

## ► Backtracking Search (CSPs)

### ► Chapter 5

- 5.3 talks about local search which is a very useful idea but we won't discuss it much in class.
- We also won't talk about the material of 5.4

# Constraint Satisfaction Problems

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- ▶ The search algorithms we discussed so far take as input the state representation.
- ▶ For each problem we had to design a new state representation (and embed in it the sub-routines we pass to the search algorithms).
- ▶ There is however a fairly general (and simple) state representation that works well for many different problems.
- ▶ We can build specialized search algorithms that operate efficiently on this general state representation.
- ▶ We call the class of problems that can be represented with this specialized representation CSPs---Constraint Satisfaction Problems.
- ▶ Techniques for solving CSPs finds more practical applications in industry than most other areas of AI.



# Constraint Satisfaction Problems

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- ▶ Represent states as a vector of feature values.
  - ▶ k-features: variables.
  - ▶ Each feature has a value. Domain of values for the variables.
  - ▶ e.g., height = {short, average, tall}, weight = {light, average, heavy}.
- ▶ In these problems the problem is to search for a set of values for the features (variables) so that the values satisfy some conditions (constraints).
  - ▶ I.e., a goal state specified as conditions on the vector of feature values.



# Constraint Satisfaction Problems

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## ▶ Sudoku:

- ▶ 81 variables, the value in each cell.
- ▶ Values: a fixed value for those cells that are already filled in, the values  $\{1-9\}$  for those cells that are empty.
- ▶ Solution: a value for each cell satisfying the constraints:
  - ▶ no cell in the same column can have the same value.
  - ▶ no cell in the same row can have the same value.
  - ▶ no cell in the same sub-square can have the same value.



# Constraint Satisfaction Problems

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## ▶ Scheduling

- ▶ Want to schedule a time and a space for each final exam so that
  - ▶ No student is scheduled to take more than one final at the same time.
  - ▶ The space allocated has to be available at the time set.
  - ▶ The space has to be large enough to accommodate all of the students taking the exam.



# Constraint Satisfaction Problems

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## ► Variables:

- $T_1, \dots, T_m$ :  $T_i$  is a variable representing the scheduled time for the  $i$ -th final.
  - Assume domains are fixed to  $\{\text{MonAm}, \text{MonPm}, \dots, \text{FriAm}, \text{FriPm}\}$ .
- $S_1, \dots, S_m$ :  $S_i$  is the space variable for the  $i$ -th final.
  - Domain of  $S_i$  are all rooms big enough to hold the  $i$ -th final.



# Constraint Satisfaction Problems

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- ▶ Want to find an assignment of values to each variable (times, rooms for each final), subject to the constraints:
  - ▶ For all pairs of finals  $i, j$  such that there is a student taking both:
    - ▶  $T_i \neq T_j$
  - ▶ For all pairs of finals  $i, j$ 
    - ▶  $T_i \neq T_j$  or  $S_i \neq S_j$ 
      - either  $i$  and  $j$  are not scheduled at the same time, or if they are they are not in the same space.



# Constraint Satisfaction Problems (CSP)

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- ▶ More formally.
- ▶ A CSP consists of
  - ▶ a set of variables  $V_1, \dots, V_n$
  - ▶ for each variable a domain of possible values  $\text{Dom}[V_i]$ .
  - ▶ A set of constraints  $C_1, \dots, C_m$ .





# Constraint Satisfaction Problems

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- ▶ Each variable be assigned any value from its domain.
  - ▶  $V_i = d$  where  $d \in \text{Dom}[V_i]$
- ▶ Each constraint  $C$  has
  - ▶ A set of variables it is over, called its scope: e.g.,  $C(V1, V2, V4)$ .
  - ▶ Is a boolean function that maps assignments to these variables to true/false.
    - ▶ e.g.  $C(V1=a, V2=b, V4=c) = \text{True}$ 
      - this set of assignments satisfies the constraint.
    - ▶ e.g.  $C(V1=b, V2=c, V4=c) = \text{False}$ 
      - this set of assignments falsifies the constraint.



# Constraint Satisfaction Problems

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- ▶ A solution to a CSP is
  - ▶ an assignment of a value to all of the variables such that
    - ▶ every constraint is satisfied.



