



DATA STRUCTURES AND ITS APPLICATIONS

Balanced Trees

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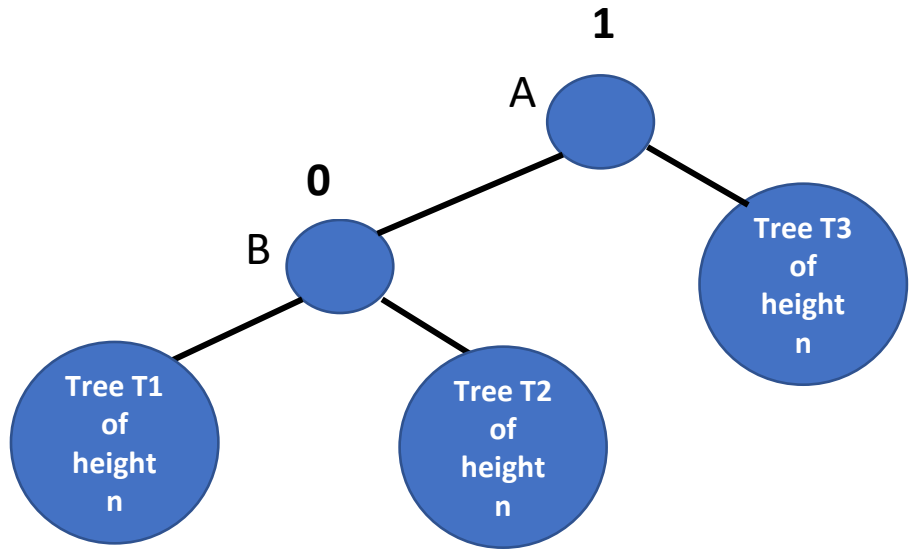
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AVL tree Insertions

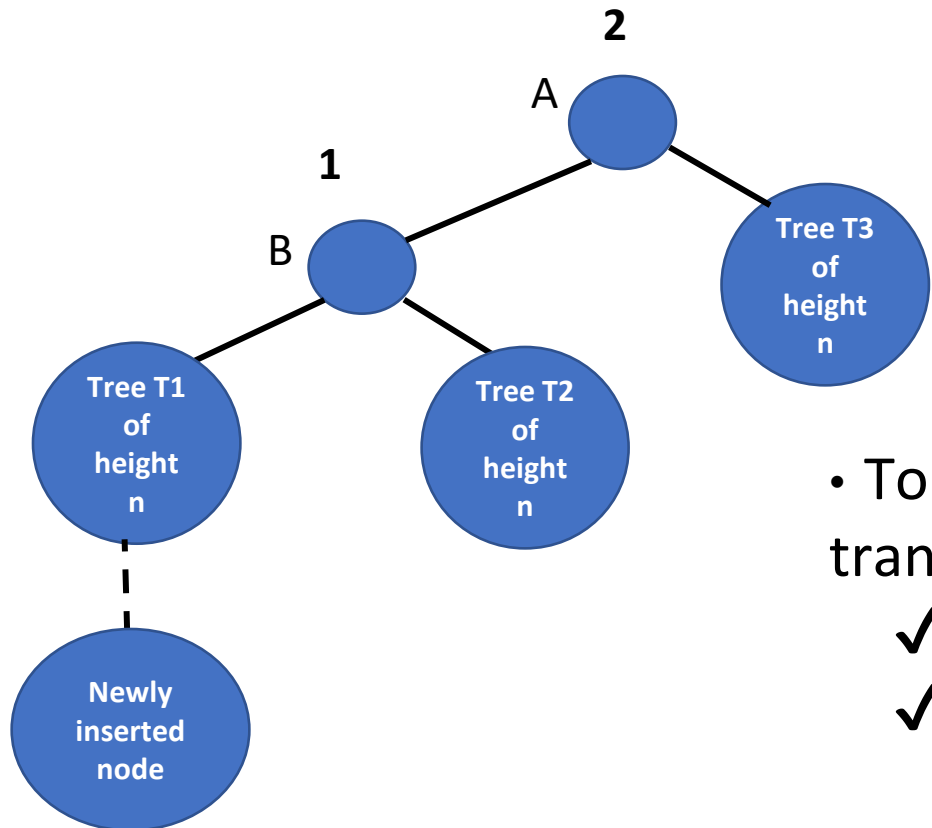


$$\text{Balance factor}(A) = (n+1) - n = 1$$
$$\text{Balance factor}(B) = n - n = 0$$

- Let us consider A is the youngest ancestor which becomes unbalanced
- Balance factor of A should be 1 before insertion
- A should have a left child B with the balance factor of 0

Unbalanced Tree after inserting a node to left subtree

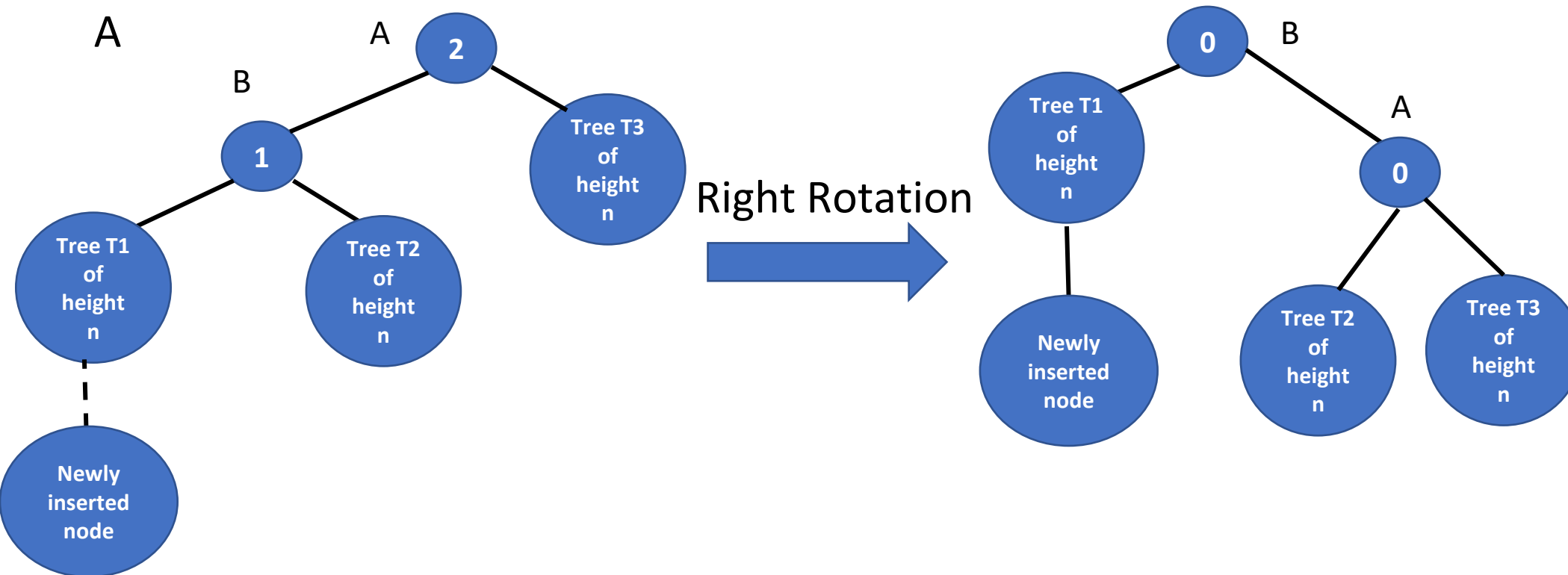
- Newly inserted node is left descendent of node A
- Changing the balance **B to 1** and **A to 2**
- A is the youngest ancestor of the new node to become unbalanced



