

# SOFTWARE ENGINEERING with 203105303

**Prof. Jignasha Parmar**, Assistant Professor Information and Technology







### **UNIT-2**

# Software Project Management

# Planning a Software Project







# **Software Project Management**

•Management Spectrum, People – Product – Process- Project, W5HH Principle, Importance of Team Management

### Planning a Software Project

Scope and Feasibility, Effort Estimation, Schedule and staffing, Quality Planning, Risk management- identification, assessment, control, project monitoring plan, Detailed Scheduling







# W<sup>5</sup>HH of Project Management

Boehm suggests an approach (W5HH) that addresses project objectives, milestones and schedules, responsibilities, management and technical approaches and required resources

### Why is the system being developed?

Enables all parties to assess the validity of business reasons for the software work. In another words - does the business purpose justify the expenditure of people, time, and money?

#### What will be done?

The answers to these questions help the team to establish a project schedule by identifying key project tasks and the milestones that are required by the customer

When will it be

accomplished?

Project schedule to achieve milestone







# W<sup>5</sup>HH of Project Management Cont.

#### Who is responsible?

Role and responsibility of each member

#### Where are they organizationally located?

Customer, end user and other stakeholders also have responsibility

#### How will the job be done technically and managerially?

Management and technical strategy must be defined

#### How much of each resource is needed?

Develop estimation



It is applicable **regardless** of **size** or **complexity** of software **project** 







### **Terminologies**

#### Measure

It provides a **quantitative indication** of the extent (range), amount, dimension, capacity or size of some attributes of a product or process Ex., the number of uncovered errors

#### **Metrics**

It is a **quantitative measure** of the degree (limit) to which a system, component or process possesses (obtain) a given attribute It **relates individual measures** in some way Ex., number of errors found per review

#### **Direct Metrics**

Immediately measurable attributes

Ex., Line of Code (LOC), Execution Speed, Defects Reported







### **Terminologies**

#### **Indirect Metrics**

- Aspects that are not immediately quantifiable
- Ex., Functionality, Quantity, Reliability

#### **Indicators**

- It is a metric or combination of metrics that provides insight into the software process, project or the product itself
- It enables the project manager or software engineers to adjust the process, the project or the product to make things better
- Ex., Product Size (analysis and specification metrics) is an indicator of increased coding, integration and testing effort

#### **Faults**

- Errors Faults found by the practitioners during software development
- Defects Faults found by the customers after release





# Why Measure Software?

- •To determine (to define) quality of a product or process.
- •To **predict qualities** of a product or process.
- •To improve quality of a product or process.







### **Metric Classification Base**

#### Process

- Specifies activities related to production of software.
- Specifies the abstract set of activities that should be performed to go from user needs to final product.

#### Project

- Software development work in which a software process is used
- The actual act of executing the activities for some specific user needs

#### Product

- The outcomes of a software project
- All the outputs that are produced while the activities are being executed







### **Process Metrics**

- •Process Metrics are an invaluable **tool** for companies to monitor, **evaluate** and **improve** their **operational performance** across the enterprise
- They are used for making strategic decisions
- Process Metrics are collected across all projects and over long periods of time
- •Their **intent** is to **provide a set of process indicators** that lead to long-term software **process improvement**

Ex., Defect Removal Efficiency (DRE) metric
Relationship between errors (E) and defects (D)

The ideal is a DRE of 1

DRE = E / (E + D)







### **Process Metrics Cont.**

- We measure the effectiveness of a process by deriving a set of metrics based on outcomes of the process such as,
  - 1. Errors uncovered before release of the software
  - 2. Defects delivered to and reported by the end users
  - 3. Work products delivered
  - 4. Human effort expended
  - 5. Calendar time expended
  - 6. Conformance to the schedule
- 7. Time and effort to complete each generic activity







### **Project Metrics**

- Project metrics enable a software project manager to,
  - Assess the status of an ongoing project
  - Track potential risks
  - Uncover problem areas before their status becomes critical
  - Adjust work flow or tasks
  - Evaluate the project team's ability to control quality of software work products
- •Many of the same metrics are used in both the process and project domain
- Project metrics are used for making tactical (smart) decisions
- They are used to adapt project workflow and technical activities







# **Project Metrics Cont.**

- Project metrics are used to
- •Minimize the development schedule by making the adjustments necessary to avoid delays and mitigate (to reduce) potential (probable) problems and risks.
- •Assess (evaluates) product quality on an ongoing basis and guides to modify the technical approach to improve quality.







