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Abstract

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xxx Abstract auf Englisch xxx

Kurzfassung

xxx Titel der Diplomarbeit Deutsch xxx

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Vorwort und Danksagung

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Graz, am xxx. xxx 201x

(Max Mustermann)

Abkürzungsverzeichnis (xxx optional xxx)

Die folgende Auflistung gibt einen Überblick der wichtigsten in dieser Arbeit vorkommenden Begriffe, Akronyme, Abkürzungen und Formelzeichen:

	Abkz.	Erklärung
A	AHS	Allgemeinbildende Höhere Schulen
B	Bac	Bachelor (Fachwissenschaft)
	BHS	Berufsbildende Höhere Schulen (HTL, HLW, HAK)
	BK	Brückenkurs
	BORG	Oberstufenrealgymnasium
	Bsp/Bspe	Beispiel/Beispiele (Übungsaufgaben)
E	ECTS	Leistungspunkte im European Credit Transfer System
G	Gym	Gymnasium
P	P & P	paper and pencil (Fragebogen in Papierform)
	PS	Lehrveranstaltungstyp Proseminar (siehe UE)
U	UE	Lehrveranstaltungstyp Übung
	UGO	Uni Graz Online - Onlinesystem der Uni Graz (LV-Anmeldungen etc.)
	Uni	Universität (z. B. Uni Graz: Karl-Franzens Universität Graz)
V	V.I.	Vollständige Induktion
	VO	Lehrveranstaltungstyp Vorlesung
	VU	Lehrveranstaltungstyp Vorlesung mit Übung
W	WS	Wintersemester

1. Introduction

Abstract

The problem of scheduling jobs and machines in flexible manufacturing systems has been addressed in scientific papers for a vast amount of complex variations. One of the big issues in this category of problems is, that even very basic variations of the problems connected with such systems are NP-complete e.g. scheduling of jobs in a flexible manufacturing system with transportation (proven in PAPER) or scheduling of jobs on parallel machines (proven in PAPER). This thesis tries to approach the underlying problem from an entirely different perspective: The schedule of jobs at each stage is considered given, only the amount of transportation vehicles can be varied. In addition to the constraint of the jobs being in a set order, the transportation vehicles are only allowed to follow a set circuit, visiting all the machines in the order in which the jobs need to be processed, to finally return to the depot and start a new lap. This paper tries to solve the problem with a heuristical approach derived from the idea of Variable Neighborhood Search, which is broken into its pieces to offer an iterative neighborhood search for a two-dimensional target function. This heuristic is then applied in two ways, with differences in the perturbation phase. In addition to the general heuristical approach, a Brute Force algorithm is used to solve a test case to be able to compare the solutions and runtimes of the heuristics with an approach which returns the optimal solution. The heuristic variations and the brute force algorithm were implemented using Python.

Introduction

The field of scheduling problems has been a topic of interest for researches of various fields for dozens of years already. Through revelations of technology and economy however the field of new problems expands at a similar rate to the field of solved ones. While simple approaches have been solved for some time now, globalisation not only leads to scheduling problems within the company, between factories that are distributed globally but also to having bigger factories than ever before, resulting in a higher amount of machines and more jobs to be scheduled. With technological advancements however those bigger factories are not simply staying the same problems simply enlarged in quantity of machines and jobs, but sophisticated new systems are being developed to be able to increase production speed, volume or reduce the amount of manual labor needed. The idea of offering multiple machines at a single stage of the production process lead researchers to the model of the Flexible Flow Shop. As with all flow shop problems the basic idea is that all jobs have to be processed on a set amount of machines in the same order. As this can relatively easily lead to bottlenecks due to most jobs taking a considerable amount longer on a given machine, the idea of parallel machines at a single stage is introduced. Each job being delivered to a stage with parallel machines may be assigned to either of those machines to be processed, its schedule for which machines to visit becomes flexible.

