots p_k^{e_k}$$
  $p_1, \dots, p_k$  distinct primes  $e_1, \dots, e_k \in \mathbb{Z}_{\geq 1}$   
 $n = q_1^{f_1} \cdots q_l^{f_l}$   $q_1, \dots, q_l$  distinct primes  $f_1, \dots, f_l \in \mathbb{Z}_{\geq 1}$ 

Then k = l and  $(q_1, \ldots, q_l)$  is a rearrangement of  $(p_1, \ldots, p_k)$ , i.e.,  $q_i = p_{\sigma(i)}$  for some bijection  $\sigma : \{1, \ldots, k\} \to \{1, \ldots, k\}$  and  $f_j = e_{\sigma(j)}$ .

*Proof.* We prove this by induction on n.

**Base case:** n = 1. n = 1 can only be factored as the empty product over primes. Thus, its factorization into primes is unique.

**Inductive step:** Let  $m \ge 2$ . Suppose every  $1 \le k < m$  can be factored uniquely as a product of primes. Suppose

$$m = p_1^{e_1} \cdots p_k^{e_k}$$
  $p_1, \dots, p_k$  distinct primes  $e_1, \dots, e_k \in \mathbb{Z}_{\geq 1}$   $m = q_1^{f_1} \cdots q_l^{f_l}$   $q_1, \dots, q_l$  distinct primes  $f_1, \dots, f_l \in \mathbb{Z}_{\geq 1}$ 

are two factorizations of m. Let  $p = p_1$ .

By (i),  $p \mid m$ . By (ii),  $p \mid m = q_1^{f_1} \cdots q_l^{f_l}$ . By our product characterization of primes, (i) implies  $p \mid q_1$  or ... or  $p \mid q_l$ .

Since the q's are prime,  $p \mid q_i$  is equivalent to  $p = q_i$ .

Thus,  $p = q_1$  or ... or  $p = q_l$ .

Suppose WLOG that  $p_1 = p = q_1$ .

Then

$$m/p = p_1^{e_1-1}p_2^{e_2}\cdots p_k^{e_k} = q_1^{f_1-1}q_2^{f_2}\cdots q_l^{f_l}$$

Continuing by the same argument (and letting  $q_1$  play the role of  $p_1$  too), we can prove that

$$p_1 = p = q_1$$

$$e_1 = f_1$$

Consider

$$m/p^{e_1}=p_2^{e_2}\cdots p_k^{e_k}$$

$$m/q_1^{f_1}=q_2^{f_2}\cdots q_l^{f_l}$$

By inductive hypothesis (since  $1 \le m/p^{e_1} < m$ ),

$$k-1=l-1$$
 
$$=(q_2,\ldots,q_l) \text{ is a rearrangement of } (p_2,\ldots,p_k) \text{ via a bijection } \sigma:\{2,\ldots,k\} \to \{2,\ldots,k\}$$
 
$$q_j=p_{\sigma(j)} \text{ for } j=2,\ldots,l$$
 
$$f_i=e_{\sigma(j)} \text{ for } j=2,\ldots,k$$

The inductive step follows from this:

$$\begin{aligned} k-1 &= l-1 \Rightarrow k = l \\ &= (q_2, \ldots, q_l) \text{ a rearrangement of } (p_2, \ldots, p_k) \text{ via } \sigma : \{2, \ldots, k\} \rightarrow \{2, \ldots, k\} \\ &\Rightarrow (q_1, \ldots, q_l) \text{ is a rearrangement of } (p_1, \ldots, p_k) \text{ via } \tilde{\sigma} : \{1, 2, \ldots, k\} \rightarrow \{1, 2, \ldots, k\} \\ \tilde{\sigma}(x) &= \begin{cases} \sigma(x) \text{ if } x \neq 1 \\ 1 \text{ if } x = 1 \end{cases} \\ f_j &= e_{\sigma(j)} \text{ for } j = 2, \ldots, k \\ &\Rightarrow f_j = e_{\sigma(j)} \text{ for } j = 1, \ldots, k \quad (\text{since } \sigma(1) = 1). \end{aligned}$$

By induction, unique factorization in  $\mathbb{Z}$  follows.