

## CS 4510: Automata and Complexity

### Spring 2015

Home work 2 // Due: Friday, January 30, 2015

1. (10 points) (Problem 1.38, page 89 of third edition Sipser text.)

An **all**-NFA  $M$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  that accepts a string  $x \in \Sigma^*$  if *every* possible state that  $M$  could be in after reading input  $x$  is a state from  $F$ . Note, in contrast, that an ordinary NFA accepts a string if *some* state among these possible states is an accept state. Prove that **all**-NFAs recognize the class of regular languages.

**Solution:** A DFA is clearly an **all**-NFA.

In the other direction, given an **all**-NFA construct a DFA as in the case of an ordinary NFA except for the following modification: the final states of the DFA is now  $\mathcal{P}(F)$ , where  $F$  is the set of final states of the **all**-NFA. (This is because the **all**-NFA accepts an input  $x$  iff the set of states that it is in after reading input  $x$  is a subset of  $F$ .)

2. (10 points) Let  $L$  be a regular language. Is the language  $L_1$  defined below regular? Why?

$$L_1 = \{w \in \{0,1\}^* \mid w \text{ is either in } L^R \text{ or in } \bar{L} \text{ but not in both}\}.$$

**Solution:** Yes.  $L_1$  is the exclusive OR of the languages  $\bar{L}$  and  $L^R$ . Since  $L$  is regular, because of closure properties, there is a DFA  $M_1$  for  $\bar{L}$ , a DFA  $M_2$  for  $L^R$ , and a DFA for the exclusive OR of  $L(M_1)$  and  $L(M_2)$ .

(Note: If  $A$  and  $B$  are sets, their exclusive OR is the set of strings  $w$  such that  $w$  is either in  $A$  or in  $B$  but not in both  $A$  and  $B$ .)

3. (10 points) Consider the DFA  $M = (\{q_1, q_2\}, \{0,1\}, \delta, q_1, \{q_2\})$ , where  $\delta$  is as defined below:

$$\delta(q_1, 0) = q_1$$

$$\delta(q_1, 1) = q_2$$

$$\delta(q_2, 0) = q_2$$

$$\delta(q_2, 1) = q_2$$

Convert this into a regular expression using the algorithm covered in class. In particular, show the following regular expressions:  $R_{ij}^{(k)}$  for  $1 \leq i, j \leq 2$  for  $k = 0, 1$  and  $R_{12}^{(2)}$ . (Simplify the regular expressions using the rules they obey.)

4. (15 points) Let  $L$  be a regular language. Suppose there exists a set of pairs of strings  $\{(x_i, y_i) \mid 1 \leq i \leq n\}$  such that the following two conditions hold:

- (a)  $x_i y_i \in L$  for all  $1 \leq i \leq n$ , and
- (b)  $x_i y_j \notin L$  for all  $1 \leq i \neq j \leq n$ .

Show that any NFA for  $L$  must have  $n$  states.

**Solution:** Consider any NFA  $N$  for  $L$ . For each  $1 \leq i \leq n$ , let  $S_i$  denote the set of states reachable from the start state on string  $x_i$ . For  $x_i y_i$ , for some  $i$ , there must be some state  $s \in S_i$  from which a final state  $f$  can be reached since  $x_i y_i \in L$ . Suppose  $s \in S_j$  for some  $j \neq i$ . Then, we have an accepting path for  $x_j y_i$  (from start state to the state  $s$  in  $S_j$  and from  $s$  to the state  $f$  on  $y_i$ ). This contradicts the second condition. So, for each  $1 \leq i \leq n$ , the set  $S_i$  has a state that is not contained in the set  $S_j$  for  $i \neq j$ .

5. (15 points) Identify the regular set and the non-regular set from the following two subsets of  $\{0,1\}^*$ . Prove your answer.

- (a)  $B = \{1^k y \mid y \text{ contains at least } k \text{ 1s, for } k \geq 1\}$ .
- (b)  $C = \{1^k y \mid y \text{ contains at most } k \text{ 1s, for } k \geq 1\}$ .

**Solution:**  $B$  is the same as the language  $A = 1(0 \cup 1)^* 1(0 \cup 1)^*$  which is regular. This is because any string in  $B$  starts with a 1 and has at least one 1 appearing in it later.

$C$  is not a regular Language. Let  $p$  be the pumping lemma constant. Choose the string  $1^p 0 1^p$  in  $C$ . Choose  $i = 0$ .

6. Let  $L$  be a language defined over a finite alphabet  $\Sigma$ . Two strings  $x, y \in \Sigma^*$  are said to be *distinguishable* by  $L$  iff there exists some string  $z$  such that exactly one of  $xz$  or  $yz$  is in  $L$ .

(15 points) Consider the language  $L = \{x \in \Sigma^* \mid x = x^R\}$ . (Here  $x^R$  is the reverse of the string  $x$ .) Show that any two distinct strings  $x, y \in \Sigma^*$  are distinguishable by  $L$ .

**Solution:** Let  $x$  and  $y$  be two distinct strings.

Case 1:  $|x| = |y|$ . Then, the string  $z = x^r$  serves to distinguish the two strings.

Case 2:  $|x| \neq |y|$ . Assume, without loss of generality, that  $|x| < |y|$ .

Then,  $y$  can be written as  $y_1y_2$  where  $|y_1| = |x|$  and  $y_2$  is not empty. Let  $w$  be a string such that  $|w| = |y_2|$  and  $w \neq y_2$ . Then, the string  $z = ww^rx^r$  serves to distinguish the strings  $x$  and  $y$ .