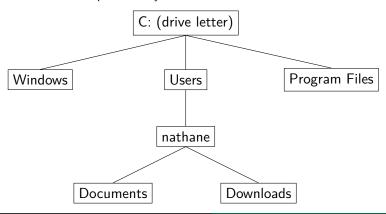
Trees Binary Search Tree Tree Traversals

# Trees

#### Trees

- Information is not aways easily modeled with a list
  - Many times a hierarchy type structure is more intuitive
  - Example: File Systems



#### Trees

- These structures are called Trees
- Trees:
  - give reasonably efficient searching
  - are frequently the underlying structure of databases
  - are used in compilers to check syntax, semantics, etc
  - can be used to evaluate statements in non-compiled languages
- Trees in and of themselves are not helpful
  - They define a structure for data, not impose rules on how data is inserted, removed, and consumed
  - We will specialize the trees to do some cool things!
- Trees are made of nodes that contain both data and references to other nodes (similar to a Linked List)

#### **Definitions**

- Root
  - Single node at the top of the tree
- Parent
  - A node directly above another node
  - In the file system example, Users is the parent of nathane
- Child
  - If node A is node B's parent, then B is A's child (a node directly under another node)
- Siblings
  - Nodes with the same parent
- Descendent
  - Any node that can be reached from a node by only moving down
  - In the example, Users has the descendents nathane, Documents, and Downloads
- Ancestor
  - Any node that can be reached by only moving up the tree

#### **Definitions**

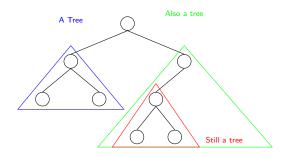
- Subtree
  - A node and all of its descendents
- Level
  - Distance (number of edges) of a node to the root
  - ullet The level of a node is the level of its parent  $+\ 1$
  - The level of the root is 0
  - In the FS example, C: has a level of 0, Windows, Users, and Program Files have a level of 1, nathane has a level of 2, and Documents and Downloads have a level of 3
- Height (of the tree)
  - The maximum level of any node in the tree
  - The height of the tree in the example is 3

#### **Definitions**

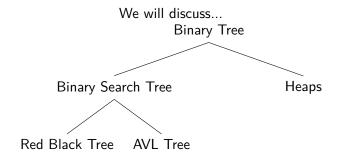
- Leaf Node
  - A node with no children
- Internal Node
  - Any node that is not a leaf node
- Binary Tree
  - Tree in which every node has at most two children (left and right)

# Important Sidebars

- Some of these definitions will differ from source to source; any good source will clarify the definitions it will use.
- Trees are inherently recursive. Many (if not most) operations discussed will use recursion



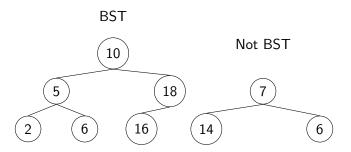
#### Kinds of Trees



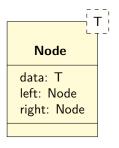
# Binary Search Trees

Binary trees without rules are not helpful. We want to define rules for insertion and deletion that let us exploit properties of trees.

- Binary Search Tree (BST)
  - A binary tree where, for any node N, every node in the left subtree has a value less than N's, and every node in the right subtree is greater than N's



# Binary Search Tree Nodes



BST

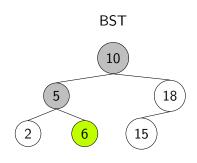
root: Node

# Example

#### Is 6 in the tree?

- Start at the root (n = root)
- 6 < 10 (n.data), so move left (n = n.left)
- 6 > 5 (n.data), so move right (n = n.right)
- 6 == 6 found!

Takes 3 probes (check against 10, 5, and 6)

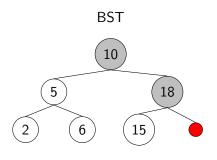


# Example

#### Is 20 in the tree?

- Start at the root (n = root)
- 20 > 10 (n.data), so move right (n = n.right)
- 20 > 18 (n.data), so move right (n = n.right)
- n is null, so not found!

