Selection Algorithms

Algorithms and Data Structures
COMP3506/7505

Week 10 – Graphs & Selection

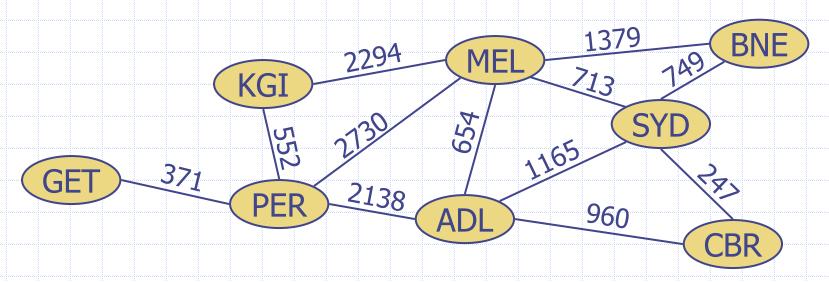
- 1. Shortest path algorithms
- 2. Minimum spanning trees
- 3. Selection algorithms

Week 10 – Graphs & Selection

- 1. Shortest path algorithms
- 2. Minimum spanning trees
- 3. Selection algorithms

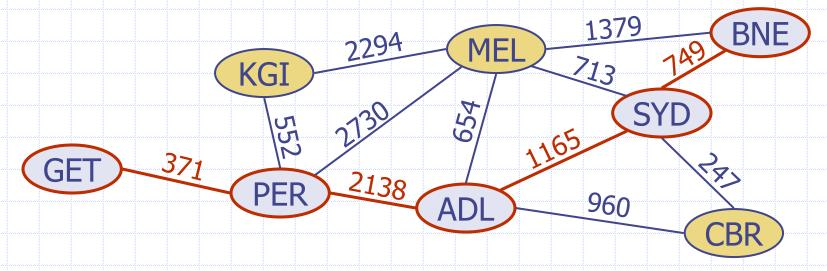
Weighted Graphs

- Each edge has an associated numerical value, called the weight of the edge
- Edge weights may represent, distances, costs, etc.
 - e.g. flight route graph: weight of an edge represents the distance between the endpoint airports



Shortest Path

- \Box Given a weighted graph and two vertices u and v, find a path of minimum total weight between u and v
 - length of a path is the sum of the weights of its edges
- Example:
 - shortest path between Brisbane and Geraldton



Shortest Path Properties

Property 1:

Subpath of a shortest path is itself a shortest path

Property 2:

There is a tree of shortest paths from a start vertex to all other vertices

Dijkstra's Algorithm

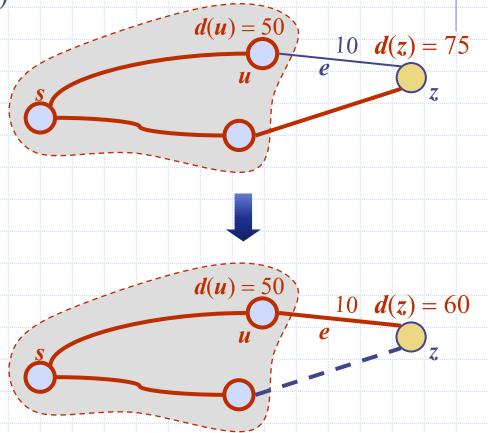
- Distance of a vertex v from a vertex s is the length of the shortest path between s and v
- Dijkstra's algorithm computes the distances of all the vertices from a given start vertex s
- Assumptions
 - graph is connected
 - edges are undirected
 - edge weights are not negative

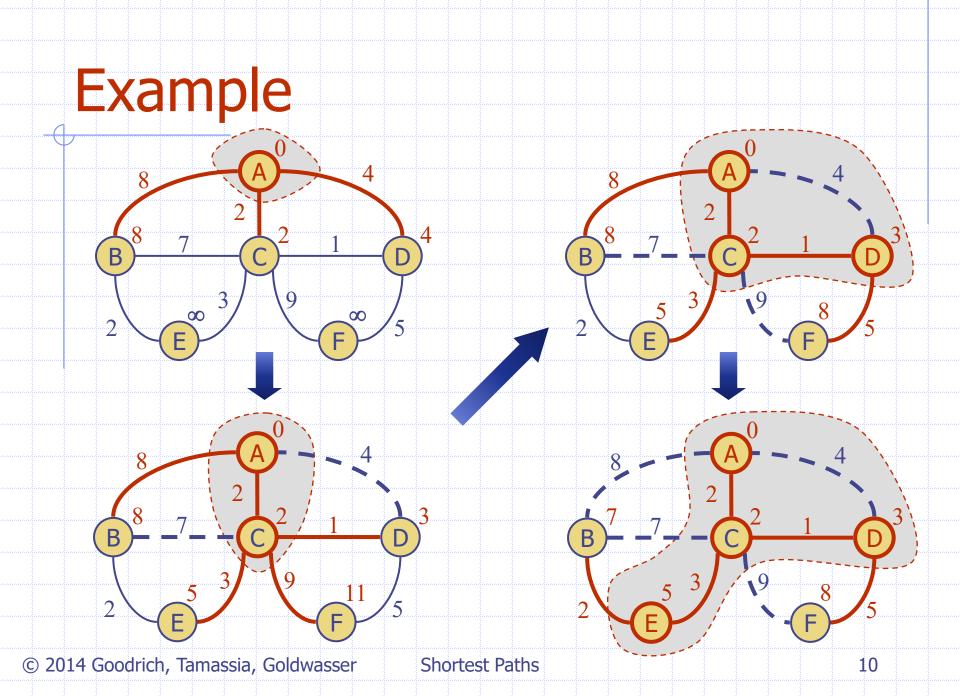
Dijkstra's Algorithm

- Grow a "cloud" of vertices, beginning with s
 and eventually covering all the vertices
- \Box Store a label d(v) at each vertex v
 - representing distance of v from s in the subgraph consisting of the cloud and its adjacent vertices
- At each step
 - add to the cloud the vertex u outside the cloud with the smallest distance label, d(u)
 - ullet update the labels of the vertices adjacent to u

