



3rd Party Contractor Request

Please return the completed forms to HR contractor.checks@fca.org.uk.

The SLA for completing this process is **2 working days**.

3 rd Party Contractor Details			
Start Date* (Mandatory)	17-Oct-2016	Mobile contact number (Optional)	+919600082544
Title (Mr, Mrs, Dr, Miss)* (Mandatory)	Mr	Home contact number (Optional)	
Name* (Mandatory)	Hari Parthasarathy	Preferred name (Optional)	
Home Address* (Mandatory)	F2-A Block, Jayam Kaveri Lakshmi Homes, 5 th main road, Balaji Nagar Ext, New Perungalathur, Chennai-600063, Tamilnadu, INDIA		
Personal e-mail (Optional)	hari.parthasarathy@cognizant.com		

Company Name* (<i>Fujitsu, Steria etc</i>)	Cognizant	End date* (<i>No longer than 6 months</i>) (Mandatory)	16-Apr-2017
Job Title (<i>Admin, Associate etc</i>)	Senior Associate	Job Family (<i>REG B, TS D, L&F C etc</i>)	
Full time/part time*	Full Time	Access to FCA network*	Yes
Working Hours*	8am-6pm		
Organisation/Department*	IS & PMG BTS	Cost Code*	
Team*	Sourcing Programme	Village*	12 SE
Location	25 The North Colonnade, Canary Wharf, London, E14 5HS	FCA Line Manager*	Daniel Tallon

* **Mandatory** fields – please make sure all mandatory fields are completed to avoid any delays.

All 3rd Party Contractors/Consultants or Work Experience people working at the FCA will be required to complete and return security forms to and undergo appropriate checks before they are permitted access to the FCA building and/or systems.

The security check forms can also be found on the link below:

<http://myfcahub/how-to/communicate/a-to-z-of-forms>

[Consumer Communications Commissioning form](#)
[Copying request](#)
[Cost Code Request](#)
[Contractor request form](#)
[Contractors security forms](#)
[Courier Request form](#)

CONFIDENTIALITY UNDERTAKING FOR CONTRACTORS

to be signed by Individual

I acknowledge that, in the course of the work which the FCA has requested to be carried out, I may obtain information (whether or not in documentary form) relating to the FCA and its internal affairs, and information about third parties (together, the "Information").

In consideration of you appointing me to carry out this work, I undertake at all times:

- a) to comply with the requirements of all applicable legislation, including (without limitation) the Data Protection Act 1998 and the confidentiality requirements of the Financial Services and Markets Act 2000;
- b) to keep the Information absolutely confidential, and without the FCA's prior written consent not to store it (or any part of it), make any copies of it (or any part of it), or disclose it (or any part of it) to any person, save where the FCA confirms to me in writing that this is strictly necessary to perform the work which the FCA has asked to be carried out;
- c) not to use the Information (or any part of it) otherwise than for the purpose of fulfilling my contractual obligations to the FCA or (if applicable) to any other firm which has subcontracted this work to me;
- d) to take all reasonable steps to ensure that no other person gains access to the Information that I may obtain (or any part of it), and to inform the FCA immediately upon learning that any person who is not duly authorised has gained access to it (or any part of it); and
- e) to return the Information, including any copies:
 - (i) as soon as possible following, and in any event with 5 working days of, a request from the FCA that the Information be returned; and in any event
 - (ii) Immediately on completion of the work which the FCA has requested be carried out.

I accept that these obligations will continue to apply even when the work is completed.

I understand that any breach of these provisions, or any other action that is likely to bring the FCA into disrepute or to cause financial loss, will render me liable to appropriate disciplinary action, which may result in termination of my contract with the FCA.

In addition, I acknowledge that where by virtue of any applicable legislation (including, without limitation, section 348 of the Financial Services and Markets Act 2000) disclosure of the Information (or any part of it) is a criminal offence, and that I may be liable to prosecution if I make any disclosure in breach of that legislation.

Signed.....*[Signature]*.....

[print name].....**HARI PARTHASARATHY**.....

Company/Firm.....**COGNIZANT**..... Self Employed Yes ☐ No ☒

Subcontracted by (if relevant).....*[initials]*.....

