

CS & IT ENGINEERING

Database Management System



Practice Sheet discussion (Part -02)
File Org & Indexing

Discussion Notes



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TOPICS TO BE COVERED

01 Question

02 Discussion

Q.1

Assume a relational database system that holds relation: C(colleges) with the following characteristics

P
W

- Records are stored as fixed length, fixed format records, length is 256 bytes.
- There are 16384 records.
- Records contains key attribute CollegeNumber (C.N), length 22 bytes and other fields.
- Unspanned organization is used to store the information or record.

Let's suppose we want to build a sparse primary index on C.N then how many numbers of 4096-byte blocks are needed to store the primary index when block pointer size is 10 bytes ____?

[MCQ]

A. 7

C. 9

B. 8

D. 10

Record Size = 256 Byte Total #Record = 16384 BS = 4096 key = 22 BP = 10

$$BF \text{ of DB File} = \left\lfloor \frac{4096}{256} \right\rfloor = \frac{2^{12}}{2^8} = 2^4 = 16 \text{ Record per Block}$$

$$\text{Total } \# \text{ DB Block} = \frac{16384}{16} = \frac{2^{14}}{2^4} = 2^{10} = 1024 \text{ DB Block}$$

One Index Record = key + BP = 22 + 10 = 32 Byte

$$BF \text{ of Index file} = \left\lfloor \frac{\text{Block size}}{\text{R.S}} \right\rfloor = \frac{4096}{32} = \frac{2^{12}}{2^5} = 2^7 = 128 \text{ Index Record per Block}$$

Primary: SPARSE: Total #Index Entries = 1024 (#DB Block)

$$\# \text{Index Block} = \left\lceil \frac{1024}{128} \right\rceil = \frac{2^{10}}{2^7} = 2^3$$
$$= 8 \text{ Index Block}$$

Q.2

Assume a relational database system that holds relation: Product (P) with the following characteristics

- Records are stored as fixed length, fixed format records, with the length of 256 bytes.
- There are 262144 records. [2^{18}]
- Records contain attribute P.I (The identifier of the product involved), with the length 24 bytes, and an attribute P.C (the cost of product), with the length 32 bytes and other fields.
- Unspanned organization is used to store the record.

Assume that we want to build a dense secondary index on P.C, then how many numbers of 4096-byte blocks needed to store the dense secondary index. When record pointer size is 32 bytes?
_____.

[NAT]

BlockSize = 256B

#Records = 262144 [2¹⁸]

P.I = 24 Byte BlockSize = 4096B

Pc = 32 Byte Pointer = 32B [Secondary Index on Pc]
Dense

One Index Record Size = $\text{key} + \overset{Pc}{\text{Pointer}} = 32 + 32 = 64 \text{ Byte}$

Block factor of Index file = $\frac{4096}{64} = \frac{2^{12}}{2^6} = 2^6 = 64 \text{ Index Entries per Block}$

Secondary (Dense) Total #Index Entries = 262144 [2¹⁸] (#Records)

Total #Index Block = $\frac{2^{18}}{2^6} = 2^{12} = \text{4096 Index Block Avg}$

Q.3

Consider the following specification of system-

Disk block size = 2048 bytes

Block pointer size = 16 bytes

Record pointer size = 20 bytes long

file contains 30,000 records.

Each record of the file has the following fields:

[MCQ]

$$\text{Block factor of DB file} = \left\lfloor \frac{2048}{64} \right\rfloor = \frac{2^11}{2^6} = 2^5$$

-32 Record per Block

Fields	Size (in Bytes)
EmpName	5
EmpNum	10
DeptNum	9
Addr	20
PhNum	9
DOB	1
Sex	1
Job	3
Sal	5 63 Byte

Record size = 64 Byte
63 + 1 = 64 Byte

An extra/additional byte is used per record to represent end of the record.

What is the block factor of the database file assuming unspanned file organization?

- A. 16
- C. 48

- B. 32
- D. 64

Q.4

Which one of the following statements is/are True regarding indexing?

PI

SI ✓

CI

SI ✓

[MSQ]



A database file can contain multiple clustered indexes.



A database file can consist of only one clustered index with multiple secondary indexes.



A database file can consist of multiple primary indexes.



A database file can consist of both primary and clustered index.

PI ✓ CI X & Multiple SI possible.

Q.5

P
W

Consider a database of fixed-length records stored as an ordered file. The database has 25,000 records with each record being 100 bytes, of which the non-key attribute on which clustering index is formed occupies 10 bytes. The data file is completely block aligned.

Suppose, block size, of the file system is 512 bytes and a pointer to the block occupy 5 bytes. You may assume that a binary search on an index file of b block may take $\lceil \log_2 b \rceil$ accesses in worst case.

Given that a cluster consumes 2 blocks, the number of block accesses required to identify the desired data in the worst case is _____.

[NAT]

#Record = 25,000

Record Size = 100B

key = 10B

RP = 5B

Block Size = 512B

Cluster Consumes 2 Block . Block wise

Block factor of DB File = $\left\lfloor \frac{512}{100} \right\rfloor = 5$ Record per Block.

Total # DR Block = $\left\lceil \frac{25000}{5} \right\rceil = 5000$ Data Block .

Each cluster Consumes 2 Block .

Total # Index Entries = $\frac{5000}{2}$ ~~(2500)~~

One Index Record Size = $10 + 5 = 15$ Byte

#Record = 25,000

Record Size = 100B

key = 10B

RP = 5B

Block Size = 512B

Cluster Consumes 2 Block. Block wise.

Block factor of Index file = $\left\lfloor \frac{512B}{15B} \right\rfloor = 34$ Index Entries (Record) per Block

Total # Index Block = $\left\lceil \frac{2500}{34} \right\rceil = 74$ Index Block

Block Access Required = $\lceil \log_2 b \rceil + 1 + 1$

$\lceil \log_2 74 \rceil + 1 + 1$ ^{2nd block of the cluster.}
<sub>1st block
of the cluster</sub> $\Rightarrow 7 + 1 + 1 = 9$ Ans

Q.6

Consider the following statements-

S₁: If the records of a relation X are physically ordered over a non-key field P and an index is build over the key-field of relation X, then the index is necessarily a secondary index over key attribute.

S₂: More than one secondary indexes are possible.

Which of the given statement(s) is/are CORRECT?

[MCQ]

- A. S₁ only
- B. S₂ only
- C. Both S₁ and S₂
- D. Neither S₁ nor S₂

SI [Non key + Unordered]
Cand. key

Secondary Index
over key

PI ✓	CI ✗
PI ✓	SI ✓
CI ✓	SI ✓

But only 1 PI \ominus
 LCD (Any one)
 Possible. Not both

More than one SI possible.

R(ABCDEF) every Attribute forming Index

1 PI + 6 SI

1 CI + 6 SI

Q.7

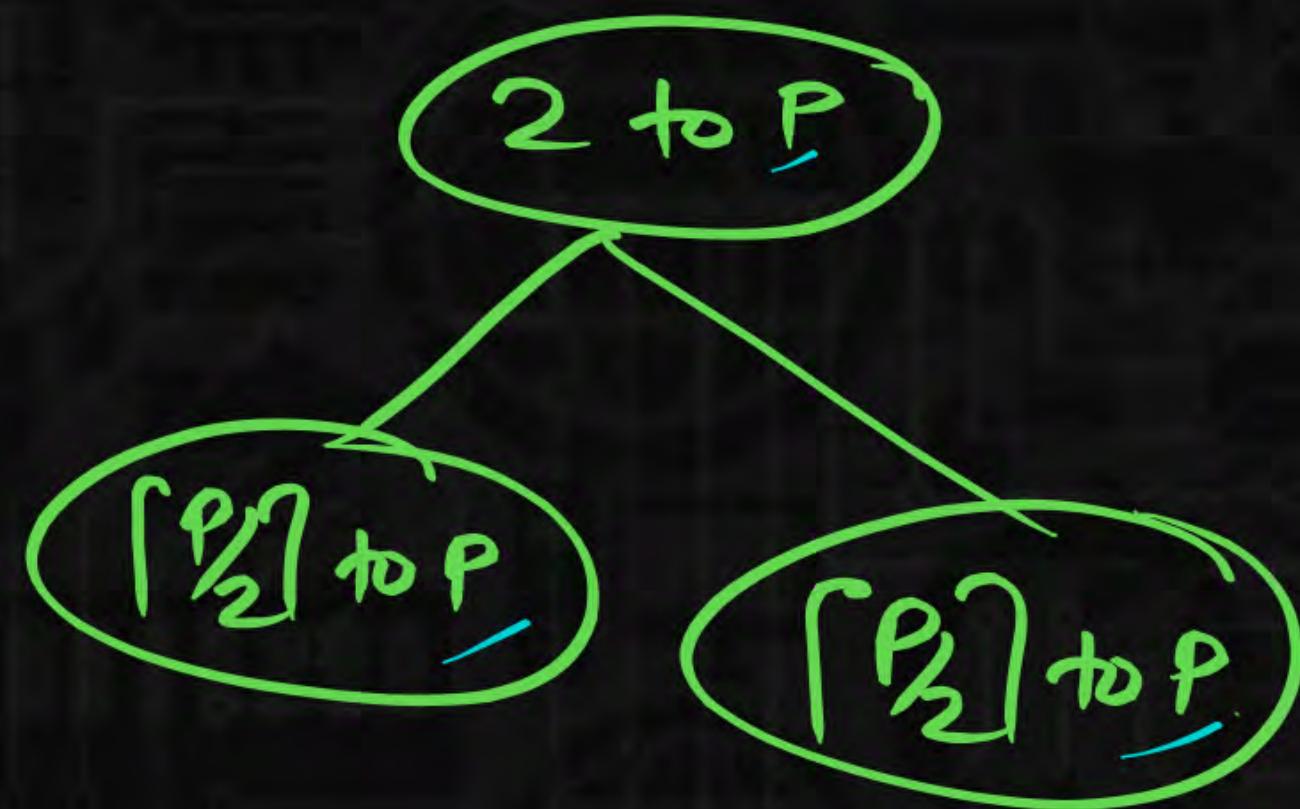
The order of a node in B+ tree is defined as the number of pointers it can hold. What is the maximum number of keys that a B+ tree of order 4 and height 4 can have ? _____

