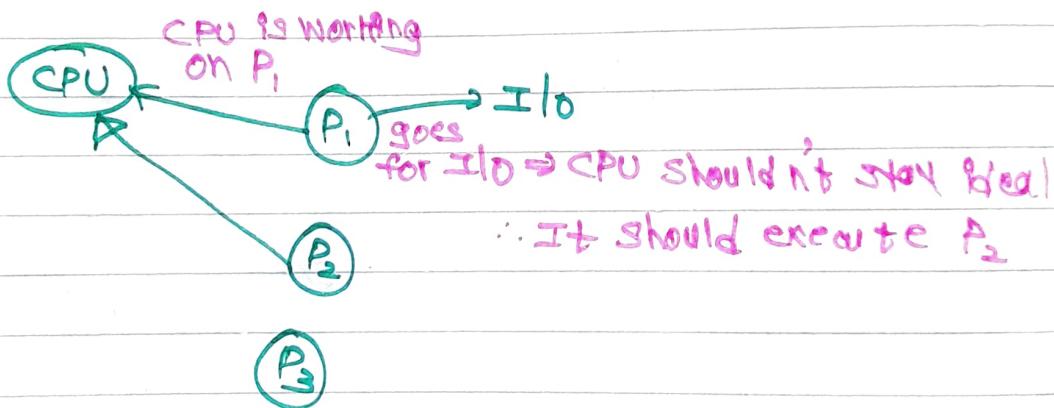


MAKE DIAGRAMS!!!!

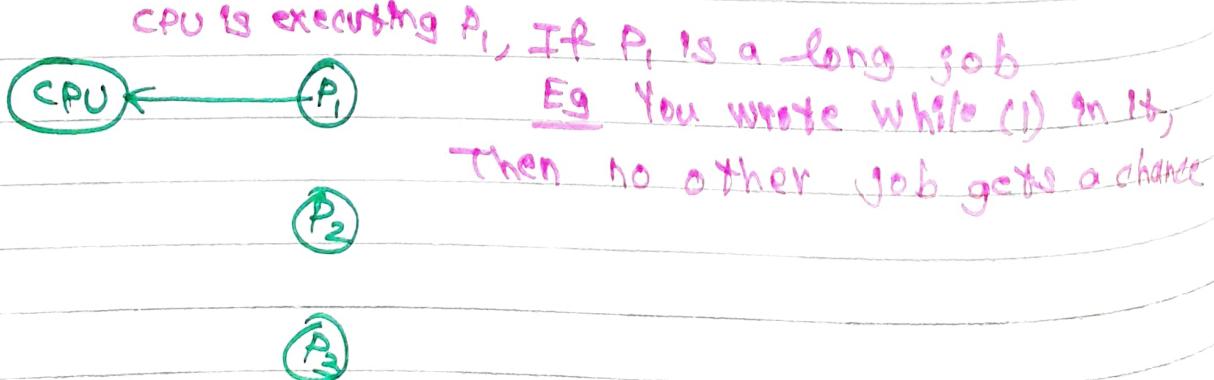
Love Bobbar

- What if there is no OS?
  - Bulky & Complex app
  - Resource exploitation by 1 App
  - No Memory Protection
- OS goals :-

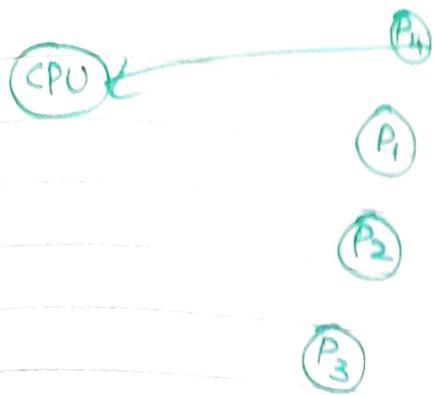
## 1. Max. CPU Utilization



## 2. Process Starvation X

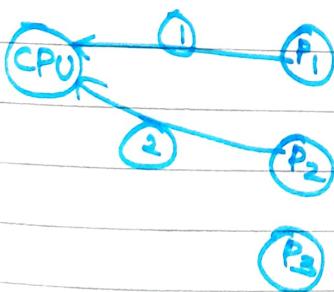


③ High Priority Job execution (Eg Antivirus Scan)



### Types of OS:-

1. Single Process OS  $\rightarrow$  Only 1 Process executes at a time from Ready Queue



$\rightarrow$  Max. CPU Utilization since if  $P_1$  goes for I/O, CPU can't execute  $P_2$

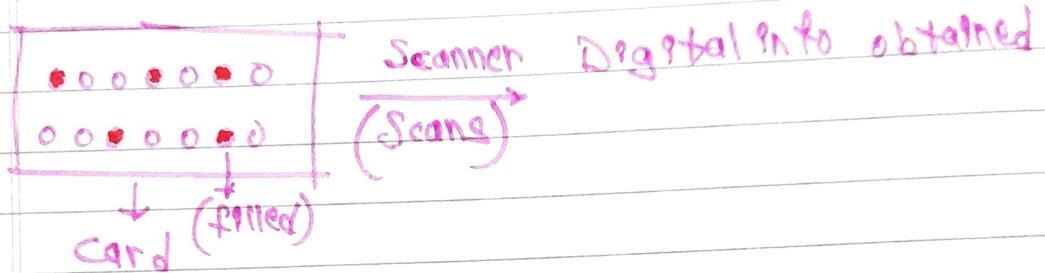
$\rightarrow$  Process Starvation  $\rightarrow$  If  $P_1$  is long job,  $P_2$  won't get a chance

$\rightarrow$  H.P.J.E.X since  $P_1$  keeps executing & H.P.J. doesn't get a chance

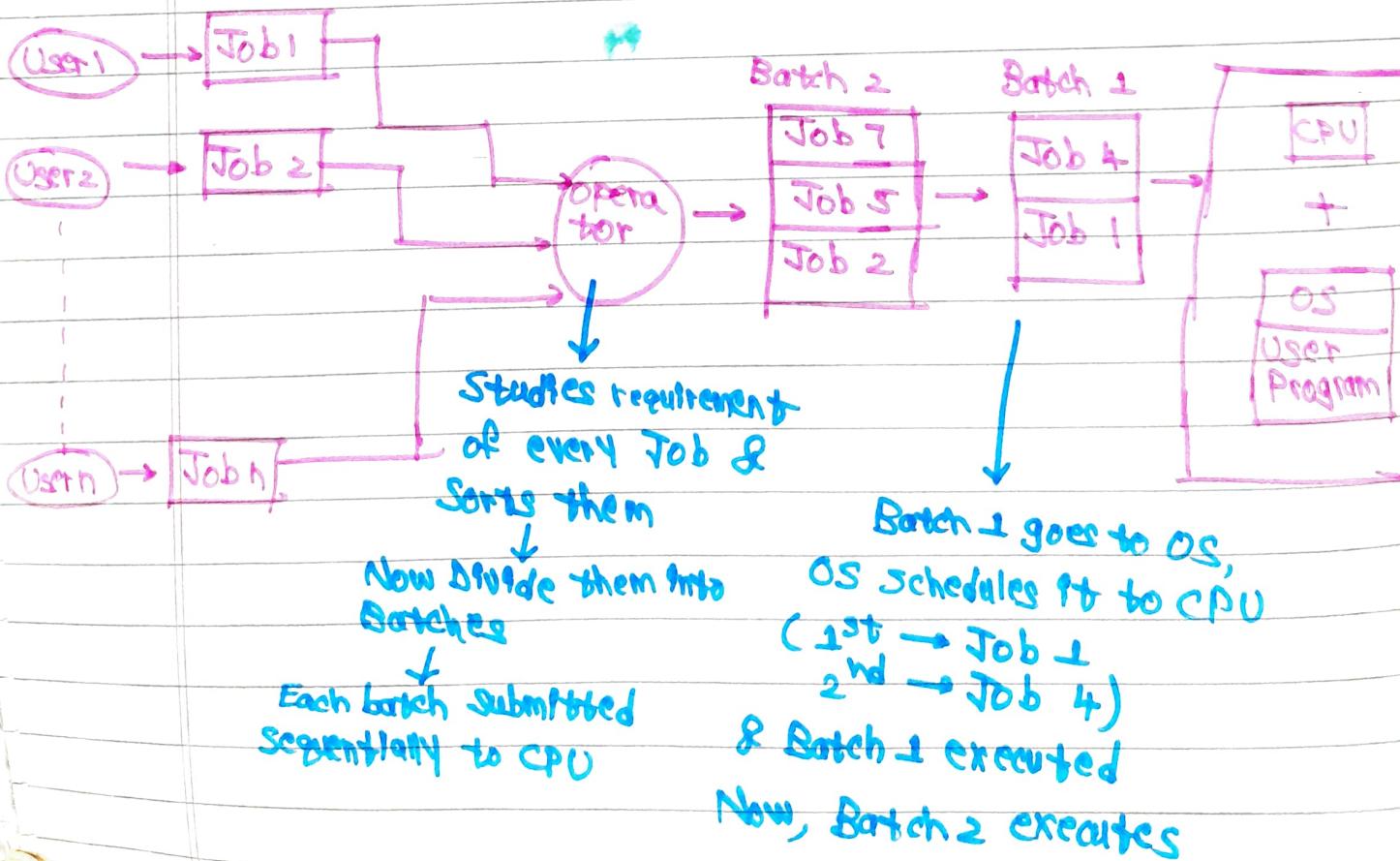
Eg MSDOS

2. Batch Processing OS →

Punch Card



Here, user brings OS in form of a Punch card



- Max CPU Utilization as each batch is executed sequentially  
( & here if  $J_1$  takes time,  $J_4$  has to wait)
- P.S. ✓ (Same reason)
- Batch Starvation ✓ (If  $B_1$  takes time)
- H.P.J.E.X (Till  $B_1$  is executing,  $B_2$  can't be executed)

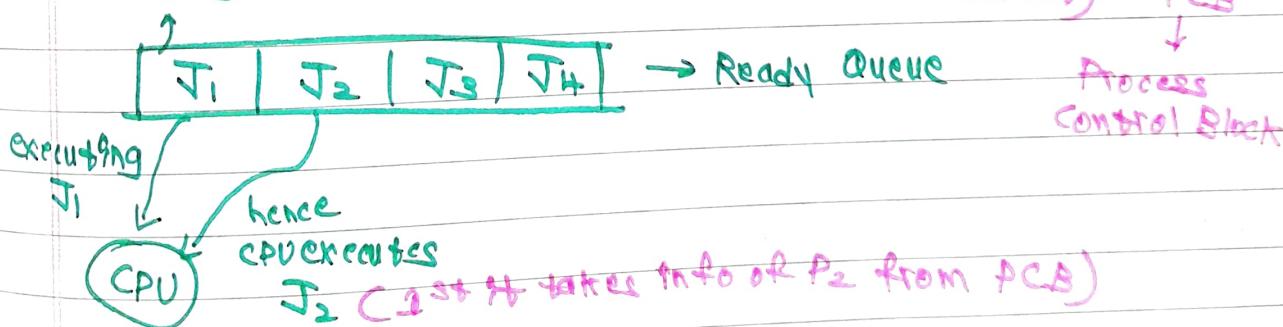
### Eg    ATLAS

### 3. Multi Programming OS →

- Single CPU

- Ready Queue → Queue containing Jobs which are ready to be executed

Now goes for I/O → Enters Wait-State ⇒ (Save its current context) in PCB



- This save & restore process → Context Switching

### Eg    THE

#### 4. Multi-Tasking OS

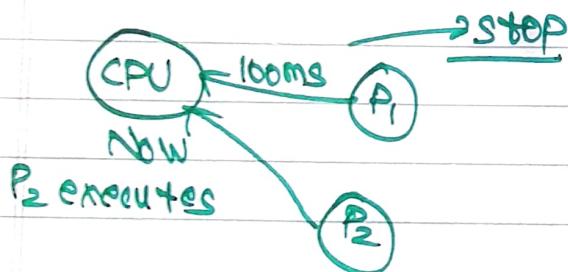
→ Single CPU

→ Context Switching

→ Time Sharing  
↓

Eg Time Quantum = 100ms

If any job executes for 100ms, 1 Quantum of execution is completed & next job should get a chance



→ Max CPU Util. ← P.S.X H.P.J.E. ← (If  $P_1$  is executing, its current state is stored in PCB & High Priority Job executes)

Eg CTSS

#### 5. Multi-processing OS

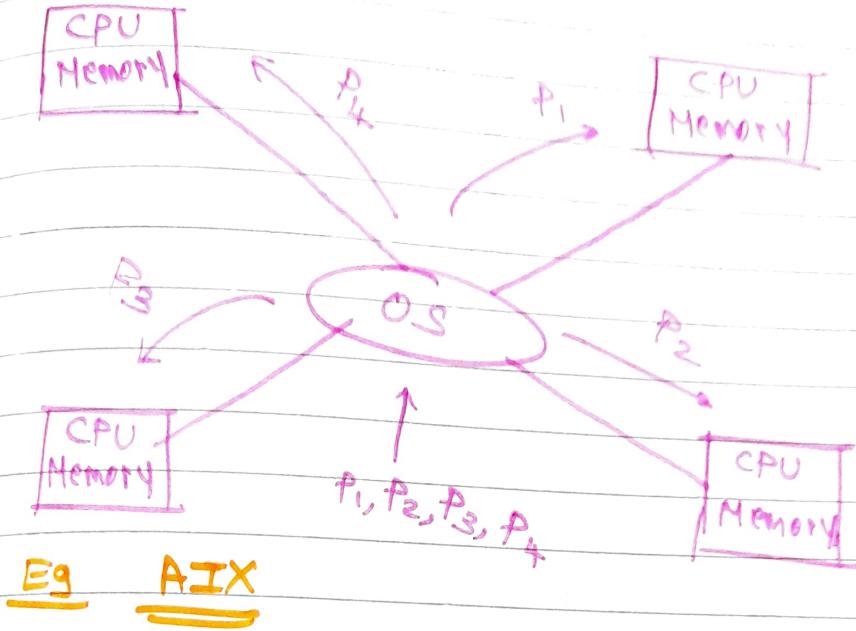
→ Context switching, Time sharing, CPU I/O

→ Increased Reliability, If 1 CPU fails, other is present

Eg Windows

## 6. Distributed OS

→ Loosely Coupled Autonomous interconnected computers



## 7. Real Time OS (RTOS)

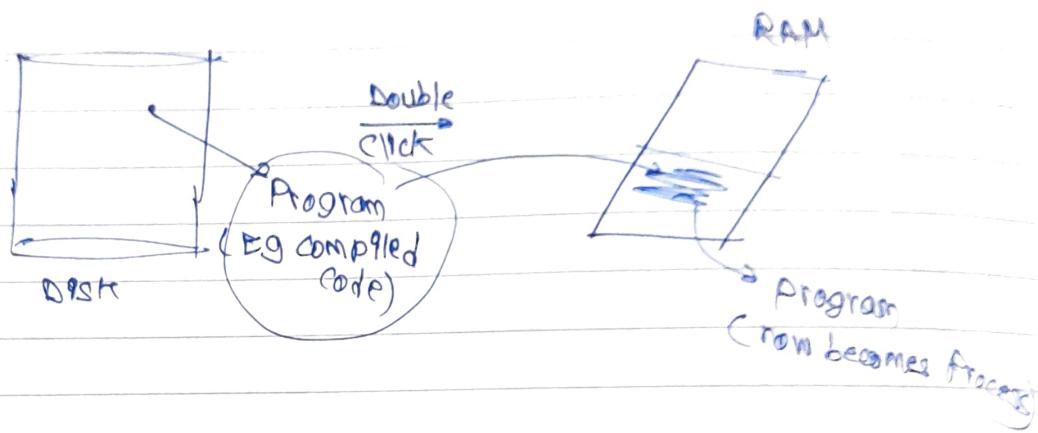
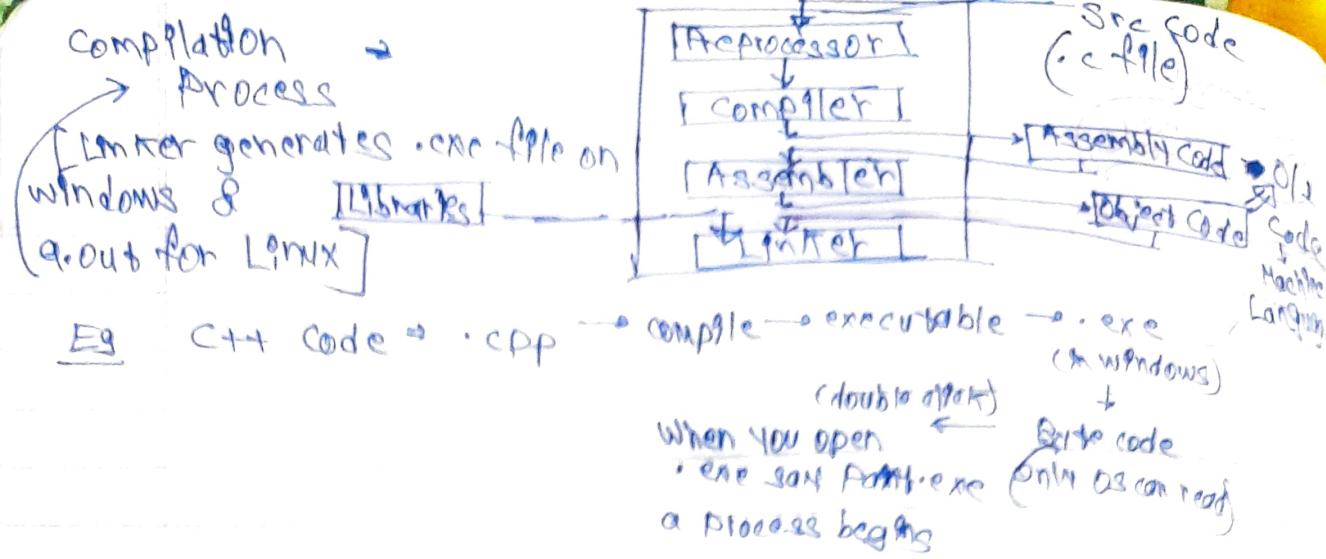
→ Used where Error chance × & Speed of execution ↑

