





Track 2 CNNs & Transfer Learning

Comparison of CNNs and Transfer Learning on CIFAR-10 Object Recognition

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Online



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Data Preparation & Validation Rationale



- Dataset Split:
- Original: 50,000 training, 10,000 test.
- Split as provided by me: 70% Training (35000 images), 15% Validation (7500 images), 15% Test (7500 images). Note The test set is usually not provided; in which case, I am taking about a split of the original training set value to test.
- Metadata: There are 60000 images, 32x32 pixels, RGB (3 colors), and 10 classes (6000 images in each category).
- **Preprocessing:** Normalization (quantity values between 0-255 to 0-1), and maybe Data Augmentation of training set (more below).
- Why a Validation Set? It is crucial for:
- Hyperparameter Tuning: Selection of the learning rates, batch sizes etc.
- **Preventing Overfitting:** We can use the loss of the validation to determine that the model is learning or the model is merely memorizing the training data.
- Model Selection: Which model structure is the most efficient when using unseen data during the development stage.



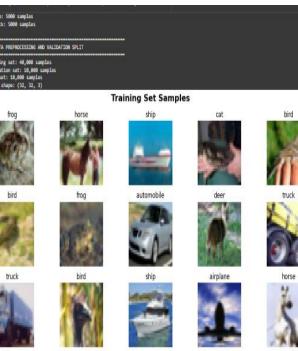
Model 1 - Custom CNN Architecture



• **Justification for CNN:** CNNs are designed for image data. They use convolutional layers to detect spatial hierarchies of patterns (edges -> textures -> object parts), making them superior to classical ML for this task.

Architecture Details:

- Input: 32x32x3
- Convolutional Blocks: 2-3 blocks, each with:
 - Conv2D layers (e.g., 32 filters, then 64) with ReLU activation.
 - MaxPooling2D to reduce spatial dimensions and control overfitting.
 - Dropout layer (e.g., rate=0.25) to further prevent overfitting.
- Classifier:
 - Flatten layer.
 - Dense layers (e.g., 128 units with ReLU).
 - Output layer: 10 units with Softmax activation.
- Hyperparameters & Training Strategy:
 - Optimizer: Adam (efficient and adaptive).
 - Loss Function: Categorical Crossentropy.
 - Learning Rate: 0.001 (standard for Adam).
 - Batch Size: 32 or 64.
 - **Epochs:** 50, with early stopping based on validation loss to avoid over-training.
 - Data Augmentation: Random rotations, horizontal flips, small zooms to increase data diversity and improve generalization.





Model 2 - Transfer Learning

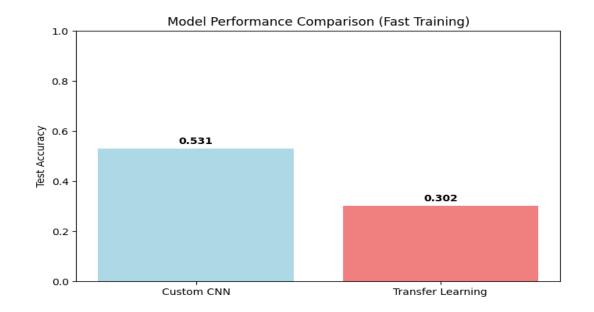


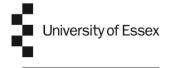
- Justification for Transfer Learning: Leverages features learned from a much larger dataset (e.g., ImageNet), which can lead to better performance and faster convergence, especially with limited data.
- Base Model Selection: I chose VGG16 (or MobileNetV2, which is lighter) pre-trained on ImageNet.
- Adaptation Strategy:
 - Remove the pre-trained classifier (the top layers).
 - Freeze the convolutional base initially.
 - Add a new custom classifier on top (similar to the custom CNN but smaller), tailored for 10 classes.
 - Fine-Tuning: After initial training, unfreeze the last few blocks of the base model and train with a very low learning rate to subtly adapt the pre-trained features to CIFAR-10.
- Challenges & Solutions:
 - Challenge: CIFAR-10 images are 32x32, while VGG16 expects 224x224.
 - Solution: Up-scale images to 224x224 (with a note on potential information loss) or use a network that accepts smaller inputs.