# Constraint Satisfaction Problems

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## ▶ Sudoku:

- ▶  $V_{11}, V_{12}, \dots, V_{21}, V_{22}, \dots, V_{91}, \dots, V_{99}$ 
  - ▶  $\text{Dom}[V_{ij}] = \{1-9\}$  for empty cells
  - ▶  $\text{Dom}[V_{ij}] = \{k\}$  a fixed value  $k$  for filled cells.
- ▶ Row constraints:
  - ▶  $\text{CR1}(V_{11}, V_{12}, V_{13}, \dots, V_{19})$
  - ▶  $\text{CR2}(V_{21}, V_{22}, V_{23}, \dots, V_{29})$
  - ▶  $\dots, \text{CR9}(V_{91}, V_{92}, \dots, V_{99})$
- ▶ Column Constraints:
  - ▶  $\text{CC1}(V_{11}, V_{21}, V_{31}, \dots, V_{91})$
  - ▶  $\text{CC2}(V_{12}, V_{22}, V_{32}, \dots, V_{92})$
  - ▶  $\dots, \text{CC9}(V_{19}, V_{29}, \dots, V_{99})$
- ▶ Sub-Square Constraints:
  - ▶  $\text{CSS1}(V_{11}, V_{12}, V_{13}, V_{21}, V_{22}, V_{23}, V_{31}, V_{32}, V_{33})$
  - ▶  $\text{CSS1}(V_{14}, V_{15}, V_{16}, \dots, V_{34}, V_{35}, V_{36})$



# Constraint Satisfaction Problems

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## ▶ Sudoku:

- ▶ Each of these constraints is over 9 variables, and they are all the same constraint:
  - ▶ Any assignment to these 9 variables such that each variable has a unique value satisfies the constraint.
  - ▶ Any assignment where two or more variables have the same value falsifies the constraint.
- ▶ Such constraints are often called ALL-DIFF constraints.



# Constraint Satisfaction Problems

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## ▶ Sudoku:

- ▶ Thus Sudoku has 3x9 ALL-Diff constraints, one over each set of variables in the same row, one over each set of variables in the same column, and one over each set of variables in the same sub-square.
- ▶ Note also that an ALL-Diff constraint over  $k$  variables can be equivalently represented by  $k$  choose 2 not-equal constraints over each pair of these variables.
  - ▶ e.g.  $CSS1(V_{11}, V_{12}, V_{13}, V_{21}, V_{22}, V_{23}, V_{31}, V_{32}, V_{33}) =$   
 $NEQ(V_{11}, V_{12}), NEQ(V_{11}, V_{13}), NEQ(V_{11}, V_{21}) \dots, NEQ(V_{32}, V_{33})$ 
    - NEQ is a not-equal constraint.



# Constraint Satisfaction Problems

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## ▶ Exam Scheduling

- ▶ constraints:
- ▶ For all pairs of finals  $i, j$  such that there is a student taking both:
  - ▶  $NEQ(T_i, T_j)$
- ▶ For all pairs of finals  $i, j$ 
  - ▶  $C(T_i, T_j, S_i, S_j)$ 
    - This constraint is satisfied
      - by any set of assignments in which  $T_i \neq T_j$ .
      - any set of assignments in which  $S_i \neq S_j$ .
    - Falsified by any set of assignments in which  $T_i = T_j$  as well as  $S_i = S_j$ .



# Solving CSPs

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- ▶ CSPs can be solved by a specialized version of depth first search.
- ▶ Key intuitions:
  - ▶ We can build up to a solution by searching through the space of partial assignments.
  - ▶ Order in which we assign the variables does not matter---eventually they all have to be assigned.
  - ▶ If during the process of building up a solution we falsify a constraint, we can immediately reject all possible ways of extending the current partial assignment.



# Backtracking Search

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- ▶ These ideas lead to the backtracking search algorithm

Algorithm BT (Backtracking)

BT(Level)

If all variables assigned

    PRINT Value of each Variable

    RETURN or EXIT (RETURN for more solutions)  
                    (EXIT for only one solution)

    V := PickUnassignedVariable()

    Variable[Level] := V

    Assigned[V] := TRUE

    for d := each member of Domain(V)

        Value[V] := d

        OK := TRUE

        for each constraint C such that

            V is a variable of C

            and all other variables of C are assigned.

            if C is **not** satisfied by the current set of assignments

                OK := FALSE

        if(OK)

            BT(Level + 1)

