# Knowledge Distillation Using Early Exit LLMs

Experiments on Confidence Scoring

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CS 769

Optimization in Machine Learning

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#### Overview

- 1. Knowledge Distillation
- 2. KD with early exits
- 3. Early-Exit with Nested Prediction Sets
- 4. Fixing overconfidence in Dynamic Neural Networks

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- 1. Knowledge Distillation
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 Introduced in [Hinton et al., 2015]

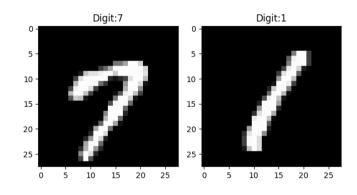
Large Cumbersome Models are difficult to deploy need to train smaller models efficiently

- Introduced in [Hinton et al., 2015]
- Student teacher models

Smaller Student model tries to mimic larger teacher model that generalises well

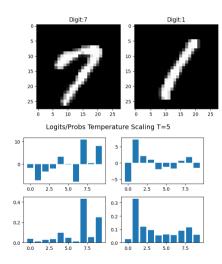


- Introduced in [Hinton et al., 2015]
- Student teacher models
- Teacher Provides "Soft Targets"



$$q_i = \frac{exp(z_i/T)}{\sum_j exp(z_i/T)}$$

- Introduced in [Hinton et al., 2015]
- Student teacher models
- Teacher Provides "Soft Targets"
- Loss: kl divergence + cross-entropy



### Section Overview

1. Knowledge Distillation

2. KD with early exits

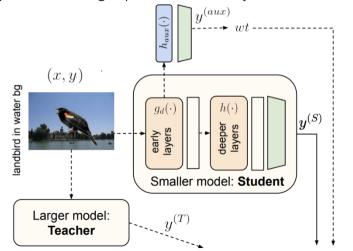
Early-Exit with Nested Prediction Sets

4. Fixing overconfidence in Dynamic Neural Networks

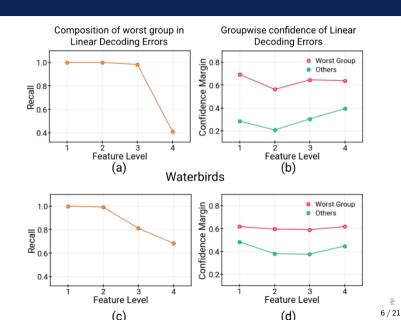
Proposed by [Tiwari et al., 2024]

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- Student model relies on spurious correlations

Smaller Models trained via KD rely more on spurious correlations than the teacher model, which leads to Poor performance on group fairness metrics by the student



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- Student model relies on spurious correlations
- Student's early Layers overconfident on hard instances



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- DEDIER training

$$\mathcal{L}_{ extit{student}} = \sum_{D_{ extit{w}}} (1 - \lambda) \cdot \emph{I}_{ extit{ce}} + \lambda \cdot exttt{wt} \cdot \emph{I}_{ extit{ke}}$$

where  $\mathbf{wt} = \exp^{\beta.\mathbf{cm}.\alpha}$  and  $\mathbf{cm}(\mathbf{p}) = \mathbf{p_{max}} - \max_{\mathbf{p_k} \in \mathbf{p} - \mathbf{p_{max}}} \mathbf{p_k}$ 

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CelebA

- DEDIER training
- Experiments



MultiNLI

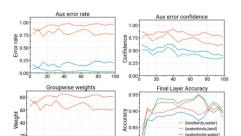
Waterbirds

- Proposed by [Tiwari et al., 2024]
- Student model relies on spurious correlations
- Student's early Layers overconfident on hard instances
- DEDIER training
- Experiments

