

# Math 121: Hodge Theory

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**Problem 1.** Let  $U \subset \mathbb{C}^n$  be a domain (i.e., a non-empty connected subset) and let  $f : U \rightarrow \mathbb{C}$  be a holomorphic function.

1. Prove that  $f$  satisfies analytic continuation: if it vanishes on an open subset of  $U$ , then it vanishes everywhere.
2. Prove that  $f$  satisfies the maximum principle: if  $|f|$  admits a local maximum in  $U$ , then  $f$  is constant.
3. Let  $M$  be a compact complex manifold. Prove that any holomorphic function on  $M$  is constant.

*Solution.*

1. Let  $V \subseteq U$  be open such that  $f|_V \equiv 0$ . Let  $G$  be the set of points in  $z \in U$  such that  $f$  vanishes in a neighborhood of  $z$ . We want to show that  $G = U$ . We do this by showing that  $G$  is a non-empty clopen set.

By definition,  $G$  is a union of open sets, and thus,  $G$  is open.

Let  $p \in V$  be arbitrary. Since  $U$  is open, and  $f$  is holomorphic, there exists an open ball  $B_r(p) \subseteq U$  of radius  $r$  around  $p$  such that  $f$  has a convergent power series expansion around  $p$ :

$$f(z) = \sum_{\alpha \in \mathbb{N}^n} c_\alpha (z - p)^\alpha$$

where  $\alpha = (\alpha_1, \dots, \alpha_n)$  is a multi-index,  $(z - p)^\alpha = (z_1 - p_1)^\alpha_1 \cdots (z_n - p_n)^\alpha_n$  and  $c_\alpha \in \mathbb{C}$ . Moreover, since  $V$  is open,  $B_r(p) \cap V \subseteq U$  is an open neighborhood of  $p$  such that  $f$  vanishes on it. Thus, we have that  $c_\alpha = 0$  for all  $\alpha \in \mathbb{N}^n$ . Since  $p \in V$  was arbitrary,  $V \subseteq G$ . Thus,  $G$  is non-empty.

To show that  $G$  is closed, let  $(w_n) \in G$  be a sequence that converges to  $w \in U$ . Let  $B$  be a small open ball around  $w$  and consider the power series expansion of  $f$  around  $w$  in  $B$ . We have:

$$f(z) = \sum_{\alpha \in \mathbb{N}^n} c_\alpha (z - w)^\alpha$$

If all  $c_\alpha = 0$ , we are done. Otherwise, there exists, some minimum  $\alpha'$  (with dictionary order) such that  $c_{\alpha'} \neq 0$ . Then,  $(z - w)^{\alpha'}$  is a factor of every other non-zero term (since we picked the dictionary order). Thus, we can write:

$$f(z) = c_{\alpha'} (z - w)^{\alpha'} (1 + g(z - w))$$

where  $g \rightarrow 0$  as  $z \rightarrow w$ . Moreover, we know that eventually  $(w_k) \in B$ . Since  $w_k \neq w$ , we have that  $(z - w)^{\alpha'} \neq 0$  and  $(1 + g(z - w)) \neq 0$ . Thus,  $f(w_k) \neq 0$ , which is a contradiction. Thus, no such  $\alpha'$  exists and  $f(z) = 0$  on all of  $B$ . Thus,  $w \in G$  and  $G$  is closed.

Since  $G$  is a non-empty open and closed subset of  $U$ , and  $U$  is connected, we have that  $G = U$ . Thus,  $f$  vanishes everywhere.

2. Note that we showed the result for  $U \subset \mathbb{C}$  in class (using the Cauchy formula in polar form). Assume  $|f|$  has a local maximum at  $(a_1, \dots, a_n) \in U$ . Then, there exists a poly-disk  $D = D_1 \times \dots \times D_n$  such that for  $p \in D$ , we have:

$$|f(p)| \leq |f(a_1, \dots, a_n)|$$

We need to show that for any  $(z_1, \dots, z_n) \in D$ , we have  $f(z_1, \dots, z_n) = f(a_1, \dots, a_n)$ .

Consider the function  $f_1 : \mathbb{C} \rightarrow \mathbb{C}$  defined as:

$$f_1(z) = f(z, a_2, \dots, a_n)$$

Then,  $f_1(z)$  attains a maximum on  $D_1$  at  $a_1$ . Thus, by the result in the single-variable case,  $f_1$  is constant on  $D_1$ . Thus, we have:

$$f(z_1, a_2, \dots, a_n) = f(a_1, \dots, a_n)$$

and  $f(z_1, a_2, \dots, a_n)$  is a local minimum. Thus, we can repeat this process  $n$  times to get:

$$f(z_1, z_2, \dots, z_n) = f(a_1, a_2, \dots, a_n)$$

Thus,  $f$  is constant on an open subset  $D$ . Then, we can define  $g : U \rightarrow \mathbb{C}$  by letting it be:

$$g(z) = f(z) - f(a_1, \dots, a_n)$$

Then this function is vanishes on  $D$ , thus, by the previous part, vanishes on  $U$ . Thus,  $f$  is constant on  $U$ .

