

## Database Management System

## File Org &amp; Indexing

DPP 03

[MCQ]

1. The order of a leaf node in a B<sup>+</sup> tree is the maximum number of (value, data record pointer) pairs it can hold. Given that the block size is 1K bytes, data record pointer is 8 bytes long, the value field is 10 bytes long and a block pointer is 6 bytes, then what is the order of the leaf node?
- (a) 53 (b) 54  
(c) 55 (d) 56

[NAT]

2. The order of a node in B<sup>+</sup> tree is defined as the number of pointers it can hold. What is the maximum number of keys that a B<sup>+</sup> tree of order 4 and height 4 can have ? \_\_\_\_\_  
(Assume that the height of a root node is 1)

[MCQ]

3. Given a block can hold either 3 records or 10 key pointers. A database contains P records, then how many blocks do we need to hold the data file and the dense index?
- (a)  $\frac{P}{30}$  (b)  $\frac{P}{3}$   
(c)  $\frac{13P}{30}$  (d)  $\frac{P}{10}$

[NAT]

4. The order of an internal node in B<sup>+</sup> tree index is the maximum number of children it can have. Assume that a child pointer takes 6 bytes, the search field value takes 34 bytes and the blocks size is 2048 bytes. The order of the internal node is \_\_\_\_\_.

[NAT]

5. Assume a disk with block size B = 1024 Bytes, A block pointer is P<sub>B</sub> = 12 bytes long and a record pointer is P<sub>R</sub> = 18 bytes long. A file has 1,00,000 patients records of size 100 bytes. Suppose the file is ordered by the key field PID and we want to construct a secondary (dense) index on non-key field DeptID (14 bytes), then minimum of how many blocks are required to store index file assuming an unspanned organisation?
- (a) 3000 (b) 3100  
(c) 3125 (d) None of the above

[NAT]

6. The order of a node in B tree is the maximum number of block pointers it can hold. Given that the block size is 2K bytes, data record pointer is 8 bytes long, the search key is 9 bytes long and a block pointer is 5 bytes long. The best possible order of B tree node is \_\_\_\_\_.

[NAT]

7. The order of a leaf node (P) in a B<sup>+</sup> tree is the maximum number of (value, data record pointer) pairs it can hold. Given that P=36, data record pointer is 8 bytes long, the search field is 6 bytes long and a block pointer is 8 bytes long. The permissible block size is \_\_\_\_\_.

[NAT]

8. (Assume that the level of root node is 1)  
The order of different nodes in B<sup>+</sup> tree/B tree are given as-  
2 to P block pointers in root node.  
 $\left\lceil \frac{P}{2} \right\rceil$  to P block pointers in internal node.  
 $\left\lceil \frac{P}{2} \right\rceil - 1$  to (P-1) keys in leaf node.  
Let a and b be  
The minimum number of keys in  
B tree and B<sup>+</sup> tree node of order  
P = 5 and level = 5. The value of (a + b) is \_\_\_\_\_.

[NAT]

9. (Assume that the level of root node is 1)  
The order of different nodes in B<sup>+</sup> tree/B tree are given as-  
2 to P block pointers in root node.  
 $\left\lceil \frac{P}{2} \right\rceil$  to P block pointer is internal node.  
 $\left\lceil \frac{P}{2} \right\rceil - 1$  to (P-1) keys in leaf node.  
Let a and b be the maximum number of keys in B tree and B<sup>+</sup> tree node of order P = 5 and level = 5. The value of (a + b) is \_\_\_\_\_.

[NAT]

10. Consider the keys (1– 5000) are going to be interested into a B<sup>+</sup> tree. Assume, all the order are available before insertion. The orders P for B<sup>+</sup> tree node is defined as-

**2 to P pointer for root**

$\left\lceil \frac{P}{2} \right\rceil$  to P pointer for another node.

The maximum possible levels in a B<sup>+</sup> tree index for P = 9 is \_\_\_\_\_.