Eg ATC → Crucial Duty

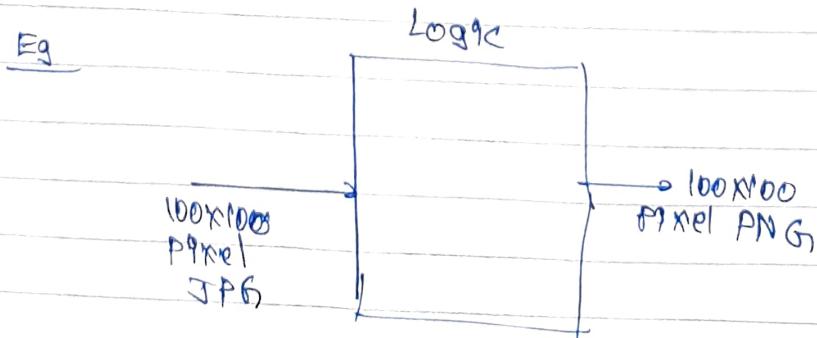
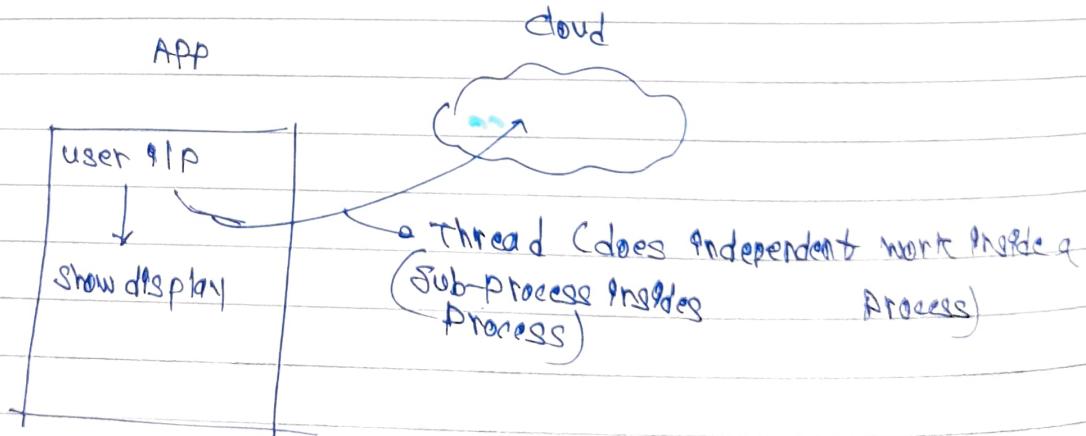
Nuclear Plant

Eg Integrity

Process → Program under execution



- Thread → lightweight process
    - independently execute

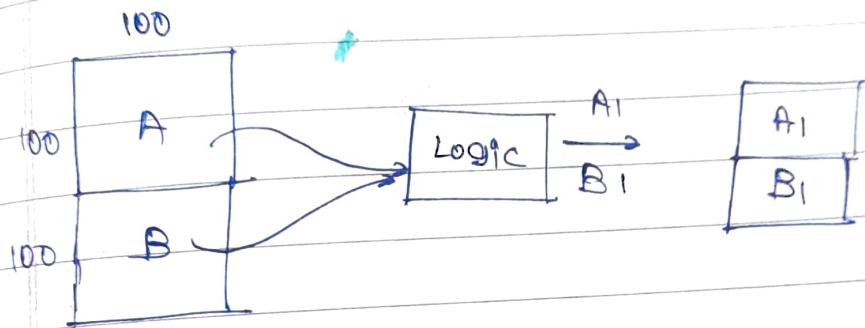


Preprocessor

- Removes Comments
- Replaces Header file with src code
- Replaces Macro Name with Code

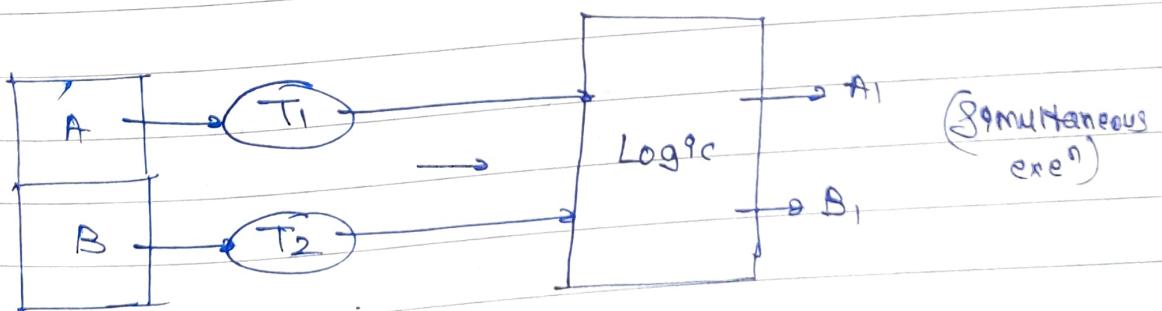
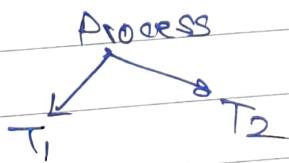
If gif → Image file →  $W \times H$   
 If gif → Image file → 100x200

① Do this sequentially



But A is not dependent on B & vice versa, so it should happen asynchronously (simultaneously)

∴ Use Multi-Threading



→ Can't occur if single CPU available, as 1 CPU takes hold of T<sub>1</sub> & other hold of T<sub>2</sub> (T<sub>1</sub> executes using CPU<sub>1</sub>)

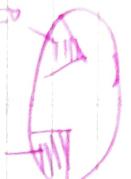
If a process is divided into several sub-tasks called threads, it is called multi-threading.

Those threads have their own stack and program counter.

## Multitasking

Execution of  
Task simultaneously

1. More than 2 processes



## 2. Isolation & Memory Protection

Each process is separate entity & it has its own memory & OS must allocate separate memory & resources to each program that CPU is executing.



## 3. Process Scheduling

The context switched

4. More than 2 processes

5. No. of CPU = 1

No. of threads = No. of cores in CPU

## Threads Schedule

simultaneously

More than 2 threads are intermix

No. of CPU  $\geq$  2



## Multithreading

Execution of threads simultaneously

6. More than 2 threads



- Process  $\rightarrow$  Program under execution. Stored in computer's RAM

## Thread

- Single Sequence Stream within a process
- Independent path of execution in a process
- Light-weight process

are shared among threads of that process. OS allocates memory to a multiple threads of that process share same memory  
resources allocated to process

Used to achieve parallelism by dividing a process into  
which are independent path of execution

Eg Multiple tabs in a browser, calculator when you are  
typing in a text editor, spell-checking, formating of  
text and saving the text are done concurrently by  
multiple threads)

- Thread scheduling → threads are scheduled for execution based on priority. Even though threads are executing within runtime, all threads are assigned processor time slices by OS
- attention of some threads by OS to handle threads for execution on CPU

### Process Context Switching

OS saves current state of process & switches to another process by restoring its state

process by restoring its state

Includes C as due to isolation &

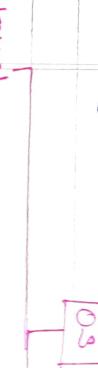
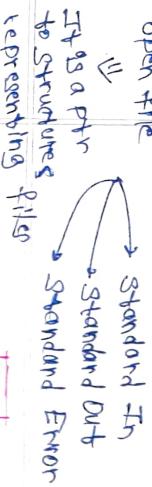
Memory protection, OS allocates separate memory & resources to each program that CPU is executing

### Slow Switching

Fast Switching

(CPU is always ready to a process in memory, CPU's cache state is flushed to a process, because it has to change the page frame, so next process can access the same memory, so we delete reading pages from cache, so when we go to other process, we have to read again)

• File Descriptor → unsigned integer used by a process to identify an open file



User Space ↑

→ Application Software runs here

Computer program designed for specific tasks

Eg Microsoft Word (for word processing)

Google Chrome (web browsing)

Browser

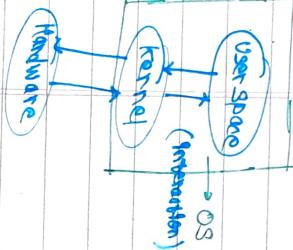
→ Very 1st part of OS to load on start-up

→ Apps don't have privileged access to underlying hardware

CPU, RAM, Hard Drives, SSDs

→ Interacts with Kernel

→ Provides a convenient environment to user apps



→ User Space

GUI ←

CLT

→ Terminal

PowerShell

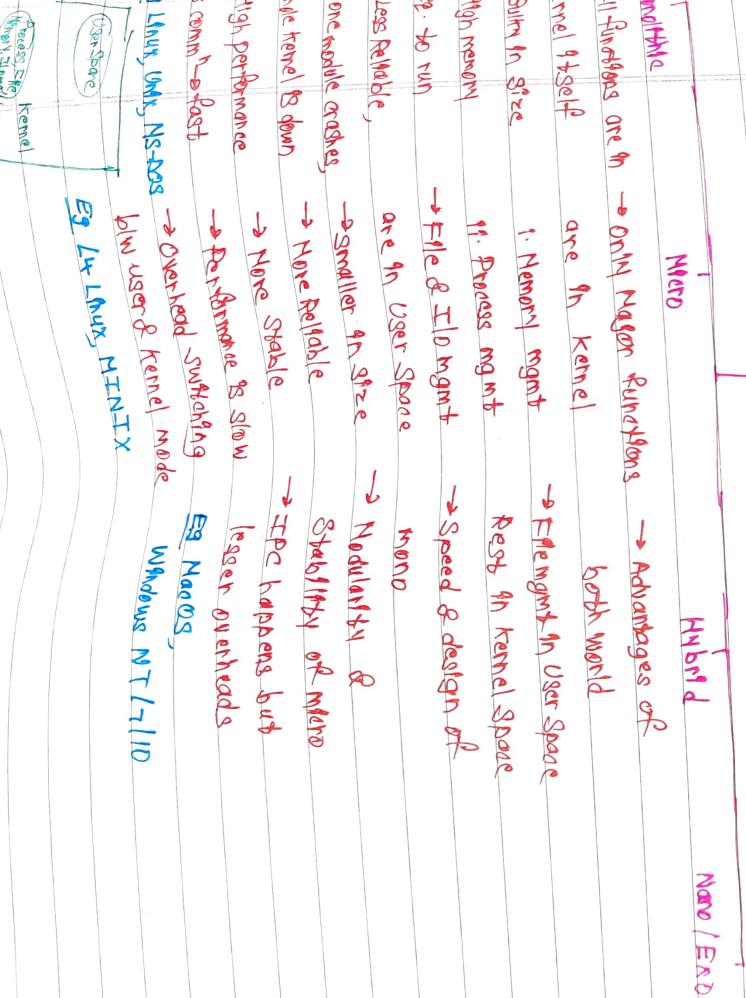
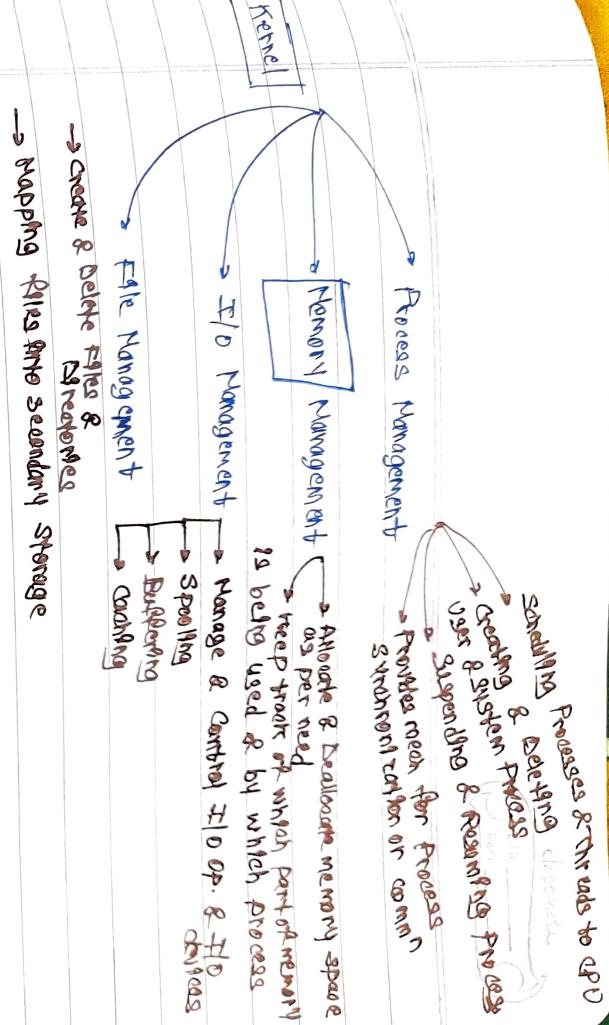


Eg HelloWorld.sh → script

./HelloWorld.sh → executed on Terminal

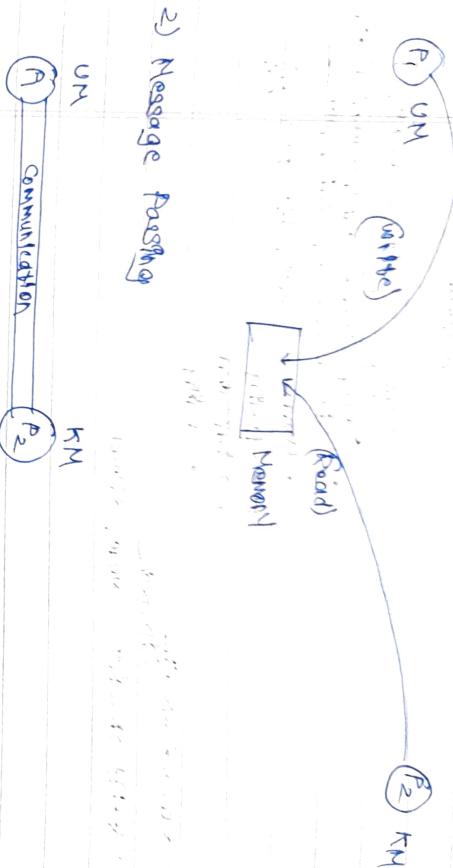
This means CLI we just run our code here → That's User Space

U.S. computation the CPU has to pass, kernel CPU no request  
Kernels, thus computation cycles here, CPU no computations here  
or command the PC CPU, disk etc

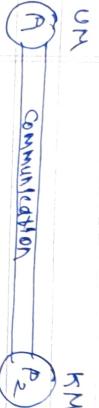


UM & KM communication → done with help of  
2 ways of IPC → Inter Process Comm (IPC)

- i) Shared Memory



## 2) Message passing



- To switch between User Mode & Kernel Mode, System calls → C

Actual implementation ⇒ mkdir, system calls → C

- APPS interact with kernel using System calls

Eg. mkdir (Linux)

- APP indirectly calls kernel & asks file management module to create a new directory

- Kernel interacts with kernel using system calls

Eg. Creating a process

- User executes a process (User space)
- ↓ Gets System call (OS)
- Executes System call to create a process (OS)

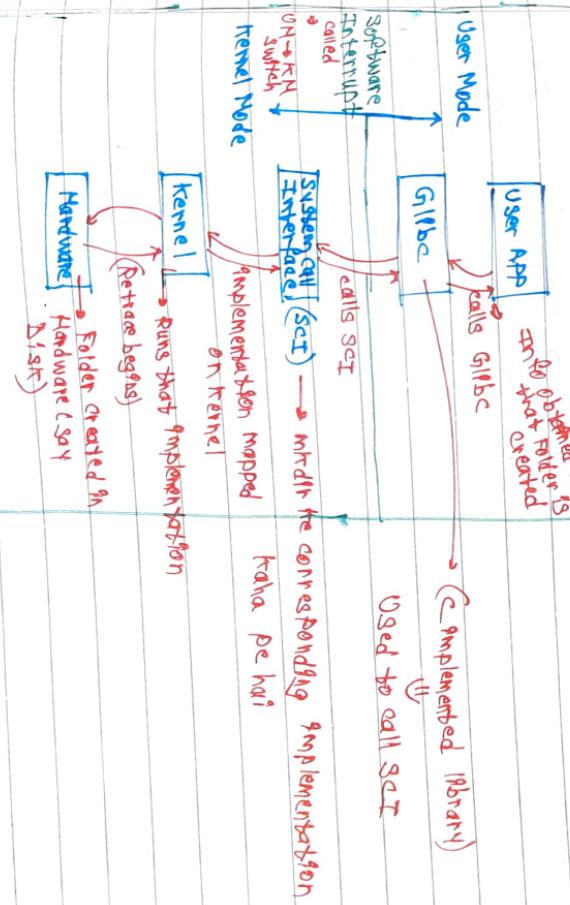
→ Returns to US

Transitions from US to KS done by software interrupts

System call : - A mech by which a user program can request a service from the kernel for which it does not have permission to perform.

User programs typically do not have permission to perform ~~operations like~~ accessing I/O devices and communicating with other programs

# calling system call through user app API: create a directory



↳ Access HelloWorld.exe → Run, Now pt searches for system call which is handled by SCI, switches mode from user to kernel, kernel mode now begins. It must be passed话语权, to process to create kernel, parse kernel by user mode switch

W = window  
U = ULR

U = UNK

$\text{fun}_\lambda \vdash \text{let } x = \text{true} \text{ in } x$

### Cumulative Distribution Function

System calls → provoked through Software Interrupt	
Process Control	File mgmt Device mgmt Info Comm' mgmt
end, abort	→ create, delete file → request, release device information
lead exceue	→ open, close → read, write, readfrom, writeback
create,	→ read, write → logically attach or or done, conn' com!
remove process	detach devices
n = numbers	Set time → SetSync, SetTime
0 = unk	or date messages
N → CreateProcess() N → CreateFile() N → SetConsoleMode()	→ Get System → Attach or
J → Fork()	Data, get & system detach remove
U → Open() U → Read()	Data, Data, devices
G → Close()	→ Get Process, file or Device attr
D → SetPriority	or SetPriority
S → SetProcessChanges	U → getpid()
L → SetCurrentOwner	U → pid()
F → Process ID	Shmget()
C → CreateSpec()	To get the shared memory through which multiple processes are communicating
E.g. Reading line	
Write Terminal	
Terminal - parent process	
Write - child process	
Parent creates all these	
Child Process	

Program calls used

(open(),  
write(),  
close())

fork()

Up hello.sh  
A white code of one  
Launch hello.sh  
Creates a process hello.sh, which has PID of that terminal no. Open() is used  
Run pg -a → You'll find a process

Run pg  
Run hello.sh, along with this PID

Launch pg  
You'll find a PID and do

Run ps -aL → This child is created with help of fork

Give PID

rm -f PID

Free from HUV

Memory  
Allocates address

Ans :- 5 steps :-

Q What happens when you turn on your computer?

① Power ON

② CPU loads BIOS or UEFI → small program stored in BIOS  
(Unified Extensible Firmware Interface) → More adv than BIOS  
You can find on motherboard that allows to access & setup computer system at most basic level  
BIOS  
CPU  
Stores BIOS programs  
(Basic Input Output System)

chip

CPU runs BIOS & hardware  
③ a) CPU initialize  
b) BIOS or UEFI run tests & init hardware

→ configuration  
BIOS loads ~~the~~ settings from a memory area

(Booted by Complementary Metal Oxide Semiconductor)

→ BIOS program loads with settings, after that instructions in BIOS program does POST (Power On Self Test) process  
If something is not appropriate (like missing RAM), error is thrown & boot process is stopped  
There are some test cases come to each hardware (checks all essential hardware are present)

the responsibility for booting your PC

- (+) BIOS or UEFI hands off to Boot Device  
↳ Stores instructions on how to load OS  
Disk (hard or say)  
USB device

→ when Bootloader is executed, it switches on OS (loads rest of OS)

MBR (Master Boot Record) [UEFI uses EFI]  
Present on other disk  
Index of Disk It is a partition in disk where the operating system is stored  
[UEFI uses NVRAM]

- ⑤ Bootloader loads full OS (Boots kernel, then User Space)

Int'nal OS & turns off ON.

