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## EQUINOX: A REAL-TIME EQUILIBRIUM CODE AND ITS VALIDATION AT JET

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### Abstract

The real-time reconstruction of the plasma magnetic equilibrium in a Tokamak is a key point to access high performance regimes. Indeed, the shape of the plasma current density profile is a direct output of the reconstruction and has a leading effect for reaching a steady-state high performance regime of operation. We have seen in particular that non monotonic current density profiles can trigger enhanced particles and heat confinement. On top of this the current density profile has a resistive diffusion time and any variation of the current drive systems takes some time to be efficient. The challenge is thus to develop methods and algorithms that reconstruct the magnetic equilibrium in the perspective to use these outputs for feedback control purposes.

### Key words

Grad-Shafranof. Equilibrium reconstruction. Real-time. Control of plasma.

### 1. Introduction

The real-time reconstruction of the plasma magnetic equilibrium in a Tokamak is a key point to access high performance regimes. Indeed, the shape of the plasma current density profile is a direct output of the reconstruction and has a leading effect for reaching a steady-state high performance regime of operation. The challenge is thus to develop methods and algorithms that reconstruct the magnetic

equilibrium in the perspective to use these outputs for feedback control purposes.

But in present days tokamaks only the shape of the plasma boundary is routinely identifiable in real-time in less than few milliseconds using a set of magnetic and diamagnetic coils spread around the vessel. This information is mainly used for controlling the plasma shapes in real-time during a plasma discharge using coils current in a feedback control loop. The idea is to achieve a required shape and to maintain it in a stationary manner in order to avoid for example sudden termination of the plasma when the plasma touches the first wall. In JET the so-called XLOC code is used routinely for plasma shape control [1]. Based on this JET flux boundary code confinement parameters are deducted like the diamagnetic energy, the internal inductance and plasma separatrix geometry in less than 1ms. But with this algorithm it is not possible to compute the internal magnetic flux configuration which is needed if we want to analyze the phenomenon occurring in the interior of the plasma. In this case the only way to get access to the current density profile is to use off-line codes that can compute accurately the profile but with no possibility to act in real time on it. This is rather a strong limitation because we know from the analysis performed that the shape of the current density profile is one of the key element to enhance the plasma performance. We have seen in particular that non monotonic current density profiles can trigger enhanced particles and heat

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<sup>\*</sup> See the Appendix of F. Romanelli *et al.*, Proceedings of the 22nd IAEA Fusion Energy Conference 2008, Geneva, Switzerland.

confinement [2]. On top of this the current density profile has a resistive diffusion time and any variation of the current drive systems takes some time to be efficient. So it is clear that by controlling in real time such a profile, taking into account the effect of disturbances that tends to adversely affect the time behaviour of the controlled variables, we insure stability but also performance [3, 4].

## 2. Mathematical formulation of the plasma Equilibrium

The problem of plasma equilibrium in a Tokamak is a free boundary problem in which the plasma boundary is defined as the last closed magnetic flux surface. Inside the plasma, the equilibrium equation in an axisymmetric configuration is called the Grad-Shafranov equation [5, 6]. This equation is derived from the combination of the magnetostatic Maxwell's equations which are satisfied in the whole of space in presence of a magnetic field and the equilibrium of the plasma itself which occurs when the kinetic pressure is equal to the Lorentz force of the magnetic pressure. The expression of the Grad-Shafranov equation in a cylindrical coordinates system  $(r, z, \phi)$  where  $r=0$  is the major axis of the torus reads:

$$-\Delta^* \psi = rp'(\psi) + \frac{1}{\mu_0 r} (ff')(\psi) \quad (1)$$

with

$$\Delta^* = \frac{\partial}{\partial r} \left( \frac{1}{\mu_0 r} \frac{\partial}{\partial r} \right) + \frac{\partial}{\partial z} \left( \frac{1}{\mu_0 r} \frac{\partial}{\partial z} \right) \quad (2)$$

