## A Course In Universal Algebra

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#### 1 Lattices

#### 1.1 Definitions of Lattices

**Definition 1.1.** A nonempty set L together with two binary operations  $\vee$  and  $\wedge$  (read "join" and "meet" respectively) on L is called a **lattice** if it satisfies the following identities

L1: (a)  $x \lor y \approx y \lor x$ (b)  $x \land y \approx y \land x$  (commutative laws) L2: (a)  $x \lor (y \lor z) \approx (x \lor y) \lor z$ (b)  $x \land (y \land z) \approx (x \land y) \land z$  (associate laws) L3: (a)  $x \lor x \approx x$ (b)  $x \land x \approx x$  (idempotent laws) L4: (a)  $x \approx x \lor (x \land y)$ (b)  $x \approx x \land (x \lor y)$  (absorption laws)

**Definition 1.2.** Let A be a subset of a poset P. An element p in P is an **upper bound** for A if  $a \le p$  for every a in A. An element p in P is the **least upper bound** of A (l.u.b. of A) or **supremum** of A (sup A.

For a, b in P we say b **covers** a, or a is **covered by** b if a < b and whenever  $a \le c \le b$  it follows that a = c or c = b. We use the notation  $a \prec b$  to denote a is covered by b.

**Definition 1.3.** A poset L is a lattice iff for every a,b in L both  $\sup\{a,b\}$  and  $\inf\{a,b\}$  exist

- 1. If L is a lattice by the first definition, then define  $\leq$  on L by  $a \leq b$  iff  $a = a \wedge b$
- 2. If *L* is a lattice by the second definition, then define  $\vee$  and  $\wedge$  by  $a \vee b = \sup\{a, b\}$  and  $a \wedge b = \inf\{a, b\}$

#### 1.2 Isomorphism Lattices, and Sublattices

**Definition 1.4.** Two lattices  $L_1$  and  $L_2$  are **isomorphic** if there is a bijection  $\alpha$  from  $L_1$  to  $L_2$  s.t. for every a,b in  $L_1$  the following two equation hold:  $\alpha(a \vee b) = \alpha(a) \vee \alpha(b)$  and  $\alpha(a \wedge b) = \alpha(a) \wedge \alpha(b)$ . Such an  $\alpha$  is called an **isomorphism** 

**Definition 1.5.** If  $P_1$  and  $P_2$  are two posets and  $\alpha$  is a map from  $P_1$  to  $P_2$ , then we say  $\alpha$  is **order-preserving** if  $\alpha(a) \leq \alpha(b)$  holds in  $P_2$  whenever  $a \leq b$  holds in  $P_1$ 

**Theorem 1.6.** Two lattices  $L_1$  and  $L_2$  are isomorphic iff there is a bijection  $\alpha$  from  $L_1$  to  $L_2$  s.t. both  $\alpha$  and  $\alpha^{-1}$  are order-preserving

**Definition 1.7.** If L is a lattice and  $L' \neq \emptyset$  is a subset of L s.t. for every pair of elements a,b in L' both  $a \vee b$  and  $a \wedge b$  are in L', where  $\wedge, \vee$  are the lattice operations of L, then we say that L' with the same operations is a **sublattice** of L

**Definition 1.8.** A lattice  $L_1$  can be **embedded** into a lattice  $L_2$  if there is a sublattice of  $L_2$  isomorphic to  $L_1$ ; in this case we also say that  $L_2$  **contains a copy of**  $L_1$  **as a sublattice** 

#### 1.3 Distributive and Modular Lattices

**Definition 1.9.** A **distributive lattice** is a lattice which satisfies either of the distributive laws,

D1: 
$$x \land (y \lor z) \approx (x \land y) \lor (x \land z)$$
  
D2:  $x \lor (y \land z) \approx (x \lor y) \land (x \lor z)$ 

**Theorem 1.10.** A lattice L satisfies D1 iff it satisfies D2

$$x \lor (y \land z) \approx (x \lor (x \land z)) \lor (y \land z)$$

$$\approx x \lor ((x \land z) \lor (y \land z))$$

$$\approx x \lor ((z \land x) \lor (z \land y))$$

$$\approx x \lor (z \land (x \lor y))$$

$$\approx x \lor ((x \lor y) \land z)$$

$$\approx (x \land (x \lor y)) \lor (x \lor y \land z)$$

$$\approx ((x \lor y) \land x) \lor ((x \lor y) \land)$$

$$\approx (x \lor y) \land (x \lor z)$$
(by L4(a))
$$\approx x \lor ((x \land x)) \lor (x \land y)$$

$$\approx (x \lor (x \land y)) \lor (x \lor y) \land (x \lor y) \land (x \lor y)$$

Actually every lattice satisfies both of the inequalities  $(x \land y) \lor (x \land z) \le x \land (y \lor z)$  and  $x \lor (y \land z) \le (x \lor y) \land (x \lor z)$ .

