#### Control Systems - 7 Semester DCSE - Week 9

Observer based state feedback controller design

Recalling again, we know that there are 3 types of techniques to design controllers which are:

- Full-state feedback controller or state feedback controller
- Observer-based state feedback controller
- PID Controller

Recalling again, we know that there are 3 types of techniques to design controllers which are:

- Full-state feedback controller or state feedback controller
- Observer-based state feedback controller
- PID Controller

Last week, we studied (and then simulated) the design of full-state feedback controller and its pre-requisites.

Today, we will study the design and pre-requisites of observer-based state feedback controller.

What is the difference between state feedback and observer-based state feedback controller?

It depends on matrix  ${m C}$  whether it is identity matrix or not. What is meant by matrix  ${m C}$ ?

$$\frac{dx}{dt} = Ax(t) + Bu(t)$$
$$y = Cx(t) + Du(t)$$

What is meant by y = Cx + Du?

When we can measure all the state-space variables using sensors or devices, then we write the following:

$$\frac{dx}{dt} = Ax(t) + Bu(t)$$
$$y = x(t) + Du(t)$$

When we can measure all the state-space variables using sensors or devices, then we write the following:

$$\frac{dx}{dt} = Ax(t) + Bu(t)$$
$$y = x(t) + Du(t)$$

Can we measure or sense all the state-space variables?

- The sensors may be highly priced (or not economical/competetive to buy) e.g. camera in washing machine
- The sensors may require long wires and cables (or support mechanisms)
- ullet The sensors may not be highly reliable e.g. a temperate sensor may not indicate a change of  $2^o$  temperature
- The sensor may not be available in market

When we can NOT measure or sense all the state-space variables, but some of the state-space variables, then we write the following:

$$\frac{dx}{dt} = Ax(t) + Bu(t)$$
$$y = Cx(t) + Du(t)$$

When we can NOT measure or sense all the state-space variables, but some of the state-space variables, then we write the following:

$$\frac{dx}{dt} = Ax(t) + Bu(t)$$
$$y = Cx(t) + Du(t)$$

For example:

$$\begin{bmatrix} \frac{dx_1}{dt} \\ \frac{dx_2}{dt} \end{bmatrix} = A \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + Bu(t)$$
 
$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

When we can NOT measure or sense all the state-space variables, but some of the state-space variables, then we write the following:

$$\frac{dx}{dt} = Ax(t) + Bu(t)$$
$$y = Cx(t) + Du(t)$$

For example:

$$\begin{bmatrix} \frac{dx_1}{dt} \\ \frac{dx_2}{dt} \end{bmatrix} = A \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + Bu(t)$$
 
$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

If such a system is unstable, how can we stabilize it using controller?

When we can NOT measure or sense all the state-space variables, but some of the state-space variables, then we write the following:

$$\frac{dx}{dt} = Ax(t) + Bu(t)$$
$$y = Cx(t) + Du(t)$$

For example:

$$\begin{bmatrix} \frac{dx_1}{dt} \\ \frac{dx_2}{dt} \end{bmatrix} = A \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + Bu(t)$$
$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

If such a system is unstable, how can we stabilize it using controller? Observer-based state feedback controller may be the possible solution in such a scenario

#### Observer based state feedback controller

There are 3 pre-requisites to full-fill before we can proceed to design of observerbased state feedback controller.

- Matrix C must NOT be equal to identity and matrix D must be equal to zero (or absent)
- The system must pass controllability test.
- The system must pass observability test.

#### Observer based state feedback controller

There are 3 pre-requisites to full-fill before we can proceed to design of observerbased state feedback controller.

- Matrix C must NOT be equal to identity and matrix D must be equal to zero (or absent)
- The system must pass controllability test.
- The system must pass observability test.

The first 2 pre-requisites seem easy or familiar but what is observability test. Let us study observability test.

# Pre-req 3: Observability Test

A system is observable or it passes observability test if the following criteria is satisfied:

- ullet First, determine the order of the system and call it n.
- ullet Second, using n, construct matrix Q follows:

$$Q = \begin{bmatrix} C \\ CA \\ CA^2 \\ \vdots \\ CA^{n-1} \end{bmatrix}$$
 (1)

- ullet Third, compute rank of matrix Q
- ullet Finally, check if rank of matrix Q is equal to n or not.

If rank(Q) = n, then the system is observable and we can proceed to design of controller, otherwise STOP. No controller can be designed.

#### Observer Design

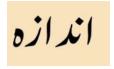
An observer is also called estimator - it estimates the unmeasured state-space variables.

What is estimate called in urdu?