Takes 2 probes (check against 10 and 18)



# Searching in BSTs

- Performance is ok
  - Faster than lists of unsorted data
  - Slower than hashed data

# Recursive BST Search

```
public boolean search(int elm)
  return search(elm, root)
}
public boolean search(int elm, Node n)
  if (n == null)
    return false;
  if (n.data == elm)
    return true;
  if (elm < n.data) // or (n.data > elm)
    return search(elm, n.left);
  return search(elm, n.right):
```

### Iterative BST Search

```
public boolean search (int elm)
  Node n = root;
  while (n != null)
    if (n.data == elm)
      return true;
    else if (elm < n.data) // or (n.data > elm)
      n = n.left;
    else
      n = n.right;
  return false;
```

- Simple at a high level
  - Find where it should go, and put it there
- New values are always inserted as leaves
- We'll start with a new tree

 Note: BST is not a JCF class; the notation is just for familiarity

root

Ø

bst.insert(10);

 The tree is empty, so the value gets inserted as the root node



```
bst.insert(18);

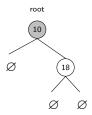
• Start at the root
```

- Start at the roo (n=root)
  - Node n is shaded)

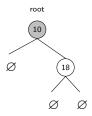


```
bst.insert(18);
• 18 > 10
```

- n.right == null
  - insert a new node at n.right

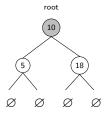


bst.insert(5);
• Start at the root (n = root)



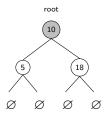
```
bst.insert(5);
```

- 5 < 10
- n.left == null
  - insert a new node at n.left

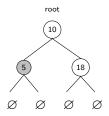


bst.insert(6);

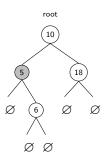
• Start at the root (n = root)



```
bst.insert(6);
• 6 < 10
• n.left != null
• n = n.left</pre>
```

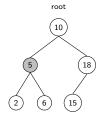


```
bst.insert(6);
• 6 > 5
• n.right == null
• n = n.left
```



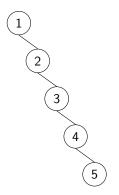
```
bst.insert(15);
bst.insert(2)
```

- null nodes are omitted for clarity
- n.right == null



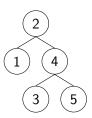
# Order Matters

Insert 1, 2, 3, 4, 5 in that order



This is sometimes called a chain, spine, or backbone

Insert 2, 4, 3, 1, 5

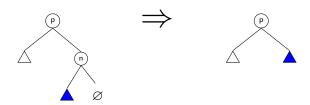


- To delete a node from a BST:
  - Find the node to delete (move left and right until you have a reference to it) (call it n)
    - You should also keep track of the parent node
  - If n is a leaf node, delete it
  - If n has one child, replace n with its child
  - If n has two children, swap n.data with the smallest value larger than it, then delete the node you swapped from as if it has one or no children
    - You can also use largest value smaller than it...
  - Update the parent of n to reflect the new node

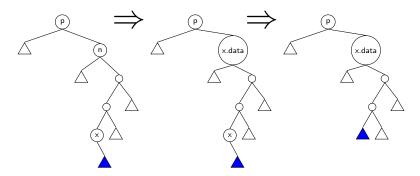
- Find node n and its Parent p
- If n is a leaf node, delete it
  - Note: triangular nodes are subtrees we don't care about. They could have no nodes, one node, or a million nodes.



• If n has one child, replace n with its child



- If n has two children, find the node (x) with the smallest value larger than n.data.
  - This will be a single step right, then as far left as possible
- Set n.data = x.data
- Remove X as it has either one or no children



# Iterating Through Trees

- There are three main ways we iterate through any Binary Tree
  - InOrder
  - PreOrder
  - PostOrder
- These traversals work for all binary trees, not just the BST

InOrder

#### InOrder Traversal

To InOrder traverse a tree with root (callled n)

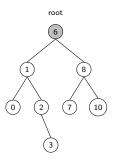
- Process the Left subtree InOrder
- Process n
- Process the Right subtree InOrder
  - If n's left subtree is called L, and n's right subtree is called R, then the ordering is LnR
  - n is In Between L and R
  - In the following examples, we will process the nodes by printing their values

```
void inorder print()
  inorder_print(root);
void inorder_print(Node n)
  if (n == null) return;
  inorder print(n.left);
  System.out.print(n.data + " ");
  inorder print(n.right);
```