Where  $\mu_0$  is the magnetic permeability of the vacuum,  $\psi(r,z)$  the poloidal flux and  $f$  the diamagnetic function. The right hand side of equation (1) is a non-linear source which represents the toroidal component of the plasma current density. It involves the functions  $p(\psi)$  and  $f(\psi)$  which are not directly measured inside the plasma. Assuming that Dirichlet boundary conditions,  $h$ , are given on  $\Gamma$  which is the poloidal cross section of the vacuum vessel, the final equations governing the behavior of  $\psi(r,z)$  inside the vacuum vessel become:

$$\begin{cases} -\Delta^* \psi = \left[ \frac{r}{R_0} A(\bar{\psi}) + \frac{R_0}{r} B(\bar{\psi}) \right] \\ \psi = h \text{ on } \Gamma \end{cases} \quad (3)$$

$$\text{Defining} \quad A(\bar{\psi}) = R_0 p'(\bar{\psi}) \quad (4)$$

$$\text{And} \quad B(\bar{\psi}) = \frac{1}{\mu_0 R_0} (ff')(\bar{\psi}) \quad (5)$$

The entire problem is thus resumed to identify in real time the plasma current i.e. the non linear functions  $A$  and  $B$  (function of the normalized flux in the previous equation)

## 3. The Equinox Code

In order to meet the real-time requirements, a new version of the code called Equinox has been design and implemented in C++ using a finite element method and a non linear fixed point algorithm associated to a least square optimization procedure. The code relies on tokamak specific software like XLOC providing flux values on the first wall of the vacuum vessel. By means of least-square minimization of the difference between measurements and the simulated ones the code identifies the source term of the non linear Grad-Shafranov equation. The experimental measurements that enable the identification are the magnetics on the vacuum vessel, the interferometric and polarimetric measurements on several chords and the motional Stark effect measurements. For the magnetic measurements the flux loops give the poloidal flux on particular nodes  $M_i$  such that  $\psi(M_i) = h_i$  on  $\Gamma$ . Thanks to an interpolation (performed by XLOC at JET) between the points  $M_i$  these measurements provide the Dirichlet boundary condition  $h$ . The problem is thus resumed to find a solution that minimizes the cost function defined as:

$$J(A, B, n_e) = J_0 + K_1 J_1 + K_2 J_2 + J_\epsilon \quad (6)$$

With

$$J_0 = \sum_i \left( \frac{1}{r} \frac{\partial \psi}{\partial n} (N_i) - g_i \right)^2 \quad (7)$$

$$J_1 = \sum_i \left( \int_{C_i} \frac{n_e}{r} \frac{\partial \psi}{\partial n} dl - \alpha_i \right)^2 \quad (8)$$

$$J_2 = \sum_i \left( \int_{C_i} n_e dl - \beta_i \right)^2 \quad (9)$$

Where  $g_i$ ,  $\alpha_i$  and  $\beta_i$  are respectively the measurements of the magnetic poloidal field, the Faraday rotation and the line integrated density along the chords  $C_i$ . The weighting parameters  $K_1$  to  $K_2$  enable to give more or less importance to the corresponding experimental measurements [8]. As the inverse problem of the determination of  $A$  and  $B$  is an ill posed one a Tikhonov regularization term [9]  $J_\epsilon$  constrains the functions  $A$ ,  $B$  and  $n_e$  ( $n_e$  being the plasma density) to be smooth enough and its expression is given by the following expression:

$$J_\varepsilon = \varepsilon_1 \int_0^1 [A''(x)]^2 dx + \dots \\ \dots + \varepsilon_2 \int_0^1 [B''(x)]^2 dx + \varepsilon_3 \int_0^1 [n_e''(x)]^2 dx \quad (10)$$

