

# Compiler

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## 1 Chap5 Bottom-up parsing

### 1.1 Overview of bottom-up parsing

- A bottom-up parser uses an **explicit stack** to perform a parse
- The parsing stack will contain both tokens and nonterminals

\$	inputstring \$
...	...
\$StartSymbol	\$accept

- **right-most** derivation – backward start with the tokens; end with the start symbol  $(1+2+(3+4))+5$   $(E+2+(3+4))+5$   $(S+2+(3+4))+5$   $(S+E+(3+4))+5$   $(S+(3+4))+5$   $(S+(E+4))+5$   $(S+(S+4))+5$   $(S+(S+E))+5$   $(S+(S))+5$   $(S+E)+5$   $(S)+5$   $E+5$   $S+5$   $S+E$   $S$
- **parsing actions:** a sequence of **shift** and **reduce** operations **parser state:** a stack of terminals and non-terminals **current derivation step** = always stack + input

derivation	step	stack	unconsumed input
$(1+2+(3+4))+5$			$(1+2+(3+4))+5$
	(		$1+2+(3+4))+5$
$(E+2+(3+4))+5$	(E		$+2+(3+4))+5$
$(S+2+(3+4))+5$	(S		$+2+(3+4))+5$
	(S+		$2+(3+4))+5$
	(S+2		$+(3+4))+5$
$(S+E+(3+4))+5$	(S+E		$+(3+4))+5$

- 1. **shift**: shift a terminal from the front of the input to the top of the stack
- 1. **reduce**: reduce a string at the top of the stack to a nonterminal A, given the BNF choice A

A bottom-up parser: **shift-reduce parser**

- One further feature of bottom-up parsers grammars are always augmented with a **new start symbol**. if S is the start symbol, a new start symbol S' is added to the grammar : S' S
- example  $S' \rightarrow S \ S \rightarrow (S)S$   
 $S' \Rightarrow S \Rightarrow (S)S \Rightarrow (S) \Rightarrow ()$

	Parsing stack	Input	Action
1	\$	( ) \$	Shift
2	\$ (	) \$	Reduce S ->
3	\$ (S	) \$	Shift
4	\$ (S )	\$	Reduce S ->
5	\$ (S ) S	\$	Reduce S -> (S) S
6	\$S	\$	Reduce S' -> S
7	\$S'	\$	Accept

- example  $E' \rightarrow E \ E \rightarrow E+n \mid n$   
 $E' \Rightarrow E \Rightarrow E+n \Rightarrow n+n$

	Parsing stack	Input	Action
1	\$	n+n\$	Shift
2	\$n	+n\$	Reduce E->n
3	\$E	+n\$	Shift
4	\$E+	n\$	Shift
5	\$E+n	\$	Reduce E->E+n
6	\$E	\$	Reduce E'->E
7	\$E'	\$	Accept

Right sentential form

- A **sentential** form is any string derivable from the start symbol. Note that this includes the forms with non-terminals at intermediate steps as well.
- A **right-sentential form** is a sentential form that occurs in a step of rightmost derivation (RMD).
- A **sentence** is a sentential form consisting only of terminals

E,E+,E+n are **viable prefixes** of the right sentential form E+n. The sequence of symbols on the parsing stack is called **viable prefix** of the right sentential form

## 1.2 Finite automata of LR(0) items and LR(0) parsing

- An **LR(0) item** of a context-free grammar: a production choice with a distinguished position in its right-hand side
- If  $A \rightarrow \dots \hat{u} \dots$ , then  $A \rightarrow \hat{u}$  is an LR(0) item
- Example  $S' \rightarrow S S \mid (S)S$   
 $S' \rightarrow \hat{u}S \mid S' \rightarrow S\hat{u} \mid S \rightarrow \hat{u}(S)S \mid (S)\hat{u}S \mid S \rightarrow (S)\hat{u}S \mid (S)S\hat{u}$

### 1.2.1 Finite automata of items

- The LR(0) items: as the state of a finite automata
- construct the DFA of sets of LR(0) using the subset construction from NFA

$$A \rightarrow \alpha \cdot X \eta \xrightarrow{X} A \rightarrow \alpha X \cdot \eta$$

- If X is a token or a nonterminal

- If X is a token, then this transition corresponds to a shift of X from the input to the top of the stack during a parse

$$A \rightarrow \alpha \cdot X \eta \xrightarrow{\epsilon} X \rightarrow$$

- if X is a nonterminal X will never appear as an input symbol
- The **start state** of the NFA the **initial state** of the parser: the stack is empty
- the solution is to augment the grammar by a single production  $S' \rightarrow S$
- $S' \rightarrow \hat{u}S$  the **start state** of the NFA

### 1.2.2 The LR(0) parsing algorithm

- the parsing stack to store: **symbols** and **state numbers**
- pushing the new **state number** onto the parsing stack after each push of a **symbol**
- Let s be the current state. Then actions are
  1. if state s contains any item of the form  $A \rightarrow \hat{u}X$  (X is a terminal). Then the action is to shift the current input token onto the stack
  2. If state s contains any **complete item** (an item of the form  $A \rightarrow \hat{u}$ ), then the action is to reduce by the rule  $A \rightarrow \hat{u}$ 
    - A **reduction** by the rule  $S' \rightarrow S$  where S' is the start state
    - **acceptance** if the input is empty
    - **Error** if the input is not empty
- A grammar is **LR(0)** grammar if the above rules are unambiguous
- A grammar is **LR(0)** iff
  - Each state is a shift state
  - A reduce state containing a single complete item