

# CS315: DATABASE SYSTEMS NORMALIZATION THEORY

Arnab Bhattacharya

[arnabb@cse.iitk.ac.in](mailto:arnabb@cse.iitk.ac.in)

Computer Science and Engineering,  
Indian Institute of Technology, Kanpur

<http://web.cse.iitk.ac.in/~cs315/>

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  - Less number of or no null values
  - No spurious tuple

# Database Design

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- Two ways of answering it: informally and formally
- Informal
  - Schemas should represent distinct entities
  - Little or no redundancy in storage
  - No modification anomaly
  - Less number of or no null values
  - No spurious tuple
- Normalization theory answers in the formal manner

→ Update  
→ Insertion ⇒ If emp is added, programme should be added else ⇒ NULL value  
→ Deletion ⇒ creating more null values.

+ Decomposition: Losslessness

id name job		
1	A	81
2	A	83

→ Their join doesn't give actual table.

id name	
1	A
2	A

\*

name job	
A	81
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# Modification Anomaly

- Consider the following schema: (roll, name, courseid, title)

(empid, empname, projid, projname)

\* If projname is modified  $\rightarrow$  every empid has to change projname.

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- Consider the following schema: (roll, name, courseid, title)
- **Update anomaly**
  - Changing title of a course causes updates to many students
- **Insert anomaly**
  - Admitting a student immediately requires a course and vice versa
- **Delete anomaly**
  - Deleting a course may delete all the corresponding students

# Lossless Decomposition

- Must preserve **losslessness** of the corresponding join
- Lossy decomposition**

roll	name	batch
Suppose	1	AB
	2	AB
	3	CD

is decomposed into

roll	name	name	batch
and	1	AB	2011
	2	AB	2012
	3	CD	2014

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whose join produces

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with two **spurious tuples**

- Try to preserve functional dependencies

# Functional Dependencies

- Functional dependencies (FDs) are *constraints* derived from the meaning of and relationships among attributes
- A set of attributes  $X$  functionally determines  $Y$ , denoted by  $X \rightarrow Y$ , if the value of  $X$  determines a unique value of  $Y$ 
  - roll → name
- For any two tuples  $t_1$  and  $t_2$  in any *legal* instance of  $r(R)$ , if  
\*  $t_1.X = t_2.X$  then  $t_1.Y = t_2.Y$       [ $x \rightarrow y$ ]
- A FD  $X \rightarrow Y$  is *trivial* if it is satisfied for *all* instances of a relation
  - $Y \subseteq X$

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  - $Y \subseteq X$
- A candidate key functionally determines all attributes
- Functional dependencies and keys define normal forms for relations
- ✓ Normal forms are formal measures of how “good” a database design is

# Armstrong's Axioms (can't be proved)

- Given a set of FDs, additional FDs can be inferred using **Armstrong's inference rules** or **Armstrong's axioms**

✓ **Reflexive:** If  $Y \subseteq X$ , then  $X \rightarrow Y$

✓ **Augmentation:** If  $X \rightarrow Y$ , then  $X, Z \rightarrow Y, Z$

✓ **Transitive:** If  $\underline{X \rightarrow Y}$  and  $\underline{Y \rightarrow Z}$ , then  $\underline{\underline{X \rightarrow Z}}$

→ Sound and complete rules

- any other rule derived from these will hold. (Sound)
- Every other rule can be derived from these. (Complete)

