

# Universal Sink Finding Algorithm

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## Data Structures for Graphs

## Convention

- **Vertices:** Are always numbered  $1, 2, \dots, n$  or  $0, 1, \dots, n - 1$ .
- $|E| = m$ .
- **Size of Input:** Usually measured in terms of the number of vertices  $|V|$  and the number of edges  $|E|$  of the graph.
- That is, there are **two relevant parameters** describing the size of the input, **not just one**.

# Representation

*There are two standard ways to represent a graph  $G = (V, E)$ :*

- ① as a collection of **adjacency lists** or
- ② as an **adjacency matrix**.

# Adjacency-list Representation

- Consists of an array  $Adj$  of  $|V|$  lists, one for each vertex in  $V$ .
- For each  $u \in V$ , the adjacency list

$$Adj[u] = \{v : (u, v) \in E\}.$$

- That is,  $Adj[u]$  consists of all the vertices adjacent to  $u$ .
- Alternatively, it may contain pointers to these vertices.
- **Note:** The vertices in each adjacency list are typically stored in an arbitrary order.

## Adjacency-list Representation (Cont.)

- **Directed Graphs:** Sum of the lengths of all adjacency lists is  $|E|$ , since an edge  $(u, v)$  appears only in  $Adj[u]$ .
- **Undirected Graphs:** Sum of the lengths of all adjacency lists is  $2|E|$ , since an edge  $(u, v)$  appears both in  $Adj[u]$  and  $Adj[v]$ .
- **Memory Requirement:** For both directed and undirected graphs, it requires  $\Theta(|V| + |E|)$  memory.
- **Weighted Graphs:** The weight  $w(u, v)$  of the edge  $(u, v) \in E$  is simply stored with vertex  $v$  in  $u$ 's adjacency list.

# Adjacency-matrix Representation

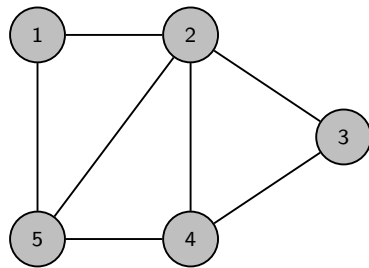
- **Assumption:** Vertices are numbered  $1, 2, \dots, |V|$ .
- Consists of a  $|V| \times |V|$  matrix  $A = (a_{ij})$ , s.t.,

$$a_{ij} = \begin{cases} 1; & \text{if } (i, j) \in E \\ 0; & \text{otherwise.} \end{cases}$$

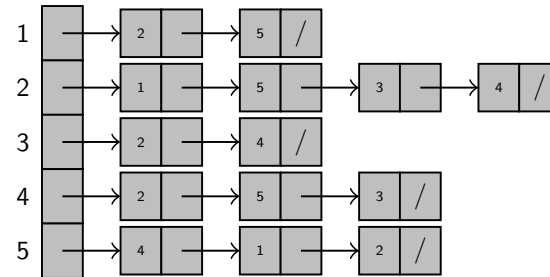
- **Memory Requirement:**  $\Theta(|V|^2)$
- **Undirected Graph:** Symmetric matrix.
  - Suffices to store only the entries on and above the diagonal.
  - Cutting the memory needed to store the graph **almost by half**.
- **Directed Graph:** **Not** necessarily a symmetric matrix.
- **Weighted Graphs:** Store

$$a_{ij} = \begin{cases} w(i, j); & \text{if } (i, j) \in E \\ 0; & \text{otherwise.} \end{cases}$$

## Example: Undirected Graph



An undirected graph  $G$



An adjacency-list representation of  $G$

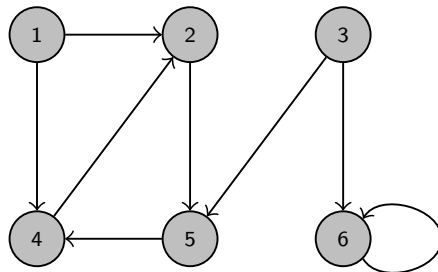
	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

The adjacency-matrix representation of  $G$

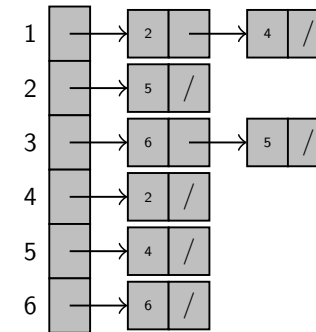
**Note:** The adjacency-matrix is **symmetric**!