(Assume that level of the root node is 1)

[MCQ]

11. Consider the following statements:

**S<sub>1</sub>:** In a B<sup>+</sup> tree, data pointers are stored only at the leaf nodes of the tree.

**S<sub>2</sub>:** The leaf node has an entry for every value of the search field, along with the data pointer to the record.

Choose the correct statements.

- (a) Only S<sub>1</sub> is true
- (b) Only S<sub>2</sub> is true
- (c) Both S<sub>1</sub> and S<sub>2</sub> are true
- (d) Neither S<sub>1</sub> nor S<sub>2</sub> is true

[MSQ]

12. Which of the following is/are true reading B<sup>+</sup> tree?

- (a) Records can be fetched in equal number of disk access.
- (b) Height of the tree remains balanced and less as compared to B tree.
- (c) Keys are used for indexing
- (d) Faster search queries as the data is stored only on the leaf nodes.

[NAT]

13. Consider the keys (1– 5000) are going to be interested into a B<sup>+</sup> tree. Assume, all the order are available before insertion. The orders P for B<sup>+</sup> tree node is defined as-

**2 to P pointer for root**

$\left\lceil \frac{P}{2} \right\rceil$  to P pointer for another node.

The minimum possible levels in a B<sup>+</sup> tree index for P = 9 is \_\_\_\_\_.

(Assume that level of the root node is 1)

## Answer Key

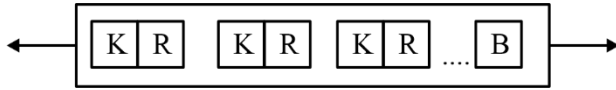
- |          |                  |
|----------|------------------|
| 1. (d)   | 8. (269)         |
| 2. (255) | 9. (5624)        |
| 3. (c)   | 10. (6)          |
| 4. (52)  | 11. (c)          |
| 5. (c)   | 12. (a, b, c, d) |
| 6. (93)  | 13. (4)          |
| 7. (512) |                  |



## Hints & Solutions

1. (d)

Disk block



Given data,

Disk block size = 1K byte =  $2^{10}$  bytes = 1024 bytes

Block pointer (B) = 6 bytes

Key field (K) = 10 bytes

Record/ data pointer (R) = 8 bytes

Order of leaf node = P

$B + (P)(K + R) \leq D$

$6 + (P)(10 + 8) \leq 1024$

$18P \leq 1024 - 6$

$$P = \left\lfloor \frac{1018}{18} \right\rfloor$$

$\therefore P = 56$

Maximum number of (value, data record pointer)

Pairs = 56

The order of the leaf node is 56.

2. (255)

A  $B^+$  tree of order n and height h can have at most  $n^h - 1$  keys. Therefore, maximum number of keys =  $4^4 - 1 = 255$ .

3. (c)

For storing the records, numbers of blocks required =  $\frac{P}{3}$  and for storing the keys in dense index number of

blocks required =  $\frac{P}{10}$ .

So, total blocks required are  $\frac{P}{3} + \frac{P}{10} = \frac{13P}{30}$

4. (52)

Size of child pointer = 6 bytes

Size of search field value = 34 bytes

Block size = 2048.

Order of internal node = P

( $\therefore$  Number of blocks pointer in any node)

$(P-1)34 + P \times 6 \leq 2048$

$34P + 6P \leq 2048 + 34$

$40P \leq 2082$

$$P \leq \frac{2082}{40}$$

$= \lfloor 52.05 \rfloor = 52$

5. (c)

Blocking factor, bfr =  $\lfloor 1024 / 100 \rfloor$

$= 10$  records per block

Number of blocks needs for file =  $\lceil r/bfr \rceil$

$= \lceil 100000/10 \rceil = 10000$

Index records size  $R_i = (\text{Non-Key DeptID} + P_R)$

$= 14 + 18 = 32$  bytes

Index blocking factors bfri =  $\lfloor B / R_i \rfloor = \lfloor 1024 / 32 \rfloor$   
 $= 32$

Number of 1<sup>st</sup> level index entries  $r_1$  = number of records in the file = 100000 entries.

