# Structured Sparsification with Joint Optimization of Group Convolution and Channel Shuffle

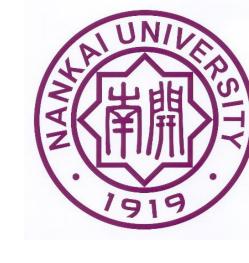
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arXiv: <a href="https://arxiv.org/abs/2002.08127">https://arxiv.org/abs/2002.08127</a>

Github: <a href="https://github.com/Sakurao3/StrucSpars">https://github.com/Sakurao3/StrucSpars</a>

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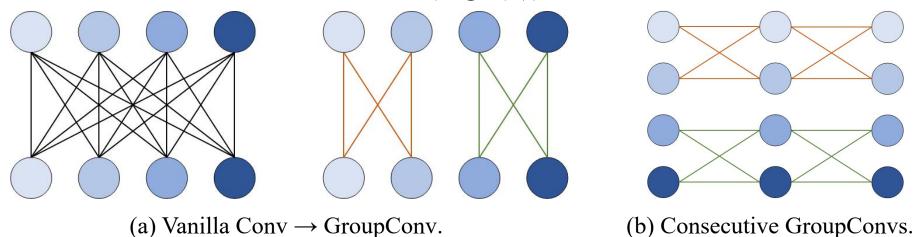




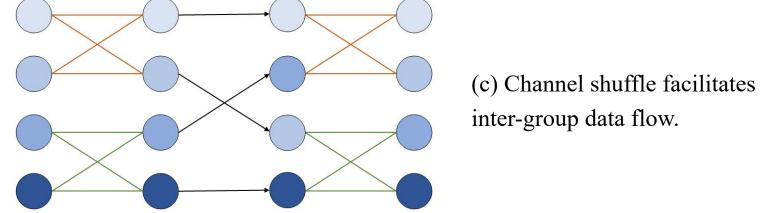
# –Background -

### Group convolution (GroupConv) for model compression.

- GroupConv has less parameters and lower complexity (Fig. (a));
- ► However, if multiple GroupConvs are stacked sequentially, the inter-group communication will be eliminated (Fig. (b));

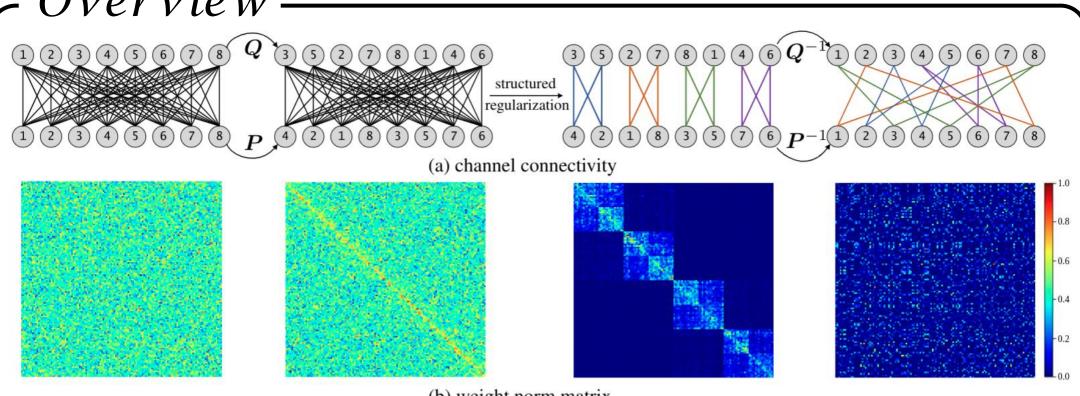


ShuffleNet introduces a *channel shuffle* operation to re-arrange channels for each group, but the channel permutation still follows a pre-defined scheme (Fig. (c));



This work proposes a *learnable channel shuffle* mechanism which unifies the norm-based criteria and the learning of channel permutation.

# Overview



- (b) weight norm matrix
- Learn the permutation matrices (P, Q) and use them to perform channel shuffle;
- b) Structurally regularize the convolutional weights to induce a group structure;
- Remove the sparsified weights and form the GroupConvs;
- d) Shuffle back to the original ordering and obtain a *structurally sparse* representation of the convolutional weights.