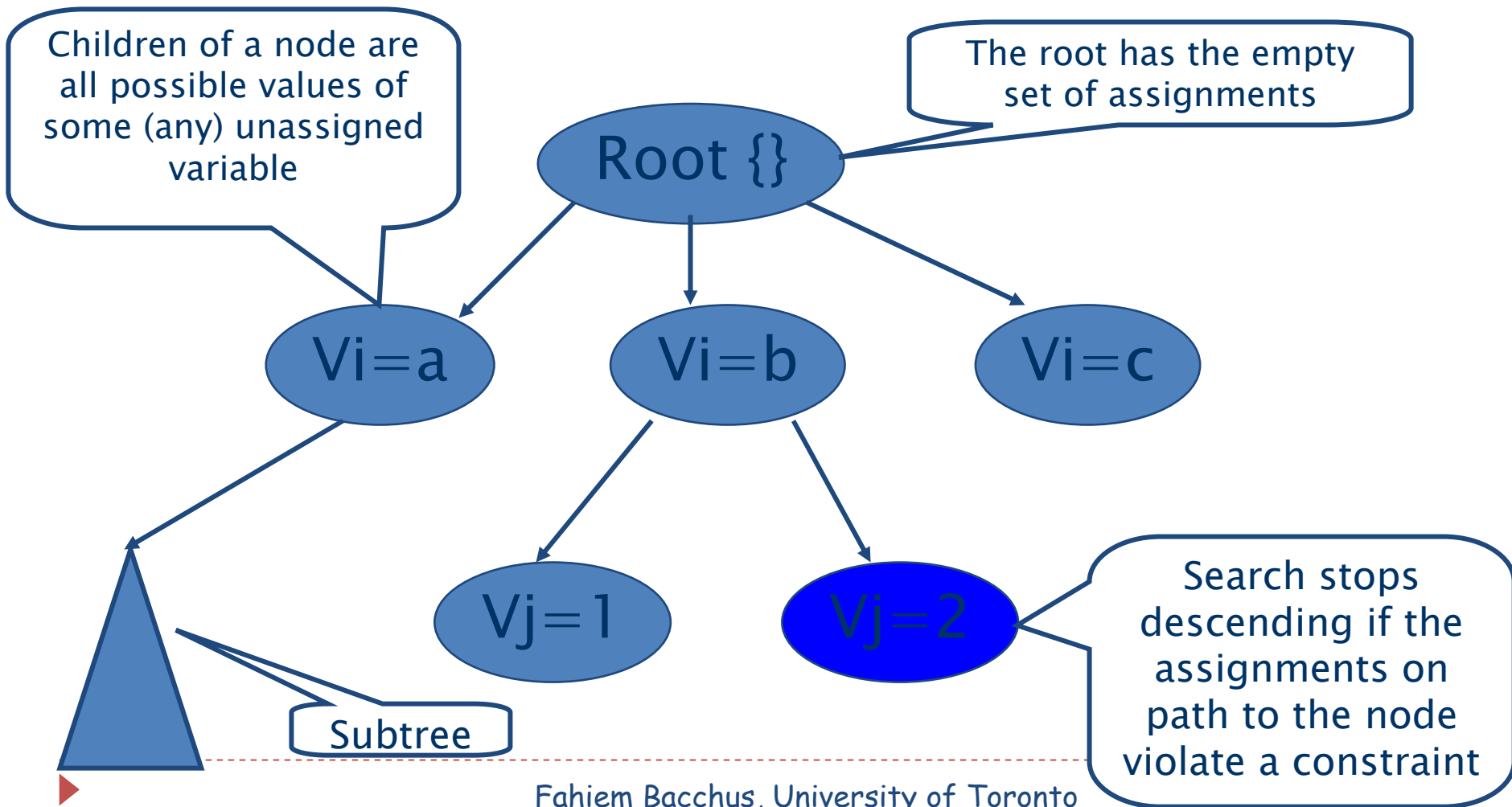
    return





# Solving CSPs

- ▶ The algorithm searches a tree of partial assignments.



# Backtracking Search

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- ▶ Heuristics are used to determine which variable to assign next “PickUnassignedVariable”.
- ▶ The choice can vary from branch to branch, e.g.,
  - ▶ under the assignment  $V1=a$  we might choose to assign  $V4$  next, while under  $V1=b$  we might choose to assign  $V5$  next.
- ▶ This “dynamically” chosen variable ordering has a tremendous impact on performance.