# Adjacency-list Representation: Directed Graph Example



An directed graph  $G$



An adjacency-list representation of  $G$

	1	2	3	4	5	6
1	0	1	0	1	0	0
2	0	0	0	0	1	0
3	0	0	0	0	1	1
4	0	1	0	0	0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1

The adjacency-matrix representation of  $G$

**Note:** The adjacency-matrix is **not** necessarily symmetric!

# The Adjacency-list Representation: Pros vs. Cons.

## Pros:

- **Quite Robust:** Can be modified to support many other graph variants.
- **Space Efficient:** Takes  $\Theta(|V| + |E|)$  amount of memory.
- Neighbors of a vertex can be computed in **optimal time**.

## Cons:

- No quicker way to determine if a given edge  $(u, v)$  is present in the graph than to search for  $v$  in the adjacency list  $Adj[u]$ .
  - **Complexity:**  $\mathcal{O}(|V|)$ .
  - Can be remedied by an adjacency-matrix representation of the graph, **at the cost of using asymptotically more memory**.

# The Adjacency-matrix Representation: Pros vs. Cons.

## Pros:

- Determining whether there is an edge from  $u$  to  $v$  takes  $\mathcal{O}(1)$ .

## Cons:

- Computing all neighbors of a given vertex  $v$  takes  $\mathcal{O}(|V|)$  time.
- Takes  $\Theta(|V|^2)$  amount of memory.

# The Adjacency-list vs. Adjacency-matrix Representation

- Although the adjacency-list representation is **asymptotically at least as efficient as** the adjacency-matrix representation, the simplicity of an adjacency matrix may make it preferable when graphs are reasonably small, i.e.,  $|V|$  is small.
- **Unweighted Graphs:** Rather than using **one word** of computer memory for each matrix entry, **one bit** can be used per entry of the matrix, thus making it more space efficient.
- **Commonly Used:** Adjacency-lists.

## Reasons:

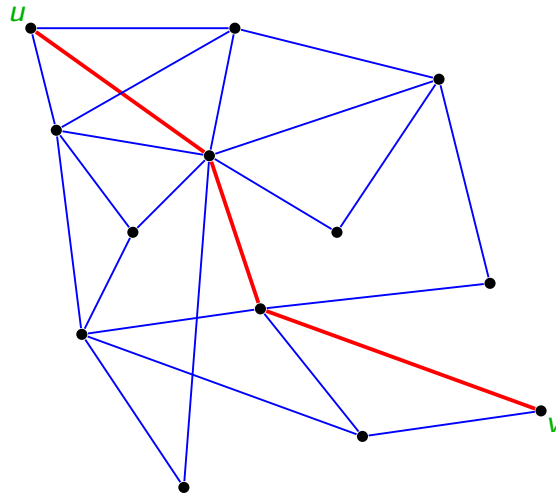
- Graphs in real life are sparse ( $|E| \ll |V|^2$ ).
- Most algorithms require processing neighbours of each vertex.

But, there are a few **exceptions**.

## Graph Traversal

# Graph Traversal

**Definition:** A vertex  $v$  is said to be **reachable** from  $u$  if there is a **path** from  $u$  to  $v$ .



**Graph traversal from vertex  $u$ :** Visit all vertices which are reachable from  $u$ .

## Non-triviality of Graph Traversal

- **Avoiding Loops:** How to avoid visiting a vertex multiple times?

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**Ans:** Keeping track of vertices already visited.

- **Finite number of steps:** The traversal **must stop** in finite number of steps.
- **Completeness:** We must visit **all** vertices reachable from the start vertex  $u$ .

## Some Interesting Graph Algorithmic Problems

- Are two vertices  $u$  and  $v$  connected?
- Find all **connected components** in a graph.
- Is there is a **cycle** in a graph?
- Compute a **path of shortest length** between two vertices?
- Is there is a **cycle passing through all vertices**?