### **Product Metrics**

- Product metrics help software engineers to gain insight into the design and construction of the software they build
  - By focusing on specific, measurable attributes of software engineering work products
- Product metrics provide a basis from which analysis, design, coding and testing can be conducted more objectively and assessed more quantitatively
  - Ex., Code Complexity Metric







# **Types of Measures**

# Categories of Software Measurement

#### Direct measures of the

#### **Software process**

Ex., cost, effort, etc.

#### **Software product**

Ex., lines of code produced, execution speed, defects reported, etc.

#### Indirect measures of the

#### **Software product**

Ex. functionality, quality, complexity, efficiency, reliability,

# Software Measurement

Metrics for Software Cost and Effort estimations

**Size Oriented Metrics** 

**Function Oriented Metrics** 

**Object Oriented Metrics** 

**Use Case Oriented Metrics** 







### **Size-Oriented Metrics**

- •Derived by normalizing (standardizing) quality and/or productivity measures by considering the size of the software produced
- •Thousand lines of code (KLOC) are often chosen as the normalization value
- •A set of simple size-oriented metrics can be developed for each project
  - Errors per KLOC (thousand lines of code)
  - Defects per KLOC
  - \$ per KLOC
  - Pages of documentation per KLOC







### **Size-Oriented Metrics Cont**

- Size-oriented metrics are not universally accepted as the best way to measure the software process
- □ Opponents argue that KLOC measurements
  - Are dependent on the programming language
  - Penalize well-designed but short programs
  - Cannot easily accommodate nonprocedural languages
  - Require a level of detail that may be difficult to achieve







### **Function Oriented Metrics**

- •Function-oriented metrics use a measure of the **functionality delivered** by the application as a normalization value
- Most widely used metric of this type is the Function Point
  - FP = Count Total \* [0.65 + 0.01 \* Sum (Value Adjustment Factors)]
- Function Point values on past projects can be used to compute,
  - for example, the average number of lines of code per function point







### **Function Oriented Metrics Cont.**

#### Advantages

- FP is programming language independent
- FP is based on data that are more likely to be known in the early stages of a project, making it more attractive as an estimation approach

#### Disadvantages

- FP requires some "sleight of hand" because the computation is based on subjective data
- Counts of the information domain can be difficult to collect
- FP has no direct physical meaning, it's just a number







# **Object-Oriented Metrics**

- Conventional software project metrics (LOC or FP) can be used to estimate object-oriented software projects
- However, these metrics do not provide enough granularity (detailing) for the schedule and effort adjustments that are required as you iterate through an evolutionary or incremental process
- ☐ Lorenz and Kidd suggest the following set of metrics for OO projects
  - Number of scenario scripts
  - Number of key classes (the highly independent components)
  - Number of support classes







### **Function Point Metrics**

- The function point (FP) metric can be used effectively as a means for measuring the functionality delivered by a system
- Using historical data, the FP metric can be used to
  - Estimate the cost or effort required to design, code, and test the software
  - Predict the number of errors that will be encountered during testing
  - Forecast the number of components and/or the number of projected source lines in the implemented system







# **Function Point Components Cont..**

Information domain values (components) are defined in the following manner

- Number of external inputs (Els)
  - input data originates from a user or is transmitted from another application
- Number of external outputs (EOs)
  - external output is derived data within the application that provides information to the user output refers to reports, screens, error messages, etc.
- Number of external inquiries (EQs)
  - external inquiry is defined as an online input that results in the generation of some immediate software response in the form of an online output
- Number of internal logical files (ILFs)
  - internal logical file is a logical grouping of data that resides within the application's boundary and is maintained via external inputs
- Number of external interface files (EIFs)
  - external interface file is a logical grouping of data that resides external to the application but provides information that may be of use to the another application





### **Compute Function Points**

FP = Count Total \* [ 0.65 + 0.01 \*

Count Total is the sum of all FP entries

Fi (i=1 to 14) are complexity value adjustment factors (VAF).