# Armstrong's Axioms

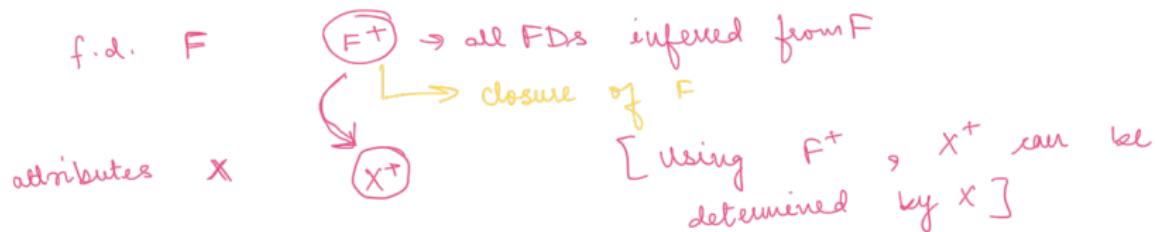
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- These rules are
  - Sound**: Any other rule derived from these holds
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- These rules are
  - Sound**: Any other rule derived from these holds
  - Complete**: Any rule which holds can be derived from these
- Other inferred rules
  - 4 **Decomposition**: If  $X \rightarrow \underline{Y}, \underline{Z}$ , then  $X \rightarrow Y$  and  $X \rightarrow Z$
  - 5 **Union**: If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow Y, Z$
  - 6 **Pseudotransitivity**: If  $\underline{X} \rightarrow \underline{Y}$  and  $\underline{W}, \underline{Y} \rightarrow \underline{Z}$ , then  $\underline{W}, \underline{X} \rightarrow \underline{Z}$

# Properties of FDs

- Closure of a set  $F$  of FDs is the set  $F^+$  of all FDs that can be inferred from  $F$
- Closure of a set of attributes  $X$  with respect to  $F$  is the set  $X^+$  of all attributes that are functionally determined by  $X$  using  $F^+$



\*  $F$  covers  $G$       if  $G \subseteq G^+ \subseteq F^+$

\*  $F$  and  $G$  are equivalent      if  $F^+ = G^+$

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- Two sets of FDs  $F$  and  $G$  are **equivalent** if every FD in  $F$  can be inferred from  $G$  and vice versa
- $F$  and  $G$  are equivalent if  $F^+ = G^+$
- $F$  and  $G$  are equivalent if  $F$  covers  $G$  and  $G$  covers  $F$

## Properties of FDs

\* Every set of FDs has at least one minimal set of FD.

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- $F$  covers  $G$  if  $G^+ \subseteq F^+$
- Two sets of FDs  $F$  and  $G$  are equivalent if every FD in  $F$  can be inferred from  $G$  and vice versa
  - \* Remove a rule ( $X \rightarrow A$ )
  - \* Add a rule ( $Y \rightarrow A$ )
  - \*  $Y \subset X \Rightarrow$  resulting FD  $\neq F$
- $F$  and  $G$  are equivalent if  $F^+ = G^+$
- $F$  and  $G$  are equivalent if  $F$  covers  $G$  and  $G$  covers  $F$
- A set of FDs is minimal if
  - Every FD in  $F$  has only a single attribute in RHS from the left side
  - Any  $G \subset F$  is not equivalent to  $F$
  - Any  $(F - (X \rightarrow A)) \cup (Y \rightarrow A)$  where  $Y \subset X$  is not equivalent to  $F$

(\*) The left sides are also minimal. Reducing sth<sup>of the rule doesn't produce</sup> same set of FDs.
- Every set of FD has at least one equivalent minimal set

# Normal Forms

→ Actually designing relations.

- The process of decomposing relations into smaller relations that conform to certain norms is called **normalization**
- Keys and FDs of a relation determine which **normal form** a relation is in
- Different normal forms
  - 1NF: based on attributes only
  - 2NF, 3NF, BCNF: based on keys and FDs
  - 4NF: based on keys and multi-valued dependencies (MVDs)
  - 5NF or PJNF: based on keys and join dependencies
  - DKNF: based on all constraints

# First Normal Form (1NF)

- A relation is in **1NF** if
  - Every attribute must be atomic

Name is an attribute  $\Rightarrow$  Non-atomic  
 $\Rightarrow$  First name & Last name.

\* We assume name is atomic.