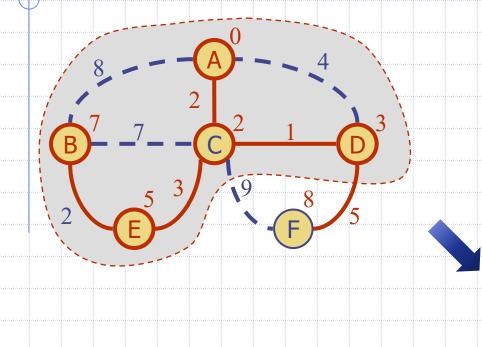
Edge Relaxation

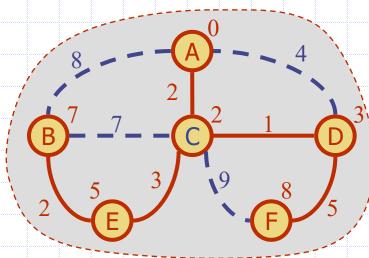
- □ Consider an edge e = (u, z) where
 - u is the vertex most recently added to the cloud
 - z is not in the cloud
- Relaxation of edge e updates distance d(z)
 - $d(z) \leftarrow \min\{d(z),\ d(u) + weight(e)\}$





Example (cont.)





Dijkstra's Algorithm

- Priority queue stores the vertices outside the cloud
 - Key: distance
 - Element: vertex
- Locator-based methods
 - insert(k, e) returns a locator
 - replaceKey(l, k) changes the key of an item
- Store two labels with each vertex
 - Distance (d(v) | label)
 - locator in priority queue

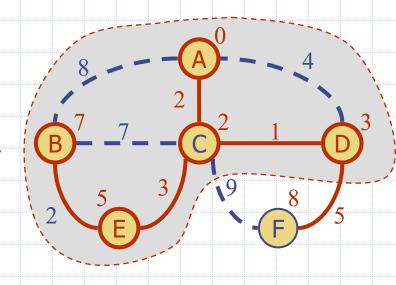
```
Algorithm DijkstraDistances(G, s):
  PQ \leftarrow new heap-based priority queue
  for all v \in G.vertices()
    if v = s
       setDistance(v, 0)
     else
       setDistance(v, \infty)
    PQ.insert(getDistance(v), v)
  while \neg PQ.isEmpty()
     u \leftarrow PQ.removeMin()
     for all e \in G.incidentEdges(u)
       \{ \text{ relax edge } e \}
       z \leftarrow G.opposite(u, e)
       r \leftarrow getDistance(u) + weight(e)
       if r < getDistance(z)
         setDistance(z, r)
         PQ.replaceKey(getLocator(z), r)
```

Analysis of Dijkstra's Algorithm

- Graph operations
 - find all the incident edges once for each vertex
- Label operations
 - set/get the distance and locator labels of vertex z O(deg(z)) times
 - setting/getting a label takes O(1) time
- Priority queue operations
 - each vertex is inserted once into and removed once from the priority queue, where each insertion or removal takes $O(\log n)$ time
 - key of a vertex in the priority queue is modified at most deg(w) times, where each key change takes $O(\log n)$ time
- \Box Dijkstra's algorithm runs in $O((n + m) \log n)$ time
 - provided the graph is implemented as an adjacency list/map
 - recall that $\sum_{v} \deg(v) = 2m$
 - can also be expressed as $O(m \log n)$ since the graph is connected

Why Dijkstra's Algorithm Works

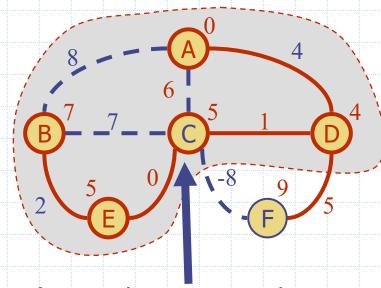
- Dijkstra's algorithm is based on the greedy method
 - adds vertices by increasing distance
- Suppose it didn't find all shortest distances. Let F be the first wrong vertex the algorithm processed.
- When previous node, D, on the true shortest path was considered, its distance was correct
- But the edge (D,F) was relaxed at that time!
- Thus, so long as d(F)>d(D), F's
 distance cannot be wrong. That is,
 there is no wrong vertex



Why it Doesn't Work for Negative-Weight Edges

- Dijkstra's algorithm is based on the greedy method
 - adds vertices by increasing distance

 If a node with a negative incident edge were to be added late to the cloud, it could mess up distances for vertices already in the cloud.



C's true distance is 1, but it is already in the cloud with d(C)=5!

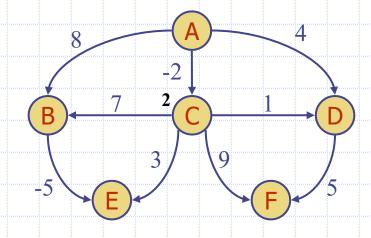
DAG-based Algorithm (not in book)

- Works even with negative-weight edges
- Uses topological order
- Doesn't use other data structures
- Is much faster thanDijkstra's algorithm
- Running time

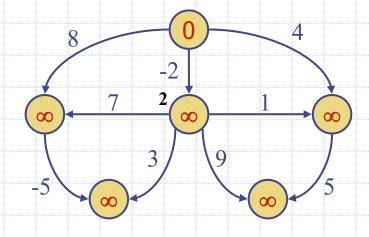
```
 O(n+m)
```

```
Algorithm DagDistances(G, s):
  for all v \in G.vertices()
    if v = s
       setDistance(v, 0)
     else
       setDistance(v, \infty)
  { Perform a topological sort of the vertices }
  for u \leftarrow 1 to n do {in topological order}
     for each e \in G.outEdges(u)
       \{ \text{ relax edge } e \}
       z \leftarrow G.opposite(u, e)
       r \leftarrow getDistance(u) + weight(e)
       if r < getDistance(z)
          setDistance(z, r)
```