3. Let  $f : M \rightarrow \mathbb{C}$  be a holomorphic function. Since  $f$  is holomorphic, it is continuous. Moreover,  $|f| : M \rightarrow \mathbb{R}$  is also continuous. Since  $M$  is compact,  $|f|$  attains a maximum at some point  $p \in M$ .

Let  $\{(U_i, \phi_i)\}_{i=1}^n$  be a cover of charts (we can pick finite as  $M$  is compact). Then  $p \in U_i$  for some  $i$ . Then, we have that  $f \circ \phi_i^{-1} : \phi_i(U_i) \rightarrow \mathbb{C}$  attains a maximum at  $\phi_i(p)$ . Thus, by the previous part,  $f \circ \phi_i^{-1}$  is constant on  $\phi_i(U_i)$ . Thus,  $f$  is constant on  $U_i$  (as  $\phi_i^{-1}$  is bijective).

Then, using part 1), and assuming that  $M$  is connected, we can prove that  $f$  is constant on all of  $M$ , propagating via open intersections of chart domains.

**Problem 2.**

1. Show that the assignment  $L \mapsto (L_{\mathbb{R}}, \text{mult}(i))$  gives an equivalence between the category of complex vector spaces and the category of pairs  $(V, J)$ , where  $V$  is a real vector space,  $J : V \rightarrow V$  is an  $\mathbb{R}$ -linear operator satisfying  $J^2 = -\text{Id}_{L_{\mathbb{R}}}$ , and a morphism  $(V, J) \rightarrow (W, K)$  is defined as an  $\mathbb{R}$ -linear map  $f : V \rightarrow W$  that intertwines  $J$  and  $K$ , i.e., such that  $K \circ f = f \circ J$ .
2. Let  $(V, J)$  be a pair as above:
  - (a) Let  $\iota : v \mapsto v$  be the  $\mathbb{R}$ -linear automorphism of  $V \otimes_{\mathbb{R}} \mathbb{C}$  induced from conjugation on the second factor. Show that  $V$ , seen inside  $V \otimes_{\mathbb{R}} \mathbb{C}$  via  $v \mapsto v \otimes 1$ , is isomorphic to the fixed locus of  $\iota$ .
  - (b) By diagonalizing  $J$  over  $V \otimes_{\mathbb{R}} \mathbb{C}$ , show that we have a decomposition  $V_{\mathbb{C}} = W \oplus \bar{W}$  where  $J$  acts on  $W$  by multiplication by  $i$  and  $\bar{W} = \iota(W)$ .
  - (c) Show that the projection map  $V \rightarrow W$  is an  $\mathbb{R}$ -linear isomorphism that intertwines  $J$  and multiplication by  $i$  on  $W$ .
  - (d) Each element  $v \in V_{\mathbb{C}}$  can be written as  $v = v_1 + v_2$  along the above decomposition. Show that  $v \in V$  if and only if  $v_2 = \bar{v}_1$ .

**Problem 3.** Let  $E, F$  be real vector spaces and let  $G$  be a complex vector space, all finite-dimensional.

1. Show that  $E \otimes_{\mathbb{R}} G$  admits a natural structure of a complex vector space. Construct a basis in terms of bases of  $E$  and  $G$ . Show that  $E \otimes_{\mathbb{R}} G = (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} G$ .
2. Show that  $\text{Hom}_{\mathbb{R}}(E, G) = \text{Hom}_{\mathbb{C}}(E \otimes \mathbb{C}, G)$ .
3. Show that

$$(E \oplus F) \otimes_{\mathbb{R}} \mathbb{C} = (E \otimes_{\mathbb{R}} \mathbb{C}) \oplus (F \otimes_{\mathbb{R}} \mathbb{C}), \quad (E \otimes_{\mathbb{R}} F) \otimes_{\mathbb{R}} \mathbb{C} = (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} (F \otimes_{\mathbb{R}} \mathbb{C})$$

and

$$\Lambda^n E \otimes_{\mathbb{R}} \mathbb{C} = \Lambda^n (E \otimes_{\mathbb{R}} \mathbb{C}).$$

4. Let  $f : E \rightarrow F$  be a linear map and let  $f_{\mathbb{C}} = f \otimes_{\mathbb{R}} \mathbb{C} : E \otimes_{\mathbb{R}} \mathbb{C} \rightarrow F \otimes_{\mathbb{R}} \mathbb{C}$  be the induced map. Show that

$$\ker(f_{\mathbb{C}}) = \ker(f) \otimes_{\mathbb{R}} \mathbb{C}, \quad \text{Im}(f_{\mathbb{C}}) = \text{Im}(f) \otimes_{\mathbb{R}} \mathbb{C}.$$

