# Generative Models

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#### Are these faces is real?



## Review: Supervised Learning

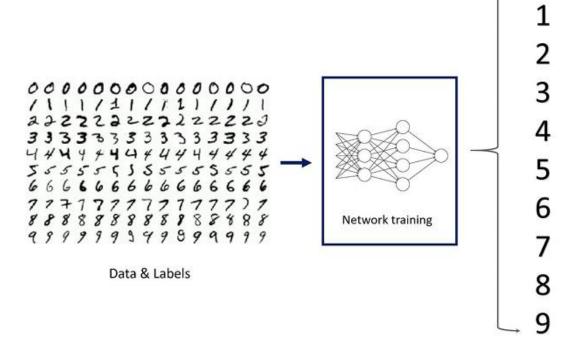
#### **Supervised Learning**

**Data:** (x, y) where x is data, y is label

**Goal:** Learn a function to map  $x \rightarrow y$ 

**Examples:** Classification, Object Detection,

Semantic segmentation, Image captioning



# Review: Unsupervised Learning

#### **Supervised Learning**

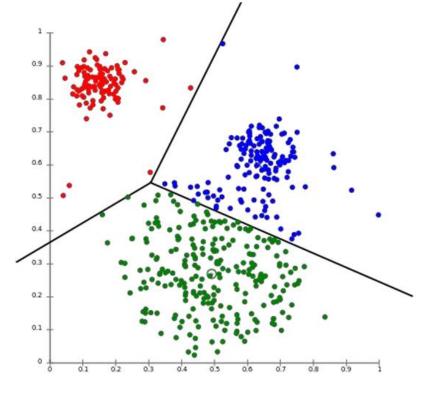
Data: x, NO labels!!

**Goal:** : Learn some underlying hidden

structure of the data

**Examples:** Clustering, Dimensionality reduction, Feature learning, Density

estimation



K-means clustering

#### Generative Models

Given training data, generate new samples from same distribution





Training data  $\sim p_{data}(x)$  Generated samples  $\sim p_{model}(x)$ 

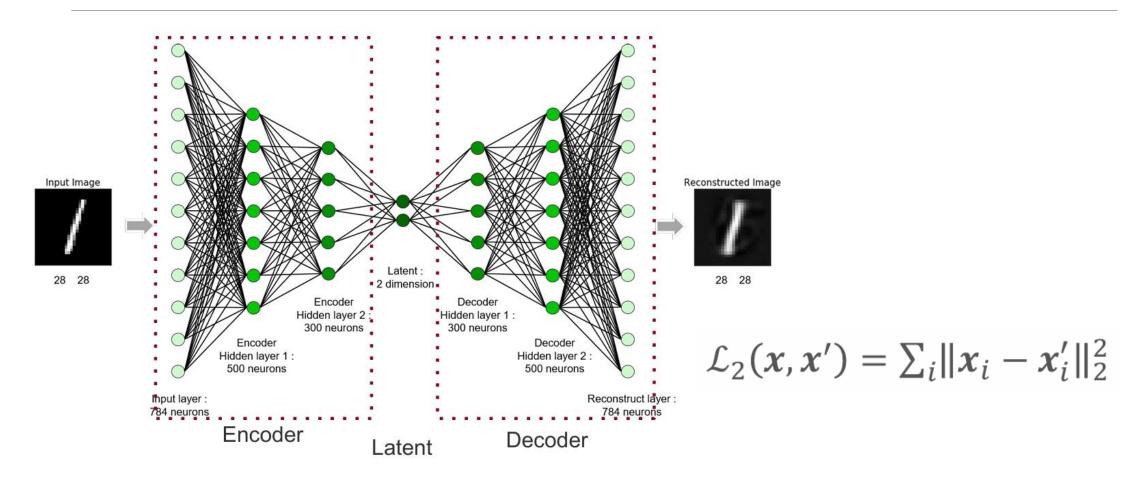
Want to: learn  $p_{model}(x)$  similar to  $p_{data}(x)$ 