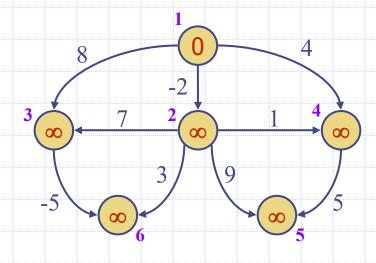
- Label nodes with initial distances
 - = d(v) values



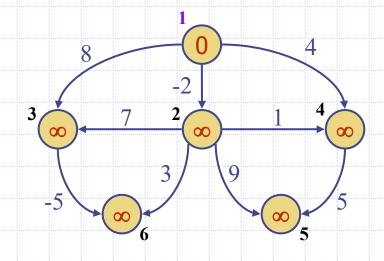
- Label nodes with initial distances
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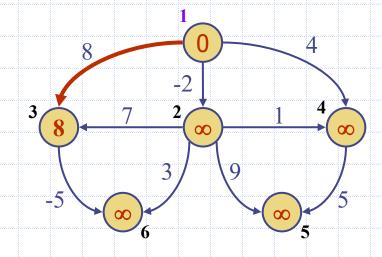
Perform topological sort



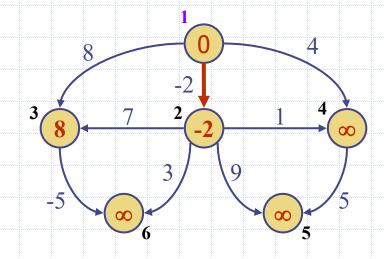
- Visit vertices in topological order
- □ Relax each edge for each vertex



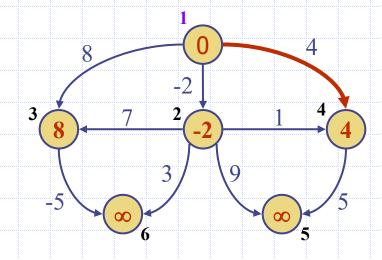
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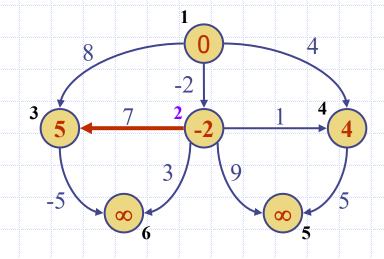
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- □ Relax each edge for each vertex



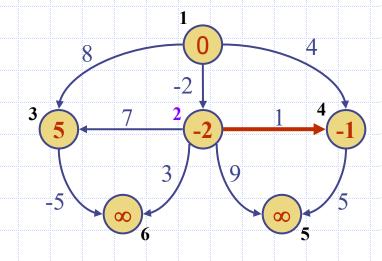
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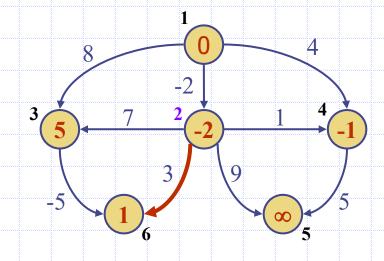
- Visit vertices in topological order
- □ Relax each edge for each vertex



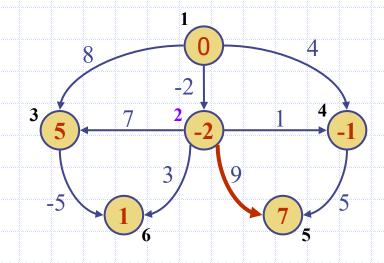
- Visit vertices in topological order
- □ Relax each edge for each vertex



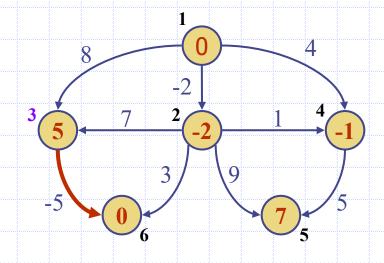
- Visit vertices in topological order
- □ Relax each edge for each vertex



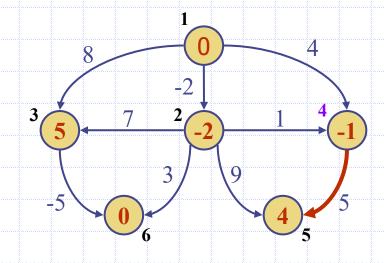
- □ Visit vertices in topological order
- □ Relax each edge for each vertex



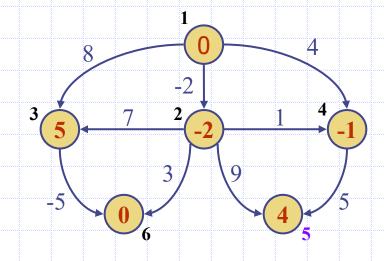
- Visit vertices in topological order
- □ Relax each edge for each vertex



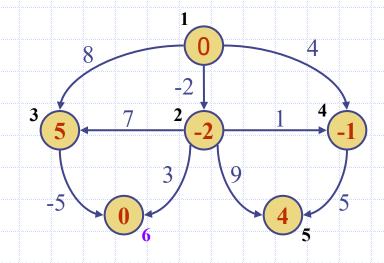
- Visit vertices in topological order
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- Visit vertices in topological order
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- Visit vertices in topological order
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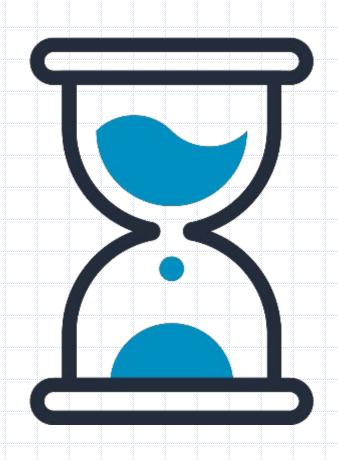
Java Implementation

```
/** Computes shortest-path distances from src vertex to all reachable vertices of g. */
    public static <V> Map<Vertex<V>, Integer>
    shortestPathLengths(Graph<V,Integer> g, Vertex<V> src) {
      // d.get(v) is upper bound on distance from src to v
      Map < Vertex < V >, Integer > d = new ProbeHashMap < >();
      // map reachable v to its d value
      Map < Vertex < V >, Integer > cloud = new ProbeHashMap < > ();
      // pq will have vertices as elements, with d.get(v) as key
      AdaptablePriorityQueue<Integer, Vertex<V>> pq;
      pq = new HeapAdaptablePriorityQueue<>();
10
      // maps from vertex to its pq locator
11
      Map<Vertex<V>, Entry<Integer,Vertex<V>>> pqTokens;
      pqTokens = new ProbeHashMap <> ();
13
14
15
      // for each vertex v of the graph, add an entry to the priority queue, with
      // the source having distance 0 and all others having infinite distance
16
17
      for (Vertex<V> v : g.vertices()) {
        if (v == src)
18
          d.put(v,0);
19
20
        else
21
          d.put(v, Integer.MAX_VALUE);
        pqTokens.put(v, pq.insert(d.get(v), v));
22
                                                        // save entry for future updates
23
```