Prime attribute:  
 $\rightarrow$  member of some candidate key

non-prime  
 $\rightarrow$  Not a member of any candidate key

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<u>Id</u>	Name	Phones	
1	A	{3, 4}	should be
2	B	{5}	

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<u>Id</u>	Name	Phones	
1	A	{3, 4}	should be
2	B	{5}	

<u>Id</u>	Name	Phone
1	A	3
1	A	4
2	B	5

# Nested Relations

- Nested relations or composite attributes should be decomposed
- Example

Faculty	Name	Course(CourseId, Title)
11	AB	(1, xyz)
12	CD	(2, wx)
13	EF	(2, wx)
13	EF	(3, uv)

should be decomposed into

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13	EF	(3, uv)

should be decomposed into

Faculty	Name	Faculty	Courseld	Title
11	AB	11	1	yz
12	CD	12	2	wx
13	EF	13	2	wx
		13	3	uv

and

# Prime Attribute, Full and Transitive FD

- A **prime attribute** must be a member of some candidate key
  - Example: roll
- A **non-prime attribute** is not a member of any candidate key
  - Example: gender

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- A **prime attribute** must be a member of some candidate key
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  - Example: gender
- A FD  $X \rightarrow Y$  is a **full functional dependency** if the FD does not hold when any attribute from X is removed  
• Example:  $(\text{roll}, \text{courseid}) \rightarrow (\text{grade})$
- It is a **partial functional dependency** otherwise
  - $(\text{roll}, \text{gender}) \rightarrow (\text{name}) \Rightarrow \text{roll} \rightarrow \text{name}$

©  $X \rightarrow Y$  can't be reduced more

# Prime Attribute, Full and Transitive FD

- A **prime attribute** must be a member of some candidate key
  - Example: roll
- A **non-prime attribute** is not a member of any candidate key
  - Example: gender
- A FD  $X \rightarrow Y$  is a **full functional dependency** if the FD does not hold when any attribute from  $X$  is removed
  - Example:  $(\text{roll}, \text{courseid}) \rightarrow (\text{grade})$
- It is a **partial functional dependency** otherwise
  - $(\text{roll}, \text{gender}) \rightarrow (\text{name})$
- A FD  $X \rightarrow Y$  is a **transitive functional dependency** if it can be derived from two FDs  $X \rightarrow Z$  and  $Z \rightarrow Y$  where  $Z$  is not a set of prime attributes
  - Example:  $(\text{roll}) \rightarrow (\text{hod})$  since  $(\text{roll}) \rightarrow (\text{dept})$  and  $(\text{dept}) \rightarrow (\text{hod})$  hold
- It is **non-transitive** otherwise
  - Example:  $(\text{roll}) \rightarrow (\text{name})$

# Second Normal Form (2NF) [keys]

- A relation is in **2NF** if
  - Every non-prime attribute is fully functionally dependent on every candidate key
- Alternatively, every attribute should either be
  - In a candidate key or
  - Depend fully on every candidate key

$(\underline{id}, \underline{projid}, hrs, name, projname)$   $\Rightarrow$  Not 2NF

$(id, projid) \rightarrow$  candidate keys. (so they determine all other attributes)

$(id, projid) \rightarrow hrs, name, projname$   $\Rightarrow$  Redundant

$\checkmark id \rightarrow name$

$\checkmark projid \rightarrow projname$

\*  $hrs \Rightarrow$  depends on both  $(id, projid)$

① So, here name and projname are not fully dependent on every candidate key.

② name depends only on id

③ projname depends only on projid.

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- Consider (roll, courseid, grade, name, title) with FDs:  
 $(\text{roll}, \text{courseid}) \rightarrow (\text{grade})$ ;  $(\text{roll}) \rightarrow (\text{name})$ ;  $(\text{courseid}) \rightarrow (\text{title})$

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- It is not in 2NF since  $(\text{name})$  (and  $(\text{title})$ ) depends partially on  $(\text{roll}, \text{courseid})$
- After 2NF normalization,