Value adjustment factors are used to provide an indication of problem complexity

Information	Weighting factor								
Domain Value	Count		Simple	Average	Complex	•			
External Inputs (Els)		×	3	4	6	= [			
External Outputs (EOs)		×	4	5	7	= [			
External Inquiries (EQs)		×	3	4	6	= [			
Internal Logical Files (ILFs)		×	7	10	15	= [			
External Interface Files (EIFs)		×	5	7	10	= [			
Count total						-			







### **Value Adjustment Factors**

- F1. Data Communication
- F2. Distributed Data Processing
- F3. Performance
- F4. Heavily Used Configuration
- F5. Transaction Role
- F6. Online Data Entry
- F7. End-User Efficiency

- F8. Online Update
- F9. Complex Processing
- F10. Reusability
- F11. Installation Ease
- F12. Operational Ease
- F13. Multiple Sites
- F14. Facilitate Change







#### **Function Point Calculation Example**

Information	Weighting factor								
Domain Value	Count		Simple	Average	Comple	ex			
External Inputs (Els)	3	×	3	4	6	=	9		
External Outputs (EOs)	2	×	4	5	7	=	8		
External Inquiries (EQs)	2	×	3	4	6	=	6		
Internal Logical Files (ILFs)	1	×	7	10	15	=	7		
External Interface Files (EIFs)	4	×	5	7	10	=	20		
Count total						<b>-</b> [	50		







### Used Adjustment Factors and assumed values are,

**F09.** Complex internal processing = **3** 

F10. Code to be reusable = 2

**F13.** Multiple sites = **3** 

F03. High performance = 4

**F02.** Distributed processing = **5** 

### **Project Adjustment Factor (VAF) = 17**

$$FP = [50]^* [0.65 + \frac{\sum_{i=0}^{\infty} (F_i)}{0.01}] 17]$$

$$FP = [50]*[0.65 + 0.17]$$







#### **Function Point Calculation Example 2**

Study of requirement specification for a project has produced following results

Need for 7 inputs, 10 outputs, 6 inquiries, 17 files and 4 external interfaces

Input and external interface function point attributes are of average complexity and all other function points attributes are of low complexity

Determine adjusted function points assuming complexity adjustment value is 32.







# Software Project Estimation

It can be **transformed** from a **black art** to a **series of systematic steps** that provide **estimates** with **acceptable risk** 

To achieve reliable cost and effort estimates, a number of options arise:

- Delay estimation until late in the project (obviously, we can achieve 100 percent accurate estimates after the project is complete!)
- Base estimates on similar projects that have already been completed
- Use relatively simple decomposition techniques to generate project cost and effort estimates
- **Use** one or more **empirical models** for software cost and effort estimation.







# **Software Project Estimation**

- Software project estimation is a form of problem solving and in most cases, the problem to be solved is too complex to be considered in one piece
- For this reason, decomposing the problem, re-characterizing it as a set of smaller problems is required
- Before an estimate can be made, the **project planner** must **understand**the scope of the software to be built and must generate an estimate of its Decemposition Techniques
  - 1. Software Sizing
  - 3. Process based Estimation
  - 4. Estimation with Use-cases

2. Problem based Estimation
LOC (Lines of Code) based,
FP (Function Point) based







# **Software Sizing**

Putnam and Myers suggest four different approaches to the sizing problem

"Fuzzy logic"

sizings approach uses the approximate reasoning techniques that are the cornerstone of fuzzy logic.

#### **Function Point**

Sizin像 planner develops estimates of the information domain characteristics

**Standard Component** 

sizing

- Estimate the number of occurrences of each standard component
- Use historical project data to determine the delivered LOC size per standard component.





# **Software Sizing Cont...**

# Change sizing

- Used when changes are being made to existing software
- Estimate the number and type of modifications that must be accomplished
- An effort ratio is then used to estimate each type of change and the size of the change







### **Problem Based Estimation**

- Start with a bounded statement of scope
- Decompose the software into problem functions that can each be estimated individually
- Compute an LOC or FP value for each function
- Derive cost or effort estimates by applying the LOC or FP values to your baseline productivity metrics
  - Ex., LOC/person-month or FP/person-month
- Combine function estimates to produce an overall estimate for the entire project
- In general, the LOC/pm and FP/pm metrics should be computed by project domain
  - Important factors are team size, application area and complexity







### **Problem Based Estimation**

- LOC and FP estimation differ in the level of detail required for decomposition with each value
  - For LOC, decomposition of functions is essential and should go into considerable detail (the more detail, the more accurate the estimate)
  - For FP, decomposition occurs for the five information domain characteristics and the 14 adjustment factors
    - External Inputs, External Outputs, External Inquiries, Internal Logical Files, External Interface Files







### **Process Based Estimation**

- Identify the set of functions that the software needs to perform as obtained from the project scope
- Identify the series of framework activities that need to be performed for each function
- Estimate the effort (in person months) that will be required to accomplish each software process activity for each function
- Apply average labor rates (i.e., cost/unit effort) to the effort estimated for each process activity
- Compute the total cost and effort for each function and each framework activity.
- Compare the resulting values to those obtained by way of the LOC and FP estimates
- If both sets of estimates agree, then your numbers are highly reliable
- Otherwise, conduct further investigation and analysis concerning the function and activity breakdown