# Example.

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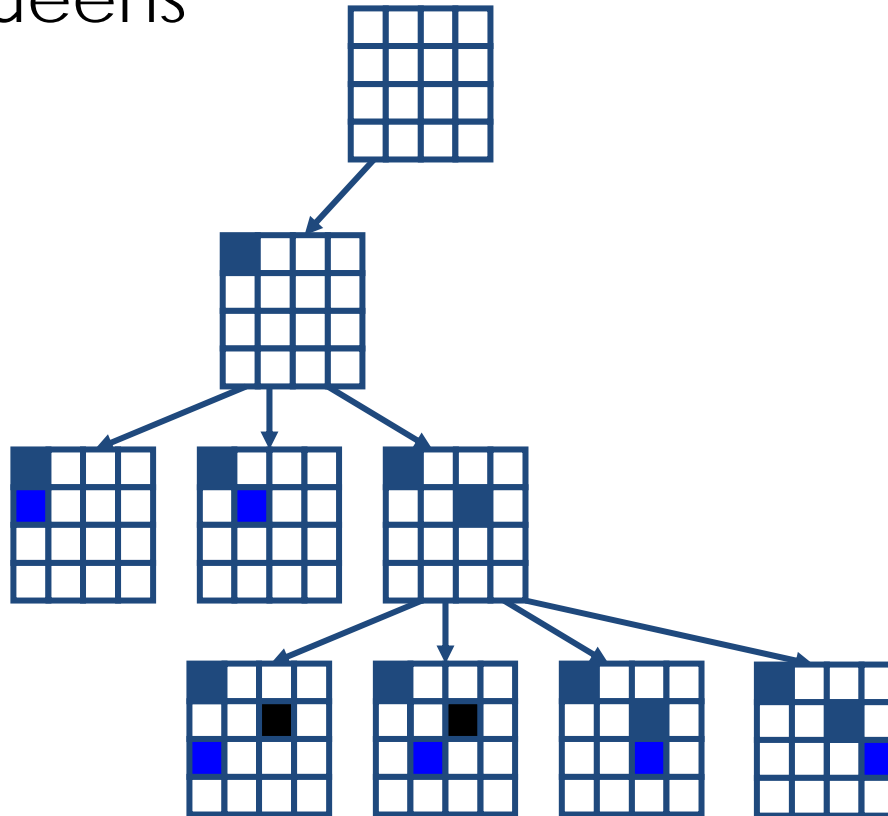
- ▶ N-Queens. Place N Queens on an N X N chess board so that no Queen can attack any other Queen.
  - ▶ Variables, one per row.
    - ▶ Value of  $Q_i$  is the column the Queen in row  $i$  is place.
  - ▶ Constraints.
    - ▶  $V_i \neq V_j$  for all  $i \neq j$  (can put two Queens in same column)
    - ▶  $|V_i - V_j| \neq i - j$  (Diagonal constraint)
      - (i.e., the difference in the values assigned to  $V_i$  and  $V_j$  can't be equal to the difference between  $i$  and  $j$ .)



# Example.

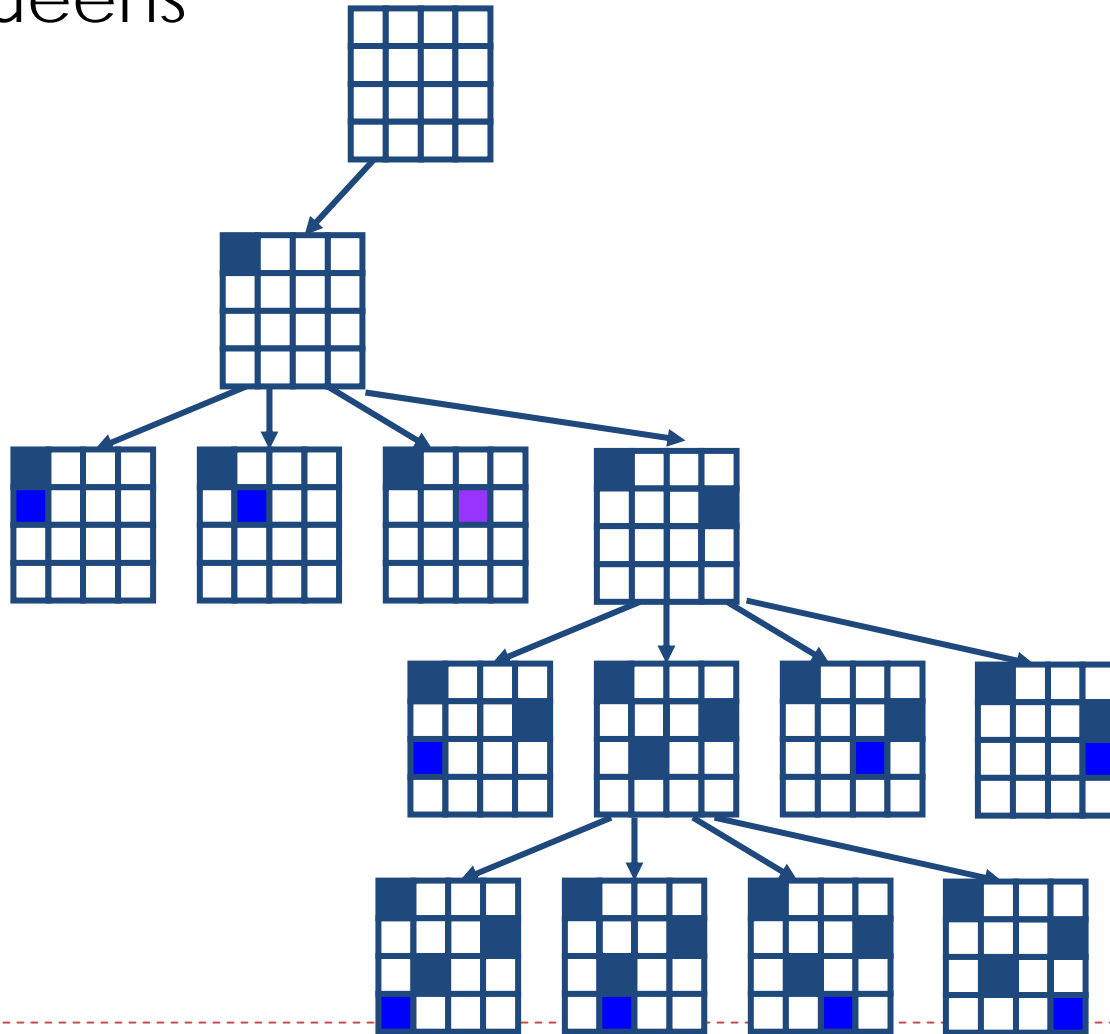
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## ► 4X4 Queens