where  $\varepsilon_1$ ,  $\varepsilon_2$  and  $\varepsilon_3$  are the regularizing parameters. Equation (3) is solved using a finite element method [10]. The finite element solver uses P1 triangles, the calculation being limited to the vacuum chamber. A careful implementation leads to execution time less than 60ms per iteration on a 2GHz PC, complemented with excellent robustness. The unknown functions  $A$ ,  $B$ ,  $n_e$  are approximated by decomposition in a reduced basis. The basis can be made of different types of functions (polynomials, B-splines, wavelets etc) [11]. In our case we choose B-splines. Let  $u$  be the vector which contains the coordinates of  $A$ ,  $B$  and  $n_e$  in the chosen basis. The Picard type (fixed point) algorithm is then used to solve iteratively the inverse and direct problem. The discretisation of the equation (3) can be written as:

$$K \psi = D(\psi)u + h \quad (11)$$

Where  $D$  is the plasma current matrix,  $K$  is the stiffness matrix and  $h$  is due to the Dirichlet boundary conditions. The discrete inverse optimization problem is to find  $u$  minimizing the cost function which can be written as

$$J(u) = \|C(\psi)\psi - k\|^2 + u^T \Lambda u \quad (12)$$

while  $\psi$  satisfies (11). The quantity  $C(\psi)\psi$  represents the outputs of the model,  $k$  the experimental measurements,  $C(\psi)$  is the observation operator. The matrix  $\Lambda$  represents the regularization terms. In order to solve this problem we use an iterative algorithm based on fixed point iterations. At the  $n^{\text{th}}$  iteration  $\psi_n$  and  $u_n$  are given. The non linear mapping between  $\psi(u)$  and  $u$  is given by the relation:

$$\psi = K^{-1}[D(\psi_n)u + h] \quad (13)$$

and the cost function to be minimized is given by

$$J(u) = \|C(\psi_n)\psi - k\|^2 + u^T \Lambda u \quad (14)$$

This last equation is used to determine  $u_{n+1}$ . Then fixed point iterations for equation (11) enables to find  $\psi_{n+1}$ .

$$\psi_{n+1} = K^{-1}[D(\psi_n)u_{n+1} + h] \quad (15)$$

Since the algorithm is initialized from the equilibrium at the previous time step two or three iterations are usually enough to ensure convergence. This leads to a very efficient algorithm.

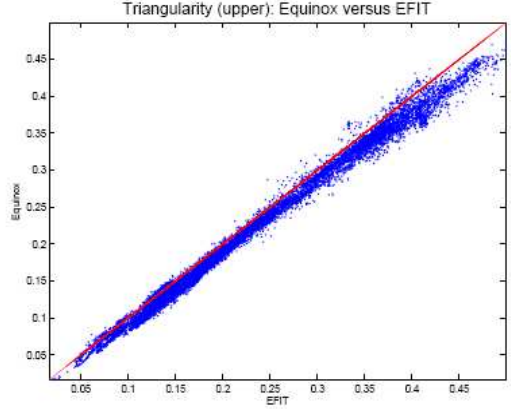


Fig. 1 Comparison EFIT vs Equinox for the upper triangularity

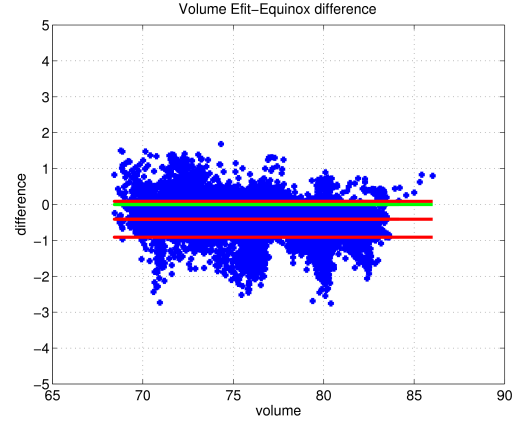


Fig. 2. Standard deviation between EFIT and Equinox for the plasma volume (m3)