*Solution.*

1. Let  $e \in E$  and  $g \in G$ . Then, we define the scalar multiplication by  $z \in \mathbb{C}$  by using the complex structure of  $G$ :

$$z \cdot (e \otimes g) = e \otimes zg$$

and extend linearly. For  $z \in \mathbb{R}$ , this definition respects the tensor product over  $\mathbb{R}$  as:

$$z \cdot (e \otimes g) = e \otimes zg = ze \otimes g$$

If  $\{e_i\}_i$  is a basis of  $E$  and  $\{g_j\}_j$  is a basis of  $G$ , then the set  $\{e_i \otimes_{\mathbb{R}} g_j\}_{i,j}$  is a  $\mathbb{R}$ -linear basis of  $E \otimes_{\mathbb{R}} G$  (before we introduce the complex structure). With respect to the complex structure, note that this set is  $\mathbb{C}$ -linearly independent as if:

$$\sum_{i,j} z_{ij} (e_i \otimes g_j) = 0$$

then,

$$\sum_{i,j} e_i \otimes z_{ij} g_j = 0$$

which contradicts the  $\mathbb{R}$ -linear independence of  $\{e_i \otimes_{\mathbb{R}} g_j\}_{i,j}$ . Moreover, the set clearly  $\mathbb{C}$ -spans  $E \otimes_{\mathbb{R}} G$  as it  $\mathbb{R}$ -spans it. Thus, the set is a  $\mathbb{C}$ -linear basis of  $E \otimes_{\mathbb{R}} G$ .

Next, we define the homomorphisms  $\Phi : E \otimes_{\mathbb{R}} G \rightarrow (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} G$  and  $\Psi : (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} G \rightarrow E \otimes_{\mathbb{R}} G$  as follows:

$$\begin{aligned}\Phi(e \otimes g) &= (e \otimes_{\mathbb{R}} 1) \otimes_{\mathbb{C}} g \\ \Psi((e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} g) &= e \otimes_{\mathbb{R}} zg\end{aligned}$$

and extending linearly. We show that these are inverses as follows:

$$\begin{aligned}\Psi \circ \Phi((e \otimes_{\mathbb{R}} g)) &= \Psi((e \otimes_{\mathbb{R}} 1) \otimes_{\mathbb{C}} g) \\ &= e \otimes_{\mathbb{R}} g \\ \Phi \circ \Psi((e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} g) &= \Phi(e \otimes_{\mathbb{R}} zg) \\ &= (e \otimes_{\mathbb{R}} 1) \otimes_{\mathbb{C}} zg \\ &= z \cdot (e \otimes_{\mathbb{R}} 1) \otimes_{\mathbb{C}} g \\ &= (e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} g\end{aligned}$$

where we use the same natural complex structure on  $E \otimes_{\mathbb{R}} \mathbb{C}$ . Thus,  $\Phi$  and  $\Psi$  are inverses. Thus,

$$E \otimes_{\mathbb{R}} G \cong (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} G$$

2. We show this by constructing the linear maps  $\Phi : \text{Hom}_{\mathbb{R}}(E, G) \rightarrow \text{Hom}_{\mathbb{C}}(E \otimes_{\mathbb{R}} \mathbb{C}, G)$  and  $\Psi : \text{Hom}_{\mathbb{C}}(E \otimes_{\mathbb{R}} \mathbb{C}, G) \rightarrow \text{Hom}_{\mathbb{R}}(E, G)$  as follows, for  $f \in \text{Hom}_{\mathbb{R}}(E, G)$  and  $g \in \text{Hom}_{\mathbb{C}}(E \otimes_{\mathbb{R}} \mathbb{C}, G)$ :

$$\begin{aligned}\Phi(f)(e \otimes_{\mathbb{R}} z) &= zf(e) \in G \\ \Psi(g)(e) &= g(e \otimes_{\mathbb{R}} 1) \in G\end{aligned}$$

and extending linearly. We show that  $\Phi(f)$  is  $\mathbb{C}$ -linear and  $\Psi(g)$  is  $\mathbb{R}$ -linear.

$$\begin{aligned}\Phi(f)(z \cdot e_1 \otimes_{\mathbb{R}} z_1 + e_2 \otimes_{\mathbb{R}} z_2) &= \Phi(f)(e_1 \otimes_{\mathbb{R}} zz_1 + e_2 \otimes_{\mathbb{R}} z_2) \\ &= zz_1 f(e_1) + z_2 f(e_2) \\ &= z \cdot \Phi(f)(e_1 \otimes_{\mathbb{R}} z_1) + \Phi(f)(e_2 \otimes_{\mathbb{R}} z_2)\end{aligned}$$

Thus,  $\Phi(f)$  is  $\mathbb{C}$ -linear.