Addresses density estimation which is a core problem in unsupervised learning

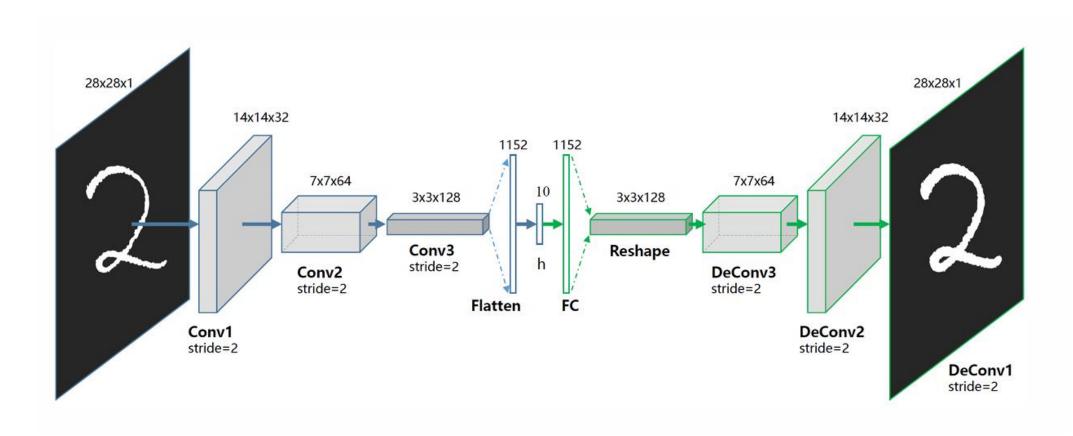
# Two approach

- **E**xplicit density estimation: explicitly define and solve for  $p_{model}(x)$
- Implicit density estimation: learn model that can sample from  $p_{model}(x)$  without explicitly defining it

#### Autoencoders

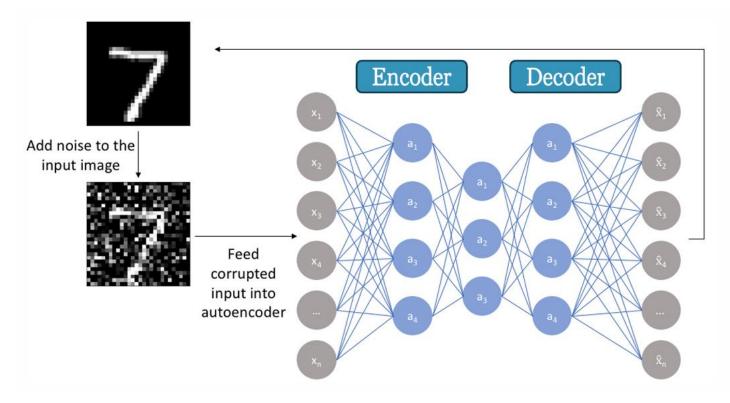


### Deep Convolutional Autoencoder (CAE)



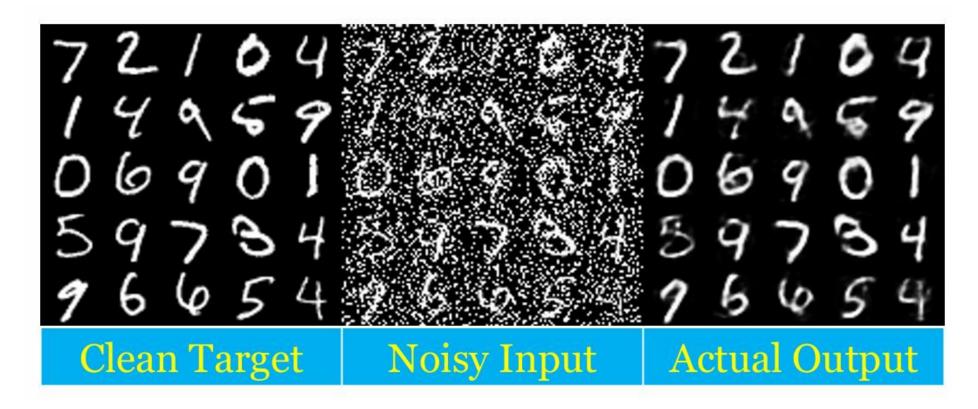
### Denoising Autoencoder (DAE)