#### 4. Equinox validation

The validation of the Equinox code has been performed starting from a database of about 130 pulses, well representative of the JET discharges with different shape and triangularity of the plasma boundary and with global parameter varying in the whole JET interval. For some pulses clear MHD signatures have been identified and help in particular at the validation of the current density profile. The strategy of the validation has been applied to the two versions of the codes. The first one called Equinox-M is the version using only the inputs from the magnetic measurement via XLOC. This version gives accurate plasma geometry and global parameters and does not intend to give very precise information about the current density profile. The second version Equinox-J includes internal measurements like polarimetry or MSE

and is able to identify hollow plasma current density profiles. The validation of the Equinox-M version has been done mainly using the results of the well assessed EFIT equilibrium code [12] constrained by magnetic measurements only which is used in a routinely manner at JET for intershot analysis.

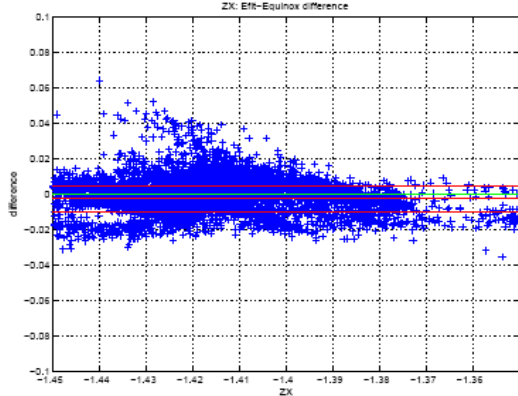


Fig. 3 Comparison between EFIT and Equinox for the Z position of the X point

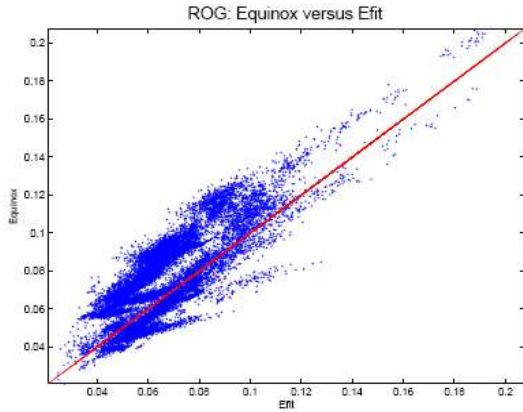


Fig. 4. Comparison between EFIT and Equinox for the ROG (Right Outer Gap) in meter

The shape of the plasma is fairly well reproduced as it can be seen in Fig. 1 and 2 for the upper triangularity and plasma volume. For the spatial location of the plasma several checks have been made like the comparison of the coordinates  $R_x$  and  $Z_x$  of the X point, the different gaps at some poloidal locations (defined as the distance between the plasma boundary and the vessel) see Fig. 3 and 4. The error remains very low for the X point position but important differences can be seen for the right outer gap. In order to validate this result we decided to compare the ROG obtained by equinox with the XLOC one. Indeed as our code is a free boundary code which means that no assumption is made on the plasma shape we are able to compare the shape parameters of our

reconstruction with the one obtained by XLOC itself. Results of that comparison can be seen in Fig 5. Agreement is found with XLOC which tends to demonstrate that EFIT is less precise for the ROG reconstruction. At that stage it was necessary to investigate global quantities characteristic of the current density profile.

