# Explicit CN Soundness Proof

### Dhruv Makwana

June 24, 2021

## 1 Weakening

If  $C; \mathcal{L}; \Phi; \mathcal{R} \sqsubseteq C'; \mathcal{L}'; \Phi'; \mathcal{R}'$  and  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash J$  then  $C'; \mathcal{L}'; \Phi'; \mathcal{R}' \vdash J$ .

PROOF SKETCH: Induction over the typing judgements.

Assume: 1.  $C; \mathcal{L}; \Phi; \mathcal{R} \sqsubseteq C'; \mathcal{L}'; \Phi'; \mathcal{R}'$ . 2.  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash J$ .

PROVE:  $C'; L'; \Phi'; \mathcal{R}' \vdash J$ .

## 2 Substitution

## 2.1 Weakening for Substitution

Weakening for substitution: as above, but with  $J = (\sigma) : (\mathcal{C}''; \mathcal{L}''; \Phi''; \mathcal{R}'')$ .

PROOF SKETCH: Induction over the substitution.

Assume: 1.  $C; \mathcal{L}; \Phi; \mathcal{R} \sqsubseteq C'; \mathcal{L}'; \Phi'; \mathcal{R}'$ . 2.  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash (\sigma): (C''; \mathcal{L}''; \Phi''; \mathcal{R}'')$ .

PROVE:  $C': L': \Phi': R' \vdash (\sigma): (C'': L'': \Phi'': R'')$ .

#### 2.2 Substitution Lemma

If  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash (\sigma): (C'; \mathcal{L}'; \Phi'; \mathcal{R}')$  and  $C'; \mathcal{L}'; \Phi'; \mathcal{R}' \vdash J$  then  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash \sigma(J)$ .

PROOF SKETCH: Induction over the typing judgements.

Assume: 1. C;  $\mathcal{L}$ ;  $\Phi$ ;  $\mathcal{R} \vdash (\sigma)$ :(C';  $\mathcal{L}'$ ;  $\Phi'$ ;  $\mathcal{R}'$ ). 2. C';  $\mathcal{L}'$ ;  $\Phi'$ ;  $\mathcal{R}' \vdash J$ .

PROVE:  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash \sigma(J)$ .  $\langle 1 \rangle 1$ . Case: Ty\_PVal\_Var.  $C'; \mathcal{L}'; \Phi' \vdash x \Rightarrow \beta$ 

 $\langle 2 \rangle 1$ . Have  $x:\beta \in \mathcal{C}'$  (or  $x:\beta \in \mathcal{L}'$ ).

- $\langle 2 \rangle 2$ . So  $\exists pval. \ \mathcal{C}; \mathcal{L}; \Phi \vdash pval \Rightarrow \beta$  by Ty\_Subs\_Cons\_{Comp,Log}.
- $\langle 2 \rangle 3$ . Since  $pval = \sigma(x)$ , we are done.

 $\langle 1 \rangle 2$ . Case: Ty\_TPE\_Let.

 $C'; L'; \Phi' \vdash \mathtt{let} ident\_or\_pattern = pexpr \mathtt{in} tpexpr \Leftarrow y_2:\beta_2. term_2.$ 

- $\langle 2 \rangle 1$ . By induction,
  - 1. C; L;  $\Phi \vdash \sigma(pexpr) \Rightarrow y_1 : \beta. \sigma(term_1)$
  - 2.  $C, C_1; L, y_1:\beta; \Phi, term_1, \Phi' \vdash \sigma(tpexpr) \Leftarrow y_2:\beta. \sigma(term_2).$
- $\langle 2 \rangle 2$ . C; L;  $\Phi \vdash \sigma(\text{let } ident\_or\_pattern = pexpr in tpexpr) \Leftarrow y_2: \beta_2. \sigma(term_2)$  as required.
- $\langle 1 \rangle 3$ . Case: Ty\_TVal\_Log.

 $\mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}' \vdash \text{done } pval, \overline{spine\_elem}_i^i \Leftarrow \exists y:\beta. ret.$ 

- $\langle 2 \rangle 1$ . By inversion and then induction,
  - 1.  $C; \mathcal{L}; \Phi \vdash \sigma(pval) \Rightarrow \beta$
  - 2.  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash \sigma(\text{done } \overline{spine\_elem}_i^i) \Leftarrow \sigma(pval/y, \cdot (ret)).$
- $\langle 2 \rangle 2$ . Therefore  $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash \sigma(\mathtt{done}\,\mathit{pval},\,\overline{\mathit{spine\_elem}_i}^i) \Leftarrow \exists\, y : \beta.\,\sigma(\mathit{ret}).$
- $\langle 1 \rangle 4$ . Case: Ty\_Spine\_Res.