$$\begin{aligned}\Psi(g)(ze_1 + e_2) &= g((ze_1 + e_2) \otimes_{\mathbb{R}} 1) \\ &= g((e_1 \otimes_{\mathbb{R}} z) + (e_2 \otimes_{\mathbb{R}} 1)) \\ &= \Phi(g)(ze_1) + \Phi(g)(e_2)\end{aligned}$$

Thus,  $\Psi(g)$  is  $\mathbb{R}$ -linear. Finally, we wanna show that  $\Phi$  and  $\Psi$  are inverses, for  $e \in E$  and  $z \in \mathbb{C}$ .

$$\begin{aligned}\Psi(\Phi(f))(e) &= \Phi(f)(e \otimes_{\mathbb{R}} 1) \\ &= f(e) \\ \Phi(\Psi(g))(e \otimes_{\mathbb{R}} z) &= z\Psi(g)(e) \\ &= zg(e \otimes_{\mathbb{R}} 1) \\ &= g(e \otimes_{\mathbb{R}} z)\end{aligned}$$

Thus,  $\Phi$  and  $\Psi$  are inverses. Thus, we have the isomorphism:

$$\text{Hom}_{\mathbb{R}}(E, G) \cong \text{Hom}_{\mathbb{C}}(E \otimes_{\mathbb{R}} \mathbb{C}, G)$$

3. We do this by constructing explicit maps.

- Let  $e \in E$ ,  $f \in F$  and  $z \in \mathbb{C}$ . Define the two maps:

$$\begin{aligned}\Phi : (E \oplus F) \otimes_{\mathbb{R}} \mathbb{C} &\rightarrow (E \otimes_{\mathbb{R}} \mathbb{C}) \oplus (F \otimes_{\mathbb{R}} \mathbb{C}) \\ (e, f) \otimes_{\mathbb{R}} z &\mapsto (e \otimes_{\mathbb{R}} z, f \otimes_{\mathbb{R}} z) \\ \Psi : (E \otimes_{\mathbb{R}} \mathbb{C}) \oplus (F \otimes_{\mathbb{R}} \mathbb{C}) &\rightarrow (E \oplus F) \otimes_{\mathbb{R}} \mathbb{C} \\ (e \otimes_{\mathbb{R}} z_1, f \otimes_{\mathbb{R}} z_2) &\mapsto (e, 0) \otimes_{\mathbb{R}} z_1 + (0, f) \otimes_{\mathbb{R}} z_2\end{aligned}$$

and extend linearly. We show that these are inverses as follows:

$$\begin{aligned}\Phi \circ \Psi(e \otimes_{\mathbb{R}} z_1, f \otimes_{\mathbb{R}} z_2) &= \Phi((e, 0) \otimes_{\mathbb{R}} z_1 + (0, f) \otimes_{\mathbb{R}} z_2) \\ &= \Phi((e, 0) \otimes_{\mathbb{R}} z_1) + \Phi((0, f) \otimes_{\mathbb{R}} z_2) \\ &= (e \otimes_{\mathbb{R}} z_1, 0) + (0, f \otimes_{\mathbb{R}} z_2) \\ &= (e \otimes_{\mathbb{R}} z_1, f \otimes_{\mathbb{R}} z_2) \\ \Psi \circ \Phi((e, f) \otimes_{\mathbb{R}} z) &= \Psi(e \otimes_{\mathbb{R}} z, f \otimes_{\mathbb{R}} z) \\ &= (e, 0) \otimes_{\mathbb{R}} z + (0, f) \otimes_{\mathbb{R}} z \\ &= (e, f) \otimes_{\mathbb{R}} z\end{aligned}$$

Thus,  $\Phi$  and  $\Psi$  are inverses. Thus, we have the isomorphism:

$$(E \oplus F) \otimes_{\mathbb{R}} \mathbb{C} \cong (E \otimes_{\mathbb{R}} \mathbb{C}) \oplus (F \otimes_{\mathbb{R}} \mathbb{C})$$

- Let  $e \in E$ ,  $f \in F$  and  $z \in \mathbb{C}$ . Note that a simple tensor in  $(E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} (F \otimes_{\mathbb{R}} \mathbb{C})$  is  $(e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} (f \otimes_{\mathbb{R}} 1)$  as we are tensoring over  $\mathbb{C}$ . Define the two maps:

$$\begin{aligned}\Phi : (E \otimes_{\mathbb{R}} F) \otimes_{\mathbb{R}} \mathbb{C} &\rightarrow (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} (F \otimes_{\mathbb{R}} \mathbb{C}) \\ e \otimes f \otimes_{\mathbb{R}} z &\mapsto (e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} (f \otimes_{\mathbb{R}} 1) \\ \Psi : (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} (F \otimes_{\mathbb{R}} \mathbb{C}) &\rightarrow (E \otimes F) \otimes_{\mathbb{R}} \mathbb{C} \\ (e \otimes_{\mathbb{R}} z) \otimes (f \otimes_{\mathbb{R}} 1) &\mapsto e \otimes_{\mathbb{R}} f \otimes_{\mathbb{R}} z\end{aligned}$$