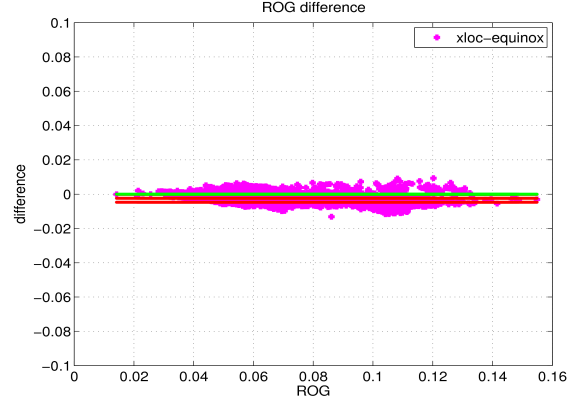


Fig. 5 Comparison between XLOC and Equinox for the ROG

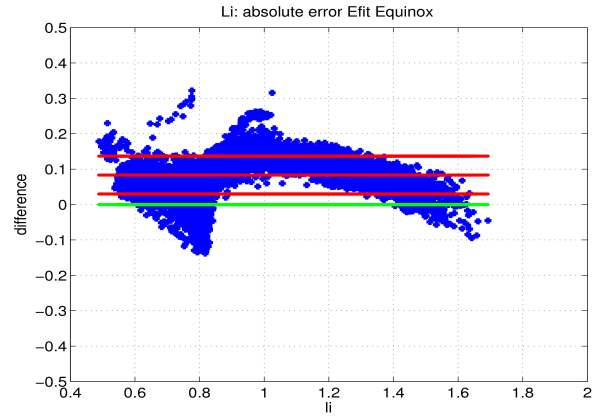


Fig. 6 Comparison between EFIT and Equinox for the internal inductance  $l_i$

Global quantities like the internal inductance  $l_i$  are compared in Fig. 6. Some differences can be noted for the internal inductance the medium error value being around 0.1. In order to quantify the sensitivity of the Equinox output to the error on the measurements we perturbed by 1% the input data from XLOC and get a standard deviation of about 0.1 for the  $l_i$  as seen in Fig.7. So the difference observed on  $l_i$  between EFIT and Equinox is of the order of the error bars on the results. In terms of  $q$  profile this difference is small on the particular case of shot #74937 in Fig. 8. We can note in particular that the main difference comes from the  $q_{ax}$  which is one of the consequences of the lack of information coming from the internal part of the plasma.

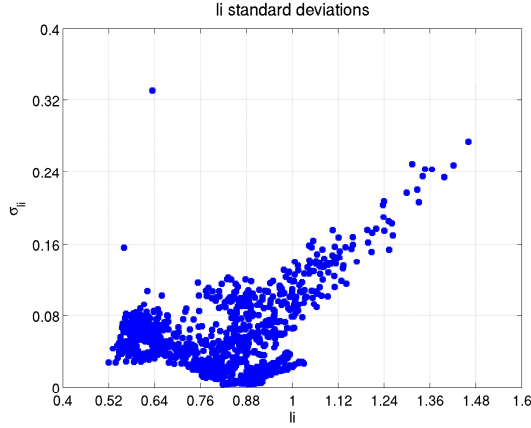


Fig. 7 Standard deviation of  $l_i$  with random variation of the original inputs

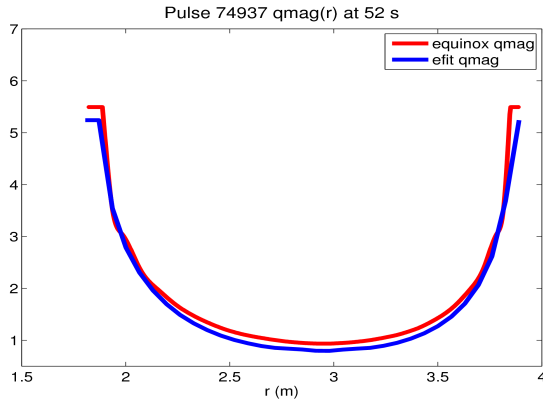


Fig. 8 Comparison between EFIT and Equinox of the safety factor profile

Finally in order to fully assess the Equinox-M reconstruction we have used PROTEUS [7] that solves the direct problem of the Grad Shafranov equation. The idea is to compute the flux mapping starting from a given and known current density profile. In that particular case a monotonic current density profile was chosen, the equilibrium has been reconstructed by PROTEUS who computed also the boundary conditions requested by Equinox. The outputs of Equinox are then compared with the ones coming from PROTEUS. A very good agreement is found as it can be seen in the following table in particular for the plasma volume,  $l_i$  and  $q$  profile confirming that the statistic relies on a very strong and accurate computation. In the case of the Equinox-J version the same results were obtained for the validation of the plasma shape and position, which were not modified by the inclusion of internal measurements. More interesting was to validate the obtained current density profile. The first strategy was to use clear MHD signatures of some shots of the database for

checking the location of the corresponding mode.