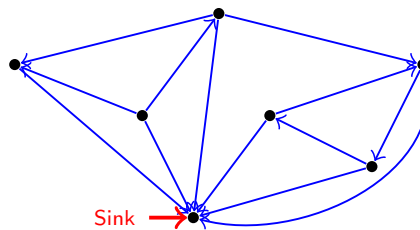
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- Is there is a **cycle passing through all vertices**?
  - **Hamiltonian cycle problem.**

# An Interesting Graph Problem: Finding a Sink

**Definition:** A vertex  $s$  in a given directed graph is said to be a **universal sink** if

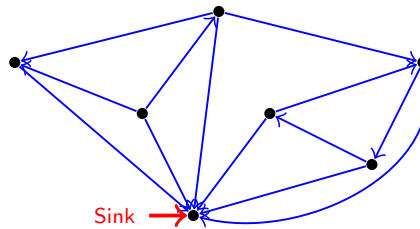
- there is no edge **emanating from** (leaving)  $s$  and
- **every other vertex** has an edge **into**  $s$ .



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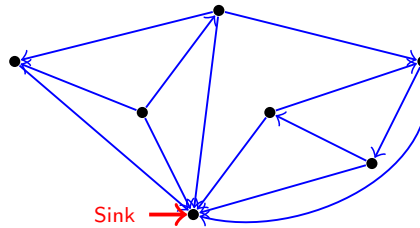


How many sinks can there be in a graph  $G$ ?

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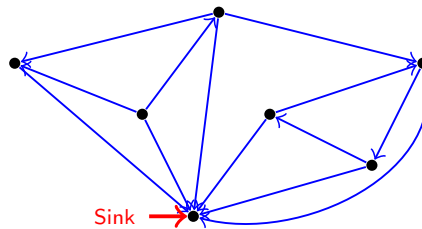
- there is no edge emanating from (leaving)  $s$  and
- every other vertex has an edge into  $s$ .



How many sinks can there be in a graph  $G$ ? At most 1.

## An Interesting Graph Problem: Finding a Sink

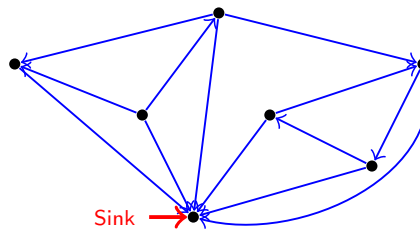
**Problem:** Given a directed graph  $G = (V, E)$  in an **adjacency matrix representation**, design an  $\mathcal{O}(|V|)$  time algorithm to determine if there is any **sink** in  $G$ .



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**Hint:** We can **only** look into  $\mathcal{O}(|V|)$  entries of the Adjacency matrix  $M$ .

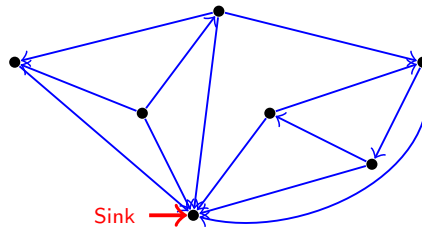




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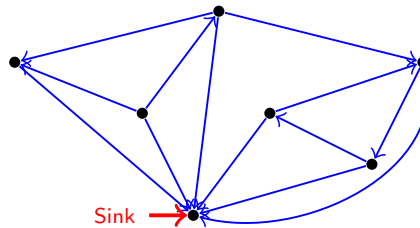


**Question:** Can we **efficiently** verify whether any given vertex  $i$  is a sink?

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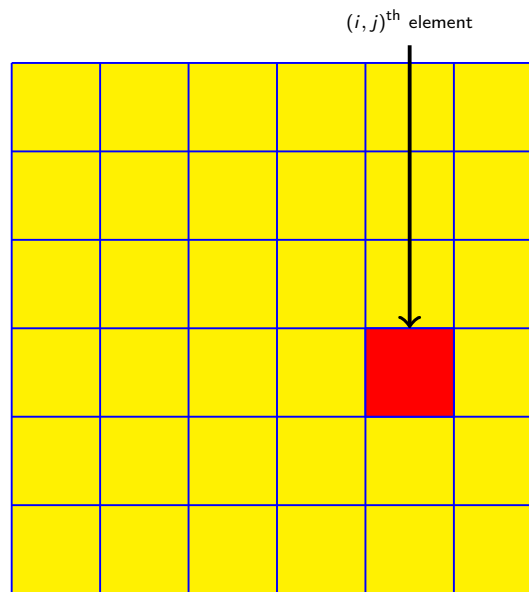
**Hint:** We can **only** look into  $\mathcal{O}(|V|)$  entries of the Adjacency matrix  $M$ .