P
W

(Assume that the height of a root node is 1)

[NAT]

Ans (255)



<u>Height/Level</u>	<u>max # Node</u>	<u>max # BP</u>	<u>max # keys</u>	<u>P=4</u>	<u>Order = 4</u> <u>P=4</u>	<u>level = 4</u>
0/1	1	P	(P-1)	(P-1) = 3 = 3		
1/2	P	P^2	$P(P-1)$	$\Rightarrow 4 \times 3 = 12$		
2/3	P^2	P^3	$P^2(P-1)$	$\Rightarrow 4^2 \times 3 = 48$		
3/4	P^3	P^4	$P^3(P-1)$	$\Rightarrow 4^3 \times 3 = 192$		
4/5	P^4	P^5	$P^4(P-1)$			
$n/n+1$	P^n	P^{n+1}	$P^n(P-1)$		<u>255 Ans</u>	

Q.8

Given a block can hold either 3 records or 10 key pointers. A database contains P records, then how many blocks do we need to hold the data file and the dense index? [MCQ]

P
W

- A. $\frac{P}{30}$
- C. $\frac{13P}{30}$

- B. $\frac{P}{3}$
- D. $\frac{P}{10}$

Block factor = 3 Record per Block.

$$\#DB\ Block = \frac{P}{3}$$

$$\#Index\ Block = \frac{P}{10}$$

$$Total\ #Block\ Required = \frac{P}{3} + \frac{P}{10}$$

$$= \frac{10+3}{30} = \frac{13P}{30}$$

Dense Index
#Index Entries = #Records [P]

Ans

Q.9

The order of an internal node in B+ tree index is the maximum number of children it can have. Assume that a child pointer takes 6 bytes, the search field value takes 34 bytes and the blocks size is 2048 bytes. The order of the internal node is _____.

P
W

[NAT]

$$P \times B_P + (P-1) \text{key} \leq B \cdot S$$

$$P \times 6 + (P-1) \times 34 \leq 2048$$

$$6P + 34P - 34 \leq 2048$$

$$40P \leq 2048 + 34$$

$$\frac{2082}{40} = \lfloor 52 \rfloor = 52 \text{ Ans}$$

52

Q.10

P
W

Assume a disk with block size $B = 1024$ Bytes, A block pointer is $P_B = 12$ bytes long and a record pointer is $P_R = 18$ bytes long. A file has 1,00,000 patients records of size 100 bytes. Suppose the file is ordered by the key field PID and we want to construct a secondary (dense) index on non-key field DeptID (14 bytes), then minimum of how many blocks are required to store index file assuming an unspanned organization?

[MCQ]

- A. 3000
- B. 3100
- C. 3125
- D. None of the above

Block Size = 1024 Byte

B_p = 12B

R_p = 18B

SI Non key = 14 Byte

Recs = 10⁵

Record size = 100B

$$\text{One Index Record} = 14 + 18 = 32 \text{ Byte}$$

$$\text{Block factor of Index file} = \frac{1024}{32} = 2^{10} / 2^5 = 2^5 = 32 \text{ Index Record per block}$$

SI (Dense) Total # Index Entries = 10⁵ (# records)

$$\text{Total # Index Block} = \left\lceil \frac{100000}{32} \right\rceil = 3125 \text{ Avg}$$

Q.11

P
W

The order of a node in B tree is the maximum number of block pointers it can hold. Given that the block size is 2K bytes, data record pointer is 8 bytes long, the search key is 9 bytes long and a block pointer is 5 bytes long. The best possible order of B tree node is ____.

[NAT]

$$P \times B_P + (P-1) \text{key} + (P-1)R_P \leq \text{Block Size}$$

$$P \times 5 + (P-1)9 + (P-1)8 \leq 2048$$

$$5P + 9P - 9 + 8P - 8 \leq 2048$$

$$22P - 17 \leq 2048$$

$$22P \leq 2065$$

$$P = \left\lceil \frac{2065}{22} \right\rceil = 93 \text{ Ans}$$

BTree : $P \times B_P + (P-1)key + (P-1)R_P \leq \text{Block Size}$

B+ Tree

Internal Node = $P \times B_P + (P-1)key \leq \text{Block size}$

Leaf Node : $(P-1)key + (P-1)R_P + LB_P \leq \text{Block size}$

Q.12

The order of a leaf node (P) in a B+ tree is the maximum number of (value, data record pointer) pairs it can hold. Given that P=36, data record pointer is 8 bytes long, the search field is 6 bytes long and a block pointer is 8 bytes long. The permissible block size is _____.

P
W

[NAT]

$$P(\text{key} + R_p) + \lfloor \frac{R_p}{B_p} \rfloor \leq \text{Block Size}$$

$$36[8 + 6] + 8$$

$$\begin{aligned} &36 \times 14 + 8 \\ &= 512 \text{ Ans} \end{aligned}$$

Q.13

(Assume that the level of root node is 1)

P
W

The order of different nodes in B^+ tree/B tree are given as-

2 to P block pointers in root node.

$B\text{Tree}$ $a = 161$
min keys

$\left[\frac{P}{2}\right]$ to P block pointers in internal node.

$B^+\text{Tree}$: $b = 108$

$\left[\frac{P}{2}\right] - 1$ to $(P-1)$ keys in leaf node.

Let a and b be

$$a : 161$$
$$+ b : 108$$

269 Ans

The minimum number of keys in

B tree and B^+ tree node of order All keys available in last(leaf Node)

$P = 5$ and level = 5. The value of $(a + b)$ is _____.

[NAT]

Height/level	min # Node	min # BP	min # keys.	$(P)_h = \binom{P}{h}$ $\oplus P=5$	level=5
0/L	L	2	L	L = 1	
1/2	2	$2\lceil \frac{P}{2} \rceil$	$2\lceil \frac{P}{2} \rceil - 1$	$2\lceil \frac{P}{2} \rceil - 1 = 4$	
2/3	$2\lceil \frac{P}{2} \rceil$	$2\lceil \frac{P}{2} \rceil^2$	$2\lceil \frac{P}{2} \rceil \left(\lceil \frac{P}{2} \rceil - 1 \right)$	$2 \times 3 \times 2 = 12$	
3/4	$2\lceil \frac{P}{2} \rceil^2$	$2\lceil \frac{P}{2} \rceil^3$	$2\lceil \frac{P}{2} \rceil^2 \left(\lceil \frac{P}{2} \rceil - 1 \right)$	$2 \times 3^2 \times 2 = 36$	
4/5	$2\lceil \frac{P}{2} \rceil^3$	$2\lceil \frac{P}{2} \rceil^4$	$2\lceil \frac{P}{2} \rceil^3 \left(\lceil \frac{P}{2} \rceil - 1 \right)$	$2 \times 3^3 \times 2 = 108$	
...	
$n/n+1$	$2\lceil \frac{P}{2} \rceil^{n-1}$	$2\lceil \frac{P}{2} \rceil^n$	$2\lceil \frac{P}{2} \rceil^{n-1} \left(\lceil \frac{P}{2} \rceil - 1 \right)$	$16L$	

Q.14

(Assume that the level of root node is 1)

The order of different nodes in B^+ tree/B tree are given as-

2 to P block pointers in root node.

 $\left\lceil \frac{P}{2} \right\rceil$ to P block pointer is internal node. $\left\lceil \frac{P}{2} \right\rceil - 1$ to $(P-1)$ keys in leaf node.Let a and b be the maximum number of keys in B tree and B^+ tree node of order $P = 5$ and level = 5. The value of $(a + b)$ is 5624. Ans

$$a = 3124$$

$$b = \frac{2500}{5624}$$

*Last Leaf Node***[NAT]**

Height/Level	max # Node	max # Bp #	max # keys	$P=5$	level = 5
0/1	1	P	$(P-1)$	$(P-1) = 4$	= 4
1/2	P	P^2	$P(P-1)$	5×4	= 20
2/3	P^2	P^3	$P^2(P-1)$	$5^2 \times 4$	= 100
3/4	P^3	P^4	$P^3(P-1)$	$5^3 \times 4$	= 500
4/5	P^4	P^5	$P^4(P-1)$	$5^4 \times 4$	= 2500
n/h	P^h	P^{h+1}	$P^h(P-1)$	3124	

Q.15

Consider the keys (1- 5000) ~~5000~~ are going to be inserted into a B⁺ tree. Assume, all the orders are available before insertion. The orders P for B⁺ tree node is defined as-

2 to P pointer for root

$\left\lceil \frac{P}{2} \right\rceil$ to P pointer for another node.

