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# Notes on Set Theory

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January 3, 2020

Contents

## 1 Ordinal

## 1.1 Linear and partial ordering

**Definition 1.1** A binary relation < on a set P is a partial ordering of

P if:

1.  $p \not< p$  for any  $p \in P$ 

2. if p < q and q < r then p < r

(P, <) is called a **partial ordered set**. A partial ordering < of P is

a linear ordering if moreover

3. p < q or q < p or p = q for all  $p, q \in P$ 

If (P,<) and (Q,<) are poset and  $f:P\to Q$ , then f is **order-**

**preserving** if x < y implies f(x) < f(y). If P and Q are linearly ordered,

then f is also called **increasing** 

#### 1.2 Well-Ordering

**Definition 1.2** () A linear ordering < of a set P is a well-ordering if

every nonempty subset of P has a least element

**Lemma 1.3 ()** If (W,<) is a well-ordering set and  $f:W\to W$  is an

increasing function, then  $f(x) \ge x$  for each  $x \in W$ 

Assume that the set  $X = \{x \in W \mid f(x) < x\}$  is nonempty and let z be the

least element of X. Hence f(f(x)) < f(x) and  $f(x) \in X$ , a contradiction.

Corollary 1.4 () The only automorphism of a well-ordered set is the iden-

tity

Corollary 1.5 () If two well-ordered sets  $W_1, W_2$  are isomorphic, then the

 $isomorphism\ of\ W_1\ onto\ W_2\ is\ unique$ 

If W is a well-ordered set and  $u \in W$ , then  $\{x \in W : x < u\}$  is an **initial** 

 $\mathbf{segment} \,\, \mathrm{of} \,\, W$ 

Lemma 1.6 () No well-ordered set is isomorphic to an initial segment of

itself

If  $ran(f) = \{x : x < u\}$ , then f(u) < u, contrary to lemma ??

**Theorem 1.7** () If  $W_1$  and  $W_2$  are well-ordered sets, then exactly one of

the following three cases holds:

1. 
$$W_1 \cong W_2$$

- 2.  $W_1$  is isomorphic to an initial segment of  $W_2$
- 3.  $W_2$  is isomorphic to an initial segment of  $W_1$

For  $u \in W_i$ , (i = 1, 2), let  $W_i(u)$  denote the initial segment of  $W_i$  given by

u. Let

$$f = \{(x, y) \in W_1 \times W_2 \mid W_1(x) \cong W_2(y)\}\$$

If  $W_1(x)\cong W_w(y)$  and  $W_1(x)\cong W_2(y')$ , then  $W_2(y)\cong W_1(y')$ . Ac-

cording to lemma ??, y = y'. Hence it's easy to see that f is a one-to-one

function.

If h is an isomorphism between  $W_1(x)$  and  $W_2(y)$  and x' < x, then

 $W_1(x') \cong W_2(h(x'))$ . It follows that f is order-preserving.

If  $dom(f) = W_1$  and  $ran(f) = W_2$ , then case 1 holds.

If  $y_1 < y_2$  and  $y_2 \in ran(f)$ , then  $y_1 \in ran(f)$ . If there is some  $y < y_2$ 

and  $y \not\in \operatorname{ran}(f)$ . Consider the least element y' of  $\{y \in W_2 \mid y < y_2 \land y \not\in A_1\}$ 

ran(f)}. Let  $x' = \sup\{x \in W_1 \mid \exists y \in W_2(W_1(x) \cong W_2(y) \land y < y')\}$ , then

 $W_1(x') \cong W_2(y')$ , a contradiction.

If  $ran(f) \neg W_2$  and  $y_0$  is the least element of  $W_2 - ran(f)$ . We have

 $ran(f) = W_2(x_0)$ . Necessarily,  $dom(f) = W_1$ , for otherwise we could have

 $(x_0, y_0) \in f$  where  $x_0$  =least element of  $W_1 - \text{dom}(f)$ . Thus case 2 holds.