**Question:** Can we **efficiently** verify whether any given vertex  $i$  is a sink?

**Answer:** Yes, in  $\mathcal{O}(|V|)$  time only. (Look at  $i^{\text{th}}$  row and  $j^{\text{th}}$  column of  $M$ .)

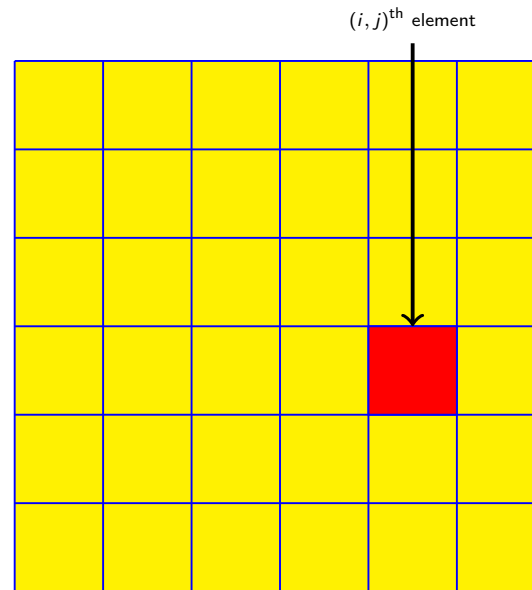
## Main Idea



**Adjacency Matrix  $M$**

**Question:** Can we eliminate  $|V| - 1$  non-sink vertices in  $\mathcal{O}(|V|)$  look-up into the Adjacency Matrix  $M$ ?

## Main Idea



**Adjacency Matrix  $M$**

**Question:** Can we eliminate  $|V| - 1$  non-sink vertices in  $\mathcal{O}(|V|)$  look-up into the Adjacency Matrix  $M$ ?

**Note:**

- If  $M[i, j] = 0$ , then  $j$  cannot be a sink.
- If  $M[i, j] = 1$ , then  $i$  cannot be a sink.

## Algorithm: UNIVERSALSINK( $M$ )

**I/P:** A  $|V| \times |V|$  adjacency-matrix of a graph  $G = (V, E)$ .

Begin

$i = 1$ ;

$j = 1$ ;

    while ( $i \leq |V|$  and  $j \leq |V|$ ) {

        if ( $M[i, j] == 1$ )

$i = i + 1$ ;

        else

$j = j + 1$ ;

    }

    if ( $i > |V|$ )

        print "there is no universal sink"

    else

        if (ISINK( $M, i$ ) == False)

            print "there is no universal sink"

        else

            print " $i$  is the universal sink"

End

## UNIVERSALSINK( $M$ ): Correctness and Complexity

### Note:

- Loop terminates when either  $i > |V|$  or  $j > |V|$ .
- Upon termination, only  $i$  could possibly be a sink.
  - If  $i > |V|$ : There is **no sink**.
  - If  $i \leq |V|$ : Then  $j > |V|$ . Note that,
    - Vertices  $k$  where  $1 \leq k < i$  **cannot be sinks**.
    - Vertices  $k$  where  $i < k \leq |V|$  **cannot be sinks**.
- Check whether  $i$  is a sink or not.
  - Out Degree is 0?  $i^{\text{th}}$  row must be an **all zero row**.
  - In Degree is  $|V| - 1$ ?  $i^{\text{th}}$  column must contain **all 1's except for the  $(i, i)^{\text{th}}$  entry**, which must be zero by the first condition.