and extend linearly. We show that these are inverses as follows:

$$\begin{aligned}\Phi \circ \Psi((e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} (f \otimes_{\mathbb{R}} 1)) &= \Phi(e \otimes_{\mathbb{R}} f \otimes_{\mathbb{R}} z) \\ &= (e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} (f \otimes_{\mathbb{R}} 1) \\ \Psi \circ \Phi(e \otimes_{\mathbb{R}} f \otimes_{\mathbb{R}} z) &= \Psi(e \otimes_{\mathbb{R}} z) \otimes_{\mathbb{C}} (f \otimes_{\mathbb{R}} 1) \\ &= e \otimes_{\mathbb{R}} f \otimes_{\mathbb{R}} z\end{aligned}$$

Thus,  $\Phi$  and  $\Psi$  are inverses. Thus, we have the isomorphism:

$$(E \otimes_{\mathbb{R}} F) \otimes_{\mathbb{R}} \mathbb{C} \cong (E \otimes_{\mathbb{R}} \mathbb{C}) \otimes_{\mathbb{C}} (F \otimes_{\mathbb{R}} \mathbb{C})$$

- Let  $e_1, \dots, e_n \in E$  and  $z_1, \dots, z_n \in \mathbb{C}$ . Assuming that  $\bigwedge^n(E \otimes_{\mathbb{R}} \mathbb{C})$  is wedging over  $\mathbb{C}$ . Define the two maps:

$$\begin{aligned}\Phi : \bigwedge^n E \otimes_{\mathbb{R}} \mathbb{C} &\rightarrow \bigwedge^n (E \otimes_{\mathbb{R}} \mathbb{C}) \\ e_1 \wedge \dots \wedge e_n \otimes_{\mathbb{R}} z_1 &\mapsto (e_1 \otimes_{\mathbb{R}} z_1) \wedge (e_2 \otimes_{\mathbb{R}} 1) \wedge \dots \wedge (e_n \otimes_{\mathbb{R}} 1) \\ \Psi : \bigwedge^n (E \otimes_{\mathbb{R}} \mathbb{C}) &\rightarrow \bigwedge^n E \otimes_{\mathbb{R}} \mathbb{C} \\ (e_1 \otimes_{\mathbb{R}} z_1) \wedge \dots \wedge (e_n \otimes_{\mathbb{R}} z_n) &\mapsto e_1 \wedge \dots \wedge e_n \otimes_{\mathbb{R}} z_1 \cdots z_n\end{aligned}$$

and extend linearly. We show that these are inverses as follows:

$$\begin{aligned}\Phi \circ \Psi((e_1 \otimes_{\mathbb{R}} z_1) \wedge \dots \wedge (e_n \otimes_{\mathbb{R}} z_n)) &= \Phi(e_1 \wedge \dots \wedge e_n \otimes_{\mathbb{R}} z_1 \cdots z_n) \\ &= (e_1 \otimes_{\mathbb{R}} z_1 \cdots z_n) \wedge (e_2 \otimes_{\mathbb{R}} 1) \wedge \dots \wedge (e_n \otimes_{\mathbb{R}} 1) \\ &= (e_1 \otimes_{\mathbb{R}} z_1) \wedge \dots \wedge (e_n \otimes_{\mathbb{R}} z_n) \\ \Psi \circ \Phi(e_1 \wedge \dots \wedge e_n \otimes_{\mathbb{R}} z_1) &= \Psi((e_1 \otimes_{\mathbb{R}} z_1) \wedge (e_2 \otimes_{\mathbb{R}} 1) \wedge \dots \wedge (e_n \otimes_{\mathbb{R}} 1)) \\ &= e_1 \wedge \dots \wedge e_n \otimes_{\mathbb{R}} z_1\end{aligned}$$

Thus,  $\Phi$  and  $\Psi$  are inverses. Thus, we have the isomorphism:

$$\bigwedge^n E \otimes_{\mathbb{R}} \mathbb{C} \cong \bigwedge^n (E \otimes_{\mathbb{R}} \mathbb{C})$$

4. Let  $e \in \ker(f)$ . Then, for any  $e \otimes_{\mathbb{R}} z \in \ker(f) \otimes_{\mathbb{R}} \mathbb{C}$ , we have:

$$f_{\mathbb{C}}(e \otimes_{\mathbb{R}} z) = f(e) \otimes_{\mathbb{R}} z = 0 \otimes_{\mathbb{R}} z = 0$$

Thus,  $e \otimes_{\mathbb{R}} z \in \ker(f_{\mathbb{C}})$  for any  $z \in \mathbb{C}$ . Thus,  $\ker(f) \otimes_{\mathbb{R}} \mathbb{C} \subseteq \ker(f_{\mathbb{C}})$ .