The maximum possible levels in a B⁺ tree index for P = 9 is _____.

(Assume that level of the root node is 1)

P
W

Min keys in the node.

Min [P/2] - 1

[NAT]

Ans (6)

$\min \text{key} = \lceil \frac{P_2}2 \rceil - \lceil \frac{K}2 \rceil = 5$

ORDER: 9
for maximum level then min #keys required in each Node.

$$\text{Min key} = \lceil \frac{P_2}2 \rceil - 1 \Rightarrow \lceil \frac{9}2 \rceil - 1 \Rightarrow 5 - 1 = 4$$

$$\begin{aligned}\text{Total} &= 5000 \\ \text{Total} &= \frac{5000}{4} = 1250 \text{ Node}\end{aligned}$$

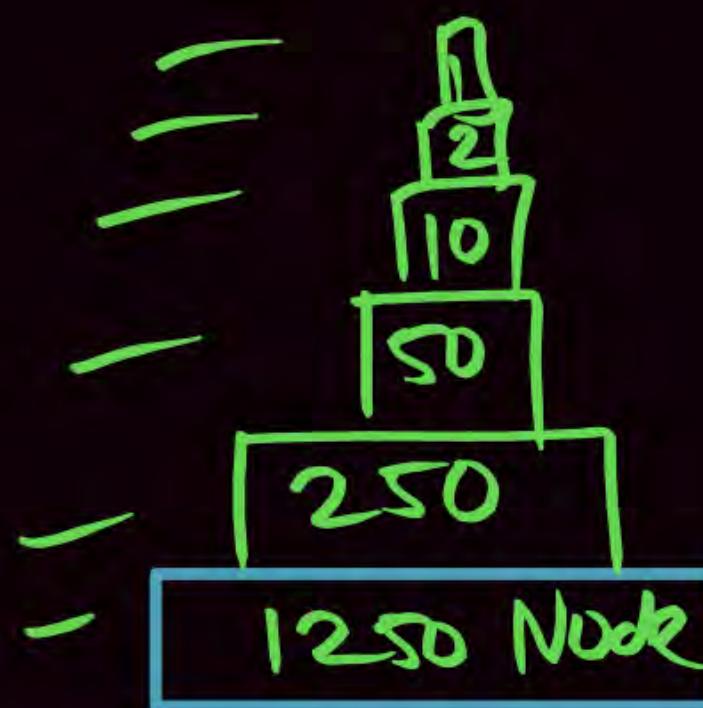
$$\lceil \frac{K}2 \rceil = 1$$

$$\lceil \frac{10}2 \rceil = 2$$

$$\lceil \frac{50}2 \rceil = 10$$

$$\frac{250}{5} = 50$$

$$\frac{1250}{5} = 250$$



Q.16

Consider the following statements:

- S_1 : In a B+ tree, data pointers are stored only at the leaf nodes of the tree.
- S_2 : The leaf node has an entry for every value of the search field, along with the data pointer to the record.

Choose the correct statements.

[MCQ]

- A. Only S_1 is true
- B. Only S_2 is true
- C. Both S_1 and S_2 are true
- D. Neither S_1 nor S_2 is true

Q.17

Consider the keys $(1- 5000)$ are going to be inserted into a B^+ tree. Assume, all the order are available before insertion. The orders P for B^+ tree node is defined as-

P
W

2 to P pointer for root

$\left\lceil \frac{P}{2} \right\rceil$ to P pointer for another node.

The minimum possible levels in a B^+ tree index for $P = 9$ is _____.

(Assume that level of the root node is 1)

Ans(4)

[NAT]

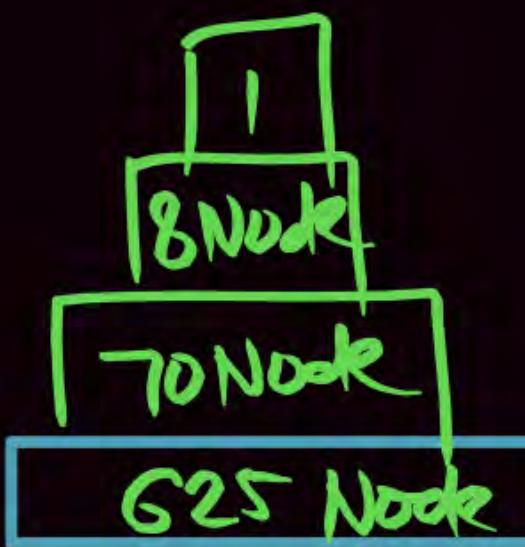
Minimum Level means maximum keys in the Node

$$P=9$$

max key = $(P-1) \rightarrow (P-1) = 8$ key.

$$\text{Total Node} = \frac{2000}{8} = 625$$

$$\begin{aligned} \text{max } B_P &= P \\ B_P &= 9 \end{aligned}$$



$$\left\lceil \frac{8}{9} \right\rceil = 1$$
$$\left\lceil \frac{70}{9} \right\rceil = 8$$
$$\left\lceil \frac{625}{9} \right\rceil = 70$$

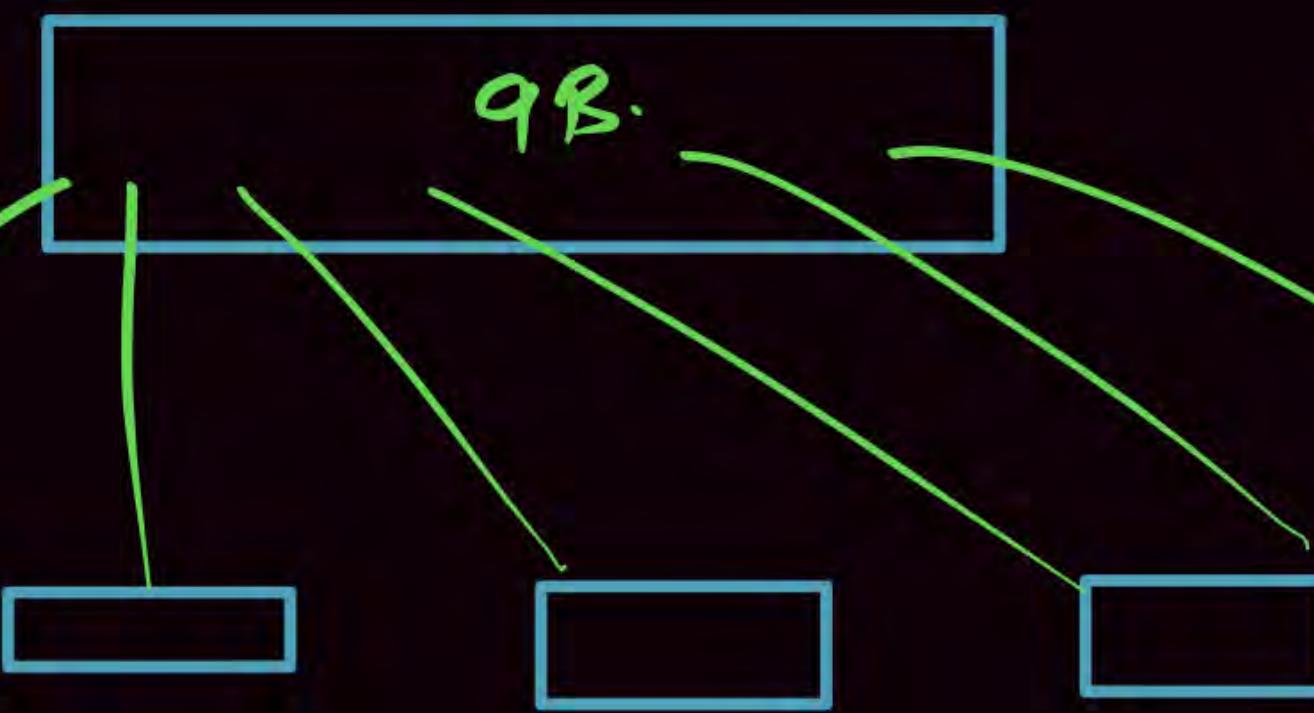
Total Minimum 4 Level Required.