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- It is not in 2NF since  $(\text{name})$  (and  $(\text{title})$ ) depends partially on  $(\text{roll}, \text{courseid})$
- After 2NF normalization, *(separate into diff tables)*
  - $(\underline{\text{roll}}, \underline{\text{courseid}}, \text{grade})$  with FD:  $(\text{roll}, \text{courseid}) \rightarrow (\text{grade})$
  - $(\underline{\text{roll}}, \text{name})$  with FD:  $(\text{roll}) \rightarrow (\text{name})$
  - $(\underline{\text{courseid}}, \text{title})$  with FD:  $(\text{courseid}) \rightarrow (\text{title})$

# Third Normal Form (3NF)

- A relation is in **3NF** if
  - It is in **2NF**, and
  - No non-prime attribute is transitively functionally dependent on the candidate keys
- Alternatively, every non-prime attribute should be
  - ✓ Fully functionally dependent on every key, and
  - ✓ Non-transitively dependent on every key
- Alternatively, for every FD  $X \rightarrow Y$ , either
  - It is trivial, or
  - $X$  is a superkey, or
  - Every attribute in  $Y - X$  is prime

id, name, projid, projname

$id \rightarrow name, projid$

$projid \rightarrow projname$  (transitive) (Not 3CNF)

It should be directly dependent.

$$X \rightarrow Y$$

$$Y \rightarrow \underline{Z}$$

↓  
not prime

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- Consider (facultyid, name, courseid, title) with FDs:  
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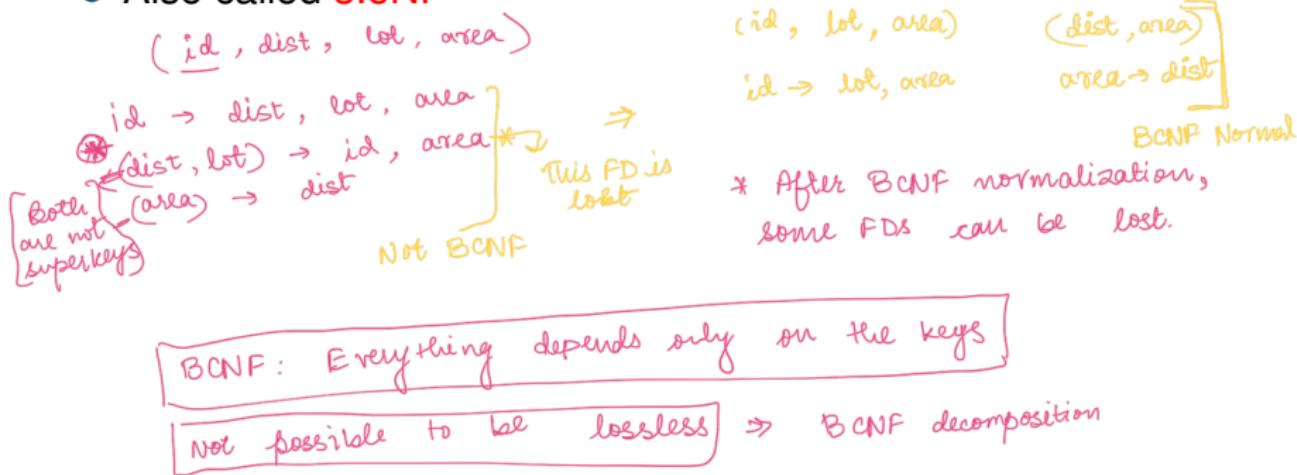
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- After 3NF normalization,
  - $(\underline{\text{roll}}, \text{name}, \text{courseid})$  with FD:  $(\text{facultyid}) \rightarrow (\text{name}, \text{courseid})$
  - $(\underline{\text{courseid}}, \text{title})$  with FD:  $(\text{courseid}) \rightarrow (\text{title})$

# Boyce-Codd Normal Form (BCNF)

- A relation is in BCNF
  - If  $X \rightarrow Y$  is a non-trivial FD, then  $X$  is a superkey of  $R$
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- Also called 3.5NF



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- Consider (Id, Dist, Lot, Area) with FDs:  
 $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area})$ ;  $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area})$ ;  $(\text{Area}) \rightarrow (\text{Dist})$