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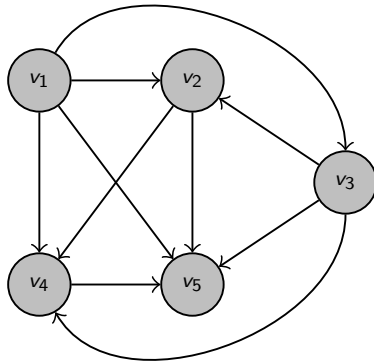
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### Worst-case Complexity: $\mathcal{O}(|V|)$

- At most  $2|V|$  for the while loop.
- $2|V|$  for ISINK( $M, i$ ).

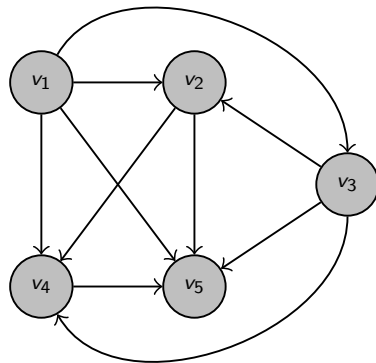
## UNIVERSALSINK( $M$ ): Example



$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	1	1	1	1	$v_1$
0	0	0	1	1	$v_2$
0	1	0	1	1	$v_3$
0	0	0	0	1	$v_4$
0	0	0	0	0	$v_5$

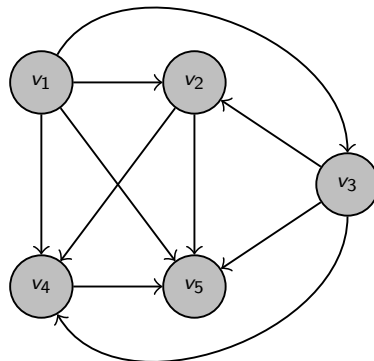


# UNIVERSALSINK( $M$ ): Example



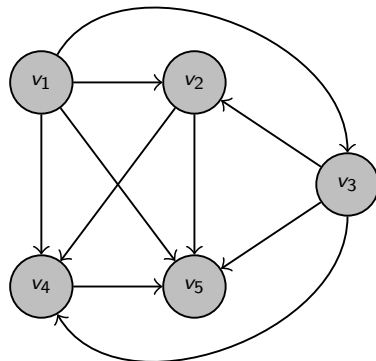
$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	→ 1	1	1	1	$v_1$
0	0	0	1	1	$v_2$
0	1	0	1	1	$v_3$
0	0	0	0	1	$v_4$
0	0	0	0	0	$v_5$

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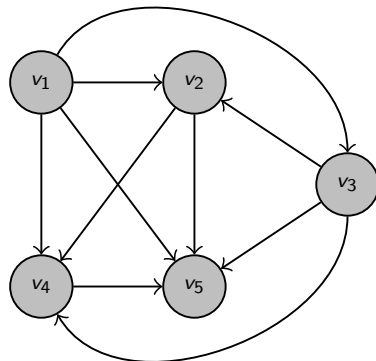
$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	$\begin{matrix} \rightarrow 1 \\ \downarrow 0 \end{matrix}$	1	1	1	$v_1$
0	0	0	1	1	$v_2$
0	1	0	1	1	$v_3$
0	0	0	0	1	$v_4$
0	0	0	0	0	$v_5$

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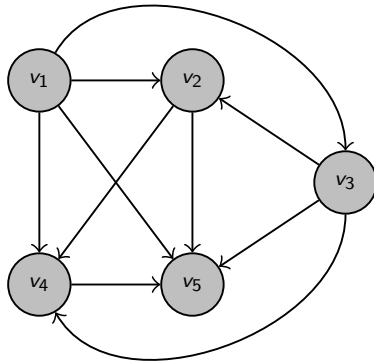
$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	$\rightarrow 1$	1	1	1	$v_1$
	$\downarrow$				
0	0	$\rightarrow 0$	1	1	$v_2$
0	1	0	1	1	$v_3$
0	0	0	0	1	$v_4$
0	0	0	0	0	$v_5$