## Observer Design

An observer is also called estimator - it estimates the unmeasured state-space variables.

What is estimate called in urdu?



So, if you are doing Andaza, it must be good andaza. In control systems literature, good andaza means observer must be stable.

#### Example

Check whether do we need to design a controller for the following system:

$$egin{bmatrix} \left[rac{dx_1}{dt}
ight] = \left[egin{matrix} 2 & 3 \ 0 & 5 \end{matrix}
ight] \left[egin{matrix} x_1 \ x_2 \end{matrix}
ight] + \left[egin{matrix} 1 \ 2 \end{matrix}
ight] u(t) \ y = \left[egin{matrix} 1 & 0 \end{matrix}
ight] \left[egin{matrix} x_1 \ x_2 \end{matrix}
ight] \end{split}$$

If we need a controller, identify which controller to design, and then design it and place the eigenvalues at (-3, -5). If you need observer, then place observer eigen values at (-10, -20).

# Checking Stability to know whether we require a controller

First, we check stability of this system. The eigenvalues of this system can be obtained from  $det(\lambda I-A)=0$ 

# Checking Stability to know whether we require a controller

First, we check stability of this system. The eigenvalues of this system can be obtained from  $det(\lambda I - A) = 0$ 

$$det(\lambda I - A) = det \begin{bmatrix} \lambda & 0 \\ 0 & \lambda \end{bmatrix} - \begin{bmatrix} 2 & 3 \\ 0 & 5 \end{bmatrix}$$
$$= det \begin{bmatrix} \lambda - 2 & -3 \\ 0 & \lambda - 5 \end{bmatrix}$$
$$= (\lambda - 2)(\lambda - 5) - (0)(-3)$$
$$= (\lambda - 2)(\lambda - 5) - (0)$$
$$= (\lambda - 2)(\lambda - 5)$$

The eigenvalues of matrix A are at 2 and 5, which indicates it is an unstable system.

## Deciding controller type

Now, which controller to choose?

$$\begin{bmatrix} \frac{dx_1}{dt} \\ \frac{dx_2}{dt} \end{bmatrix} = \begin{bmatrix} 2 & 3 \\ 0 & 5 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1 \\ 2 \end{bmatrix} u(t)$$
$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

## Deciding controller type

Now, which controller to choose?

$$\begin{bmatrix} \frac{dx_1}{dt} \\ \frac{dx_2}{dt} \end{bmatrix} = \begin{bmatrix} 2 & 3 \\ 0 & 5 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1 \\ 2 \end{bmatrix} u(t)$$
$$y = \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

As matrix C is NOT equal to identity matrix, we proceed to design of observer-based state feedback controller.

Let us compute now pre-requisite number  ${\bf 2}$  which is the controllability test.

In this case n =

Let us compute now pre-requisite number 2 which is the controllability test.

In this case n=2, we matrix P would have the following shape:

$$P = \begin{bmatrix} B & AB \end{bmatrix}$$

Let us compute now pre-requisite number 2 which is the controllability test.

In this case n=2, we matrix P would have the following shape:

$$P = \begin{bmatrix} B & AB \end{bmatrix}$$

$$P = \begin{bmatrix} 1 & 8 \\ 2 & 10 \end{bmatrix}$$

$$det(P) = -6$$

As determinant P is non-zero, so rank(P)=2, and it passes controllability test.

Let us compute now pre-requisite number 2 which is the controllability test.

In this case n=2, we matrix P would have the following shape:

$$P = \begin{bmatrix} B & AB \end{bmatrix}$$

$$P = \begin{bmatrix} 1 & 8 \\ 2 & 10 \end{bmatrix}$$

$$det(P) = -6$$

As determinant P is non-zero, so  $rank(P)=\mathbf{2}$ , and it passes controllability test.

Let us proceed to Observability Test.

# Prerequisite 3 - Observability Test

Let us compute now pre-requisite number 3 which is the observability test.

In this case n=

# Prerequisite 3 - Observability Test

Let us compute now pre-requisite number 3 which is the observability test.

In this case n=2, we matrix Q would have the following shape:

$$Q = \begin{bmatrix} C \\ CA \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 2 & 3 \end{bmatrix} \tag{2}$$

$$det(Q) = 3$$

As determinant Q is non-zero, so rank(Q)=2, and it passes observability test.

# Prerequisite 3 - Observability Test

Let us compute now pre-requisite number 3 which is the observability test.

In this case n=2, we matrix Q would have the following shape:

$$Q = \begin{bmatrix} C \\ CA \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 2 & 3 \end{bmatrix} \tag{2}$$

$$det(Q) = 3$$

As determinant Q is non-zero, so rank(Q)=2, and it passes observability test.