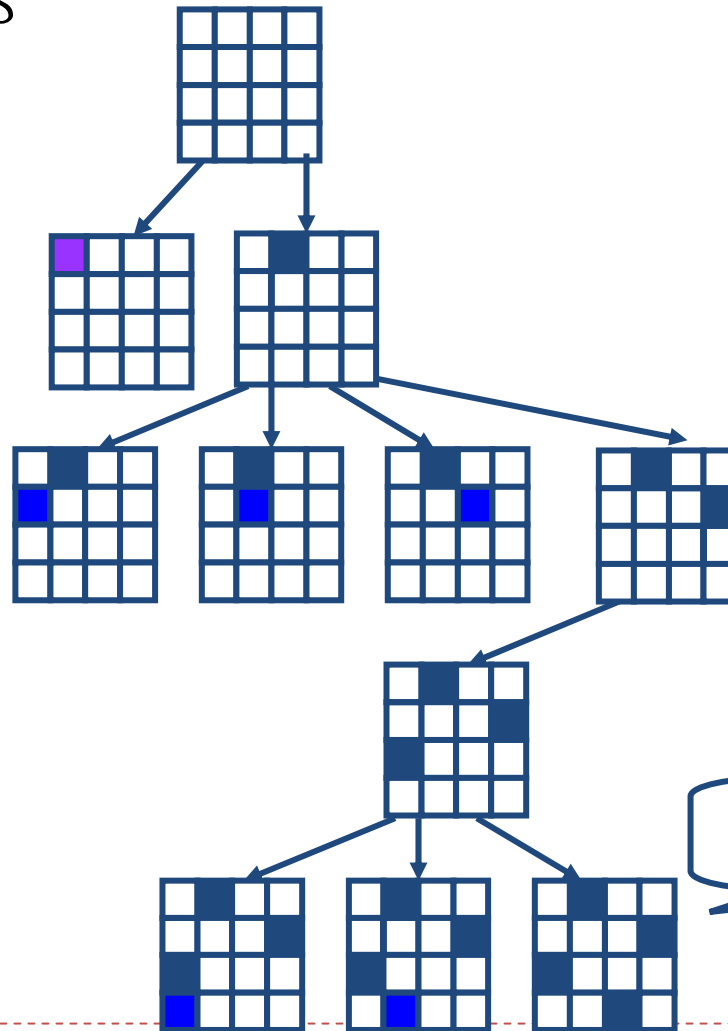
# Example.

## ► 4X4 Queens



# Example.

## ► 4X4 Queens



Solution!