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- Consider (Id, Dist, Lot, Area) with FDs:  
 $(Id) \rightarrow (Dist, Lot, Area)$ ;  $(Dist, Lot) \rightarrow (Id, Area)$ ;  $(Area) \rightarrow (Dist)$
- It is not in BCNF since (Area) is not a superkey although  $(Area) \rightarrow (Dist)$  holds
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- After BCNF normalization,
  - $(\underline{\text{Id}}, \text{Lot}, \text{Area})$  with FD:  $(\text{Id}) \rightarrow (\text{Dist}, \text{Lot}, \text{Area})$
  - $(\text{Dist}, \underline{\text{Area}})$  with FD:  $(\text{Area}) \rightarrow (\text{Dist})$
  - Loses  $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area})$

# BCNF versus 3NF

- Every BCNF relation is in 3NF
- Good design ensures that every relation is at least in 3NF (if not BCNF)

BeNF:

Anomaly 2:

Any teacher  
can teach any  
book.

⇒ New teacher  
added who  
teaches dls

⇒ 2 books  
need to be  
added

⇒ 2 tuples  
with only 1  
new info

course	teacher	book
dls	als	falls
dls	als	slam
dls	slo	falls
dls	slo	slam
nt	rnm	nbs
nt	rnm	wcl

\* There is modification anomaly  
in BCNF as well.

## BCNF versus 3NF

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- BCNF decomposition is *not possible* while guaranteeing both losslessness and dependency preservation
- Therefore, “good” design ensures either BCNF or its relaxation, i.e., 3NF

# Lossy Decomposition

showroom	city	mall
tata	kanpur	iit
maruti	kanpur	zsq
tata	kolkata	quest

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Joining correctly produces,

showroom	city	mall
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# Loss of Functional Dependency

- Possible decomposition is
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- This is *allowed*

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iit	kanpur	iit	tata
zsq	kanpur	zsq	tata

although joining them produces

mall	city	showroom
iit	kanpur	tata
zsq	kanpur	tata

that *violates* the FD (city, showroom)  $\rightarrow$  (mall)

# Example of Normalization

- $L = (\underline{\text{Id}}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price}, \text{Rate})$  with FDs:

✓  $(\underline{\text{Id}}) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price}, \text{Rate})$

\*  $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price}, \text{Rate})$  [this'll be lost]

\*  $(\underline{\text{Dist}}) \rightarrow (\text{Rate})$

\*  $(\underline{\text{Area}}) \rightarrow (\text{Price})$

$(\underline{\text{id}}, \text{dist}, \text{area}, \text{lot})$

$(\underline{\text{dist}}, \text{rate})$

$(\underline{\text{area}}, \text{price})$

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  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price}, \underline{\text{Rate}})$
  - $(\text{Dist}) \rightarrow (\text{Rate})$
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- $L$  is not in 2NF because  $(\text{Rate})$  depends partially on  $(\text{Dist})$

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  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price}, \text{Rate})$
  - $(\text{Dist}) \rightarrow (\text{Rate})$
  - $(\text{Area}) \rightarrow (\text{Price})$
- $L$  is not in 2NF because  $(\text{Rate})$  depends partially on  $(\text{Dist})$
- $L_1 = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price})$
  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price})$
  - $(\text{Area}) \rightarrow (\text{Price})$
- $L_2 = (\underline{\text{Dist}}, \text{Rate})$  with FD:
  - $(\text{Dist}) \rightarrow (\text{Rate})$

# Example of Normalization

- $L = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price}, \text{Rate})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price}, \text{Rate})$
  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price}, \text{Rate})$
  - $(\text{Dist}) \rightarrow (\text{Rate})$
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- $L$  is not in 2NF because  $(\text{Rate})$  depends partially on  $(\text{Dist})$
- $L_1 = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price})$
  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price})$
  - $\underline{(\text{Area})} \rightarrow (\text{Price}) \rightarrow \text{3NF } \times$
- $L_2 = (\underline{\text{Dist}}, \text{Rate})$  with FD:
  - $(\text{Dist}) \rightarrow (\text{Rate}) \text{ 3NF } \text{ 2NF}$
- $L_1$  is in 2NF but not 3NF because  $(\text{Price})$  depends on  $(\text{Id})$  through  $(\text{Area})$