Let us proceed to design of controller now.

# Design Steps - Observer Design

To design controller, first we need to design observer and then state feedback controller as follows:

#### Observer:

- ullet Construct matrix L whose size is transpose the size of C
- ullet Populate matrix L with elements starting from  $l_1$ ,  $l_2$  and so on
- ullet Post-multiply C with L to obtain LC, and then compute det(sI-(A-LC))
- Obtain the desired characteristic equation for observer and compare coefficients to obtain the values of  $l_1$ ,  $l_2$ , and so on

## Design Steps - Controller Design

#### State feedback Controller:

- ullet Construct matrix K whose size is transpose the size of B
- ullet Populate matrix K with elements starting from  $k_1$ ,  $k_2$  and so on
- ullet Pre-multiply B with K to obtain BK, and then compute det(sI-(A-BK))
- Obtain the desired characteristic equation and compare coefficients to obtain the values of  $k_1$ ,  $k_2$ ,  $k_3$  and so on

$$L = egin{bmatrix} l_1 \ l_2 \end{bmatrix}$$

$$egin{aligned} L &= egin{bmatrix} l_1 \ l_2 \end{bmatrix} \ LC &= egin{bmatrix} l_1 & 0 \ l_2 & 0 \end{bmatrix} \end{aligned}$$

$$L = \begin{bmatrix} l_1 \\ l_2 \end{bmatrix}$$

$$LC = \begin{bmatrix} l_1 & 0 \\ l_2 & 0 \end{bmatrix}$$

$$A - LC = \begin{bmatrix} 2 - l_1 & 3 \\ -l_2 & 5 \end{bmatrix}$$

$$sI - (A - LC) = \begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} - \begin{bmatrix} 2 - l_1 & 3 \\ -l_2 & 5 \end{bmatrix}$$

$$sI - (A - LC) = \begin{bmatrix} l_1 + s - 2 & -3 \\ l_2 & s - 5 \end{bmatrix}$$

$$sI-(A-LC)=egin{bmatrix} s-2+k_1 & -3+k_2 \ 2k_1 & 2k_2+s-5 \end{bmatrix}$$
  $det(sI-(A-LC))=$ 

$$sI - (A - LC) = \begin{bmatrix} s - 2 + k_1 & -3 + k_2 \\ 2k_1 & 2k_2 + s - 5 \end{bmatrix}$$
$$det(sI - (A - LC)) = s^2 + (l_1 - 7)s + (3l_2 - 5l_1 + 10)$$

Now lets compare it with desired characteristic equation:

$$(s+10)(s+20) = s^2 + 30s + 20$$

Compare coefficients to obtain values of  $l_1$  and  $l_2$ .

$$K = \begin{bmatrix} k_1 & k_2 \end{bmatrix}$$

$$K = \begin{bmatrix} k_1 & k_2 \end{bmatrix}$$
 
$$BK = \begin{bmatrix} k_1 & k_2 \\ 2k_1 & 2k_2 \end{bmatrix}$$

$$BK = \begin{bmatrix} k_1 & k_2 \\ 2k_1 & 2k_2 \end{bmatrix}$$

$$A - BK = \begin{bmatrix} 2 - k_1 & 3 - k_2 \\ 0 - 2k_1 & 5 - 2k_2 \end{bmatrix}$$

$$sI - (A - BK) = \begin{bmatrix} s & 0 \\ 0 & s \end{bmatrix} - \begin{bmatrix} 2 - k_1 & 3 - k_2 \\ 0 - 2k_1 & 5 - 2k_2 \end{bmatrix}$$

$$sI - (A - BK) = \begin{bmatrix} s - 2 + k_1 & -3 + k_2 \\ 2k_1 & 2k_2 + s - 5 \end{bmatrix}$$

 $K = \begin{bmatrix} k_1 & k_2 \end{bmatrix}$ 

$$sI - (A - BK) = \begin{bmatrix} s - 2 + k_1 & -3 + k_2 \\ 2k_1 & 2k_2 + s - 5 \end{bmatrix}$$
 
$$det(sI - (A - BK)) =$$

$$sI - (A - BK) = \begin{bmatrix} s - 2 + k_1 & -3 + k_2 \\ 2k_1 & 2k_2 + s - 5 \end{bmatrix}$$
$$det(sI - (A - BK)) = s^2 + (k_1 + 2k_2 - 7)s + (-4k_2 + 10)$$

Now lets compare it with desired characteristic equation:

$$(s+3)(s+5) = s^2 + 8s + 15$$

Compare coefficients to obtain values of  $k_1$  and  $k_2$ .