Learn robust feature to able reconstruct data from an input of corrupted data (input: noisy data, output: clean data)



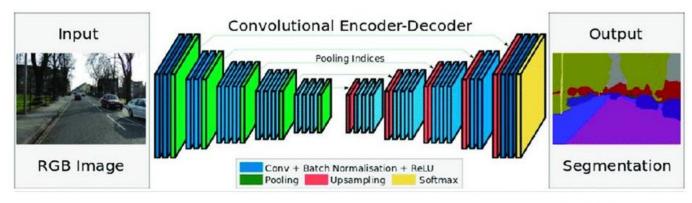
# Denoising Autoencoder (DAE)

#### Example:

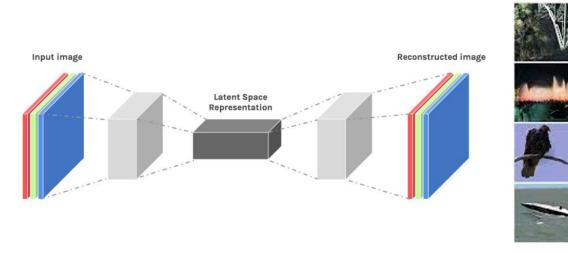


# Autoencoder Application

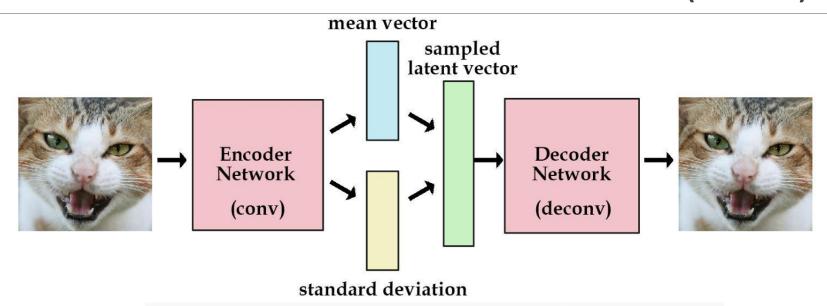
Semantic Segmentation



Neural Inpainting



#### Variational Autoencoders (VAE)



$$l_i( heta,\phi) = -E_{z\sim q_ heta(z|x_i)}[\log p_\phi(x_i|z)] + KL(q_ heta(z|x_i)||p(z))$$

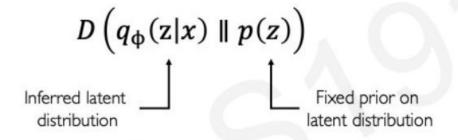
e.g. log-likelihood,  $||x - \hat{x}||^2$ 

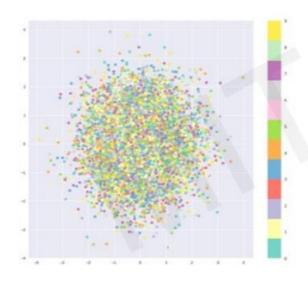
(regularization term)

Reconstruction loss

Stay close to normal(0,1)

#### Priors on the latent distribution





#### Common choice of prior - Normal Gaussian:

$$p(z) = \mathcal{N}(\mu = 0, \sigma^2 = 1)$$

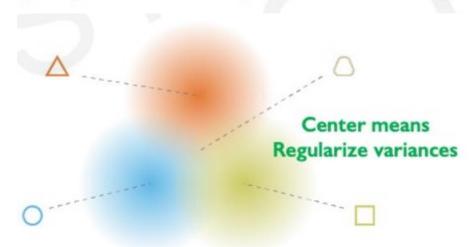
- Encourages encodings to distribute encodings evenly around the center of the latent space
- Penalize the network when it tries to "cheat" by clustering points in specific regions (i.e., by memorizing the data)

#### Priors on the latent distribution

What properties do we want to achieve from regularization?