- To maintain the balance : Tree needs to be transformed
 - ✓ Transformed tree is balanced
 - ✓ Binary search tree property is maintained after transformation

Transformed Balanced Tree after Rotations

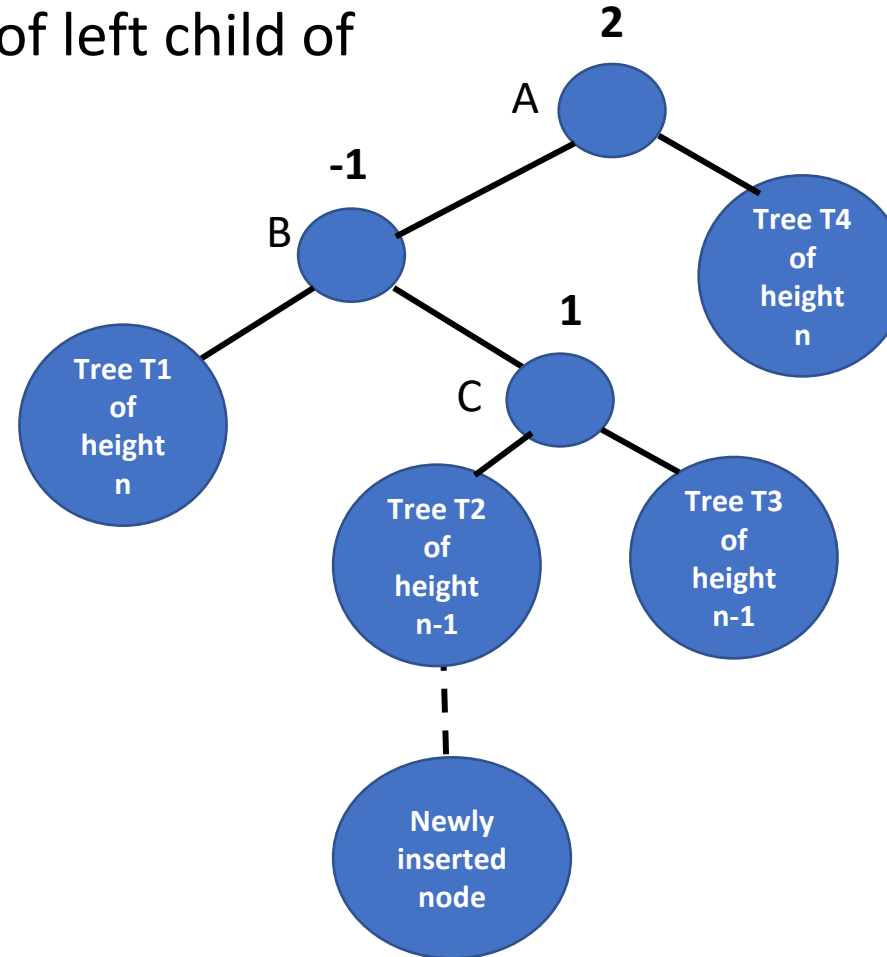
- To maintain a balance we need to rotate sub tree B rooted at node



Unbalanced Tree after inserting a node to right subtree

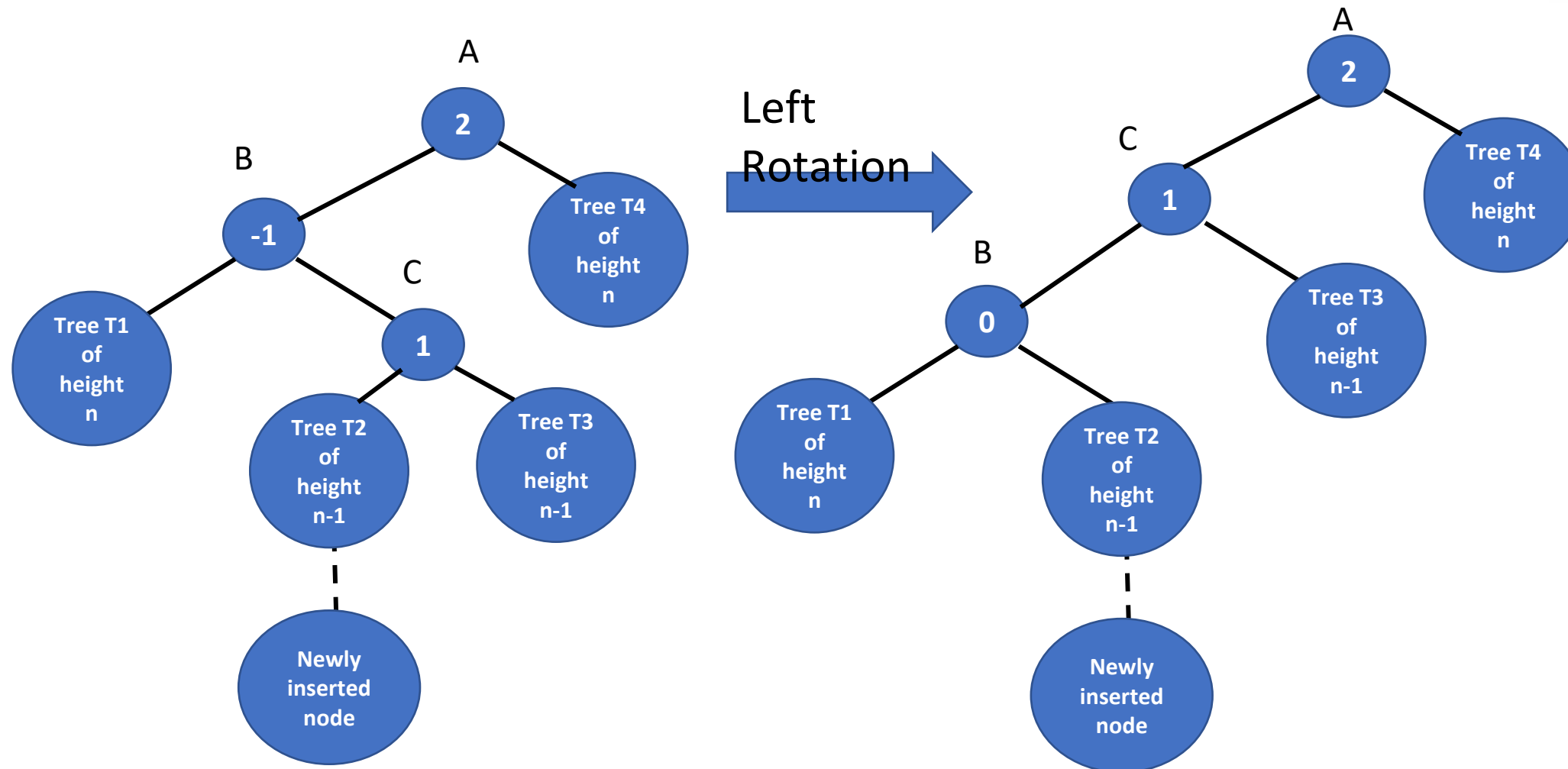
- Newly inserted node is left descendent of the node A
- New node is inserted into right subtree of left child of A