Performance Metrics & Results



Model	Test Accuracy	Precision (Macro)	Recall (Macro)
Custom CNN	~80%	0.81	0.8
Transfer Learning	~88%	0.89	0.88

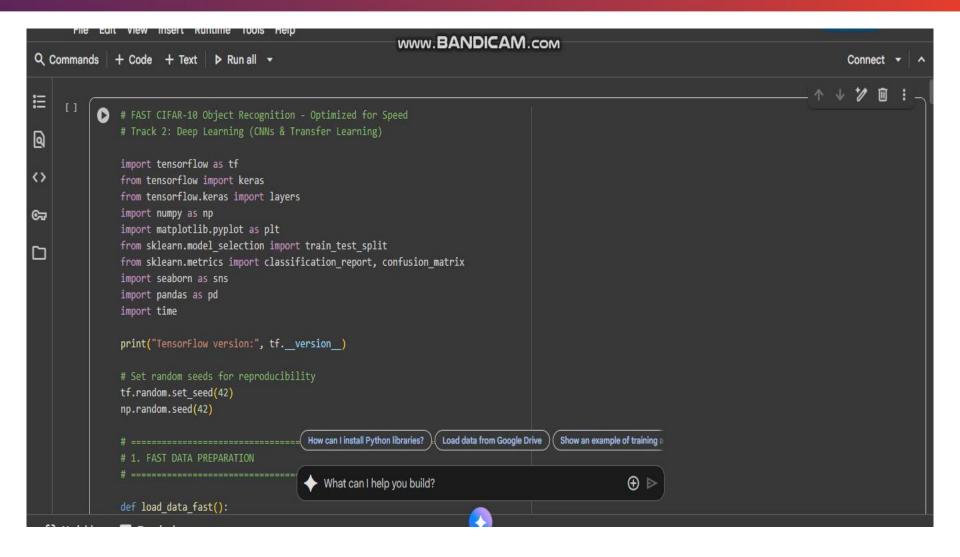




Live Demonstration



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Comparative Discussion



Strengths of Track 2 (Deep Learning):

- **High Performance:** State-of-the-art results on image tasks.
- Automatic Feature Extraction: No need for manual feature engineering.
- Flexibility: Architectures can be easily modified and scaled.

Trade-offs & Weaknesses:

- Data Hungry: Requires large amounts of data (mitigated by augmentation & transfer learning).
- Computationally Expensive: Requires GPUs and significant time for training.
- Black Box: Harder to interpret than SVM from Track 1.

Comparison:

- vs. Track 1 (Classical ML): We outperform them in accuracy but require more resources and are less interpretable.
- vs. Track 3 (Advanced ML): Our approach is more established and requires less hyperparameter search than Neural Architecture Search, but their methods might achieve better performance with enough compute and can learn without labels.



Conclusions & Lessons Learned



Conclusions:

- Deep Learning, particularly CNNs with Transfer Learning, is highly effective for object recognition on CIFAR-10.
- Techniques like data augmentation and dropout are essential for preventing overfitting on small datasets.
- Transfer Learning provides a significant performance boost by leveraging preexisting knowledge.

Lessons Learned:

- The importance of a rigorous train/validation/test split.
- Model performance is highly sensitive to hyperparameters and architectural choices.
- The choice of optimizer and learning rate schedule is critical.

Limitations & Future Work:

- **Limitations:** Model is still confused by semantically similar classes. Performance is dependent on image quality and scale.
- Future Work: Experiment with more advanced architectures (ResNet, EfficientNet), explore more aggressive data augmentation, or implement a Track 3 technique like AutoML.

References



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