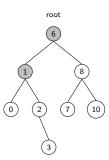
```
bst.inorder_print();
```

- o calls
  bst.inorder\_print(root);
- Shaded nodes have been n, but not printed

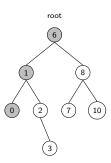
Output:



Calls bst.inorder\_print(n.left);Output:

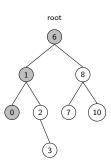


Calls bst.inorder\_print(n.left);Output:



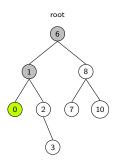
- Calls bst.inorder\_print(n.left);
- n==null, so nothing is printed

Output:



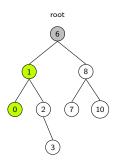
- n.data is printed
- Printed nodes are green

Output:



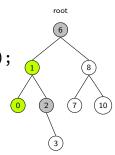
n.data is printed

Output:



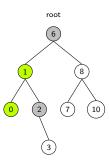
o Calls
bst.inorder\_print(n.right);

Output:



- Calls bst.inorder\_print(n.left);
- n==null, so nothing printed

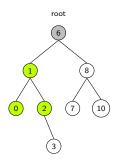
Output:



n.data is printed

Output:

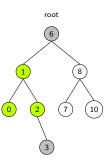
0 1 2



o Calls
bst.inorder\_print(n.right)

Output:

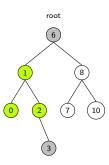
0 1 2



- Calls bst.inorder\_print(n.left)
- n == null, so nothing printed

Output:

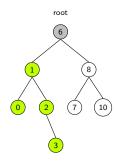
0 1 2



- n.data is printed
- Will recurse right, but it is null, so those slides ommitted

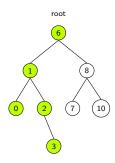
#### Output:

0 1 2 3



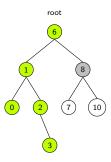
n.data is printed

Output:



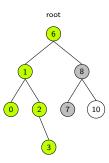
Calls bst.inorder\_print(n.right)

Output:



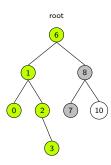
o Calls
bst.inorder\_print(n.left)

Output:



- Calls bst.inorder\_print(n.left)
- n == null, so nothing printed

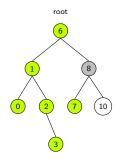
Output:



- n.data is printed
- Will recurse right, but it is null, so those slides ommitted

#### Output:

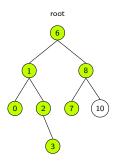
0 1 2 3 6 7



n.data is printed

Output:

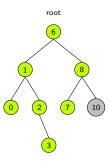
0 1 2 3 6 7 8



Calls bst.inorder\_print(n.right)

Output:

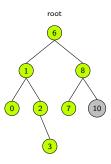
0 1 2 3 6 7 8



- Calls bst.inorder\_print(n.left)
- n == null, so nothing printed

#### Output:

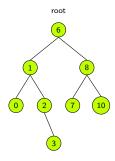
0 1 2 3 6 7 8



- n.data is printed
- Will recurse right, but it is null, so those slides ommitted

#### Output:

0 1 2 3 6 7 8 10



# InOrder and BST

An InOrder of a BST will *always* yield a sorted ordering of the data in the tree

PreOrder

# To PreOrder traverse a tree with root (callled n)

- Process n
- Process the Left subtree PreOrder
- Process the Right subtree PreOrder
  - If n's left subtree is called L, and n's right subtree is called R, then the ordering is nLR
  - n is processed Previously to L and R
  - As an exercise, generate the output from the previous example using PreOrder instead of InOrder

# **Exercise Solution**

6 1 0 2 3 8 7 10

#### PostOrder Traversal

To PostOrder traverse a tree with root (callled n)

- Process the Left subtree PreOrder
- Process the Right subtree PreOrder
- Process n
  - If n's left subtree is called L, and n's right subtree is called R, then the ordering is LRn
  - n is processed after (Post) L and R
  - As an exercise, generate the output from the previous example using PostOrder instead of InOrder

# **Exercise Solution**

0 3 2 1 7 10 8 6