

# CS 40: Computational Complexity

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**Problem 30.** Prove that for every constant  $q$ , we have

$$\text{PCP}_{s:1}[r, q] \subseteq \text{PCP}_{1-(1-s)/q:1}[r + \lceil \log q \rceil, 2]_{\{0,1\}^q}.$$

*Solution.* Let  $\Sigma = \{0, 1\}^q$ . Let  $A \in \text{PCP}_{s:1}[r, q]$ , and let  $V$  be the associated verifier.

For each random string  $r$ ,  $V$  makes  $q$  queries to  $\pi$  to receive  $q$  binary answers. We wish to replace this with one super-query receiving a member of a larger alphabet  $\Sigma$ . Towards this goal, let  $i_{1,u}, \dots, i_{q,u}$  be the indices that  $V$  would query given a proof string  $\pi$  when run with randomness  $u$ . We can construct a table  $T \in \Sigma^*$  from a proof string  $\pi$  with  $T[u] = (\pi(i_{1,u}), \dots, \pi(i_{q,u}))$ . Provided the table is filled honestly, a verifier  $V'$  run with randomness  $u$  can query  $T[u]$  once and receive the same information as  $V$ , and thus enact the same protocol.

However, a table may be filled dishonestly, i.e. not come from encoding a proof string as described above. To check for this, we additionally encode  $\pi$  in  $\Sigma^*$  by appending  $q - 1$  0s to each character, and then check one random bit of  $T[u]$  against this  $\pi$ . Then, given any proof of this format,  $(T, \pi)$  (concatenated as strings), the verifier  $V'$  follows the following protocol:

1. Use the first  $r$  bits of randomness,  $u$ , to query  $T[u]$ .
2. Use  $u$  to determine the original query locations of  $V$ . Use the last  $\lceil \log q \rceil$  bits of randomness to pick an index  $j \in [1, \dots, q]$ . Query  $\pi(i_{j,u})$ . These steps are non-adaptive as we can always pre-compute and then query.
3. Simulate  $V$ 's verification process on  $T[u]$ , reject if  $V$  would have rejected.
4. Check  $T[u][j]$  against the extra bit  $\pi(i_{j,u})$  to check  $T[u]$  for consistency against  $\pi$ . Reject if different, otherwise accept.

We claim that this protocol shows that  $A \in \text{PCP}_{1-(1-s)/q:1}[r + \lceil \log q \rceil, 2]_{\{0,1\}^q}$ . The claim on the alphabet, query complexity, randomness complexity, as well as probabilistic polynomial runtime are clear. Thus, we only need to verify the completeness and the soundness.

First, assume  $x \in A$ . Then there exists a proof  $\pi^*$  that makes  $V$  accept  $x$  with certainty. Using  $\pi^*$ , we can construct  $T^*$  “honestly” as described above. Then,  $V'$  run on  $(T^*, \pi^*)$  passes Step 3 with certainty, as it receives the same responses as  $V$  and enacts the same computation. Moreover, since  $T^*$  is constructed to be consistent with  $\pi^*$ , Step 4 passes with certainty. Thus, this protocol has completeness 1 when deciding  $A$ .

Now consider  $x \notin A$ . Let  $(T, \pi)$  be an arbitrary proof string. We claim that  $V'$  rejects  $x$  with probability  $\geq \frac{1-s}{q}$ . Observe that  $V$  rejects  $x$  with probability  $\geq 1-s$ . Let  $R \subseteq \{0,1\}^r$  be the set of values for the randomness that make  $V$  reject (with proof string  $\pi$ ). Then  $\Pr[u \in R] \geq 1-s$  by definition. We claim that if  $u \in R$ , then  $V'$  rejects  $x$  (using randomness  $u$  for the first  $r$  bits) with probability  $\geq \frac{1}{q}$ . We condition on whether  $T[u]$  is consistent with the corresponding values in  $\pi$ .

1. If  $T[u]$  is consistent with  $\pi$ , then  $V'$  receives the same responses as  $V$  would (run with randomness  $u$  and proof  $\pi$ ). As  $u \in R$ ,  $V$  would reject, thus  $V'$  would reject in Step 3 with certainty.
2. If  $T[u]$  is not consistent with  $\pi$ , then there is at least 1 place where it is inconsistent. Thus, the probability of picking  $j$  that leads to Step 4 rejecting is  $\geq \frac{1}{q}$ . Thus, the probability of rejecting in Step 3 or Step 4 is  $\geq \frac{1}{q}$ .

Thus, we have shown that:

$$\Pr[V'(x, u, T, \pi) = 0 | u \in R] \geq \frac{1}{q}$$

Then, we can use the law of total probability and the bounds computed before to compute:

$$\begin{aligned} \Pr[V'(x, u, T, \pi) = 0] &\geq \Pr[V'(x, u, T, \pi) = 0 | u \in R] \Pr[u \in R] \\ &\geq \left(\frac{1}{q}\right) (1-s) = \left(\frac{1-s}{q}\right) \end{aligned}$$

Thus, the soundness error is as claimed:

$$\Pr[V'(x, u, T, \pi) = 1] \leq 1 - \left(\frac{1-s}{q}\right)$$

Thus, we have shown  $A \in \text{PCP}_{1-(1-s)/q:1}[r + \lceil \log q \rceil, 2]_{\{0,1\}^q}$ .