Similarly, case 3 holds.

If  $W_1 \cong W_2$ , we say that they have the same **order-type** 

#### 1.3 Ordinal Numbers

The idea is to define ordinal numbers so that

$$\alpha < \beta \Leftrightarrow \alpha \in \beta \land \alpha = \{\beta : \beta < \alpha\}$$

**Definition 1.8** () A set T is transitive if every element of T is a subset

of T

Definition 1.9 () A set is an ordinal number (an ordinal) if it's tran-

sitive and well-ordered by  $\in$ 

The class of all ordinals is denoted by Ord

We define

$$\alpha < \beta \Leftrightarrow \alpha \in \beta$$

**Lemma 1.10 ()** 1.  $0 = \emptyset$  is an ordinal

- 2. If  $\alpha$  is an ordinal and  $\beta \in \alpha$ , then  $\beta$  is an ordinal
- 3. If  $\alpha \neq \beta$  are ordinals and  $\alpha \subset \beta$ , then  $\alpha \in \beta$
- 4. If  $\alpha,\beta$  are ordinals, then either  $\alpha \subset \beta$  or  $\beta \subset \alpha$

- 1. definition
- 2. definition
- 3. If  $\alpha \subset \beta$ , let  $\gamma$  be the least element of the set  $\beta \alpha$ . Since  $\alpha$  is

transitive, it follows that  $\alpha$  is the initial segment of  $\beta$  given by  $\gamma$ .

Thus 
$$\alpha = \{ \xi \in \beta \mid \xi < \gamma \} = \gamma \in \beta$$

4. Clearly  $\alpha \cap \beta$  is an ordinal  $\gamma$ . We have  $\gamma = \alpha$  or  $\gamma = \beta$ , for otherwise

 $\gamma \in \alpha$  and  $\gamma \in \beta$  by 3. Then  $\gamma \in \gamma$  which contradicts the definition of

an ordinal

Using lemma ?? one gets the following facts about ordinal numbers

- 1. < is a linear ordering of the class Ord
- 2. For each  $\alpha$ ,  $\alpha = \{\beta : \beta < \alpha\}$
- 3. If C is a nonempty class of ordinals, then  $\bigcap C$  is an ordinal,  $\bigcap C \in C$

and 
$$\bigcap C = \inf C$$

4. If X is a nonempty set of ordinals, then  $\bigcup X$  is an ordinal and  $\bigcup X =$ 

$$\sup X$$

5. For every  $\alpha$ ,  $\alpha \cup \{\alpha\}$  is an ordinal and  $\alpha \cup \{\alpha\} = \inf\{\beta : \beta > \alpha\}$ 

We thus define  $\alpha + 1 = \alpha \cup \{\alpha\}$  (the **succesor** of  $\alpha$ )

Theorem 1.11 () Every well-ordered set is isomorphic to a unique ordinal

number

The uniqueness follows from lemma  $\ref{eq:condition}.$  Given a well-ordered set W, we find an isomorphic ordinal as follows: Define  $F(x) = \alpha$  if  $\alpha$  is isomorphic to the initial segment of W given by x. If such an  $\alpha$  exists, then it's unique. By the replacement axiom, F(W) is a set. For each  $x \in W$ , such an  $\alpha$  exists. Otherwise consider the least x such that  $\alpha$  doesn't exist. Let  $\alpha = \sup\{F(x') \mid x' \in W \land x' < x\}$  and  $F(x) = \alpha$ . If  $\gamma$  is the least  $\gamma \not\in F(W)$ ,

If  $\alpha = \beta + 1$ , then  $\alpha$  is a **succesor ordinal**. If  $\alpha$  is not a succesor ordinal then  $\alpha = \sup\{\beta : \beta < \alpha\} = \bigcup \alpha$  is called a **limit ordinal**. We also consider

then  $F(W) = \gamma$  and we have an isomorphism of W onto  $\gamma$ 

0 a limit ordinal and define  $\sup \emptyset = 0$ .