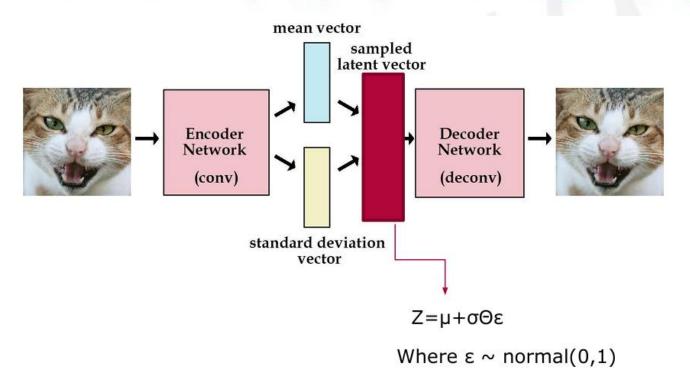
- Continuity: points that are close in latent space → similar content after decoding
- 2. Completeness: sampling from latent space → "meaningful" content after decoding



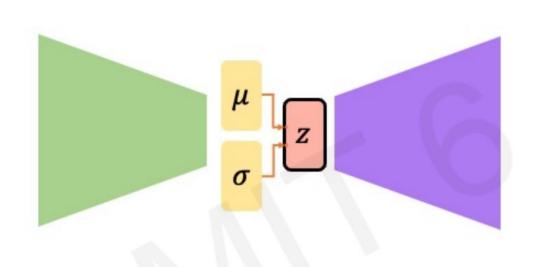
Regularized

#### VAE

#### Problem: We cannot backpropagate gradients through sampling layers!



#### Reparameterization trick



#### Key Idea:

$$-z \sim \mathcal{N}(\mu, \sigma^2)$$

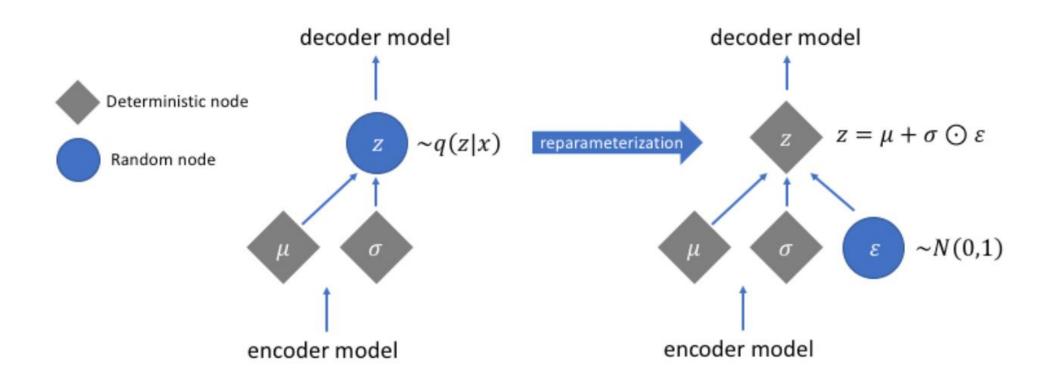
Consider the sampled latent vector z as a sum of

- a fixed  $\mu$  vector,
- and fixed σ vector, scaled by random constants drawn from the prior distribution

$$\Rightarrow z = \mu + \sigma \odot \varepsilon$$

where  $\varepsilon \sim \mathcal{N}(0,1)$ 

### Reparameterization trick



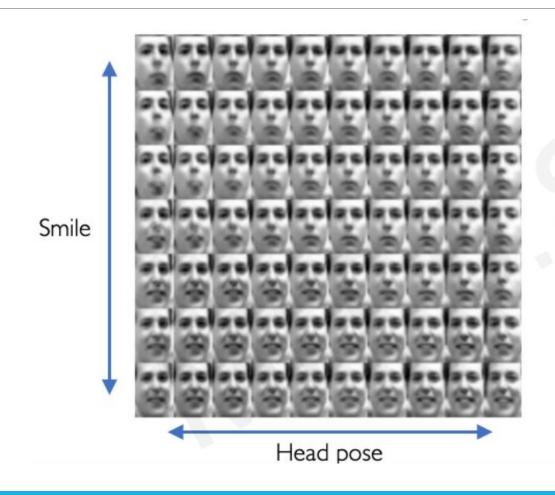
#### VAEs: Latent perturbation

Slowly increase or decrease a **single latent variable** Keep all other variables fixed