	PROTEUS	EQUINOX
Ip	2e6	2e6
rmag	3.0620	3.0691
zmag	0.2972	0.2983
psia	-0.2896	-0.3468
rx	2.5310	2.5279
zx	-1.4180	-1.4248
psib	-1.0588	-1.0605
betap	1.9050	1.7846
Li	0.7470	0.7095
Q0	1.1550	1.8256
Q95	5.4710	5.3508
Trianu	0.4290	0.4075
Trianl	0.3720	0.3861
Vol	74.7080	74.3860
Surf	4.2520	4.2329
Perimeter	8.2750	7.9232
rgeom	2.8725	2.8726

Table 1 Comparison between the outputs of Proteus and Equinox codes

An example can be seen in Fig. 9 where the location of the  $q=1.5$  mode is given in blue by Equinox and in green identified from Fourier analysis of the magnetic measurements and electron temperature (Electron Cyclotron Emission). The agreement is almost perfect. The second strategy, see Fig.8 for example, was for the other shots of the database to compare the Equinox (red line) reconstruction with some reconstruction using EFIT constraint by MSE (green line). In dotted lines are represented for the same shot at the same time the profiles obtained with magnetic only. Here again the agreement is very good.

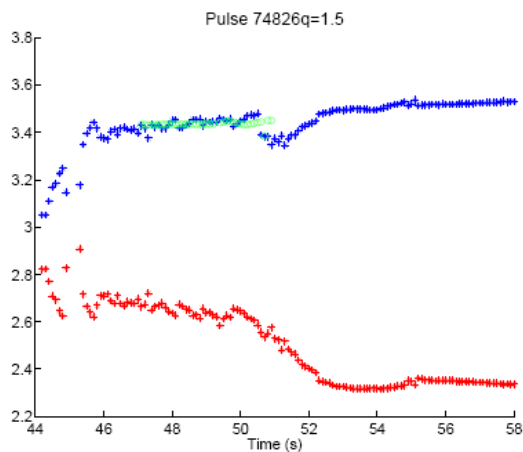


Fig. 9 Time traces of the location of the  $q=1.5$  surface as found by Equinox (red low field side and blue high field side) and in green location of that mode deduced from Fourier analysis of Magnetic and ECE data

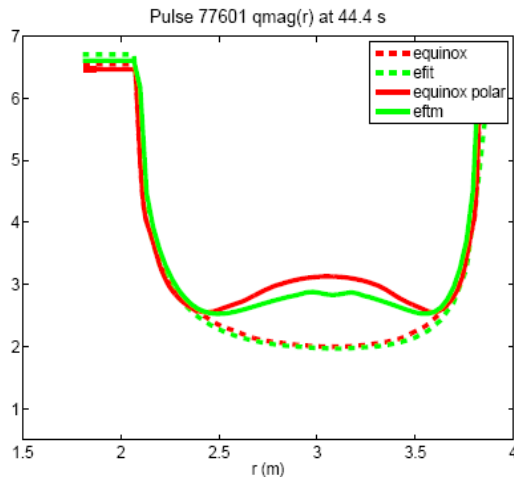


Fig. 10 Profiles comparison between EFIT constraint by magnetic measurements (dotted green), by MSE (green line) and Equinox constraint by magnetic measurements (dotted red), by MSE (red line) for shot 77601 at  $t=44.4$ s.

## 5. Conclusion

A new real-time solver of the Grad-Shafranov equation called Equinox has been developed and validated at JET. It integrates internal measurements like polarimetry and MSE to reconstruct the plasma equilibrium in less than 50ms. This code is written in C++ and is now about to be implemented into the JET Real-time system. This opens brand new set of very interesting experiments about discharge performance optimisation.

## Acknowledgements

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