minimum level

Level 1

Level 2

9BP



1 Nock

Level 3

1 9BP

9BP

9BP

9BP

70 Nock

Level 4

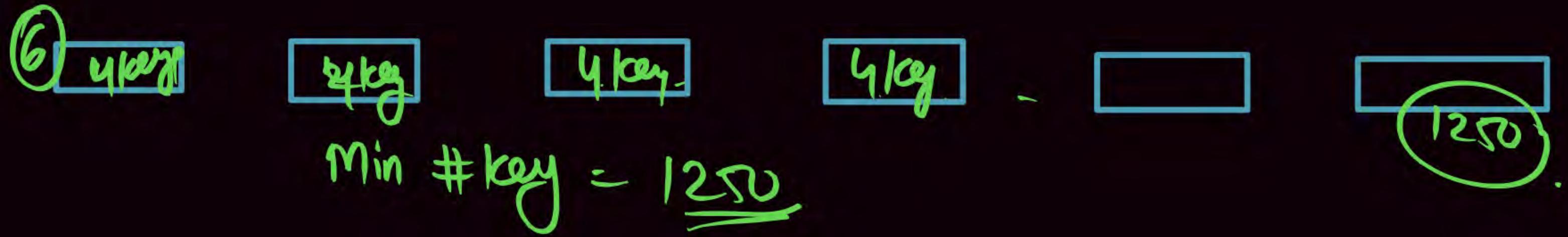
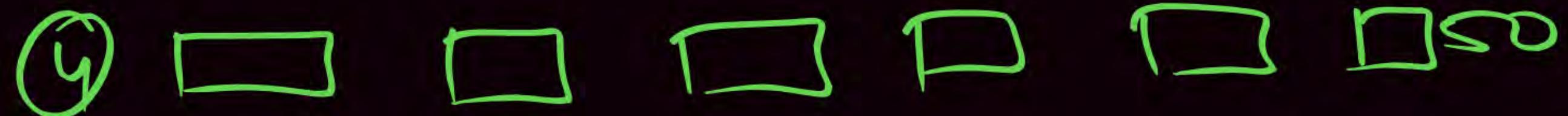
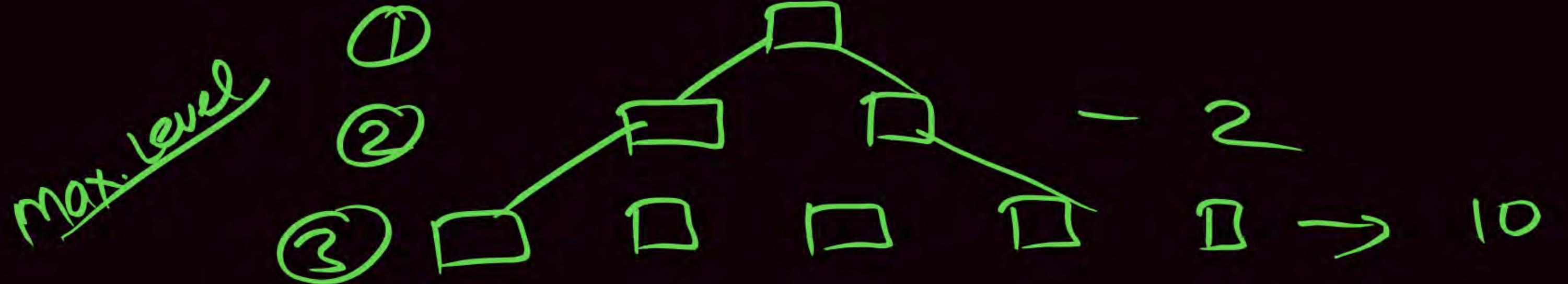
8key

bkey

8key

625 Nock

Min Level = Max key



Q.18

Consider a file of $r = 40,000$ records, each record is

$R = 100$ bytes long and its key field is of size $v = 20$ bytes. The file is ordered on a key field, and the file organization is unspanned. The file is stored in a file system with block size $B = 2000$ bytes, and size of block pointer is 20 bytes. If the primary index is built on the key field of the file and multilevel index scheme is used to store the primary index, then the total number of blocks required by the multilevel index is ____.

[NAT]

#Records = 40000 Record Size = 100B, Block Size = 2000B Unspanned Primary.
Key = 20B BP = 20B.

$$\text{Block factor of DB file} = \left\lfloor \frac{2000}{100B} \right\rfloor = 20 \text{ Record Per Block}$$

$$\text{Total #DB Block} = \left\lceil \frac{40000}{20} \right\rceil = 2000 \text{ Data Block}$$

Indexing One Index Record Size = $20 + 20 = 40 \text{ Byte}$

$$\text{Block factor of Index file} = \left\lfloor \frac{2000}{40} \right\rfloor = 50 \text{ Index for Block Entries}$$

SPARSE

Total # Index Entries = 2000 (# DB Blocks)

1st level : Total # Index Block = $\frac{2000}{50}$ = 40 Index Block.

2nd level : # Index Entries = 40 (# 1st Level Block)

Total # Index Block = $\left(\frac{40}{50}\right)$ = 1 Block.

Total Index Block = 40 + 1 = 41 Ans

PS-1

Q.2

Consider the student relation shown below with schema

Stud(Sname, Sage, Smail, Smarks) as follows:

[NAT]

Stud

Sname	Sage	Smail	Smarsks
Rohit	28	R@pw.live	68
Kanika	25	K@pw.live	75
Pankaj	25	K@pw.live	75
Rohit	28	R@pw.live	88
Anjali	26	A@pw.live	75

✗ Sname Sage
 ✗ Sname Smail
 ✓ Sname Smarsks
 ✗ Sage Smail
 ✗ Sage Smarsks
 ✗ Smail Smarsks

Sname Smarsks Avg

For the above given instance how many 2-set of attributes can determine a row uniquely?

Q.10

Consider the below instance of relation:

[NAT]

P
W

Employee:

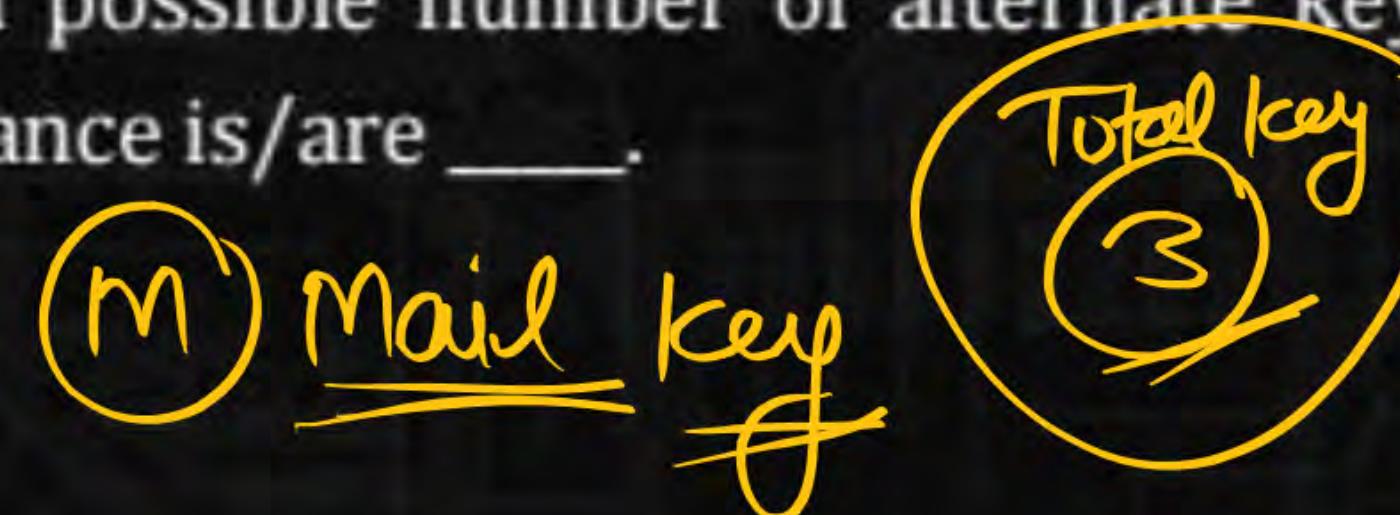
R	N	M	S	
Emp_rating	Emp_name	Emp_mail	Emp_sal	
1	Rohit	p@pw	40000	\cancel{RN}
2	Kanika	c@pw	60000	$\cancel{RM} \rightarrow S.k$
1	Rohit	Null	50000	\cancel{RS}
3	Pankaj	g@pw	60000	$\cancel{NM} \rightarrow S.k$

\cancel{NS}

$\underline{MS} \rightarrow S.k$

The maximum possible number of alternate keys for the above relational instance is/are ____.

- Emp_rating
- Emp_name
- Emp_mail
- Emp_sal



Rating Salary
Name Salary

Q.13

Choose the incorrect statement from the following

[MCQ]

P
W

A.

All super keys cannot be primary key. → *Correct*

B.

We choose the minimal candidate key to be a primary key. → *Correct*

C.

The number of super keys are equal to the number of primary keys for a relation.

X
Incorrect

D.

None of the above.