Different dimensions of z encodes different interpretable latent features

#### VAEs: Latent perturbation



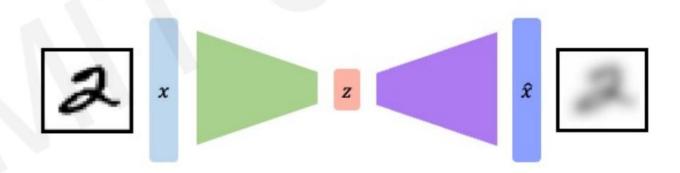
Ideally, we want latent variables that are uncorrelated with each other

Enforce diagonal prior on the latent variables to encourage independence

Disentanglement

#### VAE summary

- 1. Compress representation of world to something we can use to learn
- 2. Reconstruction allows for unsupervised learning (no labels!)
- 3. Reparameterization trick to train end-to-end
- 4. Interpret hidden latent variables using perturbation
- 5. Generating new examples



# Vector Quantized VAE (VQ-VAE)

Backbone of original Dall-E model



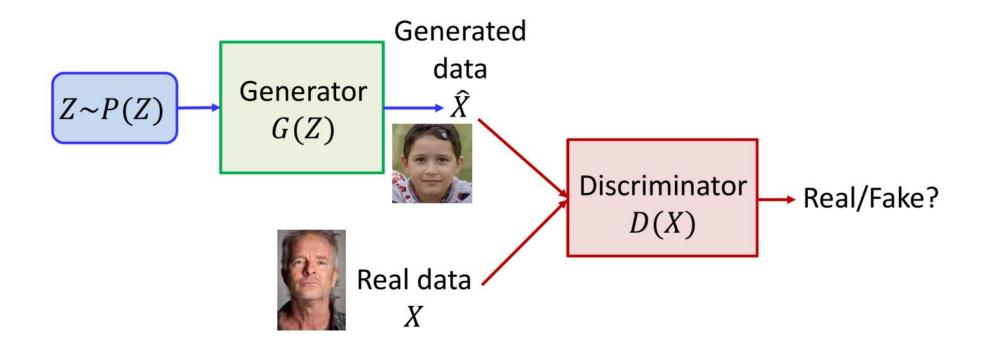
### Generative Adversarial Network (GAN)

**Generative models:** Learn a generative model, which generate data similar to the training data.

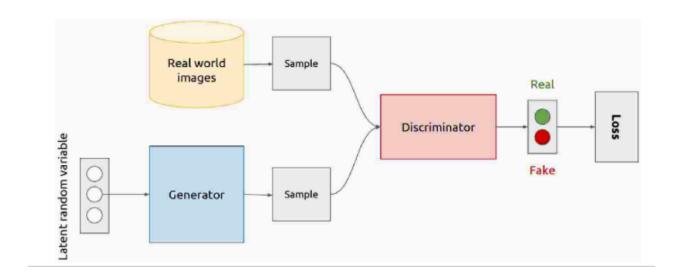
**Adversarial training:** Trained in an adversarial setting, GANS are made up of two competing networks (adversaries) that are trying beat each other. A «game» is being played between the two networks.