 $\mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}'_1, \mathcal{R}_2 \vdash x = res\_term, \ \overline{x_i = spine\_elem_i}^i :: res \multimap arg \gg res\_term/x, \psi; ret$ 

- $\langle 2 \rangle 1$ . By inversion and then induction,
  - 1.  $C; \mathcal{L}; \Phi; \mathcal{R}_1 \vdash \sigma(res\_term) \Leftarrow \sigma(res)$ .
  - 2.  $\mathcal{C}$ ;  $\mathcal{L}$ ;  $\Phi$ ;  $\mathcal{R}_2 \vdash \overline{x_i = \sigma(spine\_elem_i)}^i :: \sigma(res) \multimap \sigma(arg) \gg \sigma(\psi); \sigma(ret)$ .
- $\langle 2 \rangle 2$ . Hence  $C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R}_2 \vdash x = \sigma(res\_term), \overline{x_i = \sigma(spine\_elem_i)}^i :: \sigma(res \multimap arg) \gg \sigma(res\_term/x, \psi); \sigma(ret)$  as required.

#### 2.3 Identity Extension

If  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash (\sigma): (C'; \mathcal{L}'; \Phi'; \mathcal{R}')$  then  $C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R} \vdash (\sigma, id): (C, C'; \mathcal{L}, \mathcal{L}'; \Phi, \Phi'; \mathcal{R}_1, \mathcal{R}')$ .

PROOF SKETCH: Induction over the substitution.

Assume:  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash (\sigma): (C'; \mathcal{L}'; \Phi'; \mathcal{R}')$ .

PROVE:  $C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R} \vdash (\sigma, id) : (C, C'; \mathcal{L}, \mathcal{L}'; \Phi, \Phi'; \mathcal{R}_1, \mathcal{R}')$ .

 $\langle 1 \rangle 1$ .  $C; \mathcal{L}; \Phi; \mathcal{R}_1 \vdash (id): (C; \mathcal{L}; \Phi; \mathcal{R}_1)$ .

PROOF: By induction on each of C; L;  $\Phi$ ;  $R_1$ .

 $\langle 1 \rangle 2$ .  $C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R} \vdash (\sigma, id) : (\mathcal{C}, \mathcal{C}'; \mathcal{L}, \mathcal{L}'; \Phi, \Phi'; \mathcal{R}_1, \mathcal{R}')$ 

PROOF: By induction on  $\sigma$  with base case as above.

#### 2.4 Usable Substitution Lemma

If  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash (\sigma): (C'; \mathcal{L}'; \Phi'; \mathcal{R}')$  and  $C, C'; \mathcal{L}, \mathcal{L}'; \Phi, \Phi'; \mathcal{R}_1, \mathcal{R}' \vdash J$  then  $C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R} \vdash \sigma(J)$ .

PROOF SKETCH: Apply identity extension then substitution lemma.

Assume: 1.  $\mathcal{C}$ ;  $\mathcal{L}$ ;  $\Phi$ ;  $\mathcal{R} \vdash (\sigma)$ :  $(\mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}')$ .

2.  $\mathcal{C}, \mathcal{C}'; \mathcal{L}, \mathcal{L}'; \Phi, \Phi'; \mathcal{R}_1, \mathcal{R}' \vdash J$ .

PROVE:  $C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R} \vdash \sigma(J)$ .

- $\langle 1 \rangle 1$ .  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash (\sigma, id) : (C, C'; \mathcal{L}, \mathcal{L}'; \Phi, \Phi'; \mathcal{R}_1, \mathcal{R}')$ . PROOF: Apply identity extension to 1.
- $\langle 1 \rangle 2$ .  $C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R} \vdash (\sigma, \mathrm{id})(J)$ . PROOF: Apply substitution lemma to  $\langle 1 \rangle 1$ .
- $\langle 1 \rangle 3. \ C; \mathcal{L}; \Phi; \mathcal{R}_1, \mathcal{R} \vdash \sigma(J).$ PROOF:  $\mathrm{id}(J) = J.$

## 3 Progress

If  $\cdot; \cdot; \cdot; \mathcal{R} \vdash e \Leftrightarrow t$  then either value(e) or  $\forall h : R. \exists e', h'. \langle h; e \rangle \longrightarrow \langle h'; e' \rangle$ .

PROOF SKETCH: Induction over the typing rules.

Assume:  $\cdot; \cdot; \cdot; \mathcal{R} \vdash e \Leftrightarrow t$ .

PROVE: either value(e) or  $\forall h : R. \exists e', h'. \langle h; e \rangle \longrightarrow \langle h'; e' \rangle$ .