Q.14

Suppose a relation R has 9 attributes, then the maximum possible number of candidate keys are? [NAT]

P
W

$$\text{Max #CK} = {}^nC_{\lfloor \frac{n}{2} \rfloor} = {}^9C_{\lfloor \frac{9}{2} \rfloor} = {}^9C_4$$

$$= \frac{9 \times 8 \times 7 \times 6}{4! \times 4 \times 2 \times 2} \\ = \frac{360}{63 \times 2} \\ = \underline{\boxed{126}} \text{ Ans}$$

PS-2

Q.12

Assume a relation $R(P, Q, R, S, T, U)$ with the following dependencies

1. $PQ \rightarrow RS$
2. $T \rightarrow R$
3. $Q \rightarrow TU$

Given the functional dependencies as shown above which among the options shows the decomposition of relation R is normalized to 3NF? *First Find Minim*

- A. $R_1(P, Q, R, S, T, U) R_2(T, R) R_3(Q, T, U)$
- B. $R_1(P, Q, R, S) R_2(R, T) R_3(T, U, Q)$
- C. $R_1(P, Q, R, S) R_2(R, T) R_3(Q, T, U)$
- D. $R_1(P, Q, S), R_2(T, R) R_3(Q, T, U)$

~~$PQ \rightarrow R$~~ $(PQ)^+ - (PQS \rightarrow R)$ [MCQ]

$PQ \rightarrow S$

$T \rightarrow R$

$Q \rightarrow T$

$Q \rightarrow U$

$R(PQRSTU)$

$[PQ \rightarrow S, \quad T \rightarrow R \quad Q \rightarrow TU]$

Candidate key = PQ.

Check 3NF ?

$T \rightarrow R$
 $Q \rightarrow TU$

Violate
3NF

$R_2(TR) \cap R_3(QTU) = (T)^+ - TR$

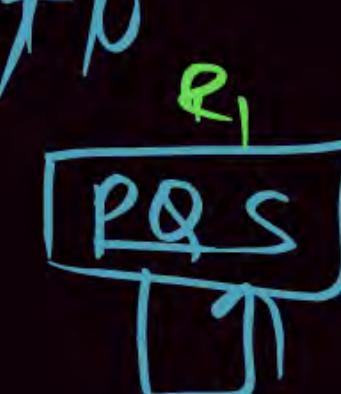
$R_1(PQS) \cap R_{23}(QTR)$

$(Q)^+ - (QTR) \subseteq \text{lossless}$

lossless

3NF Decomposition

PQRSTU



Q.14

Consider a table/Relation R has one candidate key, then which of the following is always true?

P
W

[MCQ]

A.

If R is in 2NF, then it is also in 3NF

B.

If R is in 3NF, then it is also in BCNF

C.

If R is in 2NF, but it is not in 3NF

D.

None of the above.

$R(ABC)$ ($A \rightarrow B$, $A \rightarrow C$)

Candidate key - [A]

R is in 3NF & BCNF

Counterex.

@

$R(ABCD)$ ($AB \rightarrow C$, $C \rightarrow D$)

Candidate key = (AB)

$C \rightarrow D$ Not in 3NF

2NF But Not in 3NF

C) $R(ABCD)$ ($AB \rightarrow C$, $AB \rightarrow D$)

Candidate key = (AB)

Here R is in 2NF, 3NF & BCNF

$X \rightarrow Y$

3NF

X : Super key
 \textcircled{or}
 Y : Prime key
Attribute

BCNF

X : Super key

$R(ABCDE)$ ($AB \rightarrow C$, $C \rightarrow D$, $R \rightarrow E$)

Cand. key = (AB)

Check 2NF ?

$B \rightarrow E$
↑
Proper subset
of DC ↑ Non key
Attribute

Not in 2NF

Q.17

Consider a relation X (P, Q, R, S, T) with the FD's:

[MSQ]

$$PQ \rightarrow R$$

$$Q \rightarrow S$$

$$(PQ) \vdash (PQS)$$

$$\text{and key} = QT$$

$$ST \rightarrow P$$

$Q \rightarrow S$ is a BCNF violation for X. Suppose we decide to decompose X into $X_1(Q, S)$, and $X_2(P, Q, R, S, T)$.

Which of the following statements are incorrect?

~~Incorrect~~ (1) $\{PQ \rightarrow R\}$ is a minimal cover for the FD's that hold in X_2 .

~~Correct~~ (2) $PQ \rightarrow R$ is a BCNF violation for X_2 .

~~Incom~~ (3) X_2 should be decomposed further into $X_3(P, Q, R)$ and $X_4(R, T)$

A. (1)

B. (2)

C. (3)

D. (1) and (2) only

$PQ \rightarrow R$, $Q \rightarrow S$, $ST \rightarrow P$.

Cond. key = $[Q, T]$.

Check BCNF ?

$PQ \rightarrow R$
 $Q \rightarrow S$
 $ST \rightarrow P$

Violation
of BCNF

BCNF Decomposition

$R_1(PQT)$

$PQ \rightarrow R$
 $Q \rightarrow S$

$R_2(PQR)$

$R_3(QS)$

$R_1(PQT)$
 $R_2(PQR)$
 $R_3(QS)$

Q.18

Suppose functional dependency $Q \rightarrow R$ holds in relation $R(P, Q, R, S)$ which additional FD will make R be in 3NF, but not BCNF? [MCQ]

P
W

- A. $S \rightarrow PQ$
- C. $RS \rightarrow Q$

Ans

③ PQRS [$Q \rightarrow R, RS \rightarrow Q$]

Candidate key = [PQS, PRS]

Key | Prime Attribute = [P, Q, R, S]

Check 3NF

$\begin{array}{l} X \rightarrow Y \\ Q \rightarrow R \\ RS \rightarrow Q \end{array}$

Here X is not superkey
But Y is prime

So R is in 3NF But Not in BCNF

- B. $PR \rightarrow S$
- D. $PS \rightarrow Q$

@ PQRS [$Q \rightarrow R, S \rightarrow PQ$]

Candidate key = S.

Check 3NF? $Q \rightarrow R$ Not in 3NF
(Q Not Superkey
R Not Prime Attribute)

④ PQRS [$Q \rightarrow R, PS \rightarrow Q$]

C.K = [PS]

$Q \rightarrow R$
Not in 3NF

⑤ PQRS [$Q \rightarrow R, PR \rightarrow S$]

Candidate key = PQ

$Q \rightarrow R$
Violation of 2NF
R Not in 3NF

PS-3

PS-3

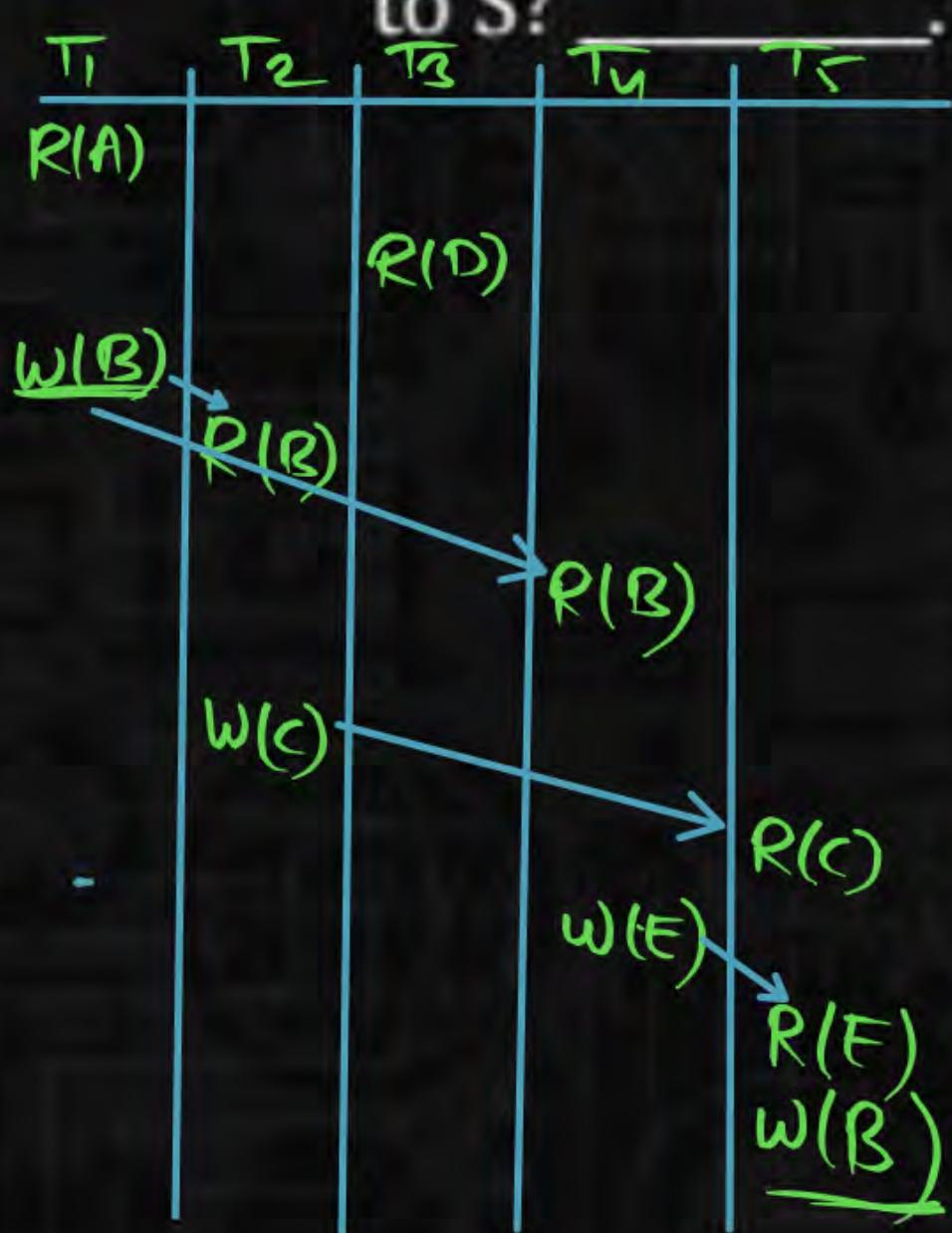
PS-3

Q.7

Consider the following schedule

S: $R_1(A), R_3(D), W_1(B), R_2(B), R_4(B), W_2(C), R_5(C), W_4(E), R_5(E), W_5(B)$

How many serial schedules are possible which will be view equal to S?