Balance factor(C) = $n - (n - 1) = 1$
Balance factor(B) = $n - (n + 1) = -1$
Balance factor(A) = $n + 2 - n = 2$



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Transformed Balanced tree after Rotations

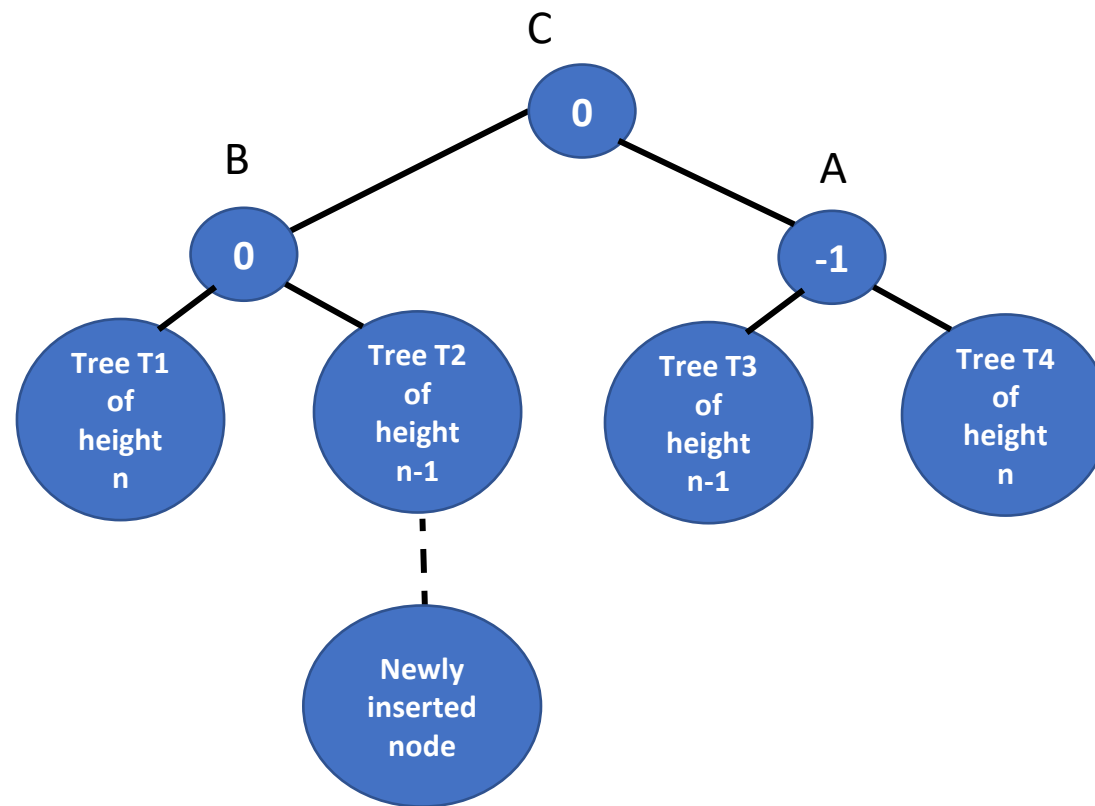


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Transformed Balanced tree after Rotations



Right Rotation



- Insertion in AVL tree is performed using standard BST Insertion
- If tree becomes unbalanced, we rebalance the tree using left or right rotation
- If node X is inserted into balanced BST
- we need to find the youngest ancestor which becomes unbalanced

Four cases:

- IF(Balance factor of node) == 2 – unbalanced node(U)
 - ✓ case-1 : Left-Left case
 - IF((newly inserted key) < (key in the left subtree' root))
 - ✓ case-2: Left-Right case
 - IF((newly inserted key) > (key in the left subtree' root))

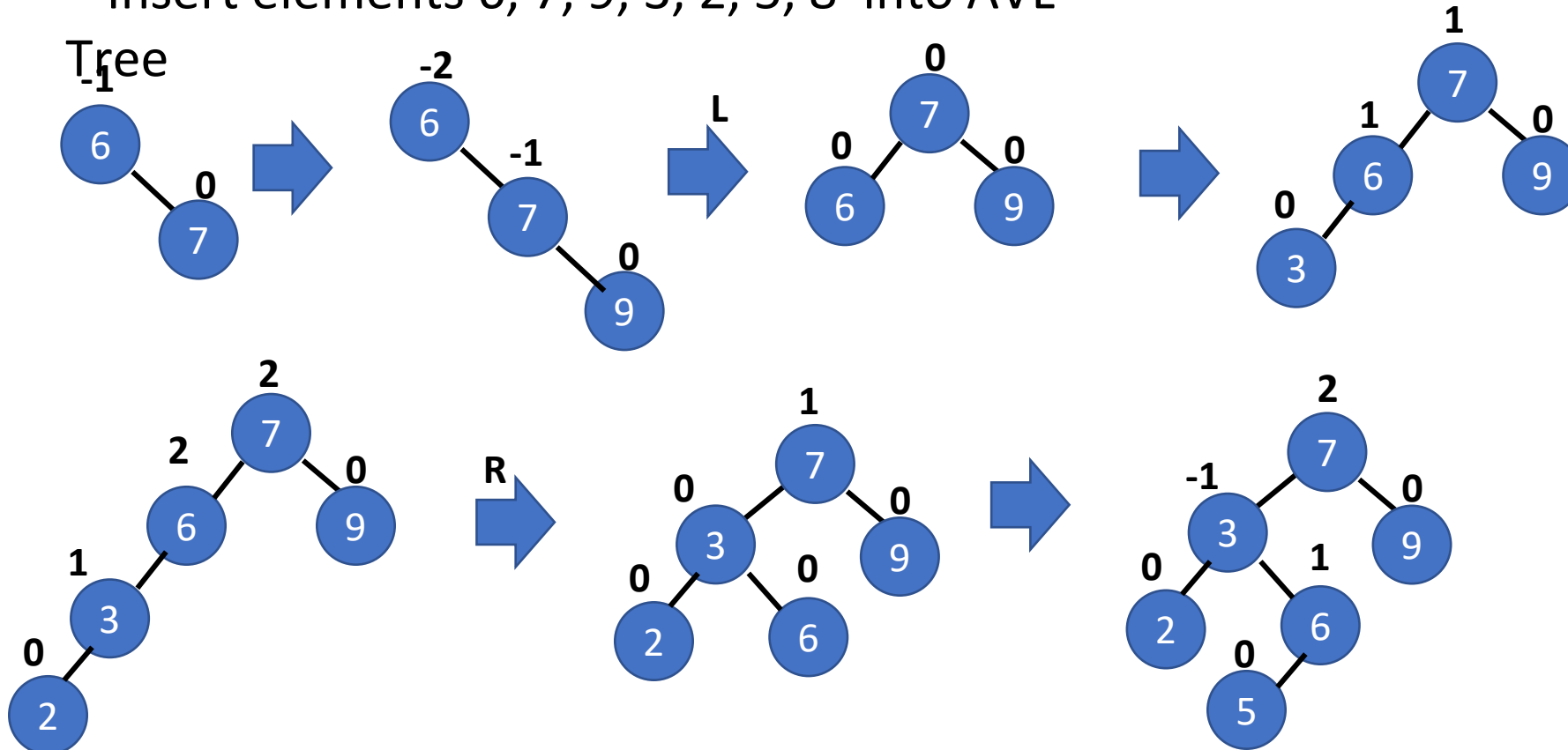
Four cases:

- IF((Balance factor of node)) == -2 – unbalanced node(U)
 - ✓ Case 3: Right-Right case
 - IF((newly inserted key) > (key in the right subtree' root))
 - ✓ Case 4: Right-Left case
 - IF((newly inserted key) < (key in the right subtree' root))

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Examples – AVL Tree Insertions

- Insert elements 6, 7, 9, 3, 2, 5, 8 into AVL

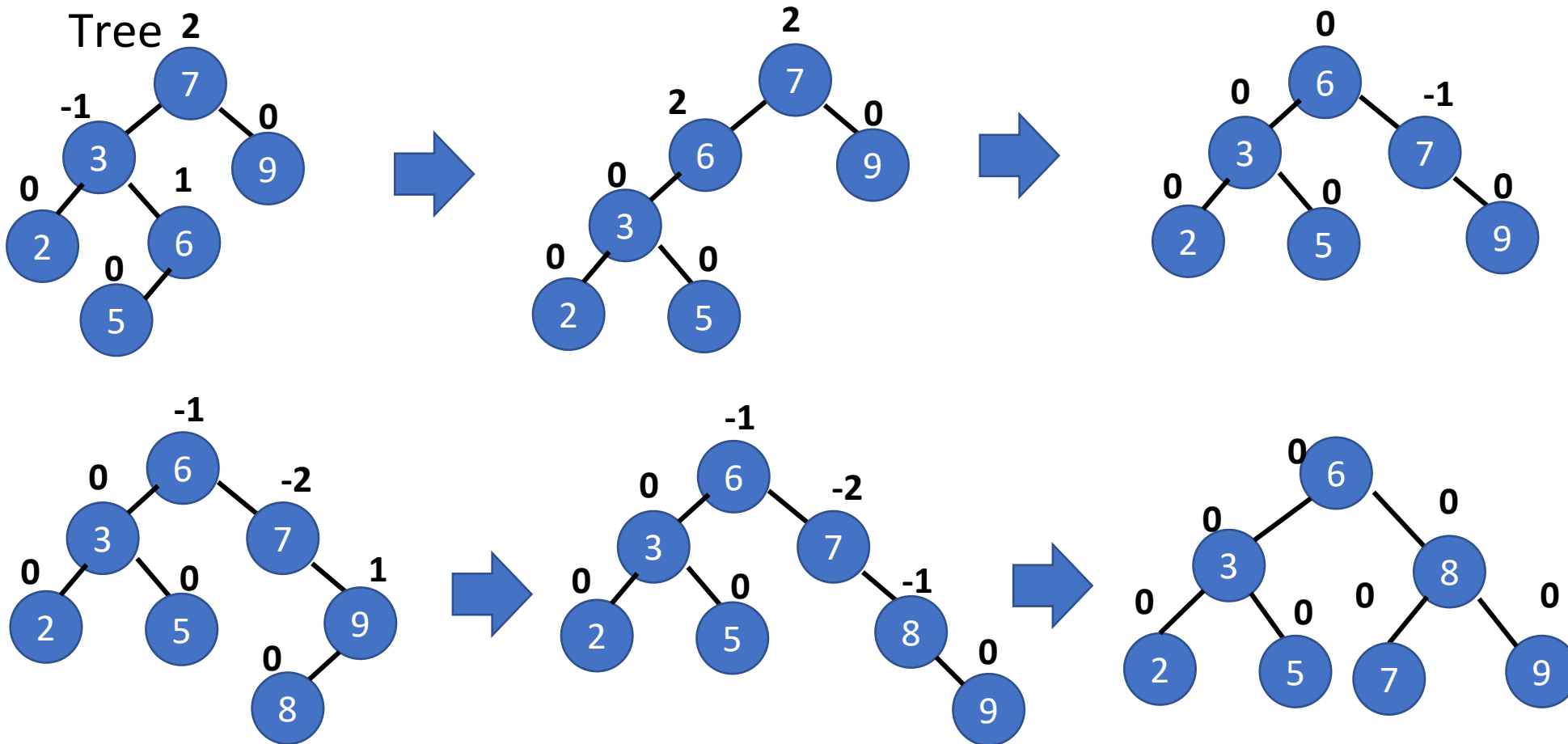


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Example – AVL Tree Insertions

- Insert elements 6, 7, 9, 3, 2, 5, 8 into AVL

Tree 2



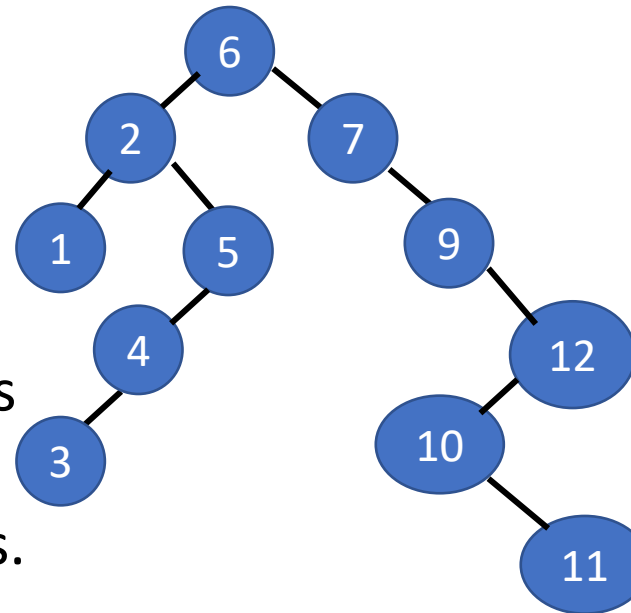
- Deletion in AVL tree is performed using standard BST Deletion
- If tree becomes unbalanced, we rebalance the tree using left or right rotation

BST Deletion: 3- case: Node to be deleted

Case 1: Does not have any children

Case 2: has either left or right subtrees

Case 3: has both left and right subtrees.