### **USE CASE** Based Estimation Cont...

- Before use cases can be used for estimation,
  - the level within the structural hierarchy is established,
  - the average length (in pages) of each use case is determined,
  - the **type of software** (e.g., real-time, business, engineering/scientific, WebApp, embedded) is defined, and
  - a rough architecture for the system is considered
- Once these characteristics are established,
  - empirical data may be used to establish the estimated number of LOC or FP per use case (for each level of the hierarchy).
- Historical data are then used to compute the effort required to develop the system.







# **Empirical Estimation Models**

- Source Lines of Code (SLOC)
- Function Point (FP)
- □ Constructive Cost Model (COCOMO)







#### SLOC

- The project size helps to determine the resources, effort, and duration of the project.
- SLOC is defined as the Source Lines of Code that are delivered as part of the product
- The effort spent on creating the SLOC is expressed in relation to thousand lines of code (KLOC)
- This technique includes the calculation of Lines of Code, Documentation of Pages, Inputs, Outputs, and Components of a software program
- The SLOC technique is language-dependent
- The effort required to calculate SLOC may not be the same for all languages







## **Software Development Project**

## **Software Development Project Classification**

Organi

#### **Application programs**

e.g. data processing programs

A development project can be considered of organic type, if the project deals with developing well understood application program, the size of the development team reasonably **small**, and the team members are experienced in developing

## Semidetach

ed

#### **Utility programs**

e.g Compilers, linkers

A development project can be considered of semidetached type, if the development consists of a mixture of experienced & inexperienced staff. Team members may have limited experience on related systems but may be unfamiliar with some aspects of the system being developed.

#### **Embedde**

## System programs

e.g Operating systems, real-time systems

A development project is considered to be type, if the embedded software being developed is strongly coupled to complex hardware, or if the strict regulations the on operational procedures

exist





## Software Development Project Cont.

Model	Project Size	Nature of Project	Inno vati	Bead Line	Development Environment
Organi c	Typicall y 2-50 KLOC	Small Size Project, Experienced developers in the familiar environment, E.g. Payroll, Inventory projects etc.	C Little	Not Tight	Familiar & In-house
Semi Detache d	Typicall y 50-300 KLOC	Medium Size Project, Medium Size Team, Average Previous Experience, e.g. Utility Systems like Compilers, Database Systems, editors etc.	Mediu	Mediu	Mediu m
Embedde d	Typically Over 300	Large Project, Real Time Systems, Complex interfaces, very little previous Experience. E.g. ATMs, Air Traffic Controls	Significa nt	d Tig	Complex hardware & customer Interfaces
	KLOC				





#### **COCOMO Model**

COCOMO (Constructive Cost Estimation Model) was proposed by Boehm

According to Boehm, **software cost estimation** should be done through three stages:

- Basic COCOMO,
- Intermediate COCOMO, and
- Complete COCOMO







#### **Basic COCOMO Model**

**Effort** = 
$$a_1 \times (KLOC)^{a_2} PM$$
  $Tdev = b_1 \times (Effort)^{b_2} Months$ 

The basic COCOMO model gives an approximate estimate of the project

- PRIZOC the stimated size of the software product expressed in Kilo Lines of Code,
- **a**<sub>1</sub>, **a**<sub>2</sub>, **b**<sub>1</sub>, **b**<sub>2</sub> are constants for each category of software products,
- Tdev is the estimated time to develop the software, expressed in months,
- Effort is the total effort required to develop the software product,

expr	Project	A1	A2	B1	B2
	Organic	2.4	1.05	2.5	0.38
	Semidetached	3.0	1.12	2.5	0.35
	Embedded	3.6	1.20	2.5	0.32





#### **Basic COCOMO Model Cont.**

- The effort estimation is expressed in units of person-months (PM)
- It is the area under the person-month plot
- An effort of 100 PM
  - does not imply that 100 persons should work for 1 month
  - does not imply that 1 person should be employed for 100 months
- Every line of source text should be calculated as one LOC irrespective
  of the actual number of instructions on that line
- If a single instruction spans several lines (say n lines), it is considered to be nLOC
- The values of a<sub>1</sub>, a<sub>2</sub>, b<sub>1</sub>, b<sub>2</sub> for different categories of products (i.e. organic, semidetached, and embedded) as given by Boehm
- He derived the expressions by examining historical data collected from a large number of actual projects





