

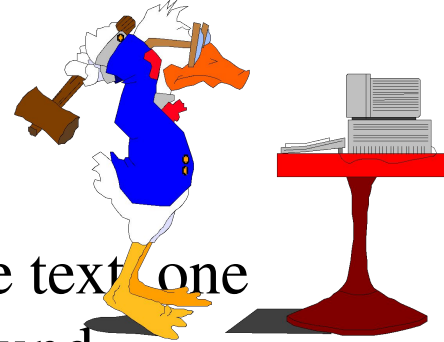
Strings and Pattern Matching

- Brute Force, Rabin-Karp, Knuth-Morris-Pratt
- Regular Expressions

String Searching

- The previous slide is not a great example of what is meant by “String Searching.” Nor is it meant to ridicule people without eyes....
- The object of **string searching** is to find the location of a specific text pattern within a larger body of text (e.g., a sentence, a paragraph, a book, etc.).
- As with most algorithms, the main considerations for string searching are speed and efficiency.
- There are a number of string searching algorithms in existence today, but the three we shall review are **Brute Force, Rabin-Karp, and Knuth-Morris-Pratt.**

Brute Force



- The **Brute Force** algorithm compares the pattern to the text one character at a time, until unmatching characters are found

Compared characters are *italicized*.

Correct matches are in **boldface** type.

- The algorithm can be designed to stop on either the first occurrence of the pattern, or upon reaching the end of the text.

Brute Force Pseudo-Code

- Here's the pseudo-code

do if (text letter == pattern letter)

 compare next letter of pattern to next
 letter of text

else move pattern down text by one letter

while (entire pattern found or end of text)

Brute Force-Complexity

- Given a pattern M characters in length, and a text N characters in length...
- Worst case: compares pattern to each substring of text of length M . For example, $M=5$.
- This kind of case can occur for image data.

Total number of comparisons: $M(N-M+1)$

Worst case time complexity: $O(MN)$

Brute Force-Complexity(cont.)

- Given a pattern M characters in length, and a text N characters in length...
- Best case if pattern found: Finds pattern in first M positions of text. For example, $M=5$.

Total number of comparisons: M
Best case time complexity: $O(M)$

Brute Force-Complexity(cont.)

- Given a pattern M characters in length, and a text N characters in length...
- Best case if pattern not found: Always mismatch on first character. For example, $M=5$.

Total number of comparisons: N

Best case time complexity: $O(N)$

Rabin-Karp

- The Rabin-Karp string searching algorithm calculates a **hash value** for the pattern, and for each M-character subsequence of text to be compared.
- If the hash values are unequal, the algorithm will calculate the hash value for next M-character sequence.
- If the hash values are equal, the algorithm will do a **Brute Force** comparison between the pattern and the M-character sequence.
- In this way, there is only one comparison per text subsequence, and Brute Force is only needed when hash values match.
- Perhaps an example will clarify some things...

Rabin-Karp Example

- Hash value of “AAAAA” is 37
- Hash value of “AAAAH” is 100

Rabin-Karp Algorithm

pattern is M characters long

hash_p=hash value of pattern

hash_t=hash value of first M letters in body of text

do

if (**hash_p** == **hash_t**)

brute force comparison of pattern

and selected section of text

hash_t= hash value of next section of text, one character over

while (end of text **or**

brute force comparison == true)

Rabin-Karp

- Common Rabin-Karp questions:
 - “What is the hash function used to calculate values for character sequences?”
 - “Isn’t it time consuming to hash every one of the M-character sequences in the text body?”
 - “Is this going to be on the final?”
- To answer some of these questions, we’ll have to get mathematical.

Rabin-Karp Math

- Consider an M -character sequence as an M -digit number in base b , where b is the number of letters in the alphabet. The text subsequence $t[i .. i+M-1]$ is mapped to the number
- Furthermore, given $x(i)$ we can compute $x(i+1)$ for the next subsequence $t[i+1 .. i+M]$ in constant time, as follows:
- In this way, we never explicitly compute a new value. We simply adjust the existing value as we move over one character.

Rabin-Karp Math Example

- Let's say that our alphabet consists of 10 letters.
- our alphabet = a, b, c, d, e, f, g, h, i, j
- Let's say that “a” corresponds to 1, “b” corresponds to 2 and so on.