Similarly, let  $e \otimes_{\mathbb{R}} z \in \ker(f_{\mathbb{C}})$ . If  $z = 0$ , then,  $e \otimes_{\mathbb{R}} z = 0 \otimes_{\mathbb{R}} 0$  and  $0 \in \ker(f)$ . Thus, assume  $z \neq 0$ . Then,  $f_{\mathbb{C}}(e \otimes_{\mathbb{R}} z) = 0$  implies that  $f(e) \otimes_{\mathbb{R}} z = 0$ . Since  $z \neq 0$ , we have  $f(e) = 0$ . Thus,  $e \in \ker(f)$ . Thus,  $\ker(f_{\mathbb{C}}) \subseteq \ker(f) \otimes_{\mathbb{R}} \mathbb{C}$ . Hence, we conclude  $\ker(f_{\mathbb{C}}) = \ker(f) \otimes_{\mathbb{R}} \mathbb{C}$ .

Let  $p \in \text{img}(f)$ . Then, there exists  $e \in E$  such that  $f(e) = p$ . Then, we have  $f_{\mathbb{C}}(e \otimes_{\mathbb{R}} z) = f(e) \otimes_{\mathbb{R}} z = p \otimes_{\mathbb{R}} z$ . Thus,  $\text{img}(f) \otimes_{\mathbb{R}} \mathbb{C} \subseteq \text{img}(f_{\mathbb{C}})$ .

Conversely, let  $p \otimes_{\mathbb{R}} z \in \text{img}(f_{\mathbb{C}})$ . Then, there exists  $e \otimes_{\mathbb{R}} z' \in E \otimes_{\mathbb{R}} \mathbb{C}$  such that  $f_{\mathbb{C}}(e \otimes_{\mathbb{R}} z') = p \otimes_{\mathbb{R}} z$ . Then, by the definition of  $f_{\mathbb{C}}$ , we have  $z = z'$  and  $p = f(e)$ . Thus,  $p \in \text{img}(f)$ . Thus,  $\text{img}(f_{\mathbb{C}}) \subseteq \text{img}(f) \otimes_{\mathbb{R}} \mathbb{C}$ . Hence, we conclude  $\text{img}(f_{\mathbb{C}}) = \text{img}(f) \otimes_{\mathbb{R}} \mathbb{C}$ .



**Problem 4.** Let  $U \subset \mathbb{C}$  be an open subset and let  $D \subset \Omega$  be a closed disk.

1. Let  $f : U \rightarrow \mathbb{C}$  be a  $\mathcal{C}^1$  function. Show that for all  $z \in D$ , we have:

$$f(z) = \frac{1}{2\pi i} \int_{\partial D} \frac{f(\xi)}{\xi - z} d\xi + \frac{1}{2\pi i} \int_D \frac{\partial f}{\partial \bar{z}}(\xi) \frac{d\xi \wedge d\bar{\xi}}{\xi - z}.$$

*Hint:* Apply Stokes' theorem to  $\frac{f(\xi)}{\xi - z} d\xi$  on  $D \setminus B(z, \varepsilon)$  and let  $\varepsilon \rightarrow 0$ .

2. Let  $g$  be a  $\mathcal{C}^1$  function on  $\mathbb{C}$  with compact support and define

$$f(z) = \frac{1}{2\pi i} \int_{\mathbb{C}} \frac{g(\xi)}{\xi - z} d\xi \wedge d\bar{\xi}.$$

Show that  $f$  is  $\mathcal{C}^1$  and  $\frac{\partial f}{\partial \bar{z}} = g$ . *Hint:* Differentiate under the integral sign after substituting  $\xi' = \xi - z$ .

3. Show that for any function  $g$  on  $U$  which is  $\mathcal{C}^1$ , there exists a function  $f$  on  $U$ , also  $\mathcal{C}^1$ , such that  $\frac{\partial f}{\partial \bar{z}} = g$  on  $D$ .
4. In the previous question, show that if  $g$  is  $\mathcal{C}^\infty$ , then  $f$  can also be chosen to be  $\mathcal{C}^\infty$ .

**Problem 5.** Let  $E$  and  $F$  be two holomorphic vector bundles on a complex manifold  $X$ . Given an open cover  $\{U_\alpha\}$  of  $X$  that trivializes  $E$ , the vector bundle  $E$  is described on overlaps  $U_\alpha \cap U_\beta$  by holomorphic transition functions:

$$\rho_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \mathrm{GL}_n(\mathbb{C}).$$

1. Prove the cocycle condition:  $\rho_{\alpha\gamma} = \rho_{\beta\gamma} \circ \rho_{\alpha\beta}$ .
2. Let  $E'$  be the quotient of  $\bigsqcup_\alpha U_\alpha \times \mathbb{C}^n$  by the equivalence relation on  $U_\alpha \cap U_\beta \times \mathbb{C}^n$  given by

$$(x, v) \sim (x, \rho_{\alpha\beta}(x)(v)).$$

Prove that  $E'$  is a holomorphic vector bundle and that it is isomorphic to  $E$  as vector bundles over  $X$ , i.e., there exists a biholomorphism  $f : E \rightarrow E'$  commuting with projection to  $X$ .