## 4 Framing

If  $\langle h_1; e \rangle \longrightarrow \langle h'_1; e' \rangle$  and  $h_1, h_2$  disjoint then  $\langle h_1 + h_2; e \rangle \longrightarrow \langle h'_1 + h_2; e' \rangle$ .

PROOF SKETCH: Induction over the operational rules.

Assume: 1.  $\langle h_1; e \rangle \longrightarrow \langle h'_1; e' \rangle$ .

2.  $h_1, h_2$  disjoint.

PROVE:  $\langle h_1 + h_2; e \rangle \longrightarrow \langle h'_1 + h_2; e' \rangle$ .

# 5 Type Preservation

# 5.1 Ty\_Spine\_\* and Decons\_Arg\_\* construct same substitution and return type

If  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash \overline{x_i = spine\_elem_i}^i :: arg \gg \sigma; ret \text{ and } \overline{x_i = spine\_elem_i}^i :: arg \gg \sigma'; ret' \text{ then } \sigma = \sigma' \text{ and } ret = ret'.$ 

PROOF SKETCH: Induction over arg.

#### 5.2 Type Preservation Statement and Proof

If  $\cdot; \cdot; \cdot; \mathcal{R} \vdash e \Leftrightarrow t$  then  $\forall h : \mathcal{R}, e', h' : \mathcal{R}'$ .  $\langle h; e \rangle \longrightarrow \langle h'; e' \rangle \implies \cdot; \cdot; \cdot; \mathcal{R}' \vdash e' \Leftrightarrow t$ .

PROOF SKETCH: Induction over the typing rules.

Assume: 1.  $\cdot; \cdot; \cdot; \mathcal{R} \vdash e \Leftrightarrow t$ 

2. arbitrary  $h: \mathcal{R}, e', h': \mathcal{R}'$ 

3.  $\langle h; e \rangle \longrightarrow \langle h'; e' \rangle$ .

PROVE:  $\cdot; \cdot; \cdot; \mathcal{R}' \vdash e' \Leftrightarrow t$ .

 $\langle 1 \rangle 1$ . Case: Ty\_Action\_Create.

Let:  $pt = mem_{-}ptr \stackrel{\times}{\mapsto}_{\tau} pval$ .

 $ret = \sum y_p$ :loc.representable  $(\tau *, y_p) \land \texttt{alignedI} (mem\_int, y_p) \land \exists y : \beta_\tau . y_p \stackrel{\times}{\mapsto}_\tau y \otimes \texttt{I}.$ 

Assume: 1.  $\cdot; \cdot; \cdot; \cdot \vdash \text{create}(mem\_int, \tau) \Rightarrow ret$ .

2.  $\langle \cdot ; \mathtt{create} (mem\_int, \tau) \rangle \longrightarrow \langle \cdot + \{pt\}; \mathtt{done} \ mem\_ptr, pval, pt \rangle$ .

PROVE:  $\cdot; \cdot; \cdot; \cdot, ... pt \vdash done mem\_ptr, pval, pt \Leftarrow ret$ 

- $\langle 2 \rangle 1. : : : : \vdash mem\_ptr \Rightarrow loc$  by TY\_PVAL\_OBJ\_INT and TY\_PVAL\_OBJ.
- $\langle 2 \rangle 2$ . smt  $(\cdot \Rightarrow \text{representable}(\tau *, mem\_ptr) \land \text{alignedI}(mem\_int, mem\_ptr))$  by construction of  $mem\_ptr$ .
- $\langle 2 \rangle 3. : : : \vdash pval \Rightarrow \beta_{\tau}$  by construction of pval.
- $\langle 2 \rangle 4. : : : : : pt \vdash pt \Leftarrow pt \text{ by Ty_Res_PointsTo}.$
- $\langle 2 \rangle$ 5. By TY\_TVAL\_I and then  $\langle 2 \rangle 4 \langle 2 \rangle 1$  with TY\_TVAL\_{RES,LOG,PHI,COMP} respectively, we are done.
- $\langle 1 \rangle 2$ . Case: Ty\_PE\_Call.

Assume: 1.  $\cdot; \cdot; \cdot \vdash name(\overline{pval_i}^i) \Rightarrow y:\beta. \ \sigma(term)$ .

2.  $\langle name(\overline{pval_i}^i) \rangle \longrightarrow \langle \sigma'(tpexpr):(y:\beta', \sigma'(term')) \rangle$ .