- Windows → bootmgr.exe  
Mac → boot.efi  
Linux → GRUB  
Bootloaders for diff'nt OS

BIOS creates bootloader, which takes it from there & begins booting actual OS - Windows or Linux, for example.

### 32-bit vs 64-bit OS

addresses

32-bit OS → 32-bit registers → can access  $2^{32}$  unique memory  
→ can process 32 bits of data

$$\text{No. of GB} = \text{No. of Addresses} = \frac{2^{32}}{2^{30}} = 4$$

32-bit OS can access 4 GB unique locations of physical memory

64-bit OS → 64-bit registers → can process 64 bits of data

Advantages over 32-bit OS:

1. Addressable Memory → 32-bit CPU →  $2^{32}$  unique memory addresses  
→ 64-bit →  $2^{64}$  → → →
2. Resource Usage → Better in 64-bit OS, if I install add'l RAM in 32-bit OS, it's useless, since I can use only 4 RAM at a time in 32-bit OS (can't support more than 4 GB RAM)
3. Performance → Faster in 64-bit OS, since it can process more data at a time (64-bit)

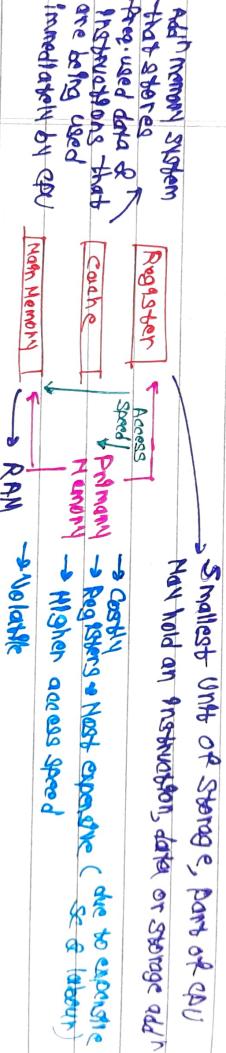
4.

Compatibility → 64-bit CPU can run 32-bit & 64-bit OS while 32-bit CPU can run 32-bit OS only.

5.

Better Graphics Performance → In 64-bit CPU, you can make ~~8-bit~~ 8-bits graphic calculations in 1 cycle, making graph intensive apps run faster

### Storage Devices



Secondary ⇒ Storage Media on which computer

**Magnetic Disk**

Memory can store data & programs

**Magnetic tapes**

→ Cheaper than primary  
→ More Space

→ Non-Volatile

### Intro to Process

→ OS creates a process by converting a program into address

### Steps:-

1. Load the Program & Static Data into memory (Main memory)  
located inside disk used for initialization

Eg char \*name = "Latahali" → static data

(char name var with Latahali)

2. Allocate runtime stack → when you're calling a fn inside another  
you store all data of parent fn inside Stack & jump to child  
values  
Stack → part of memory used for local variables, fn arguments & return  
values
3. Allocate Heap → part of memory used for dynamic alloc
4. I/O Tasks:-  
Unix :- I/P, O/P Handles are allocated to I/P, O/P huge  
O/P } take care
5. OS handles control to main.c

main.c

E

return obj → means successful

3 exit done



## Architecture of process:-

Stack	→ Local var, fn arguments, return values
Heap	→ Dynamically allocated var
Data	→ Global & Static Data
Text	→ Compiled Code Download from Disk

- To prevent Stack overflow, set base size (so that Stack can't grow beyond a limit)
- out of memory error, deallocate unnecessary ~~memory objects~~

→ All processes are being tracked by OS by using a table like Data Structure called Process Table

→ Each entry in this table is Process Control Block (PCB)  
PCB stores info of a process such as process id, program counter, process state, priority etc  
(PC) +  
Running / Waiting / Ready / Terminated  
Registers  
in CPU

Process Control Block (PCB)

### PCB Structure:

Process ID	→ Unique identifier
Program Counter (PC)	→ Stores add <sup>n</sup> of curr. instr., incremented after instr. exec.
Process State	
Priority	
Registers	→ Saves curr. value of Registers
List of Open Files	
List of Open Devices	

### Process State

Process being created, hence can't be scheduled

fork() running, but not over. (Process is being created)

new → OS is obt to pick up the program & converts it to process

ready ⇒ Process is in memory, present in Ready Queue, waiting to be assigned to a processor

running ⇒ Instructions are being executed, CPU is allocated

waiting ⇒ for I/O

### process table

terminated ⇒ Process has finished exec & PCB entry removed from memory + C, when running, releases resources, now terminated

### Long Term Scheduler

then ready state

The scheduler → picks these programs & schedules them (putting it into new from pool)

### (new) admitted

interrupt

exit

terminated

### Short Term Scheduler

Scheduler dispatch

I/O or event wait

more

I/O or event wait

CPU Scheduler

terminated programs present  
inside disk

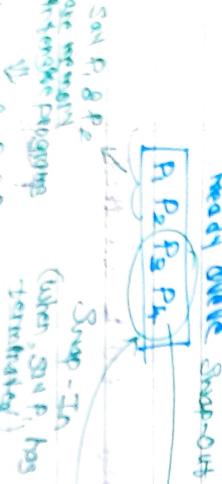
Ready Queue to process utama & utama Running state tak dispatch kannan ⇒ ready queue se process utama & utama Running state tak dispatch kannan ⇒

Job Scheduler → LTS ⇒ Long / Short depends on frequency  
P, ready se running pe aayi & within few inst, vo I/O hain  
Chal gayi, CPU kaha ho gaya, but CPU shouldn't be freed

to put process to no longer running state per del delay

at an avg.

- degree of multi-programming = No of processes in Ready queue  
LTS handles this

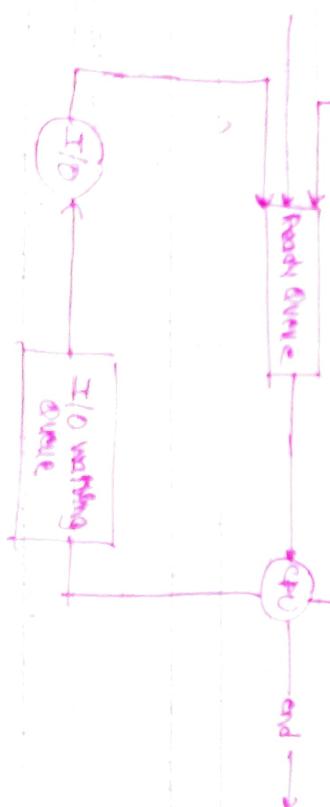


Swap-in (when Swap has terminated)

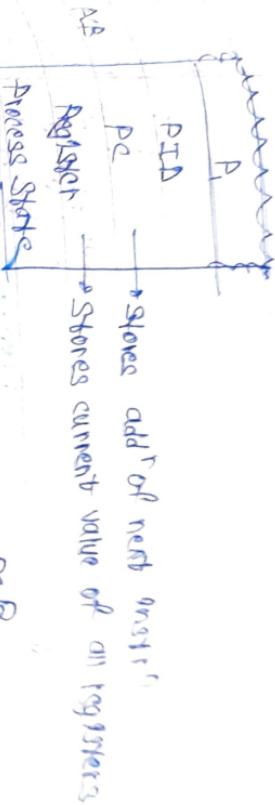
No space for P<sub>1</sub> & P<sub>2</sub> → Swap in

This is Swapping

done by Medium term Scheduler



## Context Switching



In PCB

Saves state of current process & Restore state of next process

Kernel does this

- It is a pure overhead, since no process from user can be being executed. Only C.S. is done by using interrupt present in a program inside kernel (present in CPU) (i.e. No User W.O.)

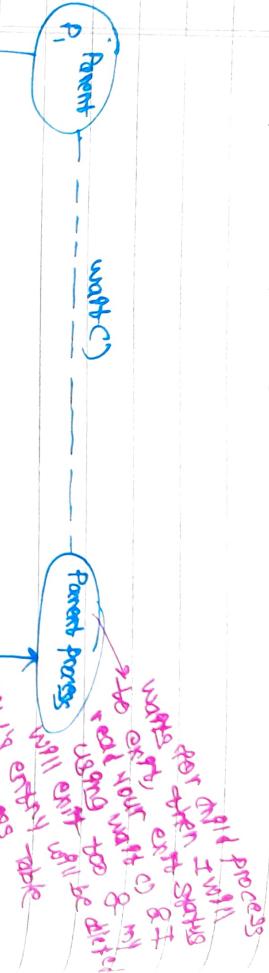
- Orphan process → Process whose parent process has terminated & it is still running. They are adopted by 1st process, which is 1st process of OS



creation → terminate ⇒ Parent process terminates, hence  $P_2$  becomes orphan  
So, OS makes  $P_2$  process, the parent process of  $P_2$

### Zombie/Defunct Process

→ A process whose execution is completed but it still has an entry in the process table



(fork(c))

$P_2$  child

CREATED

child

exit(c)

(called) →

DURING

exit(c)

acquired

from OS

are released

the

zombie

process

is

the

- ② Preemptive Scheduling → Same as ① + Time-Quantum expire here part  
process CPU ko free kar dete hain

Starvation → Non-Preemptive cause Time Skewing part is missing  
if I encounter a job which is CPU intensive, then other  
processes face starvation

→ Preemptive ↓ due to cause Time Quantum expire here part

↓ dusti process ko mukta milega

CPU can work on

CPU Utilization → Preemptive ↑ as more processes

Overhead → preemptive ↑ of time. Quantum = 1 sec, har 1 sec ke  
baad process change ho jangi vahi har second ke baad  
CPU KO new process execute karne padegi

### Goals of CPU Scheduling:-

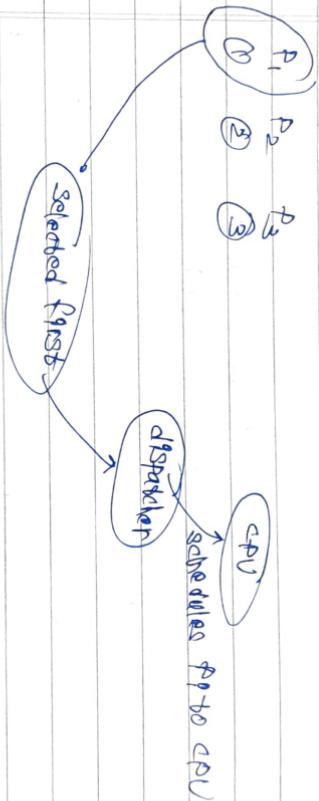
- ① Max. CPU Utilization
- ② Min. Turnaround Time ⇒ TAT → Job Ready Queue me aana & waste expt  
Karte ke beech ka time
- ③ Min. Wait Time ⇒ (For each process)  
Wait Time → (For each process) Queue me aane ke baad & CPU milne,  
ke beech ka time
- ④ Min. Response Time ⇒ process Ready Queue me aane ke baad & CPU milne,
- ⑤ Max. Throughput ⇒ No. of processes completed per unit time

- Arrival Time → Time when process is arrived at Ready Queue
- Burst Time → Time req. by process for its execution
- Wait Time → Time process spends waiting for CPU
- Turnaround Time → Time taken from 1st time process enters ready state  
 $\frac{1}{10}$  to 4 terminates

Response time → Time duration b/w process getting into ready queue & process getting CPU for 1st time  
 Completion time → Time taken till process gets terminated

$$1) \quad TAT = CT - AT$$

$$2) \quad WT = TAT - BT$$



### \* Convoy effect

Eq

$$= (CT - AT) = (TA + T-BT)$$

WT

Pro	AT	BT	CT	TAT	WT
1	0	20	20	20	0
2	1	2	22	21	1
3	2	24	22	20	2

Avg WT  $\frac{13}{3}$

Since  $P_1$  has higher WT than  $P_2, P_3$   
 Hence Avg. WT  $\uparrow$   $\Rightarrow$  This is Convoy effect

## Gantt chart

$t=0$	$P_1$	$P_2$	$P_3$	$t=20$	$t=22$	$t=24$
-------	-------	-------	-------	--------	--------	--------

$$CT = AT + WT$$

PRO	AT	BT	CT	WT
2	0	2	2	0
3	1	2	4	3
1	2	20	24	22
			Avg WT	2 units

$P_2$	$P_3$	$P_1$
$t=0$	$t=2$	$t=4$

$t=20 \quad t=22 \quad t=24$

## CPU Scheduling algos

### FCFS

- whichever process comes first in ready queue, will be given CPU first

(18 July)

- If a process has longer BT, it has major effect on avg WT of other processes, called Convoy effect
- Convoy effect is a situation where many processes, who need to use a resource for short time, are blocked by another process [holding] that resource for long time causing poor Resource mgmt.

## Shortest Job First (Priority Assignment)

Non Preemptive

(round robin)

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
10	8	8	10	12
1	4	10	15	15
2	3	12	12	12
3	2	15	15	15
4	3	12	12	12
5	5	17	17	17

Gantt Chart

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
0	12	26	38	54

Scheduled earlier than its Sime at t=12 sec.

Both P<sub>1</sub> & P<sub>2</sub> had arrived

P<sub>1</sub> ready queue, but P<sub>2</sub> has shorter BT

Value go big process  
Schedule to wait till P<sub>2</sub> has arrived

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
0	12	17	26	38

P<sub>1</sub> preempted by P<sub>2</sub> since P<sub>2</sub> has lower BT.

At each instant, choose the job at least BT among all processes that have arrived

Gantt Chart

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
0	12	17	26	38

Same to figure Gantt chart

Round Robin

Round robin

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>5</sub>
0	12	17	26	38

No Context switch → Since the process with longer BT will be preempted by another process with smaller BT.

Right now process are even ke

down, try our process ready queue  
no one job had jstka BT ↓ but  
the current process to work, usko  
schedule kar dia kya

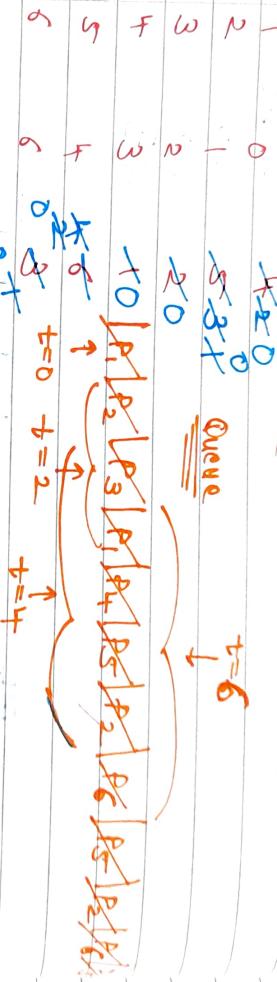


- Round-Robin (RR) (Criteria: AT,  $T_{q_i}$ )

→ Response Time ↓  
 → Starvation ↓ & convoy effect X  
 → Preemptive version of FCFS

→ Designed for Time Sharing & we know Time Sharing is used in Multitasking OS

P AT BT (Time Quantum = 2sec)



$P_1$	$P_2$	$P_3$	$P_4$	$P_5$	$P_2$	$P_3$	$P_4$	$P_5$	$P_2$	$P_3$	$P_4$	$P_5$
0	2	4	6	8	10	12	14	16	18	20	22	24
t=0	t=2	t=4	t=6	t=8	t=10	t=12	t=14	t=16	t=18	t=20	t=22	t=24

→ Starvation X but Overheads ↑

### # Multi-level Queue Scheduling (MLQ)

upon priority

- Ready queue is divided into multiple queues depending on queues
- A process is permanently assigned to one of the queues
- Each queue has its own scheduling algo
- Ex SP → RR      BP → FCFS

System Process Queue

System process: Created by OS

Interactive Process Queue

I.P.: Needs User I/P  
(foreground process)

Batch Process Queue

B.P.: Runs silently, no user I/P  
(Background process)

Priority ↓

- Scheduling inside queues → ①
- Scheduling amongst queues →  $\rightarrow$  fixed priority preemptive

SPLIT & BFP

Happens in OS where there are several threads running in parallel

# Concurrency → execution of multiple instruction sequences at same time

• Thread has a thread control block (TCB)

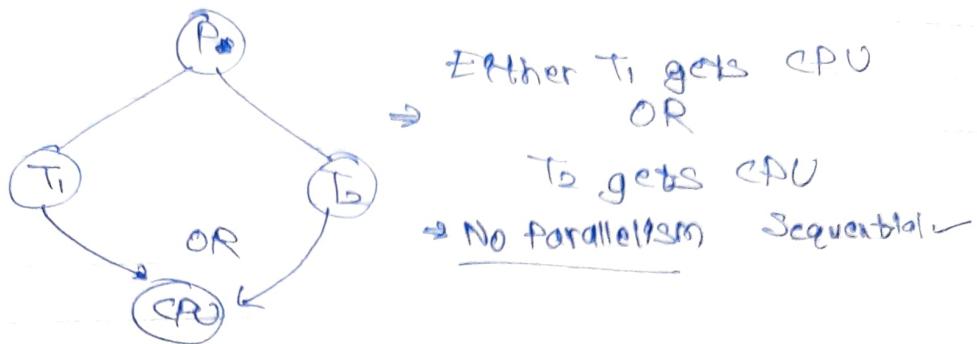
• How each thread gets access to CPU?

→ add of next instr

Ans

- 1) Each thread has its own program counter
- 2) Depending upon scheduling algo, OS schedules those threads
- 3) OS fetches instructions corr. to PC of that thread & execute. instrn.