#### Compared to some other methods

Waterbirds groups	Teacher	DeTT	SimKD	DEDIER
(waterbird, water bg)	94.3	$92.6 \pm 0.70$	$89.4 \pm 0.06$	$94.1 \pm 0.86$
(landbird, land bg)	91.6	$90.0 \pm 0.06$	$92.1 \pm 0.46$	89.8 ± 0.46
(waterbird, land bg)	91.7	$\boxed{88.3 \pm 0.81}$	$71.4 \pm 2.15$	$92.1 \pm 0.40$
(landbird, water bg)	91.4	$88.8 \pm 1.70$	$84.6 \pm 0.79$	$90.6 \pm 0.67$
CelebA groups				
(blond, female)	94.3	$92.6 \pm 1.14$	$92.2 \pm 0.46$	$92.7 \pm 1.48$
(non-blond, male)	92.9	$92.3 \pm 0.58$	$93.0 \pm 0.46$	$93.2 \pm 0.42$
(non-blond, female)	92.1	$93.0 \pm 0.78$	$93.2 \pm 0.35$	$93.1 \pm 0.52$
(blond, male)	90.0	$89.5 \pm 0.71$	$89.0 \pm 0.35$	89.6 ± 1.96

#### Adaptive to the dataset



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[Jazbec et al., 2024]
 AnytimeValidConfidenceSequences(AVCS)

[Jazbec et al., 2024] propose using AnytimeValidConfidence Sequences(AVCS) for uncertainity estimation in Early Exit Networks

$$C_t = (I_t, r_t) \subseteq \mathbb{R}$$

- [Jazbec et al., 2024] Anytime Valid Confidence Sequences (AVVS),  $U_{1:T}; \mathcal{D}$ ) =  $\sum_{r=1}^{N} \frac{1}{T} \sum_{t=1}^{T} \ell(y_n, f(x_n; W_t, U_{1:t}))$
- AVCS has time uniform and non - asymptotic guarantees

$$\operatorname{size}(t) := \frac{1}{n_{\operatorname{test}}} \sum_{n=1}^{n_{\operatorname{test}}} |\mathcal{C}_t(x_n)|$$

$$\mathsf{coverage}(t) := \frac{1}{n_{\mathsf{test}}} \sum_{n=1}^{n_{\mathsf{test}}} \left[ y_n \in \mathcal{C}_t(\mathsf{x}_n) \right]$$

$$\mathcal{N}(t) = |\bigcap_{s \leq t} \mathcal{C}_s|/|\mathcal{C}_t|$$

$$\mathbb{P}(\forall t, \, \theta^* \in \mathcal{C}_t) \geq 1 - \alpha$$

- [Jazbec et al., 2024]
   AnytimeValidConfidenceSequences(AVCS)
- AVCS has time uniform and non - asymptotic guarantees
- Martingales And Ville's theorem

$$\mathbb{E}_{x_{t+1}}[R_{t+1}(\theta^*) | x_1, \dots, x_t] = R_t(\theta^*)$$

$$\mathbb{P}(\exists t : R_t(\theta^*) \ge 1/\alpha) \le \alpha$$

$$\mathcal{C}_t := \{\theta : R_t(\theta) \le 1/\alpha\}$$

- [Jazbec et al., 2024]
   AnytimeValidConfidenceSequences(AVCS)
- AVCS has time uniform and non - asymptotic guarantees
- Martingales And Ville's theorem
- Predictive-likelihood ratio

Idea is to create a sequence of martingales for each exit layer and then use Ville's theorem to construct AVCS.

$$R_t^*(y) = \prod_{l=1}^t \frac{p_l(y|x^*, \mathcal{D})}{p(y|x^*, W_l)}, W_l \sim p(W_l|D^*)$$

- [Jazbec et al., 2024]
   AnytimeValidConfidenceSequences(AVCS)
- AVCS has time uniform and non - asymptotic guarantees
- Martingales And Ville's theorem
- Predictive-likelihood ratio
- AVCS for Regression

$$y \sim \mathcal{N}\left(y; h_t(x)^T W_t, \sigma_t^2\right),$$

$$W_t \sim \mathcal{N}(W_t; \hat{W}_t, \sigma_{w,t}^2 \mathbb{I}_H)$$

$$p(W_t | \mathcal{D}) = \mathcal{N}(W_t; \mu_t, \Sigma_t),$$

$$p_t(y | x^*, \mathcal{D}) = \mathcal{N}(y; h_t(x^*)^T \mu_t, v_* + \sigma_t^2)$$

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- AVCS for Regression
- AVCS for Classification