# Example of Normalization

- $L = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price}, \text{Rate})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price}, \text{Rate})$
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  - $(\text{Area}) \rightarrow (\text{Price})$
- $L$  is not in 2NF because  $(\text{Rate})$  depends partially on  $(\text{Dist})$
- $L_1 = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price})$
  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price})$
  - $(\text{Area}) \rightarrow (\text{Price})$
- $L_2 = (\underline{\text{Dist}}, \text{Rate})$  with FD:
  - $(\text{Dist}) \rightarrow (\text{Rate})$
- $L_1$  is in 2NF but not 3NF because  $(\text{Price})$  depends on  $(Id)$  through  $(\text{Area})$
- $L_2$  is in 2NF and in 3NF

## Example (contd.)

- $L_1 = (\underline{\text{Id}}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price})$  with FDs:

- $(\text{Id}) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price})$
- $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price})$
- $(\text{Area}) \rightarrow (\text{Price})$  <sup>3NF X</sup>

- $L_1$  is in 2NF but not 3NF because (Price) depends on (Id) through (Area)

- 2NF  $\rightarrow$  subset  
trivial  
partial dependence
- 3NF  $\rightarrow$  trivial or  
superkey  
• transitive.

## Example (contd.)

- $L_1 = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area}, \text{Price})$
  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price})$
  - $(\text{Area}) \rightarrow (\text{Price})$
- $L_1$  is in 2NF but not 3NF because  $(\text{Price})$  depends on  $(Id)$  through  $(\text{Area})$
- $L_{11} = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area})$
  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area})$
- $L_{12} = (\underline{\text{Area}}, \text{Price})$  with FD:
  - $(\text{Area}) \rightarrow (\text{Price})$

## Example (contd.)

- $L_1 = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area}, \text{Price})$  with FDs:
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  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area}, \text{Price})$
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- $L_1$  is in 2NF but not 3NF because  $(\text{Price})$  depends on  $(Id)$  through  $(\text{Area})$
- $L_{11} = (\underline{Id}, \text{Dist}, \text{Lot}, \text{Area})$  with FDs:
  - $(Id) \rightarrow (\text{Dist}, \text{Lot}, \text{Area})$
  - $(\text{Dist}, \text{Lot}) \rightarrow (\text{Id}, \text{Area})$
- $L_{12} = (\underline{\text{Area}}, \text{Price})$  with FD:
  - $(\text{Area}) \rightarrow (\text{Price})$
- $L_{11}$  and  $L_{12}$  are in 3NF

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# Normal Forms: Tests

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- 2NF: For a relation where candidate key contains multiple attributes, no nonkey attribute should be functionally dependent on a part of the candidate key [partial dependence]
- 3NF: The relation should not have a nonkey attribute functionally determined by a set of nonkey attributes [transitive dependence]  
(SK/CK to trivial)
- BCNF: The relation should not have an attribute functionally determined by a set of nonkey attributes [all should be SK/CK]

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- 3NF: Decompose and set up a relation for each transitively dependent nonkey attribute with nonkey attributes that it functionally depends upon
- BCNF: Decompose and set up a relation for each nonkey attribute with attributes functionally dependent on it

# Anomalies with BCNF

- Consider (course, teacher, book)
  - $(c, t, b)$ :  $t$  can teach  $c$ , and  $b$  is a textbook for  $c$
- No other FD
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course	teacher	book
C1	AB	B1
C1	AB	B2
C1	CD	B1
C1	CD	B2
C2	EF	B3
C2	EF	B4
C2	AB	B3
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- Modification anomalies are still there
  - Inserting a new teacher for C1 requires two tuples
- Better design if (course, teacher) and (course, book)