① Initial Read

A: By T_L D: By T_B

② Final Write

B: $T_1 \rightarrow T_5$

③ Updated Read (Write Read)

$W_1(B) - R_2(B) : T_1 \rightarrow T_2$

$W_1(B) - R_4(B) : T_1 \rightarrow T_4$

$W_2(C) - R_5(C) : T_2 \rightarrow T_5$

$W_4(E) - R_5(E) : T_4 \rightarrow T_5$

[NAT]

$$T_1 \rightarrow T_2 \rightarrow T_5$$

T_4 [comes] appear before T_5 & T_1 appear before T_4 .

$$T_1 \rightarrow T_2 \rightarrow T_5$$

for T_4

CASE I:

$$T_1 \quad T_2 \quad T_4 \quad T_5$$

CASE II:

$$T_1 \quad T_4 \quad T_2 \quad T_5$$

Now

T_3 is Independent

so can be placed Any
where

<u>CASE I</u>					
①	$\underline{T_3}$	$\underline{T_1}$	T_2	$\underline{T_4}$	T_5
②	T_1	$\underline{T_3}$	T_2	$\underline{T_4}$	T_5
③	T_1	T_2	$\underline{T_3}$	$\underline{T_4}$	T_5
④	T_1	T_2	T_4	$\underline{T_3}$	T_5
⑤	T_1	T_2	T_4	T_5	$\underline{T_3}$

CASE I - $T_1 - T_2 - \underline{T_3} - T_4 - T_5 = 5$

CASE II - $T_1 - \underline{T_3} - T_2 - T_4 - T_5 = 5$

Q.13

P
W

Consider the following schedule

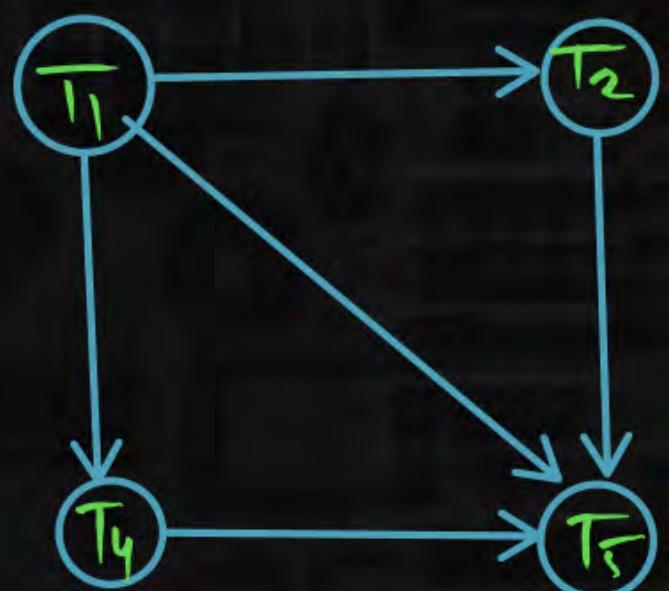
$S = r_1(P); r_3(S); w_1(Q); r_2(Q) r_4(Q), w_2(R)$
 $r_5(R); w_4(T); r_5(T); w_5(Q)$

How many serial schedules conflict equal to schedule(S)?

_____.

[NAT]

$$- T_1 - T_2 - T_4 - T_5 - = 5$$



$$\textcircled{T3} - T_1 - T_4 - \cancel{T_2} - T_5 - = 5$$

10

T3 Independent
Placed Anywhere.

Q.16

How many views equivalent serial schedules are possible for the given schedules below _____

S: $w_1(P) r_2(P) w_3(P) r_4(P) w_5(P) r_6(P)$

Final write w_5

[NAT]

P
W

T_1	T_2	T_3	T_4	T_5	T_6
$w(P)$					
	$R(P)$				
		$w(P)$	$R(P)$		

Updated Read

$w_1(P) - r_2(P) : T_1 \rightarrow T_2$

$w_3(P) - r_4(P) : T_3 \rightarrow T_4$

$w_5(P) - r_6(P) : T_5 \rightarrow T_6$

$T_1 T_2$

$T_3 T_4$

$T_5 T_6$

$T_3 T_4$

$T_1 T_2$

$T_5 T_6$

Fixed

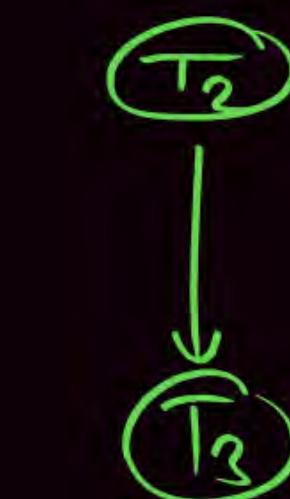
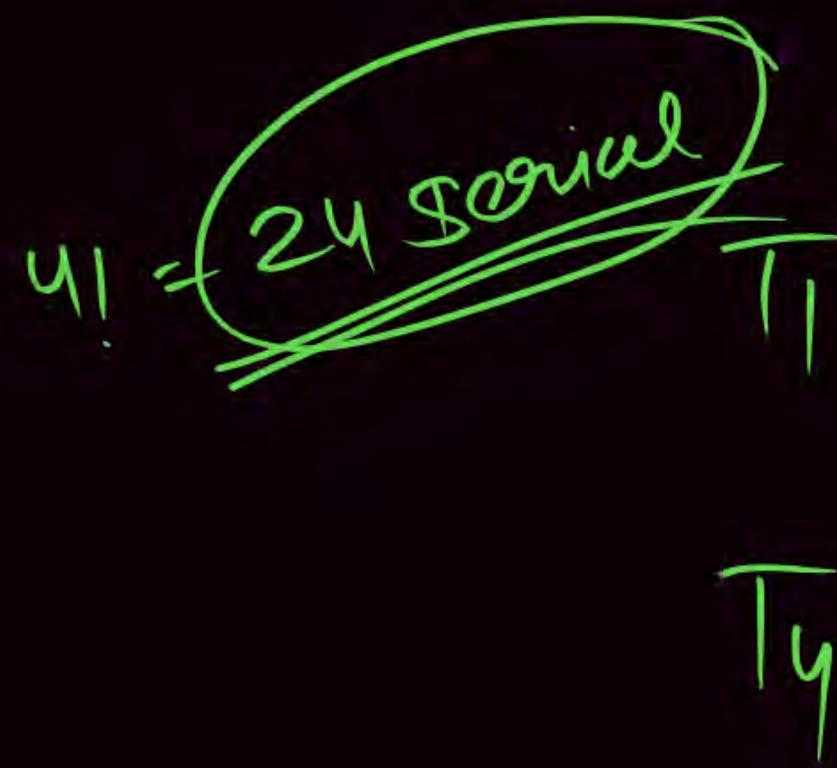
Q.17

The goal of concurrency control on database system is to

[MCQ]



- A. Only allow concurrent execution of transaction that correspond to serial execution of some of the transactions.
- B. Allow only transactions that don't access common relationship to run concurrently.
- C. Execute transactions serially.
- D. None of the above.