The scheduling problem focused on in this paper expands the idea of the flexible flow shop to make use of an additional technological advancement which use is quickly spreading throughout all

kinds of industrial fields: Automation. Automation is becoming increasingly relevant as being able to replace workers with automated machines promises a significant competitive edge. In this paper the above mentioned problem of scheduling the jobs in a flexible flow shop environment is set to be given. The focus here lies on the problem of transportation for the jobs between the machines. With the idea of flexible flow shop including multiple machines at each stage, the simplification of the transportation time between machines being zero becomes less and less realistic. As human resources are costly and skilled workers would be wasted on simple transportation tasks, automation is looked towards to give a hand with this problem. Machines such as conveyor belts or automatic guided vehicles (AGVs) are supposed to automatically transport the jobs between the machines without any human assistance other than service and routing.

For this thesis a currently relatively unexplored set-up is considered: A cyclic flexible manufacturing system. The cyclic system is distinct from the standard version in that the depot is starting point and finish for all jobs in the system. This entails the possibility of being able to send AGVs on a steady cycle throughout the whole production area without any waiting time for possibly unfinished jobs. Waiting for a part to carry to the next stage would be a possibility, but it would remove one of the key features this approach offers: It is collision free. In addition the position of each vehicle at any given time can already be set in stone solely by fixating the point in time at which the AGV leaves the starting point for the first time.

While the goal of the paper by Blazewicz and Pawlak was to solely minimize the amount of AGVs, here the approach is changed a bit: By fixating the amount of machines in an iteration of the meta-heuristic and simply focusing on the scheduling of a given amount of machines to minimize the makespan, a set of solutions for different amount of AGVs is received and a cost function depending on the amount of machines and the amount of overall delay in the for a given deadline can be used to select a final solution. If the goal remains to minimize the amount of AGVs for production without delay, the cost function can be set to cost any constant c for each machine and Big-M per unit of delay.

Teil I.

xxx Hauptteil 1 xxx

2. Characteristics of the problem

The problem of a cyclic flexible manufacturing system has certain limitations, which will be expanded and described in this chapter. The baseline of the overall problem is that vehicles that constantly go in a cycle, are used to carry jobs from one machine to the next. Extensive research has been made in various directions considering flexible manufacturing systems. Many of these papers focus on the scheduling the tasks of each of the jobs on the machines (e.g. PAPER,PAPER,PAPER) This paper will only consider a flow shop approach in which the machines are already sorted in the order, in which the jobs need to be processed. Due to the cyclic nature of this special case of the problem, the AGVs are routed to drive on a steady course and because of start and depot being the same point, the AGVs are constantly repeating laps of said track. This in turn enables us to know the position of an AGV at any given point in the system solely by setting a starting time at which the AGV is "released". The actual position in the system is then acquired by calculating the overall time minus the starting time modulo the lap time.

$$\text{pos}(t) = (T_m + \text{time}) \text{ percent laptime}$$

Since the schedule of the jobs on the machines themselves is considered to be given, after setting the AGVs to automatically pick up the job which is next in the schedule on the next machine and waiting in the outgoing queue of the current machine, the only input needed to be able to achieve a solution is a starting time for each of the AGVs.

Before it is possible to formulate an approach to solve this problem, some rules have to be set first, especially considering the handling of jobs in outgoing queues (jobs which have been completed at a machine but have not yet been picked up by an AGV). Since the system is set to be in constant movement, a machine cannot simply wait for a job not yet finished. Therefore a rule has to be set as to which job is to be picked out of those waiting in line. This rule requires the machine to pick up the next job in chronological order that is both scheduled to follow the job currently being worked on at the next stage, and waiting in the outgoing queue of the current machine. The following example shows as to how picking up jobs in a different order might worsen the solution.

Consider a problem with a single AGV and a schedule on machine $n+1$ set to be $[1,2,3]$. Jobs 2 and 3 are currently waiting to be picked up in the outgoing queue of machine n , while job 1 is still currently being processed on machine n . Considering the lemma an incoming AGV has to pick up job 2 as it is the next job which is supposed to be processed on machine $n+1$ and sitting in the outgoing queue of machine n . In this case the AGV now picks up job 3 instead and delivers it to machine $n+1$, where job 3 is now waiting in the incoming queue. While the AGV is continuing its lap job 1 has now been finished on machine n and is ready for pick up. As the AGV returns to machine n the job 1 is now picked up and delivered to the machine $n+1$, where it can immediately start to be processed. Somewhere during the continuation of the lap of the AGV job 1 is finished on machine $n+1$ and the machine is free to process a new job. Here however it is unable to do so as in the incoming queue there is still only the job 3, which is supposed to be processed only

after the job 2. If the AGV had picked up job 2 in the first round the job could have been started now, so the decision to load job 3 led to an increase in makespan that is at least the minimum of the processing time of job 2 on the second machine and the time it takes the AGV to pick up and deliver job 2.