- There is no point of Multi-threading for Single CPU system



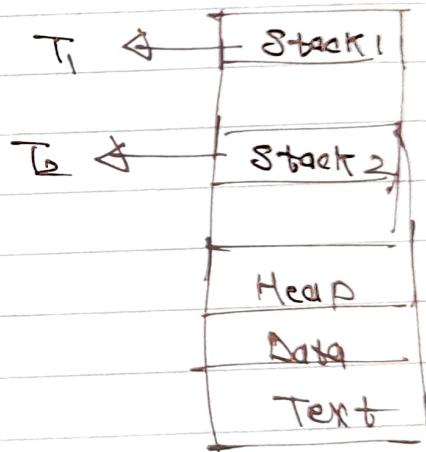
## Memory Organization

### Process



$$\frac{\text{No. of Threads}}{= \text{No. of Stacks}}$$

### Thread



- Benefits of Multi-Threading

- ① Responsiveness → Interactive app jisme user input req., I/O to net internet se much fetching-decoding chalu, background me toh our task chalu, ye independent paths thread me divide ho jate hai, so agar 1 thread input le rahi hai, toh toh our thread truck our kaam kar sakte hai, & otherwise ye tasks sequentially karne padte

② Resource Sharing → Efficient, Overhead ↓ (Threads share resources instead of each process having its own copy)

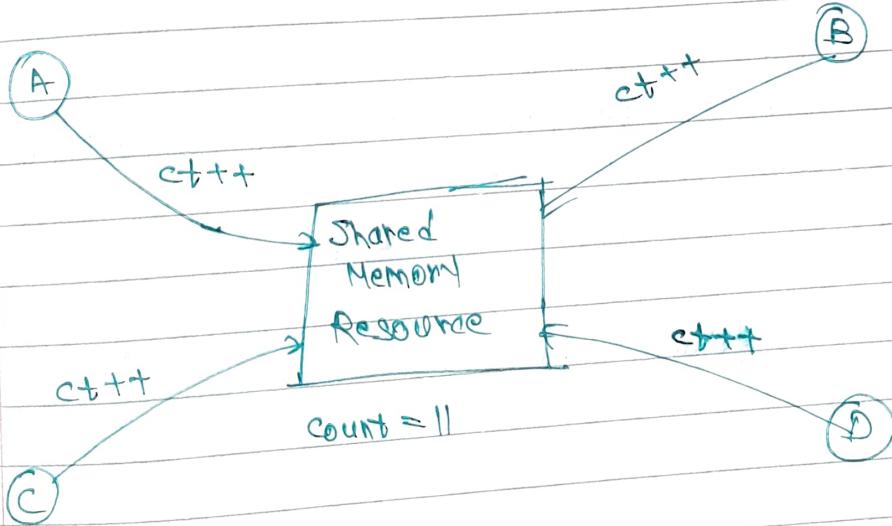
③ Economical → Threads creation & Context Switching is more economical than Processes (Cause processes take up more resources, add ↑ space allocated to memory pages)

④ Threads better utilize Multi Core CPU

Eg 8 cores have parallel process to 8 independent tasks  
we divide task into 8 parts & give each to each CPU provide task  
sakta

7:04:36 → Code

## # Race Cond<sup>n</sup>



$A \Rightarrow ct++ \rightarrow tmp = ct + 1 = 12$ , then  $ct = tmp$  but before  $ct = 12$   
 $B \Rightarrow ct++ \rightarrow tmp = 12$ , since parallel exec<sup>n</sup> is going to happen  
Hence we find out that count ↑ just by 1, instead of 4

Race Cond<sup>n</sup>

- Critical Section  $\rightarrow$  Segment of code where threads access shared resources, such as common files & variables, and perform write op. on them.
- Race Cond  $\rightarrow$  Occurs when 2 or more threads access shared data & try to change it at same time. Result of change in data is dependent on Thread Scheduling algo. (Since the algo can swap b/w threads at any time & hence we don't know order in which threads attempt to access data)
- Sol :-

- 1) Atomic Operations  $\rightarrow$  Make critical code section an atomic operation i.e. executed in 1 CPU cycle

$count++ \Rightarrow tmp = count + 1$

$count = tmp$

Earlier

1 CPU cycle

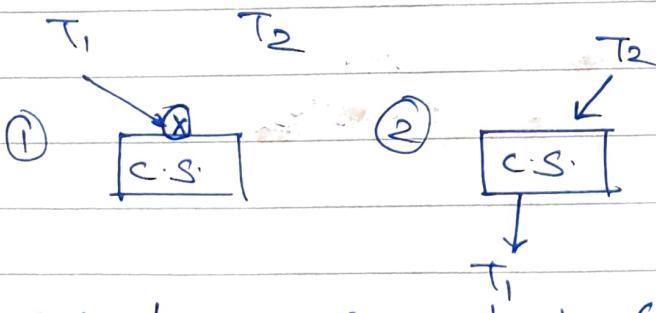
1 CPU cycle

Atomic

} Combined  
1 CPU  
cycle

We can make Atomic Variable in C++

- 2) Mutual Exclusion



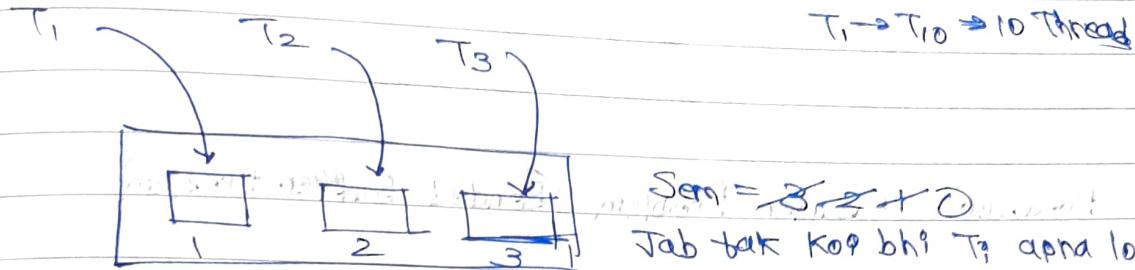
Only 1 thread should execute C.S. at a time (Sequential)

It can be done using Locks (Mutex)

Only after T<sub>1</sub> unlocks, T<sub>2</sub> can access C.S.

- 3) Semaphores

- It is an integer variable
- Resource ke multiple instances hai



- Thread calls wait() for acquiring resources & calls signal() while releasing resources

Wait(s)

signals:

$S \rightarrow \text{Value} --$

$S \rightarrow \text{Value} ++$

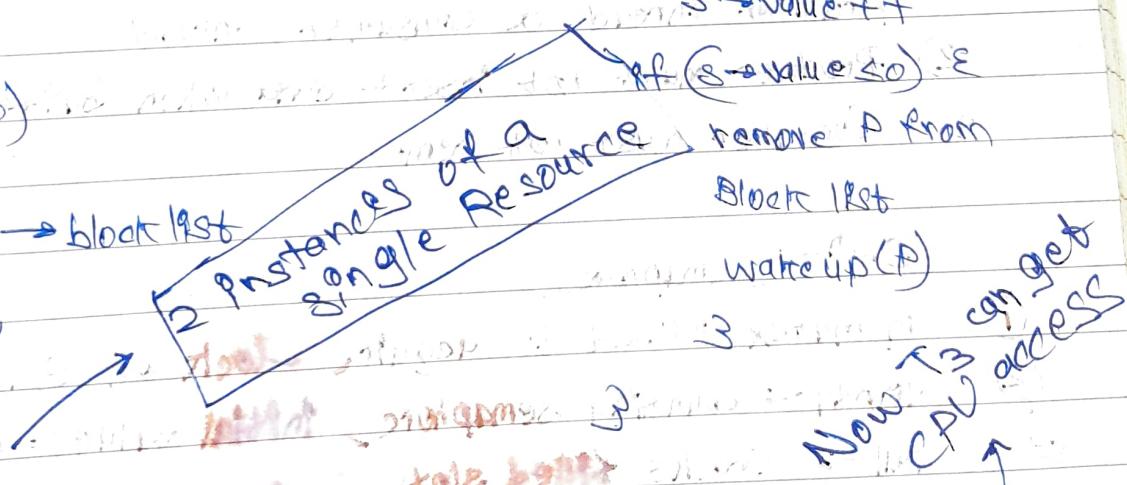
if ( $S \rightarrow \text{value} < 0$ ) i.e. minimum value of S is less than 0 then (S → value = 0)  $\rightarrow$  Block list

E:

add to  $S \rightarrow \text{block list}$

Block C;

3



Eg

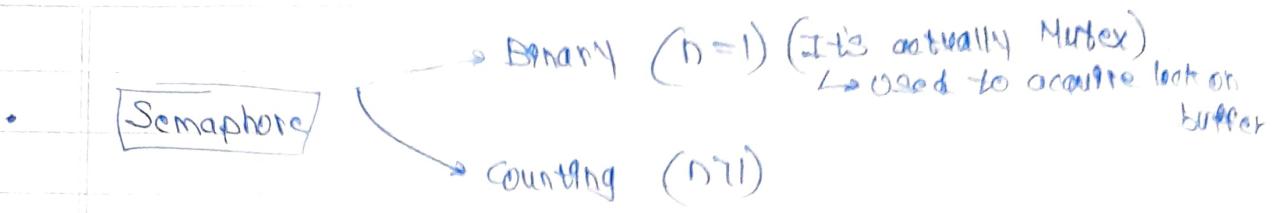
Semaphore S(2);

$T_1 \rightarrow \text{wait}()$   $\rightarrow S \rightarrow \text{val} = 1 \rightarrow$  After exiting from CS.  $\rightarrow \text{signal}()$

$T_2 \rightarrow \text{wait}()$   $\rightarrow S \rightarrow \text{val} = 0 \rightarrow$

$= -1 \rightarrow \text{Block C}$  (Thread is Blocked)

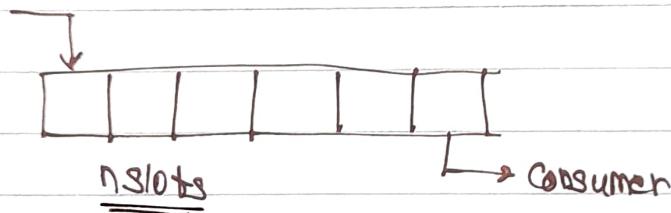
$T_3$



## # Producer-Consumer Problem (Bounded Buffer problem)

- ① Producer Thread
- ② Consumer Thread

Producer



Problem?

- 1) Since buffer is shared resource, I want synchronization b/w producer thread & consumer thread
- 2) Producer must not insert data when buffer is full
- 3) Consumer must not remove ————— empty

Sol → Semaphores

- ① n, mutex → Used to acquire lock on Buffer
- ② empty → counting semaphore. Initial value = n → Tracks empty slots
- ③ full → Tracks filled slots. Initial value = 0

## Producer

```

do E
    empty = 170 → move on to ① access CS do E
    g hence Producer
    Now you can be able to
    whereas consumer
    can't
    wait(empty); // wait until empty != 170,
    then empty → value --
    wait(mutex); —①
    // CS; add data to buffer
    signal(mutex);
    signal(full); // increment
    full → value -
    3 while(1)

```

## Consumer

```

empty = 0 (initial), so full --
remove part waiting state
wait(full); // wait until
full != 170, then full -
wait(mutex);
// remove data from Buffer
signal(mutex);
signal(empty); // increments empty
3 while(1)

```

Job Auna Buffer filled ho  $\rightarrow$  empty = 0  $\rightarrow$  New producer has to wait & consumer accesses C.S.

### wait(mutex):

- 1 Thread calls `wait(mutex)` & releases associated mutex
- 2 Thread enters a waiting state, where it is paused
- 3 Another thread, when appropriate, sends a signal to wake up waiting thread
- 4 Waiting thread is then reawakened & resumes its execution & attempts to reacquire mutex before resuming even

## # Reader-Writer Problem

- ① Reader Thread  $\rightarrow$  Read & Writer Thread  $\rightarrow$  Write
- ② If 71 ~~thread~~ Readers are reading  $\rightarrow$  No issue
- ③ 71 writers OR 1 writer & some other thread (R/W) in parallel, leads to race cond & data inconsistency

## Soln:- Semaphores due to R+W problems

- ① mutex → Binary semaphore  
To ensure mutual exclusion, when read count is updated,  
No 2 threads modify Read Count at same time
- ② wrt (write) → Binary semaphore  
common for both reader & writer  
Synchronized areas of c.s. b/w reader & writer
- ③ readcount (rc) → Integer (initial value = 0)

### Writer Soln

```

do E
    ↗ Look for data i.e. tot our
    ↗ thread enter ho gayi
    wait(wrt); // ho paygi

// do write operation
    ↗ Now tot our thread
    signal(wrt); // enter ho sakte hai
3 while(true);

```

Reader Soln

Multiple reader can read as  
~~writer mutex~~ increase in rc

```

do E
    wait(mutex); // To mutex reader
    var, cause rc++ can also cause race condition
    use mutex to prevent it
    rc++;
    if(rc == 1) wait(wrt);

```

[Agar writer pehle se c.s. me hogा, to  
 Reader thread vaha block ho jayga,  
 else writer block ho jayga]

So, it ensures no writer can enter even  
 if there is 1 Reader

signal(mutex);

// C.S.: Reader is reading

wait(mutex);

rc--; // reader leaves

if (rc == 0) // no reader is left in C.S.

signal(wrt); // writer can enter

signal(mutex); // reader leaves

3 while(1) // notifies waiting thread that it can resume etc

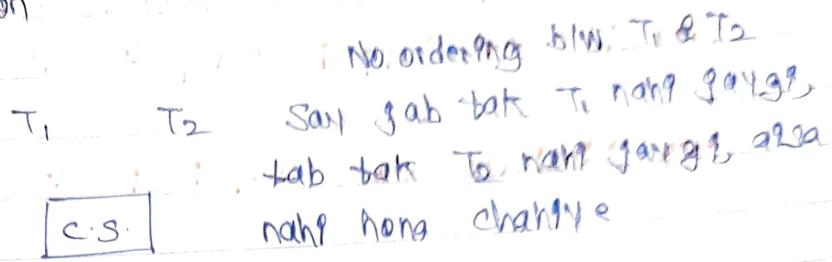
single

Q: Why can't we use flags instead of locks / semaphores?

A: Sol<sup>n</sup> of C.S. should have:

1. Mutual Exclusion

2. Progress



3. Bounded waiting (indefinite waiting) → Every thread should get a chance to access C.S. & hence waiting time should be limited

Eg. Single flag → Turn = 0 | 1

T<sub>1</sub>  
while (1) {  
while (turn != 0);

C.S.

turn = 1

R.S.

Turn = 1 | 0  
T<sub>2</sub>

Turn = 1 | while (1) {  
while (turn != 1);  
C.S.

turn = 0

R.S.

3. Turn = 0, T<sub>1</sub> executes turn = 0, T<sub>2</sub> executes turn = 1, T<sub>1</sub> —

Say, turn = 1, T<sub>1</sub> executes turn = 0, T<sub>2</sub> — = 1, T<sub>1</sub> —  
— = 0, T<sub>2</sub> — = 1, T<sub>1</sub> —

∴ Mutual Exclusion (Only 1 thread is accessing C.S. at a time)  
Progress X since initial value of turn decides ordering b/w T<sub>1</sub> & T<sub>2</sub>

Iska Improved Version is Peterson's Sol<sup>n</sup>

• Peterson's Soln

- flag [2]

- turn

flag [2] → indicates if a thread is ready to enter c.s.

flag [i] = true →  $p_i$  is ready to enter c.s.

turn → indicates whose turn it is to enter c.s.

Structure of process  $p_i$  (in this soln)

do {

  flag[i] = true;

  turn = j;

  while (flag[j] && turn = [j]) { } (Means  
No Body  
for this  
loop)

    critical section

    flag[i] ~~=~~ = false;

    remainder section

}

  while (TRUE);

Now let's say  $p_j$  is ready to enter c.s.  $\Rightarrow$  flag[j] = true, hence the while cond' in above code  $\Rightarrow$  True  $\Rightarrow$  Jab tak ye cond' False nahi hota, jab tak c.s.  $\rightarrow$  not accessible

P<sub>i</sub>

do  $\Sigma$   
 $\text{flag}[i] = \text{true};$   
 $\text{turn} = i;$   
 $\text{while}(\text{flag}[j] \& \& \text{turn} == [j]);$   
 critical section  
 $\text{flag}[i] = \text{false};$   
 remainder section  
 3 while (TRUE);

P<sub>j</sub>

do  $\Sigma$

$\text{flag}[j] = \text{true};$   
 $\text{turn} = j;$   
 $\text{while}(\text{flag}[i] \& \& \text{turn} == [i]);$

c.s.

$\text{flag}[j] = \text{false};$

---

---

Let's say both P<sub>i</sub> & P<sub>j</sub> try to access c.s. at same time

- 1)  $\text{flag}[i] = \text{true}$
- 2)  $\text{turn} = j$
- 3)  $\text{flag}[j] = \text{true}$
- 4)  $\text{turn} = i \Rightarrow$  Final value of turn ??

Hence, while loop of P<sub>j</sub>  $\rightarrow$   $\infty$  loop (with No Body)

Hence, P<sub>i</sub> is able to access c.s.

Hence, P<sub>j</sub> is able to access c.s.

$\therefore$  Now P<sub>j</sub> can access c.s.

Hence, Mutual Exclusion  $\checkmark$  Progress  $\checkmark$  Bounded Waiting  $\checkmark$   
 when 1 process wanted to access c.s., it was allowed to enter  
 & wasn't waiting indefinitely

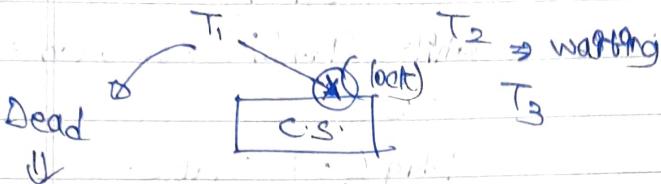
Problem  $\rightarrow$  Safe Only for 2 Threads

#

## Locks Disadv.

①

### Contention



Causes T<sub>2</sub>, T<sub>3</sub> to wait infinitely since the lock was never released

②

### Deadlock

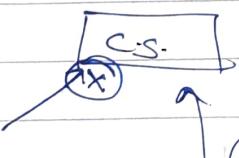
③

### Debugging issue

④

### Starvation

Low Priority thread  
T<sub>1</sub>



Can't access C.S. since T<sub>1</sub>  
has acquired lock on C.S.

Hence it's Starving.