#### 1.4 Induction and Recursion

Theorem 1.12 (Transfinite Induction) Let C be a class of ordinals and

assume

1. 
$$0 \in C$$

2. if 
$$\alpha \in C$$
, then  $\alpha + 1 \in C$ 

3. if  $\alpha$  is a nonzero limit ordinal and  $\beta \in C$  for all  $\beta < \alpha$ , then  $\alpha \in C$ 

Then C is the class of all ordinals

Otherwise let  $\alpha$  be the least ordinal  $\alpha \not\in C$  and apply 1, 2 or 3

A function whose domain is the set  $\mathbb{N}$  is called an  $\{(infinite) \text{ sequence}\}$ 

(A **sequence** in X is a function  $f: \mathbb{N} \to X$ ). The standard notation for a

sequence is

$$\langle a_n : n < \omega \rangle$$

A finite sequence is a function s s.t.  $dom(s) = \{i : i < n\}$  for some  $n \in \mathbb{N}$ ;

then s is a sequence of length n

A transfinite sequence is a function whose domain is an ordinal

$$\langle a_{\xi} : \xi < \alpha \rangle$$

It is also called an  $\alpha$ -sequence of length  $\alpha$ . We also say that

a sequence  $\langle a_{\xi} : \xi < alpha \rangle$  is an **enumeration** of its range  $\{a_{\xi} : \xi < \alpha\}$ . If

s is a sequence of length  $\alpha$ , then  $s^{\hat{}}x$  or simply sx denotes the sequence of

length  $\alpha + 1$  that extends s and whose  $\alpha$ th term is x:

$$s^{\hat{}}x = sx = s \cap \{(\alpha, x)\}$$

Theorem 1.13 (Transfinite Recursion) Let G be a function, then ??

below defines a unique function F on Ord s.t.

$$F(\alpha) = G(F \upharpoonright \alpha)$$

for each  $\alpha$ 

In other words, if we let  $a_{\alpha} = F(\alpha)$ , then for each  $\alpha$ 

$$a_{\alpha} = G(\langle a_{\xi} : \xi < \alpha \rangle)$$

Corollary 1.14 () Let X be a set and  $\theta$  be an ordinal number. For every

function G on the set of all transfinite sequences in X of length  $< \theta$  s.t.

 $\operatorname{ran}(G) \subset X$  there exists a unique  $\theta$ -sequence in X s.t.  $a_{\alpha} = G(\langle a_{\xi} : \xi < \theta)$ 

for every  $\alpha < \theta$ 

Let

$$F(\alpha) = x \leftrightarrow \text{there is a sequence } \langle a_{\xi} : \xi < \alpha \rangle \text{ such that}$$
 (1)

1. 
$$(\forall \xi < \alpha) a_{\xi} = G(\langle a_n \eta : \eta < \xi \rangle)$$

2. 
$$x = G(\langle a_{\xi} : \xi < \alpha \rangle)$$

For every  $\alpha$ , if there is an  $\alpha$ -sequence that satisfying 1, then such a

sequence is unique. Thus  $F(\alpha)$  is determined uniquely by 2 and therefore

F is a function.

**Definition 1.15 ()** Let  $\alpha > 0$  be a limit ordinal and let  $\langle \gamma_{\xi} : \xi < \alpha \rangle$  be a

**nondecreasing** sequence of ordinals (i.e.,  $\xi < \eta$  implies  $\gamma_{\xi} \le \gamma_{e} ta$ ). We

define the limit of the sequence by

$$\lim_{\xi \to \alpha} \gamma_{\xi} = \sup \{ \gamma_{\xi} : \xi < \alpha \}$$

A sequence of ordinals  $\langle \gamma_{\alpha} : \alpha \in Ord \rangle$  is **normal** if it's increasing and