PROVE:  $\cdot; \cdot; \cdot \vdash \sigma(tpexpr) \Leftarrow y : \beta. \ \sigma(term)$ 

- $\langle 2 \rangle 1$ .  $name:pure\_arg \equiv \overline{x_i}^i \mapsto tpexpr \in Globals$  by inversion (on either assumption).
- $\langle 2 \rangle 2. \ \ \cdot; \cdot; \cdot; \cdot; \cdot \vdash \overline{x_i = pval_i}^i :: pure\_arg \gg \sigma; \Sigma \ y:\beta. \ term \land I \ by inversion on 1.$
- $\langle 2 \rangle 3$ .  $\beta = \beta'$ , term = term' and  $\sigma = \sigma'$  by induction on  $pure\_arg$ . Follows from lemma 5.1.
- $\langle 2 \rangle 4. \ \cdot; \cdot; \cdot; \cdot \vdash (\sigma) : (\mathcal{C}; \cdot; \Phi; \cdot).$

PROOF: Constructing such a substitution requires  $\overline{\cdot;\cdot;\cdot\vdash pval_i\Rightarrow\beta_i}^i$  for each  $x_i:\beta_i\in\mathcal{C}$  which can be deduced from  $\langle 2\rangle 2$ .

- $\langle 2 \rangle$ 5.  $\mathcal{C}''$ ;  $\cdot$ ;  $\Phi'' \vdash tpexpr \Leftarrow y:\beta''.term''$  where  $\overline{x_i}^i :: pure\_arg \leadsto \mathcal{C}''$ ;  $\cdot$ ;  $\Phi''$ ;  $\cdot \mid \Sigma y:\beta''.term'' \land I$  formalises the assumption that all global functions and labels are well-typed.
- $\langle 2 \rangle 6$ . C = C'',  $\Phi = \Phi''$ ,  $\beta = \beta''$  and term = term''. PROOF: By induction on  $pure\_arg$ .
- $\langle 2 \rangle 7$ . Apply usable substitution lemma to  $\langle 2 \rangle 4$  and  $\langle 2 \rangle 5$  to finish proof.
- $\langle 1 \rangle 3$ . Case: Ty\_Memop\_PtrValidForDeref.

Let:  $pt = mem_{-}ptr \stackrel{\checkmark}{\mapsto}_{\tau}$ .

 $ret = \Sigma y$ :bool.  $y = aligned(\tau, mem\_ptr) \land pt \otimes I$ .

Assume: 1.  $\cdot; \cdot; \cdot; \mathcal{R} \vdash \mathsf{ptrValidForDeref}(\tau, mem\_ptr, pt) \Rightarrow ret$ .

2.  $\langle \cdot + \{pt\}; \mathsf{ptrValidForDeref}(\tau, mem\_ptr, pt) \rangle \longrightarrow \langle \cdot + \{pt\}; \mathsf{done}\ bool\_value, pt \rangle$ .

PROVE:  $\cdot; \cdot; \cdot; \mathcal{R} \vdash \text{done } bool\_value, pt \Leftarrow ret$ 

- $\langle 2 \rangle 2$ .  $R = \cdot, ::pt$ , by Ty\_Res\_PointsTo.
- $\langle 2 \rangle 3.\ bool\_value = \mathtt{aligned}\left(\tau, mem\_ptr\right)$  by construction of bool\\_value.

- $\langle 2 \rangle 5.$  By TY\_TVAL\_I, and then  $\langle 2 \rangle 2 \langle 2 \rangle 4$  with TY\_TVAL\_{RES,PHI,COMP} respectively, we are done.

## 6 Typing Judgements

$$\begin{array}{lll} object\_value\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash object\_value \Rightarrow \mathsf{obj} \, \beta \\ \\ pval\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash pval \Rightarrow \beta \\ \\ res\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash res \equiv res' \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash \mathcal{R} \vdash res\_term \Leftarrow res \\ \\ spine\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash pexpr \Rightarrow ident:\beta. term \\ \\ tpval\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash tpval \Leftarrow ident:\beta. term \\ \\ tpexpr\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash tpexpr \Leftarrow ident:\beta. term \\ \\ tpexpr\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash tpexpr \Leftarrow ident:\beta. term \\ \\ action\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash tpexpr \Leftarrow ident:\beta. term \\ \\ action\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash tpexpr \Leftarrow ident:\beta. term \\ \\ memop\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash mem\_action \Rightarrow ret \\ \\ seq\_expr\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash seq\_expr \Rightarrow ret \\ \\ tval\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash tval \Leftarrow ret \\ \\ texpr\_jtype & ::= \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash tval \Leftarrow ret \\ \\ \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftrightarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftrightarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftrightarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftrightarrow ret \\ \\ & \mid \quad \mathcal{C}; \mathcal{L}; \Phi \vdash R \vdash texpr \Leftrightarrow ret \\ \\ & \mid \quad$$

# 7 Opsem Judgements