High Priority thread

### Summary:

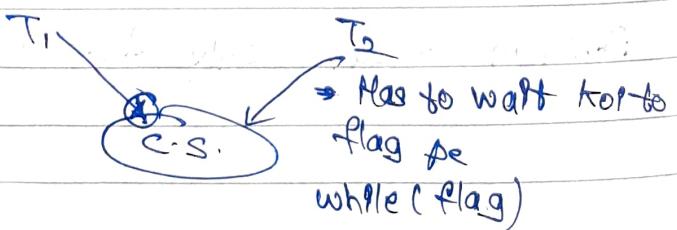
Problem → Race Cond'

Sol'n → Single Flag X (since Progress)

Peterson's Sol'n (Only for 2 Threads)

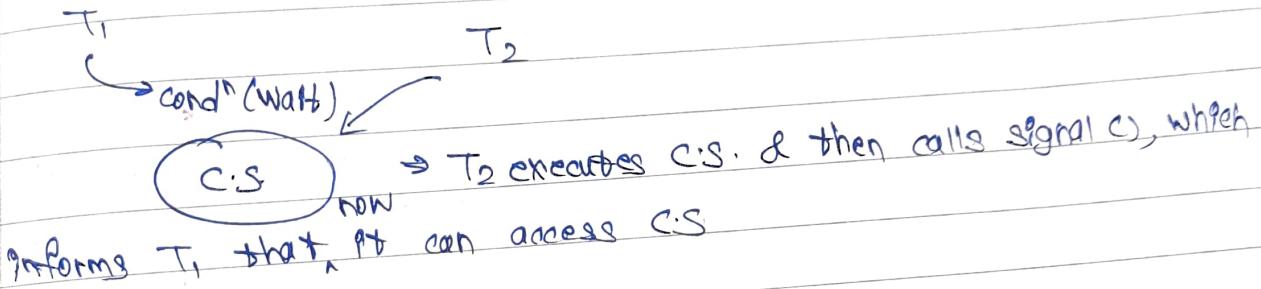
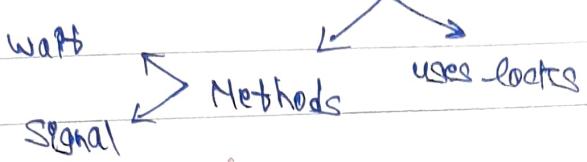
Locks / Mutex (Causes Busy Waiting)

Busy Waiting →



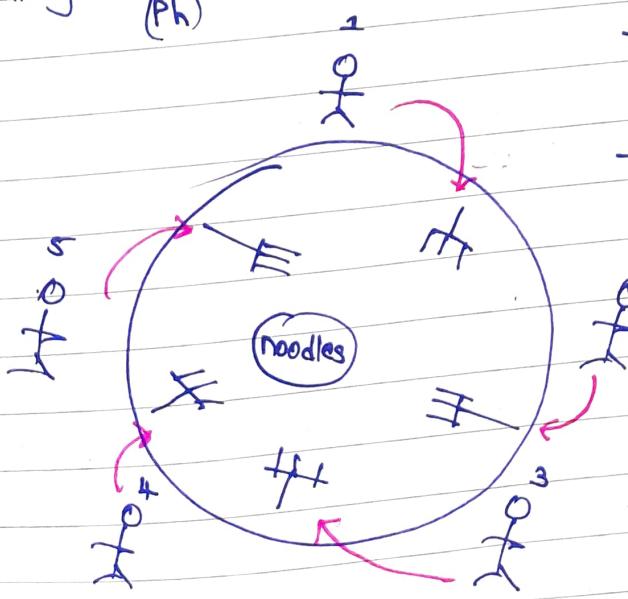
⇒ CPU cycles are wasted

Improved Sem → Use of Conditional Variables



→ NO BUSY WAITING

## # Dining Philosophers Problem (Ph)



→ 2 forks req. to eat noodles  
→ Ideally  $5 \times 2 = 10$  forks req  
but we have only 5  
→ Use semaphores to solve prob<sup>m</sup>

2 → Each fork - Binary Semaphore  
Semaphore fork[5] ∈ 13  
Data type lock ↑ value

→ Ph picks a fork & acquires it  
→ Wait is called on fork L<sub>i</sub>  
→ Ph[L<sub>i</sub>] has acquired fork L<sub>i</sub>

```

SOLN → do E wait(fork[Li]);
        wait((fork[i]+1)%5);
        wait(fork[(i+1)%5]);
        // eat
        signal(fork[Li]);
        signal(fork[(i+1)%5]);
        // think
    } while(1)
  
```

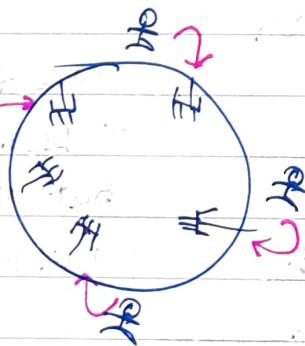
**Problem →**  
 ensures 2 adjacent ph can eat simultaneously but here Deadlock can happen  
 But if all of them are hungry simultaneously & decide to ~~not~~ pick up their left fork & hence acquire a lock on it (see 2 in fig)

Then, 2 is waiting till 5 will release lock on 5, so that it can eat noodles, similr for others  
 $\therefore$  Deadlock

TO avoid this problem:

1. ALLOW at Most 4 ph to sit simultaneously

one eating done, it releases locks on both forks & hence  $\therefore$  problem solved.  
 No deadlock

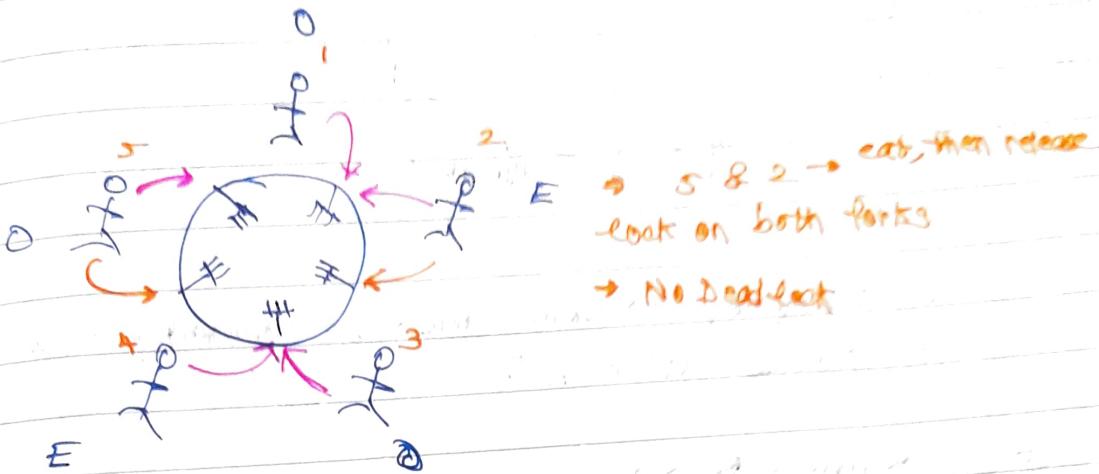
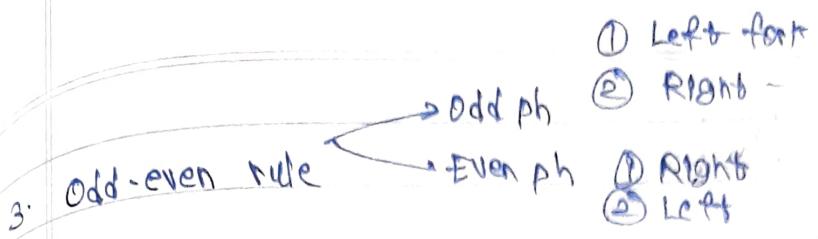


2. Allow ph to pick up fork if both forks available & he must pick them up atomically (in a critical section)

(1) mutex

wait(fork[Li])  
wait(fork[(i+1)%5])

→ C.S.



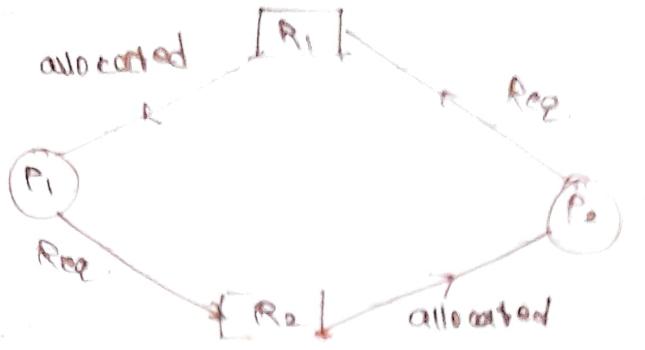
Q How a process/thread utilises a Resource?

Ans ① Request  $\rightarrow$  Check if lock available, Yes? acquire lock on resource, then ② Use resource, ③ Release resource by unlocking the lock, now some other process can use resource

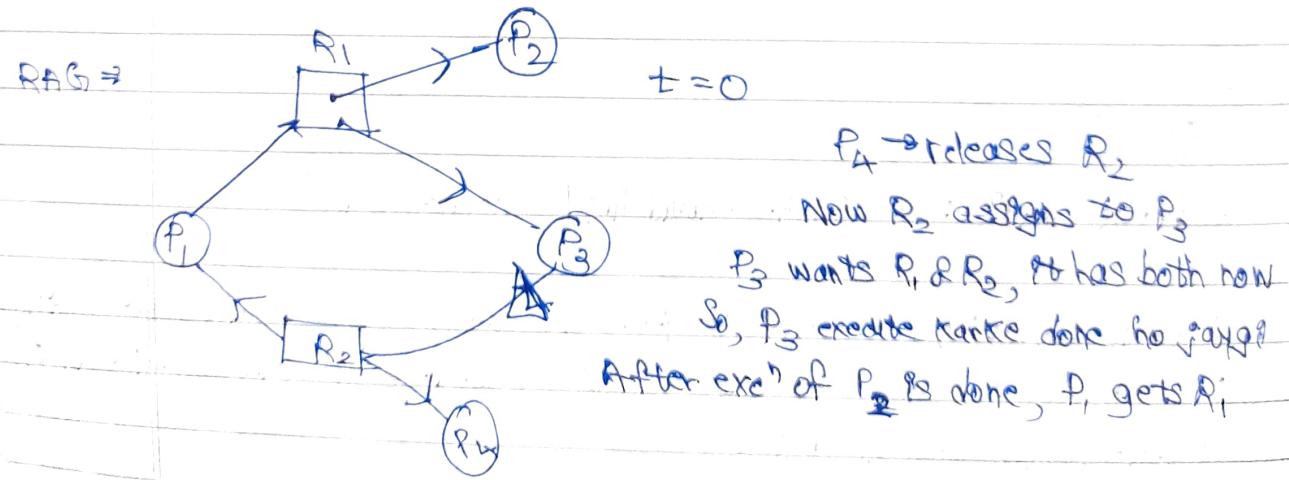
V-JNP for  
JEE Main (2024)

Deadlock Cond's :- (All 4 should hold simultaneously)

- ① Mutual Exclusion  $\rightarrow$  Only 1 process can use a resource at a time, if another process requests that resource, it must wait until resource has been released
- ② Hold & Wait  $\rightarrow$  A process must be holding at least 1 resource & waiting to acquire additional resources, that are held by other processes



- ③ No preemption  $\rightarrow$  Resource must be voluntarily released by process after exec'
  - ④ Circular wait  $\rightarrow$  A set  $\{P_0, P_1, \dots, P_n\}$  of waiting processes must exist s.t.  $P_0$  is waiting for  $P_1$ ,  $P_1$  for  $P_2$  & so on.
- Resource Allocation Graph  $\rightarrow$  cycle  $\Rightarrow$  Deadlock  
 $\rightarrow$  cycle  $\leftarrow$   $\Rightarrow$  Can't tell
- Cycle without Deadlock



## Methods to handle Dead-lock

1. Prevent or avoid Deadlock

2. Allow system to go in DL → Detect → Recover

3. Ostrich Algo → Ignore DL

## Deadlock Prevention:

Keval

① Mutual Exclusion → Non sharable resource inc user number,  
c.s. → Memory Space → To each user one at a time resource use more  
Eg Read Only file → Sharable resource (File can be written, so  
inconsistency can't be achieved) → Don't use locks

But, say printer → It can handle only ~~one~~ process at a  
time → Non-Sharable Resource (since consistency can't be achieved)  
But, ROF se multiple users can read simultaneously

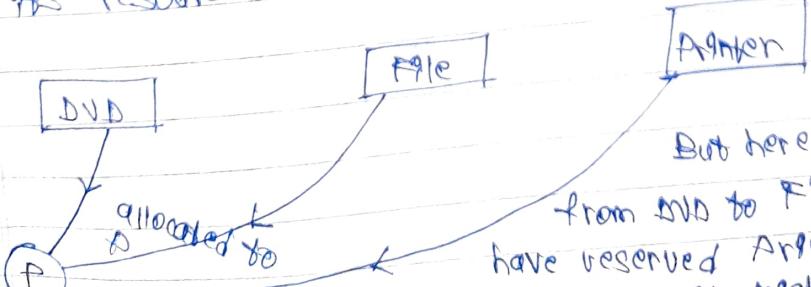
→ Use locks only for Non-Sharable Resource

Eg ROF → Sharable Printer → Not Sharable Resource

→ Some resources are intrinsically non-sharable, hence locking  
mutual exclusion can't prevent Deadlock

② Hold & Wait →

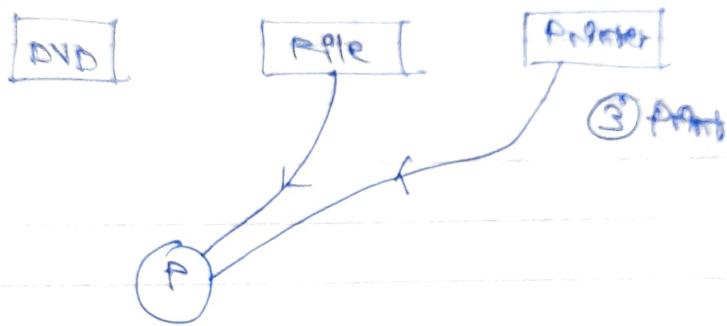
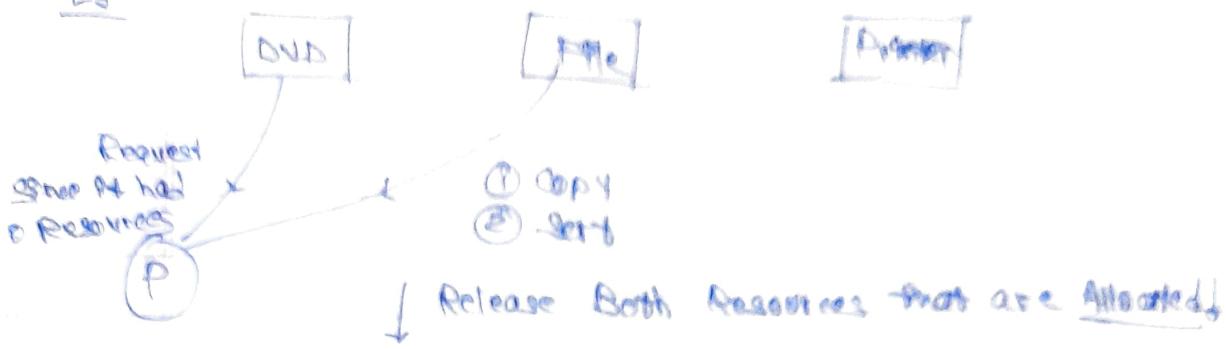
→ Whenever a process requests a resource, it must not hold any other resource  
↳ Protocol CA) → Each process has to request & be allocated all its resources before exec



But here if a copying process  
from DVD to File takes 1hr & I  
have reserved Printer, Printer's time  
getting wasted, it might have done some  
other work

→ Protocol (B) → A process can request a resource only when it has released all the resources that it is currently allocated

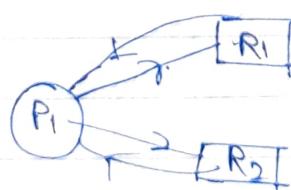
Ex



③ Non-preemption

1)  $R_1 \rightarrow$  allocated but P is waiting for  $R_2$  & R (both) allocated requests  $R_1, R_2$  & Hence, release  $R_1$  & when  $R_2$  is free, acquire lock on both  $R_1, R_2$  and carry out req task

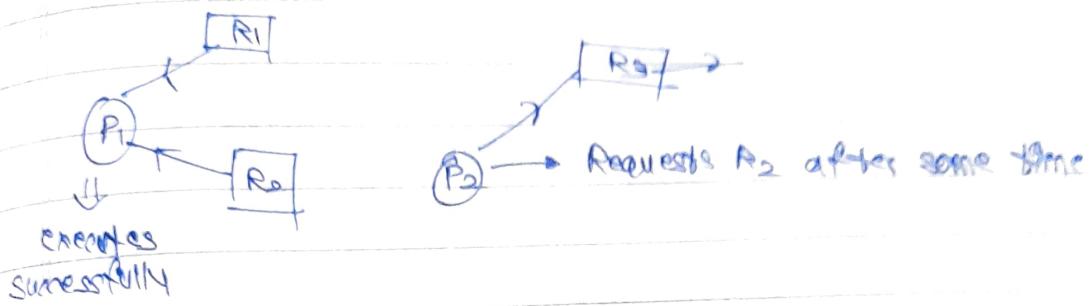
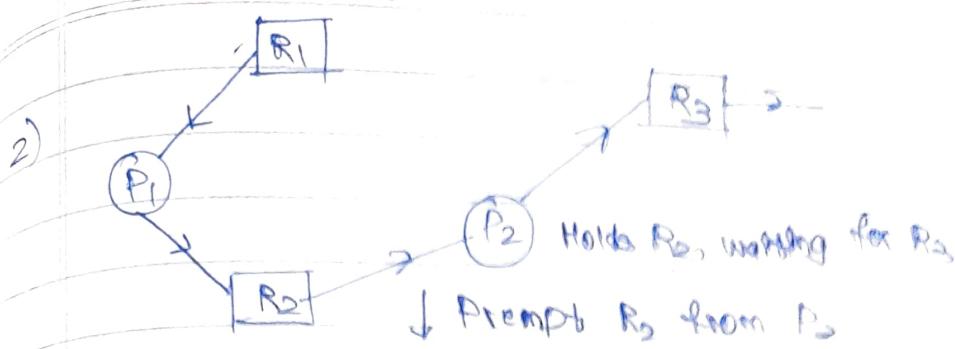
Problem ⇒



If a process tries to acquire locks on 2 processes simultaneously, locks may collide causing Live Lock condn where after some time process tries to acquire lock on those processes again & if the locks collide again, this process keeps repeating

to sleep and then

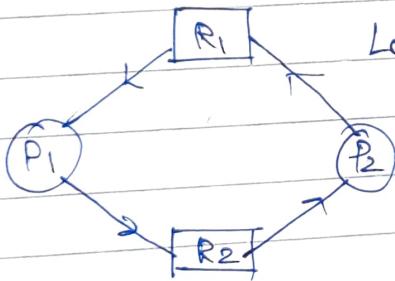
Hence Lock 1 & Lock 2 acquire time to beechme let's say 1 sec



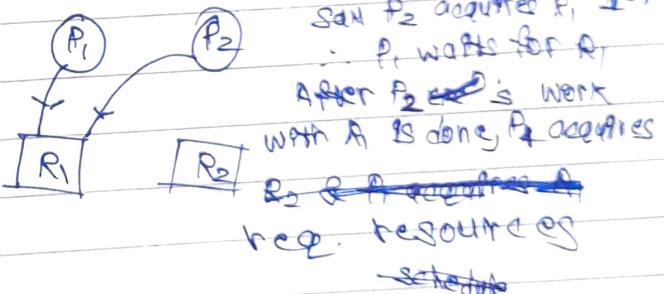
#### ④ Circular Wait

P<sub>1</sub> → R<sub>1</sub> & R<sub>2</sub>    P<sub>2</sub> → R<sub>1</sub> & R<sub>2</sub>

Locks should be ~~acquired~~ acquired in an order  
Say, 1<sup>st</sup> R<sub>1</sub>, then R<sub>2</sub>



\* Fix Order of Locks on Resources



- Safe state → If all allocated resources held, until a process ~~releases~~ releases them  
↳ Each process to resource also due to at the end, system Deadlock free state (All processes should be executed without Deadlock)

Unsafe → System ~~is also~~ configuration has, it is safe again Resources to allocation nota ha, so Deadlock occur ho satta ha!