**continuous**, i.e., for every limit  $\alpha$ ,  $\gamma_{\alpha} = \lim_{\xi \to \alpha} \gamma_{\xi}$ 

#### 1.5 Ordinal Arithmetic

**Definition 1.16 (Addition)** For all ordinal numbers  $\alpha$ 

1. 
$$\alpha + 0 = \alpha$$

2. 
$$\alpha + (\beta + 1) = (\alpha + \beta) + 1$$
, for all  $\beta$ 

3. 
$$\alpha + \beta = \lim_{\xi \to \beta} (\alpha + \xi)$$
 for all limit  $\beta > 0$ 

**Definition 1.17** For all ordinal numbers  $\alpha$ 

1. 
$$\alpha \cdot 0 = 0$$

2. 
$$\alpha \cdot (\beta + 1) = (\alpha \cdot \beta) + \alpha$$
, for all  $\beta$ 

3. 
$$\alpha \cdot \beta = \lim_{\xi \to \beta} (\alpha \cdot \xi)$$
 for all limit  $\beta > 0$ 

**Definition 1.18 (Exponentiation)** For all ordinal numbers  $\alpha$ 

1. 
$$\alpha^0 = 1$$

2. 
$$\alpha^{\beta+1} = \alpha^{\beta} \cdot \alpha$$
, for all  $\beta$ 

3. 
$$\alpha^{\beta} = \lim_{\xi \to \beta} \alpha^{\xi}$$
 for all limit  $\beta > 0$ 

Lemma 1.19 () For all ordinals  $\alpha$ ,  $\beta$  and  $\gamma$ 

1. 
$$\alpha + (\beta + \gamma) = (\alpha + \beta) + \gamma$$

2. 
$$\alpha \cdot (\beta \cdot \gamma) = (\alpha \cdot \beta) \cdot \gamma$$

Neither + nor  $\cdot$  are commutative

$$1 + \omega = \omega \neq \omega + 1, \ 2 \cdot \omega = \omega \neq \omega \cdot 2$$

**Definition 1.20 ()** Let  $(A, <_A)$  and  $(B, <_B)$  be disjoint linearly ordered

sets. The sum of these linear orders is the set  $A \cup B$  with the ordering

defined as follows: x < y if and only if

1. 
$$x, y \in A$$
 and  $x <_A y$ 

2. 
$$x, y \in B$$
 and  $x <_B y$ 

3. 
$$x \in A \text{ and } y \in B$$

**Definition 1.21 ()** Let (A,<) and (B,<) be linearly ordered sets. The

**product** of these linear orders is the set  $A \times B$  with the ordering defined by

$$(a_1, b_1) < (a_2, b_2) \Leftrightarrow b_1 < b_2 \text{ or } (b_1 = b_2 \land a_1 < a_2)$$

**Lemma 1.22** () For all ordinals  $\alpha$  and  $\beta$ ,  $\alpha + \beta$  and  $\alpha \cdot \beta$  are respectively

isomorphic to the sum and to the product of  $\alpha$  and  $\beta$ 

Suppose  $(A, <_A) \cong \alpha$  and  $(B, <_B) \cong \beta$ .

1. if 
$$\beta = 0$$
, then  $B = \emptyset$ ,  $A \cup B = A$ 

2. if 
$$(A \cup B, <_{A \cup B}) \cong \alpha + \beta$$
, let  $B' = B \cup \{c\}$  s.t.  $\{c\} \cap A = \{c\} \cap B = \emptyset$ 

all for all  $b \in B$ , b < c. Hence

$$\alpha + (\beta + 1) = (\alpha + \beta) + 1 \cong (A \cup B) \cup \{c\} = A \cup B'$$

3. if  $\beta$  is a limit ordinal and for all  $\xi < \beta$  and  $B_{\xi} \cong \xi$ ,

$$(A \cup B_{\xi}, <_{A \cup B_{\xi}}) \cong \alpha + \xi,$$

$$A \cup B = A \cup \sup B_{\xi} = \sup(A \cup B_{\xi}) \cong \sup(\alpha + \xi) = \alpha + \beta$$