The original problem which laid the foundation for this paper, as described by AUTHOR in PAPER, differs from the problem the actually examined problem in another small but important feature: In the paper by AUTHOR the goal was to minimize the amount of vehicles it takes to be able to deliver all jobs in time to satisfy a given schedule with the amount of allowed laps being given. The approach in this thesis is that there is no given deadline known beforehand. The idea is to offer multiple solutions with differing amounts of AGVs given. Each of these solutions will assign a makespan to a given amount of vehicles, which is defined by the sum of time units passed from the start of the problem until all jobs have been completed and have been transported back to the depot. These solutions can then be ranked e.g. via a cost function that takes vehicles and time needed into consideration or, if the goal is to finish ahead of a given deadline, the cost function can be set to infinite when exceeding the threshold, so simply the solution with the fewest vehicles that finishes in time will be selected.

As briefly mentioned in the previous paragraph, this paper reformulates the problem posed in the paper by AUTHOR to be multidimensional. This approach has been chosen because it increases the flexibility of the whole approach. While the approach with a given deadline that must be met is certainly not only suitable for a rare case as these problems occur very often in all kinds of fields, a two-dimensional approach which also looks at the total makespan introduces the possibility of a deadline that may be broken for a cost (late surcharge) or even simpler a model in which the return is based on producing as fast as possible.

3. Choosing an approach

The problem of minimizing the amount of vehicles in a circular flexible flow shop was found to be NP-complete by AUTHOR (Unpublished Paper). If this holds true, then the problem being optimized in this thesis is also NP-complete. Therefore the approach was to find a suitable heuristic that would be able to provide a relatively decent solution, while maintaining a low running time. The selection of heuristical and meta-heuristical approaches for scheduling problems is vast, for flexible flow shop problems alone there have been multiple heuristical approaches e.g. AUTHORPAPER and AUTHORPAPER.

The idea was to find a heuristical approach, which would be able to provide an approach specifically useful to the problem of scheduling AGVs in the circular system. For this reason the local search meta-heuristic was chosen as an underlying approach. The neighborhood search has a few advantages: Defining the neighborhood of a scheduling problem is relatively easy. In this thesis the neighborhood is defined by all possibilities to move the starting time of an AGV by a single unit. For any given amount of vehicles the amount of possible moves inside the neighborhood is therefore two times n since every machine can start a unit of time earlier or later. In the event of a machine starting at the last possible starting point, starting right at the beginning of the program is considered to be a neighbour and vice versa. Additionally a specific version of the neighborhood search, the variable neighborhood search, offers even more detailed customization options to fit the problem.

The variable neighbourhood search, as closer described in PAPERHERE by AUTHOR, tries to improve the solution of the standard approach by limiting an iteration of the search to a predefined neighbourhood and switching to a different one for the next iteration. This leads to higher coverage of the whole solution space and a reduced chance to get stuck in a local optimum. While the problem of minimizing the amount of AGVs needed proved to be tricky to divert into neighbourhoods to apply a variable neighbourhood search, it offered up the possibility of a reformulation of the original problem. In the original problem the goal was solely to minimize the amount of AGVs needed to finish on time. If however the problem is changed to have two objectives, being the minimization of the makespan and the minimization of the amount of vehicles needed, the approach of the variable neighbourhood search can be easily applied by setting the different neighbourhoods to be all scheduling possibilities while varying the amount of machines available. This opens up a new problem: Because a higher amount of machines will generally result in a lower makespan, the solutions gained from different neighbourhoods are now ineligible for unreflected comparison.