Java Implementation, 2

```
now begin adding reachable vertices to the cloud
24
      while (!pq.isEmpty()) {
25
        Entry<Integer, Vertex<V>> entry = pq.removeMin();
26
        int key = entry.getKey();
27
28
        Vertex < V > u = entry.getValue();
29
        cloud.put(u, key);
                                                        // this is actual distance to u
        pqTokens.remove(u);
30
                                                        // u is no longer in pq
        for (Edge<Integer> e : g.outgoingEdges(u)) {
31
          Vertex < V > v = g.opposite(u,e);
32
          if (cloud.get(v) == null) {
33
            // perform relaxation step on edge (u,v)
34
35
            int wgt = e.getElement();
            if (d.get(u) + wgt < d.get(v)) { // better path to v?
36
37
              d.put(v, d.get(u) + wgt);
                                                 // update the distance
38
              pq.replaceKey(pqTokens.get(v), d.get(v)); // update the pq entry
39
40
41
42
      return cloud;
43
                            // this only includes reachable vertices
44
```

10 minute break

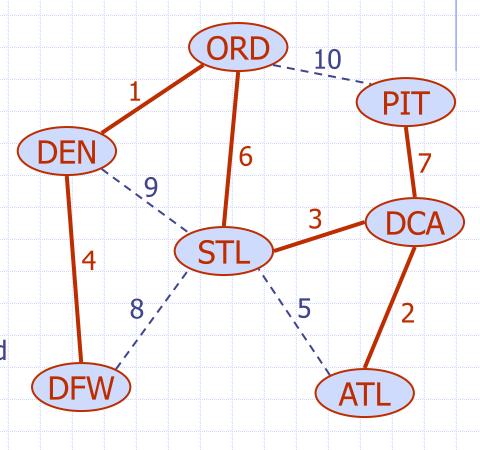


Week 10 – Graphs & Selection

- 1. Shortest path algorithms
- 2. Minimum spanning trees
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Minimum Spanning Trees

- Spanning Subgraph
 - subgraph of a graph G
 containing all vertices of G
- Spanning Tree
 - spanning subgraph that is itself a (free) tree
- Minimum Spanning Tree(MST)
 - spanning tree of a weighted graph with minimum total edge weight

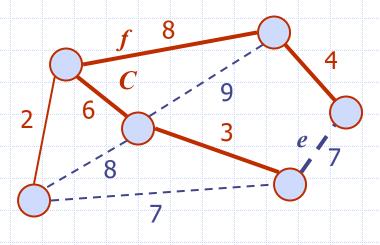


Cycle Property

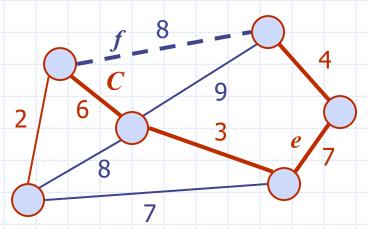
- Let T be a MST of a weighted graph G
- Let e be an edge of G that is not in T
- Let C be the cycle formed bye with T
- □ For every edge f of C, $weight(f) \le weight(e)$

Proof (by contradiction)

If weight(f) > weight(e) we can get a spanning tree of smaller weight by replacing e with f



Replacing f with e yields a better spanning tree

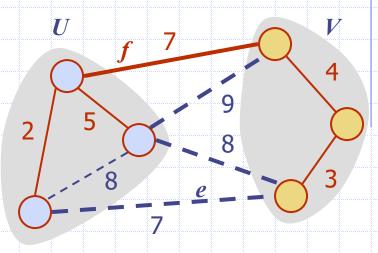


Partition Property

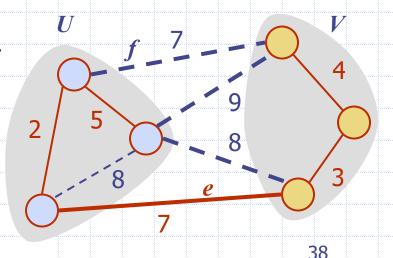
- Consider a partition of the vertices of
 G into subsets U and V
- Let e be an edge of minimum weight across the partition
- There is a minimum spanning tree of
 G containing edge e

Proof

- Let T be an MST of G
- □ If *T* does not contain *e*, consider the cycle *C* formed by *e* with *T* and let *f* be an edge of *C* across the partition
- By the cycle property,weight(f) ≤ weight(e)
- □ Thus, weight(f) = weight(e)
- We obtain another MST by replacing
 f with e



