# Do Judges Flip A Coin

Judicial Inattention in the US Asylum Courts

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November 28, 2022

#### Outline

Impact Evaluation



Impact Evaluation

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Predicted denial Predicted success

Actual denial
Actual success

|                | Predicted denial            | Predicted success             |
|----------------|-----------------------------|-------------------------------|
| Actual denial  | affirm and predicted affirm | affirm but predicted reverse  |
| Actual success |                             | reverse and predicted reverse |

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reverse: denial asylum in the lower court, but grant asylum in the appeal court

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- reverse: denial asylum in the lower court, but grant asylum in the appeal court
- surprising reverse: predicted affirm, but actually reversed

#### Event Study: around Surprising Reverses

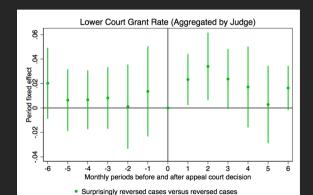
An event study design around the surprising reverse shock:

$$\bar{y}_{i,s,t} = \alpha D_{s,k} + \beta \mathbf{1} \left( \mathsf{Surprising Reverse} \right)_s + \gamma D_{s,k} \times \mathbf{1} \left( \mathsf{Surprising Reverse} \right)_s + \mu_t + \nu_c + \varepsilon_{i,s,t}$$

#### where:

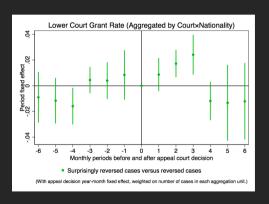
- lacksquare  $\bar{y}_{i,s,t}$ : the leave-out average grant rate of judge i, for case s
- lacksquare  $\mu_t$ : appeal decision year and month fixed effects
- $\mathbf{\nu}_c$ : court fixed effects
- $k \in \{T-6, T-5, T-4, T-3, T-2, T-1, T, T+1, T+2, T+3, T+4, T+5, T+6\}$ , where T is the time when the appeal decision is made.

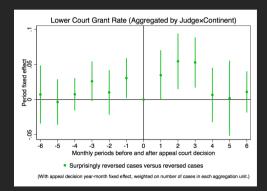
#### Event Study: Results



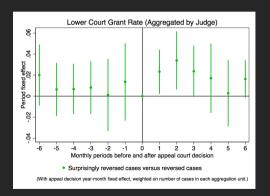
(With appeal decision year-month fixed effect, weighted on number of cases in each aggregation unit.)

# Event Study: Robustness to Granular Dependent Variable Construction



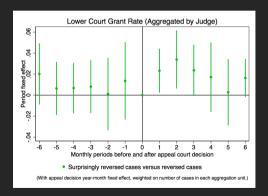


#### Event Study: Construct A Measure of Attentiveness



$$\begin{split} \bar{y}_{i,s,t} = & \alpha D_{s,k} \\ &+ \beta \mathbf{1} \left( \text{Surprising Reverse} \right)_s \\ &+ \gamma D_{s,k} \times \mathbf{1} \left( \text{Surprising Reverse} \right)_s \\ &+ \mu_t + \nu_c + \varepsilon_{i,s,t} \end{split}$$

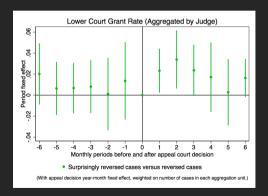
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Now, limit to a smaller window and re-pool data:  $k\in\{T'-1,T',T'+1\}$ , and extract  $\gamma$  as attentiveness of judges

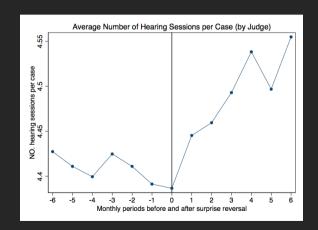
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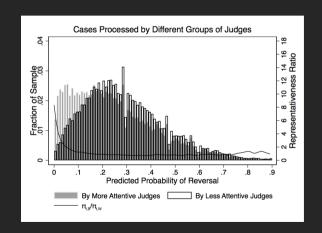
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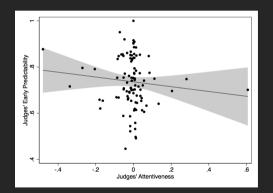
# Validity of the Attentiveness Measure: Judges' Effort

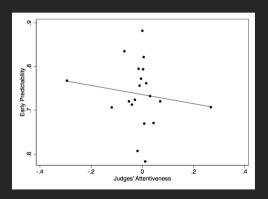


# Validity of the Attentiveness Measure: Judges' Errors

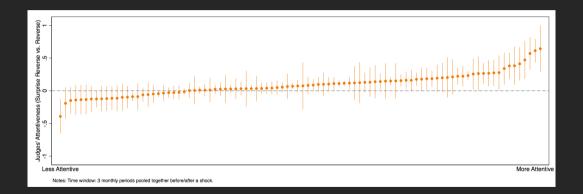


#### Validity of the Attentiveness Measure: Early Predictability





#### Variation in Attentiveness of Judges



Generate a residualized, leave-out judge leniency measure following Arnold et al. (2018):