Because of this problem a new approach, developed for multidimensional target functions, is introduced: A pareto frontier. AUTHOR2 wrote about the idea of a pareto frontier extensively and showing that it offered the possibility of displaying the optima of a multidimensional problem and allowed for the choice of a preferred solution through implementation of an additional target function (e.g. a cost function of the two dimensions displayed) or simply by personal preference. The

pareto frontier was chosen as an instrument for this thesis, because of a reason already touched previously: Due to the nature of scheduling problems increasing the number of AGVs available to transport the jobs between the machines will in its optimal configuration always yield a makespan that is better or as a worst case equal to the makespan received when calculating with one less AGV. This can easily be proven by finding the optimal solution for a given amount of AGVs n and then increasing the amount. All AGVs are assigned exactly the same starting time as the previously attained optimal solution for n AGVs. The newly added AGV can be freely allocated to any starting time. This results in all jobs being picked up either at the exact same time as previously or, due to the newly added AGV, earlier than previously. Therefore the total makespan will at the worst case stay the same (e.g. the newly added machine does not transport any job in the system or the earlier transport of a job simply results in longer time in queue due to the processing time of the previous job)

In this thesis the received pareto frontier will not be filtered through the introduction of a cost function, as this simply picks one of the solutions from the list, instead a comparison will be made for each amount of machines up to a set limit between the heuristical solution and an optimal solution obtained through a brute-force algorithm. The difference in run time and target value will then be used to determine whether the heuristic offers a significant reduction in the first, while maintaining only a slight reduction in the latter.

4. Implementation of the model

Before we are able to try and approach the problem with a local search algorithm, the local search itself has to be defined more clearly. As stated in the previous chapter, the idea is to vary the amount of machines available and find optima for all of those cases to be able to display a pareto frontier. To be able to achieve this it is necessary to adjust the original variable neighbourhood search, in that there is little to no gain by constantly swapping between the neighbourhoods, as the solutions received for the maximum makespan with a given amount of AGVs cannot be directly compared. The swapping of neighbourhoods is therefore reduced to be only once after a given amount of iterations, which is set beforehand, for a given amount of AGVs. This in turn means that each swap of neighbourhood means that the previous neighbourhood is assigned a solution that is then saved in the pareto frontier. As this modified approach has now drifted away considerably from the original approach of variable neighbourhood search, the author deems it necessary to rename the heuristical approach to be more simply called iterated neighbourhood search".

For the actual implementation of the model a few parameters have to be set. These include the number of iterations that the local search is going to run for per amount of machines, the maximum amount of machines and of course all the parameters set by a specific test environment have to be saved, namely the amount of production stages, the production machines for each stage, the amount of jobs, the lap time of an AGV, the time needed by an AGV to traverse between machines, the schedule of jobs on the production machines and of course the process time for each job on each machine. As noted in a previous chapter, the only input variable is the actual starting time for each of the AGVs.

To venture a bit further into the comparability of the heuristic, this paper introduces multiple variations working on the same base construct. The main difference is in the perturbation step which happens after each iteration that has stranded in a local optimum. The base version was a simple random jump. The starting time of every AGV, except for AGVs starting at "0", is set to a random starting point and the neighbourhood search starts anew. Before each of these perturbations the currently reached solution is compared to the best so far reached solution for the given amount of AGVs and is saved if it is an improvement. Different from this probabilistic approach, the second variation of the heuristic set out to be deterministic, except for the generation of the starting solution. The idea behind the differences in perturbations is to be able to achieve comparability between efficiency and reliability. The deterministic approach sets all starting times of AGVs not starting at "0" to be starting a set amount of units of time early (if the starting time would be negative, the time is instead counted down starting from the last possible start). As local searches with deterministic perturbations generally suffer from the same problem, namely the perturbation either being too high, resulting in almost random jumps, or being too low, resulting in getting stuck at a local optimum, not being able to leave, the heuristic is run multiple times with varying perturbation lengths.

Teil II.

xxx Hauptteil 2 xxx

5. Kapitel 1 des Hauptteils 2

6. Kapitel 1 des Hauptteils 2

Teil III.

Resümee

7. Zusammenfassung

8. Ausblick

Literatur

Verzeichnisse

Abbildungen

Tabellen

Anhang

Anhang A.

Erster Teil vom Anhang

Anhang B.

Zweiter Teil vom Anhang

Anhang C.

Oben beginnende Überschrift, falls nötig