**Lemma 1.23 ()** 1. If 
$$\beta < \gamma$$
 then  $\alpha + \beta < \alpha + \gamma$ 

- 2. If  $\alpha < \beta$  then there exists a unique  $\delta$  s.t.  $\alpha + \delta = \beta$
- 3. If  $\beta < \gamma$  and  $\alpha > 0$ , then  $\alpha \cdot \beta < \alpha \cdot \gamma$
- 4. If  $\alpha>0$  and  $\gamma$  is arbitrary, then there exist a unique  $\beta$  and a unique

$$\rho < \alpha \text{ s.t. } \gamma = \alpha \cdot \beta + \rho$$

- 5. If  $\beta < \gamma$  and  $\alpha > 1$ , then  $\alpha^{\beta} < \alpha^{\gamma}$
- 2. Let  $\delta$  be the order-type of the set  $\{\xi:\alpha\leq \xi<\beta\}$
- 4. Let  $\beta$  be the greatest ordinal s.t.  $\alpha \cdot \beta \leq \gamma$

Theorem 1.24 (Cantor's Normal Form Theorem) Every ordinal  $\alpha >$ 

0 can be represented uniquely in the form

$$\alpha = \omega^{\beta_1} \cdot k_1 + \dots + \omega^{\beta_n} \cdot k_n$$

where  $n \geq 1$ ,  $\alpha \geq \beta_1 > \cdots > \beta_n$  and  $k_1, \ldots, k_n$  are nonzero natural numbers.

By induction on  $\alpha$ . For  $\alpha = 1$  we have  $1 = \omega^0 + 1$ ; for arbitrary  $\alpha > 0$ , let

 $\beta$  be the greatest ordinal s.t.  $\omega^{\beta} \leq \alpha$ . The uniqueness of the normal form

is proved by induction

#### 1.6 Well-Founded Relations

A binary relation E on a set P is **well-founded** if every nonempty  $X \subset P$ 

has an E-minimal element.

Given a well-founded relation E on a set P, we can define the **height** of

E and assign to each  $x \in P$  and ordinal number, the rank of x in E

**Theorem 1.25** () If E is a well-founded relation on P, then there exists a

unique function  $\rho$  from P into the ordinals s.t. for all  $x \in P$ 

$$\rho(x) = \sup\{\rho(y) + 1 : yEx\}$$

The range of  $\rho$  is an initial segment of the ordinals, thus an ordinal number.

This ordinal is called the  $\mathbf{height}$  of E

By induction, let

$$P_0 = \emptyset$$

$$P_{\alpha+1} = \{x \in P : \forall y (yEx \to y \in P_{\alpha})\} \cup P_{\alpha}$$

$$P_{\alpha} = \bigcup_{\xi < \alpha} P_{\xi}$$
 if  $\alpha$  is a limit ordinal

Let  $\theta$  be the least ordinal s.t.  $P_{\theta+1} = P_{\theta}$ . We claim that  $P_{\theta} = P$ 

#### 1.7 Exercise

**Exercise 1.7.1** Every normal sequence  $\langle \gamma_{\alpha} : \alpha \in Ord \rangle$  has arbitrarily large

*fixed points*, *i.e.*,  $\alpha$  *s.t.*  $\gamma_{\alpha} = \alpha$ 

From StackExchange.

A limit ordinal  $\gamma > 0$  is called **indecomposable** if there exist no  $\alpha < \gamma$ 

and  $\beta < \gamma$  s.t.  $\alpha + \beta = \gamma$ 

Exercise 1.7.2 A limit ordinal  $\gamma > 0$  is indecomposable if and only if  $\alpha +$ 

 $\gamma = \gamma$  for all  $\alpha < \gamma$  if and only if  $\gamma = \omega^{\alpha}$  for some  $\alpha$ 