# \* Multi-Valued Dependency (MVD)

17 pages

- A multi-valued dependency (MVD)  $X \twoheadrightarrow Y$  holds for a relation schema  $R$  if for all legal relations  $r(R)$ , if for a pair of tuples  $t_1$  and  $t_2$ ,  $t_1.X = t_2.X$ , then there exists another pair of tuples  $t_3$  and  $t_4$

✓  $t_1.X = t_2.X = t_3.X = t_4.X$

$$Y \rightarrow (3,1), (4,2)$$

$$(R - Y - X) \rightarrow (3,2), (4,1)$$

if these 2 occur

$t_3.Y = t_1.Y$

then these 2 will occur

$$t_3.(R - Y - X) = t_2.(R - Y - X)$$

$t_4.Y = t_2.Y$

$$t_4.(R - Y - X) = t_1.(R - Y - X)$$

	X	Y	R - Y - X
$t_1$	a	b	c
$t_2$	a	d	e
$t_3$	a	b	e
$t_4$	a	d	c

- Example:  $(\text{course}) \twoheadrightarrow (\text{teacher})$  in  $(\text{course}, \text{teacher}, \text{book})$ 
  - If  $(C1, AB, B1)$  and  $(C1, CD, B2)$  exist, then  $(C1, AB, B2)$  and  $(C1, CD, B1)$  must exist
  - Otherwise,  $\overbrace{AB}^y$  (resp.  $CD$ ) has something special to do with  $\overbrace{B1}^y$  (resp.  $B2$ )

# MVD and Lossless Join

- $X \twoheadrightarrow Y$  implies  $X \twoheadrightarrow R - Y - X$

$$R = (\underline{x}, \underline{y}, \underline{z})$$

$$X \twoheadrightarrow Y \quad X \twoheadrightarrow Z$$

$$\begin{aligned} R_1 &= (x, y) & R_2 &= (x, z) \\ \Rightarrow & \boxed{R_1 \bowtie R_2} & = & R \end{aligned}$$

$$r = \pi_{x,y}(r) \bowtie \pi_{x,z}(r)$$

# MVD and Lossless Join

- $X \twoheadrightarrow Y$  implies  $X \twoheadrightarrow R - Y - X$
- $R = (\underline{X}, \underline{Y}, \underline{Z})$
- $X \twoheadrightarrow Y$ , and by symmetry,  $X \twoheadrightarrow Z$
- Then, decomposition into  $(X, Y)$  and  $(X, Z)$  will be lossless
- For any relation  $r = \Pi_{X,Y}(r) \bowtie \Pi_{X,Z}(r)$

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- A MVD  $X \twoheadrightarrow Y$  on  $R$  is trivial if either  $Y \subseteq X$  or  $R = X \cup Y$
- It is non-trivial otherwise

$$R - X - Y = \emptyset$$

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- A MVD  $X \twoheadrightarrow Y$  on  $R$  is **trivial** if either  $Y \subseteq X$  or  $R = X \cup Y$
- It is **non-trivial** otherwise
- **Closure** of a set of MVDs is the set of all MVDs that can be inferred

# Fourth Normal Form (4NF)

- A relation is in **4NF**
  - If  $X \twoheadrightarrow Y$  is a non-trivial MVD, then  $X$  is a superkey of  $R$
- Alternatively, for every MVD  $X \twoheadrightarrow Y$ , either
  - It is trivial, or
  - $X$  is a superkey

(course , teacher , book)

X4NF

course  $\twoheadrightarrow$  book  
↓  
Not a superkey

4NF Normalization

(course , book)  
 $\xrightarrow{\text{ANF}}$

(course , teacher)  
 $\xrightarrow{\text{4NF}}$

\* (these are trivial)  $\Rightarrow$  4NF

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- Every 4NF relation is in BCNF
- Consider (course, teacher, book) with MVD:  $\text{course} \twoheadrightarrow \text{book}$
- It is not in 4NF since  $(\text{course})$  is not a superkey
- After 4NF normalization,