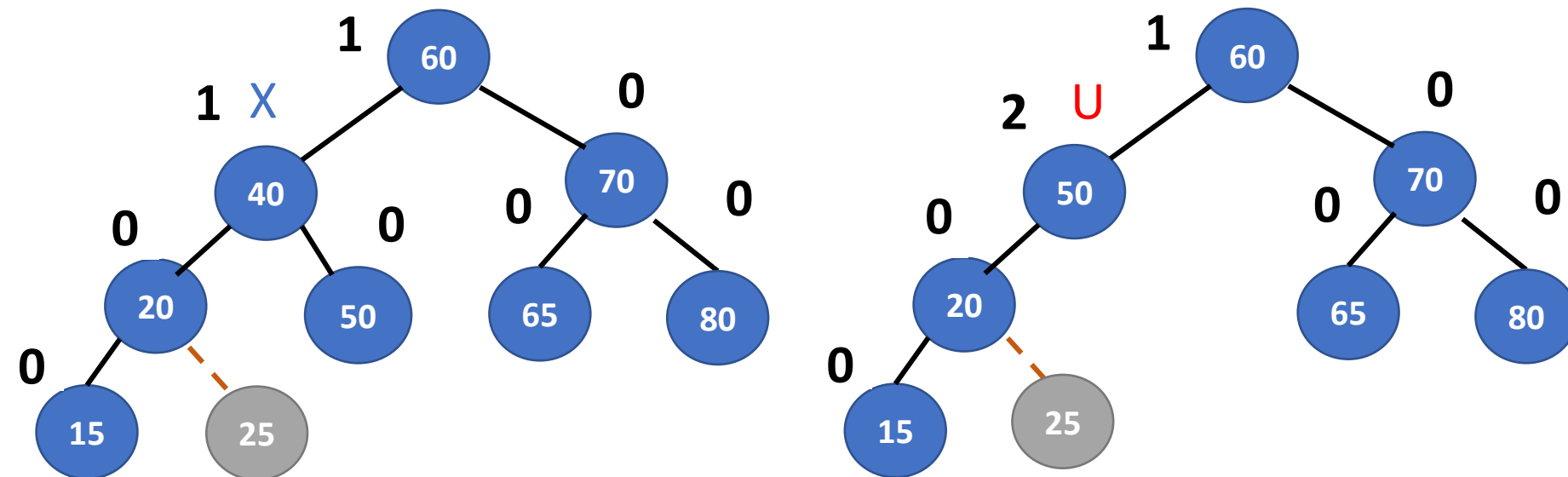
- If node X is deleted from the BST
- we need to find the youngest ancestor which becomes unbalanced

Four cases: Case-1

- IF((Balance factor of a node) == 2) - unbalanced node(U)

✓ Left-Left case:

- IF(Balance factor of left subtree's root) ≥ 0

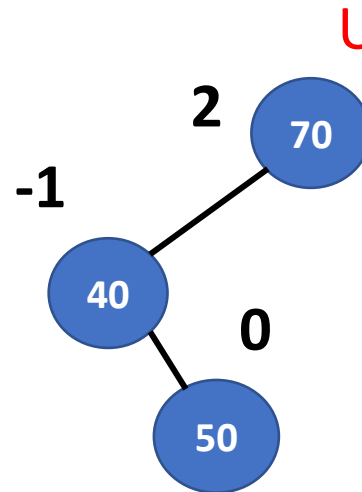
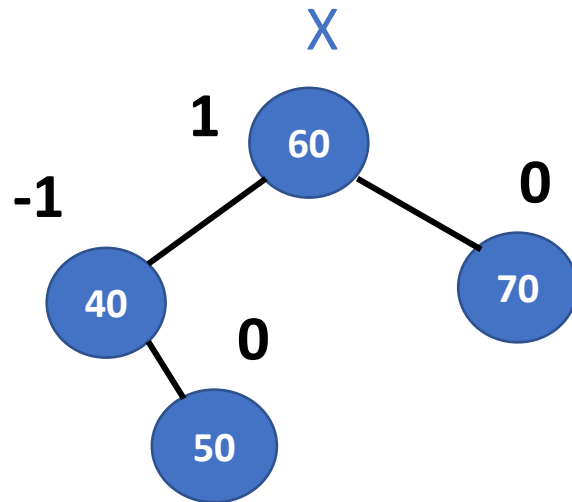


Case-2:

- IF(Balance factor of a node == 2) – unbalanced node(U)

✓ Left-Right case

- IF(Balance factor of left subtree's root) < 0



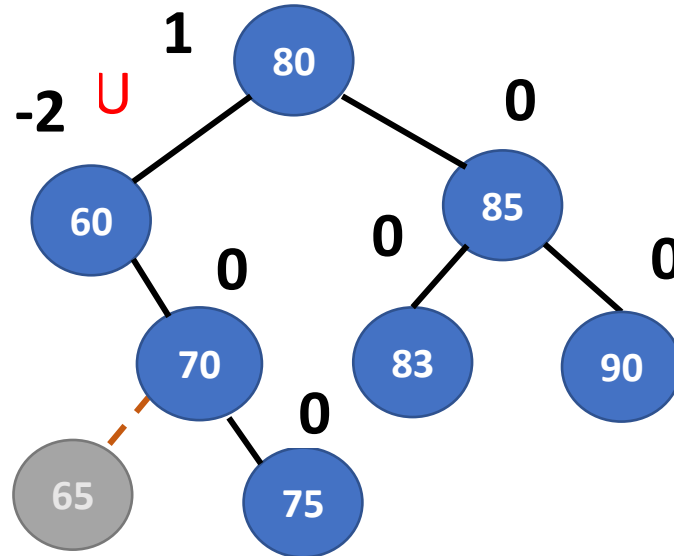
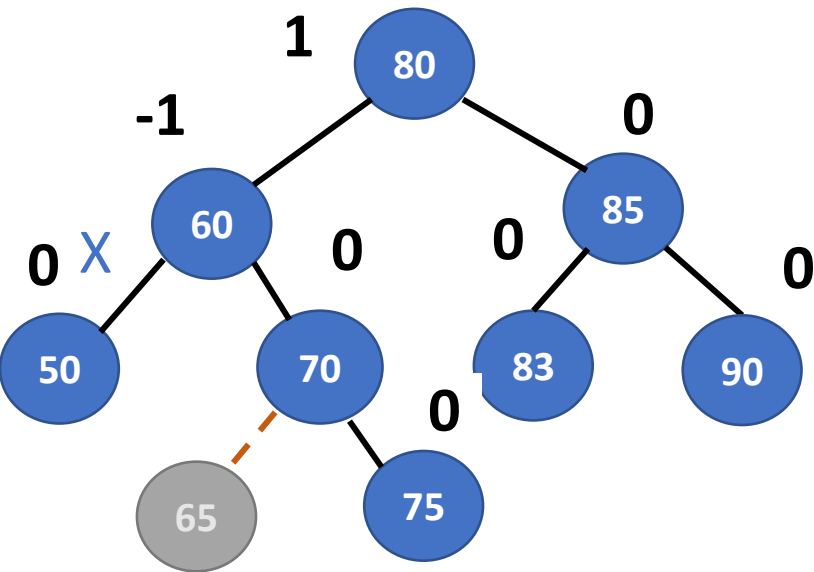
Case-3:

- If ((Balance factor of a node) == **-2**) **unbalanced**

node(U)