**Network:** Use Deep Neural Networks

# GAN Block diagram



#### Train GAN

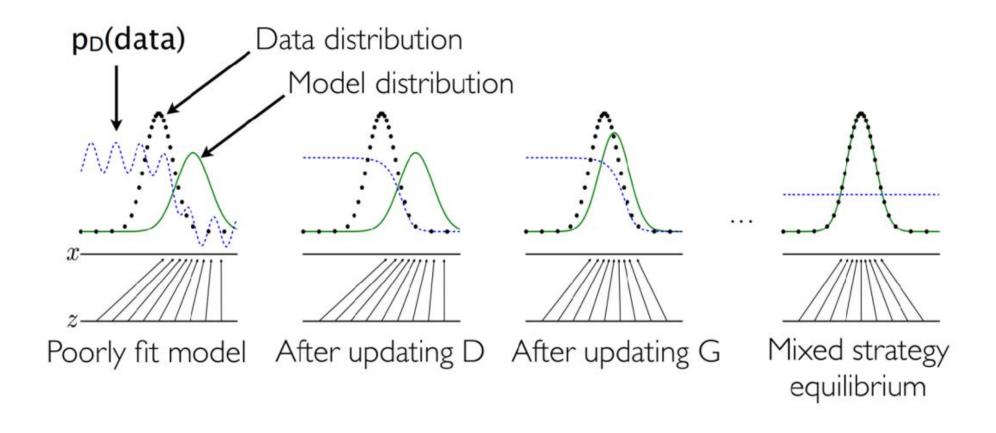


$$D_{ideal}(x) = \begin{cases} 1 & \text{if x is real} \\ 0 & \text{if x is fake} \end{cases}$$

**Discriminator:** maximize classification for a given generator

Generator: degrade classification of a given discriminator

### **GAN Learning Process**



# Min-Max Game Between Discriminator and Generator

#### Performance of discriminator

High values to real samples Low values to fake samples

$$V(G, D) = \mathbb{E}_{X \sim P_{data}}[\log(D(X))] + \mathbb{E}_{Z \sim P_z}\log(1 - D(G(Z))]$$

$$\min_{G_{\theta}} \max_{D_{\phi}} V(G_{\theta}, D_{\theta})$$

**Discriminator:** Assign high value to real & low value to fake for a fixed Generator  $\max_{D_{\theta}} V(G_{\theta}, D_{\theta})$ 

Generator: Attempt to "fool" the discriminator D into assigning high values for fake.

$$\min_{G} \mathbb{E}_{Z \sim P_z} \log(1 - D(G(Z))]$$

# Training alg

#### for number of training iterations do

#### for k steps do

- Sample minibatch of m noise samples  $\{z^{(1)}, \ldots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Sample minibatch of m examples  $\{x^{(1)}, \dots, x^{(m)}\}$  from data generating distribution  $p_{\text{data}}(x)$ .
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[ \log D\left(\boldsymbol{x}^{(i)}\right) + \log\left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right) \right].$$

#### end for

- Sample minibatch of m noise samples  $\{z^{(1)}, \ldots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^{m} \log \left( 1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right) \right).$$

#### Optimal Discriminator

Let's find optimal state:

$$\min_{G} \max_{D} L(D,G) = E_{x \sim p_r(x)}[logD(x)] + E_{z \sim p_z(z)} \left[ log \left( 1 - D(G(z)) \right) \right]$$

$$\min_{G} \max_{D} L(D,G) = E_{x \sim p_r(x)}[logD(x)] + E_{x \sim p_g(x)} \left[ log \left( 1 - D(x) \right) \right]$$

$$L(D,G) = \int_{x} \left( p_r(x) logD(x) + p_g(x) log \left( 1 - D(x) \right) \right) dx$$

Using Calculus of variation:

$$\frac{p_r(x)}{D(x)} - \frac{p_g(x)}{1 - D(x)} = 0 \Rightarrow D^*(x) = \frac{p_r(x)}{p_r(x) + p_g(x)} \in [0, 1]$$

### Optimal Generator

Optimal Discriminator is:

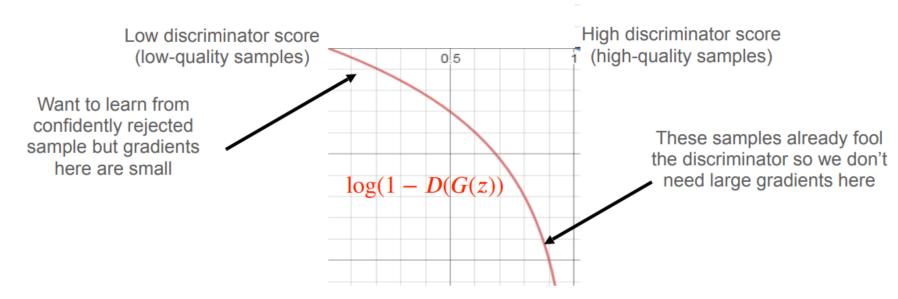
$$D^*(x) = \frac{p_r(x)}{p_r(x) + p_g(x)}$$

• For optimal Generator we should have:  $p_r(x) = p_g(x)$ , then optimal discriminator becomes 0.5 (Nash equilibrium)