**Definition 1.11.** A **modular lattice** is any lattice which satisfies the **modular** law

M: 
$$x \le y \to x \lor (y \land z) \approx y \land (x \lor z)$$

Equivalent to the identity

$$(x \land y) \lor (y \land z) \approx y \land ((x \land y) \lor z)$$

Every lattice satisfies

$$x \leq y \to x \vee (y \wedge z) \leq y \wedge (x \vee z)$$

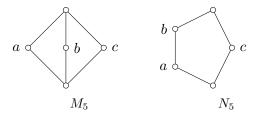


Figure 1

**Theorem 1.12.** *Every distributive lattice is a modular lattice* 

Neither  $M_5$  nor  $N_5$  is a distributive lattice in Figure 1

**Theorem 1.13** (Dedekind). L is a nonmodular lattice iff  $N_5$  can be embedded into L

*Proof.* If L doesn't satisfy the modular law. Then for some a,b,c in L we have  $a \leq b$  but  $a \vee (b \wedge c) < b \wedge (a \vee c)$ . Let  $a_1 = a \vee (b \wedge c)$  and  $b_1 = b \wedge (a \vee c)$ . Then

$$c \wedge b_1 = c \wedge (b \wedge (a \vee c)) = (c \wedge (a \vee c)) \wedge b = c \wedge b$$

and

$$c \vee a_1 = c \vee a$$

Now as  $c \wedge b \leq a_1 \leq b_1$ , we have  $c \wedge b \leq c \wedge a_1 \leq c \wedge b_1 = c \wedge b$ , hence  $c \wedge a_1 = c \wedge b$ . Likewise  $c \vee a = c \vee b_1$ 

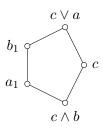


Figure 2

**Theorem 1.14** (Birkhoff). L is a nondistributive lattice iff  $M_5$ , or  $N_5$  can be embedded into L

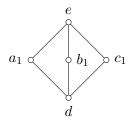


Figure 3

*Proof.* Let suppose that L is a nondistributive lattice and that L does not contain a copy of  $N_5$  as a sublattice. Thus L is modular by Theorem 1.13. Since the distributive laws do not hold in L, there must be elements a,b,c from L s.t.  $(a \wedge b) \vee (a \wedge c) < a \wedge (b \vee c)$ . Let us define

$$d = (a \land b) \lor (a \land c) \lor (b \land c)$$

$$e = (a \lor b) \land (a \lor c) \land (b \lor c)$$

$$a_1 = (a \land e) \lor d$$

$$b_1 = (b \land e) \lor d$$

$$c_1 = (c \land e) \lor d$$

Then  $d \leq a_1, b_1, c_1 \leq e$ . Now from

$$a \wedge e = a \wedge (b \vee c)$$

and

$$\begin{aligned} a \wedge d &= \underline{a} \wedge (\underline{(a \wedge b) \vee (a \wedge c)} \vee (b \wedge c)) \\ &= ((a \wedge b) \vee (a \wedge c)) \vee (a \wedge (b \wedge c)) \\ &= (a \wedge b) \vee (a \wedge c) \end{aligned} \text{ by M}$$

it follows that d < e

We now show that diagram in Figure 3 is a copy of  $M_5$  in L. To do this it suffices to show that  $a_1 \wedge b_1 = a_1 \wedge c_1 = b_1 \wedge c_1 = d$  and  $a_1 \vee b_1 = a_1 \vee c_1 = b_1 \vee c_1 = e$ .