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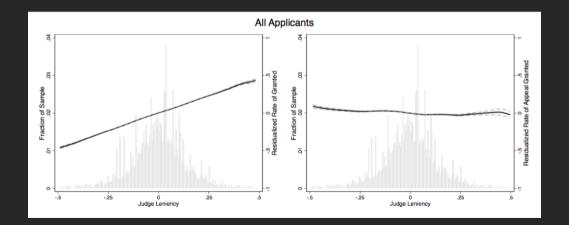
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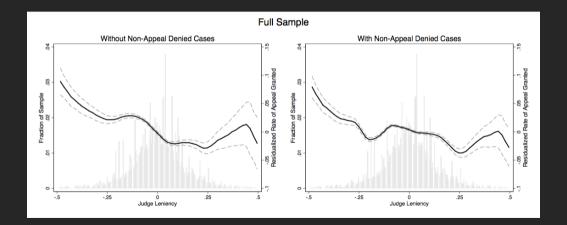
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This will give us a leniency measure

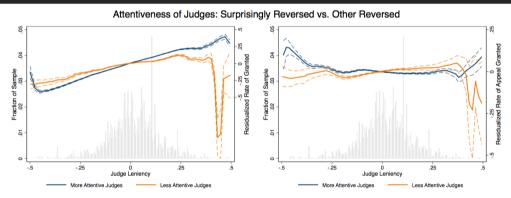
# Risk Ranking of Judges



#### Risk Ranking of Judges: Appeal Courts

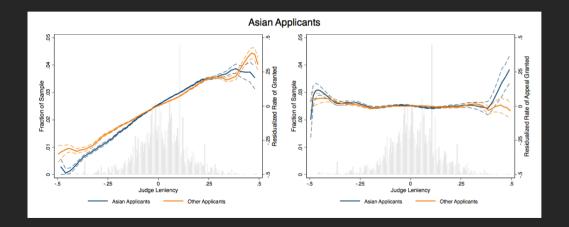


#### Implicit Risk Ranking and Inattention

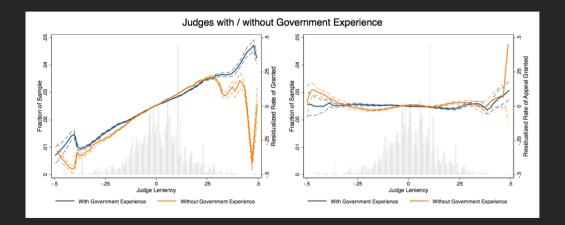


(Time window: 3 monthly periods pooled together before/after shock. More attentiveness: the coefficient of interaction of surprisingly reversed dummy and time-period dummy is bigger)

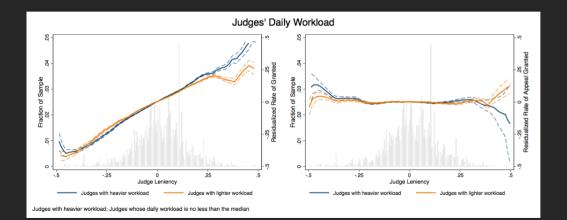
## Risk Ranking of Judges: Asian Applicants



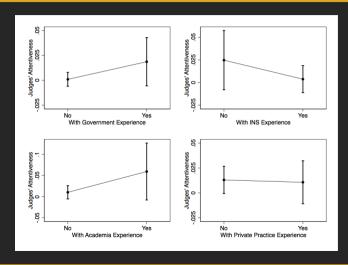
# Risk Ranking of Judges: Government Experience



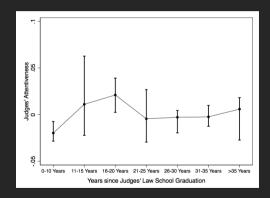
#### Risk Ranking of Judges: Workload



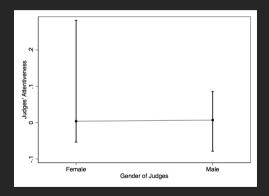
## Judges' Inattention: Experience Heterogeneity



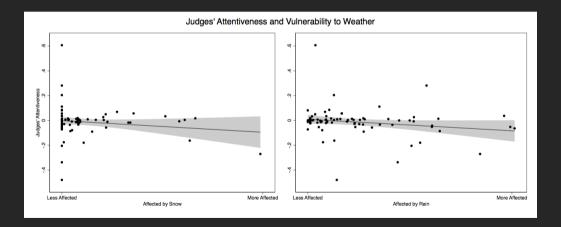
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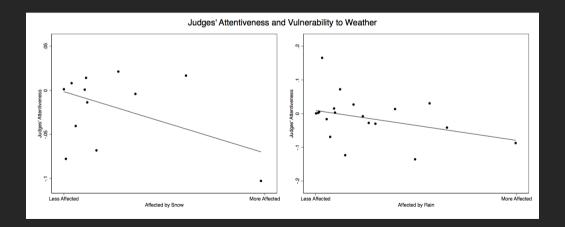
# Judges' Inattention: Gender Heterogeneity



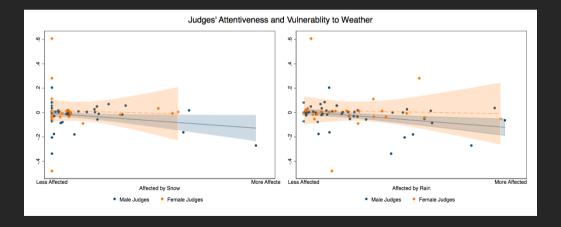
## Judges' Inattention: The Influence of Weather



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## Judges' Inattention: The Influence of Weather



#### References I

Arnold, D., Dobbie, W., & Yang, C. S. (2018). Racial bias in bail decisions. *The Quarterly Journal of Economics*, 133(4), 1885–1932.

# Thank you!