Replacing f with e yields another MST



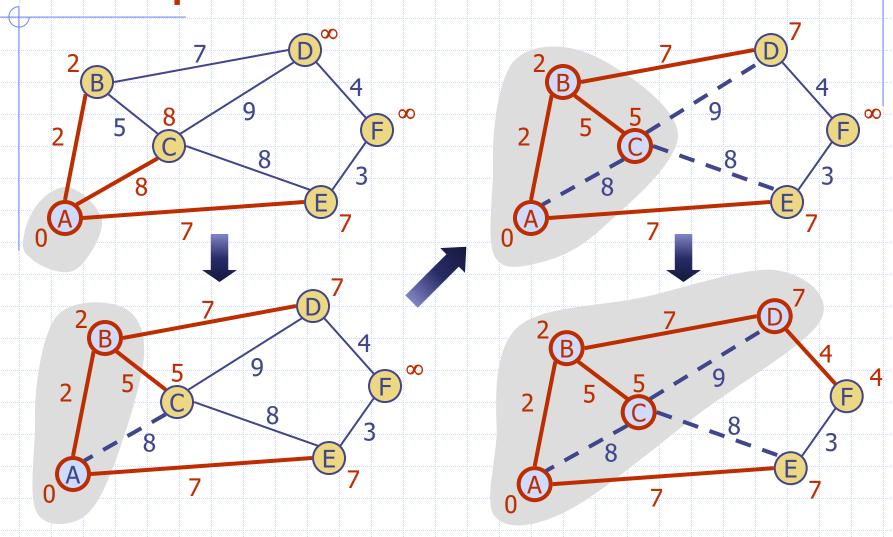
Prim-Jarnik's Algorithm

- Similar to Dijkstra's algorithm
- Pick an arbitrary vertex s and grow the MST as a cloud of vertices, starting from s
- \Box Store with each vertex v, a label d(v)
 - smallest weight of an edge connecting v to a vertex in the cloud
- At each step
 - add to the cloud the vertex u outside the cloud with the smallest distance label
 - update the labels of the vertices adjacent to u

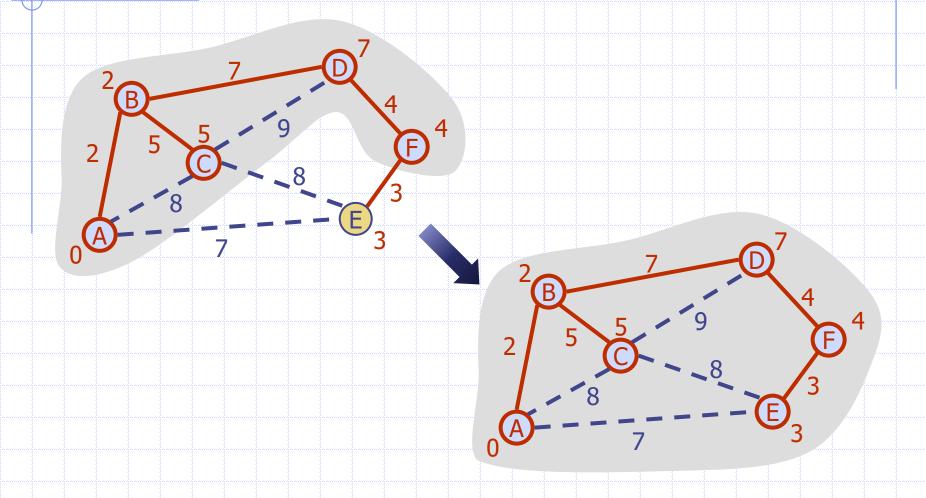
Prim-Jarnik Algorithm

```
Algorithm PrimJarnik(G):
   Input: An undirected, weighted, connected graph G with n vertices and m edges
    Output: A minimum spanning tree T for G
  Pick any vertex s of G
  D[s] = 0
  for each vertex v \neq s do
    D[v] = \infty
  Initialize T = \emptyset.
  Initialize a priority queue Q with an entry (D[v], (v, None)) for each vertex v,
  where D[v] is the key in the priority queue, and (v, None) is the associated value.
  while Q is not empty do
     (u,e) = \text{value returned by } Q.\text{remove\_min}()
     Connect vertex u to T using edge e.
     for each edge e' = (u, v) such that v is in Q do
        {check if edge (u, v) better connects v to T}
       if w(u, v) < D[v] then
          D[v] = w(u, v)
          Change the key of vertex v in Q to D[v].
          Change the value of vertex v in Q to (v, e').
  return the tree T
```

Example



Example (contd.)



Analysis

- Graph operations
 - cycle through the incident edges once for each vertex
- Label operations
 - set/get distance, parent and locator labels of vertex z O(deg(z)) times
 - setting/getting a label takes O(1) time
- Priority queue operations
 - each vertex is inserted once into and removed once from the priority queue, where each insertion or removal takes $O(\log n)$ time
 - key of a vertex w in the priority queue is modified at most deg(w) times, where each key change takes $O(\log n)$ time
- \square Prim-Jarnik's algorithm runs in $O((n + m) \log n)$ time
 - provided the graph is represented by an adjacency list structure
 - recall that $\Sigma_v \deg(v) = 2m$
 - can also be expressed as $O(m \log n)$ since the graph is connected

Kruskal's Approach

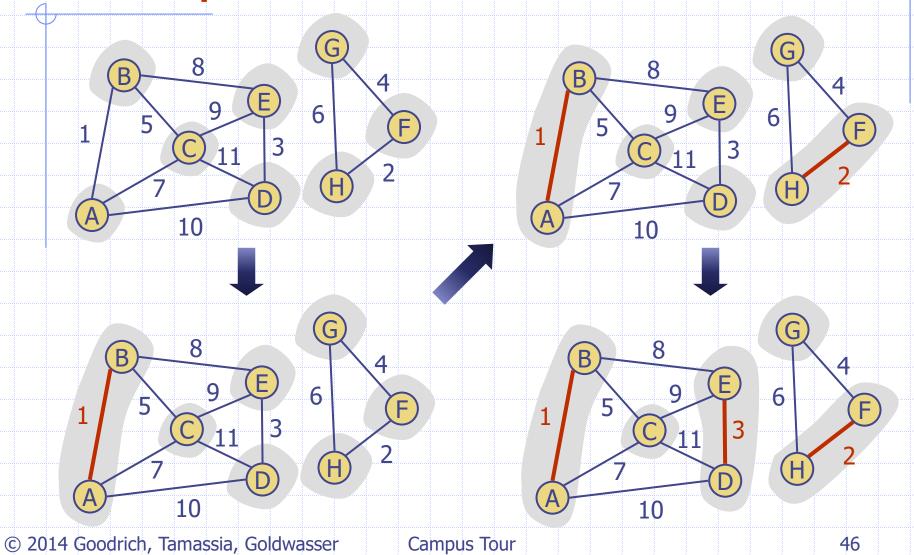
- Maintain a partition of the vertices into clusters
 - initially, single-vertex clusters
 - keep an MST for each cluster
 - merge "closest" clusters and their MSTs
- Priority queue stores the edges outside clusters
 - key: weight
 - element: edge
- At the end of the algorithm
 - one cluster and one MST