# Problems with plain backtracking.

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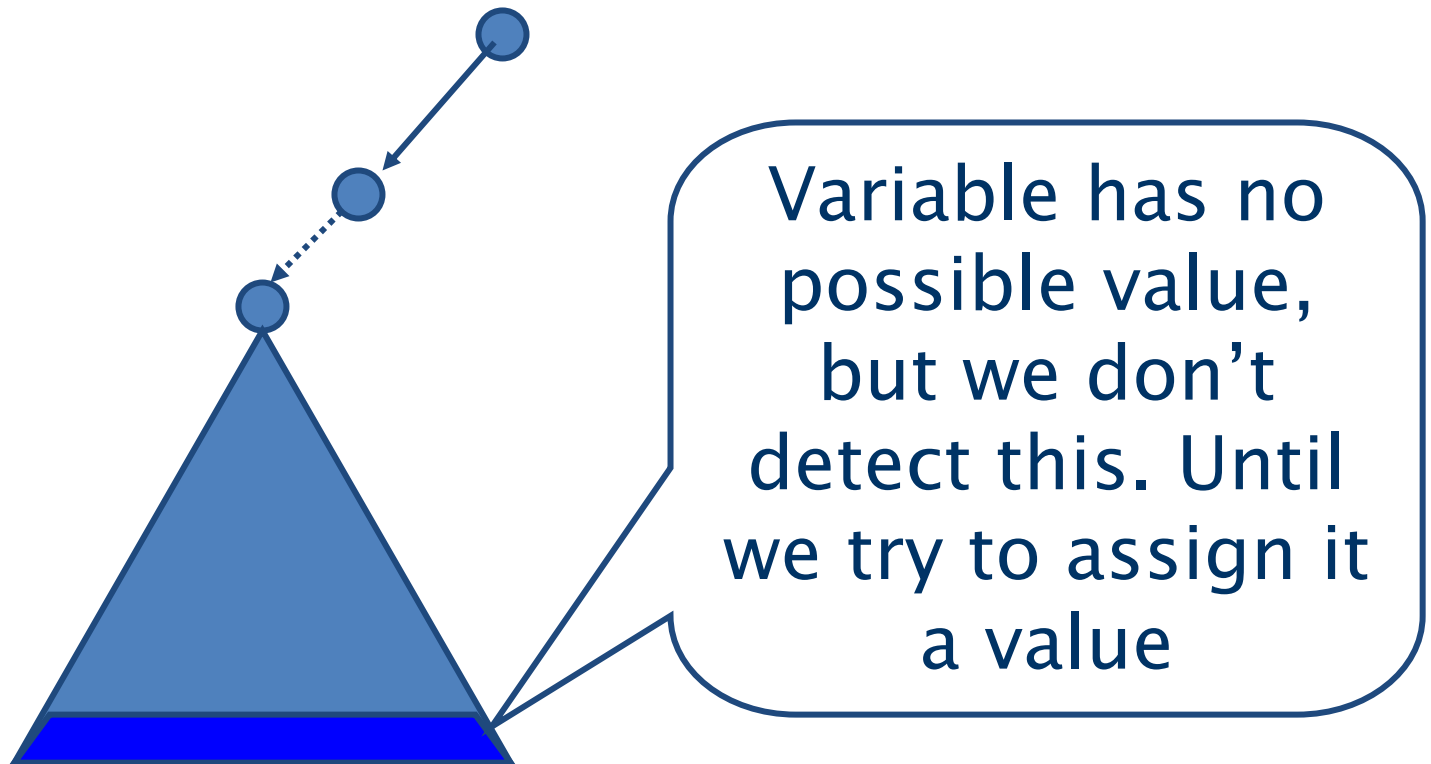
1	2	3						
						4	5	6
		7						
		8						
		9						



# Constraint Satisfaction Problems

- ▶ Sudoku:

- ▶ The 3,3 cell has no possible value. But in the backtracking search we don't detect this until all variables of a row/column or sub-square constraint are assigned. So we have the following situation





# Constraint Propagation

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- ▶ Constraint propagation refers to the technique of “looking ahead” in the search at the as yet unassigned variables.
- ▶ Try to detect if any obvious failures have occurred.
- ▶ “Obvious” means things we can test/detect efficiently.
- ▶ Even if we don’t detect an obvious failure we might be able to eliminate some possible part of the future search.



# Constraint Propagation

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- ▶ Propagation has to be applied during search. Potentially at every node of the search tree.
- ▶ If propagation is slow, this can slow the search down to the point where using propagation actually slows search down!
- ▶ There is always a tradeoff between searching fewer nodes in the search, and having a higher nodes/second processing rate.



# Forward Checking

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- ▶ Forward checking is an extension of backtracking search that employs a “modest” amount of propagation (lookahead).
- ▶ When a variable is instantiated we check all constraints that have **only one uninstantiated variable** remaining.
- ▶ For that uninstantiated variable, we check all of its values, pruning those values that violate the constraint.