#### **Basic COCOMO Model Cont.**

#### **Example:**

Assume that the size of an organic type software product has been estimated to be 32,000 lines of source code. Assume that the average salary of software engineers be Rs. 15,000/- per month. Determine the effort required to develop the software product and the nominal development time

**Cost** required to develop the product =  $14 \times 15000 = Rs$ .







#### Intermediate COCOMO Model Cont.

- The basic COCOMO model assumes that effort and development time are functions of the product size alone
- However, a **host of other** project **parameters** besides the product size affect the effort required to develop the product as well as the development time
- Therefore, in order to obtain an accurate estimation of the effort and project duration, the effect of all relevant parameters must be taken into account
- The intermediate COCOMO model recognizes this fact and refines the initial estimate obtained using the basic COCOMO expressions by using a set of 15 cost drivers can be classified as being attributes of set of 15 cost drivers (multipliers) based on various attributes of software development

1.Comput er

2.Produ

ct

e

3.Personn 4.Development **Environment** 







## Complete COCOMO Model Cont.

- A major shortcoming of both the basic and intermediate COCOMO models is that they consider a software product as a single homogeneous entity
- Most large systems are made up several smaller sub-systems
- These sub-systems may have widely different characteristics
- The complete COCOMO model considers these differences in characteristics of the subsystems and estimates the effort and development time as the sum of the estimates for the individual subsystems
- The cost of each subsystem is estimated separately
- This approach reduces the margin of error in the final estimate







### **Project Scheduling & Tracking**

# Scheduling Principles Compartmentalization

- Interdependency
- Time Allocation
- Effort Validation
- Define Responsibilities
- Define Outcomes
- Define Milestones







#### **Effort Distribution**

General guideline: 40-20-40 rule

40% or more of all effort allocated to analysis and design tasks

20% of effort allocated to programming

40% of effort allocated to testing

Although most software organizations encounter the following projects types:

- 1.Concept Development
- 2.New Application Development
- 3. Application Enhancement
- 4. Application Maintenance
- 5. Reengineering







## Scheduling methods

- 1. Program Evaluation and Review Technique (PERT)
- 2. Critical Path Method (CPM)

Both **PERT** and **CPM** provide quantitative tools that allow you to:

**Determine the critical path**—the chain of tasks that determines the duration of the project

**Establish "most likely" time estimates** for individual tasks by applying statistical models

Calculate "boundary times" that define a "time window" for a particular task

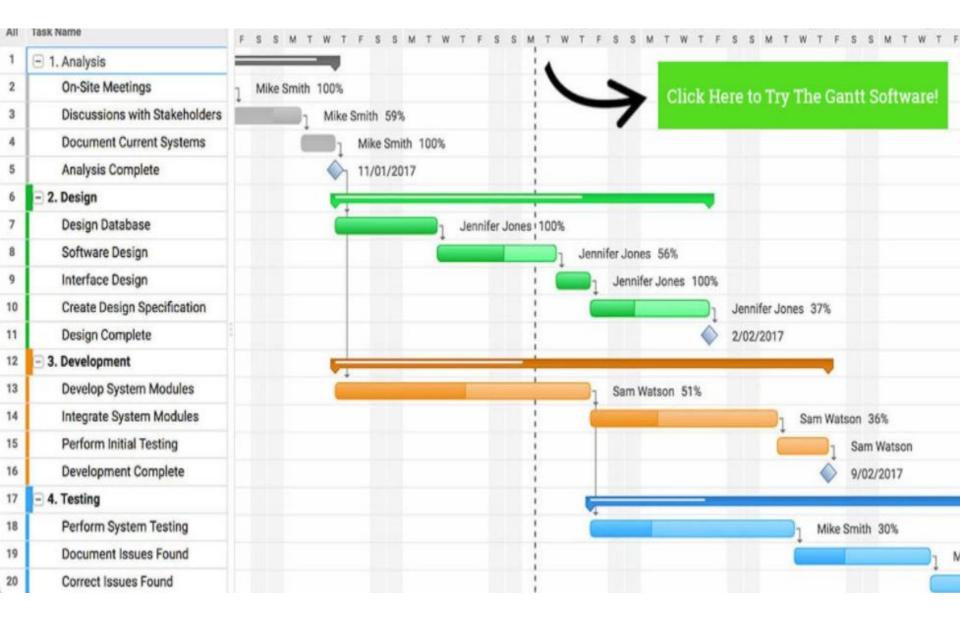




## **Project Schedule Tracking**

- Several ways to track a project schedule:
  - Conducting periodic project status meeting
  - Evaluating the review results in the software process
  - Determine if formal project milestones have been accomplished
  - Compare actual start date to planned start date for each task
  - Informal meeting with practitioners
  - Using earned value analysis to assess progress quantitatively
- Project manager takes the control of the schedule in the aspects of
  - Project Staffing, Project Problems, Project Resources, Reviews, Project Budget