Kruskal's Algorithm

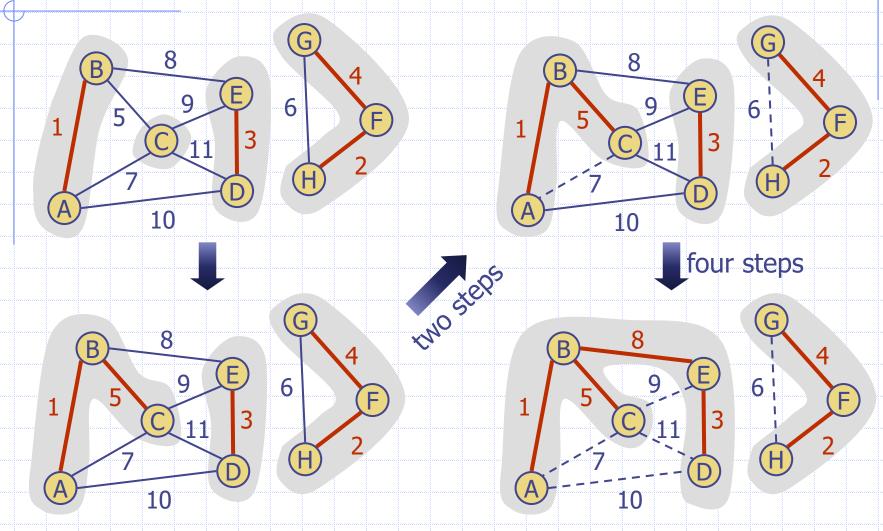
```
Algorithm Kruskal(G):
   Input: A simple connected weighted graph G with n vertices and m edges
   Output: A minimum spanning tree T for G
  for each vertex v in G do
     Define an elementary cluster C(v) = \{v\}.
  Initialize a priority queue Q to contain all edges in G, using the weights as keys.
                                {T will ultimately contain the edges of the MST}
  T = \emptyset
  while T has fewer than n-1 edges do
     (u,v) = value returned by Q.remove_min()
     Let C(u) be the cluster containing u, and let C(v) be the cluster containing v.
    if C(u) \neq C(v) then
       Add edge (u, v) to T.
       Merge C(u) and C(v) into one cluster.
```

return tree T

Example



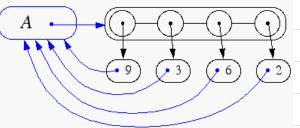
Example (contd.)



Data Structure for Kruskal's Algorithm

- Algorithm maintains a forest of trees
- Priority queue extracts the edges by increasing weight
- An edge is accepted if it connects distinct trees
- Need a data structure that maintains a partition,
 i.e., a collection of disjoint sets, with operations:
 - \blacksquare makeSet(u): create a set consisting of u
 - find(u): return the set storing u
 - union(A, B): replace sets A and B with their union

List-based Partition



- Each set is stored in a sequence
- Each element has a reference back to the set
 - find(u) takes O(1) time, and returns the set of which u is a member
 - union(A, B) moves the elements of the smaller set to the sequence of the larger set and updates their references
 - takes min(|A|, |B|) time
- Whenever an element is processed, it goes into a set of size at least double, hence each element is processed at most log n times

Partition-Based Implementation

- Partition-based version of Kruskal'sAlgorithm
 - cluster merges as unions
 - cluster locations as finds
- \square Running time $O((n + m) \log n)$
 - priority queue operations: $O(m \log n)$
 - union-find operations: $O(n \log n)$

Baruvka's Algorithm

- Like Kruskal's Algorithm, Baruvka's algorithm grows many clusters at once and maintains a forest *T*
- Each iteration of the while loop halves the number of connected components in forest *T*
- □ Running time is $O(m \log n)$

Algorithm *BaruvkaMST(G)*:

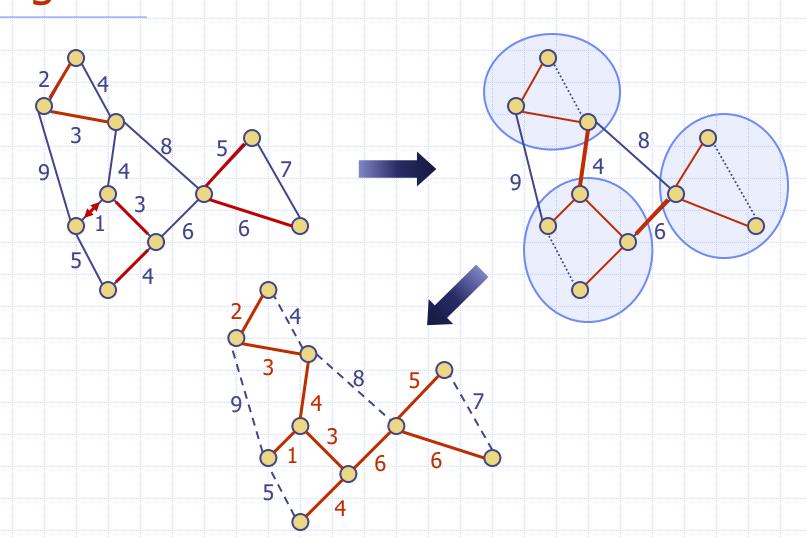
```
T ← V {just the vertices of G}
while T has fewer than n − 1 edges do
for each connected component C in T do
Let edge e be the smallest-weight edge from C to another component in T
if e is not already in T then
```

return T

Add edge e to T

Example of Baruvka's Algorithm

Slide by Matt Stallmann included with permission.