# Forward Checking

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**FCCheck**(C, x)

// C is a constraint with all

// its variables already

// assigned, except for variable x.

for d := each member of CurDom[x]

  if making x = d together with

    previous assignments to

    variables in scope C **falsifies** C

  then

    remove d from CurDom[V]

  if CurDom[V] = {} then return **DWO** (**Domain Wipe Out**)

return ok



# Forward Checking

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FC(Level) (Forward Checking)

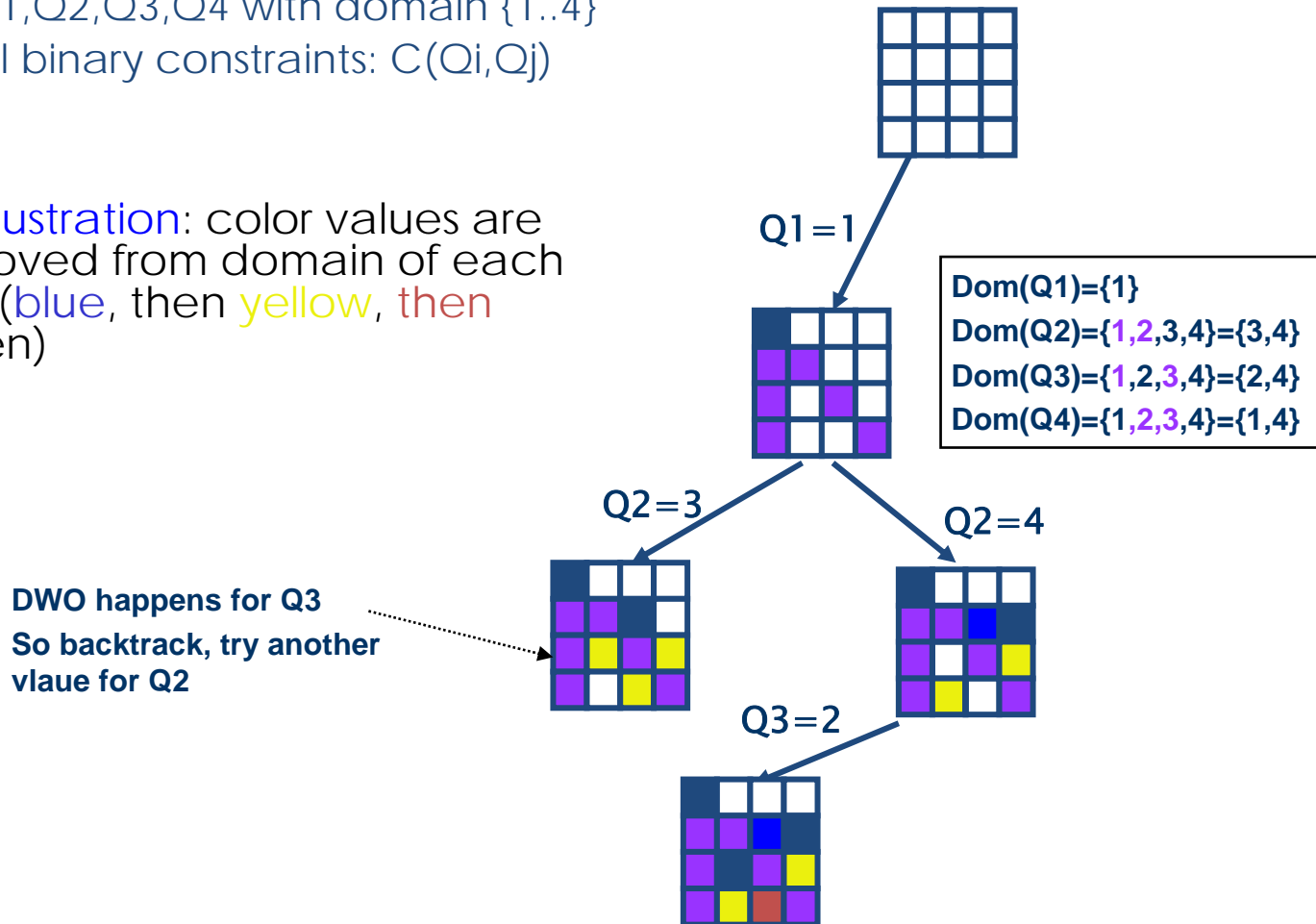
```
If all variables are assigned
    PRINT Value of each Variable
    RETURN or EXIT (RETURN for more solutions)
                        (EXIT for only one solution)
V := PickAnUnassignedVariable()
Variable[Level] := V
Assigned[V] := TRUE
for d := each member of CurDom(V)
    Value[V] := d
    for each constraint C over V that has one
        unassigned variable in its scope X.
        val := FCCheck(C, X)
        if (val != DWO)
            FC(Level + 1)
RestoreAllValuesPrunedByFCCheck()
return;
```