✓ Right-Right case

- IF (Balance factor of Right subtree's root) ≤ 0



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Deletions in AVL tree

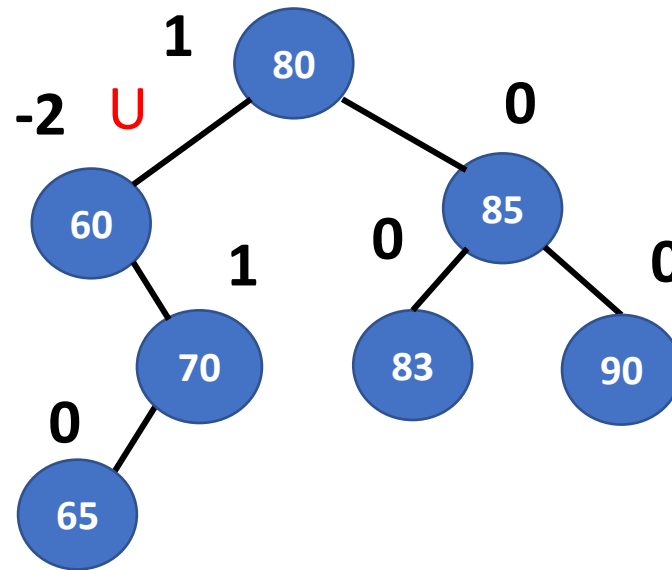
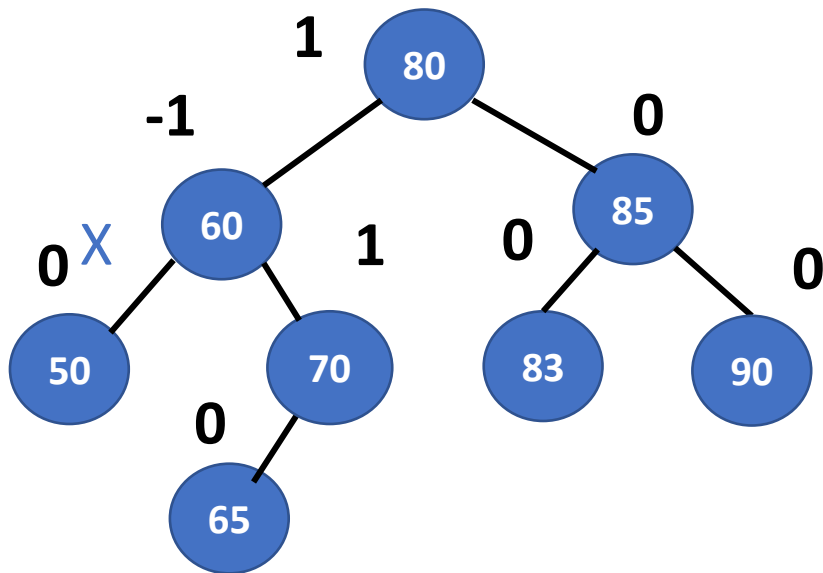


Case-4:

IF((Balance factor of unbalanced node) == -2) unbalanced node(U)

✓ Right-Left case

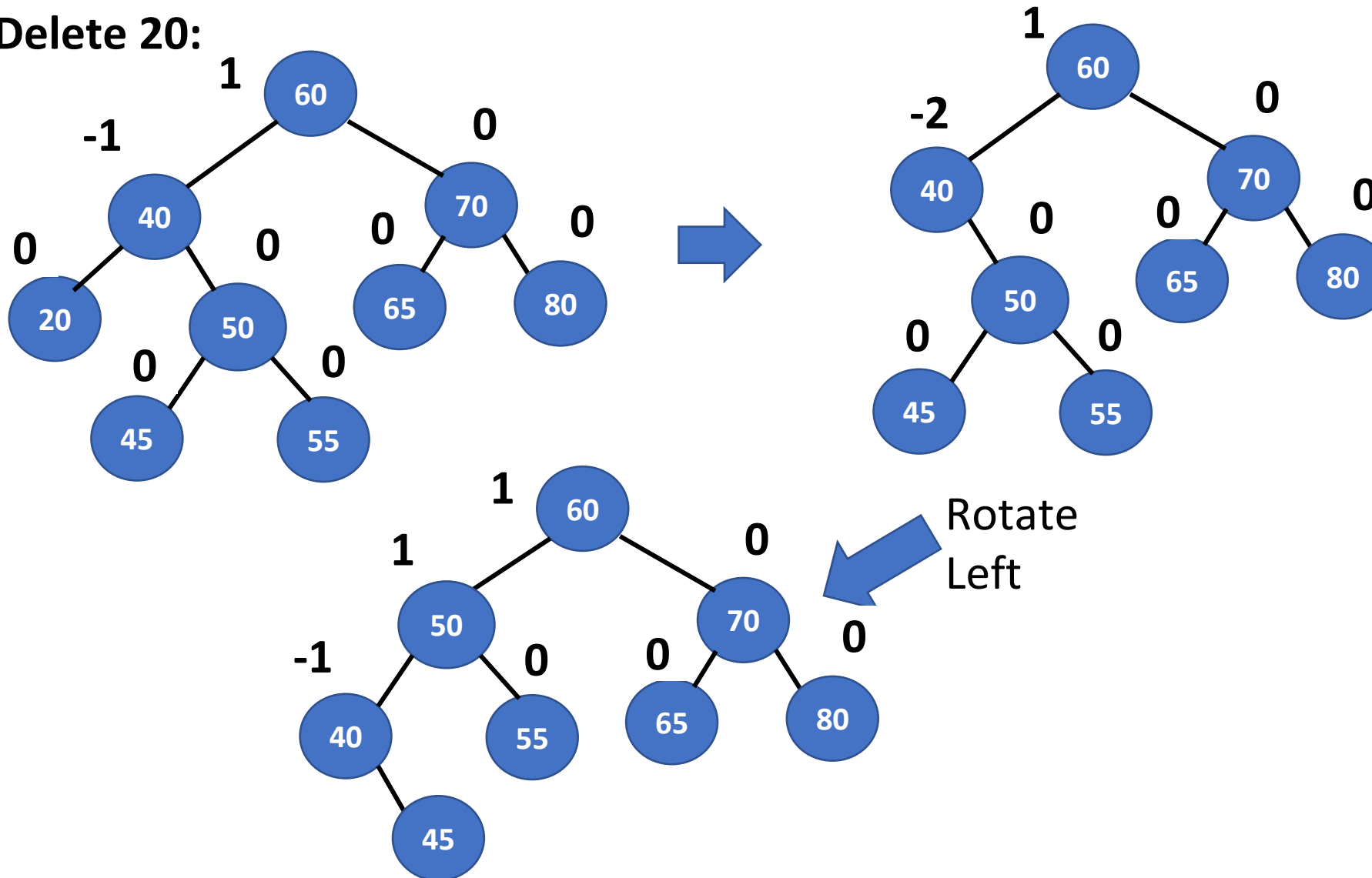
- IF(Balance factor of Right sub tree's root) > 0