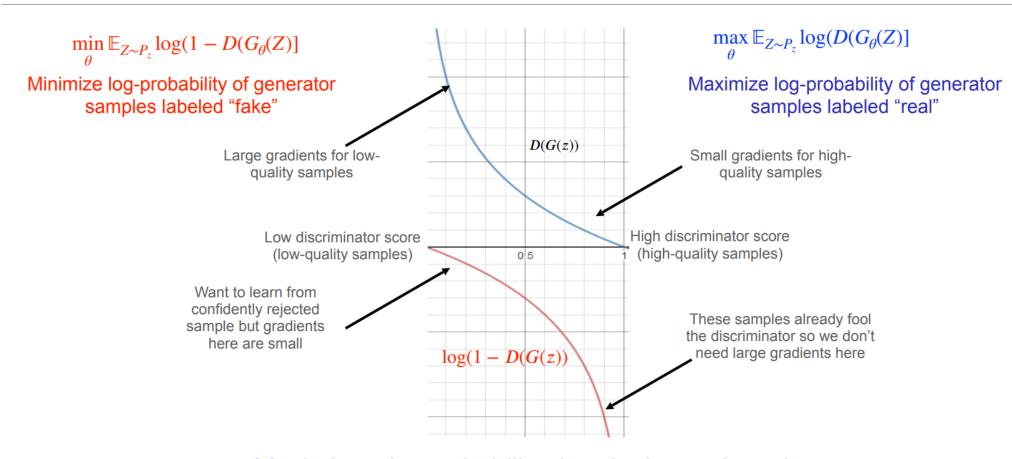
#### **GAN Training Issues: Loss Saturation**

$$\min_{\theta} \mathbb{E}_{Z \sim P_z} \log(1 - D(G_{\theta}(Z))]$$

Minimize log-probability of generator samples labeled "fake"



#### **GAN Training Issues: Loss Saturation**



Maximizes the probability that the image is real.

### NSGAN training algorithm

- Update discriminator:
  - Repeat for k steps:
    - Sample mini-batch of noise samples z<sub>1</sub>,...,z<sub>m</sub> and mini-batch of real samples x<sub>1</sub>,...,x<sub>m</sub>
    - Update parameters of D by stochastic gradient ascent on

$$\frac{1}{m}\sum_{m}[\log D(x_m) + \log(1 - D(G(z_m)))]$$

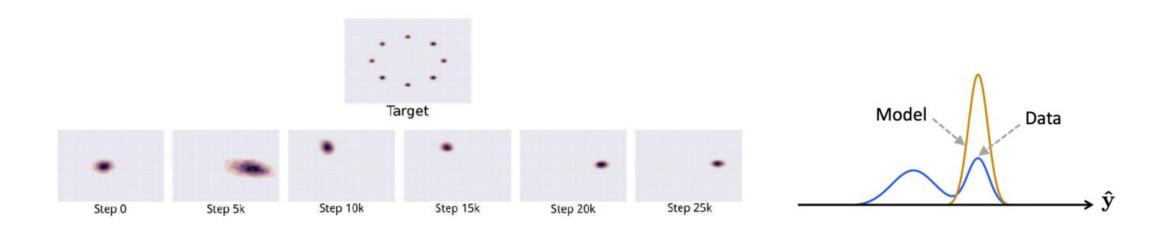
- Update generator:
  - Sample mini-batch of noise samples z<sub>1</sub>, ..., z<sub>m</sub>
  - Update parameters of G by stochastic gradient ascent on

$$\frac{1}{m}\sum_{m}\log D(G(z_m))$$

Repeat until happy with results

## Mode Collapse

Generator ends up modeling only a small subset of the training dat Does not learn the true distribution



#### Problems with GAN

#### **Stability Training**

- Parameters can oscillate or diverge, generator loss does not correlate with sample quality
- Behavior very sensitive to hyperparameter selection

#### **Mode Collapse:**

Generator ends up modeling only a small subset of the training dat

#### **Vanishing Gradients:**

 Typical discriminator is too strong. Thus gradient of the loss function drops down to close to zero and the learning becomes super slow or even jammed