## Chanllenges.

- ① Under what criteria to learn the channel shuffle (⇒ linear programming);
- ② How to induce a group structure on the convolutional weights (⇒ structured regularization).

## –Learning of Connectivity–

#### Observations.

- $\triangleright$  Weight norm matrix of GroupConv  $\rightarrow$  *block-diagonal* matrix;
- $\triangleright$  Channel shuffle  $\rightarrow row/column\ permutation\ of\ weight\ norm\ matrix.$

#### Formulation.

> Since weight norm matrix cannot be permuted to be an exact block-diagonal one, the aim of channel shuffle is to make it "as block-diagonal as possible":

$$\min_{\boldsymbol{P},\boldsymbol{Q}} \boldsymbol{P} \boldsymbol{S} \boldsymbol{Q} \otimes \boldsymbol{R}$$
s.t.  $\boldsymbol{P} \in \mathcal{P}^{\mathcal{C}_{\text{out}}}$  and  $\boldsymbol{Q} \in \mathcal{P}^{\mathcal{C}_{\text{in}}}$ , (1)

where **S** is the weight norm matrix, i.e.,  $S_{j,i} = \| \boldsymbol{W}_{j,i,:} \|$ , **R** is a cost matrix,  $\mathcal{P}^N$ denotes the set of  $N \times N$  permutation matrices, and  $\otimes$  denotes the operator of element-wise multiplication and summation over all entries.

#### Solution.

- ➤ Since problem (1) is NP-hard, we adopt two techniques to make it solvable:
  - a) the set  $\mathcal{P}^N$  of permutation matrices is relaxed to its convex hull --- the set of doubly-stochastic matrices, i.e., the Birkhoff polytope:

$$\mathcal{B}^N = \{ \boldsymbol{X} \in \mathbb{R}_+^{N \times N} : \boldsymbol{X} \, \boldsymbol{1}_N = \boldsymbol{1}_N, \, \boldsymbol{X}^T \boldsymbol{1}_N = \boldsymbol{1}_N \};$$

- b) the variables P and Q in (1) are updated alternatively, namely, the coordinate descent algorithm is used;
- $\triangleright$  With the two tricks, problem (1) is adapted as (when updating P):

$$\min_{\mathbf{P}} \mathbf{P} \otimes \mathbf{R} \mathbf{Q}^T \mathbf{S}^T$$
s. t.  $\mathbf{P} \in \mathcal{B}^{C_{\text{out}}}$ ; (2)

 $\triangleright$  In (2), the objective function is linear in P, and  $\mathcal{B}^N$  is a *simplex*, so (2) is essentially a linear program, which can be solved by the network simplex method.

#### Discussion.

- > By linear programming theory and Birkhoff's theorem, at least one solution of (2) is exactly a permutation matrix;
- $\triangleright$  Therefore, feasible region  $\mathcal{B}^N$  is naturally reduced to  $\mathcal{P}^N$ .

### –Structured Regularization-

Despite channel shuffle, the group structure cannot be formed spontaneously.

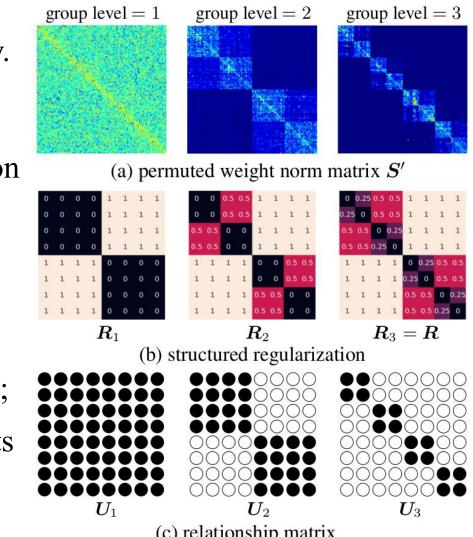
#### Structured Regularization.