## Deadlock Avoidance

- Main key of D.A. is that If request is made for resources then request must be approved only if resulting state is a safe state
- Scheduling algo to avoid DL is Banker's Algo

P	(Given)			max. need			(Total - Max. need) resources available			(Max. need - allocated) Rem. need			
P <sub>1</sub>	0	1	0	7	5	3	3	5	2	7	4	3	
P <sub>2</sub>	2	0	0	3	2	2	7	3	5	1	2	2	
P <sub>3</sub>	3	0	2	9	0	2	1	5	5	6	0	0	
P <sub>4</sub>	2	1	1	4	2	2	6	3	5	2	1	1	
P <sub>5</sub>	0	0	2	5	3	3	5	2	4	5	3	1	

Total : 7 2 5  
already

available resources

A B C  
3 3 2

(P<sub>2</sub> allocated since need is 1, 2, 2 & 1, 3, 2 available  $\Rightarrow$

$$\text{Total} \Rightarrow A = 10$$

$$B = 5$$

$$C = 7$$

(P<sub>4</sub> allocated)

(P<sub>5</sub> —)

(P<sub>1</sub> —)

(P<sub>3</sub> —)

7 4 3

7 4 5

7 5 5

10 5 7

3+2, 5+0, 2+0

(Allocated already)

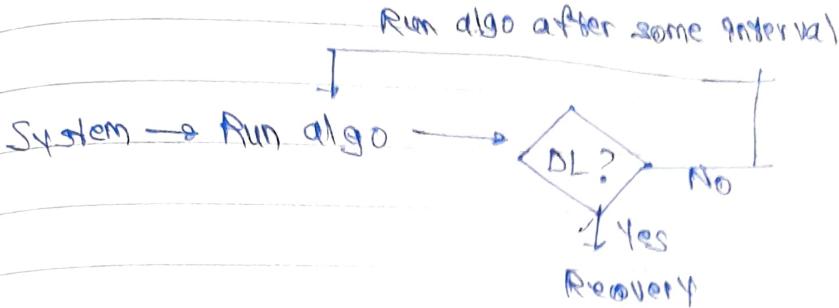
Available

Same as Total

$\therefore$  Scheduling done, hence P<sub>2</sub>  $\rightarrow$  P<sub>4</sub>  $\rightarrow$  P<sub>5</sub>  $\rightarrow$  P<sub>1</sub>  $\rightarrow$  P<sub>3</sub> is safe state

If last allocated process P<sub>3</sub> demanded 8 resources, instead of 6, we had only 7, so P<sub>3</sub> can't be scheduled  $\Rightarrow$  Unsafe State

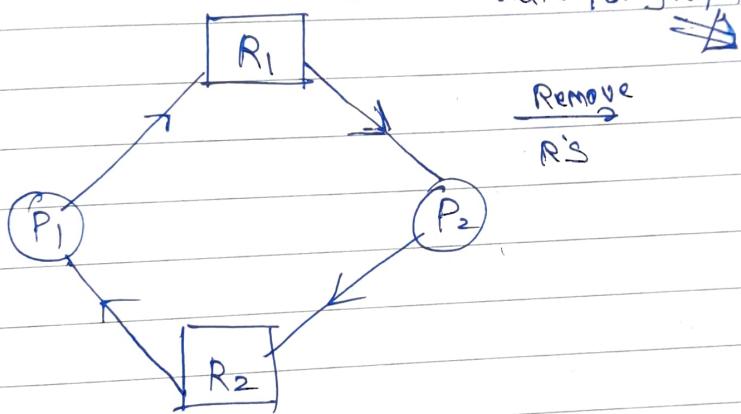
## Deadlock Detection



→ Used if Deadlock prevention or Deadlock avoidance can't be implemented

### ① Single instance of each resource

Resource allocation graph      Wait-for graph



Cycle ✓ Deadlock ✓  
cycle x Deadlock x

### ② Multiple instances of each resource

- Banter algo
- Safe sequence available  $\Rightarrow$  No Deadlock
- No  $\xrightarrow{\quad}$  DL ✓

### • Recovery from Deadlock

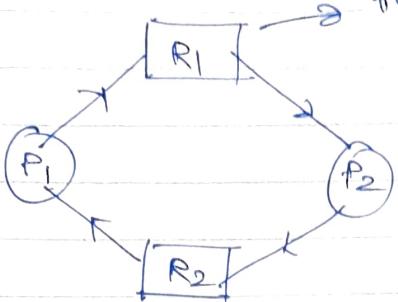
#### ① Process termination

→ Abort all Deadlock processes

→ Abort 1 process at a time (low priority wise) until DL cycle is eliminated

(2)

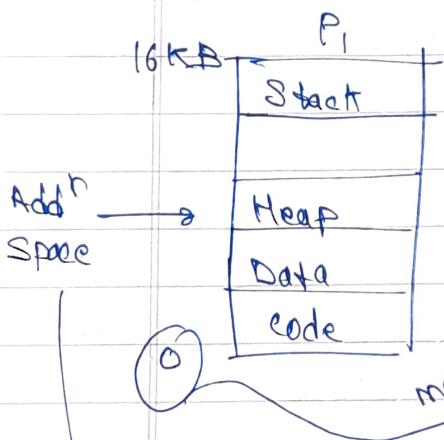
## Resource preemption

Preempt R<sub>1</sub> from P<sub>1</sub>

Now P<sub>1</sub> has both R<sub>1</sub> & R<sub>2</sub>, so once its exec is complete, P<sub>2</sub> acquires R<sub>1</sub> & R<sub>2</sub> and completes

Memory Mgmt

1 GB



144

P<sub>1</sub>

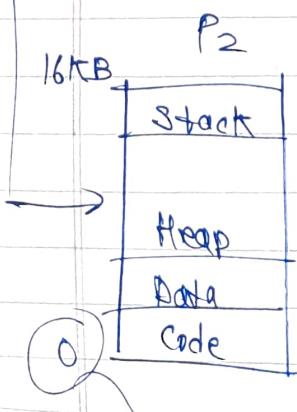
128

80

P<sub>2</sub>

64

RAM



$$B = 128$$

- ①  $P_1 \rightarrow$  Base at RAM (Physical Address Space), offset = 16  
 $P_2 \rightarrow B = 64$ , offset = 16

$$\text{addr}^r =$$

Say,  $P_2$  the code we also touch has, 0 + Random value

$$= 0 + 129$$

$$\text{addr}^r = 129$$

$$P_2 \Rightarrow 0 \xrightarrow{\text{MAP}} 64 \\ \text{to}$$

$$129 \xrightarrow{\text{MAP}} 64 + 129 = 193 \rightarrow \text{actual physical address}$$

OS stores  
Base & offset

OS checks if  $\text{addr}^r = 129$  belongs to  $P_2$

So, it does  $64 + 129 = 193$  & checks Max. value of  $P_2$  in RAM  
 which is 80  $\Rightarrow 193$  add<sup>r</sup> loc<sup>r</sup> may be allocated to some other program  
 $\Rightarrow$  OS throws exception  $\rightarrow$  process trying to do illegal op; hence  
 OS provides Isolation & Memory protection

### Allocation Methods in Physical Memory



#### Contiguous Allocation

$\rightarrow$  Each process is contained in a single contiguous block of memory

① Fixed Partitioning

$\rightarrow$  Main memory is divided into partitions of equal sizes

#### Non-Contiguous Allocation

$\rightarrow$  Paging (V-TNP for Interviews)

③

#### Dynamic Partitioning

$\rightarrow$  Partition size is declared at time of creation  
 Loading

(1)

3MB [Process P1]

3MB [P2]

3MB [P3]

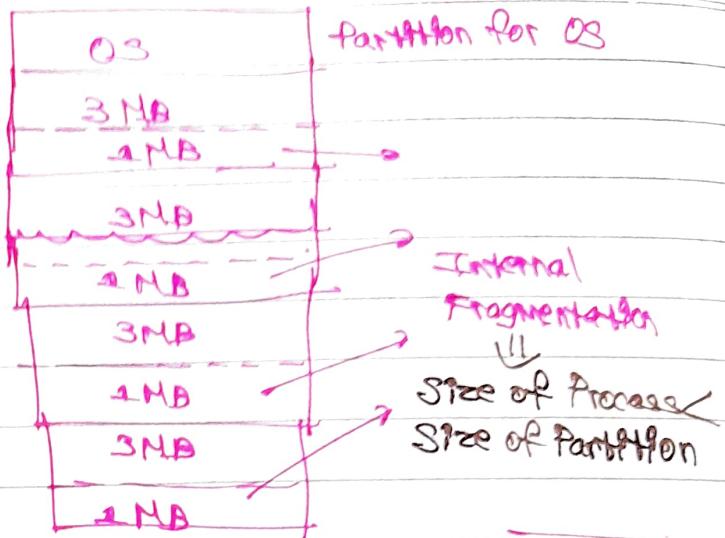
3MB [P4]

Partition 1

Partition 2

Partition 3

Partition 4

Problems

① Limitation on Process Size  
↳ Has to be less than Partition size

② Degree of Multiprogramming ↗

since No. of processes =

No. of partitions

③ Internal & External Fragmentation

Total unused space of

Various partitions can be used to load the processes

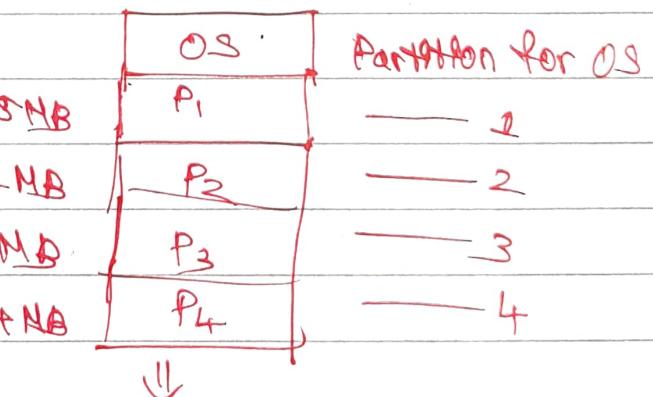
even though there is space but not in Contiguous form

1 MB
1 MB
1 MB
1 MB

Unused Space

(External Fragmentation)

(2)



Process Size = Partition Size

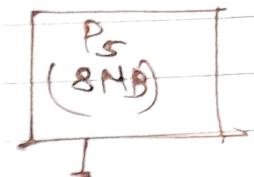
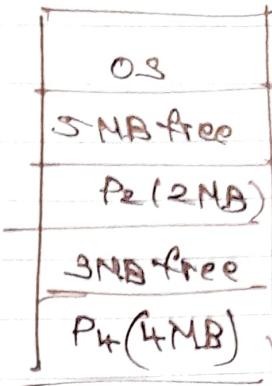
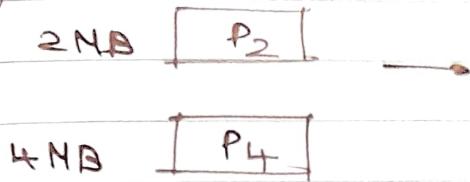
→ No internal fragmentation

→ No limitation on size of process

→ Better degree of multiprogramming

## Problem

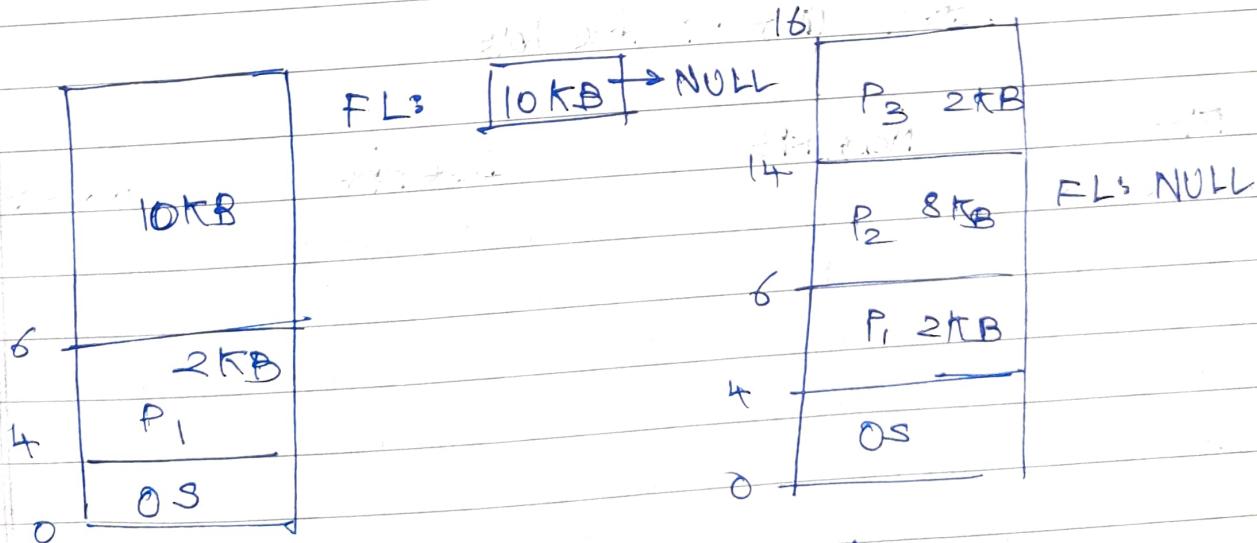
Ext. Frag<sup>m</sup>



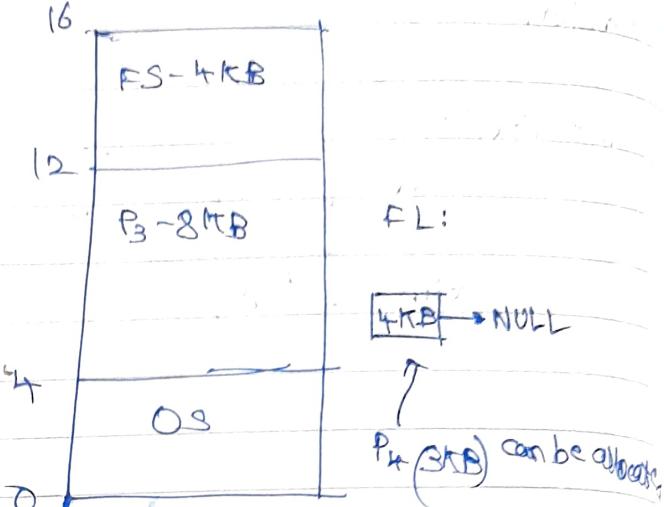
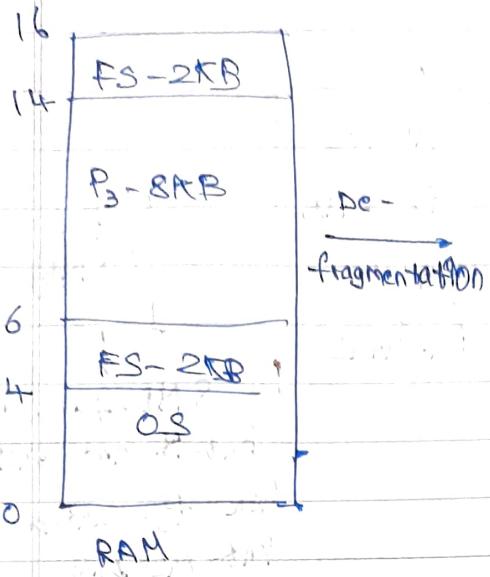
8 MB space available  
but not contiguous  
hence  $P_5$  can't be loaded  
into memory

- OS uses freelist to manage freespace  
(linked list) → stores starting add<sup>n</sup>

- Defragmentation → Free space → to left side & used space → to right side more rare so that F.S. contiguous form memory hole



Say, Total free space = 4 KB ( $2+2$ ) → Process 3 KB to config<sup>n</sup>  
can't be allocated → This is Fragmentation



brought together

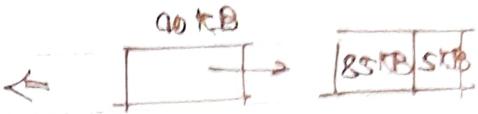
- All free partitions are made contiguous & All loaded partitions.
  - We can store bigger process in memory
  - Eff. of system ↓ since free space is transferred from several places to single place

To satisfy request of n size  
from list of free holes

First Fit	Next Fit	Best Fit	Worst Fit
Allocate 1 <sup>st</sup> hole that is big enough	Enhancement of first fit	Allocate smallest hole that is big enough	Allocate largest hole that is big enough
Simple & fast	→ Search always starts from last allocated hole	→ Less Internal frag	→ Slow
P <sub>i</sub> : 90 kB	from last allocated hole	→ External frag	→ Leaves larger holes
50 → 100 → 90 → 200	→ Same Adv as First fit	→ Slow	that may accommodate other processes hence ext frag
↓ FL: 50 → 100 → 90 → 200	100 → 90 → 200 ↑ Allocation P <sub>i</sub> of P <sub>j</sub> (process) last allocation ↓ Next allocation starts from P <sub>i</sub> + 1	P <sub>i</sub> → 90 kB allocated 50 → 100 → 200 → 50 ↓ P <sub>i</sub> : 90 kB	50 → 100 → 90 → 200 → 50 ↓ 90 kB 110 kB

Best Fit  $\rightarrow$  External frag<sup>m</sup> size  $\rightarrow$  P: 83 KB

also h agar aur process ke saath ho gaya to  $\Rightarrow$



FL: [2 KB]  $\rightarrow$  [3 KB]  $\rightarrow$  [5 KB]  $\rightarrow$  [1 KB]

can't be allocated

P: 7 KB

$\rightarrow$  external frag<sup>m</sup>

ye hota hai due to choke chunks of unused space, ye 2 KB agar 7 KB me tabdi ho jata, to problem solved i.e.  $\uparrow$  space of every free space  $\rightarrow$  This is done by Worst Fit

W.F:

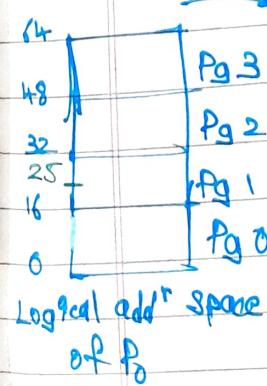


$\rightarrow$  110 KB is free space hence all  $P_i < 110$  KB can be allocated

## Paging

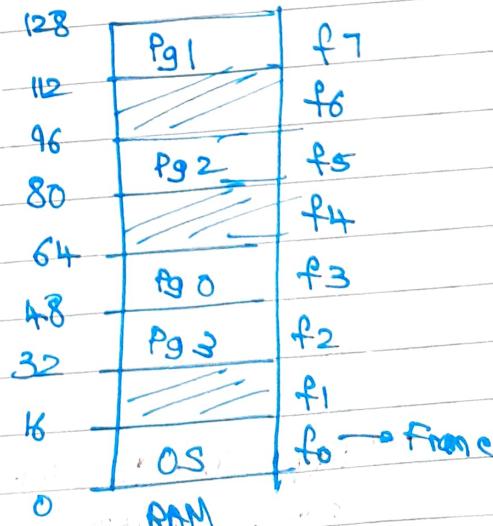
# Page size = Frame size !!

