

Legal Risk Rating

Probability Rating		
P		
1	Highly Unlikely	No history of non-compliance
2	Unlikely	Once occurred
3	Likely	Repeated non compliance

Consequence Severity Rating		
	S	Potential Legal Impact
1	Slightly Harmful	Warning/Notice
2	Harmful	Show cause notice and/or Legal proceeding and fine
3	Extremely Harmful	Legal proceeding- Closure notice, fine and imprisonment

Risk Assessment

		Severity Rating (harm to organisation) (S)		
		Slightly Harmful (1)	Harmful (2)	Extremely Harmful (3)
Probability Rating (P)	Highly Unlikely (1)	Trivial (1)	Tolerable (2)	Moderate (3)
	Unlikely (2)	Tolerable (2)	Moderate (4)	Substantial (6)
	Likely (3)	Moderate (3)	Substantial (6)	Intolerable (9)

Level of Risk	Total Risk Rating	Communication & Action by Concerned Authorities	Response time frame
Trivial	1 and 2	Communication to Concern HOD	15 days
Tolerable			
Moderate	3 and 4	Communication to concern HOD and Plant Head	One week
Substantial	6 and 9	Communication to concern HOD and Plant Head & CEO	Immediate
Intolerable			