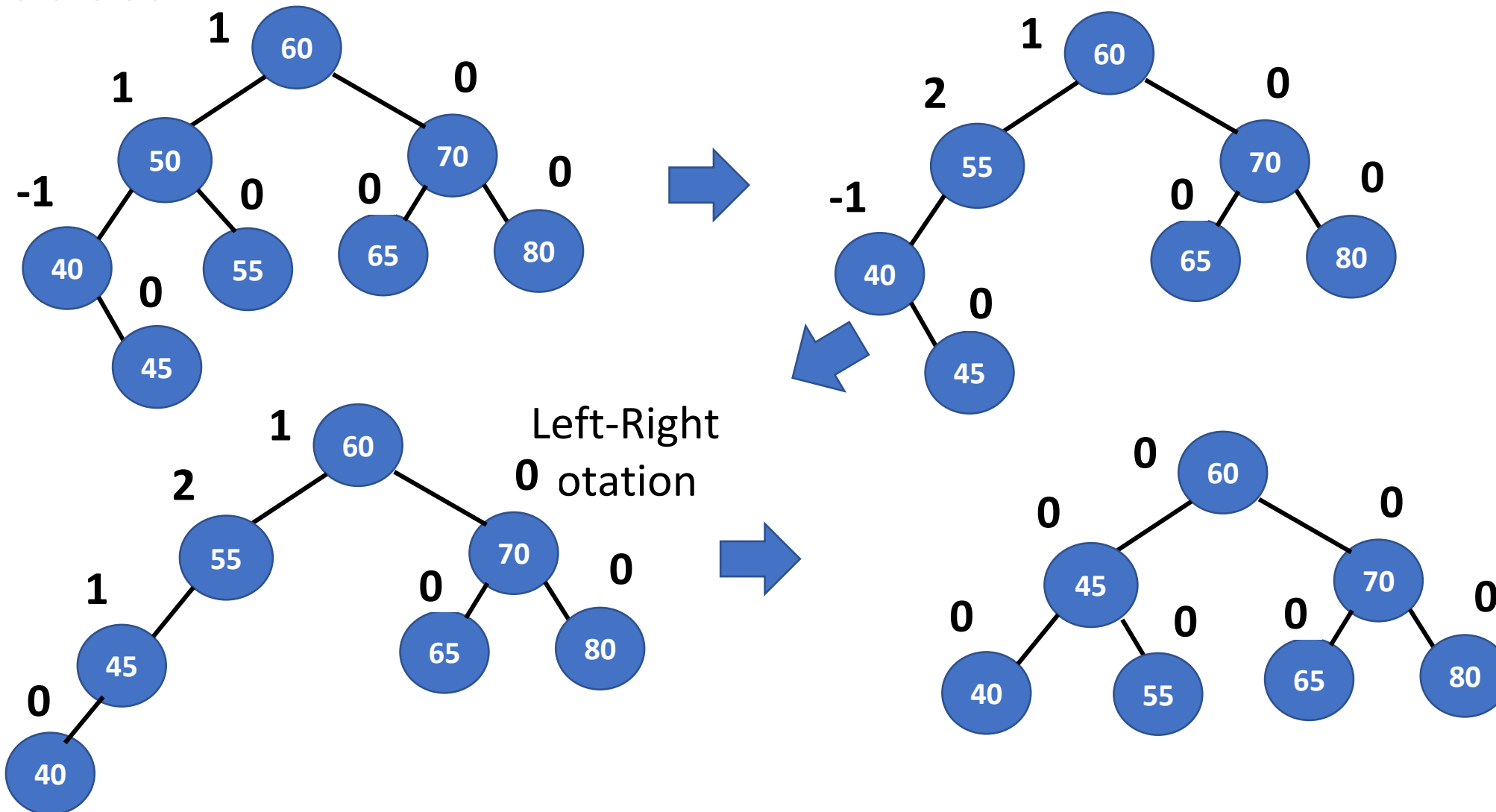
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Example – Deletions in AVL tree

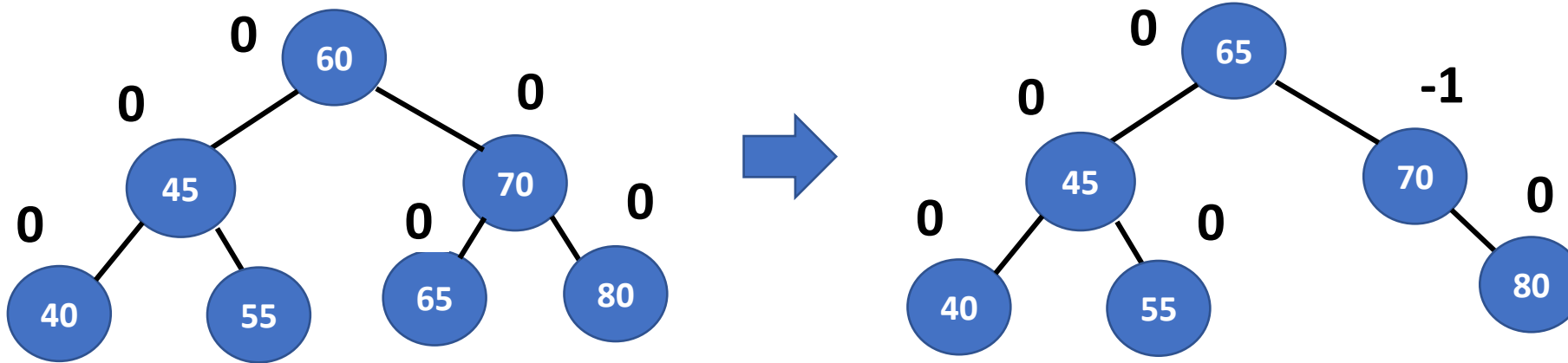
Delete 20:



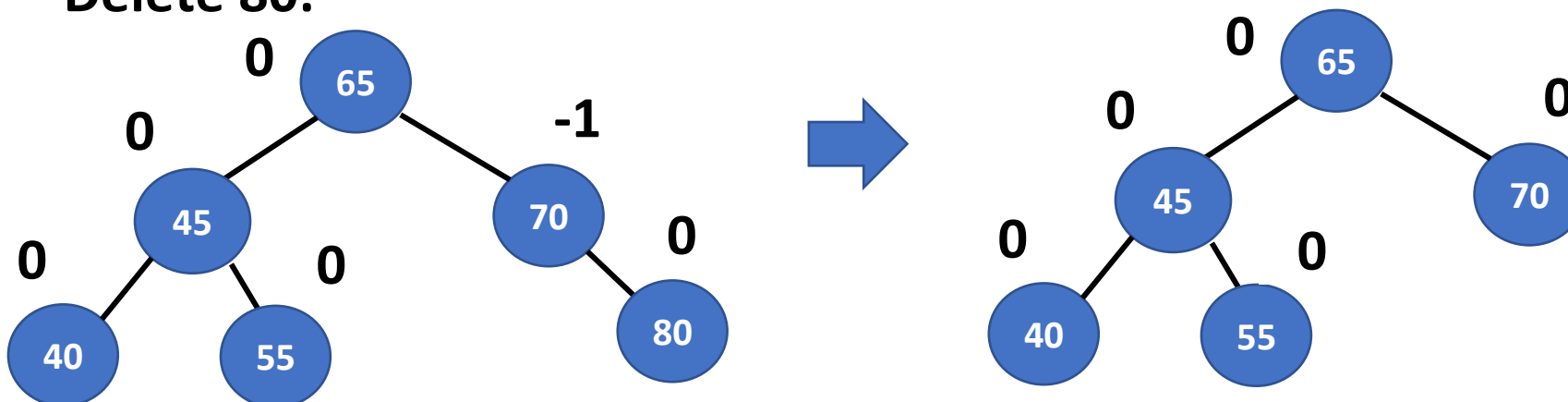
Delete 50:



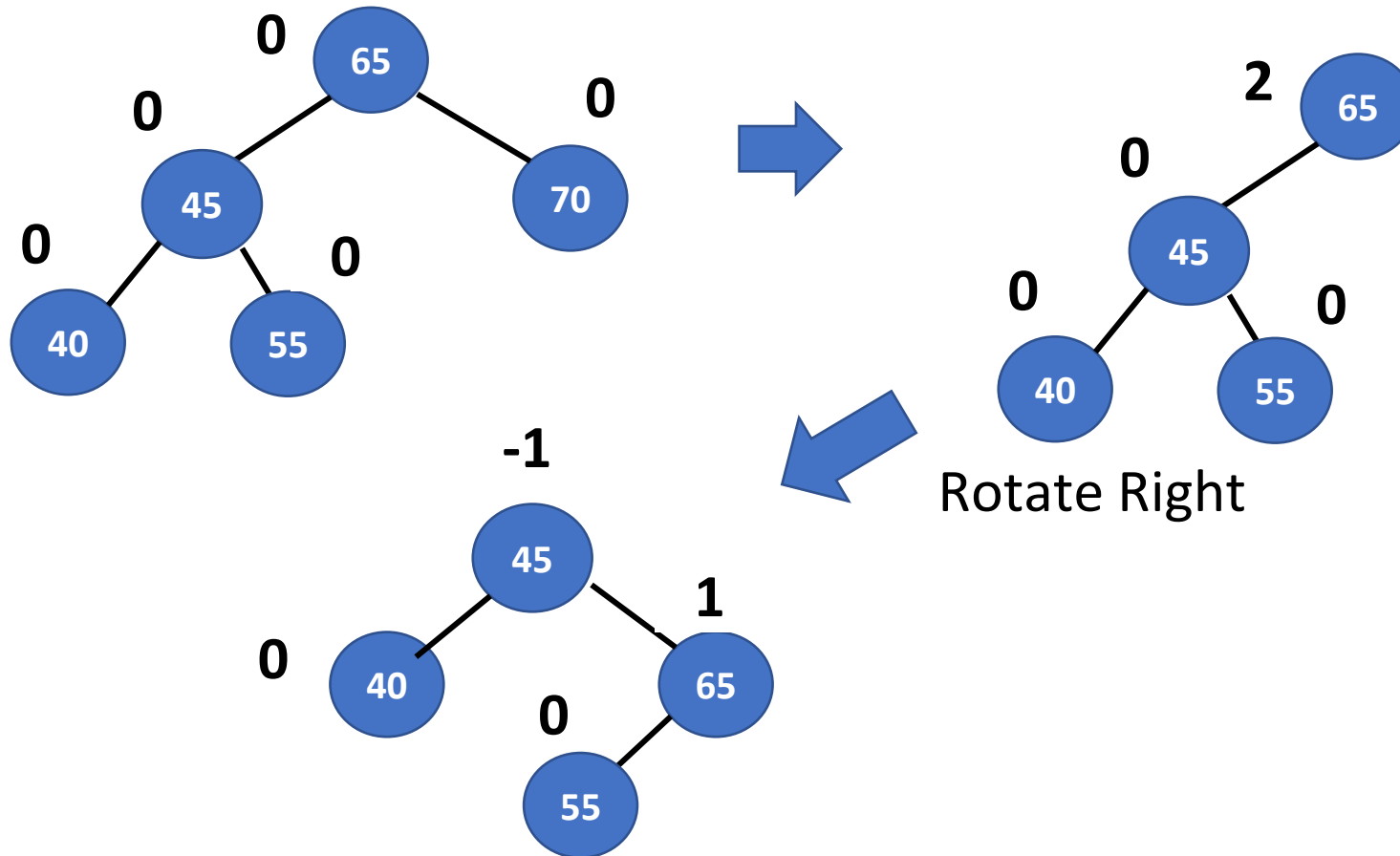
Delete 60:



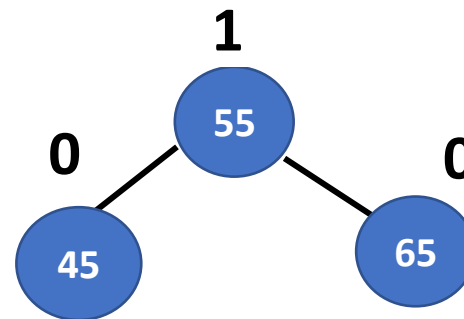
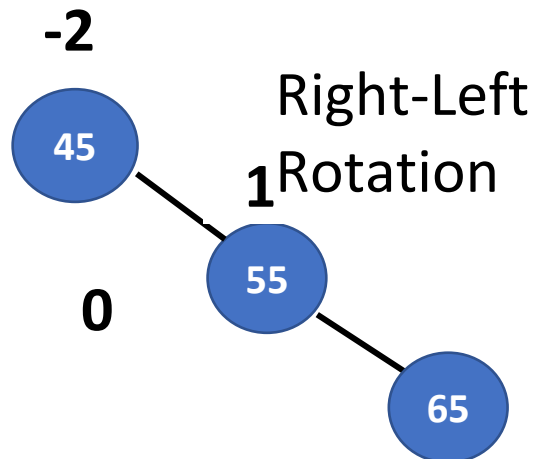
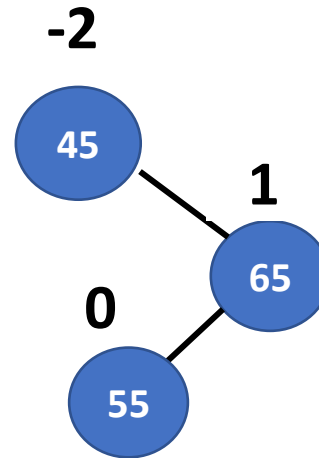
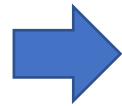
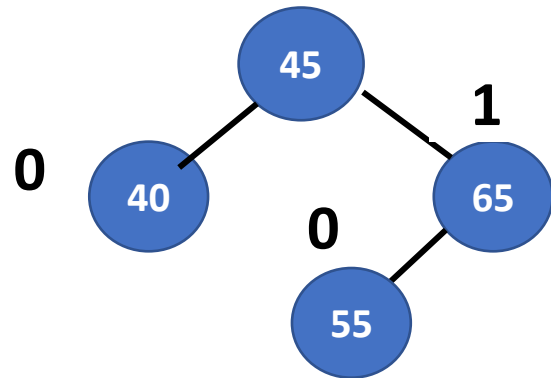
Delete 80:



Delete 70:



Delete 40:
-1



1. During insertion in an AVL tree, imbalance occurs when the balance factor becomes:

- A) -1 or $+1$
- B) -2 or $+2$
- C) 0
- D) None of the above

1. During insertion in an AVL tree, imbalance occurs when the balance factor becomes:

A) -1 or $+1$

B) -2 or $+2$

C) 0

D) None of the above

(Soln: In an AVL tree, imbalance occurs when the balance factor of any node becomes less than -1 or greater than $+1$, i.e., when it reaches -2 or $+2$.)

2. Insertion into the left subtree of the left child of a node causes which imbalance?

- A) LL case
- B) RR case
- C) LR case
- D) RL case

2. Insertion into the left subtree of the left child of a node causes which imbalance?

A) LL case

B) RR case

C) LR case

D) RL case

3. After inserting nodes 10, 20, 30 in sequence into an empty AVL tree, the root becomes:

- A) 10
- B) 20
- C) 30
- D) 15

3. After inserting nodes 10, 20, 30 in sequence into an empty AVL tree, the root becomes:

- A) 10
- B) 20**
- C) 30
- D) 15

4. After deleting a node from an AVL tree, which of the following is TRUE?

- A) Balance factor may become -2 or $+2$ before rebalancing
- B) Rebalancing is never required
- C) Deletion requires more rotations than insertion in worst case
- D) Both A and C

4. After deleting a node from an AVL tree, which of the following is TRUE?

- A) Balance factor may become -2 or $+2$ before rebalancing
- B) Rebalancing is never required
- C) Deletion requires more rotations than insertion in worst case
- D) Both A and C



THANK YOU

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