CASE I T₁ - T₂ - T₃ - = 4

II - T₂ - T₁ - T₃ - = 4

III - T₂ - T₃ - T₁ - = 4
~~T₂~~

Q.25

P
W

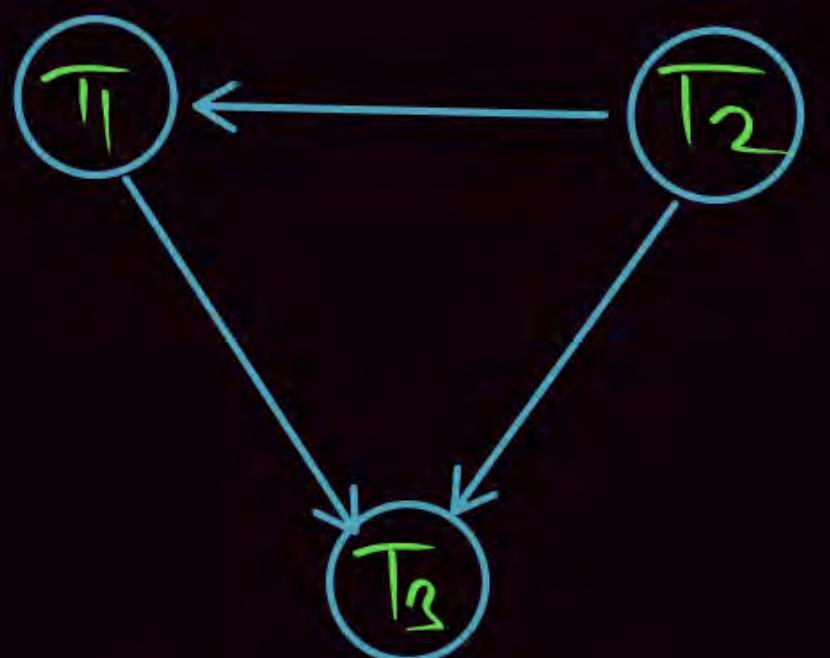
Consider the following schedule -

S: $W_2(P); W_1(P); W_2(Q); W_3(P); W_1(Q); W_3(Q); C_1; C_2; C_3;$

Which of the following is/are correct?

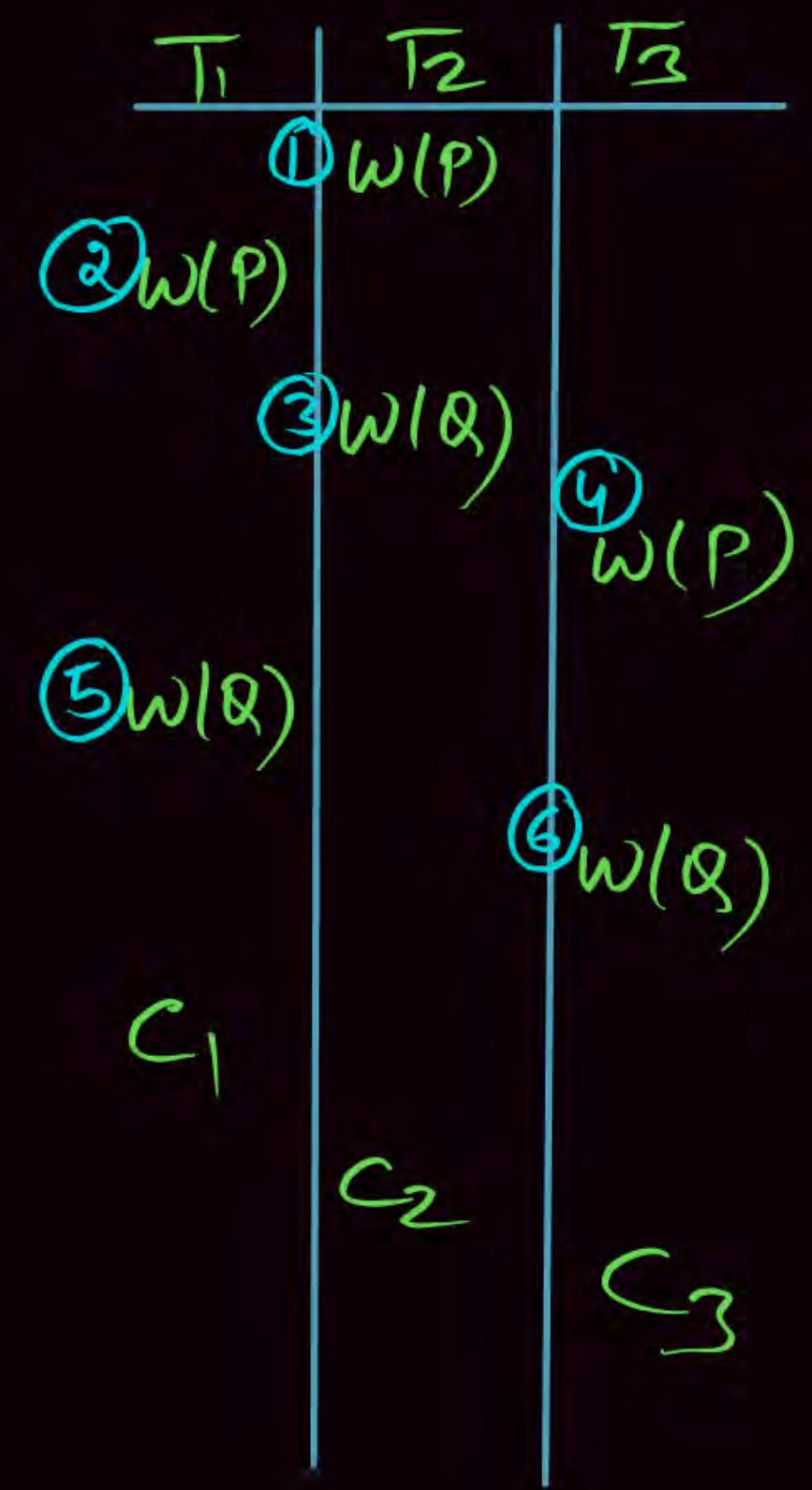
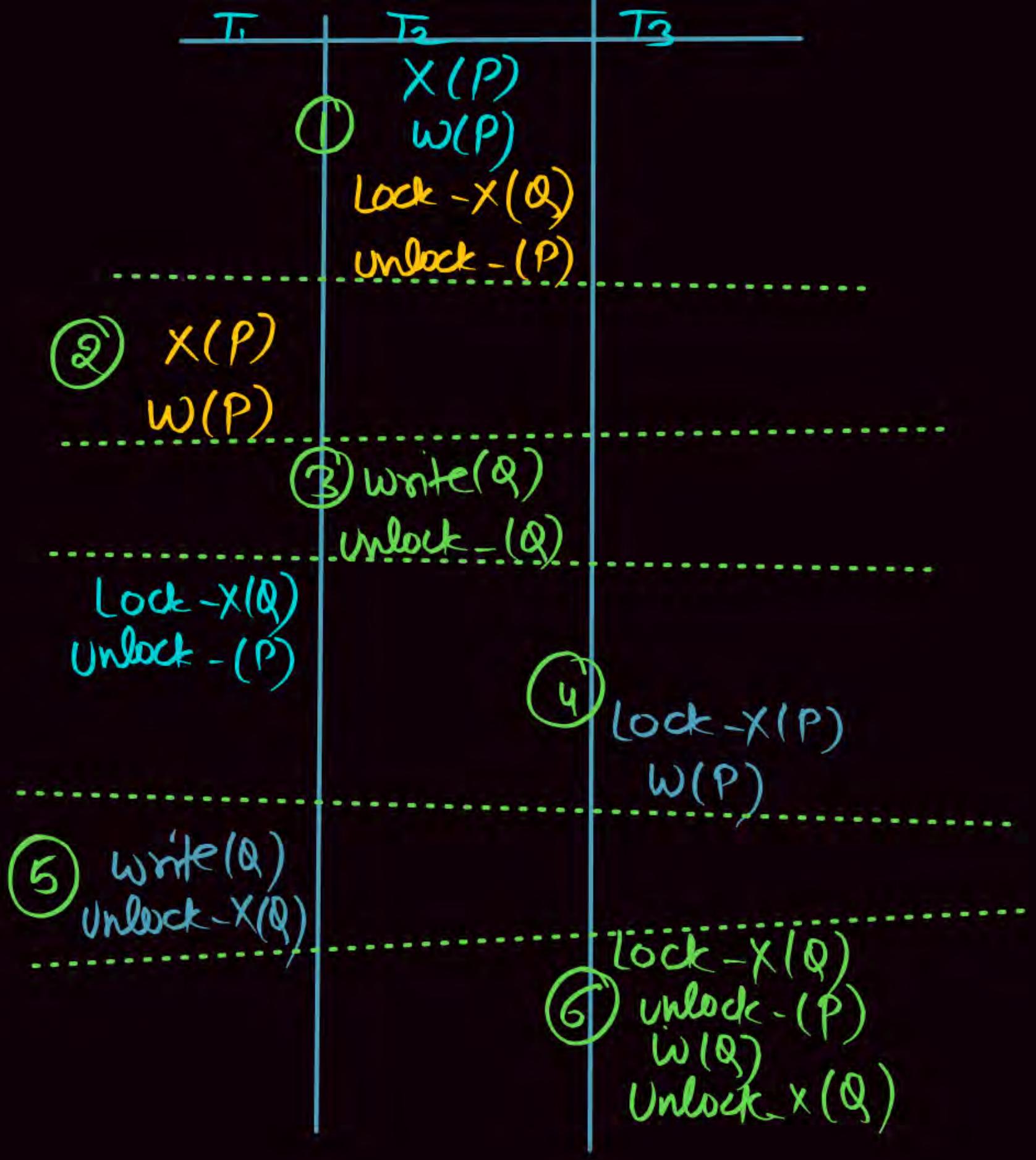
[MSQ]

- A. S is not allowed by 2PL.
- B. S is allowed by 2PL.
- C. The equivalent serial schedule to S is $T_3 \rightarrow T_2 \rightarrow T_1$.
- D. The equivalent serial schedule to S is $T_2 \rightarrow T_1 \rightarrow T_3$.