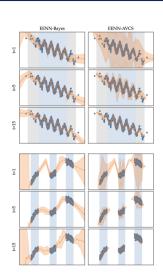
$$\begin{aligned} \rho(y|\pi_t) &= \mathsf{Cat}(y|\pi_t), \\ \rho(\pi_t|x^*, \mathcal{D}) &= \mathsf{Dir}(\pi_t|\alpha_t(x^*; \mathcal{D})) \\ \rho_t(y = y|x^*, \mathcal{D}) &= \int \rho(y = y|\pi_t) \, \rho(\pi_t|x^*, \mathcal{D}) \, d\pi_t \\ &= \frac{\alpha_{t,y}}{\sum_{y' \in \mathcal{Y}} \alpha_{t,y'}} \end{aligned}$$

- [Jazbec et al., 2024]
   AnytimeValidConfidenceSequences(AVCS)
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- Post-Hoc Implementation

$$a_t(x) = \mathsf{ReLU}(x, \tau_t)$$

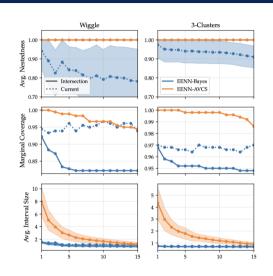
#### **Evaluations**

$$egin{aligned} \mathcal{C}_t &= (I_t, r_t) \subseteq \mathbb{R} \ \mathcal{L}(W_{1:T}, U_{1:T}; \mathcal{D}) &= \ \sum_{n=1}^N rac{1}{T} \sum_{t=1}^T \ell(y_n, f(x_n; W_t, U_{1:t})) \ & ext{size}(t) := rac{1}{n_{ ext{test}}} \sum_{n=1}^{n_{ ext{test}}} |\mathcal{C}_t(x_n)| \ & ext{coverage}(t) := rac{1}{n_{ ext{test}}} \sum_{n=1}^{n_{ ext{test}}} |y_n \in \mathcal{C}_t(x_n)| \ & ext{} \mathcal{N}(t) = |\bigcap_{s \leq t} \mathcal{C}_s|/|\mathcal{C}_t| \ & ext{} \mathbb{P}(\forall t, \, \theta^* \in \mathcal{C}_t) \geq 1 - lpha \end{aligned}$$



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#### **Evaluations**

$$\mathcal{L}(W_{1:T}, U_{1:T}; \mathcal{D}) = \sum_{n=1}^{N} \frac{1}{T} \sum_{t=1}^{T} \ell(y_n, f(x_n; W_t, U_{1:t}))$$

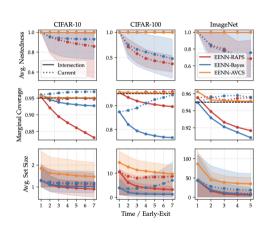
$$\text{size}(t) := \frac{1}{n_{\text{test}}} \sum_{n=1}^{n_{\text{test}}} |\mathcal{C}_t(x_n)|$$

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$$\mathcal{N}(t) = |\bigcap_{s \le t} \mathcal{C}_s|/|\mathcal{C}_t|$$

$$\mathbb{P}(\forall t, \theta^* \in \mathcal{C}_t) \ge 1 - \alpha$$

 $C_t = (I_t, r_t) \subseteq \mathbb{R}$ 



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# Fixing overconfidence in Dynamic Neural Networks

#### [Meronen et al., 2023]

- To decrease computational cost, we do not want to run network for more layers that required for the specific task
- To be able to know where to stop, the model needs good uncertainty estimates
- The paper aims to improve uncertainty estimates for a model

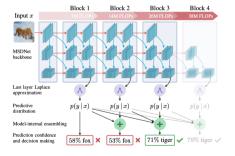


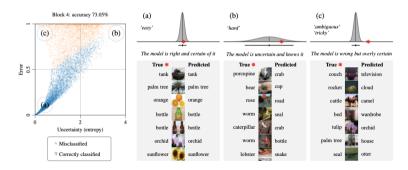
Figure: Increasing depth of dynamic neural network