Date:.....**23-SEP-2016**.....

Questionnaire and Declaration

Full Name :

HARI PARTHASARATHY

(must include middle name(s) if any)

Previous Surname:

(i.e. maiden or previous married name or change of name, if any)

Address

(incl post code)

F2, A-BLOCK, JAYAM KAVERI LAKSHMI TOWNS, 5TH MAIN ROAD, BALAJI NAGAR EXT, NEKKER PERUNGALATHUR CHENNAI - 600063, TAMILNADU, INDIA

Date of Birth

19-NOV-1982

Gender

☒ Male/Female

National Insurance No.:

Please answer the following questions. We require this information prior to your being able to work at the FCA

If YES, please provide details on a separate sheet.

While working at the FCA, would it be your intention to start or continue any external business interests (paid or unpaid)?

Y

☒ N

Have you ever previously been refused work by the FCA or any other financial regulator?

Y

☒ N

During the course of any previous employment, has there been any complaint made against you by a consumer about the provision of any form of financial service?

Y

☒ N

Are there any outstanding County Court judgements in your name?

Y

☒ N

Have you ever been adjudicated bankrupt?

Y

☒ N

Have you ever been convicted of a criminal offence (other than convictions which are spent for the Rehabilitation of Offenders Act 1974)?

Y

☒ N

Have you ever been dismissed from any employment for reasons other than redundancy?

Y

☒ N

Please circle your answers

DECLARATION AND AUTHORISATION

I confirm that to the best of my belief the information provided is true and complete. I understand that working at the FCA is conditional upon security checks from Shared Information System (SIS) concerning regulatory infringement. I understand that any misleading statement, or deliberate omission or deception may result in the cancellation of my services to the FCA.

For the purposes of the Data Protection Act 1998 you consent to the holding, processing and accessing of personal data and sensitive personal data provided to the FCA as set out in the Schedule A attached to this declaration.

Signed

[Signature]

must be signature and not typed

Date

23-SEP-2016

Hari Parthasarathy

Senior Associate – Projects

+91- 9600082544

SUMMARY

- **Total of 9.9 years of IT experience, extensively worked in Banking & Financial and Life science domains**
- **3 Plus years of experience in development of reports and dashboards in Tableau Desktop and deploying the same in Tableau server**
- **Experienced in creating Adhoc reports to users in Tableau by connecting various data sources and publishing workbooks by creating user filters for the appropriate teams.**
- **Good at designing and deploying rich graphical visualizations with Drill Down and Drop Down menu options and Parameterized using Tableau**
- **Involved in Offshore - Onsite co-ordination and demonstrated proficiency in leading and mentoring individuals to maximize the levels of productivity, while forming a cohesive team environment**

TECHNICAL SKILLS

Programming Languages	Tableau 9.2, Oracle PL/SQL 9i, SQL Server 2008
Database Tools	Oracle 9i, SQL Sever 2008
Tools & Applications	Tableau 9.2

EDUCATION

Degree	College/University
Master Of Computer Applications	Bishop Heber College, Bharathidasan University, Trichy
Bachelor Of Computer Applications	Bishop Heber College, Bharathidasan University, Trichy

EXPERIENCE SUMMARY

PROJECT PROFILE 1

Project Title	WFA QA Dashboard
Client	THE WORLD BANK IFC
Duration	Jun 2015 – Aug 2016
Programming Languages & Tools	Tableau 9.2

ROLES AND RESPONSIBILITIES IN THE PROJECT

- Responsible for interaction with business stake holders, gathering requirements and managing the delivery.
- Created customized and interactive dashboards using calculations, context Filters and parameters which **involves multiple data sources**
- Used Global Parameters and Global filters to **dynamically authenticate** the user based on USERNAME function in Tableau
- Published dashboards and **defined user access and privileges** for Dashboards in Tableau Server
- Created a standalone dashboard (landing page) which contains users and the availability of accessing the links to other dashboards.
- Proposed a solution of merging dashboards in to a single dashboard which was implemented successfully and **connected to Tableau server** to publish dashboard

PROJECT PROFILE 2

Project Title	Banking Pulse
Client	EIM - BFS - SGO Analytics
Duration	Jun 2014 – May 2015
Programming Languages and Tools	SQL Server 2012 R2, Tableau 9.0

ROLES AND RESPONSIBILITIES IN THE PROJECT

- Involved in requirement gathering analysis for the look and feel along with the layout of the application to be developed using Tableau
- Created Rich dashboards using Tableau and prepared user stories to create compelling dashboards to deliver actionable insights.