 $\triangleright$  We impose structured  $L_1$  regularization on the permuted weight norm matrix:

$$\mathcal{L}_{\mathrm{reg}} = S' \otimes R_g$$
,

where S' = PSQ is the permuted weight norm matrix (Fig. (a)),  $R_g$  denotes the structured regularization matrix (Fig. (b));

Refer to our paper for details of  $\mathbf{R}_q$  and its relationship to the cost matrix  $\mathbf{R}$ .



### **Grouping Criteria.**

> The grouping criteria is as follows:

$$g = \max \{ g : S' \otimes U_g \ge p S' \otimes U_1, g = 1, 2, \cdots \},$$

where  $U_g$  is the relationship matrix (Fig. (c)).

### Future Perspective

Data-Driven Structured Sparsification.

Can the weight norm fully represent weight importance to accuracy? How about making the sparsification process be guided by the data loss? We suggest optimization-based meta-learning techniques.

> Progressive Sparsification.

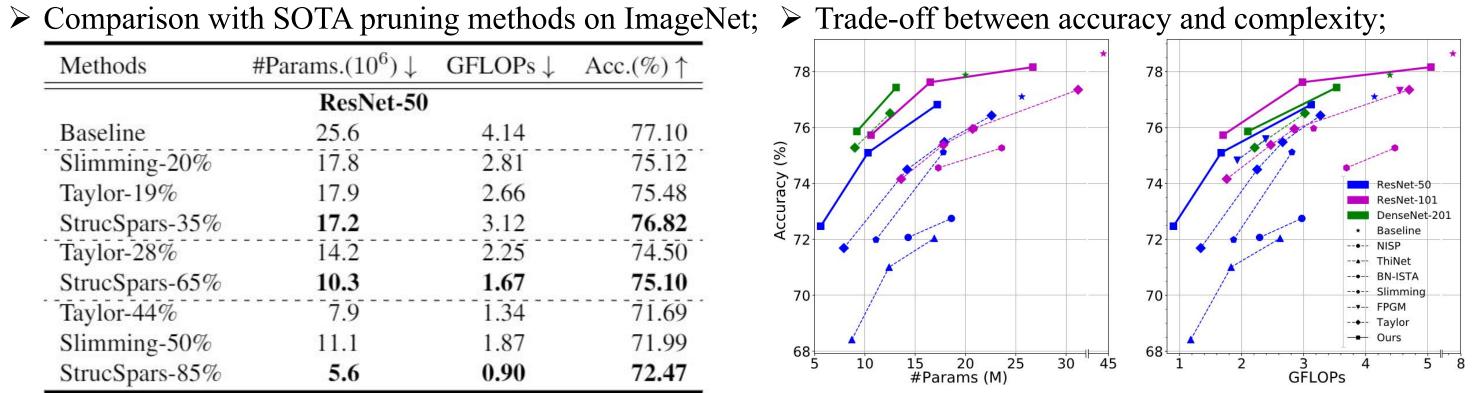
Spasified weights are removed progressively during training, leading to finetune-free training pipeline.

**Combination with Filter Pruning.** 

Filter pruning is beneficial within a strict memory budget. In order to jointly prune filters and learn a group structure, we suggest group sparsity constraints.

### Experiments

Methods	#Params. $(10^6)\downarrow$	$GFLOPs \downarrow$	Acc.(%)↑
	ResNet-50		
Baseline	25.6	4.14	77.10
Slimming-20%	17.8	2.81	75.12
Taylor-19%	17.9	2.66	75.48
StrucSpars-35%	17.2	3.12	76.82
Taylor-28%	14.2	2.25	74.50
StrucSpars-65%	10.3	1.67	75.10
Taylor-44%	7.9	1.34	71.69
Slimming-50%	11.1	1.87	71.99
StrucSpars-85%	5.6	0.90	72.47



> Impact of channel shuffle mechanism.

Config.	<b>ResNet-50</b> -65%		<b>ResNet-101</b> -65%	
Acc.	Top-1	Top-5	Top-1	Top-5
FINETUNE	75.10	92.52	77.62	93.72
FROMSCRATCH	75.02	92.46	77.14	93.53
SHUFFLENET	74.97	92.41	76.91	93.38
RANDOM	69.45	89.45	73.16	91.44
NoShuffle	73.30	91.39	75.31	92.64