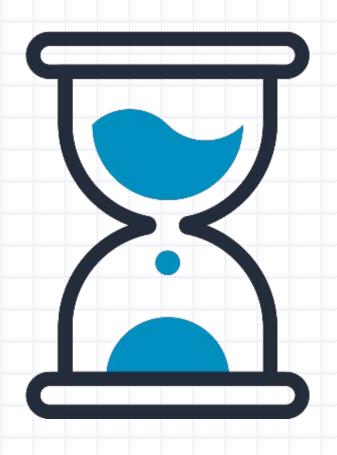
Java Implementation

```
/** Computes a minimum spanning tree of graph g using Kruskal's algorithm. */
    public static <V> PositionalList<Edge<Integer>> MST(Graph<V,Integer> g) {
     // tree is where we will store result as it is computed
 3
      PositionalList<Edge<Integer>> tree = new LinkedPositionalList<>();
      // pq entries are edges of graph, with weights as keys
      PriorityQueue<Integer, Edge<Integer>> pq = new HeapPriorityQueue<>();
      // union-find forest of components of the graph
      Partition<Vertex<V>> forest = new Partition<>();
      // map each vertex to the forest position
      Map < Vertex < V >, Position < Vertex < V >>> positions = new ProbeHashMap <>();
10
11
      for (Vertex<V> v : g.vertices())
12
        positions.put(v, forest.makeGroup(v));
13
14
15
      for (Edge<Integer> e : g.edges())
        pq.insert(e.getElement(), e);
16
17
```

Java Implementation, 2

```
18
      int size = g.numVertices();
      // while tree not spanning and unprocessed edges remain...
19
      while (tree.size() != size - 1 && !pq.isEmpty()) {
20
21
        Entry<Integer, Edge<Integer>> entry = pq.removeMin();
        Edge<Integer> edge = entry.getValue();
22
        Vertex < V > [] endpoints = g.endVertices(edge);
23
        Position<Vertex<V>> a = forest.find(positions.get(endpoints[0]));
24
        Position<Vertex<V>> b = forest.find(positions.get(endpoints[1]));
25
        if (a != b) {
26
          tree.addLast(edge);
27
28
          forest.union(a,b);
29
30
31
32
      return tree;
33
```

10 minute break



Week 10 – Graphs & Selection

- 1. Shortest path algorithms
- 2. Minimum spanning trees
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Selection Problem

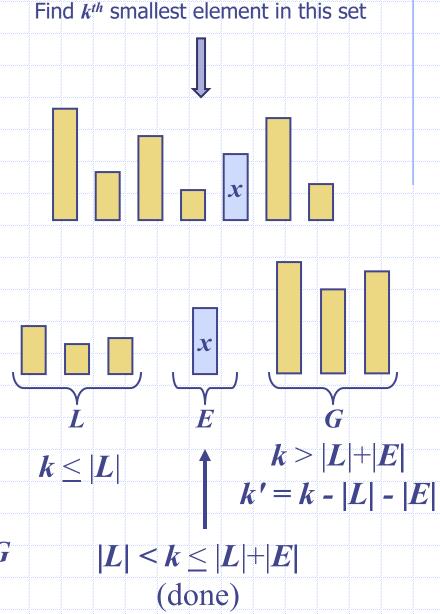


- \Box Given an integer k and n elements $x_1, x_2, ..., x_n$, taken from a total order
 - find the kth smallest element
- □ Of course, we can sort the set in $O(n \log n)$ time and then index the k^{th} element

Can we solve the selection problem faster?

Quick Select

- Randomised selection algorithm based on the prune-and-search paradigm
 - Prune: pick a random element x (called pivot) and partition S into
 - L: elements less than x
 - E: elements equal x
 - G: elements greater than x
 - Search: depending on k,
 either answer is in E, or we
 need to recur in either L or G



Partition

- Partition input sequence as in quick sort
 - remove, in turn, each element y from S, and
 - insert y into L, E or G,
 depending on the result
 of the comparison with
 the pivot x
- Each insertion and removal is at the beginning or end of a sequence
 - hence takes O(1) time
- Thus, partition step takesO(n) time

Algorithm partition(S, p)

Input sequence *S*, position *p* of pivot **Output** subsequences *L*, *E*, *G* of the elements of *S* less than, equal to, or greater than the pivot.

```
L, E, G \leftarrow \text{empty sequences}
x \leftarrow S.remove(p)
while \neg S.isEmpty()
y \leftarrow S.remove(S.first())
if y < x
L.addLast(y)
else if y = x
E.addLast(y)
else \{y > x\}
G.addLast(y)
return L, E, G
```

- Execution of quick-select can be visualised by a recursion path
 - Each node represents a recursive call of quick-select, and stores k and the remaining sequence

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$$k=5, S=(7 \ 4 \ 9 \ \underline{3} \ 2 \ 6 \ 5 \ 1 \ 8) \rightarrow (2 \ 1 \ \underline{3} \ 7 \ 4 \ 9 \ 6 \ 5 \ 8)$$

$$k=2, S=(7 4 9 6 5 8)$$

k index value has changed, now that the Less and Equal partitions, which had three elements, have been removed