1. (3) $\rightarrow$ (1). Assume  $\gamma_1, \gamma_2 < \gamma = \omega^{\alpha}$ . By Cantor's normal form theorem,

there exist  $\alpha'$  and k s.t.  $\gamma_1, \gamma_2 < \omega^{\alpha'} \cdot k$ 

2. (2) $\rightarrow$ (3). Assume that  $\gamma$  can't be written as  $\omega^{\alpha}$ . Then by Cantor's

theorem,  $\gamma = \omega^{\beta_1} \cdot k_1 + \cdots + \omega^{\beta_n} \cdot k_n$ . But then  $\omega^{\beta_1} < \gamma$  and  $\omega^{\beta_1} + \gamma > \gamma$ 

**Exercise 1.7.3** (Without the Axiom of Infinity). Let  $\omega = least \ limit \ \alpha \neq 0$ 

if it exists,  $\omega = Ord$  otherwise. Prove that the following statements are

equivalent

- 1. There exists an inductive set
- 2. There exists an infinite set
- 3.  $\omega$  is a set

## 2 Cardinal Numbers

## 2.1 Cardinality

Two sets X, Y have the same *cardinality* 

$$X = Y \tag{2}$$

if there exists a one-to-one mapping of X onto Y.

The relation ?? is an equivalence relation. We assume that we can assign

to each set X its cardinal number X so that two sets are assigned the same cardinal just in case they satisfy condition  $\ref{eq:cardinal}$ . Cardinal numbers can be defined either using the Axiom of Regularity (via equivalence classes) or using the Axiom of Choice

$$X \leq Y$$

if there exists a one-to-one mapping of X into Y.

**Theorem 2.1 (Cantor)** For every set X, X < P(X)

Let f be a function from X into P(X). The set

$$Y = \{x \in X : x \notin f(x)\}$$

is not in the range of f. Thus f is not a function of X onto P(X)

Theorem 2.2 (Cantor-Bernstein) If  $A \leq B$  and  $B \leq A$ , then A = B

If  $f_1:A\to B$  and  $f_2:B\to A$  are one-to-one, then if we let  $B'=f_2(B)$  and

 $A_1 = f_2(f_1(A))$ , we have  $A_1 \subset B' \subset A$  and  $A_1 = A$ . Thus we may assume

that  $A_1 \subset B \subset A$  and that f is a one-to-one function of A onto  $A_1$ ; we will

show that A = B

We define for all  $n \in \mathbb{N}$ 

$$A_0 = A, \quad A_{n+1} = f(A_n)$$

$$B_0 = B, \quad B_{n+1} = f(B_n)$$

Let g be the function on A defined as follows

$$g(x) = \begin{cases} f(x) & \text{if } x \in A_n - B_n \text{ for some } n \\ x & \text{otherwise} \end{cases}$$

Then g is a one-to-one mapping of A onto B

StackExchange

The arithmetic operations on cardinals are defined as follows:

$$\kappa + \lambda = A \cup B$$
 where  $A = \kappa, B = \lambda, A, B$  are disjoint

$$\kappa \cdot \lambda = A \times B$$
 where  $A = \kappa, B = \lambda$ 

$$\kappa^{\lambda} = A^{B}$$
 where  $A = \kappa, B = \lambda$ 

**Lemma 2.3** () If 
$$A = \kappa$$
, then  $P(A) = 2^{\kappa}$ 

For every  $X \subset A$ , let  $\chi_X$  be the function

$$\chi_X(x) = \begin{cases} 1 & \text{if } x \in X \\ 0 & \text{if } x \in A - X \end{cases}$$