# Background

- Investigates image classification under budget restrictions  $\mathcal{D}_{\text{train}} = \{(\mathbf{x}_i, \mathbf{y}_i)\}_{i=1}^{n_{\text{train}}}$
- Budget B (FLOPs) must be distributed across a batch for highest possible accuracy
- With  $n_{block}$  intermediate classifiers, the predictive distribution:  $p_k(\hat{\mathbf{y}}_i \mid \mathbf{x}_i), \ k = 1, 2, ..., n_{block}$
- Feature representation on the last linear layer  $\phi_{i,k} = f_k(\mathbf{x}_i)$  with parameters  $\theta_k = \{\mathbf{W}_k, \mathbf{b}_k\}$
- Prediction of layer k:  $p_k(\hat{\mathbf{y}}_i \mid \mathbf{x}_i) = \operatorname{softmax}(\hat{\mathbf{z}}_{i,k}), \text{ where } \hat{\mathbf{z}}_{i,k} = \mathbf{W}_k \phi_{i,k} + \mathbf{b}_k$

# Aleatoric and epistemic uncertainty

- Aleatoric uncertainty is related to randomness intrinsic to the task at hand and cannot be reduced.
- ullet Epistemic uncertainty is related to our knowledge of the task and can be reduced by learning more about the task o more data



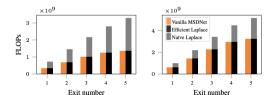
# Bayesian treatment of parameters

$$p(\boldsymbol{\theta} \mid \mathcal{D}_{\mathsf{train}}) = \frac{p(\mathcal{D}_{\mathsf{train}} \mid \boldsymbol{\theta}) \, p(\boldsymbol{\theta})}{\int_{\boldsymbol{\theta}} p(\mathcal{D}_{\mathsf{train}}, \boldsymbol{\theta}) \, d\boldsymbol{\theta}} = \frac{[\mathsf{likelihood}] \times [\mathsf{prior}]}{[\mathsf{model evidence}]}$$

- Posterior distribution over the model parameters is intractable in deep learning
- Laplace approximation (second order Taylor expansion)
- MAP estimate can be found by maximising the unnormalised posterior:

$$\hat{m{ heta}} = rg \max_{m{ heta}} \log p(\mathcal{D}_{\mathsf{train}} \mid m{ heta}) + \log p(m{ heta})$$

$$p(oldsymbol{ heta} \mid \mathcal{D}_{\mathsf{train}}) pprox \mathcal{N}(\hat{oldsymbol{ heta}}, \mathbf{H}^{-1})$$



#### Method

- Last layer Laplace approximation for each intermediate classifier of the DNN
- Final prediction:

$$\hat{\mathbf{y}}_i = rac{1}{n_{\mathsf{MC}}} \sum_{l=1}^{n_{\mathsf{MC}}} \mathsf{softmax}(\hat{\mathbf{z}}_i^{(l)})$$

Laplace implementation has cost:

$$FLOPs_{efficient} = 2cn_{MC} + 2p^2 + 5p + 2$$

(c: number of classes, p: feature dimensionality, n: number of MC samples)

Gaussian distribution:

$$p(\hat{\mathbf{z}}_i \mid \mathbf{x}_i) = \mathcal{N}(\hat{\mathbf{W}}_{\mathsf{MAP}}^{\top} \hat{\boldsymbol{\phi}}_i, (\hat{\boldsymbol{\phi}}_i^{\top} \mathbf{V} \hat{\boldsymbol{\phi}}_i) \mathbf{U})$$
  
 $\mathbf{V}^{-1} \otimes \mathbf{U}^{-1} = \mathbf{H}^{-1}$ 

Samples:

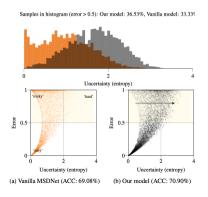
$$\hat{\mathbf{z}}_i^{(I)} = \hat{\mathbf{W}}_{\mathsf{MAP}}^{\top} \hat{\boldsymbol{\phi}}_i + (\hat{\boldsymbol{\phi}}_i^{\top} \mathbf{V} \hat{\boldsymbol{\phi}}_i)^{\frac{1}{2}} (\mathsf{Lg}^{(I)})$$

 $\mathbf{g}^{(\prime)} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  and  $\mathbf{L}$  is the Cholesky factor of  $\mathbf{U}$ 

• Temperature scaling is recommended for well-calibrated predictions

# Uncertainty

- The uncertainty should be high for the model to be able to recognize these samples as 'tricky', and continue their evaluation to the next block.
- For paper model these samples have a high uncertainty, while the vanilla MSDNet is overconfident.



