

Company Name

Risk Assessment Matrix

Severity	Probability				Probability Criteria
		1	2	3	
	1	1	2	3	
	2	2	4	6	
	3	3	6	9	
					2
					1

Point / Activity / Program	Risk Description
Top Managemnet	These processes are not directly affecting product safety therefore the risk due to failure of verification is low
HACCP Team	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.
Production	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.
Stores	There is a high risk of failure of control in system verification. This will lead to an immediate product recall and legal compliance issue.
PRPs	There is a high risk of failure of control in system verification. This will lead to an immediate product recall and legal compliance issue.
Quality Assurance	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.
Warehouse	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.

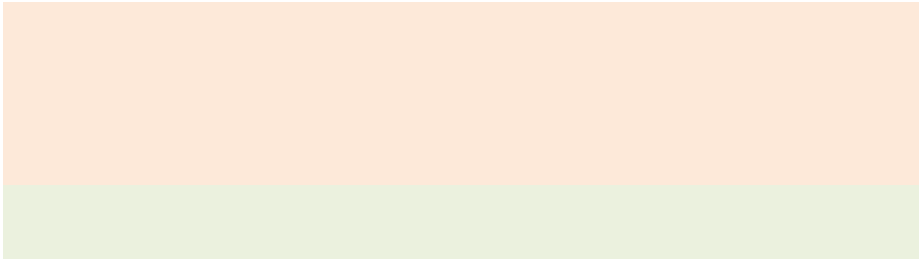
HR/Admn/Training	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.
Maintenance	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.
Purchase	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.
Food Defense/ Fraud/ EMP/ Allergen	These processes require substantial level of control . Failure to do audit may decrease the level of control on effective control of system.

e :	RISK ASSESSMENT	Doc.No:	Rev.No- 0	Date :
Internal Audit RISK ASSESSMENT WORK SHEET Internal audit				

		Severity Criteria		Outcome Decision
l audit is ent	3	Failure of conducting internal audit is likely to lead to an immediate / grave health impact, recall or regulatory issue	High	Conduct internal audit least - (every 3 Months
l audit t	2	Failure of conducting internal audit is unlikely to pose an immediate / grave risk to the consumer but repeated failure	Medium	Conduct internal audit least - (every 6 Months
l audit is ote	1	Failure of conducting internal audit is unlikely to pose an immediate / grave risk to the consumer.	Low	Conduct internal audit least - (every12 Month

Risk Assessment				
Probabilit	Severity	Risk Score	Risk	Outcome of Risk Assessment / Decis
1	3	3	L	Perform the internal audit at least once a year
2	3	6	M	Perform the internal audit at least once in six months
2	3	6	M	Perform the internal audit at least once in six months
3	3	9	H	Perform the internal audit at least once in every 3 months
3	3	9	H	Perform the internal audit at least once in every 3 months
2	3	6	M	Perform the internal audit at least once in six months
2	3	6	M	Perform the inetrnal audit at least once in six months

2	3	6	M	Perform the internal audit at least once in six months
2	3	6	M	Perform the internal audit at least once in six months
2	3	6	M	Perform the internal audit at least once in six months
2	3	6	M	Perform the internal audit at least once in six months



Criteria
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