The mapping  $f:X\to\chi_X$  is a one-to-one correspondence between P(A)

and  $\{0,1\}^A$ 

Facts about cardinal arithmetic

1. + and  $\cdot$  are associative, commutative and distributive

$$2. \ (\kappa \cdot \lambda)^{\mu} = \kappa^{\mu} \cdot \lambda^{\mu}$$

3. 
$$(\kappa^{\lambda})^{\mu} == \kappa^{\lambda \cdot \mu}$$

4. 
$$\kappa^{\lambda+\mu} = \kappa^{\lambda} \cdot \kappa^{\mu}$$

5. If 
$$\kappa \leq \lambda$$
, then  $\kappa^{\mu} \leq \lambda^{\mu}$ 

6. If 
$$0 < \lambda \le \mu$$
, then  $\kappa^{\lambda} \le \kappa^{\mu}$ 

7. 
$$\kappa^0 = 1; 1^{\kappa} = 1; 0^{\kappa} = 0 \text{ if } \kappa > 0$$

## 2.2 Alephs

An ordinal  $\alpha$  is called *cardinal number* (a cardinal) if  $\alpha \neq \beta$  for all  $\beta < \alpha$ 

If W is a well-ordered set, then there exists an ordinal  $\alpha$  s.t.  $W = \alpha$ .

Thus we let

W =the least ordinal s.t.  $W = \alpha$ 

All infinite cardinals are limit ordinals. The infinite ordinal numbers

that are cardinals are called alephs

**Lemma 2.4** () 1. For every  $\alpha$  there is a cardinal number greater than

 $\alpha$ 

2. If X is a set of cardinals, then  $\sup X$  is a cardinal

For every  $\alpha$ , let  $\alpha^+$  be the least cardinal number greater than  $\alpha$ , the

cardinal successor of  $\alpha$ 

1. For any set X, let

h(X)= the least  $\alpha$  s.t. there is no one-to-one function of  $\alpha \to X$ 

There is only a set of possible well-orderings of subsets of X. Hence

there is only a set of ordinals for which a one-to-one function of  $\alpha$  into

X exists. Thus h(X) exists.

If  $\alpha$  is an ordinal, then  $\alpha < h(\alpha)$ 

2. Let  $\alpha = \sup X$ . If f is a one-to-one mapping of  $\alpha$  onto some  $\beta < \alpha$ , let

$$\kappa \in X$$
 s.t.  $\beta < \kappa \leq \alpha$ . Then  $\kappa = \{f(\xi) : \xi < \kappa\} \leq \beta$ , a contradiction

Using Lemma ?? we define the increasing enumeration of all alephs.

$$\aleph_0 = \omega_0 = \omega, \quad \aleph_{\alpha+1} = \omega_{\alpha+1} = \aleph_{\alpha}^+$$

$$\aleph_{\alpha} = \omega_{\alpha} = \sup\{\omega_{\beta} : \beta < \alpha\}$$
 if  $\alpha$  is a limit ordinal

Theorem 2.5 ()  $\aleph_{\alpha \cdot \aleph \alpha} = \aleph_{\alpha}$ 

### 2.3 The Canonical Well-Ordering of $\alpha \times \alpha$

We define

$$(\alpha, \beta) < (\gamma, \delta) \leftrightarrow \text{ either } \max\{\alpha, \beta\} < \max\{\gamma, \delta\},$$

or 
$$\max\{\alpha, \beta\} = \max\{\gamma, \delta\}$$
 and  $\alpha < \gamma$ ,

or 
$$\max\{\alpha, \beta\} = \max\{\gamma, \delta\}, \alpha = \gamma \text{ and } \beta < \delta$$

This relation is a linear ordering of the class Ord  $\times$  Ord. Moreover if  $X \subset$ 

Ord  $\times$  Ord is nonempty, then X has a least element. Also, for each  $\alpha, \alpha \times \alpha$ 

is the initial segment given by  $(0, \alpha)$ . If we let

$$\Gamma(\alpha,\beta)=$$
 the order-type of the set  $\{(\xi,\eta):(\xi,\eta)<(\alpha,\beta)\}$ 

then  $\Gamma$  is a one-to-one mapping of  $\operatorname{Ord}^2$  onto  $\operatorname{Ord}$  and

$$(\alpha,\beta)<(\gamma,\delta) \ \ \text{if and only if} \ \ \Gamma(\alpha,\beta)<\Gamma(\gamma,\delta)$$