3. Conversely, assume that  $E$  and  $F$  are isomorphic as holomorphic vector bundles. How are their transition functions related?
4. Using the transition maps of  $E$  and  $F$ , construct the following vector bundles by writing down explicitly their transition functions:  $E \otimes_{\mathbb{C}} F$ ,  $E \oplus F$ ,  $\Lambda^n E$ .

*Solution.*

1. Let  $\{U_\alpha\}$  be an open cover of  $X$  that trivializes  $E$ , with respective maps  $\tau_\alpha : \pi^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbb{C}^n$ . Then, note that  $\rho_{\alpha\beta} : \tau_\alpha(\pi^{-1}(U_\alpha \cap U_\beta)) \rightarrow U_\beta \times \mathbb{C}^n$  is given by:

$$\rho_{\alpha\beta} = \tau_\beta \circ \tau_\alpha^{-1}$$

with others defined similarly. Let  $x \in U_\alpha \cap U_\beta \cap U_\gamma$  and  $E_x = \pi^{-1}(x)$  be the fiber over  $x$  in  $E$ . Then, we calculate:

$$\begin{aligned} \rho_{\beta\gamma} \circ \rho_{\alpha\beta}(E_x) &= \tau_\gamma \circ \tau_\beta^{-1} \circ \tau_\beta \circ \tau_\alpha^{-1}(E_x) \\ &= \tau_\gamma \circ \tau_\alpha^{-1}(E_x) \\ &= \rho_{\alpha\gamma}(E_x) \end{aligned}$$

Thus, the cocycle condition holds on every such fiber. Thus, it holds in general. We can also realize this by picking a basis for the image of  $E_x$  in each trivialization, and noticing that  $\rho$  are just change of basis matrices, which satisfy the cocycle condition.

2. We first check that  $E'$  is a holomorphic vector bundle. We write an element of  $E'$  as  $(x, [v])$ , for  $x \in U_\alpha$ , where  $[v]$  is the equivalence class of  $\{\rho_{\alpha\beta}(v) : x \in U_\beta\}$ .

Defining  $\pi'(x, [v]) = x$ , we note that the projection  $\pi'$  is clearly well-defined and can be made holomorphic by inheriting the holomorphic structure from the base space.

Furthermore, we define the trivialization maps  $\tau'_\beta : \pi'^{-1}(U_\beta) \rightarrow U_\beta \times \mathbb{C}^n$  as follows:

$$\tau'_\beta(x, [v]) = (x, v)$$

where  $v$  is the representative of  $[v]$  such that  $(x, v) \in U_\beta \times \mathbb{C}^n$ . Then,  $\tau'^{-1}_\beta$  is given by taking the equivalence class:

$$\tau'^{-1}_\beta(x, v) = (x, [v])$$

Thus, the transition maps  $\rho'_{\alpha\beta}$  are given by, for  $x \in U_\alpha \cap U_\beta$ :

$$\begin{aligned} \rho'_{\alpha\beta}(x, v) &= \tau'_\beta \circ \tau'^{-1}_\alpha(x, v) \\ &= (x, [v]) \\ &= (x, [\rho_{\alpha\beta}(v)]) \\ &= (x, \rho_{\alpha\beta}(v)) \end{aligned}$$

Thus, the transition functions  $\rho'_{\alpha\beta}$  are holomorphic as  $\rho_{\alpha\beta}$  are holomorphic. Thus,  $E'$  is a holomorphic vector bundle.

Next, to show that  $E'$  is isomorphic to  $E$  as a vector bundle, we need to find a biholomorphism  $f : E \rightarrow E'$  that respects the fibers. We can define  $f$  as follows: for  $x \in U_\alpha$ , and  $\epsilon \in \pi^{-1}(x) = E_x$ ,

$$f(\epsilon) = \tau'^{-1}_\alpha \circ \tau_\alpha(\epsilon)$$

To see that  $f$  is well-defined, let  $x \in U_\alpha \cap U_\beta$ . Then, we have:

$$\begin{aligned} f(\epsilon) &= \tau'^{-1}_\alpha \circ \tau_\alpha(\epsilon) \\ &= \tau'^{-1}_\alpha(x, v) \\ &= (x, [v]) \\ &= (x, [\rho_{\alpha\beta}(v)]) \\ &= \tau'^{-1}_\beta(x, \rho_{\alpha\beta}(v)) \\ &= \tau'^{-1}_\beta \circ \tau_\beta(\epsilon) \\ &= f(\epsilon) \end{aligned}$$