Non contiguous allocation  
of a process



64 KB  
Pg 3  
Pg 2  
Pg 1  
Pg 0  
Logical add<sup>r</sup> space of P<sub>0</sub>  
 $\downarrow$   
ext<sup>m</sup> frag<sup>m</sup>X

Logical add<sup>r</sup> space  
+  
Fixed size division  
 $\downarrow$   
Page



(Physical add<sup>r</sup>)

# Each process has its own page table

### Page Table

(Binary) Logical Page no.

00 Pg 0

01

10

11

(Binary)

Physical Page no.

f<sub>3</sub>

f<sub>7</sub>

f<sub>5</sub>

f<sub>2</sub>

P<sub>0</sub> → Logical add<sup>r</sup> → 64 bytes =  $2^6 \Rightarrow 6$  bits req. to identify each add<sup>r</sup> uniquely

Let, add<sup>r</sup> = 25 =  $\boxed{01100}$

page no. → offset (d)

(P) → No. of pages = 4 =  $2^2 \Rightarrow 2$  bits for page

25 → p + base add<sup>r</sup> + offset = 16 + 9

25<sup>th</sup> locn in Logical add<sup>r</sup> space = (Pg 1 in f<sub>7</sub>)  $112 + 9 = 121$

→ frame no. 7

+ Base offset

121 =  $\boxed{111} \boxed{1001}$

→ offset

No. of frames = 8 =  $2^3 \Rightarrow 3$  bits for frame

Page no.

$\boxed{0} \boxed{1} \boxed{1} \boxed{0} \boxed{0} \boxed{1}$

(offset remains same)

$\boxed{1} \boxed{1} \boxed{1} \boxed{1} \boxed{0} \boxed{0} \boxed{1}$

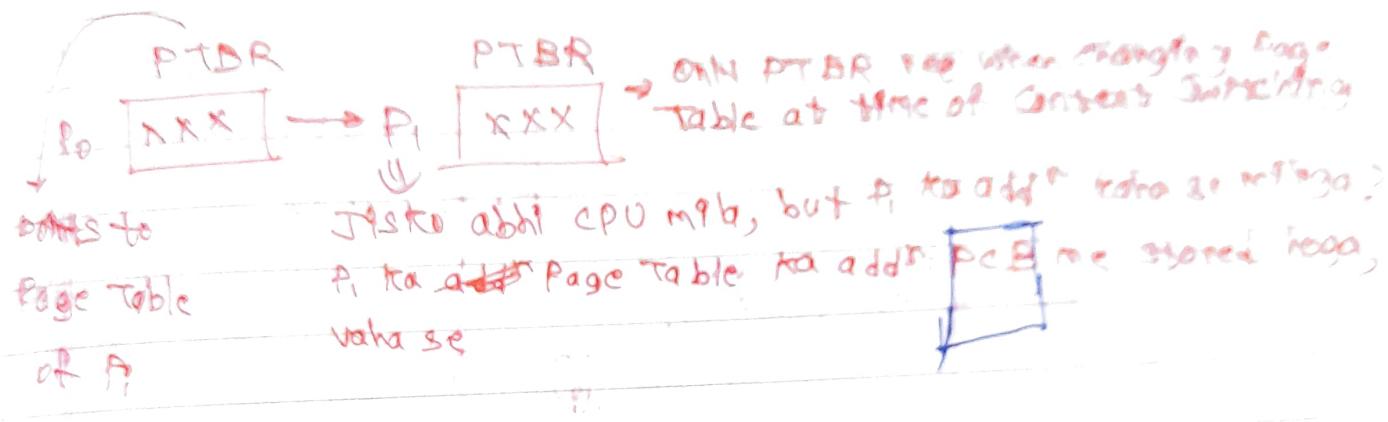
(frame no.) (offset)

using page  
table

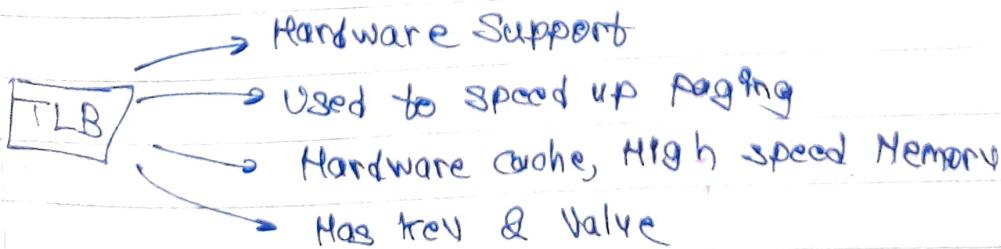
→ Page Table is stored in main memory at the time of process creation & its base add<sup>r</sup> → stored in Process Control Block (PCB)

Context Switching se  $PCB$  ki value  
change ho jaygi

- Page Table Base Register (PTBR) is present in system that points to current page Table
- Jab  $\text{PC}$  process  $i$  ko tarne jaygi, to ref our process  $i$  CPU ka access nahi lega, hence Page Table bhi change hona chahiye

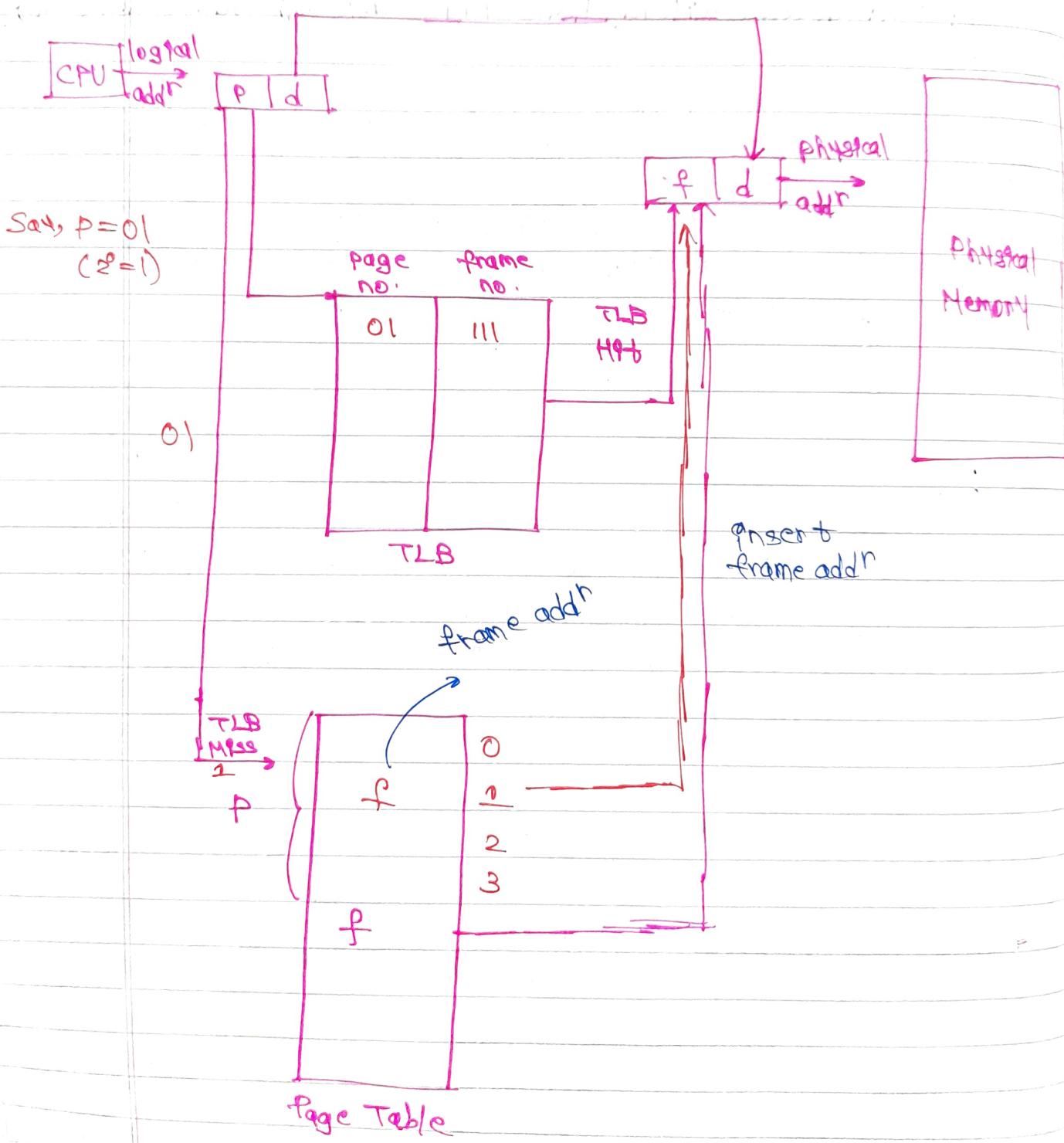


- Memory References → Page Table → stored in RAM, logical se physical add<sup>r</sup> mapping me page table ko mai ek reference dalta hu, vaha se mughe frame mija (corr. to that page) (frame ka base add<sup>r</sup> mija), then I add offset → Overhead
- Paging → slow ⇒ Use TLB



## Paging, Hardware, with TLB

"d" remains as 9b. Is



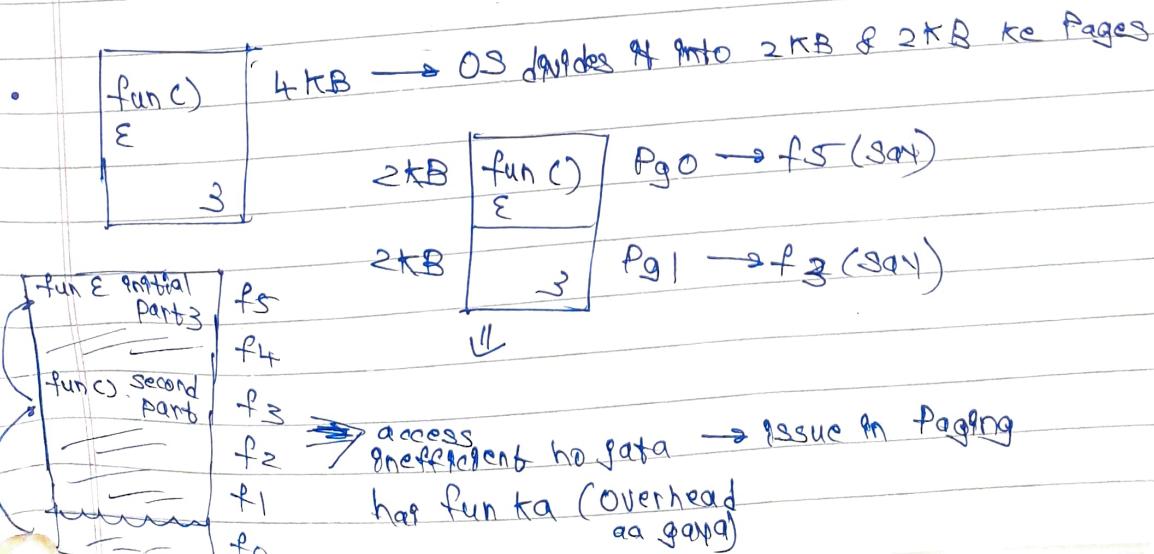
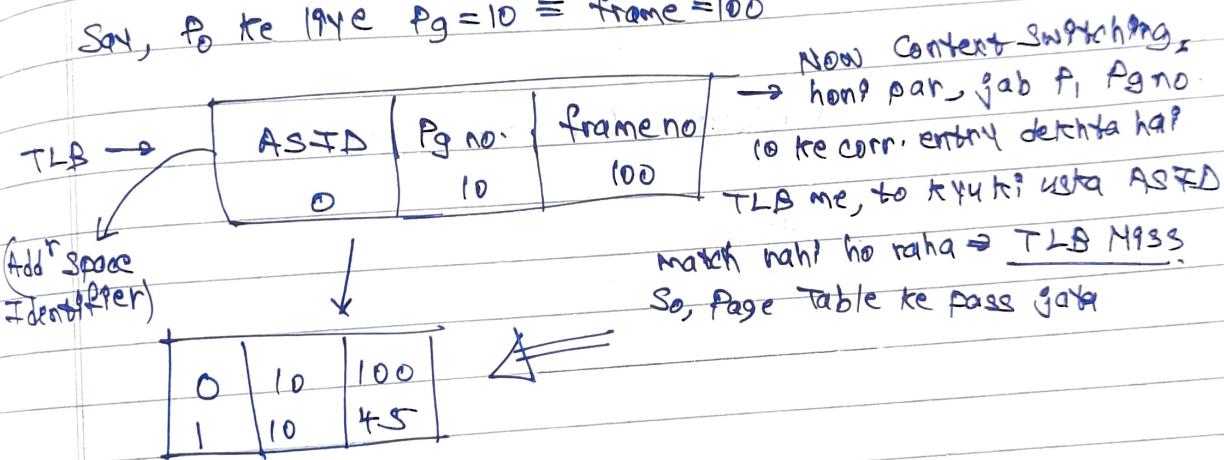
classmate  
Date \_\_\_\_\_  
Page \_\_\_\_\_

Parantu, gabhi Context Switching hogi, Page Table ga pchle  
to ke corr. tha, vo p, ke corr. ho jayga, to kya har baar  
TLB ke entries flush karne? changes nahi karte to  
Isolation & Memory Protection ka problem ho jayga

Sol:-

- ① TLB Reset → Baar Baar flush karne par, Cache ka purpose  
ki defacto ho jayga, cause enough entries dal hi nahi
- ② Unique Identifier in TLB that identifies unique processes

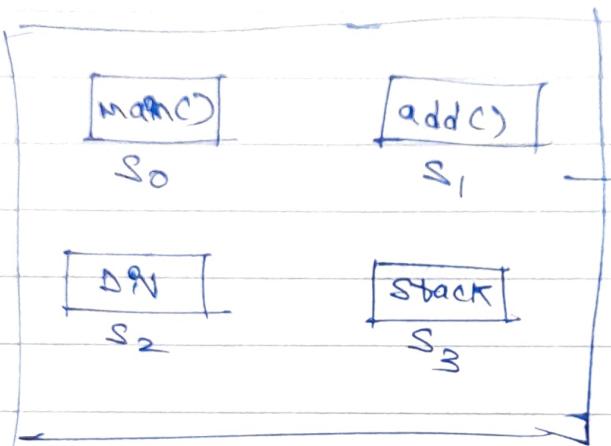
Soh, to ke liye Pg = 10 = frame = 100



Sol:- Segmentation

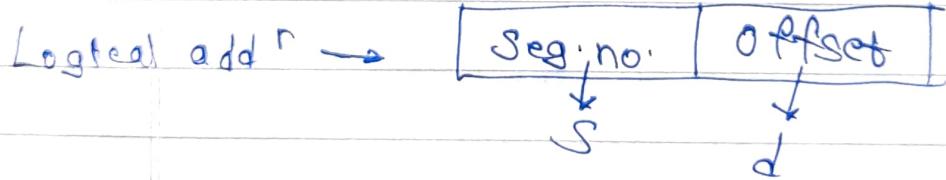


Different Segments  
for different P's

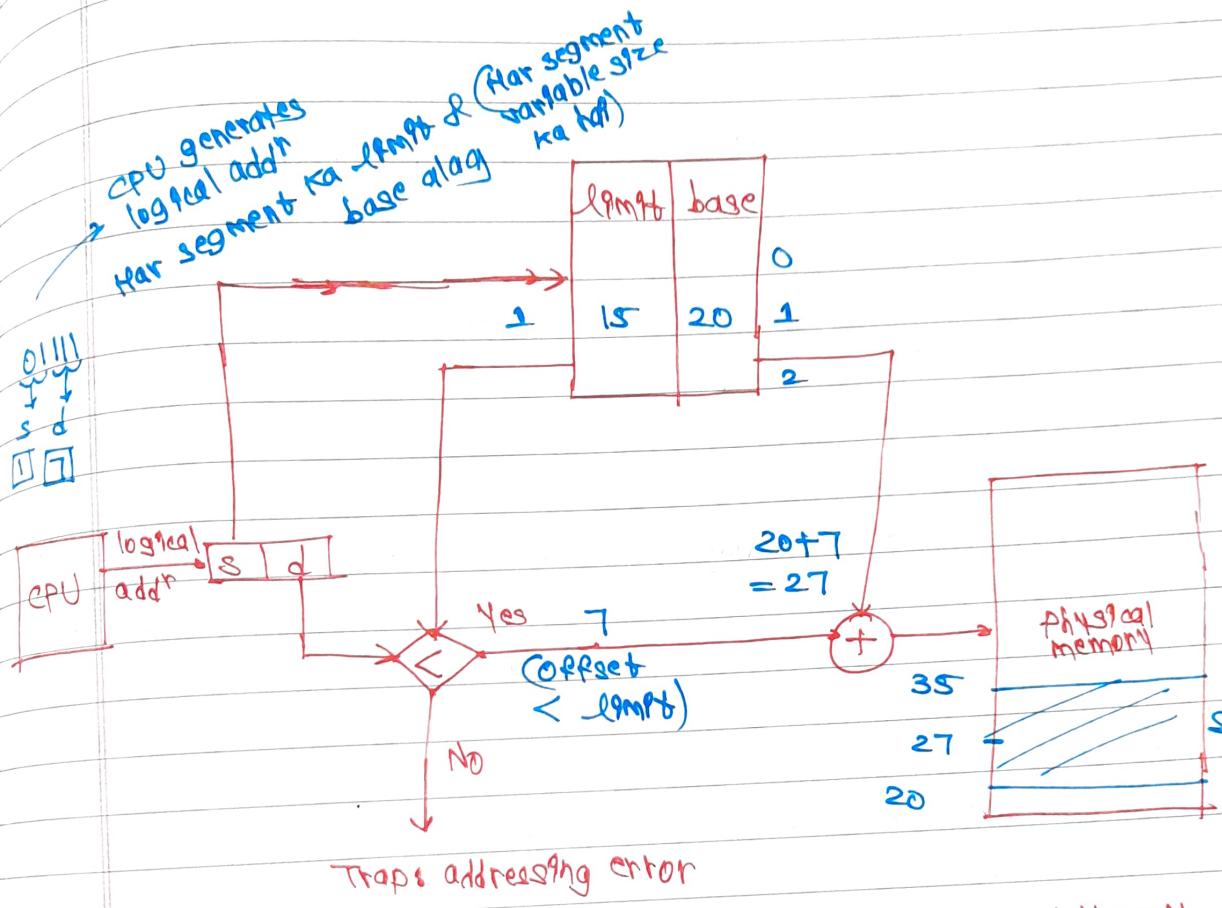


Segment size is different  
for each segment

MNU  $\rightarrow$  add<sup>n</sup> Translation (Logical  $\rightarrow$  Physical)