$$a_{1} \wedge b_{1} = ((a \wedge e) \vee \underline{d}) \wedge (\underline{(b \wedge e) \vee d})$$

$$= ((a \wedge e) \wedge ((b \wedge \underline{e}) \vee d)) \vee d \qquad \text{(by M)}$$

$$y \wedge z = ((b \wedge e) \vee d) \wedge d = d$$

$$= ((a \wedge e) \wedge ((b \vee d) \wedge e)) \vee d \qquad \text{(by M)}$$

$$= ((a \wedge e) \wedge e \wedge (b \vee d)) \vee d$$

$$= ((a \wedge e) \wedge (b \vee d)) \vee d$$

$$= (a \wedge \underline{(b \vee c)} \wedge (\underline{b} \vee (a \wedge c))) \vee d$$

$$= (a \wedge (b \vee ((b \vee c) \wedge (a \vee c)))) \vee d \qquad \text{(by M)}$$

$$= (\underline{a} \wedge (b \vee \underline{(a \wedge c)})) \vee d \qquad a \wedge c \leq b \vee c$$

$$= (a \wedge c) \vee (b \wedge a) \vee d \qquad \text{(by M)}$$

$$= d$$

# 1.4 Complete Lattices, Equivalence Relations, and Algebraic Lattices

**Definition 1.15.** A poset P is **complete** if for every subset A of P both  $\sup A$  and  $\inf A$  exists in P. The elements  $\sup A$  an  $\inf A$  will be denoted by  $\bigvee A$  and  $\bigwedge A$ .

**Theorem 1.16.** Let P be a poset s.t.  $\bigvee A$  exists for every subset A, or s.t.  $\bigwedge A$  exists for every subset A. Then P is a complete lattice

*Proof.* Suppose  $\bigwedge A$  exists for every  $A \subseteq P$ . Then letting  $A^u$  be the set of upper bounds of A in P, it is routine to verify that  $\bigwedge A^u$  is indeed  $\bigvee A$ .  $\square$ 

In the above theorem, the existence of  $\bigwedge \emptyset$  guarantees a largest element in P, and likewise the existence of  $\bigvee \emptyset$  guarantees a smallest element in P. (Every element is larger than  $\emptyset$ ).

**Definition 1.17.** A sublattice L' of a complete lattice L is called a **complete sublattice** of L if for every subset A of L' the elements  $\bigvee A$  and  $\bigwedge A$ , as defined in L, are actually in L'

**Definition 1.18.** The **diagonal relation**  $\Delta_A$  and the **all relation**  $A^2$  is denoted by  $\nabla_A$ .  $r_1 \circ r_2$  iff there is a  $c \in A$  s.t.  $\langle a, c \rangle \in r_1$  and  $\langle c, b \rangle \in r_2$ 

Eq(A) is the set of all equivalence relations on A.

**Theorem 1.19.** The poset Eq(A) with  $\subseteq$  as the partial ordering, is a complete lattice.

**Theorem 1.20.** If  $\theta_1$  and  $\theta_2$  are two equivalence relations on A then

$$\theta_1 \vee \theta_2 = \theta_1 \cup (\theta_1 \circ \theta_2) \cup (\theta_1 \circ \theta_2 \circ \theta_1) \cup (\theta_1 \circ \theta_2 \circ \theta_1 \circ \theta_2) \cup \dots$$

or equivalently,  $\langle a, b \rangle \in \theta_1 \vee \theta_2$  iff there is a sequence of elements  $c_1, c_2, \ldots, c_n$  from A s.t.

$$\langle c_i, c_{i+1} \rangle \in \theta_1 \quad or \quad \langle c_i, c_{i+1} \rangle \in \theta_2$$

for 
$$i = 1, ..., n - 1$$
 and  $a = c, b = c_n$ 

**Definition 1.21.** Let  $\theta$  be a member of  $\operatorname{Eq}(A)$ . For  $a \in A$ , the **equivalence** class (or coset) of a modulo  $\theta$  is the set  $a/\theta = \{b \in A : \langle b, a \rangle \in \theta\}$ . The set  $\{a/\theta : a \in A\}$  is denoted by  $A/\theta$ 

**Theorem 1.22.** p For  $\theta \in Eq(A)$  and  $a, b \in A$  we have

- 1.  $A = \bigcup_{a \in A} a/\theta$
- 2.  $a/\theta \neq b/\theta$  implies  $a/\theta \cap b/\theta = \emptyset$

**Definition 1.23.** A partition  $\pi$  of a set A is a family of nonempty pairwise disjoint subsets of A s.t.  $A = \bigcup \pi$ . The sets in  $\pi$  are called the **blocks** of  $\pi$ . The set of all partitions of A is denoted by  $\Pi(A)$ 