- Execution of quick-select can be visualised by a recursion path
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$$k=5, S=(7 \ 4 \ 9 \ \underline{3} \ 2 \ 6 \ 5 \ 1 \ 8) \rightarrow (2 \ 1 \ \underline{3} \ 7 \ 4 \ 9 \ 6 \ 5 \ 8)$$

$$k=2, S=(7 \ 4 \ 9 \ 6 \ 5 \ 8) \rightarrow (7 \ 4 \ 6 \ 5 \ 8 \ 9)$$

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$$k=5, S=(7 4 9 3 2 6 5 1 8) \rightarrow (2 1 3 7 4 9 6 5 8)$$

$$k=2, S=(7 \ 4 \ 9 \ 6 \ 5 \ 8) \rightarrow (7 \ 4 \ 6 \ 5 \ 8 \ 9)$$

$$(k=2)S=(7 4 6 5)$$

k index value does not change when the Greater partition is removed

- Execution of quick-select can be visualised by a recursion path
 - Each node represents a recursive call of quick-select, and stores k and the remaining sequence

$$(k=5, S=(7 4 9 3 2 6 5 1 8) \rightarrow (2 1 3 7 4 9 6 5 8))$$

$$k=2, S=(7 \ 4 \ 9 \ 6 \ 5 \ 8) \rightarrow (7 \ 4 \ 6 \ 5 \ 8 \ 6)$$

$$k=2, S=(7 \ \underline{4} \ 6 \ 5) \rightarrow (\underline{4} \ 7 \ 6 \ 5)$$

- Execution of quick-select can be visualised by a recursion path
 - Each node represents a recursive call of quick-select, and stores k and the remaining sequence

$$k=5, S=(7 \ 4 \ 9 \ \underline{3} \ 2 \ 6 \ 5 \ 1 \ 8) \rightarrow (2 \ 1 \ \underline{3} \ 7 \ 4 \ 9 \ 6 \ 5 \ 8)$$
 $k=2, S=(7 \ 4 \ 9 \ 6 \ 5) \rightarrow (\underline{4} \ 7 \ 6 \ 5)$
 $k=1, S=(7 \ 6 \ 5)$

- Execution of quick-select can be visualised by a recursion path
 - Each node represents a recursive call of quick-select, and stores k and the remaining sequence

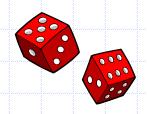
- Execution of quick-select can be visualised by a recursion path
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$$k=5, S=(7 \ 4 \ 9 \ \underline{3} \ 2 \ 6 \ 5 \ 1 \ 8) \rightarrow (2 \ 1 \ \underline{3} \ 7 \ 4 \ 9 \ 6 \ 5 \ 8)$$

$$k=2, S=(7 \ 4 \ 9 \ 6 \ 5 \ \underline{8}) \rightarrow (7 \ 4 \ 6 \ 5 \ \underline{8} \ 6)$$

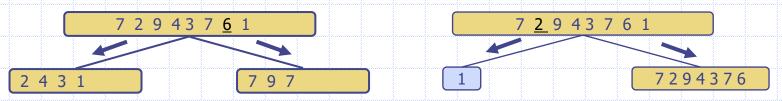
$$k=2, S=(7 \ \underline{4} \ 6 \ 5) \rightarrow (\underline{4} \ 7 \ 6 \ 5)$$

$$k=1, S=(7 \ 6 \ \underline{5}) \rightarrow (\underline{5} \ 7 \ 6)$$



Expected Running Time

- ightharpoonup Consider a recursive call of quick sort on a sequence of size s
 - Good call: the sizes of L and G are each less than $3s \div 4$
 - Bad call: one of L and G has size greater than $3s \div 4$



Good call

Bad call

- □ Good calls have a probability of 1/2
 - y of the possible pivots cause good calls

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

Bad pivots Good pivots Bad pivots

Expected Running Time, Part 2



- Probabilistic Fact #1: Expected number of coin tosses required in order to get one head is two
- Probabilistic Fact #2: Expectation is a linear function
 - $\bullet E(X+Y)=E(X)+E(Y)$
 - $\bullet E(cX) = cE(X)$

Expected Running Time, Part 2



- □ Let *T*(*n*) denote the expected running time of quick select
- □ By Fact #2
 - $T(n) \le T(3n \div 4) + bn$ (expected # of calls before a good call)
- □ By Fact #1
 - $T(n) \le T(3n \div 4) + 2bn$
- \Box That is, T(n) is a geometric series
 - $T(n) \le 2bn + 2b(3 \div 4)n + 2b(3 \div 4)^2n + 2b(3 \div 4)^3n + \dots$
- \square So T(n) is O(n)
 - can solve selection problem in O(n) expected time

Worst Case Running Time?

- Remember quick sort
 - $O(n^2)$ if pivot is always a bad choice

Deterministic Selection



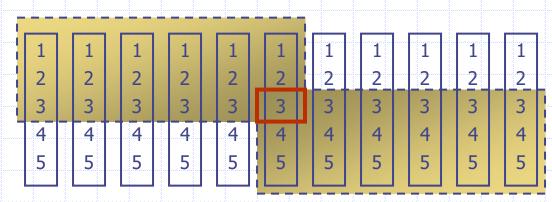
- Recursively use the selection algorithm to find a good pivot for quick select
 - 1. divide S into $n \div 5$ sets of 5 each
 - last set may have less than 5 elements
 - 2. find a median in each set
 - use insertion sort on small sets of 5 and index into median
 - 3. recursively use selection algorithm to find median of the $n \div 5$ medians ("baby" medians)
 - 4. partition around this median of medians
 - guaranteed to be a good pivot
 - 5. kth item found or recurse on lower or greater partition

Deterministic Selection



- \square Steps 1, 2 & 4 take O(n) time
 - step 2 calls insertion sort O(n) times on sets of size O(1)
- □ Step 3 takes $T(n \div 5)$ time
- \square Step 5 is a reduction of n, by greater than 2
- \Box Thus, O(n) worst-case time

Min size for L



Min size for G

Further Reading

- Data Structures and Algorithms in Java
 - Chapter 12.5
 - Chapter 14.6, 14.7
- Introduction to Algorithms
 - Chapter 9.2, 9.3
 - Chapter 23
 - Chapter 24

Reminders

- Homework task 4 due at 5:00pm tomorrow!
 - The final one!
- Midsemester break next week
 - No lectures or tutorials
- Upcoming lecture content

Week	Lecture Content	
Week 11	COMP7505 Presentations	
Week 12	COMP7505 Presentations	
Week 13	COMP7505 Presentations/Exam Q&A	

COMP7505 Presentations

- COMP7505 presentations will be presented during the week 11 to 13 lecture timeslot.
- It is expected that anyone presenting is present for the full timeslot.
- Attendance is not mandatory for COMP3506 students, however:
 - These presentations may not be recorded
 - The content presented will benefit you greatly for any technical interviews you may complete in the future