Note that  $\Gamma(\omega, \omega) = \omega$  and since  $\gamma(\alpha) = \Gamma(\alpha, \alpha)$  is an increasing function of  $\alpha$ , we have  $\gamma(\alpha) \geq \alpha$ . However,  $\gamma(\alpha)$  is also continuous and so  $\Gamma(\alpha, \alpha) = \alpha$  for arbitrarily large  $\alpha$ 

Proof of Theorem ??. We shall show that  $\gamma(\omega_{\alpha}) = \omega_{\alpha}$ . This is true for  $\alpha = 0$ . Thus let  $\alpha$  be the least ordinal s.t.  $\gamma(\omega_{\alpha}) \neq \omega_{\alpha}$ . Let  $\beta, \gamma < \omega_{\alpha}$  be s.t.  $\Gamma(\beta, \gamma) = \omega_{\alpha}$ . Pick  $\delta < \omega_{\alpha}$  s.t.  $\delta > \beta$  and  $\delta > \gamma$ . Since  $\delta \times \delta$  is an initial segment of Ord × Ord in the canonical well-ordering and contains  $(\beta, \gamma)$ , we have  $\Gamma(\delta, \delta) \supset \omega_{\alpha}$  and so  $\delta \times \delta \geq \aleph_{\alpha}$ . However  $\delta \times \delta = \delta \cdot \delta$ , and by the

minimality of  $\alpha$ ,  $\delta \cdot \delta = \delta < \aleph_{\alpha}$ . A contradiction

As a corollary we have

$$\aleph_{\alpha} + \aleph_{\beta} = \aleph_{\alpha} \cdot \aleph_{\beta} = \max{\{\aleph_{\alpha}, \aleph_{\beta}\}}$$

### 2.4 Cofinality

Let  $\alpha > 0$  be a limit ordinal. We say that an increasing  $\beta$ -sequence  $\langle \alpha_{\xi} :$ 

 $\xi < \beta \rangle$ ,  $\beta$  a limit ordinal, is cofinal in  $\alpha$  if  $\lim_{\xi \to \beta} \alpha_{\xi} = \alpha$ .  $A \subset \alpha$  is cofinal

in  $\alpha$  if sup  $A = \alpha$ . If  $\alpha$  is an infinite limit ordinal, the *cofinality* of  $\alpha$  is

cf  $\alpha$  =the least limit ordinal  $\beta$  s.t. there is an increasing

$$\beta\text{-sequence }\langle\alpha_\xi:\xi<\beta\rangle\text{ with }\lim_{\xi\to\beta}\alpha_\xi=\alpha$$

Obviously cf  $\alpha$  is a limit ordinal and cf  $\alpha \leq \alpha$ . Examples: cf  $(\omega + \omega)$ 

$$\operatorname{cf} \aleph_{\omega} = \omega$$

**Lemma 2.6** () 
$$cf(cf \alpha) = cf \alpha$$

cf

If  $\langle \alpha_{\xi} : \xi < \beta \rangle$  is cofinal in  $\alpha$  and  $\langle \xi(\nu) : \nu < \gamma \rangle$  is cofinal in  $\beta$ , then

 $\langle \alpha_{\xi(\nu)} : \nu < \gamma \rangle$  is cofinal in  $\alpha$ 

**Lemma 2.7** () Let  $\alpha > 0$  be a limit ordinal

- 1. If  $A \subset \alpha$  and  $\sup A = \alpha$ , then the order-type of A is at least cf  $\alpha$
- 2. If  $\beta_0 \leq \beta_1 \leq \cdots \leq \beta_{\xi} \leq \ldots, \xi < \gamma$ , is a nondecreasing  $\gamma$ -sequence of

ordinals in  $\alpha$  and  $\lim_{\xi \to \gamma} \beta_{\xi} = \alpha$ , then  $cf \gamma = cf \alpha$ 

 $\operatorname{cf}$