**Theorem 1.24.**  $\Pi(A)$  *is a complete lattice and it's isomorphic to the lattice* Eq(A).

**Definition 1.25.** The lattice  $\Pi(A)$  is called the **lattice of partitions** of A

**Definition 1.26.** Let L be a lattice. An element a in L is **compact** iff whenever  $\bigvee A$  exists and  $a \leq \bigvee A$  for  $A \subseteq L$ , then  $a \leq \bigvee B$  for some finite  $B \subseteq A$ . L is **compactly generated** iff every element in L is a sup of compact elements. A lattice is **algebraic** if it is complete and compactly generated.

#### 1.5 Closure Operator

**Definition 1.27.** If we are given a set A, a mapping  $C : \mathcal{P}(A) \to \mathcal{P}(A)$  is called a closure **operator** on A if, for  $X, Y \subseteq A$  it satisfies

C1: 
$$X \subseteq C(X)$$
 (extensive)

C2: 
$$C^2(X) = C(X)$$
 (idempotent)

C3:  $X \subseteq Y$  implies  $C(X) \subseteq C(Y)$ 

A subset X of A is called a **closed subset** if C(X) = X. The poset of closed subsets of A with set inclusion is denoted by  $L_C$ 

**Theorem 1.28.** Let C be a closure operator on a set A. Then  $L_C$  is a complete lattice with

$$\bigwedge_{i \in I} C(A_i) = \bigcap_{i \in I} C(A_i)$$

and

$$\bigvee_{i \in I} C(A_i) = C(\bigcup_{i \in I} A_i)$$

**Theorem 1.29.** Every complete lattice is isomorphic to the lattice of closed subsets of some set A with a closure operator C

*Proof.* Let *L* be a complete lattice. For  $X \subseteq L$  define

$$C(X) = \{ a \in L : a \le \sup X \}$$

Then C is a closure operator on L and the mapping  $a \mapsto \{b \in L : b \leq a\}$  gives the desired isomorphism

**Definition 1.30.** A closure operator C on the set A is an **algebraic closure** operator if for every  $X \subseteq A$ 

C4: 
$$C(X) = \bigcup \{C(Y) : Y \subseteq X \text{ and } Y \text{ is finite}\}$$

**Theorem 1.31.** If C is an algebraic closure operator on a set A then  $L_C$  is an algebraic lattice, and the compact elements of  $L_C$  are precisely the closed sets C(X), where X is a finite subset of A

*Proof.* First we will show that C(X) is compact if X is finite. Suppose  $X = \{a_1, \ldots, a_k\}$  and

$$C(X) \subseteq \bigvee_{i \in I} C(A_i) = C(\bigcup_{i \in I} A_i)$$

For each  $a_j \in X$  we have by (C4) a finite  $X_j \subseteq \bigcup_{i \in I} A_i$  with  $a_j \in C(X_j)$ . Since there are finitely many  $A_i$ 's, say  $A_{j1}, \ldots, A_{jn}$ , s.t.

$$X_j \subseteq A_{j1} \cup \cdots \cup A_{jn}$$

then

$$a_j \in C(A_{j1} \cup \cdots \cup A_{jn})$$

but then

$$X \subseteq \bigcup_{1 \le j \le k} C(A_{j1} \cup \dots \cup C_{jn})$$

so

$$X \subseteq C(\bigcup_{\substack{1 \le j \le k \\ 1 \le i \le n}} A_{ji})$$

and hence

$$C(X) \subseteq \bigvee_{\substack{1 \le j \le k \\ 1 \le i \le n}} C(A_{ji})$$

So C(X) is compact

Now suppose  ${\cal C}(Y)$  is not equal to  $({\cal C})$  for any fintie X , it's not compact.

**Definition 1.32.** If C is a closure operator on A and Y is closed subset of A, then we say a set X is a **generating set** for Y if C(X) = Y. The set Y is **finitely generated** if there is a finite generating set for Y. The set X is **minimal** generating set for Y if X generates Y and no proper subset of X generates Y

**Corollary 1.33.** Let C be an algebraic closure operator on A. Then the finitely generated subsets of A are precisely the compact elements of  $L_C$ 

**Theorem 1.34.** Every algebraic lattice is isomorphic to the lattice of closed subsets of some set A with an algebraic closure operator C

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