Number of first level index blocks  $b_1 = \lceil r_1/bfri \rceil$

$= \lceil 100000/32 \rceil = 3125$  blocks

6. (93)

**Order P:** maximum blocks pointers per node.

Block size  $\geq P \times (\text{Block size pointer}) + (P-1) \times (\text{size of keys} + \text{size of record pointers})$

Block size  $\geq P \times 5 + (P-1) \times (9+8)$

$2048 \geq 5P + 17P - 17$

$22P \leq 2065$

$$P = \left\lfloor \frac{2065}{22} \right\rfloor = 93$$

7. (512)

**Order P:** maximum number of (value, data record pointer) pairs

Block size  $\geq P \times (\text{keys size} + \text{Record pointer size}) + 1 \times (\text{Block pointer size})$

Block size  $\geq P \times (6+8) + 1 \times (8)$

Block size  $\geq 14 \times 36 + 8$

Block Size = 512 bytes

8. (269)

Level	Minimum Number of Nodes	Minimum number of Blocks pointer	Minimum number of keys
1	1	2	1
2	2	$2 \times \left\lceil \frac{5}{2} \right\rceil = 6$	$2 \times 2$
3	6	$6 \times 3 = 18$	$6 \times 2$
4	18	$18 \times 3 = 54$	$18 \times 2$
5	54	$54 \times 3 = 162$	$54 \times 2$

a = minimum number of keys in B tree  $\rightarrow 161$

For a  $B^+$  tree, keys are present in last level only b = 108

$\therefore a + b = 161 + 108 = 269$

9. (5624)

Level	Max. No. of nodes	Max. No. of Blocks pointer	Max. No. of keys
1	1	5	4
2	5	$5 \times 5$	$5 \times 4$
3	25	$25 \times 5$	$25 \times 4$
4	125	$125 \times 5$	$125 \times 4$
5	625	$625 \times 5$	$625 \times 4$

$a$  = maximum number of keys in B tree  $\rightarrow 3124$

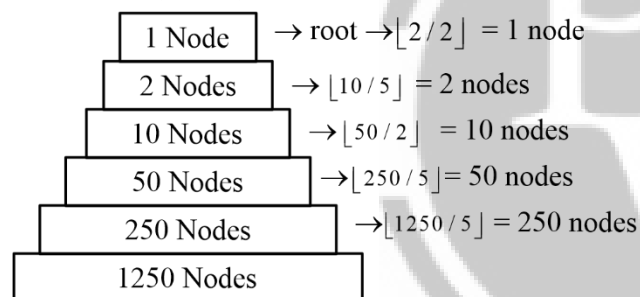
For a  $B^+$  tree, keys are present in last level only  $b = 2500$ .

10. (6)

For maximum possible levels, minimum number of keys should be present in an index node.

Number of nodes in the last level =  $\left\lfloor \frac{5000}{4} \right\rfloor = 1250$

[Minimum  $\left(\left\lceil \frac{9}{2} \right\rceil - 1\right)$  keys for other node]



11. (c)

**$S_1$ (True):** In a  $B^+$  tree, data pointers are stored only at the leaf nodes of the tree.

**$S_2$ (True):** the leaf nodes have an entry for every value of the search field, along with the data pointer to the record.

12. (a, b, c, d)

**True:** Records can be fetched in equal number of accesses

**True:** Height of the tree remains balanced and less as compared to B tree.

**True:** We can access the data stored in a  $B^+$  tree sequentially as well.

**True:** Faster search queries as the data is stored only on the leaf node.

13. (4)

For minimum possible levels, maximum number of keys should be present in index node.

$$= \left\lceil \frac{5000}{8} \right\rceil = 625$$

$$= \left\lceil \frac{625}{9} \right\rceil = 70$$

$$= \left\lceil \frac{70}{9} \right\rceil = 8 \quad \text{Minimum number of level} = 4$$

$$= \left\lceil \frac{8}{9} \right\rceil = 1 \quad \text{node}$$



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