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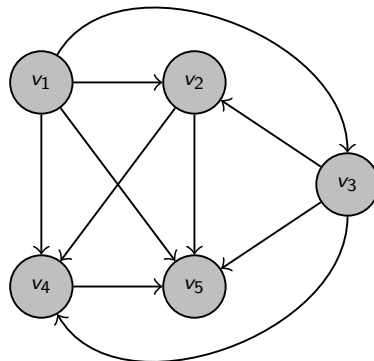
$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	$\rightarrow 1$	1	1	1	$v_1$
	$\downarrow$				
0	0	$\rightarrow 0$	$\rightarrow 1$	1	$v_2$
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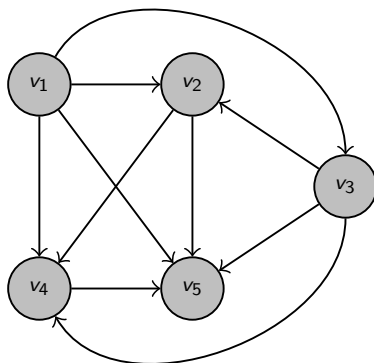
$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	→ 1	1	1	1	$v_1$
	↓				
0	0	→ 0	→ 1	1	$v_2$
			↓		
0	1	0	1	1	$v_3$
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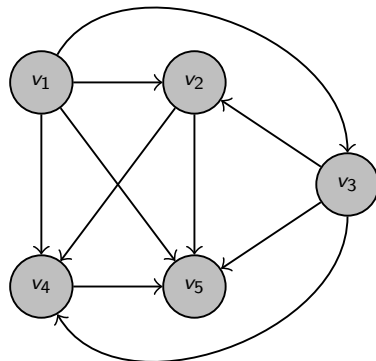
$v_1$	$v_2$	$v_3$	$v_4$	$v_5$	
0	→ 1	1	1	1	$v_1$
	↓				
0	0	→ 0	→ 1	1	$v_2$
			↓		
0	1	0	1	1	$v_3$
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	↓				
0	0	→ 0	→ 1	1	$v_2$
			↓		
0	1	0	1	1	$v_3$
			↓		
0	0	0	0	→ 1	$v_4$
0	0	0	0	0	$v_5$

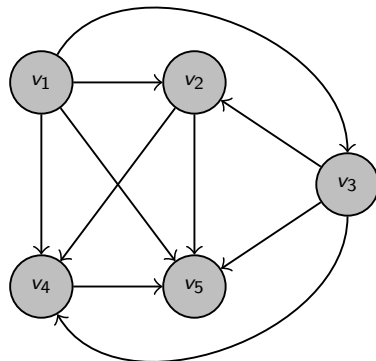
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	↓				
0	0	→ 0	→ 1	1	$v_2$
			↓		
0	1	0	1	1	$v_3$
			↓		
0	0	0	0	→ 1	$v_4$
				↓	
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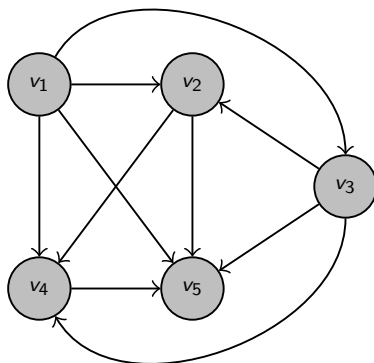


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	↓				
0	0	→ 0	→ 1	1	$v_2$
			↓		
0	1	0	1	1	$v_3$
			↓		
0	0	0	0	→ 1	$v_4$
				↓	
0	0	0	0	0	$v_5$

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	↓			↓	
0	0	→ 0	→ 1	1	$v_2$
			↓		
0	1	0	1	1	$v_3$
			↓		
0	0	0	0	→ 1	$v_4$
				↓	
0	0	0	0	0	$v_5$

Thank You for your kind attention!

## Books and Other Materials Consulted

- ① *Introduction to Algorithms* by [Thomas H Cormen](#), [Charles E Leiserson](#), [Ronald L Rivest](#), [Clifford Stein](#).
- ② Graph Theory part taken from Discrete Mathematics Lecture Notes (M. Tech (CS), Monsoon Semester, 2007) taught by [Prof. Palash Sarkar](#) (ASU, ISI Kolkata).
- ③ Taken from [Prof. Surendar Baswana](#) (CSE, IIT Kanpur) [lecture slides](#).

Questions!!