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- Consider (course, teacher, book) with MVD: course  $\twoheadrightarrow$  book
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- After 4NF normalization,
  - (course, book) with trivial MVD: (course)  $\twoheadrightarrow$  (book)
  - (course, teacher) with trivial MVD: (course)  $\twoheadrightarrow$  (teacher)
- Decompose R with  $X \twoheadrightarrow Y$  into  $(X, Y)$  and  $(X, R - Y - X)$

# Fourth Normal Form (4NF)

- A relation is in **4NF**
  - If  $X \twoheadrightarrow Y$  is a non-trivial MVD, then  $X$  is a superkey of  $R$
- Alternatively, for every MVD  $X \twoheadrightarrow Y$ , either
  - It is trivial, or
  - $X$  is a superkey
- Every 4NF relation is in BCNF
- Consider  $(\underline{\text{course}}, \underline{\text{teacher}}, \underline{\text{book}})$  with MVD:  $\text{course} \twoheadrightarrow \text{book}$
- It is not in 4NF since  $(\text{course})$  is not a superkey
- After 4NF normalization,
  - $(\underline{\text{course}}, \underline{\text{book}})$  with trivial MVD:  $(\text{course}) \twoheadrightarrow (\text{book})$
  - $(\underline{\text{course}}, \underline{\text{teacher}})$  with trivial MVD:  $(\text{course}) \twoheadrightarrow (\text{teacher})$
- Decompose  $R$  with  $X \twoheadrightarrow Y$  into  $(X, Y)$  and  $(X, R - Y - X)$

course	teacher	course	book
C1	AB	C1	B1
C1	CD	C1	B2
C2	EF	C2	B3
C2	AB	C2	B4

# Join Dependency (JD)

- General way of decomposing a relation into multi-way joins
- A **join dependency (JD)** ( $R_1 \subseteq R, \dots, R_n \subseteq R$ ) holds for a schema  $R$  if for all *legal* relations  $r(R)$ ,

$$\bowtie_{i=1}^n (\Pi_{R_i}(r)) = r$$

◎ MVD is a special case of Join dependency.

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Salesman	Brand	Product
J	A	V
J	A	B
W	A	V
W	A	B
W	R	V
W	R	P

- If S sells products of brand B and if S sells product type P, then S must sell product type P of brand B (assuming B makes P) [Join]
- This means that  $(S,B) \bowtie (B,P) \bowtie (P,S)$  is equal to  $(S,B,P)$

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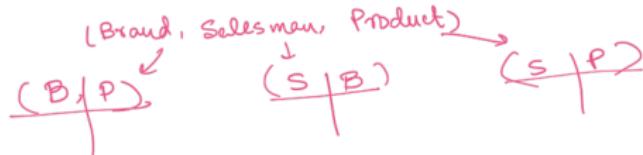
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- This means that  $(S,B) \bowtie (B,P) \bowtie (P,S)$  is equal to  $(S,B,P)$
- A MVD is a special case of JD with  $n = 2$

# Fifth Normal Form (5NF) or Project-Join Normal Form (PJNF)

- A relation is in **5NF** or **PJNF**

- If  $(R_1, \dots, R_n)$  is a non-trivial JD, then every  $R_i$  is a superkey of  $R$



## Fifth Normal Form (5NF) or Project-Join Normal Form (PJNF)

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- Consider that J starts selling brand R's products
- Insertion anomaly since multiple tuples need to be inserted
- Better design if broken into three relations (B,P), (S,B), and (P,S)

Brand	Product	Salesman	Brand	Product	Salesman
A	V	J	A	B	J
A	B	W	A	V	W
R	V	W	R	B	W
R	P			P	W

Now, insertion requires only one tuple (J, R) in (Salesman, Brand)

\* 5NF can solve insertion anomaly

# Domain-Key Normal Form (DKNF)

- A relation schema is in **domain-key normal form (DKNF)** if all constraints and relations that should hold can be enforced simply by domain constraints and key constraints
- *Ideal* normal form
- Once a relation is in DKNF, there is no anomaly
- Mostly theoretical