- Developed visualizations using Parameters, Calculated Fields, Filtering, Parameter driven analysis and Created Extract's & updated on timely basis as database updates
- Extensive knowledge in various reporting objects like Facts, Attributes, Hierarchies, Filters, Calculated fields, Sets, Groups, Parameters in Tableau.
- Generated context filters and used performance actions while handling huge volume of data sets
- Published Workbooks by creating user filters so that only appropriate users can view it

PROJECT PROFILE 3

Project Title	Demantra Calendar Change
Client	GLOBALFOUNDRIES
Duration	May 2013 – Apr 2014
Location	Offshore-Chennai
Programming Languages and Tools	Tableau 8.0 and SQL Server

ROLES AND RESPONSIBILITIES IN THE PROJECT

- Understand Requirement, Analyzing Systems and Source Databases and Regular involving in calls with customer and discussing about status and new requirements of the project.
- Designed, developed and implemented Tableau Business Intelligence reports.
- Create basic calculations including string manipulation, basic arithmetic calculations, custom aggregations and ratios, date math, logic statements and quick table calculations using Tableau
- Use parameters and input controls to give users control over certain values.
- Use Measure name and Measure Value fields to create visualizations with multiple measures and dimensions.
- Develop, organize, manage and maintain graph, table, slide and document templates that will allow for efficient creation of reports.
- Assisted support to the team members during System integration testing(SIT) and User acceptance testing(UAT)

PROJECT PROFILE 4

Project Title	IMS Health – IMEA Production Services
Duration	Oct 2011 – April 2013
Location	Offshore, Chennai
Hardware	Intel based PC
Operating Systems	Windows XP
Programming Languages	SQL SERVER, PL/SQL

ROLES AND RESPONSIBILITIES IN THE PROJECT

- Prioritize critical Support issues and to provide a work-around or a quick-fix to bring Production on track. The follow-up work will be scheduled to provide a long-term solution which will be regarded as non-time critical Maintenance activity
- Provided resolutions for the Support and Maintenance incidents within the agreed Service level Agreement(SLA) and the Clients have always been appreciative on the acknowledgement and the resolution areas
- Enhancement to existing stored procedures & functions using PL/SQL developer tool
- Communicating with onsite team regarding the call progress for better productivity

PROJECT PROFILE 5

Project Title	Xafinity Paymaster, UK
Duration	Aug 2008 – Sep 2011
Location	HCL Offshore, Chennai, India
Hardware	Intel based PC
Operating Systems	Windows 2000,XP
Programming Languages	SQL Server, Oracle PL/SQL

ROLES AND RESPONSIBILITIES IN THE PROJECT

- Providing IT support for Payroll Production to the Public sector clients(NHS/SPVA)
- Analyzing user requirements, developing and enhancement of programs as per requirement specifications (SOAP Logs) thereby ensuring product delivery within agreed SLA
- Bulk data extracts & Report request using SQL Query and PL SQL

PROJECT PROFILE 6

Project Title	NIC EASI– Enterprise Architecture Solution for Insurance
Duration	Oct 2006 – June 2008
Location	HCL Offshore, Chennai, India
Hardware	Pentium based PCs
Operating Systems	Windows 2000
Database	Oracle 9i

ROLES AND RESPONSIBILITIES IN THE PROJECT

- Interaction with client regarding business requirement
- Provided IT support for NIC environment (DR-PROD & DC-DEV)
- Ensuring product delivery within agreed timelines
- Enhancement to existing stored procedures & functions using PL/SQL developer tool
- Involved in basics of DBA activities and Shell Scripting in refreshing a server/DB