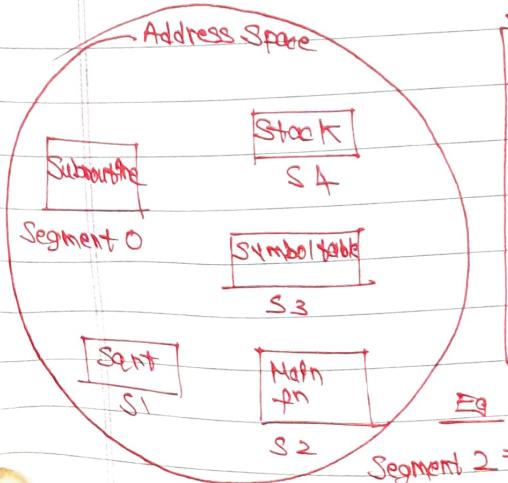


## Segmentation Hardware



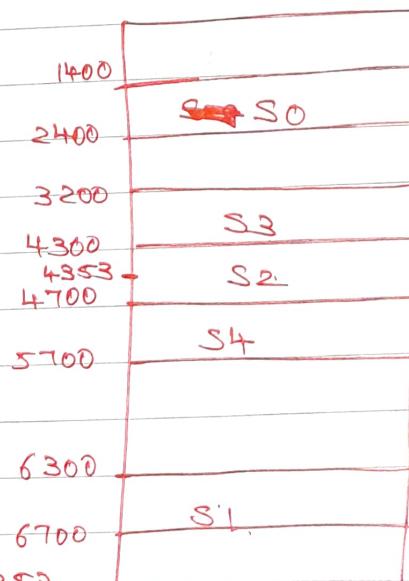
Physical Memory

## Example of Segmentation



Segment Table

Limit	Base
1000	1400
400	6300
400	4300
1100	3200
1000	4700

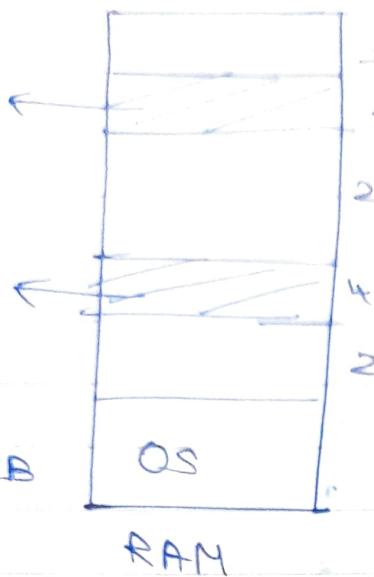


$$\text{Segment 2} = 4300 + S_3 = 4353$$

(Since  $S_3 < 400$ )

Ex

Pos<sub>i</sub>



$P_1 \rightarrow S_0 \rightarrow 2\text{KB}$   
 $S_1 \rightarrow S_1 \rightarrow 3\text{KB}$

↓

can be allocated  
(at ①/②)

But not  $S_j$ ,  
∴ In Segmentation,  
Ext. frag ✓  
Int. frag X cause  
I'll allocate pure segment

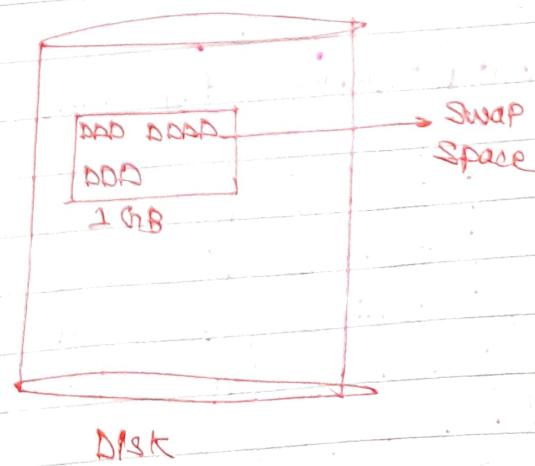
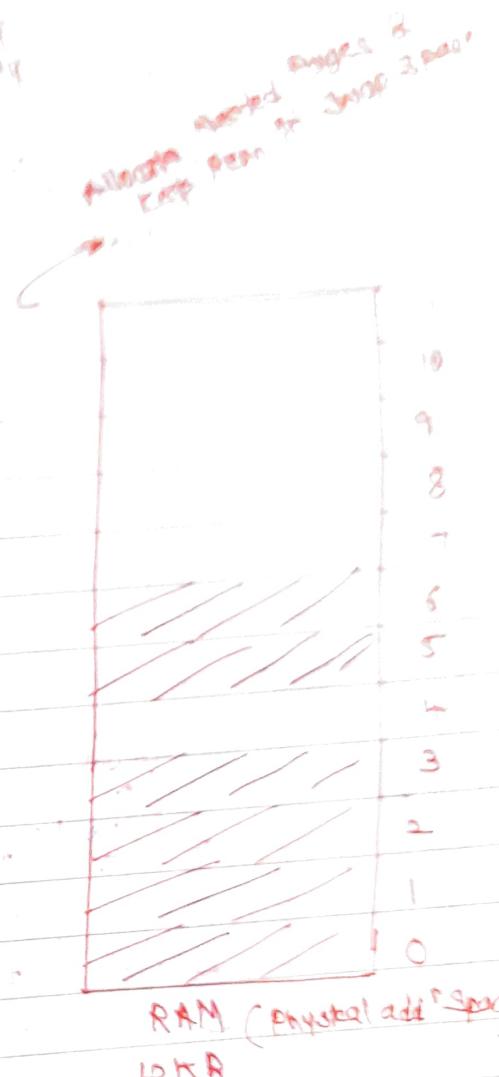
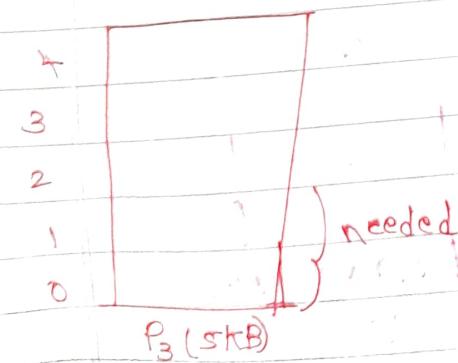
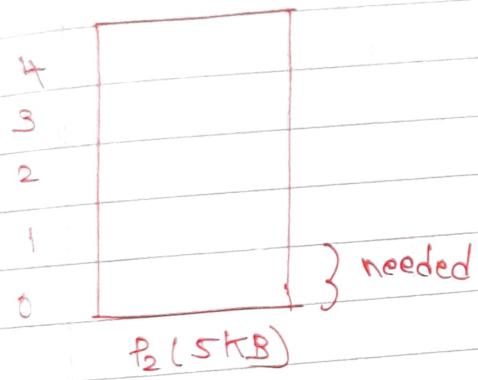
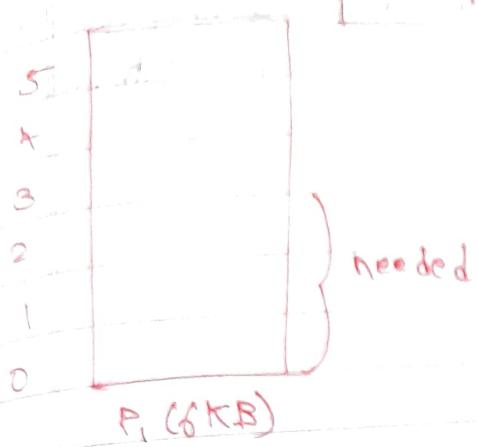
Say, 100 KB ka program, 2 KB each ke pages me divided  
 $\Rightarrow$  No. of pages =  $\frac{100}{2} = 50 \Rightarrow$  Page Table  $\rightarrow$  len = 50, but 100  
 program may just contain 2 segments  $\Rightarrow$  Segment Table  $\rightarrow$   
 only 2 entries

- Diff size of segment  $\rightarrow$  Not good for swapping  
 Segment size & Time req. for swapping

• Internal frag  $\nwarrow$   $\nearrow$  Paging  $\leftarrow$  Segmentation

## Virtual Memory Management

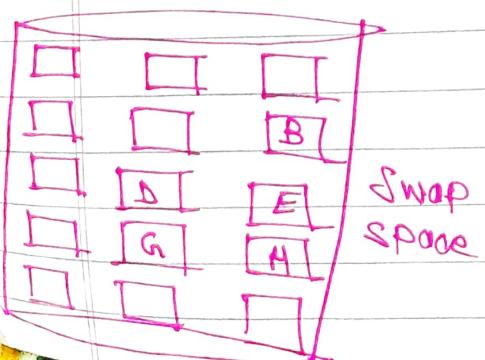
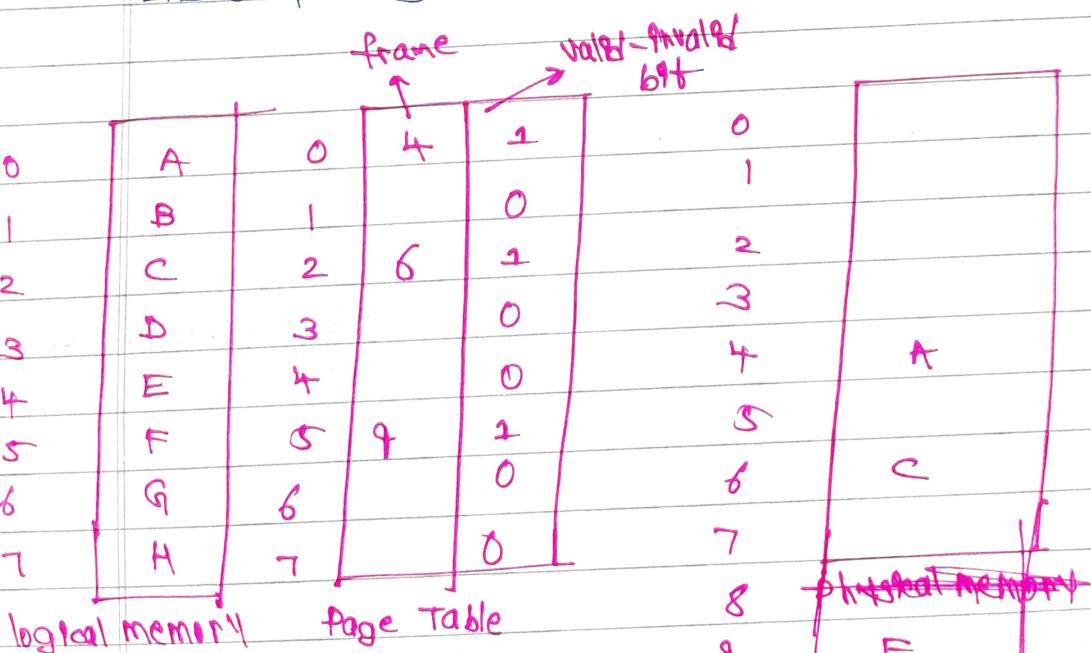
[RAM Swap Area] → Virtual Memory



- User is able to run multiple programs using single RAM
- Degree of Multiprogramming ↑
- User can run a program which is larger than physical memory
- Both same processes require half needed pages resulting, CPU Utilization ↑
- Used Nowadays

From process to Swap In - Swap out Karma → Swapper  
 PER Page → Pager

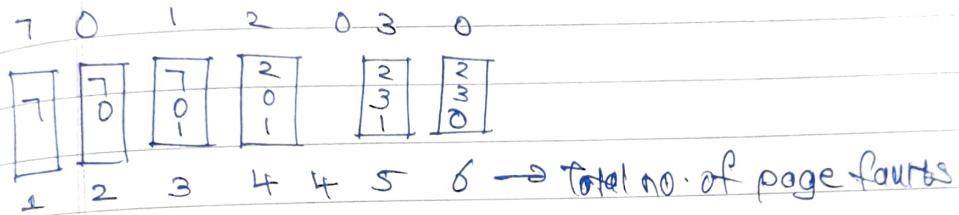
Valid-Invalid Bit = 1 → Associated page is both legal &  
 = 0 → Page is either not valid (not in  
 LAs of process) or is valid but is currently on disk



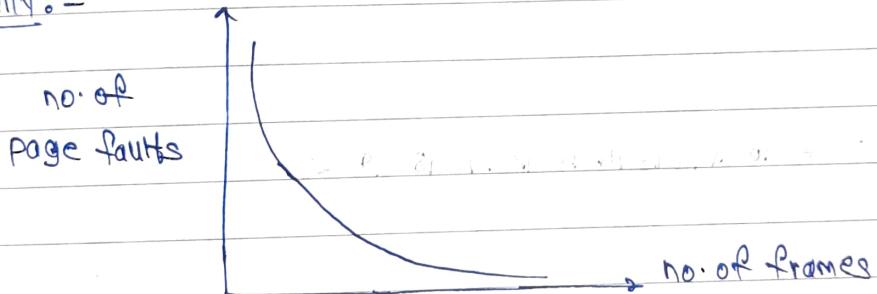
locality of reference  $\rightarrow$  वो pages जो pagger to log raha hain  
ki mtlb need hogi

## # Page Replacement Algos

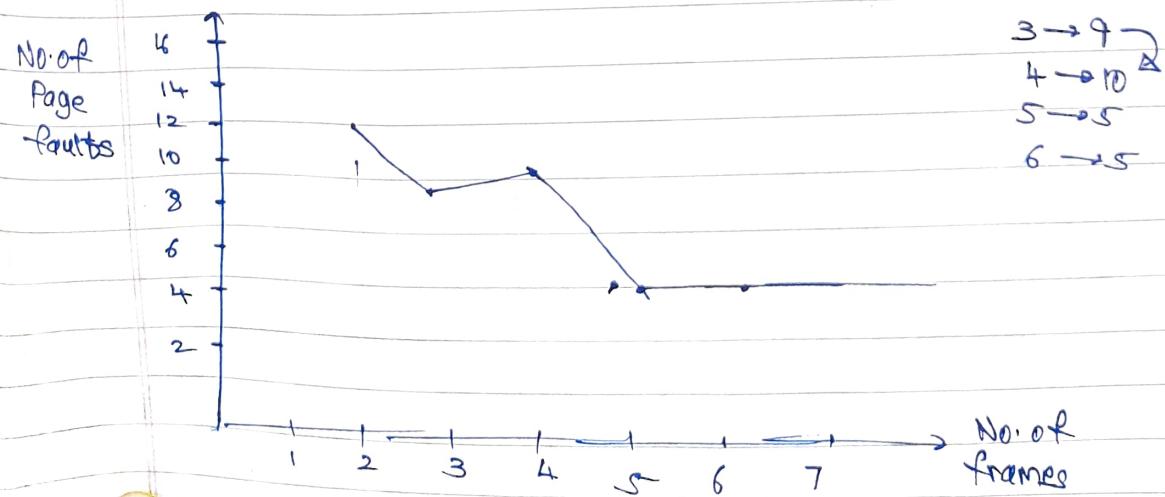
### ① FIFO



Normally :-



= Belady's anomaly in FIFO





**Problem:** A process doesn't have no. of frames to support pages in active use leading to page faults

**Sol<sup>n</sup>:** Replace some pages

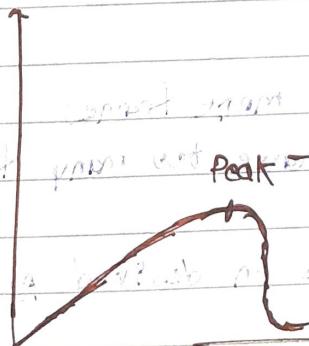
Method: Swapping

**Problem in Sol<sup>n</sup>:** All Pages are in active use, hence it must replace a page that will be needed again right away. Hence it faults again & again, replacing pages that it must bring back in immediately. Thus the time spent in bringing back pages is more than the time spent in executing processes.

→ Spends more time servicing page faults, than executing processes

If Degree of Multiprogramming  $\downarrow$ , say Degree = 2, & both processes I/O karne chahi gayi, so CPU free hai, hence CPU Utilization  $\downarrow$

CPU Utilization



→ Degree of Multiprogramming

Hence Processes ko khup padh hain, but saare hi page fault Service karne me busy hai,  $\Rightarrow$  ek chahiye hone par toh aur page chahiye  $\rightarrow$  service page fault  $\rightarrow$  toh our process ka page uda date ho  $\rightarrow$  dusri process ko vo page jab vapse chahiye to fir se page fault generate hota hai & swap-in, swap-out me hi pura time chale jata hai

- Locality → ER process to local area (main memory page frame)  
→ If process etc particular locality one hi to of freq.

### Techniques

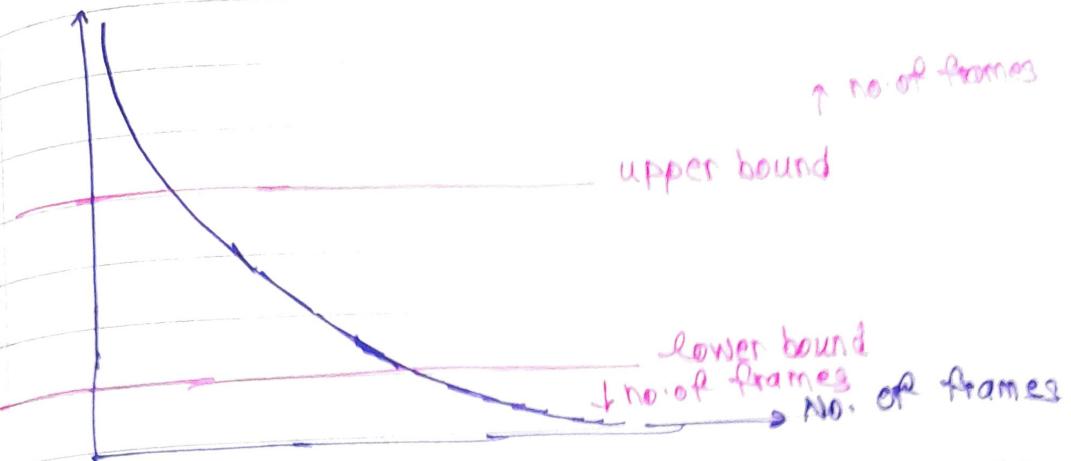
#### → To Handle Thrashing

- ↳ 1) Working Set Model
  - Allocate enough frames to accommodate a process' current locality
  - It'll only fault when it moves to a new locality
  - If allocated frames < size of current locality, process is bound to thrash

#### ↳ 2) Page Fault Frequency

- Thrashing has High Page Fault Rate
- We want to control it
- Page Fault Rate ↑ → process needs more frames  
→ ↓ → Process may have too many frames
- Establish upper & lower limits on desired pg fault rate
- If pf-rate > upper lt → Allocate the process another frame
- If pf-rate < lower lt → Remove a frame from process
- By controlling pf-rate, Thrashing can be prevented

page fault rate



Storage mgmt → self study

### Lecture

or CD or PD)

File is a sequence of bytes lying on hard disk (SSD)  
It has a name, owner, size etc

Application is a program that runs in an environment  
created by OS, under the control of OS  
Hence called User Application

language

-Code → any piece of complete / incomplete programming  
Program → piece of complete code



Some app^n opens file (it doesn't open it self)  
File is displayed by program which owns it