The hash value for string “cah” would be ...

$$3*100 + 1*10 + 8*1 = 318$$

Rabin-Karp Mods

- If M is large, then the resulting value ($\sim b^M$) will be enormous. For this reason, we hash the value by taking it **mod** a **prime number q** .
- The **mod** function (`%` in Java) is particularly useful in this case due to several of its inherent properties:

$$[(x \bmod q) + (y \bmod q)] \bmod q = (x+y) \bmod q$$

$$(x \bmod q) \bmod q = x \bmod q$$

- For these reasons:

$$h(i) = ((t[i] \cdot b^{M-1} \bmod q) + (t[i+1] \cdot b^{M-2} \bmod q) + \dots + (t[i+M-1] \bmod q)) \bmod q$$

$$h(i+1) = (h(i) \cdot b \bmod q$$

Shift left one digit

$$- t[i] \cdot b^M \bmod q$$

Subtract leftmost digit

$$+ t[i+M] \bmod q)$$

Add new rightmost digit

$$\bmod q$$

Rabin-Karp Complexity

- If a sufficiently large prime number is used for the *hash function*, the hashed values of two different patterns will usually be distinct.
- If this is the case, searching takes $O(N)$ time, where N is the number of characters in the larger body of text.
- It is always possible to construct a scenario with a worst case complexity of $O(MN)$. This, however, is likely to happen only if the prime number used for hashing is small.

The Knuth-Morris-Pratt Algorithm

- The **Knuth-Morris-Pratt (KMP)** string searching algorithm differs from the brute-force algorithm by keeping track of information gained from previous comparisons.
 - A **failure function (f)** is computed that indicates how much of the last comparison can be reused if it fails.
 - Specifically, f is defined to be the longest prefix of the pattern $P[0, \dots, j]$ that is also a suffix of $P[1, \dots, j]$
 - Note:** **not** a suffix of $P[0, \dots, j]$
 - Example:-value of the
 - KMP failure function:
-
- This shows how much of the beginning of the string matches up to the portion immediately preceding a failed comparison.
 - if the comparison fails at (4), we know the a,b in positions 2,3 is identical to positions 0,1

The KMP Algorithm (contd.)

- the KMP string matching algorithm: Pseudo-Code

Algorithm KMPMatch(T,P)

Input: Strings T (text) with n characters and P (pattern) with m characters.

Output: Starting index of the first substring of T matching P, or an indication that P is not a substring of T.

Algorithm

```
f ← KMPSFailureFunction(P) {build failure function}
i ← 0
j ← 0
while i < n do
  if P[j] = T[i] then
    if j = m - 1 then
      return i - m - 1 {a match}
    i ← i + 1
    j ← j + 1
  else if j > 0 then {no match, but we have advanced}
    j ← f(j-1) {j indexes just after matching prefix in P}
  else
    i ← i + 1
return "There is no substring of T matching P"
```

The KMP Algorithm (contd.)

- The KMP failure function: Pseudo-Code

Algorithm **KMPMatch**(T,P)

Input: String P (pattern) with m characters

Output: The failure function f for P, which maps j to the length of the longest prefix of P that is a suffix of P[1,...,j]

Algorithm

```
f ← KMPFailureFunction(P) {build failure function}
i ← 0
j ← 0
while i ≤ m-1 do
    if P[j] = T[i] then
        if j = m - 1 then
            { we have matched j+1 characters }
            f(i) ← j + 1
            i ← i + 1
            j ← j + 1
        else if j > 0 then
            j ← f(j-1) {j indexes just after matching prefix in P}
        else {there is no match}
            f(i) ← 0
            i ← i + 1
```

The KMP Algorithm (contd.)

- A graphical representation of the KMP string searching algorithm

The KMP Algorithm (contd.)

- Time Complexity Analysis
- define $k = i - j$
- In every iteration through the while loop, one of three things happens.
 - 1) if $T[i] = P[j]$, then i increases by 1, as does j k remains the same.
 - 2) if $T[i] \neq P[j]$ and $j > 0$, then i does not change and k increases by at least 1, since k changes from $i - j$ to $i - f(j-1)$
 - 3) if $T[i] \neq P[j]$ and $j = 0$, then i increases by 1 and k increases by 1 since j remains the same.
- Thus, each time through the loop, either i or k increases by at least 1, so the greatest possible number of loops is $2n$
- This of course assumes that f has already been computed.
- However, f is computed in much the same manner as `KMPMatch` so the time complexity argument is analogous. `KMPFailureFunction` is $O(m)$
- Total Time Complexity: $O(n + m)$

Regular Expressions

- notation for describing a set of strings, possibly of infinite size
- ϵ denotes the empty string
- $ab + c$ denotes the set $\{ab, c\}$
- a^* denotes the set $\{\epsilon, a, aa, aaa, \dots\}$
- Examples
 - $(a+b)^*$ all the strings from the alphabet $\{a,b\}$
 - $b^*(ab^*a)^*b^*$ strings with an even number of a's
 - $(a+b)^*\text{sun}(a+b)^*$ strings containing the pattern "sun"
 - $(a+b)(a+b)(a+b)a$ 4-letter strings ending in a