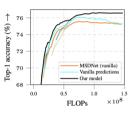
# Ensamble prediction

$$\rho_k^{\mathsf{ens}}(\hat{\mathbf{y}}_i \mid \mathbf{x}_i) = \frac{1}{\sum_{l=1}^k w_l} \sum_{m=1}^k w_m \, \rho_m(\hat{\mathbf{y}}_i \mid \mathbf{x}_i)$$

Weights w are the computational costs in FLOPs up to classifier m

- Early exiting decisions based on model predicted confidence (referred to as MIE)
- Thresholds for exiting are calculated on the validation set. Different for every layer (not included in paper)

#### Results



	$(n_{ m train},d,c,n_{ m batch})$	CIFAR-100 (50000, 3072, 100, 64)				
		Top-1 ACC ↑	Top-5 ACC ↑	NLPD $\downarrow$	ECE ↓	
Small	$\begin{array}{l} \text{MSDNet (vanilla)} \\ + \text{Laplace } T_{\text{opt}} \ \sigma_{\text{opt}} \\ + \text{MIE} \\ + \text{MIE Laplace } T_{\text{opt}} \ \sigma_{\text{opt}} \end{array}$	69.25 $69.06$ $-0.19$ $69.97$ $+0.72$ $69.84$ $+0.59$	$\begin{array}{c} 90.48 \\ 90.58 + 0.10 \\ 90.88 + 0.40 \\ 91.09 + 0.61 \end{array}$	$\begin{array}{c} \textbf{1.498} \\ \textbf{1.208} - 0.289 \\ \textbf{1.218} - 0.280 \\ \textbf{1.133} - 0.364 \end{array}$	$\begin{array}{c} 0.182 \\ 0.073 - 0.109 \\ 0.080 - 0.109 \\ \textbf{0.017} - 0.16 \end{array}$	
Medium	MSDNet (vanilla) + Laplace $T_{\text{opt}}$ $\sigma_{\text{opt}}$ + MIE + MIE Laplace $T_{\text{opt}}$ $\sigma_{\text{opt}}$	$74.12 \\ 73.92 \underset{-0.20}{-0.20} \\ \textbf{75.03} \underset{+0.91}{+0.91} \\ 74.99 \underset{+0.86}{+0.86}$	$\begin{array}{c} 91.94 \\ 92.01 +0.06 \\ 92.97 +1.03 \\ 93.23 +1.29 \end{array}$	$\begin{array}{c} \textbf{1.549} \\ \textbf{1.070} - 0.479 \\ \textbf{1.011} - 0.538 \\ \textbf{0.944} - 0.605 \end{array}$	$\begin{array}{c} 0.190 \\ 0.083 - 0.100 \\ 0.050 - 0.140 \\ \textbf{0.026} - 0.16 \end{array}$	
Large	MSDNet (vanilla) + Laplace $T_{\text{opt}} \sigma_{\text{opt}}$ + MIE + MIE Laplace $T_{\text{opt}} \sigma_{\text{opt}}$	$75.36$ $75.32_{-0.05}$ $76.32_{+0.95}$ $76.34_{+0.98}$	92.78 92.83 +0.05 93.50 +0.72 93.84 +1.05	1.475 $0.996 - 0.479$ $0.949 - 0.525$ $0.885 - 0.590$	0.178 $0.075 - 0.103$ $0.061 - 0.113$ $0.025 - 0.15$	

Figure: Enter Caption

- Improving confidence margins in teacher model makes for more efficient knowledge transfer in early exit training
- Implementing confidence margins in student model can increase accuracy

#### For our use

- Improving confidence margins in teacher model makes for more efficient knowledge transfer in early exit training
- Implementing confidence margins in student model can increase accuracy

#### References

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# **Thank You**