

CS5320: Distributed Computing

Theory Assignment 4

Sagar Jain
CS17BTECH11034

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Early Stopping Consensus Algorithm for Crash Failures

The following is the modified Algorithm 14.1 to design an early-stopping algorithm for consensus under failstop failures, that terminates within $f' + 1$ rounds, where f' , the actual number of stop-failures, is less than f .

The Algorithm

```
Process  $P_i$  ( $1 \leq i \leq n$ ) executes the consensus algorithm for up to  
 $f$  crash failures (early termination)  
for round from 1 to  $f + 1$  do  
    Values = []  
    ProcessIndices = []  
    broadcast(x);  
     $y_j \leftarrow$  value (if any) received from process  $j$  in this round;  
    append( $j$ ) to ProcessIndices;  
    append( $y_j$  to Values)  
     $x \leftarrow \min_j(x, y_j)$ ;  
    if (round > 1 and previousProcessIndices == ProcessIndices and PreviousValues  
        == Values ) then  
        break;  
    previousValues = Values;  
    previousProcessIndices = ProcessIndices;  
Output  $x$  as consensus value
```

Approach & Proof of Correctness

The main idea for the algorithm is that if there are f' failures we would at most take $\leq f' + 1$ rounds to terminate the algorithm. One key observation is the following:
If, there have been no failures between two subsequent rounds, call this round r , then there

would be no change in the number of values received and the values themselves for rounds $r+1$ and rounds $r+2$. This is the fact that we can exploit to make sure that we terminate within $f+1$ rounds or $f' + 2$ rounds. The **termination** is guaranteed by the fact that the number of failures is fixed and less than the number of processes and the **validity** of the algorithm follows from the algorithm at 14.1. **Agreement** would certainly happen since the number of failures is $< f$.