$\langle T_2 \ T_1 \ T_3 \rangle$

T_1	T_2	T_3
$w(P)$		
$w(Q)$		
	$w(P)$	
	$w(Q)$	
c_1		
	c_2	
		c_3



Q.26

P
W

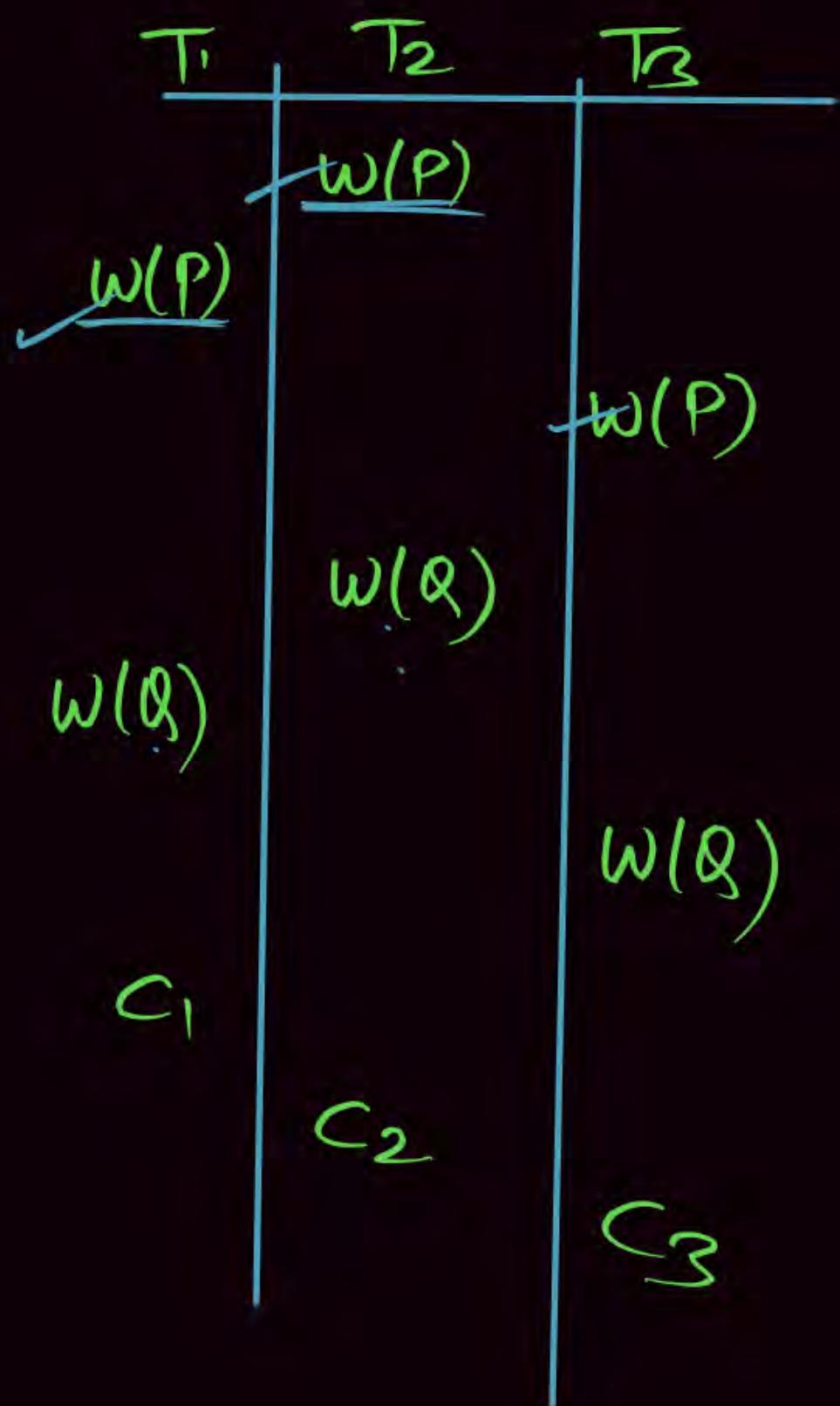
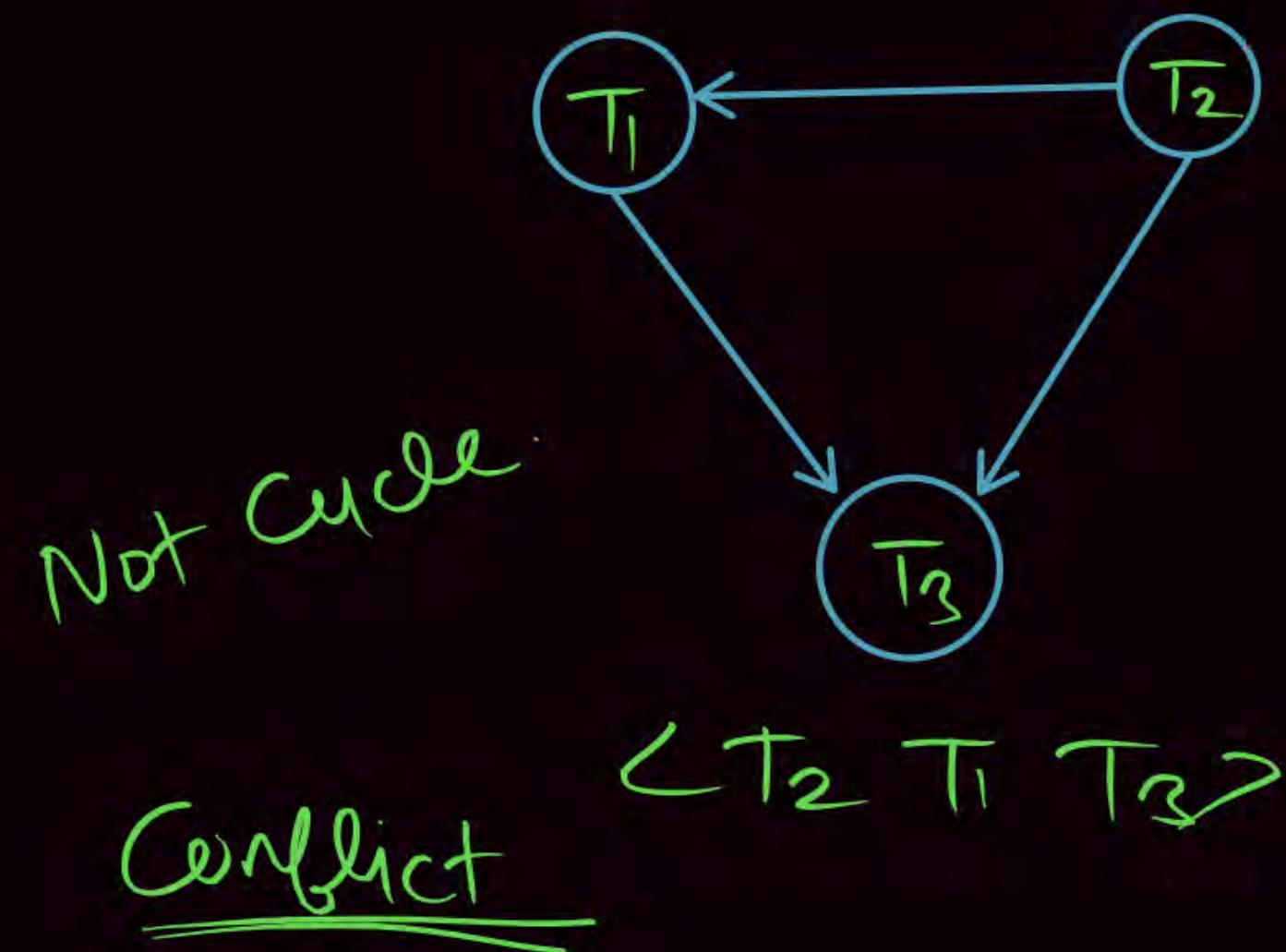
Consider the following schedule -

S : $W_2(P); W_1(P); W_3(P); W_2(Q); W_1(Q); W_3(Q); C_1; C_2; C_3;$

Which of the following is/are correct?

[MSQ]

- A. S is conflict serializable.
- B. S is allowed by 2PL.
- C. S is not allowed by 2PL.
- D. Serial schedule equivalent to S is $T_2 \rightarrow T_1 \rightarrow T_3$.



T_1	T_2	T_3
	$X(P)$	
	$W(P)$	
	$\text{Lock } X(Q)$	
	$\text{Unlock } X(P)$	
$\text{Lock } X(P)$		
$W(P)$		
$\text{Lock } X(Q)$		
\downarrow Not Allocation T_2 to acquire		
	Not	
		$\text{Lock } X(P) \leftarrow \text{Denied by } T_1$

T_1	T_2	T_3
	$W(P)$	
	$W(P)$	
	$W(Q)$	
	$W(Q)$	
	C_1	
	C_2	
		C_3