# FC Example.

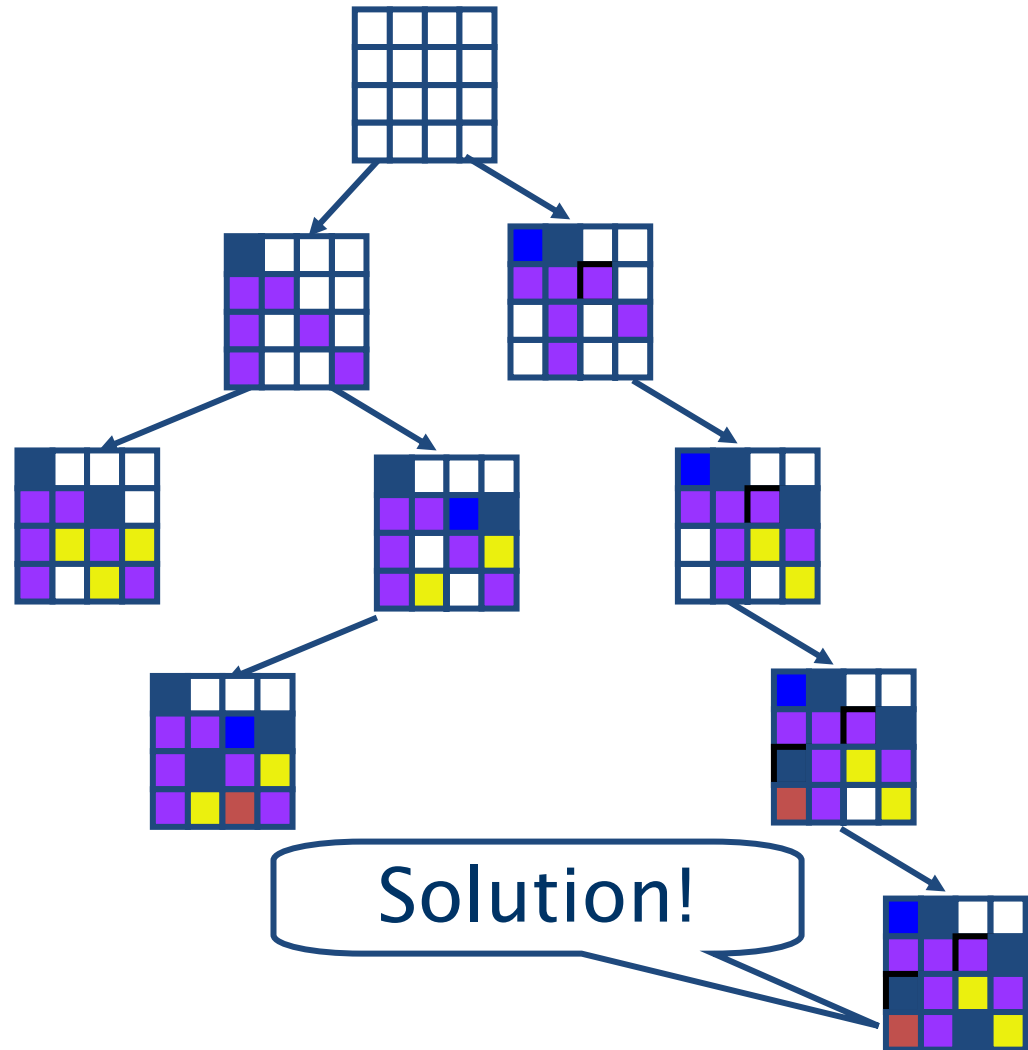
- ▶ 4X4 Queens
  - ▶  $Q1, Q2, Q3, Q4$  with domain  $\{1..4\}$
  - ▶ All binary constraints:  $C(Q_i, Q_j)$

- ▶ **FC illustration:** color values are removed from domain of each row (blue, then yellow, then green)



# Example.

- ▶ 4X4 Queens continue...



# Restoring Values

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- ▶ After we backtrack from the current assignment (in the for loop) we must restore the values that were pruned as a result of that assignment.
- ▶ Some bookkeeping needs to be done, as we must remember which values were pruned by which assignment (FCCheck is called at every recursive invocation of FC).





# Minimum Remaining Values

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- ▶ FC also gives us for free a very powerful heuristic
  - ▶ Always branch on a variable with the smallest remaining values (smallest CurDom).
  - ▶ If a variable has only one value left, that value is forced, so we should propagate its consequences immediately.
  - ▶ This heuristic tends to produce skinny trees at the top. This means that more variables can be instantiated with fewer nodes searched, and thus more constraint propagation/DWO failures occur with less work.



# Empirically

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- ▶ FC often is about 100 times faster than BT
- ▶ FC with MRV (minimal remaining values) often 10000 times faster.
- ▶ But on some problems the speed up can be much greater
  - ▶ Converts problems that are not solvable to problems that are solvable.
- ▶ Other more powerful forms of consistency are commonly used in practice.