## Agile Gantt Chart (One Year)



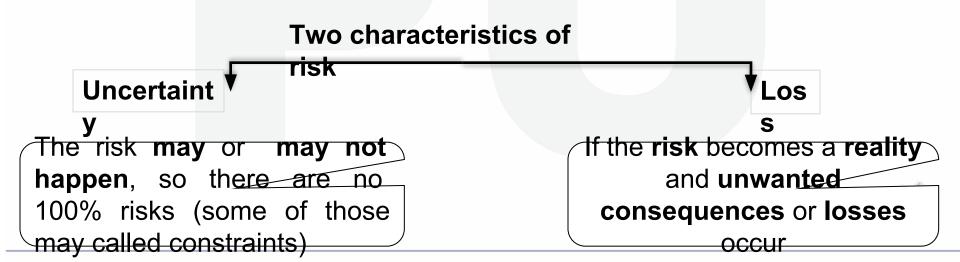




## Risk analysis & Management

A risk is a potential (probable) problem – which might happen and might Conceptual definition of risk

- •Risk concerns future happenings
- •Risk involves change in mind, opinion, actions, places, etc.
- •Risk involves choice and the uncertainty that choice entails







## Risk Categorization: Approach-1

- Project risks
- Technical risks
- Business risks

# Sub-categories of Business risks

- Strategic risk
- Sales risk
- Management risk
- Budget risk







## Risk Categorization: Approach-2

- Known risks
  - Those risks that can be uncovered after careful evaluation of
- Predictable risks
  - Those risks that are deduced (draw conclusion) from past project experience (Ex. past turnover)
- Unpredictable risks
  - Those risks that can and do occur, but are extremely difficult to identify in advance







## **Steps for Risk Management**

- 1. Identify possible risks and recognize what can go wrong
- 2. Analyze each risk to estimate the probability that it will occur and the impact (i.e., damage) that it will do if it does occur
- 3. Rank the risks by probability and impact. Impact may be negligible, marginal, critical.
- **4. Develop** a contingency **plan** to **manage** those **risks** having high probability and high impact







## Risk Strategies (Reactive vs. Proactive)

- Reactive risk strategies
  - "Don't worry, I will think of something".
  - The majority of software teams and managers rely on this approach
  - Nothing is done about risks until something goes wrong
  - The team then flies into action in an attempt to correct the problem rapidly (fire fighting)
  - Crisis management is the choice of management techniques
- Proactive risk strategies
  - Steps for risk management are followed
  - Primary objective is to avoid risk and to have an emergency plan in place to handle unavoidable risks in a controlled and effective manner







#### Risk Identification

- Risk identification is a systematic attempt to specify threats to the project plan
- By identifying known and predictable risks, the project manager takes a first step toward,
  - avoiding them when possible
  - controlling them when necessary
- Generic Risks
  - Risks that are a potential threat to every software project
- Product-specific Risks
  - Risks that can be identified only by clear understanding of the technology, the people and the environment, that is specific to the software that is to be built







## Known and Predictable Risk Categories

- Product Size
- Business Impact
- Customer Characteristics
- Process Definition
- Development Environment
- Technology to be Built
- Staff Size and Experience







## Risk Estimation (Projection)

Risk projection (or estimation) attempts to rate each risk in two ways

- The probability that the risk is real
- The consequence (effect) of the problems associated with the risk

#### **Risk Projection/Estimation Steps**

- Establish a scale that reflects the perceived likelihood (probability) of a risk. Ex., 1-low, 10-high
- Explain the consequences of the risk
- Estimate the impact of the risk on the project and product.
- Note the overall accuracy of the risk projection so that there will be no misunderstandings







#### **RMMM**

- **RMMM** Mitigation, Monitoring, and Management
- An effective strategy for dealing with risk must consider three issues
  - Risk mitigation (i.e., avoidance)
  - Risk monitoring
  - Risk management and contingency planning



#### DIGITAL LEARNING CONTENT



# Parul<sup>®</sup> University