Since it is a composition of holomorphic maps,  $f$  is holomorphic. Next, we define the inverse  $f^{-1} : E' \rightarrow E$  as follows: for  $x \in U_\alpha$  and  $(x, [v]) \in E'$ , with  $v$  being the representative that came from  $U_\alpha \times \mathbb{C}^n$ , we have:

$$f^{-1}(x, [v]) = \tau_\alpha \circ \tau'^{-1}_\alpha(x, [v])$$

To see that this is well-defined, let  $x \in U_\alpha \cap U_\beta$ . Then, we have:

$$\begin{aligned}
f^{-1}(x, [v]) &= \tau_\alpha^{-1} \circ \tau'_\alpha(x, [v]) \\
&= \tau_\alpha^{-1}(x, v) \\
&= \tau_\beta^{-1}(x, \rho_{\alpha\beta}(v)) \\
&= \tau_\beta^{-1} \circ \tau'_\beta(x, [\rho_{\alpha\beta}(v)]) \\
&= \tau_\beta^{-1} \circ \tau'_\beta(x, [v]) \\
&= f^{-1}(x, [v])
\end{aligned}$$

Since  $f^{-1}$  is also a composition of holomorphic maps,  $f^{-1}$  is holomorphic. Moreover, it is easy to see that  $f^{-1}$  and  $f$  are inverses. Thus,  $f$  is a biholomorphism.

Finally, we can see that  $f$  and  $f^{-1}$  respect the fibers as they are compositions of fiber-preserving maps. Thus, they commute with the projection maps. Moreover, we note that on a particular fiber,  $E_x$ , with respect to the trivializations,  $f$  is a vector space isomorphism. That is,  $\tau'_\alpha \circ f \circ \tau_\alpha^{-1}$  is an isomorphism on  $\{x\} \times \mathbb{C}^n$  as it is linear and has an inverse.

3. Since  $E$  and  $F$  are isomorphic as holomorphic vector bundles, there exists a biholomorphism  $f : E \rightarrow F$  that respects the fibers, and is a vector space isomorphism when restricted to each fiber.

By refining the covers over which the trivializations for  $E$  and  $F$  are defined, choose a cover  $\{U_\alpha\}$  such that both  $E$  and  $F$  are trivialized over it. Let  $x \in U_\alpha \cap U_\beta$ . Let  $\tau_{\alpha,E}$  and  $\tau_{\alpha,F}$  be trivializations and  $\rho_E$  and  $\rho_F$  be the transition functions from  $\tau_\alpha(\pi^{-1}(U_\alpha)) \rightarrow U_\beta \times \mathbb{C}^n$  (sloppily identifying the two projections  $\pi$ ). Let  $E_{x,\alpha}$ ,  $E_{x,\beta}$  be the trivialized fibers over  $x$  and similarly for  $f$ . Then,  $f$  is such that:

$$\begin{aligned}
\tau_{\alpha,F} \circ f \circ \tau_{\alpha,E}^{-1} & \quad \tau_{\beta,F} \circ f \circ \tau_{\alpha,E}^{-1} \\
\tau_{\alpha,F} \circ f \circ \tau_{\beta,E}^{-1} & \quad \tau_{\beta,F} \circ f \circ \tau_{\beta,E}^{-1}
\end{aligned}$$

are all isomorphisms. Composition by  $\rho_E$  and  $\rho_F$  and their inverses permutes them. Write  $f_\alpha := \tau_{\alpha,F} \circ f \circ \tau_{\alpha,E}^{-1}$ . Thus, we have the commutative diagram connecting the two transition functions (where every arrow is invertible):

$$\begin{array}{ccc}
E_{x,\alpha} & \xrightarrow{\rho_E} & E_{x,\beta} \\
f_\alpha \downarrow & & \downarrow f_\beta \\
F_{x,\alpha} & \xrightarrow{\rho_F} & F_{x,\beta}
\end{array}$$

4. We can pick a cover  $\{U_\alpha\}$  that trivializes both  $E$  and  $F$ . Then, pick  $x \in U_\alpha \cap U_\beta$  and  $\rho_E$  and  $\rho_F$  be the transition functions from the  $U_\alpha$  trivialization to the  $U_\beta$  trivialization. Then,

- (a)  $\rho_E \otimes_{\mathbb{C}} \rho_F$  is a transition function for  $E \otimes_{\mathbb{C}} F$ .
- (b)  $\rho_E \oplus \rho_F$  be a transition function for  $E \oplus F$ .
- (c)  $\bigwedge^n \rho_E$  be a transition function for  $\bigwedge^n E$ .

These are all holomorphic, as they are holomorphic on each component.